

# CD4/CD8 T-Cell Selection Affects Chimeric Antigen Receptor (CAR) T-Cell Potency and Toxicity: Updated Results From a Phase I Anti-CD22 CAR T-Cell Trial

Nirali N. Shah, MD, MHSc<sup>1</sup>; Steven L. Highfill, PhD<sup>2</sup>; Haneen Shalabi, DO<sup>1</sup>; Bonnie Yates, PNP<sup>1</sup>; Jianjian Jin, PhD<sup>2</sup>; Pamela L. Wolters, PhD<sup>1</sup>; Amanda Ombrello, MD<sup>3</sup>; Seth M. Steinberg, PhD<sup>4</sup>; Staci Martin, PhD<sup>1</sup>; Cindy Delbrook, RN<sup>1</sup>; Leah Hoffman, RN<sup>1</sup>; Lauren Little, RN<sup>1</sup>; Anusha Ponduri, BA<sup>1</sup>; Haiying Qin, MS<sup>1</sup>; Haris Qureshi, BS<sup>1</sup>; Alina Dulau-Florea, MD<sup>5</sup>; Dalia Salem, MD<sup>5</sup>; Hao-Wei Wang, MD, PhD<sup>5</sup>; Constance Yuan, MD, PhD<sup>5</sup>; Maryalice Stetler-Stevenson, MD, PhD<sup>5</sup>; Sandhya Panch, MD<sup>2</sup>; Minh Tran, BS<sup>2</sup>; Crystal L. Mackall, MD<sup>1,6</sup>; David F. Stroncek, MD<sup>2</sup>; and Terry J. Fry, MD<sup>1,7</sup>

**PURPOSE** Patients with B-cell acute lymphoblastic leukemia who experience relapse after or are resistant to CD19-targeted immunotherapies have limited treatment options. Targeting CD22, an alternative B-cell antigen, represents an alternate strategy. We report outcomes on the largest patient cohort treated with CD22 chimeric antigen receptor (CAR) T cells.

**PATIENTS AND METHODS** We conducted a single-center, phase I, 3 + 3 dose-escalation trial with a large expansion cohort that tested CD22-targeted CAR T cells for children and young adults with relapsed/refractory CD22<sup>+</sup> malignancies. Primary objectives were to assess the safety, toxicity, and feasibility. Secondary objectives included efficacy, CD22 CAR T-cell persistence, and cytokine profiling.

**RESULTS** Fifty-eight participants were infused; 51 (87.9%) after prior CD19-targeted therapy. Cytokine release syndrome occurred in 50 participants (86.2%) and was grade 1-2 in 45 (90%). Symptoms of neurotoxicity were minimal and transient. Hemophagocytic lymphohistiocytosis-like manifestations were seen in 19/58 (32.8%) of subjects, prompting utilization of anakinra. CD4/CD8 T-cell selection of the apheresis product improved CAR T-cell manufacturing feasibility as well as heightened inflammatory toxicities, leading to dose de-escalation. The complete remission rate was 70%. The median overall survival was 13.4 months (95% CI, 7.7 to 20.3 months). Among those who achieved a complete response, the median relapse-free survival was 6.0 months (95% CI, 4.1 to 6.5 months). Thirteen participants proceeded to stem-cell transplantation.

**CONCLUSION** In the largest experience of CD22 CAR T-cells to our knowledge, we provide novel information on the impact of manufacturing changes on clinical outcomes and report on unique CD22 CAR T-cell toxicities and toxicity mitigation strategies. The remission induction rate supports further development of CD22 CAR T cells as a therapeutic option in patients resistant to CD19-targeted immunotherapy.

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## INTRODUCTION

CD19-targeted chimeric antigen receptor (CAR) T cells and bispecific T-cell-engaging antibodies have transformed the treatment of relapsed or chemotherapy-refractory B-cell malignancies, and are now US Food and Drug Administration approved for B-cell leukemias and lymphomas.<sup>1-4</sup> Despite a 70%-90% remission induction rate in acute lymphoblastic leukemia (ALL) after CD19-directed CAR T cells and potential for durable response, growing experience suggests that approximately 50% of patients may experience relapse within the first year,<sup>3,5-9</sup> the majority with CD19 loss.<sup>1-3,8,10</sup> In addition, second CD19 CAR T-cell infusions are frequently unsuccessful for CD19<sup>+</sup> relapse,

which further limits therapeutic options in these highly refractory patients.<sup>11</sup>

We developed a novel CD22-targeted/4-1BB CAR T cell<sup>12,13</sup> and tested it in a phase I dose-escalation trial in children and young adults with relapsed/refractory CD22<sup>+</sup> hematologic malignancies. In our initial report of the first 21 participants with ALL,<sup>14</sup> we described a dose-dependent antileukemic response in patients with CD19-negative/dim or CD19<sup>+</sup> relapsed ALL, with an acceptable toxicity profile consisting of limited cytokine release syndrome (CRS), minimal neurotoxicity,<sup>15</sup> and an efficacy signal not affected by prior CD19 targeting.<sup>14</sup>

With ongoing enrollment, the remission induction rate remained high, validating CD22 CAR T cells as an

## ASSOCIATED CONTENT

### Data Supplement

Author affiliations and support information (if applicable) appear at the end of this article.

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effective salvage regimen, which is particularly important for patients in whom CD19 targeting fails. The expanded experience also revealed novel insights into distinct, not previously appreciated toxicities of CD22 CAR T cells, which demonstrates that toxicities of CAR T cells that target a different antigen, even if on the same malignancy, may be unique. These observations led to incorporating unique toxicity mitigation strategies that have provided insight into CAR T-cell therapy optimization. In addition, after the initial report, we modified selection procedures of the apheresis product to systematically improve the consistency and reduce inherent interpatient variability of the starting material. This minor modification enhanced manufacturing feasibility but led to a direct increase in inflammatory toxicities, which prompted dose de-escalation with preserved efficacy at a dose that we previously declared as suboptimal.<sup>14</sup> Collectively, these observations broadly inform the field of CAR T-cell therapy and are particularly relevant as novel immunotherapies target alternative antigens in other refractory cancers.

## PATIENTS AND METHODS

### Participants and Study Design

This phase I dose-escalation study tested CD22 CAR T cells in patients with relapsed/refractory CD22<sup>+</sup> B-cell malignancies. Dose levels (DLs), on the basis of transduced CAR T cells per kilogram, included DL1,  $3 \times 10^5$ /kg; DL2,  $1 \times 10^6$ /kg; and DL3,  $3 \times 10^6$ /kg. All patients received fludarabine 25 mg/m<sup>2</sup>/d on days -4, -3, and -2 and cyclophosphamide 900 mg/m<sup>2</sup> on day -2, with CD22 CAR T-cell infusion on day 0. Primary objectives evaluated safety and toxicity amid dose finding and manufacturing feasibility. Secondary objectives included efficacy, CAR T-cell persistence, evaluation of reinfusion strategies, and cytokine profiling.

Eligibility criteria included CD22<sup>+</sup> malignancy, age 3-30 years, and adequate performance status and organ function. Patients receiving prior CAR T cells were required to have < 5% circulating CAR T cells. Initial enrollment excluded patients with isolated CNS disease or CNS3 disease; however, with experience, enrollment of those with active CNS disease was in a separate cohort. All participants provided written informed consent or parental permission with minor assent when appropriate. All were treated in the National Institutes of Health (NIH) Clinical Center, and the protocol was approved by the National Cancer Institute institutional review board and the NIH Recombinant DNA Advisory Committee. This report incorporates data from all participants who received CD22 CAR T cells in the study before April 3, 2019, and through a minimum of 30 days postinfusion. Data were locked as of May 8, 2019.

Per protocol, participants who relapsed following an interval allogeneic hematopoietic stem-cell transplantation (HSCT) after a first infusion and had a new apheresis product

collected could be re-enrolled and considered as a unique participant. Accordingly, participants 5 and 35 and participants 26 and 46 contributed only once to overall survival (OS) and twice for all other analyses.

