

Of balls, inks and cages: Hybrid biofabrication of 3D tissue analogs

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Abstract: The overarching principle of three-dimensional (3D) bioprinting is the placing of cells or cell clusters in the 3D space to generate a cohesive tissue microarchitecture that comes close to *in vivo* characteristics. To achieve this goal, several technical solutions are available, generating considerable combinatorial bandwidth: (i) Support structures are generated first, and cells are seeded subsequently; (ii) alternatively, cells are delivered in a printing medium, so-called “bioink,” that contains them during the printing process and ensures shape fidelity of the generated structure; and (iii) a “scaffold-free” version of bioprinting, where only cells are used and the extracellular matrix is produced by the cells themselves, also recently entered a phase of accelerated development and successful applications. However, the scaffold-free approaches may still benefit from secondary incorporation of scaffolding materials, thus expanding their versatility. Reversibly, the bioink-based bioprinting could also be improved by adopting some of the principles and practices of scaffold-free biofabrication. Collectively, we anticipate that combinations of these complementary methods in a “hybrid” approach, rather than their development in separate technological niches, will largely increase their efficiency and applicability in tissue engineering.

Keywords: Tissue engineering, scaffolds, scaffold-free, bioprinting

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1. Biofabrication of Tissue Analogs - The Main Approaches

Biofabrication, the three-dimensional (3D) assembly of living cells in structured systems ^[1], has evolved in the past decades as the biological facet of additive manufacturing ^[2]. Technically, biofabrication can be roughly divided into “bioprinting,” a technology akin to the classical use of ink and paper ^[3], and “tissue assembly” ^[4], where other techniques such as “tissue strands” ^[5], lamination of “cell sheets” ^[6] or cell spheroid piercing-and-stringing ^[7], have recently evolved. The purpose is to assemble cells into a living, functional system that serves for *in vitro* drug development and substance testing, or as an *in vivo* implantable tissue ^[1]. However, the boundaries between approaches are blurring, because they will be increasingly

shared to create synergies with an eclectic mix of techniques for reaching specific goals. In future, the combined use of the main approaches in biofabrication will likely lead to more “hybrid” systems and subsequent expectations on appropriate instrumentation ^[8]. For this reason, in our overview, we will discuss the strengths and weaknesses of these approaches, according to their main features.

Moroni *et al.*, Groll *et al.* ^[1,4] and Moldovan ^[9] have noticed some confusion regarding the terminology in this field. Therefore, here we need to specify again what - in our opinion - 3D Bioprinting is not: namely, it is not the mere additive manufacturing (a.k.a. 3D printing) of implantable materials which, by all intents and purposes, would be “biomaterials” ^[10]. The implantation of such a material aims either at total immunological inertia (sometimes

also misnamed in this context as “biocompatibility),” or alternatively seeks histo-integration, and therefore the implant’s colonization by cells that migrate onto and into its structure [11]. As an example, the osteoinductive structures made of various materials can be considered [12]. This approach is common in regenerative medicine, constituting a form of “*in vivo* tissue engineering” with the host tissue acting as a bioreactor [13]. In this case, a tissue-repairing activity requires merely shape and mechanical function of interest; therefore, the used materials are polymeric or natural/decellularized fibrillar matrices. Hydrogels, another major medium for tissue engineering, are also occasionally injected directly in the recipients to elicit a repairing response either by themselves or often as cell carriers [14].

Many *bona fide* 3D biofabrication tasks primarily target the *in vitro* applications, and either include the spatial arrangement of living cells during the printing process or make them adhere in a directed manner on specifically preprinted structures. As a future development, pre-existing structures of living host tissues might be considered as the equivalent of preprinted structures and therefore might invite direct *in vivo* printing approaches onto wound grounds [15].

Whatever the approach, biofabrication is done with the understanding that besides a variety of cells, animal tissues contain various proportions of extracellular matrix (ECM) in the form of fibrils, fibrillary networks, sheaths, and hydrogels, as well as a fluid phase representing a complex mixture of molecules with metabolic or signaling functions. Correspondingly, a re-creation of tissue analogs largely recapitulates this blueprint (Figure 1).

