

# Quantitative Structure–Activity Relationship Models for Predicting Inflammatory Potential of Metal Oxide Nanoparticles

Yang Huang,<sup>1</sup> Xuehua Li,<sup>1</sup> Shujuan Xu,<sup>2</sup> Huizhen Zheng,<sup>2</sup> Lili Zhang,<sup>1</sup> Jingwen Chen,<sup>1</sup> Huixiao Hong,<sup>3</sup> Rebecca Kusko,<sup>4</sup> and Ruibin Li<sup>2</sup>

<sup>1</sup>Key Laboratory of Industrial Ecology and Environmental Engineering, School of Environmental Science and Technology, Dalian University of Technology, Dalian, China

<sup>2</sup>State Key Laboratory of Radiation Medicine and Protection, Collaborative Innovation Center of Radiological Medicine of Jiangsu Higher Education Institutions, School for Radiological and Interdisciplinary Sciences (RAD-X), Soochow University, Suzhou, Jiangsu, China

<sup>3</sup>Division of Bioinformatics and Biostatistics, National Center for Toxicological Research, U.S. Food and Drug Administration, Jefferson, Arkansas, USA

<sup>4</sup>Immuneering Corporation, One Broadway, 14th Floor, Cambridge, Massachusetts, USA

**BACKGROUND:** Although substantial concerns about the inflammatory effects of engineered nanomaterial (ENM) have been raised, experimentally assessing toxicity of various ENMs is challenging and time-consuming. Alternatively, quantitative structure–activity relationship (QSAR) models have been employed to assess nanosafety. However, no previous attempt has been made to predict the inflammatory potential of ENMs.

**OBJECTIVES:** By employing metal oxide nanoparticles (MeONPs) as a model ENM, we aimed to develop QSAR models for prediction of the inflammatory potential by their physicochemical properties.

**METHODS:** We built a comprehensive data set of 30 MeONPs to screen a proinflammatory cytokine interleukin (IL)-1 beta (IL-1 $\beta$ ) release in THP-1 cell line. The *in vitro* hazard ranking was validated in mouse lungs by oropharyngeal instillation of six randomly selected MeONPs. We established QSAR models for prediction of MeONP-induced inflammatory potential via machine learning. The models were further validated against seven new MeONPs. Density functional theory (DFT) computations were exploited to decipher the key mechanisms driving inflammatory responses of MeONPs.

**RESULTS:** Seventeen out of 30 MeONPs induced excess IL-1 $\beta$  production in THP-1 cells. *In vivo* disease outcomes were highly relevant to the *in vitro* data. QSAR models were developed for inflammatory potential, with predictive accuracy (ACC) exceeding 90%. The models were further validated experimentally against seven independent MeONPs (ACC = 86%). DFT computations and experimental results further revealed the underlying mechanisms: MeONPs with metal electronegativity lower than 1.55 and positive  $\zeta$ -potential were more likely to cause lysosomal damage and inflammation.

**CONCLUSIONS:** IL-1 $\beta$  released in THP-1 cells can be an index to rank the inflammatory potential of MeONPs. QSAR models based on IL-1 $\beta$  were able to predict the inflammatory potential of MeONPs. Our approach overcame the challenge of time- and labor-consuming biological experiments and allowed for computational assessment of MeONP inflammatory potential by characterization of their physicochemical properties. <https://doi.org/10.1289/EHP6508>

## Introduction

With mounting investment in nanotechnology research and development, the global nanotechnology market value is estimated to exceed \$121.8 billion USD by 2025 (Industry ARC 2019). The nanotechnology industry is expected to generate more than 10% of the gross domestic product by 2025 in several developed countries (Bursten et al. 2016). Due to their unique physicochemical properties, engineered nanomaterials (ENMs) are used extensively as catalysts, drug carriers, pigments, foods, cosmetic additives, and more. However, some ENMs induce extremely hazardous effects in mammals (Dreher 2004; Nel et al. 2009; Niels et al. 2019). The unique properties of ENMs were found to dictate the nano–bio interactions (Dreher 2004; Nel et al. 2009). Therefore, substantial nanosafety concerns have been raised by governments and international organizations. For instance, REACH (Registration, Evaluation, Authorization and Restriction of Chemicals) requires manufacturers to evaluate the safety of nanomaterials before a product enters the

European market (REACH 2006). The U.S. Food and Drug Administration (U.S. FDA) has released nanotechnology safety guidance and emphasized a thorough description of ENM physicochemical properties and biological effects (U.S. FDA 2014). A limitless number of ENMs can be produced in various conformations (Le and Winkler 2016). Considering the complexity of biosystems, the number of ENMs interacting with biosystems may reach several millions. Experimental assessments of nanosafety are extremely time-, labor-, animal-, and cost-consuming (Nel et al. 2013; Liu et al. 2018). Therefore, *in silico* models and high-throughput assays are attractive for ENM safety evaluations and risk assessments.

Recent efforts sought to develop *in silico* models for predicting ENM biological activities and for assisting biocompatible material design (Fang et al. 2018; Oh et al. 2016; Puzyn et al. 2011; Wang et al. 2017). For instance, Puzyn et al. (2011) developed a nano-QSAR (Quantitative Structure-Activity Relationship) model to describe the cytotoxicity of 16 different types of metal oxide nanoparticles (MeONPs) in *Escherichia coli*, using two quantum chemical descriptors (enthalpy of formation of a gaseous cation and energy of the lowest unoccupied molecular orbital). Oh et al. (2016) established cellular toxicity models to predict the toxicity of 17 quantum dots. The models demonstrated that toxicity is closely correlated with surface properties and diameters of quantum dots, assay types, and exposure times. However, most of the published models were developed for a traditional toxicological end point: cell death. Nanoparticles have exhibited subtle effects beyond merely killing cells, such as interfering with immune cell functions and communications (Wan-Seob et al. 2010; Zhu et al. 2012). Although the toxicities of nanoparticles in immune cells have been underlined in recent nanobiology studies (Li et al. 2014a, 2014b, 2013), the interactions between ENMs and immune systems (our primary defense systems against foreign invasion) have rarely been predicted *in silico*.

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Address correspondence to X. Li, Dalian University of Technology, Linggong Rd. 2, Dalian 116024, PR China. Email: [lixuehua@dlut.edu.cn](mailto:lixuehua@dlut.edu.cn) or R. Li, 99 Ren'ai Rd., 401 Building, Suzhou 215123, PR China. Email: [liruibin@suda.edu.cn](mailto:liruibin@suda.edu.cn)

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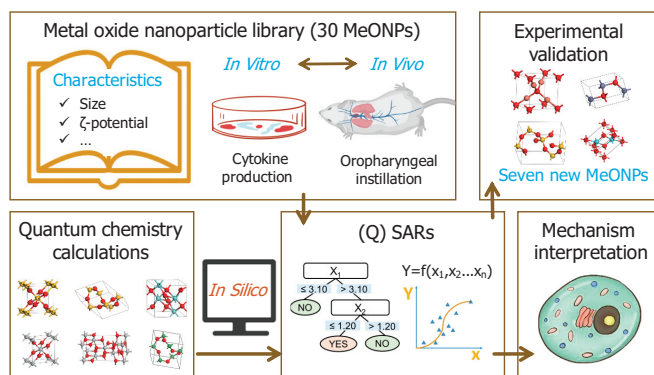
Due to their novel optical, magnetic, and electronic properties (Taeho and Taeghwan 2014), MeONPs were employed in many nanotechnology-based fields, including electronics (Li et al. 2015), catalysis (Zhao et al. 2015), and pharmaceuticals and medicines (Taeho and Taeghwan 2014), etc. The exploration and use of MeONPs both caused exposure and risks (Li et al. 2014a). A case study showed that high rare earth metal concentrations were detected in lung biopsy specimens of a male subject with severe pulmonary fibrosis after many years of exposure to fumes and dusts containing rare earth elements (Vocaturu et al. 1983). Some MeONPs were found to induce strong immune responses via inflammasome and Toll-like receptor activation *in vitro* (Li et al. 2014a) and *in vivo* (Wan-Seob et al. 2010), as evidenced by the substantial release of the proinflammatory cytokine interleukin-1 beta (IL-1 $\beta$ ). From the perspective of nanosafety assessments, models for predicting the inflammatory potential of MeONPs are in demand for advancing the toxicity assessments of MeONPs.

QSAR models were proposed herein to predict the inflammatory potential of MeONPs. Figure 1 shows the workflow of this project, including four steps: construction of a MeONP library, development of predictive models, mechanism interpretation, and experimental validation. The objectives of this study were *a*) to construct a library of 30 MeONPs for characterization of their physicochemical properties as well as screening of IL- $\beta$  production in THP-1 cells; *b*) to develop interpretable QSAR models for prediction of inflammatory potential; *c*) to validate the models' predictions using independent MeONPs; and *d*) to identify the mechanisms of MeONP-induced inflammation.

## Methods

### Materials

MeONPs were in-house synthesized, donated, or purchased from commercial sources as outlined in Table S1; the in-house synthesis of TiO<sub>2</sub> (George et al. 2011) and ZnO (Tani et al. 2002) was conducted by a flame spray pyrolysis reactor as previously described; deionized (DI) water was obtained from a Milli-Q<sup>®</sup> water purification system (Millipore); THP-1 cells were purchased from ATCC; Corning<sup>®</sup> RPMI 1640 (CAT 10-041-CV) were purchased from Corning Inc.; 10% fetal bovine serum (CAT 100-500) was purchased from GeminiBio. Phorbol 12-myristate acetate (PMA) and lipopolysaccharide (LPS) were purchased from Sigma-Aldrich. MTS kit was purchased from Promega. Magic Red<sup>™</sup> cathepsin B assay kit was purchased from Immunochemistry Technologies; IL-1 $\beta$ , IL-6, TNF- $\alpha$ , and MCP-1 ELISA kits were purchased from BD Biosciences.