### CAR T-Cell Manufacturing

The initial dose escalation was previously described with DL2 ( $1 \times 10^6$ /kg) expanded (n = 18).<sup>14</sup> To enhance CAR T-cell manufacturing feasibility and reduce interpatient product variability, we incorporated CD4/CD8 T-cell selection (CD4/8-TCS) of all starting apheresis material as a single manufacturing change with no further downstream modifications. After this modification, participants experienced heightened inflammatory responses, and the dose was de-escalated for all subsequent patients to DL1-TCS ( $3 \times 10^5$ /kg; n = 25).

### Toxicity and Efficacy Evaluations

Adverse events were captured using Common Terminology Criteria for Adverse Events (version 4.0) through 30 days post-CAR infusion or resolution. CRS was prospectively graded using the Lee scale.<sup>16</sup> American Society for Transplantation and Cellular Therapy CRS consensus grading was retrospectively incorporated.<sup>17</sup> Augmented grading for hemophagocytic lymphohistiocytosis (HLH)/macrophage activation syndrome (MAS)-like manifestations was retrospectively performed and modified from definitions used by Neelapu et al.<sup>18</sup> Specifically, this was defined by peak ferritin > 100,000 µg/L with at least two of the following criteria:

- Hepatic aminotransferases or bilirubin grade  $\geq 3$
- Creatinine grade  $\geq 3$
- Pulmonary edema grade  $\geq 3$
- Evidence of hemophagocytosis on bone marrow aspirate/biopsy.

Disease evaluation and neurotoxicity monitoring methodologies are provided in the Data Supplement (online only).

### Statistical Analysis

Descriptive statistics were computed to summarize participant and disease characteristics. Mann-Whitney *U* test was used to compare unpaired data sets, using a two-tailed *P* value. Wilcoxon signed rank test was used to compare paired data sets. Fisher's exact tests were used to compare binary outcomes between two groups. Kaplan-Meier survival curves were used to show event-free survival (EFS) and OS for all participants and relapse-free survival (RFS) limited to those who achieved complete remission (CR). EFS used the earliest of no response, relapse, or death as events, with patients considered to have experienced treatment failure on day 28 if they did not have a CR by that date. Patients who did not have one of these events were censored on their date of last follow-up. OS was calculated from the date of CAR infusion until date of death or last follow-up. RFS was calculated from the date of CAR infusion until the date of relapse or last follow-up among those

who went into CR. The patients who died as a result of sepsis or transplant-related causes and were in remission at their death were censored with respect to RFS at their dates of death. Paired *t* tests compared cognitive test scores pre- to postinfusion. Additional methods are provided in the Data Supplement.

## RESULTS

### Participant and Disease Characteristics

Sixty-four participants were enrolled; 58 received infusion and were evaluable for toxicity (Table 1). Reasons for noninfusion are provided in the Data Supplement. Outcomes for the first 22 participants have been previously described.<sup>14,19</sup> All but 2 participants had ALL. One had diffuse large B-cell lymphoma<sup>19</sup>; another had chronic myelogenous leukemia with ALL blast crisis. The median age was 17.5 years (range, 4.4-30.6 years). Prior therapy included CD19-targeted therapy in 51 (87.9%), HSCT in 39 (67.2%), inotuzumab ozogamicin in 14 (24.1%), and prior CD22 CAR T-cell exposure in 5 (8.6%; incorporating 3 alternative constructs). Thirty-three participants (56.9%) were CD19-negative/partial/dim of whom 2 were partial CD19-expressing with no prior CD19-targeted immunotherapy. All participants had detectable disease: 44 (75.9%) had  $\geq$  M2 marrow, and the median bone marrow involvement was 52%; 11 had extramedullary disease; 1 had isolated CNS disease (CNS2).

### Toxicity

Fifty (86.2%) of 58 participants developed CRS, which was grade 1-2 in 45 (90%; Table 2). The average time to CRS

onset was day 7 postinfusion (range, days 3-16); the median duration was 5 days. Two grade 5 events occurred at DL2, one in the setting of gram-negative sepsis and multiorgan dysfunction<sup>19</sup> and the other from fulminant capillary leak syndrome (CLS) during CRS, which led to grade 5 acute respiratory distress syndrome. The protocol was transiently halted and modified to incorporate earlier use of tocilizumab and/or corticosteroids in patients with evidence of pulmonary toxicity, with no additional grade 5 events.

Neurotoxicity in the first 22 participants was generally mild with no seizures, encephalopathy, or more severe toxicity.<sup>15</sup> Among 58 participants, 19 (32.8%) had one or more reported neurologic manifestation, all of which were grade 1 and 2 toxicities except in one patient who had grade 4 intracranial hemorrhage (ICH). This participant was treated at DL1-TCS and was recovering from CRS without neurotoxicity when he developed a sudden-onset grade 4 ICH on day 17, which required emergent neurosurgical intervention. Laboratory findings at that time revealed normal prothrombin time/partial thromboplastin time and mild thrombocytopenia (platelet count  $\geq$  100,000/ $\mu$ L for the 5 days preceding the event and was 47,000/ $\mu$ L at the time of ICH). Of note, this participant had concurrent *Bacillus cereus* bacteremia during CRS—an established risk factor for ICH—and was found to have multifocal hemorrhage concerning for a potential infectious etiology. ICH was attributed to both CRS and infection.<sup>20-22</sup> Other symptoms of neurotoxicity were of limited duration or resolved by day 28. We found no substantial change from pre- to postinfusion on tests of attention, executive function, working memory, or processing speed (Data Supplement).

**TABLE 1.** Participant Demographics

Demographic	All Participants (treated)	DL1 (3 × 10 <sup>5</sup> /kg)	DL2 <sup>a</sup> (1 × 10 <sup>6</sup> /kg)	DL3 (3 × 10 <sup>6</sup> /kg)	DL2-TCS (1 × 10 <sup>6</sup> /kg)	DL1-TCS <sup>b</sup> (3 × 10 <sup>5</sup> /kg)
No. of participants	58	6 (10.3)	18 (31.0)	2 (3.4)	7 (12.1)	25 (43.1)
Median age, years (range)	17.5 (4.4-30.6)	21.3 (7.3-22.7)	16.7 (8.0-30.6)	17.1 (7.9-26.4)	12.8 (4.4-28.9)	15.8 (4.7-30.4)
Prior HSCT	39 (67.2)	6 (100)	13 (72.2)	2 (100)	6 (85.7)	12 (48)
Prior CD19-targeted therapy	51 (87.9)	6 (100)	13 (72)	2 (100)	7 (100)	23 (92)
Prior CD19 CAR	36 (62.0)	6 (100)	11 (61.1)	1 (50)	5 (71.4)	13 (52)
Prior blinatumomab	23 (39.7)	1 (16.7)	4 (22.2)	2 (100)	2 (28.6)	14 (56)
Prior inotuzumab	14 (24.1)	1 (16.7)	4 (22.2)	1 (50)	3 (42.9)	5 (20)
Prior CD22 CAR exposure <sup>c</sup>	5 (8.6)	0	0	0	2 (28.6)	3 (12)
Any CD19-negative population <sup>d</sup>	33 (56.9)	4 (66.7)	9 (50)	0	5 (71.4)	15 (60)
$\geq$ M2 marrow	44 (75.9)	4 (66.7)	11 (61.1)	2 (100)	6 (85.7)	21 (84)
Isolated CNS disease <sup>e</sup>	1 (1.7)	0	1 (5.6)	0	0	0

NOTE. Data presented as No. (%) unless otherwise indicated. DL represents dose of transduced CAR T cells/kilogram.

Abbreviations: CAR, chimeric antigen receptor; DL, dose level; HSCT, hematopoietic stem-cell transplantation; TCS, T-cell selection.