1.1 Bioprinting

1.1.1 The Print and Populate Approach

In this approach, support structures are printed first, and cells are positioned subsequently on them in a targeted way. Often a layer-by-layer deployment method is pursued, such that lines of the hydrogel are printed

first, and then covered by jetted cells [16]. The system works by printing bioinks in contact mode followed by polymerization (e.g., by ultraviolet light) of the printed lines, and subsequent jetting of cells onto printed lines in nanodroplets. One such cycle can be repeated several times resulting in a layered structure [16]. Since hydrogels are materials with an absorptive surface, they could take up the excess fluid from the jetted droplets.

The print and populate method also works with hard materials, such as polycaprolactone. In tissue engineering, another version of this method is the colonization with cells of fibrillar and/or porous scaffolds prepared by electrospinning. This is usually performed after the shape of the scaffold is pre-determined, such as layer and tube [17]. However, for cell placement, simple cell sedimentation usually does not suffice, because the superficial pores are quickly clogged, preventing their further penetration in the scaffold. To force them inside of the structure, the cells may need to be exposed to negative pressure [18], to gravitational (centrifugation) [19] or magnetic [20] forces.

1.1.2 Direct Cells Printing - the Role of Bioinks

A typical commercial bioprinter offers the extrusion mode, by which a viscous medium is continuously expelled from ready-to-use cartridges through printing needles or nozzles. The extrusion can be achieved through microvalves propelling the extrudate downward, a plunger system, or in the case of very viscous materials, a screw pump [21]. The cells are delivered in a printing medium, the so-called “bioink,” that contains them during the printing process, ensures shape fidelity of the printed structure, and protects cells against shear forces [22]. Alternatively, the bioinks might be deployed by inkjet methods [23], one of which is laser-assisted droplet generation [24,25].

Technically, the bioinks represent *scaffolds*, which is particularly obvious after chemical, photo or enzymatic crosslinking [26]. Therefore, to avoid confusion, occasional claims (e.g., [27]) that bioink-based printing starting with more fluid solutions, or because these are further

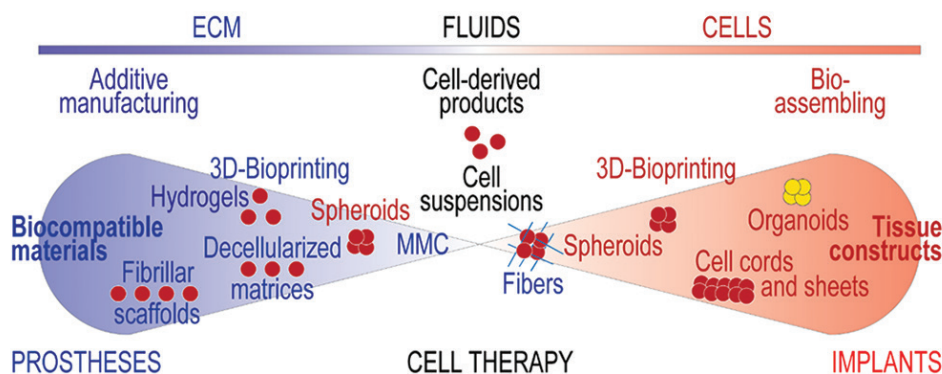


Figure 1. Graphic overview of the biofabrication methods.

combined with fibrillar meshes, would be “scaffold-free,” should not be entertained.

1.1.3 Scaffold-in-scaffold: Integrated or Composite 3D Bioprinting

When the hydrogels alone do not attain enough strength by polymerization, another option is to print harder or stiffer scaffolds as cage-like system first and then to fill them with cell-laden bioinks on hydrogel-basis [28]. For this, the terms of “composite” [29], “integrated” [30] or even “hybrid” [31] bioprinting have been coined.

