

# Composite GRFS and CRFS Outcomes After Adult Alternative Donor HCT

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**PURPOSE** There is no consensus on the best choice of an alternative donor (umbilical cord blood [UCB], haploidentical, one-antigen mismatched [7/8]–bone marrow [BM], or 7/8–peripheral blood [PB]) for hematopoietic cell transplantation (HCT) for patients lacking an HLA-matched related or unrelated donor.

**METHODS** We report composite end points of graft-versus-host disease (GVHD)–free relapse-free survival (GRFS) and chronic GVHD (cGVHD)–free relapse-free survival (CRFS) in 2,198 patients who underwent UCB (n = 838), haploidentical (n = 159), 7/8–BM (n = 241), or 7/8–PB (n = 960) HCT. All groups were divided by myeloablative conditioning (MAC) intensity or reduced intensity conditioning (RIC), except haploidentical group in which most received RIC. To account for multiple testing,  $P < .0071$  in multivariable analysis and  $P < .00025$  in direct pairwise comparisons were considered statistically significant.

**RESULTS** In multivariable analysis, haploidentical group had the best GRFS, CRFS, and overall survival (OS). In the direct pairwise comparison of other groups, among those who received MAC, there was no difference in GRFS or CRFS among UCB, 7/8–BM, and 7/8–PB with serotherapy (alemtuzumab or antithymocyte globulin) groups. In contrast, the 7/8–PB without serotherapy group had significantly inferior GRFS, higher cGVHD, and a trend toward worse CRFS (hazard ratio [HR], 1.38; 95% CI, 1.13 to 1.69;  $P = .002$ ) than the 7/8–BM group and higher cGVHD and trend toward inferior CRFS (HR, 1.36; 95% CI, 1.14 to 1.63;  $P = .0006$ ) than the UCB group. Among patients with RIC, all groups had significantly inferior GRFS and CRFS compared with the haploidentical group.

**CONCLUSION** Recognizing the limitations of a registry retrospective analysis and the possibility of center selection bias in choosing donors, our data support the use of UCB, 7/8–BM, or 7/8–PB (with serotherapy) grafts for patients undergoing MAC HCT and haploidentical grafts for patients undergoing RIC HCT. The haploidentical group had the best GRFS, CRFS, and OS of all groups.

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## INTRODUCTION

In the absence of an HLA-matched related or unrelated donor (URD) for hematopoietic cell transplantation (HCT), umbilical cord blood (UCB), haploidentical, single HLA-locus mismatched (7/8)–bone marrow (BM), or 7/8–peripheral blood (PB) represent the most common alternative donor and graft options. Alternative donor HCTs are increasing,<sup>1,2</sup> because the probability of finding 8/8 HLA-matched URDs varies widely from 75% among some whites to only 16% among certain blacks.<sup>3</sup> Although the ongoing Blood and Marrow Transplant Clinical Trials Network

1101 (ClinicalTrials.gov identifier: [NCT01597778](https://clinicaltrials.gov/ct2/show/study/NCT01597778)) trial is prospectively comparing haploidentical versus UCB HCTs using reduced intensity conditioning (RIC), only a few studies comparing the array of alternative donor options for HCT have been reported.<sup>4-11</sup> With the incorporation of novel graft-versus-host disease (GVHD) prophylaxis that includes post-transplantation cyclophosphamide (PTCy), comparisons of contemporary experience with alternative donor choices are further limited.

We compared mortality and ongoing GVHD- and relapse-associated morbidity after alternative donor HCT,

## ASSOCIATED CONTENT

### Data Supplement

Author affiliations and support information (if applicable) appear at the end of this article.

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## CONTEXT

### Key Objective

This article answers a key question about the best donor or graft source for patients lacking HLA-matched donors.

### Knowledge Generated

Patients with a haploidentical donor (using a BM graft and RIC) had the best GVHD-free relapse-free survival (GRFS) and overall survival (OS) compared with those who had a one-antigen mismatched unrelated donor using either a BM or PB graft or those receiving a UCB graft after either RIC or myeloablative conditioning (MAC).