<sup>a</sup>One participant with diffuse large B-cell lymphoma.

<sup>b</sup>One participant with chronic myeloid leukemia who evolved to acute lymphoblastic crisis.

<sup>c</sup>Includes any participant who had received CD22 CAR T cells elsewhere or had been treated with CD19/CD22 CAR T cells.

<sup>d</sup>Any CD19 population captures patients who are fully and partially CD19<sup>+</sup> with a cutoff of < 90% positive.

<sup>e</sup>All participants had CNS1 disease at the time of infusion.

**TABLE 2.** Toxicity, CRS Management, and Response Profile

Variable	All Participants	DL1 (3 × 10 <sup>5</sup> /kg)	DL2 (1 × 10 <sup>6</sup> /kg)	DL3 (3 × 10 <sup>6</sup> /kg)	DL2-TCS (1 × 10 <sup>6</sup> /kg)	DL1-TCS <sup>a</sup> (3 × 10 <sup>5</sup> /kg)
Total No. of participants	58	6	18	2	7 <sup>b</sup>	25
Participants with CRS	50 (86.2)	3 (50)	16 (88.9)	2 (100)	6 (85.7)	23 (92)
CRS grades 1-2	45 (90)	3 (100)	15 (93.8)	2 (100)	6 (100)	19 (82.6)
CRS grades ≥ 3	5 (10)	0	1 (6.3)	0	0	4 (17.4)
CRS grades ≥ 3 ASTCT CRS scale	12 (24)	1 (33.3)	3 (18.8)	0	1 (16.7)	7 (30.4)
Any neurotoxicity	19 (32.8)	2 (33.3)	4 (22.2)	1 (50)	3 (42.9)	9 (36)
Severe neurotoxicity	1 (1.7)	0	0	0	0	1 (4)
Received tocilizumab	23 (39.7)	0	3 (16.7)	0	4 (57.1)	16 (64)
Received corticosteroids	18 (31.0)	0	2 (11.1)	1 (50)	4 (57.1)	13 (52)
Developed DIC	14 (24.1)	0	6 (33.3)	0	4 (57.1)	4 (16)
Developed symptomatic coagulopathy	9 (15.5)	0	3 (16.7)	0	4 (57.1)	2 (8)
Developed HLH	19 (32.7)	0	3 (16.7)	0	5 (71.4)	11 (44)
Developed CLS	3 (5.2)	0	1 (5.6) <sup>c</sup>	0	0	2 (8)
Developed aHUS	3 (5.2)	0	0	0	1 (14.3)	2 (8)
Grade 5 events	2 (3.4)	0	2 (11.1)	0	0	0
CR <sup>d</sup>	40 (70.2) <sup>e</sup>	1 (16.7)	13 (76.5) <sup>f</sup>	1 (50)	6 (85.7)	19 (76)
MRD-negative CR rate, among those with CR <sup>d</sup>	35 (87.5)	1 (100)	10 (76.9)	0 (0)	6 (100)	18 (94.7)

NOTE. Data presented as No. (%) unless otherwise indicated. CRS as graded per Lee et al.<sup>16</sup> HLH retrospectively identified and defined by modified criteria, including retrospectively performed and defined as present if the following criteria were met: peak ferritin > 100,000 with at least two of the following criteria: hepatic aminotransferases or bilirubin grade ≥ 3, creatinine grade ≥ 3, pulmonary edema grade ≥ 3, or evidence of hemophagocytosis on the bone marrow evaluation.

Abbreviations: aHUS, atypical hemolytic uremic syndrome; ASTCT, American Society for Transplantation and Cellular Therapy; CLS, capillary leak syndrome; CR, complete response; CRS, cytokine release syndrome; DIC disseminated intravascular coagulation; HLH, hemophagocytic lymphohistiocytosis; MRD, minimal residual disease.

<sup>a</sup>Implementation of preemptive tocilizumab dosing initiated in this cohort.

<sup>b</sup>Participant 27 had stable disease with the first infusion, with grade 1 CRS not requiring corticosteroids or tocilizumab and limited chimeric antigen receptor (CAR) expansion. Of note, he had received a CD22 CAR construct at an outside hospital before treatment on this protocol. Data presented in this table reflect the response and toxicity profile after the second infusion because they informed the toxicity and response profile at this dose.

<sup>c</sup>CLS developed into fatal acute respiratory distress syndrome.

<sup>d</sup>Reflects the best response at any time point without any interval therapy; MRD-negative status is based on those who achieved a CR.

<sup>e</sup>Fifty-seven participants were evaluable for response. One participant had a grade 5 toxicity before disease restaging.

<sup>f</sup>Seventeen participants evaluable for response. One participant had a grade 5 toxicity before disease restaging.

Other toxicities included ocular manifestations (conjunctivitis, photophobia, blurred vision or dry eyes; *n* = 12); CLS (*n* = 3); and atypical hemolytic uremic syndrome (aHUS; *n* = 3), which manifested as hypertension and hemolysis with elevated terminal membrane attack complex requiring eculizumab therapy (2 of whom did not have a prior HSCT). No participant developed sinusoidal obstructive syndrome. Additional toxicities are listed in the Data Supplement.

### HLH/MAS-Like Toxicity

After incorporation of CD4/8-TCS at DL2, more participants developed HLH/MAS-like manifestations (DL2, 3 of 18; DL2-TCS, 5 of 7; *P* = .017), despite a similar incidence and grade of CRS, with a higher frequency of participants at DL2-TCS developing coagulopathy. Thus, we electively de-escalated to DL1-TCS, which effectively decreased the

incidence of HLH/MAS-like features and coagulopathy without reducing efficacy (Table 2).

HLH/MAS-like toxicities occurred only in participants who experienced CRS; 19 (38%) of 50 participants with CRS developed HLH/MAS-like manifestations. The average time to onset of HLH-like features was 14 days (range, 7-26 days) post-CAR, and CRS was generally resolved or resolving before the onset of HLH-like manifestations. The incidence of HLH/MAS-like toxicities was higher in those who underwent CD4/8-TCS (16 [55.2%] of 29 *v* 3 [14.3%] of 21; *P* = .0039). Peak ferritin was substantially higher in those at DL2 versus DL2-TCS (Fig 1A) and among all who received a product with CD4/8-TCS (Fig 1B), with a median ferritin of 163,200 μg/L (range, 5,769-565,510 μg/L) *v* 14,349 μg/L (range, 106-590,100 μg/L; *P* = .0007).