1.1.4 Advantages and Limitations of Hydrogels-based Bioprinting

Although only a few decades old, the concept of bioprinting and the instruments operated on this principle inspired from additive manufacturing have progressed impressively and already generated convincing proofs of concept. Responding to a large societal interest, a market emerged for commercialization of a variety of bioprinting materials and instruments. However, with bioink-based bioprinting, it is not trivial to satisfy simultaneously the requirement of both printability and biocompatibility [22]. Essentially, bioinks are soft biomaterials, which need to permit extrusion or jetting, yet are required to maintain a printed shape. This is achieved by employing thixotropic gels that show shear-thinning during an extrusion process and regain larger stiffness immediately after deposition or a by the post-printing chemical crosslinking process. These bioink modifications have consequences for cellular viability and proliferative and migratory behavior. Cell damage and post-printing dysfunctionality may occur for a variety of reasons, such as high shear stress, lack of growth factors or suitable ECM, or limitation of intercellular communication [9]. Despite promising results in this direction, an ideal bioink is yet to be found. Taking into consideration that there are so many different cell types in the body, all with their refined needs regarding the microenvironment, it appears that a variety of suitable bioinks still have to be developed to address the needs of different cell types. In addition, the constructs obtained by the current bioprinting techniques display a simplistic cellular architecture. For example, although pre-vascular tubes could be embedded in the structural bioink as “sacrificial” hydrogels [28], their patterns lack the fractal organization of natural microvascular networks.

In summary, this approach relies on hydrogels as primary shape generators and cell carriers. While it remains promising for large, cell-homogenous, matrix-rich tissues, representing mostly the skeletal-muscular system, it is still struggling to solve its hard to conciliate requirements, related to the hydrogels printability on one side, and cellular needs on the other. More work will need to be invested into self-organizing systems,

where main (yet small) blood vessels can be printed into tissue constructs, to further allow sprouting from and to capillary systems. Clearly, the fourth dimension comes into play, namely the time-component of maturation.

2. Tissue Assembly

In this section, we describe 3D tissue engineering methods that do not make overt use of the ink and paper analogy. In most cases, the methods of this type could be called “scaffold-free,” indicating a version of biofabrication where only cells are used, and the needed matrix is produced by the cells themselves. With regard to the configuration of the cells in the initial assembly step, this approach comes in two variants: A planar and a spherical mode.

2.1 Planar Biofabrication

This mode works with cell sheets that have a preferred two-dimensional distribution. In essence, this biofabrication method is a lamination approach and hinges on the adhesion of cells to a substrate and the ECM produced by them. Thanks to a thermosensitive polymer (NiPAAM and pNIPAAAM) as a support structure, a reduction of temperature below standard cultivation at 37°C will lead to a repulsion of the cell layer from the polymer, leading to the detachment of the cell culture as a contiguous cell sheet [32]. Several cell sheets can be stacked, thus resulting in a multilayered tissue [33]. The approach, originally proposed by Michael Sefton for drug delivery purposes, later developed as a tissue engineering mode [34] and was driven forward by Owaki *et al.* [35].

2.2 The Spheroidal Mode of Cell Assembling

2.2.1 Make and Cast

The 3D nature of tissues’ architecture is well captured by employing cell spheroids. Frequently referred to also as “microtissues” [36] they are increasingly preferred to isolated cells to improve the efficacy of cell therapy [37], or particularly as tumor models (oncospheres) [38]. When these spheroids are grown from stem cells, they are called “organoids” (or “embryoid bodies” in the case of embryonic or induced pluripotent stem cells), representing a promising new development in tissue engineering [39]. Alternatively, cell aggregates can be also prepared as cell cords, for example, for further processing into spheroids [40].

A completely different bioprinting approach is based on the notion that the cell clusters forming spheroids merge when brought into contact with each other [41]. The fusion of spheroids is based on the same principles that govern their formation in the first place: Minimization of a system’s potential energy, generated by the adhesive interactions between cells, through intercellular adhesion

molecules, mainly the cadherins and connexins [42]. The cell cytoskeleton [43] and metabolism may also have indirect roles but probably are less important than direct cell-cell attachment. A good argument that spheroid fusion is relatively well understood is the ability to simulate it by computer modeling on several platforms, for example, CompuCell3D [44], initially developed around this type of applications [45]. We recently expanded a similar model to capture the role of oxygen during spheroid fusion in larger structures [46].