### Relevance

Among patients lacking HLA-matched donors, these data support the use of haploidentical BM graft for those receiving RIC and either PB with serotherapy, BM, or UCB grafts for those receiving MAC.

using data from the Center for International Blood and Marrow Transplant Research (CIBMTR). We evaluated two composite end points: (1) GRFS and (2) chronic GVHD (cGVHD)-free relapse-free survival (CRFS).<sup>12</sup> GRFS is defined as the absence of grade 3 to 4 acute GVHD (aGVHD), cGVHD requiring systemic therapy, relapse, or death. CRFS is defined as the absence of cGVHD requiring systemic therapy, relapse, or death. We previously reported that BM grafts from matched sibling donors led to the best GRFS compared with PB grafts from any donor or with UCB,<sup>13,14</sup> but we did not compare these with haploidentical donors who were using PTCy. Herein, we analyzed GRFS and CRFS among alternative donor HCTs, including UCB, haploidentical, URD 7/8-BM, or URD 7/8-PB grafts.

## MATERIALS AND METHODS

### Objectives

The primary objective was to compare GRFS and CRFS among adults (age 18 years or older) with hematologic malignancies who underwent a first alternative donor HCT (excluding HLA-matched sibling or URD). Secondary objectives were to compare events that contributed to GRFS and CRFS among different groups.

### Patient Population

The study population consisted of patients with acute myeloid leukemia (AML), acute lymphoblastic leukemia (ALL) in remission, chronic myeloid leukemia, or myelodysplastic syndrome who received an alternative donor HCT from 2003 to 2014. Only haploidentical HCT with PTCy and only those UCB transplantations using fludarabine, cyclophosphamide, and total body irradiation (TBI) conditioning were included. A majority of UCB donors and most 7/8-URDs (64%) had antigen-level HLA data. Given similar outcomes with allele and antigen mismatches in 7/8-URDs,<sup>15,16</sup> these were analyzed together. Exclusion criteria were previous autologous or allogeneic HCT or UCB transplantation with any unit having less than a 4/6 HLA match. We also excluded HCT with ex vivo T-cell-depleted or CD34<sup>+</sup> selected grafts.

All groups except the haploidentical one were analyzed by conditioning intensity subgroup: MAC versus RIC per standard criteria,<sup>17</sup> and by the use of serotherapy with either alemtuzumab or antithymocyte globulin. The haploidentical group was analyzed as a single cohort because the majority (78%) received RIC and BM grafts (71%) and none received serotherapy. The 7/8 BM-RIC groups (with [n = 26] and without [n = 17] serotherapy) were excluded because of small numbers. Both UCB-RIC groups (with [n = 122] and without [n = 322] serotherapy) were combined because no significant differences were noted between the groups in any outcomes tested in pairwise comparisons. Both 7/8 BM-MAC groups (with [n = 91] and without [n = 150] serotherapy) were combined for the same reason. Both UCB-MAC groups (with [n = 10] and without [n = 384] serotherapy) were combined because few patients received serotherapy. Overall, eight groups were compared: haploidentical, UCB-MAC, UCB-RIC, 7/8-BM-MAC, 7/8-PB (MAC, no serotherapy), 7/8-PB (MAC + serotherapy), 7/8-PB (RIC, no serotherapy), and 7/8-PB (RIC + serotherapy).

### Definitions and Statistical Analysis

Haploidentical donors were defined as related donors mismatched at one or more HLA-loci. Relapse or progression was defined as the time to recurrence or progression of the underlying malignancy, with death without relapse or progression (nonrelapse mortality [NRM]) treated as a competing risk. Disease-free survival (DFS) was defined as the time from HCT to relapse or progression or death. OS was the time from HCT to death from any cause. aGVHD<sup>18</sup> and cGVHD<sup>19,20</sup> were diagnosed according to standard criteria, although National Institute of Health criteria<sup>21</sup> for cGVHD were not prospectively used in reports to the CIBMTR during most of the study period.