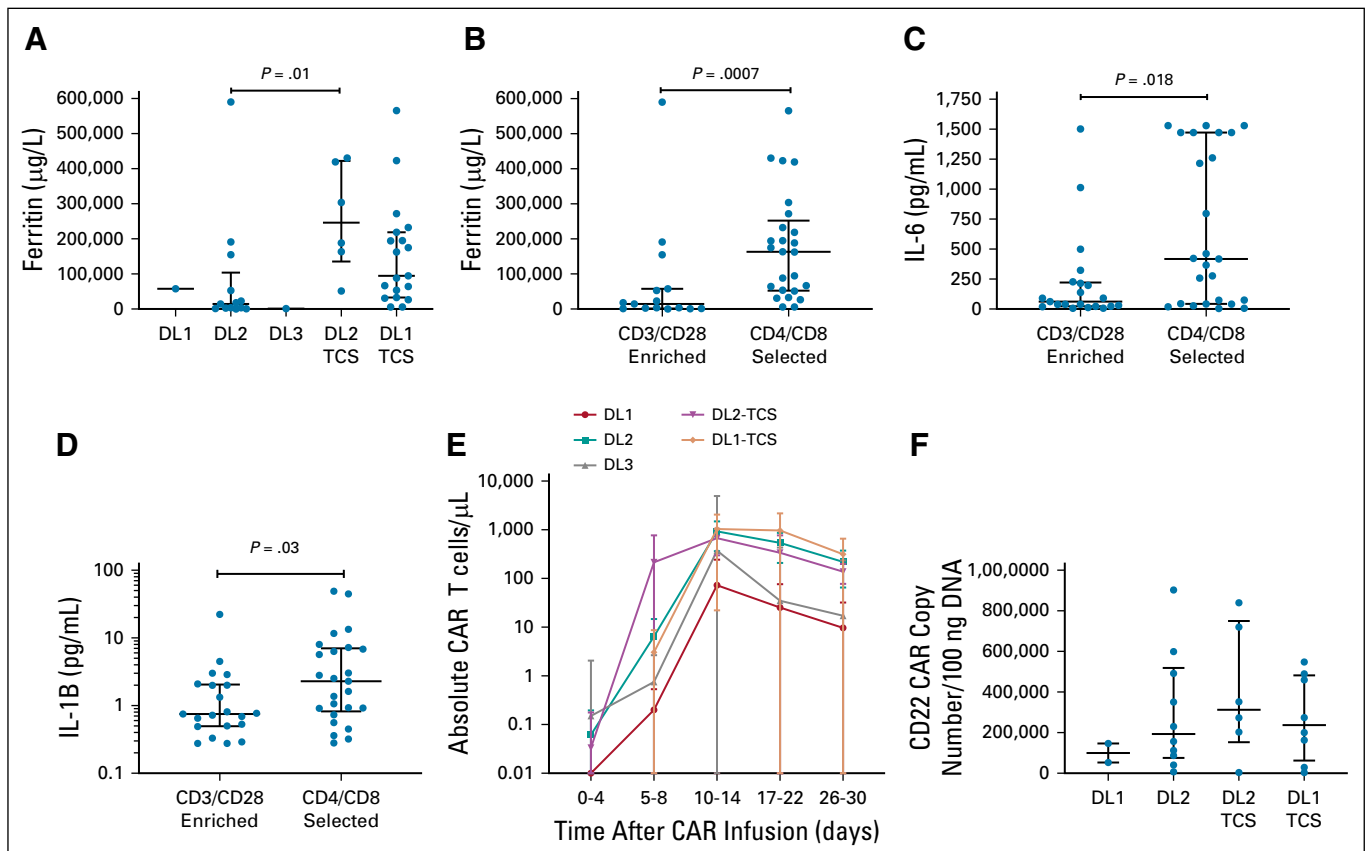
HLH/MAS-like toxicities included laboratory abnormalities (eg, hepatic transaminitis;  $n = 19$ ) and hemophagocytosis on the day 28 bone marrow evaluation ( $n = 9$ ). HLH/MAS self-resolved in 5 participants. HLH/MAS-directed treatment was initiated in 14 participants because of worsening laboratory parameters or clinical symptoms (eg, pulmonary edema; renal dysfunction; worsening coagulopathy; steadily increasing inflammatory markers concerning for a worsening trajectory or symptomatic global inflammation, such as noninfectious cholecystitis). Systematic use of anti-interleukin-1 (IL-1) receptor antagonist (anakinra) at starting doses of 5-8 mg/kg/d subcutaneously was incorporated to treat or prevent worsening of HLH/MAS-like manifestations in participants with clinically relevant findings on the basis of data in treatment of secondary HLH/MAS.<sup>23,24</sup> Treatment was initiated with anakinra alone ( $n = 3$ ), corticosteroids plus anakinra ( $n = 5$ ), or corticosteroids alone ( $n = 6$ ). All treated participants had resolution of HLH/MAS-like toxicities without any apparent negative impact on response or CAR T-cell expansion (Data

Supplement). In one participant, HLH-like manifestations developed at day 28 after bone marrow restaging revealed a minimal residual disease (MRD)-positive CR. With 1 month of anakinra monotherapy, all laboratory abnormalities normalized, and subsequent restaging demonstrated ongoing CAR activity with eradication of MRD (Fig 2).

Cytokine profiling revealed that IL-6, interferon gamma, IL-8, IL-15, IL-10, tumor necrosis factor- $\alpha$ , and IL-1B were all higher in those with CD4/8-TCS than in those with CD3/CD28 enrichment (each  $P < .05$ , two-tailed; Figs 1C and 1D; Data Supplement). This included IL-1B, which supports the use of anakinra in these patients.