**Figure 1.** Schematic workflow of metal oxide nanomaterials (MeONPs) library construction, predictive modeling, mechanism interpretation, and experimental validation.

### Characterization of the MeONPs

The MeONPs were subjected to physicochemical analyses for examinations of primary particle sizes, hydrodynamic sizes, dissolution rates, and  $\zeta$ -potentials in deionized water (DIH<sub>2</sub>O). The primary sizes of MeONPs were measured by a transmission electron microscopy (TEM). TEM samples were prepared by suspending 50  $\mu\text{g}/\text{mL}$  of MeONPs in DIH<sub>2</sub>O. A drop of MeONP suspension was placed on 200-mesh, carbon-coated formvar support films mounted on copper grids (Ted Pella, Inc.), and was left to air dry at room temperature (RT). Grids were examined on a JEOL 1200 EX TEM with accelerating voltage at 80 kV. We randomly counted 100 particles for each MeONPs to calculate the average sizes by TEM images. In addition, the MeONP aqueous suspensions (1 mL) at 50  $\mu\text{g}/\text{mL}$  were added into cuvettes to measure average values of hydrodynamic sizes and  $\zeta$ -potentials after 10 repeated tests using a Nano-ZS90 analyzer (Brookhaven Instruments Corp.). Dissolution capabilities of MeONPs were examined in phagolysosomal simulated fluid (PSF; pH 4.5), which was prepared by a previously described recipe (Li et al. 2014a). MeONPs were dispersed in PSF at 50  $\mu\text{g}/\text{mL}$  by probe sonication (Sonics & Materials, Inc.) at 32 W for 10 s. After 24 h incubation at RT, the supernatants of MeONP suspensions were collected by centrifugation at 15,000  $\times g$  for 30 min. The concentrations of metal ions in supernatants were measured using an inductively coupled plasma-atomic emission spectrometry (ICP-OES, ICPE-9000, Shimadzu Corp.). The percentages of MeONP dissolution were calculated using the following equation:

$$\text{Dissolution} = \frac{C \times V}{50 \times R} \times 100\%, \quad (1)$$

where  $C$  ( $\mu\text{g}/\text{mL}$ ) is the concentration of metal ions in supernatants,  $V$  (mL) is the volume of supernatants;  $R$  is the mass ratio of metal elements in each MeONPs.

### Cell Culture

THP-1 cells were cultured in Corning<sup>®</sup> RPMI 1640 media supplemented with 10% fetal bovine serum, namely complete RPMI 1640 (c-RPMI 1640) medium at 5% CO<sub>2</sub> and 37°C. Before exposure to MeONPs, THP-1 cells were primed by suspension in c-RPMI 1640 medium containing 1  $\mu\text{g}/\text{mL}$  PMA and seeded in 96-well plates or 8-well chambers (Corning Inc.) at a density of  $3 \times 10^5$  cells/mL for overnight incubation.

### Preparation of MeONP Suspensions for Cell Exposure

MeONPs were added in a c-RPMI 1640 medium supplemented with 10 ng/mL LPS at a concentration of 200  $\mu\text{g}/\text{mL}$ . Then the mixture was dispersed by probe sonication at 32 W for 10 s. The MeONP suspensions were diluted to the desired concentrations (3.1, 6.2, 12.5, 25, 50, and 100  $\mu\text{g}/\text{mL}$ ) for cell experiments.

### Examination of Cell Viability and Cytokine Production

The supernatants of primed THP-1 cells seeded in 96-well plates (100  $\mu\text{L}/\text{well}$ ,  $3 \times 10^5$  cells/mL) were aspirated and replaced by 120  $\mu\text{L}$  serial MeONPs dilutions (0, 3.1, 6.2, 13, 25, 50, 100, and 200  $\mu\text{g}/\text{mL}$ ). Three replicates were performed at each concentration. After 24 h incubation, while the supernatants (100  $\mu\text{L}/\text{well}$ ) were collected for measurement of cytokine (IL-1 $\beta$ , TNF- $\alpha$ , and IL-6) production by ELISA according to the manufacturers' protocols, the residual supernatants were aspirated and replaced by MTS working solution (120  $\mu\text{L}/\text{well}$ ). After 3 h incubation, aliquots of 100  $\mu\text{L}$  MTS solutions were transferred into new 96-well plates to record the absorbance of MTS solutions on an SpectraMax M5 microplate reader (Molecular Devices, LLC) at

490 nm. Cell viabilities were calculated using the following equation:

$$\text{Viability} = \frac{A_{NPS} - A_{BL}}{A_{CTR} - A_{BL}} \times 100\%, \quad (2)$$

where  $A_{NPS}$ ,  $A_{CTR}$ , and  $A_{BL}$  represent the absorbance of MTS solutions acquired from MeONP-treated cells, control cells, and blanks, respectively.

### Confocal Imaging of Cathepsin B Release

Primed THP-1 cells were seeded in an 8-well chamber (300  $\mu\text{L}$ /well,  $3 \times 10^5$  cells/mL) for overnight incubation. Then, the supernatants were replaced by 300  $\mu\text{L}$  MeONP suspensions (25  $\mu\text{g}/\text{mL}$ ) for 12 h. The supernatants were aspirated and washed thrice by PBS. The cells in each chamber were stained in 100  $\mu\text{L}$  c-RPMI 1640 media containing 260 $\times$  diluted Magic Red<sup>TM</sup> cathepsin B assay kit and 1  $\mu\text{g}/\text{mL}$  Hoechst 33342 for 1 h. After thrice washing by PBS, the cell images were captured by a confocal laser scanning microscope (FV 1200, Olympus Corp.) with a 60 $\times$  oil immersion objective lens at excitation wavelengths of 405 and 592 nm.

### Calculation of $FC_{IL-1\beta}$

Fold changes of IL-1 $\beta$  production ( $FC_{IL-1\beta}$ ) were used to express the levels of MeONP-induced IL-1 $\beta$  in THP-1 cells. It was calculated using the following equation:

$$FC_{IL-1\beta} = \frac{\text{avg}(\text{Sample})}{\text{avg}(\text{Control})} \quad (3)$$

where  $\text{avg}(\text{Sample})$  is the average level (pg/mL) of IL-1 $\beta$  induced by a specific MeONP at each dose;  $\text{avg}(\text{Control})$  is the average level (pg/mL) of IL-1 $\beta$  in untreated THP-1 cells. A nanoparticle was classified to the group of MeONPs with inflammatory potential (ip-MeONPs) if its  $\log_2(FC_{IL-1\beta}) \geq 1$ ; otherwise, the MeONP was classified to the group of MeONPs with noninflammatory potential (nip-MeONPs).

### Animal Experiments

C57Bl/6 female mice at 8 wk were purchased from Nanjing Peng Sheng Biological Technology (Nanjing) and were housed under standard laboratory conditions (25°C; 60% relative humidity; 12 h light, 12 h dark cycle) and hygiene status (autoclaved food and acidified water) according to Soochow University guidelines for the care and treatment of laboratory animals. Our animal treatment protocols were approved as following Soochow University Laboratory Animal Center protocols. Animals were exposed to MeONPs by an oropharyngeal instillation method. Briefly, MeONPs were suspended in PBS at 1 mg/mL by a probe sonication (32 W) for 10 s. The animals were anesthetized by intraperitoneal injection of sodium pentobarbital (200 mg/kg) in a total volume of 100  $\mu\text{L}$ . The anesthetized animals were held in a vertical position to allow the instillation of MeONP suspension (2 mg/kg) at the back of the tongue for pulmonary aspiration.

Vehicle and positive controls were included by exposure of animals to 50  $\mu\text{L}$  of PBS and 5 mg/kg crystalline silica (Min-U-Sil<sup>®</sup>), respectively. Each group included six mice. After 40-h exposure, the mice were fully anesthetized by overdose of sodium pentobarbital (400 mg/kg) and sacrificed by bilateral thoracotomy to collect bronchoalveolar lavage fluid (BALF) following a reported protocol (Sun et al. 2017). After that, the left lung was collected and stored in liquid nitrogen for future analyses. The right lung was expanded by injection of 0.5 mL 10% formalin in

PBS at a constant pressure and removed into a 2 mL Eppendorf tube containing 1 mL 10% formalin. After 24-h fixation at RT, lung tissues were transferred into 70% ethanol for further fixation (2 d). The fixed tissues were cut into 5- $\mu\text{m}$ -thick paraffin sections for hematoxylin and eosin (H&E) staining according to a standard protocol (Cardiff et al. 2014). Three sections were prepared for each animal. Aliquots of 50  $\mu\text{m}$  BALF from each animal were added in 96-well half area plates precoated by the capture antibodies in MCP-1 and IL-1 $\beta$  ELISA kits. Quantification of IL-1 $\beta$  and MCP-1 in BALF was conducted according to the manufacturers' instructions of each ELISA kit. The level of MeONP-induced IL-1 $\beta$  and MCP-1 production was expressed as  $FC_{IL-1\beta/\text{MCP-1}}$  (fold change of IL-1 $\beta$ /MCP-1 production) and calculated using Equation 3.

### Descriptor Generation

There were three types of descriptors resulting from quantum-mechanical computations, experimental characterization, and the periodic table. In detail, the quantum-mechanical descriptors were selected if they met one of the following two criteria: *a*) they directly reflected toxicology-relevant properties, such as cluster size, shape, redox potential, and band structure; *b*) they were derived from optimized geometric structures that can reflect structural, electronic, and energetic properties of crystals. The crystalline files in common intermediate format (CIF) were obtained using FindIt software (FindIt 2009) and then transformed by Open Babel 2.4.1 (O'Boyle et al. 2011) into standard input files (POSCAR files). Molecular geometries of each cluster reflecting all characteristics of MeONP crystal structures were optimized by the general gradient approximate (GGA) of the Vienna Ab initio Simulation Package (VASP 5.4.1). Furthermore, 43 VASP descriptors were obtained via crystalline structure optimization, and 16 quantum-mechanical descriptors were obtained using the PM7 method implemented in the MOPAC 2016 software package (Stewart 2016). Four experimental descriptors (primary size, hydrodynamic diameter,  $\zeta$ -potential in water, dissolution in PSF solution) were determined in our laboratory. Five periodic table-based descriptors (electronegativity of metal atoms and metal oxides, cations charge, periodic number of elements, and the atomic ratio of metal and oxygen atoms) were derived from the publicly available periodic table (<https://www.webelements.com>).