Multivariable analysis was performed using Cox proportional hazards modeling on cause-specific hazards for all outcomes. Because the follow-up period in the haploidentical group was considerably shorter (median, 25 months) than that in other groups, all patients were

**TABLE 1.** Patient Characteristics.

Variable	UCB												7/8-PB					
	Haploidentical <sup>a</sup>			MAC <sup>b</sup>			RIC <sup>b</sup>			7/8 BM (MAC) <sup>b</sup>			MAC + Serotherapy		RIC + Serotherapy		RIC, No Serotherapy	
	No.	%		No.	%		No.	%		No.	%		No.	%	No.	%	No.	%
No. of patients	159		394		444		241		256		368		157		179			
Recipient age, years																		
Median (range)	58 (20-76)		35 (18-68)		59 (19-73)		38 (18-64)		43 (18-72)		42 (18-72)		59 (19-72)		61 (21-74)			
18-29	17	11	146	37	22	5	83	34	59	23	82	22	7	4	2			
30-39	12	8	107	27	27	6	53	22	51	20	86	23	9	6	5			
40-49	29	18	90	23	57	13	56	23	66	26	104	28	14	9	14			
50-59	33	21	44	11	137	31	43	18	62	24	74	20	60	38	59			
60-69	58	36	7	2	179	40	6	2	16	6	21	6	62	39	85			
70+	10	6	0	0	22	5	0	0	2	1	1	< 1	5	3	14			
Males	94	59	204	52	228	51	126	52	134	52	212	58	79	50	106			
Female donor to male recipient	26	16	103	26	116	26	52	22	48	19	78	21	28	18	33			
Disease																		
AML	75	47	200	51	275	62	107	44	146	57	162	44	86	55	93			
ALL	30	19	137	35	63	14	71	29	49	19	91	25	12	8	14			
CML	12	8	33	8	16	4	45	19	18	7	69	19	6	4	8			
MDS	42	26	24	6	90	20	18	7	43	17	46	13	53	34	64			
Revised DRI																		
Low/intermediate	115	72	303	77	361	81	178	74	199	78	279	76	119	76	121			
High/very high	30	19	78	20	62	14	47	20	40	16	65	18	21	13	31			
Missing	14	9	13	3	21	5	16	7	17	7	24	7	17	11	27			
HCT comorbidity index																		
0	59	37	136	34	99	22	42	17	56	22	53	14	24	15	28			
1-2	42	26	111	28	123	28	18	8	58	23	46	12	25	16	20			
≥ 3	58	37	99	25	171	38	26	11	49	19	51	14	44	28	49			
Unavailable pre-2008	0	0	46	12	48	11	152	63	86	33	216	59	59	38	79			
Missing	0	0	2	1	3	1	3	1	7	3	2	1	5	3	3			
Karnofsky performance score																		
< 90	54	34	81	21	136	31	51	21	81	32	100	27	47	30	81			
> 90	100	63	305	77	303	68	168	70	168	66	253	69	102	65	88			
Missing	5	3	8	2	5	1	22	9	7	3	15	4	8	5	10			

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**TABLE 1.** Patient Characteristics. (continued)

Variable	UCB												7/8-PB												
	Haploidentical <sup>a</sup>			MAC <sup>c</sup>			RIC <sup>b</sup>			7/8 BM (MAC) <sup>b</sup>			MAC + Serotherapy			RIC + Serotherapy			RIC, No Serotherapy						
	No.	%		No.	%		No.	%		No.	%		No.	%		No.	%		No.	%		No.	%		
GVHD prophylaxis																									
Cyclophosphamide, + others	159	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Calcineurin inhibitor + mycophenolate mofetil + others	0	357	91	382	86	20	8	40	16	69	19	77	49	95	53										
Calcineurin inhibitor + methotrexate + others	0	3	1	6	1	197	82	161	63	262	71	53	34	70	39										
Calcineurin inhibitor + others	0	28	7	50	11	20	8	50	20	33	9	25	16	11	6										
Missing	0	6	2	6	1	4	2	5	2	4	1	2	1	3	2										
Serotherapy (antithymocyte globulin or alemtuzumab) used in conditioning regimen or GVHD prophylaxis																									
Yes	0	10	3	122	27	91	38	256	0	157	0	157	0	0											
No	159	384	97	322	73	150	62	0	368	0	179	0	179	0											
Recipient race/ethnicity																									
White, non-Hispanic	88	55	215	55	335	75	168	170	66	288	78	121	77	159	89										
White, Hispanic	17	11	65	16	31	7	21	41	16	33	9	11	7	4	2										
Non-white, non-Hispanic	47	30	95	24	69	16	43	40	16	35	10	21	13	10	6										
Non-white, Hispanic	2	1	5	1	3	1	0	2	1	1	0	0	0	0											
Missing	5	3	14	4	6	1	9	3	1	11	3	4	3	6	3										
Donor-recipient CMV status																									
-/-	37	23	137	35	148	33	54	55	21	123	33	42	27	46	26										
-/+	44	28	252	64	295	66	88	89	35	103	28	49	31	72	40										
+/-	15	9	0	0	0	26	11	28	11	37	10	13	8	21	12										
+/+	60	38	0	0	0	67	28	76	30	90	24	52	33	36	20										
Missing	3	2	5	1	1	0	6	8	3	15	4	1	1	4	2										