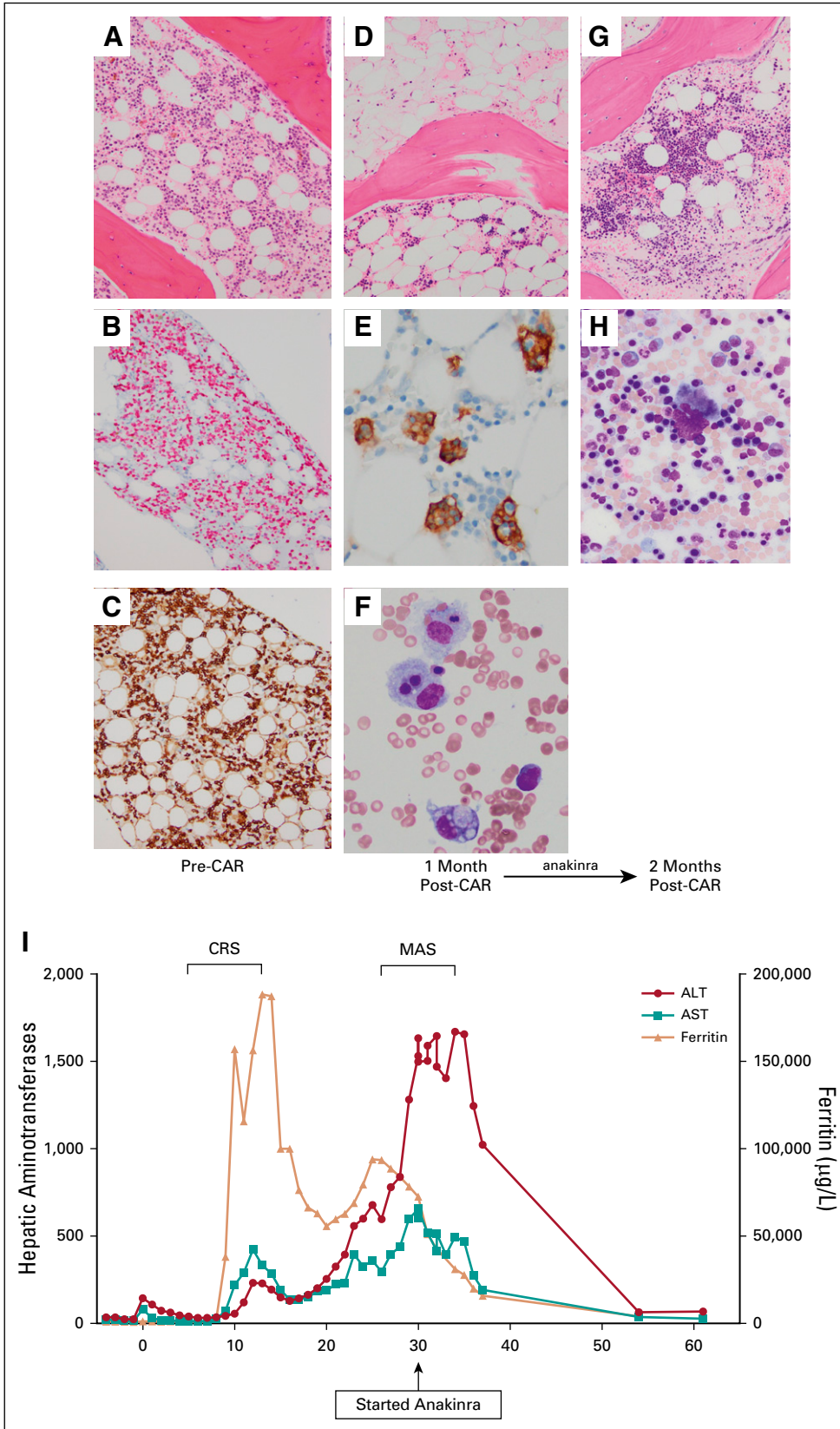
### CAR Expansion and Persistence

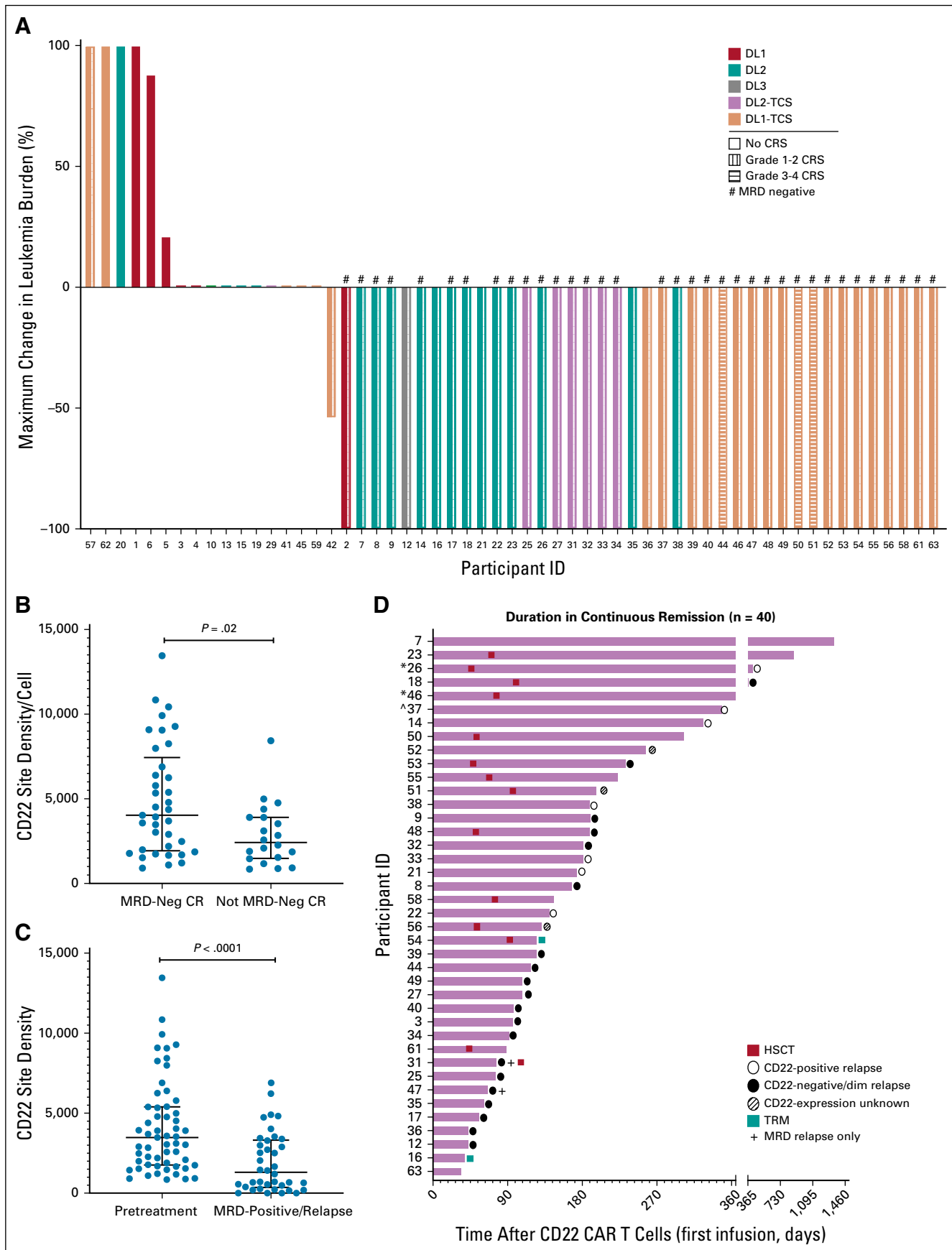
Peak CAR expansion occurred between days 14 and 21 postinfusion. The median percentage CAR-positive T cells at peak expansion was 77%, with a median absolute CAR T cells/ $\mu\text{L}$  of 480.5 (range, 39.7-11,346/ $\mu\text{L}$ ; Figs 1E and 1F) and generally higher in those who underwent

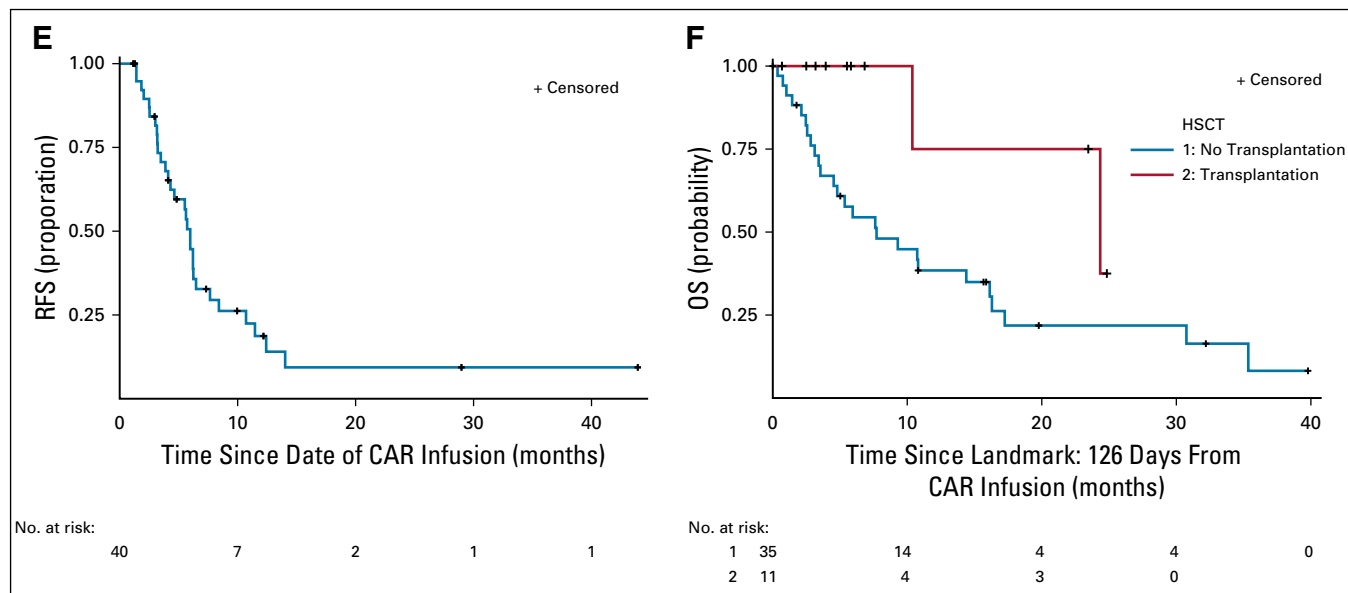


**FIG 1.** Cytokine and inflammatory markers, chimeric antigen receptor (CAR) expansion, and toxicity profiling. (A) Comparison of peak ferritin across all 5 doses explored. (B) Comparison of peak values of ferritin between those who received CD4/CD8 T-cell selection (CD4/8-TCS) v CD3/CD28-enriched CAR T-cell products. (C and D) Comparison of peak values of interleukin 6 (IL-6) and IL-1B between those who received CD4/8-TCS v CD3/CD28-enriched CAR T-cell products. (E and F) CAR T-cell expansion shown for all patients in the first 30 days, separated by dose level (DL) and as assessed by absolute CAR T cells on the basis of percent absolute lymphocyte count that was CAR T-cell positive at the various time points, DL1-TCS had limited data at earlier time points. (E) Peak CAR T-cell expansion for all participants by DL in the first 30 days as determined by quantitative polymerase chain reaction (PCR).  $P$  not significant. (F) DL3 did not have any samples available for PCR analysis.