### Model Development

Classification models were built using the C4.5 decision tree, support vector machine (SVM), and logistic regression. A C4.5 decision tree (Quinlan 1986) is generated from a set of training data, and each inner node contains a test on the original attributes. Prediction was accomplished by traversing a tree from the root to a leaf that directly classified a nanoparticle to the ip-MeONP group or nip-MeONP group. The logistic regression algorithm can predict dichotomous dependent variables by estimating the probability of the event's occurrence (Hastie et al. 2001). The SVM algorithm is based on the statistical learning theory and the Vapnik-Chervonenkis dimension (Breerton and Lloyd 2010). A polynomial kernel was used to develop the SVM model. We exploited a 10-fold cross-validation procedure based on a grid search to determine the best parameters in three machine learning methods. In our case,  $\log_2(FC_{IL-1\beta})$  was adopted as the dependent variable. MeONPs were judged as ip-MeONPs if  $\log_2(FC_{IL-1\beta}) \geq 1$ . Otherwise, the particles were classified to nip-MeONPs. For validation purposes, the data set was randomly split into training (176 data points generated from 22 MeONPs tested at eight serial dilutions) and test (64 data points generated from eight MeONPs

tested at eight serial dilutions) data sets. The data points from eight serial dilutions of one specific MeONP were either fully divided into the training set or test set. All procedures were performed using the Weka software (version 3.8.2.0).

Partial least square (PLS) regression (Wold 1982) was used to develop the continuous model for  $FC_{IL-1\beta}$  in THP-1 cells. The SIMCA 13.0 software package (Bylesjö et al. 2006) was used to conduct the PLS and subsequent analyses. The descriptors of the model were selected based on variable influence on projection values (VIP) (Roy P and Roy K 2008). Briefly, descriptors with the lowest VIP values were deleted from the model until the model showed the best performance in cross-validation.

### Model Performance Evaluation

The performance of classification models were evaluated by four metrics: sensitivity ( $SE = TP/[TP + FN]$ ), specificity ( $SP = TN/[TN + FP]$ ), overall predictive accuracy ( $ACC = [TP + TN]/[TP + FP + TN + FN]$ ), and Matthews correlation coefficient (MCC):

$$\left( MCC = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}} \right), \quad (4)$$

where TN, TP, FN, and FP represent true negatives, true positives, false negatives, and false positives. MCC (Matthews 1975) is a binary classification rate that generates a high score only if the binary predictor was able to correctly predict the majority of positive data instances and the majority of negative data instances. It ranges in the interval of  $-1$  to  $+1$ . The extreme values at  $+1$  and  $-1$  represent perfect classification and perfect misclassification, respectively, whereas  $MCC = 0$  is the expected value for a coin-tossing classifier. In addition, the receiver operating characteristic (ROC) (Osei-Bryson 2004) was used in model evaluation. The ROC curves are constructed by plotting the true positive rate vs. the false positive rate at various threshold settings. A larger area under curve (AUC) indicates higher model predictivity. The learning procedure on the training set was executed in 10-fold cross-validation.

The fitting performance of the continuous model was measured by the squared correlation coefficient ( $R^2$ ) between the predicted and observed  $FC_{IL-1\beta}$ . The performances of internal cross-validation and external validation were assessed by the root mean square error (RMSE) and the predictive squared correlation coefficient ( $Q^2$ ). RMSE and  $Q^2$  were calculated using Equations 5 and 6, respectively.

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{n}} \quad (5)$$

$$Q^2 = 1 - \frac{\sum_{i=1}^{\text{test}} (y_i - \hat{y}_i)^2}{\sum_{i=1}^{\text{test}} (y_i - \bar{y}_i)^2} \quad (6)$$

where  $y_i$  and  $\hat{y}_i$  are the measured and predicted  $FC_{IL-1\beta}$  values, respectively;  $\bar{y}_i$  is the average value of  $FC_{IL-1\beta}$  for the training set; test indicates number of predictions.  $Q^2$  is a value between  $-1$  and  $1$ . The larger the  $Q^2$  is, the better the performance of the model. To estimate the possibility of chance correlation and the predictive capability of the continuous model, we performed a permutation test by scrambling the dependent variable values of

samples. We built 500 models based on permutations using the same set of descriptors and algorithm.

### Applicability Domain

The applicability domain of the classification model was characterized by a descriptor standardization approach (Roy K et al. 2015). Briefly, the descriptor values in the training set were normalized. If all of the normalized descriptors for a MeONP are larger than three, the MeONP is an outlier; otherwise, it is nonoutlier.

For the continuous model, a Williams plot of standardized residuals vs. leverage values ( $h_i$ ) was drawn to investigate applicability domain.  $h_i$  is a metric for measuring the distance of a given value from the mean of all values and was used for identification of outliers in a data set and calculated using the following formula (Jaworska et al. 2005):

$$h_i = x_i^T (X^T X)^{-1} x_i, \quad (7)$$

where  $X$  means the descriptor matrix of a data set; superscript  $T$  is transpose of a matrix or a vector; and  $x_i$  is the descriptor vector of  $i$ th MeONP. The prediction value of the  $i$ th MeONP is considered highly reliable if its  $h_i$  is lower than the warning leverage ( $h^*$ ) calculated by Equation 8.

$$h^* = 3(p + 1)/n. \quad (8)$$

Here,  $n$  is the number of MeONPs, and  $p$  is the number of descriptors used by the model.

### Computation for Adsorption Energies

Density functional theory (DFT) computations were performed to investigate the adsorption of protons onto MeONPs. The adsorption energy ( $E_{ad}$ ) was calculated by Equation 9.

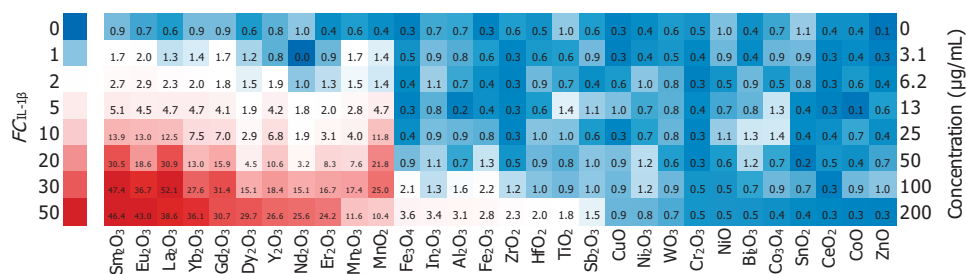
$$E_{ad} = TE_{M-H^+} - TE_M - TE_{H^+}, \quad (9)$$

where TE represents the total energy consisting of the kinetic energy, static potential energy, Coulomb energy, exchange energies, and correlation energies. The subscript  $M-H^+$  stands for the metal oxide (M) and proton ( $H^+$ ) complex system. Because the total energy is calculated based on the energy of electrons in quantum chemical calculations, the total energy of a single  $H^+$  (without electrons) is neglected in this case. For clarity, we used the absolute values of the adsorption energy ( $|E_{ad}|$ ) for discussion. According to our definition, larger  $|E_{ad}|$  value means stronger interactions between the proton and metal oxides.

DFT computations were performed using a DMol program (Yang and Xing 2010). The exchange-correlation term was previously described by the Perdew-Burke-Ernzerhof generalized gradient approximation (GGA-PBE) (Perdew et al. 1996). The double numerical basis with polarization functions (DNP) (Inada and Orita 2008) was adopted. This basis is comparable to Pople's 6-31G\*\* basis set (Liu and Rodriguez 2005). The PBE+D2 method with the Grimme van der Waals (vdW) correction (Grimme 2006) was exploited to accurately describe the long-range electrostatic interactions. A  $6 \times 1 \times 6$   $k$ -point sampling was used for MeONPs, and a Methfessel-Paxton smearing of 0.01 Ha was applied for the Brillouin-zone integration.

### Statistical Analysis

All the cell samples were randomly allocated into experimental groups by drawing lots. All the experiments were repeated at least thrice with three to six replicates. Results were expressed as mean  $\pm$  standard deviation of multiple determinations from at least three repeated tests. Results were statistically analyzed using



**Figure 2.** Fold changes of IL-1 $\beta$  production ( $FC_{IL-1\beta}$ ) in metal oxide nanomaterials (MeONPs)-treated THP-1 cells. THP-1 cells were exposed to 0, 3.1, 6.2, 13, 25, 50, 100, and 200  $\mu\text{g/mL}$  MeONPs for 24 h. IL-1 $\beta$  levels in supernatants were quantified by ELISA.  $FC_{IL-1\beta}$  was calculated by Equation 3. The  $FC_{IL-1\beta}$  was expressed as the mean of three replicates and added in the heatmap.

one-way ANOVA or Student  $t$ -test. The difference was regarded as statistically significant with  $p \leq 0.05$  in analysis. Correlation was considered to be statistically significant if the  $R^2$  was  $\geq 0.7$ , and the significance ( $p$ ) of  $F$  test was  $\leq 0.05$ .