Spheroid generation is best achieved by placing the cells on non-binding surfaces either in large flat or small, round wells [47]. Cells sedimenting on a surface that offers no anchorage will adhere to other cells thus forming spherical clusters, a tendency that can be promoted by small inverse pyramidal depressions [48]. Depending on geometry and coating, the latter allows for homodisperse spheroid sizes, while larger non-binding wells might give rise to numerous differently sized spheroids. The spheroids so formed then have to be repositioned to induce them to fuse into 3D structures. To this end, the spheroids can be placed in molds where they form 3D structures by fusing [41]. Tissue models of cartilage [49], or cardiac patches prepared from spheroids fused by flotation on culture medium [50], as well as vascular rings [51] and tumor models [52] assembled by magnetic force, have been described. As a variant, thicker honeycomb-shaped cell toroids prepared in molds, then stacked in register, and fused in larger constructs were proposed for improved distribution of nutrients, even in the absence of a bona fide vascularization [53].

2.2.2 Pick and Place

Alternatively, spheroids can be individually manipulated by dedicated instruments (such as the “Fabion” bioprinter [54]), and placed in a pattern on support where they fuse and form hollow or mixed massive structures. Interestingly, the targeted placement of spheroids on support (which some authors address as “biopaper” [55-57]) refers back to the printing analogy.

To facilitate the formation of 3D constructs from spheroids, a method was needed to keep them in contact long enough to effect fusion, and at the same time to allow the cells to produce their own ECM [58]. The companies Organovo [59] and 3D Bioprinting Solutions [54] are performing this step on their bioprinters using “fugitive” hydrogels as supports, which are removed after the spheroid fusion process.

2.2.3 Pick and Skewer

An ingenious solution to spheroids assembling problem has been developed in Japan [60]. Essentially, this is based on using a spheroids-assembling robot, which skewers them on a rectangular array of stainless steel micro-needles (“Kenzan”) [7]. Although technologically

different, this method has common goals with regular bioprinting, such as the use of a nozzle to place the cells in a layer-by-layer formed 3D pattern, as well as the computer-assisted design and operation.

Recently, several pre-clinical examples of “Kenzan” scaffold-free biofabrication have been reported: Surgically-robust small diameter vascular grafts [61], tracheal [62] and urethral [63] tubes, neural bridges [64], beating cardiac patches [65], liver buds [66], and gastric diaphragm [63]. However, the Kenzan method is not without its own limitations, as we commented previously [7]. Among the more significant ones are: (i) Inability to import anatomically-correct 3D images; (ii) dependence of this method on the cells’ propensity to make spheroids of required size (commensurate with the inter-needle distance); (iii) secretion of a matrix strong enough to keep the construct compact; and (iv) length of the constructs limited to that of the microneedles.

3. Hybrid Biofabrication

Since the inception of bioprinting, those involved in its development rightly appreciated the difficulties derived from the use of a biomaterial and contemplated alternatives (for instance, [64]). These consist of using cell spheroids as building blocks for direct assembling the 3D construct, even if the spheroids themselves may require temporary support of some sort (such as “fugitive” hydrogels, or mechanical assistance).

Thus, some of the properties of scaffold-free biofabrication could be complemented, at least in part, by including biomaterials into the “scaffold-free” constructs. For example, these biomaterials could compensate for the slower intrinsic secretion of an ECM by some cell types, when prepared as spheroids. Alternatively, “classical” bioprinting may also benefit from several principles of the scaffold-free approach. Combined, this technological inter-breeding establishes the field of “hybrid” biofabrication.