**FIG 3.** (Continued). Response and outcomes after CD22 chimeric antigen receptor (CAR) T-cell infusion. (A) Waterfall plot of best response after CD22 CAR T cell. Participants were stratified by dose level (DL) and cytokine release syndrome (CRS) grade. Note that participants 26 and 46 represent the same patient. The participant was initially treated at DL2, achieved an MRD-negative complete remission (CR) and proceeded to hematopoietic stem-cell transplantation (HSCT), but subsequently experienced relapsed approximately 1 year post-HSCT. After relapse, the participant was re-enrolled as a new participant and had a new apheresis and new product manufactured and was treated at DL1 T-cell selection (TCS), achieved an MRD-negative CR, and proceeded to a second HSCT. Participants 5 and 35 similarly also represent the same patient. Participant 5 was treated at DL1 and was a nonresponder. The participant subsequently underwent allogeneic HSCT with a new donor after additional alternative therapy, with subsequent relapse. This participant underwent a new apheresis and had a new product manufactured at DL2 and achieved a CR. (B) CD22 site density stratified by those who attained MRD-negative CR *v* those who did not. (C) CD22 site density compared pretreatment with the CD22 site density in those with residual disease or at the time of relapse. (D) Duration in continuous remission among those who achieved CR. Shown are the duration of remission and time of relapse stratified by antigen negative/dim relapse or antigen-positive relapse. Two participants were re-enrolled as new participants as clarified in (A). (E) Relapse-free survival (RFS) from time of infusion for those who achieved remission. (F) Overall survival (OS) stratified by those who proceeded to HSCT (red line) *v* those who did not (blue line), using a landmark analysis of 126 days after CAR infusion.  $P = .045$ . (\*) Participant 26 experienced relapsed with antigen-positive disease and was re-enrolled as a new participant with a new product infused and went directly to a second HSCT. (^) Participant 37 received a second infusion for antigen-positive relapse and remains in an ongoing remission at approximately 9 months postinfusion (data not shown). TRM, treatment-related mortality; subject 54 died from complications of transplant; subject 16 died from sepsis following CD22 CAR T cells and did not proceed to transplant.

CD4/8-TCS. In participants with residual lymphomatous disease, bimodal CAR T-cell expansion was occasionally seen; one such case was associated with clonal expansion.<sup>25</sup>

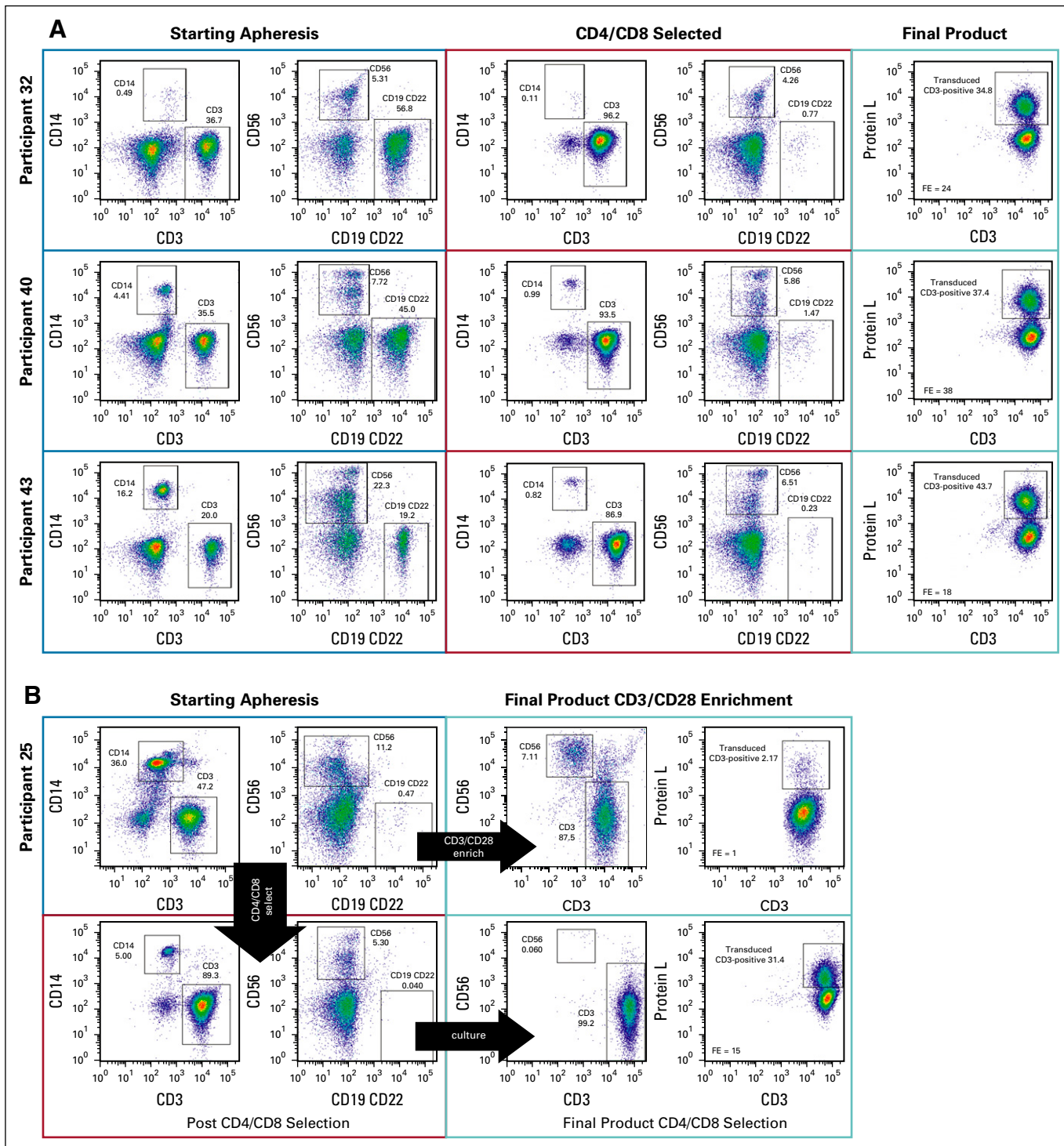
### Response

Fifty-seven participants who underwent infusion were evaluable for response; one participant with grade 5 CLS died before disease restaging. Forty (70.2%) of 57 participants achieved a CR of whom 35 (87.5%) were MRD-negative by flow cytometry (Fig 3A). This includes one participant whose best response (CR) was with a second successive infusion. Response was unaffected by prior CD19-targeted therapy ( $P = .24$ ) or HSCT ( $P = .76$ ). Limited to those with ALL, the overall CR and MRD-negative CR rates were 40 (72.7%) of 55 and 35 (63.6%) of 55, respectively. Two CD19<sup>+</sup> nonresponders to prior CD19-targeted therapies each achieved an MRD-negative CR with CD22 CAR T cells, which demonstrates that non-response of CD19-based immunotherapy did not preclude response to CD22 targeting. CR rates > 70% were seen at DL2, DL2-TCS, and DL1-TCS. Of note, before incorporation