## Results

### Construction of MeONP Library to Assess Inflammatory Potential

**Characterization of MeONPs.** We acquired 30 MeONPs to characterize their primary sizes, dissolution percentages,  $\zeta$ -potential, and hydrodynamic sizes (Table S2). Most nanoparticles showed spherical morphologies with primary sizes ranging from 10 to 71 nm, except for  $\text{Cr}_2\text{O}_3$ ,  $\text{Ni}_2\text{O}_3$ ,  $\text{Nd}_2\text{O}_3$ ,  $\text{Sm}_2\text{O}_3$ , and  $\text{Er}_2\text{O}_3$ , which ranged from 108 to 193 nm. In aqueous media, most MeONPs formed aggregates with hydrodynamic diameters of 202–862 nm except for  $\text{WO}_3$  (111 nm).  $\text{NiO}$ ,  $\text{Cr}_2\text{O}_3$ ,  $\text{In}_2\text{O}_3$ , and  $\text{Fe}_2\text{O}_3$  exhibited near neutral  $\zeta$ -potential at  $-5.6$  to  $5.4$  mV in DI  $\text{H}_2\text{O}$ . Although  $\text{Fe}_3\text{O}_4$ ,  $\text{WO}_3$ ,  $\text{Hf}_2\text{O}_3$ ,  $\text{Sb}_2\text{O}_3$ ,  $\text{Sn}_2\text{O}_3$ ,  $\text{ZnO}$ ,  $\text{Zr}_2\text{O}_3$ ,  $\beta\text{-MnO}_2$ , and  $\text{Mn}_2\text{O}_3$  showed negative  $\zeta$ -potentials at  $-35.8$  to  $-14.3$  mV, the other 17 MeONPs had a positive surface charge with  $\zeta$ -potentials at 13.5 to 47.3 mV. Dissolution capabilities of MeONPs were examined in PSF (pH 4.5).  $\text{Cr}_2\text{O}_3$ ,  $\text{NiO}$ , and  $\text{ZnO}$  quickly dissolved in PSF with  $>90\%$  metal ion release, and  $\text{Ni}_2\text{O}_3$ ,  $\text{CoO}$ ,  $\text{Mn}_2\text{O}_3$ ,  $\text{CeO}_2$ ,  $\text{Sb}_2\text{O}_3$ , and  $\text{WO}_3$  showed moderate dissolution effects. The other 21 MeONPs were almost insoluble, displaying  $<10\%$  metal ion release.

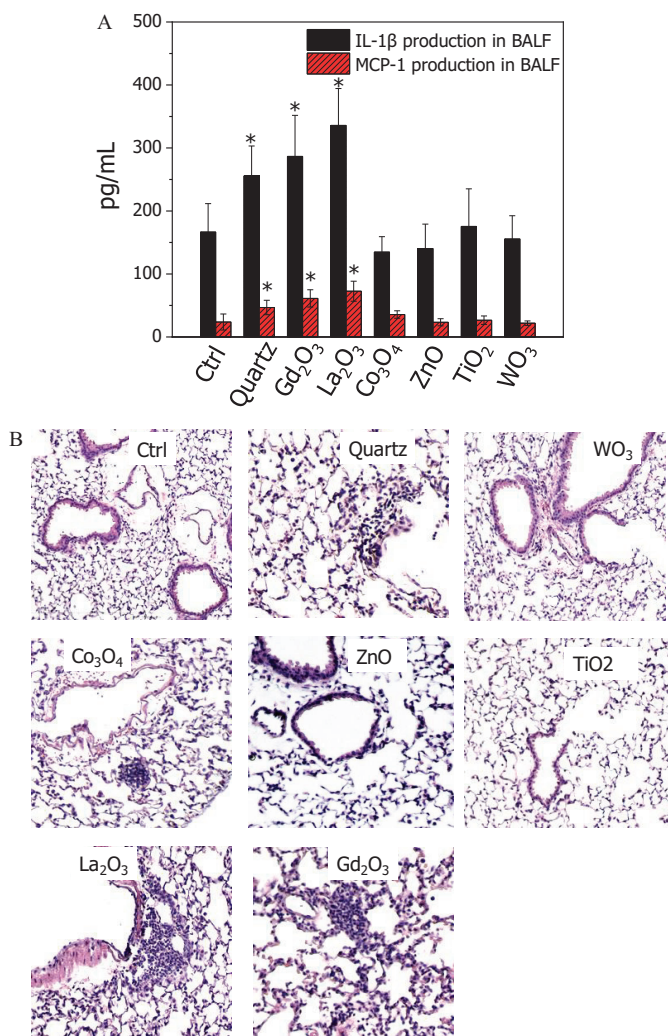
**In vitro toxicity caused by MeONPs.** THP-1 cells were exposed to a serial dilution of MeONPs (0, 3.1, 6.2, 13, 25, 50, 100, and 200  $\mu\text{g/mL}$ ) for 24 h to assess cytotoxicity, including cell viability and inflammatory responses. Cell viability was examined by colorimetric detection of metabolic activity via MTS assay. Although a majority of MeONPs had no effect on the viability of THP-1 cells,  $\text{CoO}$ ,  $\text{CuO}$ ,  $\text{ZnO}$ ,  $\text{Co}_3\text{O}_4$ ,  $\text{Cr}_2\text{O}_3$ ,  $\text{MnO}_2$ ,  $\text{Mn}_2\text{O}_3$ , and  $\text{Ni}_2\text{O}_3$  induced considerable cytotoxic effect evidenced by the MTS results (Figure S1; Table S3). Proinflammatory cytokine IL-1 $\beta$  was examined in the supernatants of THP-1 cells exposed to MeONPs by ELISA. Then we used Equation 3 to convert the IL-1 $\beta$  values into fold changes ( $FC_{IL-1\beta}$ ) in comparison with the basal level of IL-1 $\beta$  in control. Notably, 17 of 30 MeONPs induced significantly higher IL-1 $\beta$  production than the controls in a dose-dependent manner over an extensive concentration range (Figure 2). Among the 17 ip-MeONPs, nine rare earth oxides ( $\text{Sm}_2\text{O}_3$ ,  $\text{Eu}_2\text{O}_3$ ,  $\text{La}_2\text{O}_3$ ,  $\text{Yb}_2\text{O}_3$ ,  $\text{Gd}_2\text{O}_3$ ,  $\text{Dy}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{Nd}_2\text{O}_3$ , and  $\text{Er}_2\text{O}_3$ ) ranked in the top class and displayed  $FC_{IL-1\beta} > 24$  at 200  $\mu\text{g/mL}$  exposure dose; two manganese oxides ( $\text{Mn}_2\text{O}_3$  and  $\text{MnO}_2$ ) also elicited substantial cytokine release ( $24 > FC_{IL-1\beta} > 10$ ); six transition metal oxides ( $\text{Fe}_3\text{O}_4$ ,  $\text{In}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{Fe}_2\text{O}_3$ ,  $\text{ZrO}_2$ , and  $\text{HfO}_2$ ) induced relatively low IL-1 $\beta$  (Table S4).

We examined the impacts of MeONPs on the release of two additional cytokines (TNF- $\alpha$  and IL-6) in THP-1 cells. First, the MTS result and IL-1 $\beta$  release were exploited to assess the impacts of all 30 MeONPs on cell proliferation and proinflammatory effects, respectively. As a result, the MeONPs were divided into three groups, including the proinflammatory, cytotoxic, and noninflammatory/noncytotoxic (Table S5). Notably, the MeONPs in three groups were mutually exclusive. We randomly selected two materials from each group. As a result, six MeONPs were acquired:  $\text{La}_2\text{O}_3$ ,  $\text{Gd}_2\text{O}_3$ ,  $\text{Co}_3\text{O}_4$ ,  $\text{ZnO}$ ,  $\text{WO}_3$ , and  $\text{TiO}_2$ . As shown in Figure S2A,  $\text{La}_2\text{O}_3$ ,  $\text{Gd}_2\text{O}_3$  induced dose-dependent TNF- $\alpha$  production, and  $\text{Co}_3\text{O}_4$  at high exposure doses induced slight increments of TNF- $\alpha$ , whereas  $\text{ZnO}$ ,  $\text{WO}_3$ , and  $\text{TiO}_2$  failed to elicit this cytokine. This trend is broadly consistent with their IL-1 $\beta$  levels, further supporting the inflammatory rankings of MeONPs determined by IL-1 $\beta$  production. However, all six nanoparticles failed to elicit IL-6 release in THP-1 cells (Figure S2B).

**In vivo validation of the pulmonary inflammatory potential of MeONPs.** To test the validity of the *in vitro* hazard ranking to toxicity outcomes in animals, C57Bl/6 mice were exposed to the six selected MeONPs by oropharyngeal instillation for 40 h. Animals receiving  $\text{La}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  exposure showed significant increases of IL-1 $\beta$  and MCP-1 in BALF, whereas  $\text{Co}_3\text{O}_4$ ,  $\text{ZnO}$ ,  $\text{TiO}_2$ , and  $\text{WO}_3$  showed levels of cytokine release similar to those of vehicle control (Figure 3A). The cytokine release profile of all six MeONPs was consistent with the fold changes of IL-1 $\beta$  production in THP-1 cells. In addition, we examined the inflammation in lung sections by H&E staining as inflammatory cytokines often contribute to immune cell recruitment. As expected,  $\text{La}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  elicited increases in focal areas of inflammation around small airways in lung sections, whereas  $\text{ZnO}$ ,  $\text{TiO}_2$ , and  $\text{WO}_3$  did not elicit discernable cell recruitment (Figure 3B). These images further supported the inflammatory rankings of MeONPs in THP-1 cells. However,  $\text{Co}_3\text{O}_4$ -treated lungs showed only a little inflammation, which is inconsistent with its effect on IL-1 $\beta$  release *in vitro*.