The use of sacrificial hydrogels for holding spheroids in place, until fusion and during a “post-printing maturation” phase [54,65], is a typical example of hybrid bioprinting. Combination of spheroids with hydrogels could be profitable for regular bioprinting as well if instead of single-cell suspensions pre-formed spheroids are mixed within the bioink. In practice, this solution has been used to increase the human adipose-derived stromal cells survival and promoted their ability to differentiate after bioprinting [66]. The bioprinter can be also used to prepare cells mixed in bioinks of alginate [67] or collagen [68] in droplet form, as an efficient method of cell encapsulation, for subsequent *in vitro* or *in vivo* deployment. Moreover, the addition of fibrillary materials to hydrogels has been shown to improve their mechanical properties, thus generating stronger tissue-like constructs [30,69,70].

Direct encapsulation of spheroids in polymeric cages [71], or incorporation of fibrillary matrix fragments into spheroids [72] are other examples of hybrid biofabrication, with the potential to improve spheroid-based tissue engineering. For example, polycaprolactone was prepared as a fibrillary mesh by electrospinning, then fragmented by limited hydrolysis. The resulting material was added to mesenchymal stromal cells during centrifugation-assisted spheroid preparation, becoming uniformly distributed within their volume. Consequently, the resulting spheroids were less compact, and thus better aerated and nurtured, as compared to their scaffold-free counter-parts, which positively impacted on cell survival and osteogenic differentiation [72].

4. Post-printing Considerations

One of the big questions for all modes of biofabrication is what happens after the initial assembly of cells and spheroids, namely how will the cells captured in scaffolds survive, differentiate and proliferate? And how will spheroids hold together: Will there be sufficient intrinsic ECM produced to generate tissue-specific and tissue-preserving connective tissue? In fact, the post-printing ECM formation and remodeling is one of the biggest current challenges in biofabrication. ECM components are made by quite a variety of cells, but the immobilization and their firm deposition around the cells is a challenge in standard aqueous culture conditions. One way to enhance ECM accumulation is the application of macromolecular crowding (MMC). Of relevance to this discussion is the use of polydisperse additives, some akin to “particulate” hydrogels, known MMC agents [73].

A special class of polymers with hydrogel-like properties, consisting of smaller molecular weight compounds than those commonly used for tissue engineering and bioprinting (e.g. Ficol 400), are increasingly used to bring the molecular concentration of the extracellular milieu to values close to those encountered in natural tissues [74]. These molecules produce the biophysical effect of MMC and are referred to simply as “crowders.” By size, charge, and hydration shell they exclude volume and collectively generate a fractional volume occupancy [75]. This has important consequences on the codissolved materials. The effects of MMC are mediated through an increase in the chance of direct interaction between the active molecules, thus accelerating the speed of their aggregation, or increasing their stability or the rate of their processing by codissolved enzymes. Collectively, these effects lead to a denser, more structured ECM, a phenomenon studied mostly in terms of the properties of collagen I microfibrils [75-77], but also of other types of collagen, as well as laminin, fibronectin, etc. [78]. Addition of MMC to the medium used for spheroids preparation enhances matrix deposited and thus may facilitate their aggregation, shape,

and stability [79]. This concept has been recently applied to bioprinting, as a means to manipulate the porosity within multilayered collagen-based hydrogels, by altering the collagen fibrillogenesis process through controlling the number of macromolecule-based bioink droplets printed on each collagen layer [80].

In summary, “hybrid biofabrication” is the cross-pollination between approaches, methods, and materials already common in this field as elsewhere in technology, which could be further expanded and exploited as a proven method to programmatically increase the “vitality” (i.e., applicability and value generation) of the resulting products.

Following the basic structure of tissues which contain cells, extracellular matrix, and fluids, the composition of biofabricated tissue analogs may vary from biomaterials-only, to cell suspensions, or cells-only constructs, with numerous intermediaries or “hybrid” situations (e.g., spheroids in hydrogels or fibers in spheroids as illustrated here). Correspondingly, these constructs can be used in a spectrum of applications ranging from inorganic biocompatible prostheses to live cell-based implants. Macromolecular crowding agents can be also added to cell preparations to bring their environment closer to the natural density. The methods of bioprinting may also come in these two versions, namely biomaterial-based or cell-based (“scaffold-free”).

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