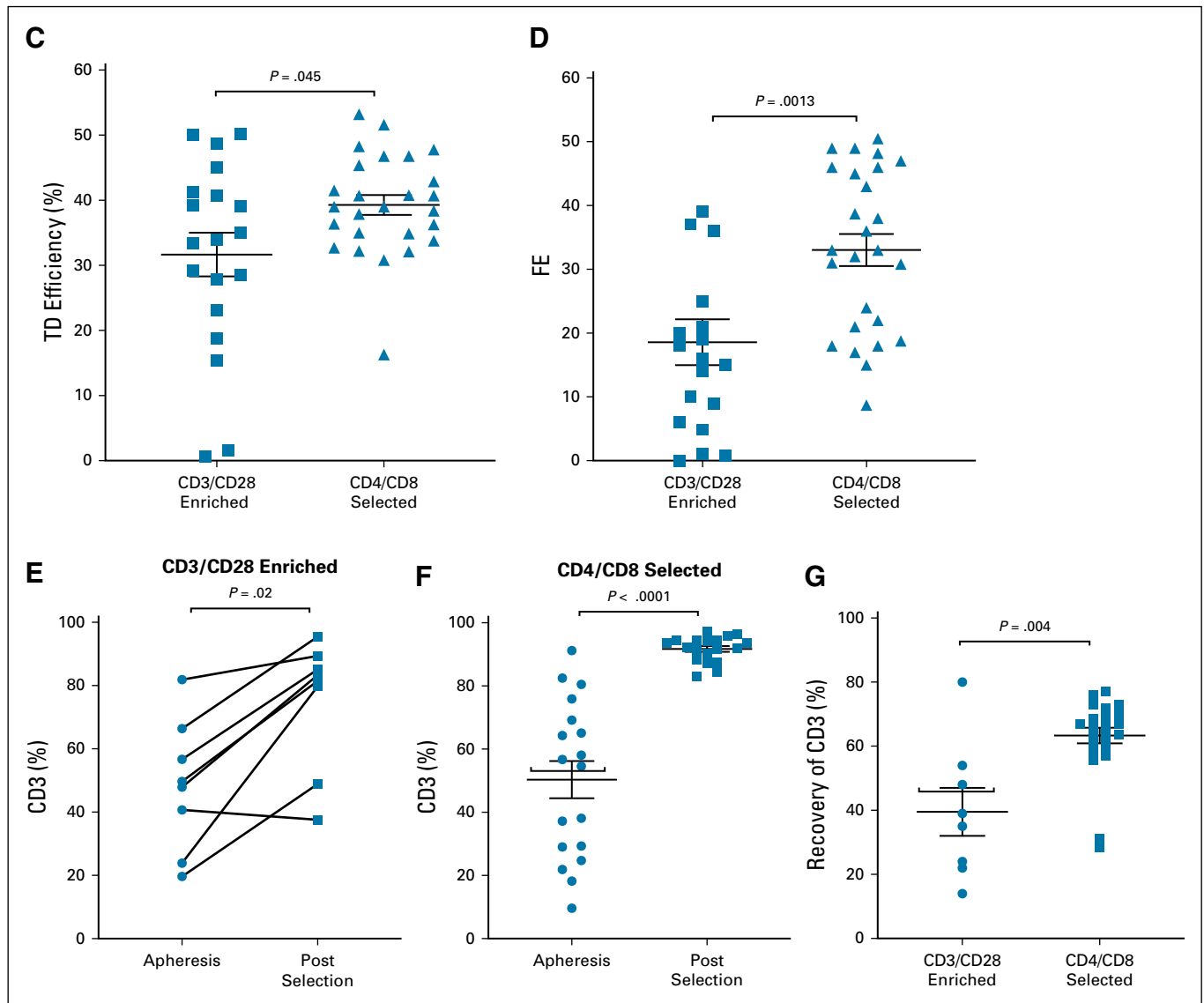
of CD4/8-TCS, DL1 ( $3 \times 10^5/\text{kg}$ ), was previously deemed biologically ineffective yet was ultimately chosen as the expansion dose.<sup>14</sup>

Participants with prior CD22-targeted therapy (either inotuzumab [ $n = 14$ ] or CD22 CAR [ $n = 5$ ]) had decreased MRD-negative CR rates ( $P = .039$ ), were more likely to have residual CD22-dim/partial disease at restaging (6 of 17 *v* 2 of 40;  $P = .006$ ), and had shorter remission durability (3 months [range, 2-6 months] *v* 6 months [range, 2-14 months]) than those who did not receive prior CD22-targeted therapy, with approximately one half experiencing relapse with CD22-dim/negative disease. The median baseline CD22 antigen density was higher among those who achieved MRD-negative CR than those who did not ( $P = .02$ ; Fig 3B). CD22 expression was lower in those with residual disease or at the time of relapse ( $P \leq .001$ ), consistent with our observation that CD22 modulation is an important mechanism of immune escape<sup>14</sup> (Fig 3C). Approach and response to second infusions are provided in the Data Supplement.





**FIG 4.** Impact of CD4/CD8 T-cell selection (TCS) on the starting apheresis product. (A) Shown are three examples of products manufactured using the CD4/CD8 selection method. Flow cytometry plots show that participants 32 and 40 had elevated frequencies of CD19/CD22 B cells in their starting apheresis. Participant 43 had elevated frequencies of CD14 monocytes and CD56 natural killer (NK) cells, which precluded generation of a CD19 chimeric antigen receptor (CAR) T-cell product elsewhere. Upon selection, all these products showed high T-cell purities (> 85%). In participants 32 and 40,  $\geq 45\%$  of the cells in the starting apheresis product were CD22-expressing B cells, and approximately 35% of the cells were T cells. The final product displayed high transduction efficiencies as measured by protein L, and all showed high fold expansion (FE). (B) Shown is a direct comparison between two different manufacturing methods, CD3/CD28 enrichment v CD4/CD8 selection. A single apheresis product was cryopreserved into multiple aliquots and used to start the manufacturing processes. Participant 25 exhibited very high frequencies of monocytes and NK cells in the apheresis material. Upon CD3/CD28 enrichment, the final product did not transduce or expand (upper right). CD4/CD8 selection, however, showed that the product could be recovered to a high level of T-cell purity postselection (bottom left) and exhibited both high transduction efficiencies and FE of the final product (continued on following page)



**FIG 4.** (Continued). (bottom right). FE was calculated by dividing the final total cell number by the starting cell number on the day of transduction (day 2). (C-G) Comparison of the CD22 CAR T-cell product across manufacturing strategies. (C) Transduction efficiency and (D) FE were assessed in patient samples that had undergone manufacturing using elutriation ( $n = 6$ ) or CD3/CD28 enrichment ( $n = 19$ ) and compared with CD4/CD8 selection ( $n = 26$ ). The CD3 percentage was evaluated in the (E) postapheresis starting material and in the post-CD3/CD28 enrichment or (F) CD4/CD8 TCS product. (G) CD3 T-cell recovery was calculated for available samples postenrichment or postselection.

Fourteen participants (13 individual patients) proceeded to HSCT, including all who achieved an MRD-negative CR and not had a prior HSCT, except for the participant who developed ICH (Fig 3D). Nine participants had CD19-negative/partial expression. In 10 participants, this represented a first HSCT; decisions with regard to a second HSCT were based on individual patient and provider preferences. The median time from CAR T-cell infusion to HSCT was 72 days (range, 49-126 days). All but 1 participant proceeded to HSCT while in MRD-negative CR. Six participants experienced post-HSCT relapse, including 2 for whom this represented a second transplant.

With a median potential follow-up of 24 months, the median OS and RFS (restricted to those in CR) were 13.4 months (95% CI, 7.7 to 20.3 months) and 6.0 months (95% CI, 4.1 to 6.5 months), respectively (Fig 3E). Median EFS for all participants, including nonresponders and deaths before day 28, was 3.2 months (95% CI, 1.4 to 5.5 months). Thirty participants (75%) experienced relapse, the majority with CD22-negative/dim disease. Using a time-varying covariate analysis, receipt of HSCT was somewhat favorably associated with OS ( $P = .09$ ) and very favorably associated with RFS ( $P = .0083$ ) and EFS ( $P = .016$ ; Fig 3F). Twenty-one participants remain alive, with a median follow-up of

9.7 months (range, 1.1-43.9 months), and 11 remain in remission of whom 3 received additional therapy for relapsed disease. One participant is in an ongoing CR  $\geq$  3.5 years postinfusion without any interval therapy.