### Classification Models for Inflammatory Potential

To determine the optimal model for predicting inflammatory potential, we tried three different methods: logistic regression, SVM, and C4.5 decision tree. The optimized parameters of these three machine learning models are provided in Table S6. The performances of the three models on the training set and test set are summarized in Table 1. The C4.5 decision tree model was the top performer of the three models. The ACC values in the training and test set reached 95% and 92%, respectively; the MCC values of this model in training and test set were 86% and 83%, respectively; the AUC reached 95%. The C4.5 decision tree model used three descriptors for discriminating ip-MeONPs and nip-MeONPs. The descriptors were electronegativity ( $\chi_{me}$ , metal atom electronegativity), cation charge, and concentration (the MeONP exposure concentration in  $\mu\text{g/mL}$ ); the descriptor



**Figure 3.** Pulmonary inflammation of six selected metal oxide nanomaterials (MeONPs) in mice. (A) Cytokine production (IL-1 $\beta$  and MCP-1) in BALF, and (B) H&E staining of lung tissues after 40 h exposure to MeONPs. C57Bl/6 mice ( $n=6$ ) were exposed to La<sub>2</sub>O<sub>3</sub>, Gd<sub>2</sub>O<sub>3</sub>, Co<sub>3</sub>O<sub>4</sub>, ZnO, TiO<sub>2</sub>, and WO<sub>3</sub> at 2 mg/kg by oropharyngeal instillation. Quartz was used as positive control to treat animals (5 mg/kg). After 40 h, animals were sacrificed to measure IL-1 $\beta$  and MCP-1 production in BALF by ELISA. The lung tissues were fixed for H&E staining (three sections for each mouse). Normal distribution was confirmed by Kolmogorov-Smirnov test (significance >0.05). \* $p < 0.05$  compared with vehicle control by two-tailed Student's  $t$ -test.

**Table 1.** Performance of the C4.5 decision tree, logistic regression, and support vector machine models on the training set and test set.

Model	Data set	P/N	$n$	ACC	SE	SP	AUC	MCC
C4.5 Decision tree	Training set	43/133	176	0.95	0.90	0.96	0.95	0.86
	Test set	20/44	64	0.92	0.83	0.98	0.95	0.83
Logistic regression	Training set	43/133	176	0.86	0.73	0.90	0.77	0.56
	Test set	20/44	64	0.80	0.73	0.82	0.77	0.58
Support vector machine (Polynomial kernel)	Training set	43/133	176	0.85	0.74	0.87	0.76	0.61
	Test set	20/44	64	0.83	0.80	0.84	0.77	0.50

Note: Predictive accuracy was reflected by four indices: sensitivity ( $SE = TP / [TP + FN]$ ), specificity ( $SP = TN / [TN + FP]$ ), overall predictive accuracy ( $ACC = [TP + TN] / [TP + FP + TN + FN]$ ), and Matthews correlation coefficient ( $MCC = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP)(TP + FN)(TN + FP)(TN + FN)}}$ ). The area under the receiver operating characteristic curve (AUC) is a measure of how well a model distinguishes positive and negative data points; the >95% model AUC illustrated a high classification power. FN, false negatives; FP, false positives;  $n$ , number of data points in the data set; P/N, ratio of positive/negative data points; TN, true negatives; TP, true positives.

values are detailed in Table S7. Figure 4 shows the classification rules of the C4.5 decision tree model. The root and interior nodes were drawn with splitting descriptors and the splitting criteria. If a MeONP at a specific data point was predicted to be ip-MeONPs, the leaf node was marked as "YES." Otherwise, the leaf node was marked as "NO." The classification rules indicated that MeONPs with lower  $\chi_{me}$  values ( $\chi_{me} \leq 1.55$ ) tended to induce more inflammatory potential than did those with higher  $\chi_{me}$  values. As shown in Table S8, there were no outliers in the modeling sets of the classification model.

### Continuous Model for Inflammatory Potential

To comparatively evaluate MeONP hazard ranking, we developed the continuous model to assess the inflammatory potential of MeONPs. First, the  $FC_{IL-1\beta}$  values of 30 MeONPs were exploited to develop the PLS regression model. As shown in Figure S3, four MeONPs that were largely distant from the majority (90%) of the data in the training set were identified as outliers based on  $\sigma^*$  and  $h_i$  values ( $\sigma^* > 1.5$  or  $h_i > 0.35$ ) and removed from the 30 MeONPs. Second, the remaining 26 MeONPs were randomly split into a training set (20 MeONPs) and a test set (six MeONPs). A PLS model (Equation 10) were built to rank the inflammatory potential of MeONPs, using only three descriptors ( $\chi_{me}$  – the electronegativity of metal atoms,  $\zeta$ -potential, and  $D_{water}$  – hydrodynamic diameter). In the PLS model, these three descriptors were combined to generate one principle component that accounted for 85% of the total variance.

$$FC_{IL-1\beta} = 25.4 - 20.6\chi_{me} + 0.283\zeta\text{-potential} + 0.0343D_{water}, \quad (10)$$

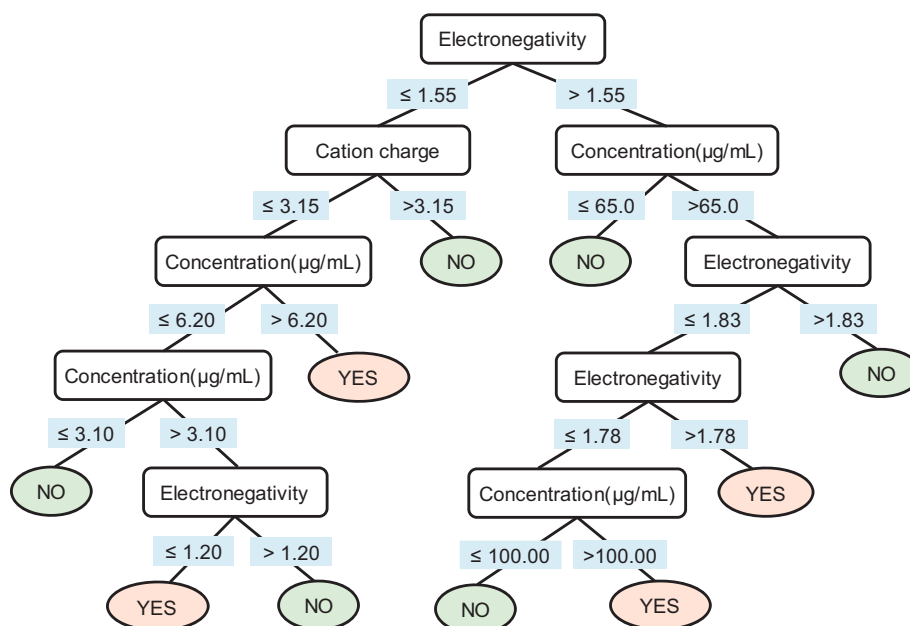
$$R^2 = 0.892, Q_{CV}^2 = 0.875, RMSE_{CV} = 5.55$$

$$Q_{EXT}^2 = 0.800, RMSE_P = 7.39$$

The model was comprehensively validated according to the Organization for Economic Cooperation and Development QSAR validation principles (OECD 2004). The  $R^2$  of the 20 training MeONPs was 0.892, indicating an excellent goodness-of-fit. The leave-group-out cross-validation on the 20 training MeONPs yielded a cross-validated correlation coefficient ( $Q_{CV}^2$ ) of 0.875 and a  $RMSE$  of cross-validation ( $RMSE_{CV}$ ) of 5.5, indicating a good robustness of the model. The  $R_{Y\text{-scrambling}}^2$  (0.119) and  $Q_{Y\text{-scrambling}}^2$  (-0.0361) average values yielded from the 500 models based on  $Y$ -scrambling permutation were much lower (Figure S4). This result confirmed that the model was not obtained by chance. The externally validated correlation coefficient ( $Q_{EXT}^2$ ) and the  $RMSE$  of external prediction ( $RMSE_P$ ) resulted from the predictions of the six MeONPs in test set. The  $Q_{EXT}^2$  and  $RMSE_P$  values were 0.800 and 7.39, respectively, indicating a good predictive ability of the developed PLS model. Figure 5A and Table S9 display the plot of experimental  $FC_{IL-1\beta}$  values vs. the predicted  $FC_{IL-1\beta}$  values using Equation 10. The agreement between the observed and predicted values was satisfactory for MeONPs from the training set (squares) and the test set (triangles). As characterized by the Williams plot (Figure 5B), 25 MeONPs were located in the continuous model's applicability domain with  $h_i < h^* = 0.60$  and  $-3 < \sigma^* < 3$ , whereas WO<sub>3</sub> was out of this region ( $h_i = 0.66 > h^*$ ).

### Experimental Evaluation of Model Predictive Ability

To further evaluate the predictive performance of the established classification model, new MeONPs were selected for validation based on following two criteria: a) they were not included by the



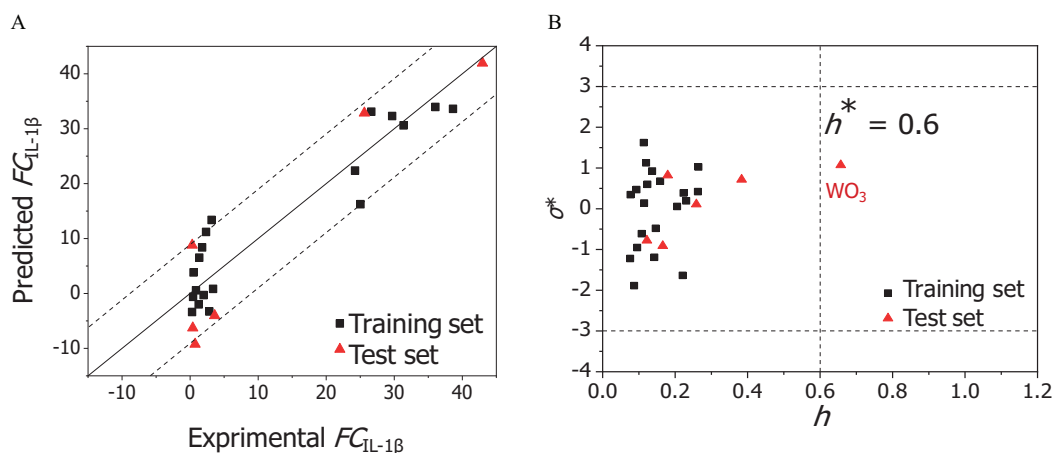
**Figure 4.** C4.5 decision tree for predicting the inflammatory potential of metal oxide nanomaterials (MeONPs). The root and interior nodes are drawn in rectangles with splitting descriptors inside, and the splitting criteria are under the rectangles. The leaf nodes are presented in oval circles, which are marked as “YES” and “NO,” indicating the nanomaterials in the leaf nodes are predicted to be inflammatory potential and noninflammatory potential, respectively.

models in training or test sets; and *b*) the positive:negative ratio in this validation set fell into the range of 1:3 (the ratio in training set) to 1:2.2 (the ratio in test set). As a result of applying these criteria, seven MeONPs ( $\text{Ho}_2\text{O}_3$ ,  $\text{Pr}_6\text{O}_{11}$ ,  $\text{MoO}_3$ ,  $\text{Ta}_2\text{O}_5$ ,  $\text{Nb}_2\text{O}_5$ ,  $\text{Mn}_3\text{O}_4$ , and  $\text{MoO}_2$ ) were selected and exposed to THP-1 cells to determine IL-1 $\beta$  production. The seven new MeONPs at eight serial dilutions in this external data set generated 56 experimental data points. The experimental data were compared with the predictions from the classification model (Table S10). The model showed a high predictivity for this independent data set with ACC at 0.86 and MCC at 0.74. The 56 data points were all located in the model’s applicability domain (Table S11).