### Dose Escalation and Product Manufacturing

Product manufacturing was successful in 63 of 64 participants. High peripheral leukemia burden and/or high monocyte frequencies negatively affected CAR T-cell manufacturing by inhibiting transduction and expansion of CAR T cells (Fig 4A). Incorporation of CD4/8-TCS effectively salvaged apheresis material unable to be used for CAR T-cell manufacturing using previously described selection methods<sup>26</sup> (Fig 4B). Transduction efficiency, fold expansion, and CD3 percent consistency and recovery were all improved after TCS (Figs 4C-4G). Details of product characteristics are listed in the Data Supplement.

### DISCUSSION

With growing use of CD19-targeted therapies,<sup>3,7,11,27</sup> CD19-negative relapse is increasingly recognized as a cause of therapeutic failure,<sup>19,28-31</sup> and treatment options are limited. In this expanded experience with the first, to our knowledge, successful CAR to target an alternative antigen on ALL, we establish CD22 CAR T cells as an effective salvage therapy for patients who have experienced relapse after or are refractory to CD19-targeted therapies. The ability to render this highly refractory population into MRD-negative remission effectively enabled patients to proceed to a consolidative HSCT, an established treatment paradigm for patients with relapse/refractory ALL.<sup>32,33</sup> Remission durability was adversely affected by prior CD22-directed therapies and antigen downregulation, which suggests that durability might be improved by avoidance of prior CD22 targeting and antigen upregulation.<sup>34</sup>

CRS rates after CD22 CAR T cells was comparable to reports with CD19 CAR T cells. However, toxicities distinct from CD19 CAR T cells included aHUS, severe CLS (out of proportion to CRS), and ocular manifestations, reminiscent of other CD22-targeted approaches.<sup>35,36</sup> Despite these associations with endothelial injury, neurotoxicity seemed

to be less severe than with CD19 CAR T cells, which warrants additional study, particularly with endothelial activation postulated as a mechanism for CAR T-cell-mediated neurotoxicity.<sup>37-39</sup> Nonetheless, these results suggest that CD22 CAR T cells may represent an alternative option in those at higher risk for neurotoxicity but will require a larger experience to conclusively establish.

HLH/MAS toxicities were seen at a relatively high frequency and heightened after a manufacturing modification that improved expansion and transduction efficiency. There are multiple CAR T-cell manufacturing processes currently being used, with a suggestion that the platform can affect product potency. This is the first clear demonstration that a single minor manufacturing change in the context of one trial was clinically effective, which illustrates the importance of manufacturing modifications in outcomes.<sup>19</sup>

HLH/MAS-like manifestations have typically been considered in the spectrum of severe CRS. In this study, HLH/MAS generally developed outside the temporal context of CRS, which suggests a unique pathophysiology. Additional efforts, both clinically and preclinically, are ongoing to further explore this toxicity. Use of anakinra for treatment of HLH-like manifestations, independent of neurotoxicity, has been incorporated into our toxicity management, and on the basis of preliminary experience, additional study of the role of anakinra is warranted. Collectively, the toxicity profile that emerged from this relatively large experience with CD22 CAR T cells in the same disease for which there is extensive experience with CD19 CAR T cells indicates that target and/or construct differences will affect outcomes.

In summary, this report confirms CD22 CAR T cells as a highly effective salvage option while providing novel insights into CAR T-cell therapy broadly. These results strongly support further development of CD22 CAR T cells in a pivotal phase II trial and provide a foundation for the first combinations of targeted immunotherapy using clinically validated CAR constructs with the potential to improve upon response and remission durability after targeted immunotherapy for B-cell malignancies.

### AFFILIATIONS

<sup>1</sup>Pediatric Oncology Branch, Center for Cancer Research, National Cancer Institute, National Institutes of Health, Bethesda, MD

<sup>2</sup>Center for Cellular Engineering, Department of Transfusion Medicine, National Institutes of Health Clinical Center, Bethesda, MD

<sup>3</sup>Inflammatory Disease Section, National Human Genome Research Institute, National Institutes of Health, Bethesda, MD

<sup>4</sup>Bioinformatics and Data Management Section, Office of the Clinical Director, Center for Cancer Research, National Cancer Institute, Bethesda, MD

<sup>5</sup>Department of Pathology, National Cancer Institute, National Institutes of Health, Bethesda, MD

<sup>6</sup>Department of Pediatrics, Stanford University, Stanford, CA

<sup>7</sup>University of Colorado Anschutz Medical Campus and Center for Cancer and Blood Disorders, Children's Hospital of Colorado, Aurora, CO

### CORRESPONDING AUTHOR

Nirali N. Shah, MD, MHSc, Pediatric Oncology Branch, Center for Cancer Research, National Cancer Institute, 9000 Rockville Pike, Bldg 10 CR, 1W-5750, Bethesda, MD 20892; Twitter: @NCI\_CCR\_PedOnc, @NCIResearchCtr; e-mail: nirali.shah@nih.gov.

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## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST AND DATA AVAILABILITY STATEMENT

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## AUTHOR CONTRIBUTIONS

**Conception and design:** Nirali N. Shah, Steven L. Highfill, Pamela L. Wolters, Amanda Ombrello, Seth M. Steinberg, Staci Martin, Crystal L. Mackall, David F. Stronck, Terry J. Fry

**Administrative support:** Bonnie Yates, Cindy Delbrook, Leah Hoffman, Lauren Little

**Provision of study material or patients:** Nirali N. Shah, Steve L. Highfill, Haneen Shalabi, Cindy Delbrook, Minh Tran, David F. Stronck, Terry J. Fry

**Collection and assembly of data:** Nirali N. Shah, Steven L. Highfill, Haneen Shalabi, Bonnie Yates, Jianjian Jin, Pamela L. Wolters, Staci Martin,

Cindy Delbrook, Leah Hoffman, Lauren Little, Anusha Ponduri, Haiying Qin, Haris Qureshi, Dalia Salem, Hao-Wei Wang, Constance Yuan, Maryalice Stetler-Stevenson, Sandhya Panch, Minh Tran, Terry J. Fry  
**Data analysis and interpretation:** Nirali N. Shah, Steven L. Highfill, Haneen Shalabi, Bonnie Yates, Pamela L. Wolters, Seth M. Steinberg, Staci Martin, Anusha Ponduri, Haiying Qin, Haris Qureshi, Alina Dulau-Florea, Dalia Salem, Hao-Wei Wang, Constance Yuan, Maryalice Stetler-Stevenson, Sandhya Panch, Crystal L. Mackall, David F. Stronck, Terry J. Fry

**Manuscript writing:** All authors

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**Accountable for all aspects of the work:** All authors

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**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

**CD4/CD8 T-Cell Selection Affects Chimeric Antigen Receptor (CAR) T-Cell Potency and Toxicity: Updated Results From a Phase I Anti-CD22 CAR T-Cell Trial**

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**Jianjian Jin**

**Stock and Other Ownership Interests:** Gilead Sciences, Gilead Sciences (I)

**Patents, Royalties, Other Intellectual Property:** Patent: The Use of Gas Permeable Culture Vessels for the Growth of Tumor-Infiltrating Lymphocytes

**Pamela L. Wolters**

**Stock and Other Ownership Interests:** Bristol-Myers Squibb

**Crystal L. Mackall**

**Stock and Other Ownership Interests:** Lyell Immunopharma, Allogene, Vor Pharmaceuticals, Apricity Health

**Consulting or Advisory Role:** Bryology, Vor Biopharma, Apricity Health, TPG, Allogene, PACT Pharma, Nektar, Lyell Immunopharma, Neoimmune Tech  
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**Travel, Accommodations, Expenses:** Neoimmune Tech, Roche, Nektar

**Other Relationship:** Lyell Immunopharma

**Terry J. Fry**

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