The validated classification model was applied to predict the inflammatory potential of 29 MeONPs that lacked inflammatory response experimental data. Among these MeONPs, six MeONPs ( $\text{CaO}$ ,  $\text{SrO}$ ,  $\text{BaO}$ ,  $\text{Sc}_2\text{O}_3$ ,  $\text{Tm}_2\text{O}_3$ , and  $\text{Tb}_2\text{O}_3$ ) displayed inflammatory

potential at a very low concentration of 6.2  $\mu\text{g}/\text{mL}$ , six MeONPs ( $\text{BeO}$ ,  $\text{V}_2\text{O}_3$ ,  $\text{CdO}$ ,  $\text{Tl}_2\text{O}$ ,  $\text{Tl}_2\text{O}_3$ , and  $\text{Ga}_2\text{O}_3$ ) showed inflammatory potential at concentrations  $>62.5 \mu\text{g}/\text{mL}$ , whereas other MeONPs had no inflammatory effect (Table S12). The predicted results of 66 MeONPs were integrated into a color-coded periodic representation, which allowed us to check the safe exposure dose of a given MeONP. Different colors represent the minimal doses eliciting inflammation (MDEI) by MeONPs. As shown in Figure 6, 19 MeONPs displayed strong inflammatory potential with  $\text{MDEI} \geq 12.5 \mu\text{g}/\text{mL}$ ; 15 MeONPs had moderate inflammatory potential with  $12.5 < \text{MDEI} < 200 \mu\text{g}/\text{mL}$ ; 32 MeONPs indexed by blue color were relatively safe with  $\text{MDEI} \geq 200 \mu\text{g}/\text{mL}$ . All these predicted MeONPs were located in the model’s applicability domain (Table S13).

The external data set (seven new MeONPs) was also used to verify the performance of the continuous model. As shown in



**Figure 5.** Performance of the continuous model. (A) Plot of experimentally determined data (*x*-axis) vs. predicted fold change of IL-1 $\beta$  values (*y*-axis). The straight solid line represents perfect agreement between experimental and calculated values. Squares represent values predicted for the metal oxides (MeONPs) from the training set; triangles represent MeONPs from the test set. The distance of each symbol from the solid line corresponds to its deviation from the related experimental value. The dotted lines showing the range encompassing 90% of the predictions. (B) Model applicability domain: Williams plot of standardized residuals ( $\sigma$ ) vs. leverage values ( $h_i$ ) for  $FC_{IL-1\beta}$ . MeONPs having  $h_i > h^*$  or  $|\sigma| > 3$  should be identified as outliers.

		Minimal doses eliciting inflammation (MDEI, µg/mL)												
		≤ 12.5		25		50		100		≥ 200		IIIA	IVA	VA
IIA	BeO*													
	MgO											Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	
	CaO*	III B	IV B	VB	VIB	VII B	VIII			IB	IIB	100.0		
	SrO*	Sc <sub>2</sub> O <sub>3</sub> *	TiO <sub>2</sub>	V <sub>2</sub> O <sub>3</sub> *	Cr <sub>2</sub> O <sub>3</sub>	Mn <sub>2</sub> O <sub>3</sub> /MnO <sub>2</sub> /Mn <sub>3</sub> O <sub>4</sub>	Fe <sub>2</sub> O <sub>3</sub> /Fe <sub>3</sub> O <sub>4</sub>	CoO/Co <sub>3</sub> O <sub>4</sub>	NiO/Ni <sub>2</sub> O <sub>3</sub>	CuO	ZnO	Ga <sub>2</sub> O <sub>3</sub> *	GeO <sub>2</sub> *	
	BaO*	-	HfO <sub>2</sub>	Ta <sub>2</sub> O <sub>5</sub>	WO <sub>3</sub>	ReO <sub>2</sub> *	OsO <sub>2</sub> *	Ir <sub>2</sub> O <sub>3</sub> *	PtO*/PtO <sub>2</sub> *	Au <sub>2</sub> O*/Au <sub>2</sub> O <sub>3</sub> *	HgO*	Tl <sub>2</sub> O*	PbO*/PbO <sub>2</sub> *	Bi <sub>2</sub> O <sub>3</sub>
	La <sub>2</sub> O <sub>3</sub>	Ce <sub>2</sub> O <sub>3</sub>	Pr <sub>6</sub> O <sub>11</sub>	Nd <sub>2</sub> O <sub>3</sub>	-	Sm <sub>2</sub> O <sub>3</sub>	Eu <sub>2</sub> O <sub>3</sub>	Gd <sub>2</sub> O <sub>3</sub>	Tb <sub>2</sub> O <sub>3</sub> *	Dy <sub>2</sub> O <sub>3</sub>	Ho <sub>2</sub> O <sub>3</sub>	Er <sub>2</sub> O <sub>3</sub>	Tm <sub>2</sub> O <sub>3</sub> *	Yb <sub>2</sub> O <sub>3</sub>
Lanthanides	Actinides													

**Figure 6.** Periodic table of predicted inflammatory potential of metal oxide nanomaterials (MeONPs). Sixty-six MeONPs were plotted according to the metal element position in the periodic table. The number in each color square represents MDEI. \*, experimental data is unavailable. The predicted value is not shown when the experimental value is available.

Figure S5, most predictions by our models could be validated by the experimental data. The model predictions correlated well with the experimental results, as evidenced by the  $R^2$  and  $p$  values ( $R^2 = 0.90$ ,  $p < 0.05$ ). As shown in Table S14, all the new MeONPs could be found in the continuous model's applicability domain except  $\text{Ho}_2\text{O}_3$  ( $h_i = 0.97 > h^* = 0.6$ ).

### Potential Mechanisms Involved in MeONP-Induced Inflammation

The six MeONPs for animal experiment were selected to examine potential cellular events involved in inflammatory effect. First, the cellular uptake of these MeONPs was examined by detection of the concentrations of metal elements in particle-treated THP-1 cells. As shown in Figure S6, the ip-MeONPs ( $\text{La}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$ ) showed higher cellular internalization at 64–69 µg per mg protein, whereas the four nip-MeONPs nanoparticle showed relatively lower levels (26–37 µg/mg protein) of cellular uptake. We further examined lysosomal damage by confocal imaging of cathepsin B release from lysosomes by a Magic Red™ kit consisting of non-fluorescent substrate, which is able to emit strong red fluorescence after enzymatic cleavage by cathepsin B. We exploited this kit to stain cathepsin B in particle-treated cells. As shown in Figure S7, ZnO,  $\text{Co}_3\text{O}_4$ ,  $\text{TiO}_2$  and  $\text{WO}_3$ , showed red punctates of cathepsin B confined in lysosomes, which is consistent with the distribution pattern of cathepsin B in untreated cells. These images indicated that ZnO,  $\text{Co}_3\text{O}_4$ ,  $\text{TiO}_2$ , and  $\text{WO}_3$  had limited effects on lysosomal compartments. In contrast,  $\text{La}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  induced massive cathepsin B release with diffused red color in cytoplasm, suggesting severe lysosomal damage. Taken together, these results indicated that cellular internalization and lysosome impairment may be involved in MeONP-induced inflammatory response.

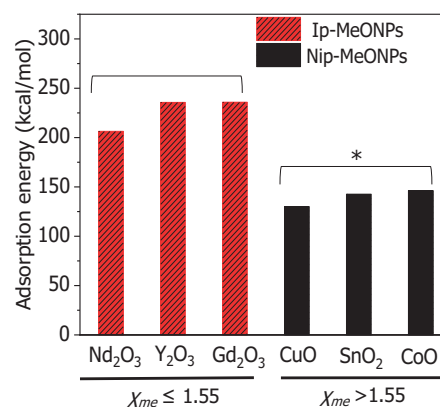
Because the QSAR analysis suggested that the  $\chi_{me}$  value was closely related to inflammatory effects, we speculated that the ip-MeONPs with  $\chi_{me} \leq 1.55$  may have a sufficiently strong interaction with protons to trigger proton sponge effects and elicit lysosomal damages. To test this feasibility, DFT computations were performed to investigate the capabilities of six MeONPs to absorb protons. Interestingly, we found the  $\chi_{me}$  values of MeONPs had impacts on proton adsorption capabilities. As shown in Figure 7,  $\text{Gd}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{Nd}_2\text{O}_3$  with  $\chi_{me} \geq 1.55$  showed significantly stronger proton adsorption than  $\text{SnO}_2$ , CuO, and CoO  $\chi_{me} > 1.55$  showed. This result was in agreement with our QSAR model predictions that MeONPs with lower  $\chi_{me}$  values would tend to induce more inflammatory potential than those with higher  $\chi_{me}$  values.

## Discussion

### Selection of $\text{FC}_{\text{IL-1}\beta}$ in THP-1 Cells for Predicting Inflammatory Potential of MeONPs

To explore the hazard effects of MeONPs, THP-1 cells (a macrophage-like myeloid cell line) were exploited to examine the impact of MeONPs on cell viability and inflammatory responses. There are three reasons for the selection of this cell line: a) phagocytic uptake is the main mechanism to remove insoluble fine particulates (Geiser 2010); b) the behavior of differentiated THP-1 cells is more like that of monocyte-derived macrophages (Auwerx 1991); c) a correlation of nanotoxic effects between THP-1 cells and alveolar macrophages has been discovered in our previous studies (Cai et al. 2018; Li et al. 2018).

THP-1 cells were exposed to a library of 30 MeONPs for assessment of proinflammatory cytokine production, and 17 nanoparticles showed significant IL-1 $\beta$  production. Among them, nine rare earth oxides elicited massive IL-1 $\beta$  release, which is consistent with a previous report (Li et al. 2014b). This report indicated that  $\text{La}_2\text{O}_3$ ,  $\text{Eu}_2\text{O}_3$ ,  $\text{Sm}_2\text{O}_3$ ,  $\text{Er}_2\text{O}_3$ ,  $\text{Nd}_2\text{O}_3$ ,  $\text{Dy}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{Gd}_2\text{O}_3$ , and  $\text{Yb}_2\text{O}_3$  were able to induce NLRP3 inflammatory activation and IL-1 $\beta$  production in THP-1 cells.



**Figure 7.** Adsorption energy ( $E_{\text{ad}}$ ) of protons onto metal oxide nanomaterials (MeONPs) with different metal atom electronegativity ( $\chi_{me}$ ). Bar heights represent calculated values of  $E_{\text{ad}}$ . MeONPs with higher  $\chi_{me}$  (black) have much weaker proton adsorption than those with lower  $\chi_{me}$  (red). Normal distribution was confirmed by Kolmogorov-Smirnov test (significance  $> 0.05$ ). \* $p < 0.05$  compared with ip-MeONPs by two-tailed Student's  $t$ -test.



*FC* values and *p* values are two ways to determine the inflammatory potential of MeONPs. Significance analysis by *p* values may generate false-positive results for the MeONPs inducing limited IL-1 $\beta$  production with small standard deviations. Calculation of the *FC* values directly reflects the cytokine levels increased by MeONPs in comparison with control. However, *FC* values depend largely on the number of replicates. In the cases of limited replicates with large standard deviations, a very large *FC* value may not be significant. In this study, *FC* values of IL-1 $\beta$  were more appropriate to determine the inflammatory potential of MeONPs because the standard deviations of replicates in IL-1 $\beta$  assay was small (Table S2).

### Validation of Inflammatory Potential of MeONPs in Mouse Lungs

To test the validity of the *in vitro* hazard ranking to toxicity outcomes in animals, mice were exposed to MeONPs by oropharyngeal instillation. We deliberately selected female mice for lung toxicity test because they are reported to be more sensitive than male mice in pulmonary nanotoxicity studies (Zhang et al. 2015; Ray and Holian 2019). We therefore randomly selected six MeONPs for animal experiments, including Gd<sub>2</sub>O<sub>3</sub>, La<sub>2</sub>O<sub>3</sub>, Co<sub>3</sub>O<sub>4</sub>, ZnO, TiO<sub>2</sub>, and WO<sub>3</sub>. Although animal studies on the six selected MeONPs have been conducted in literature, there is no comparative study of all six nanoparticles in one single animal experiment (Li et al. 2014a; Larsen et al. 2016; Agarwal et al. 2019). Moreover, the physicochemical properties of MeONPs with identical purported composition from different sources may elicit different hazard effects in animals (Nel et al. 2006; Gajewicz et al. 2012). Inconsistent material source as well as deviations resulting from animal experiment batches may significantly affect the validation of our *in vitro* predictions. Therefore, we systematically tested the *in vivo* inflammatory potentials of six MeONPs and leveraged our animal data rather than literature results to validate the *in vitro* predictions.

It has been demonstrated that the pulmonary inflammation induced by 2 mg/kg as-prepared multiwalled carbon nanotubes (Ap-MWCNTs) is on the steep part of the dose–response curve of pulmonary inflammation in C57Bl/6 mice (Wang et al. 2011). Interestingly, the dispersed Ap-MWCNTs elicited dose-dependent IL-1 $\beta$  production in THP-1 cells, which is similar to our findings on 17 MeONPs. Moreover, the *in vitro* doses of Ap-MWCNTs at 12.5–100  $\mu$ g/mL are close to the doses of MeONPs in our study. We therefore compared the lung toxicity of MeONPs at 2 mg/kg. Although we are not able to include real-life human exposures in our study, a report by Cai et al. (2017) indicated that an *in vivo* dose of 2 mg/kg is relevant to the levels of released fine particulates during welding at the welders' breathing zone.

The inflammatory potentials of La<sub>2</sub>O<sub>3</sub>, Gd<sub>2</sub>O<sub>3</sub>, ZnO, WO<sub>3</sub>, and TiO<sub>2</sub> were well-validated in animal lungs. Co<sub>3</sub>O<sub>4</sub> induced slight inflammation in lung tissues although it showed low potential to induce IL-1 $\beta$  production *in vitro*. The inconsistent results may be attributed to the capability of Co<sub>3</sub>O<sub>4</sub> to elicit other inflammatory cytokines, such as TNF- $\alpha$  (Figure S2). These results indicate that although IL-1 $\beta$  is a prominent factor to predict the inflammatory potential of MeONPs, we cannot fully rule out the contribution of other proinflammatory cytokines. More cytokine indexes should be considered in future studies to enhance the predictive accuracy of QSAR models for assessment of nano-immunotoxicity.

Moreover, the inflammatory potential of some MeONPs were validated by others' lung toxicity studies. In a 24-h post-instillation acute pulmonary toxicity experiment in female mice, La<sub>2</sub>O<sub>3</sub>, Eu<sub>2</sub>O<sub>3</sub>, Sm<sub>2</sub>O<sub>3</sub>, Er<sub>2</sub>O<sub>3</sub>, Dy<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, and Nd<sub>2</sub>O<sub>3</sub> also induced much higher inflammogenic potential than ZnO and NiO induced,

as evidenced by the increased number of granulocytes and IL-1 $\beta$  (Han et al. 2018). Moreover, pulmonary inflammation by La<sub>2</sub>O<sub>3</sub> was confirmed in rats that received longer-term-exposure MeONP. Kim et al. (2017) found that La<sub>2</sub>O<sub>3</sub> particles induced pulmonary inflammation in rats after 0.5 mg/m<sup>3</sup> inhalation exposure for 28 d (5 d/wk). These findings were consistent with our experimental results and suggested that IL-1 $\beta$  could be exploited as a predictive index to rank the inflammatory potential of MeONPs.

### Reliability and Applicability of the QSAR Models

Successful development of QSAR models depends on the quality of biological data and reduction of uncertainty in modeling. Despite the vast array of MeONP toxicity publications to date, there are limited reliable data that can be used for hazard ranking or QSAR modeling. Moreover, variations in the data published by different laboratories hinder the development of reliable QSAR models (Gajewicz et al. 2012). The MeONP data set developed in this study was suitable for hazard ranking and QSAR modeling. This suitability is because, to the best of our knowledge to date, it was the largest data set and was obtained entirely in the same laboratory, complete with a full experimental protocol description and nanomaterial characterization (concentration,  $\zeta$ -potential, dissolution, size, etc.). We tried to reduce uncertainty by building transparent models. The prediction rules in both classification and continuous model were clear. The descriptors used in the models were well-defined and can be derived quickly from the chemical composition information ( $\chi_{me}$  and cation charge) and characterization of basic physicochemical properties ( $\zeta$ -potential and  $D_{water}$ ). Our results indicated that these descriptors could encode the inflammatory potential of MeONPs efficiently in comparison with classical quantum chemical descriptors involving time-consuming computations and extensive prior expertise. We also tried to reduce uncertainty in the models by performing statistical assessments, assessing the applicability domain, and conducting experimental validation. Taken together, these steps suggest increased confidence in our models.

### Virtual Screening of MeONP Inflammatory Potential by Classification Model

The high predictivity (ACC > 90%, MCC > 80%, AUC > 95%) of classification model allowed us to predict the MDEI for a set of MeONPs for which the experimental data have not been available (Figure 6). The virtual screening results were expected to provide guidance for inflammatory potential evaluation: MeONPs with a predicted MDEI < 50  $\mu$ g/mL could potentially induce inflammation above this concentration; MeONPs with predicted MDEI < 12.5  $\mu$ g/mL (BaO, SrO, Sc<sub>2</sub>O<sub>3</sub>, Tb<sub>2</sub>O<sub>3</sub>, and Tm<sub>2</sub>O<sub>3</sub>) were predicted to have high inflammatory potential. Because all these predicted MeONPs were located in the classification model's applicability domain, we considered the predictions to be reliable. It should be noted that the model may have limitations for the prediction of MeONPs with exposure doses close to MDEI. This limitation is probably because the variations of  $FC_{IL-1\beta}$  at doses close to MDEI may affect the statistical significances between MeONP treated cells and control cells.

### Continuous Model is Capable of MeONP Hazard Ranking

The number of MeONPs used to build the continuous model, from a QSAR viewpoint, was small but sufficient for construction of a predictive model. Classic QSAR studies have been performed and published elsewhere using even smaller sets of nanoparticles (Puzyn et al. 2011). Kubinyi (1993) had recommended  $R^2 \geq 0.81$  for *in vitro* data and  $R^2 \geq 0.64$  for *in vivo* data as cutoffs for picking a good

model. Moreover, a criterion ( $<0.3$ ) of differences between  $R^2$  and  $Q^2$  was proposed for reliability assessment and applicability evaluation of QSAR models (Eriksson et al. 2003). Taken together, fulfillment of the above criteria ( $R^2 = 0.892$ ,  $Q_{CV}^2 = 0.875$ ,  $Q_{EXT}^2 = 0.800$ ) suggested that our continuous model can be applied to evaluate the inflammatory potential of new, untested MeONPs. Due to the individual differences between subject organisms/cells, it is unrealistic to expect perfect repeatability and stability of toxicity data generated across different batches of experiments. Therefore, perfect agreement between quantitatively predicted values vs. experimental toxicity data cannot be anticipated (Gajewicz et al. 2015), and the continuous model was built only to comparatively evaluate MeONP hazard ranking. There were two clear outliers in the continuous model,  $WO_3$  (Figure 5B) and  $Ho_2O_3$  (Table S14), which belonged to the test set and the external validation set, respectively.  $Ho_2O_3$  was considered an outlier because its hydrodynamic diameter ( $D_{water}$ , 1145 nm) was much bigger than most MeONPs (202–800 nm) in the training set.  $D_{water}$  is a measure of sedimentation capacity of nanoparticles. MeONPs with large  $D_{water}$  tended to settle at the bottom of the container during cell culture and consequently had high cellular internalization. In contrast, the  $D_{water}$  of the outlier  $WO_3$  (112 nm) was much smaller than that of most MeONPs in training set. As a result,  $WO_3$  was more likely to remain suspended in culture media, which considerably reduces cellular internalization. Therefore, the inflammatory potential of  $Ho_2O_3$  was underestimated, and the inflammatory potential of  $WO_3$  was slightly overestimated by the continuous model.

### Physicochemical Properties Responsible for Inflammatory Effects of MeONPs

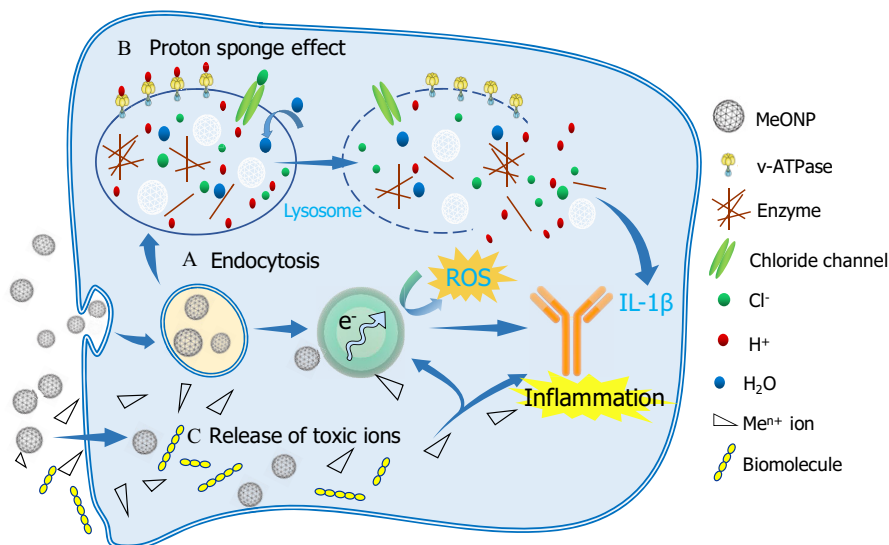
We present here a schematic representation of three hypothesized mechanisms that collectively determine the inflammatory potential of MeONPs (Figure 8).

The classification model pinpointed  $\chi_{me}$  and cation charge are the most efficient factors for predicting inflammatory potential of MeONPs in THP-1 cells. The first factor  $\chi_{me}$  describes the tendency of metal atoms to attract electrons. In other words, metal atoms with lower  $\chi_{me}$  are more favorable for donating electrons to oxygen atoms. Oxygen atoms with more electrons tend to trap positively charged protons ( $H^+$ ). As a result, the adsorption of protons

was more likely to occur in MeONPs with lower  $\chi_{me}$  than in those with higher  $\chi_{me}$ . According to the proton sponge effect (Nel et al. 2009), some nanoparticles could enter the acidifying lysosomal compartment and sequester protons that are supplied by the v-ATPase (proton pump). This process keeps the pump functioning and leads to the retention of one  $Cl^-$  ion and one water molecule per proton. Subsequently, lysosomal swelling and rupture cause lysosomal content spillage, triggering excess IL-1 $\beta$  production and inflammation. This mechanistic hypothesis was supported by our experimental results and DFT calculation: MeONPs with  $\chi_{me} \leq 1.55$  exhibited stronger proton adsorption and more inflammatory potential than those with higher  $\chi_{me}$  values.

The second important factor, cation charge, describes the detachment of metal cations from the surface of metal oxides. It has been demonstrated that the release of cations with a smaller charge was more energetically favorable than the release of cations with a larger charge (Kar et al. 2016; Puzyn et al. 2011). Obviously, much more energy is required to detach four electrons than three (or two) to form the appropriate cations (Kar et al. 2016; Puzyn et al. 2011). Thus, it can be inferred that metal oxides having lower cation charge value usually exhibit stronger reductive properties, and thus the ions are easier to detach from the metal oxides, increasing toxicity. The above mechanism explained the toxicity order of the studied MeONPs ( $Me^{2+} > Me^{3+} > Me^{4+}$ ), which was consistent with our experimental observations and model predictions.

In the continuous model, the descriptors  $\chi_{me}$  and  $\zeta$ -potential were used to predict inflammatory potential of MeONPs in THP-1 cells. Our results demonstrated that inflammatory potential of MeONPs depended on the cellular internalization and biocompatibility, which were highly dependent on the surface charge ( $\zeta$ -potential). Cho et al. (2009) found that gold nanoparticles with a positive charge showed higher cellular internalization than negative nanoparticles showed. Moreover, the positively charged MeONPs may actively interact with the negatively charged lysosomal membrane, leading to lysosomal destabilization (Nel et al. 2009). Rupture of the lysosomal membrane can trigger excess IL-1 $\beta$  production and inflammation (Hornung et al. 2008). In concordance with our results, the majority of previous work attributed toxicity to positive  $\zeta$ -potential (Cho et al. 2009; Pang et al. 2016; Sendra et al. 2018). Experimental results provided strong support to our QSAR analysis and mechanistic interpretation that cellular



**Figure 8.** Proposed schematic image of inflammatory mechanisms by metal oxide nanomaterials (MeONPs). (A) Endocytosis: MeONPs with a positive  $\zeta$ -potential were most internalized by THP-1 cells and lysosomes. (B) Proton sponge effect. MeONPs with metal atom electronegativity  $\leq 1.55$  tend to trigger a proton sponge effect, followed by lysosome damages, leakage of lysosomal contents and excess IL-1 $\beta$  production. (C) Release of toxic ions.

internalization and lysosomal damage are the key events involved in MeONP-induced inflammation. The ip-MeONPs (La<sub>2</sub>O<sub>3</sub> and Gd<sub>2</sub>O<sub>3</sub>) showed slightly higher cellular internalization than other four nanoparticles (ZnO, Co<sub>3</sub>O<sub>4</sub>, TiO<sub>2</sub> and WO<sub>3</sub>) showed (Figure S6). Furthermore, confocal imaging of cathepsin B indicated that La<sub>2</sub>O<sub>3</sub> and Gd<sub>2</sub>O<sub>3</sub> induced massive cathepsin B release (Figure S7). The released cathepsin B was able to trigger the assemble of NLRP3 inflammasomes (Li et al. 2013), which would further assist the maturation of IL-1 $\beta$  by caspase-1 activation.

Previous studies (Kumari et al. 2012; Prabhu et al. 2010) revealed that MeONP toxicity is inversely proportional to size. It is interesting to note that, in our investigation, the descriptors that characterize a nanoparticle's primary size were not selected by the models. A probable explanation was the agglomeration of nanoparticles in cell culture media. Thus, the influence of primary size on MeONP toxicity was insignificant. Moreover, nanoparticle toxicity likely depends on shape. For instance, recent studies suggest that macrophages may struggle to incorporate relatively long (>20  $\mu$ m) and stiff nanoparticles into phagosomes (Nel et al. 2009). However, because the MeONPs used here were in spherical or approximate spherical shape, such phenomenon was not considered in our models. Except for size and shape, MeONPs with different crystal structure and chemical modifications on their surface should be considered in future nano-QSAR model development.

Protein corona consisting of hard and soft layers is also found to affect the biological effects of nanoparticles, including thrombocyte activation, hemolysis, cellular uptake, biodistribution, and cytotoxicity (Cai and Chen 2018). Although the hard layer of corona is long-lived and relatively stable as a monolayer of protein molecules binding directly and tightly on particle surfaces, the soft layer often undergoes dynamic changes as the particles interact with different biological media and cellular compartments. Due to the complexity and variability of corona in physiological conditions, it is challenging to use corona structure as a descriptor for QSAR analysis. In contrast, our study focused more on the intrinsic properties of nanoparticles, such as hydrodynamic diameter,  $\zeta$ -potential, and electronegativity as descriptors for QSAR analysis because evidence increasingly indicates that the corona structures of nanoparticles are dictated by intrinsic physicochemical properties (Cai and Chen 2018), including hydrodynamic diameter (Carril et al. 2017),  $\zeta$ -potential (Wang et al. 2013), hydrophobicity (Saha et al. 2014), etc.

## Conclusion

A proinflammatory cytokine of IL-1 $\beta$  in THP-1 cells could be used as an index to rank the inflammatory potential of MeONPs. QSAR models were developed and validated for predicting the inflammatory potential of MeONPs.  $\chi_{me}$ ,  $\zeta$ -potential, and cation charge were three key properties responsible for inflammatory effects of MeONPs. DFT computations revealed that a proton adsorption effect of MeONPs with  $\chi_{me} \leq 1.55$  may be responsible for lysosomal damages. We speculate that the QSAR models could be used to predict the inflammatory potential of other untested MeONPs.

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