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censored at 3 years after transplantation (75th percentile of the follow-up time for surviving patients in the haploidentical group). All adjusted factors were tested for affirmation of the proportional hazards assumption using a time-dependent covariate approach. No factor violated this assumption, except the main testing variable (donor/graft), which violated the assumption for GRFS, CRFS, and cGVHD. To cope with this violation, we applied a weighted Cox regression approach<sup>22,23</sup> for GRFS, CRFS, and cGVHD to compare the average hazards of each donor/graft cohort. A stepwise forward model was built for each outcome by selecting adjusted factors using a threshold of 0.05 for both entry and retention in the model. All variables and categorization as listed in Table 1 were considered in the stepwise model for all outcomes, with  $P < .05$  considered significant for the covariates. The center effect was adjusted via robust sandwich estimates. No two-way interactions between donor/graft and the adjusted clinical variables in the models were detected at a 0.01 significance level. Adjusted plots were created on the basis of the stratified Cox model<sup>24</sup> for GRFS, CRFS, OS, and DFS, and a subdistribution hazards model<sup>25</sup> was created for cumulative incidence of relapse.

To adjust for multiple testing of the donor/graft variable for several outcomes,  $P < .0071$  (0.05/7) for the donor/graft variable was considered statistically significant in the multivariable regression analysis (while not adjusting for the number of pairwise comparisons of individual donor/graft types to haploidentical types), and  $P < .00025$  (0.0071/28) was considered significant for direct pairwise comparisons between multiple subgroups. All  $P$  values presented are two-sided. Data were analyzed by using SAS software version 9.4 (SAS Institute, Cary, NC).

## RESULTS

The analysis involved 2,198 patients who had UCB ( $n = 838$ ), haploidentical ( $n = 159$ ), 7/8-BM ( $n = 241$ ), or 7/8-PB grafts ( $n = 960$ ; Table 1). The most common diagnoses were AML (52%) and ALL (21%). A majority (76%) had low or intermediate revised Disease Risk Index (DRI),<sup>26</sup> and about 25% had an HCT comorbidity index (HCT-CI)<sup>27</sup> score of 3 or greater. Patients who received MAC were younger (group median age ranged from 35 to 43 years) than those in the RIC cohorts (median age ranged from 59 to 61 years). GVHD prophylaxis differed among groups: mycophenolate mofetil-based regimens were used predominantly in the UCB (88%) and the 7/8-PB-RIC groups (51%), whereas methotrexate-based prophylaxis was used more commonly in the 7/8-BM (82%) and the 7/8-PB-MAC (68%) groups. In the UCB group, a majority of patients (81%) received double-unit grafts; the median pre-cryopreserved dose of total nucleated cells (TNCs) was  $5 \times 10^7$  per kg (range, 1 to  $17 \times 10^7$  per kg) and only approximately 10% had a TNC dose of less than  $3 \times 10^7$  per kg. Most patients in the haploidentical group received BM grafts (71%) and RIC

(78%), and a large majority (82%) of the grafts were recent (between 2012 and 2014). Therefore, follow-up of the haploidentical group (median, 25 months) was noticeably shorter than that for other groups (55 to 91 months). In the haploidentical RIC group, the most commonly used regimen was fludarabine, cytarabine, and TBI ( $n = 95$  [77%]; Data Supplement). The Data Supplement also contains the univariable estimates of GRFS, CRFS, aGVHD 3 to 4, cGVHD, relapse, DFS, and OS.

### GRFS

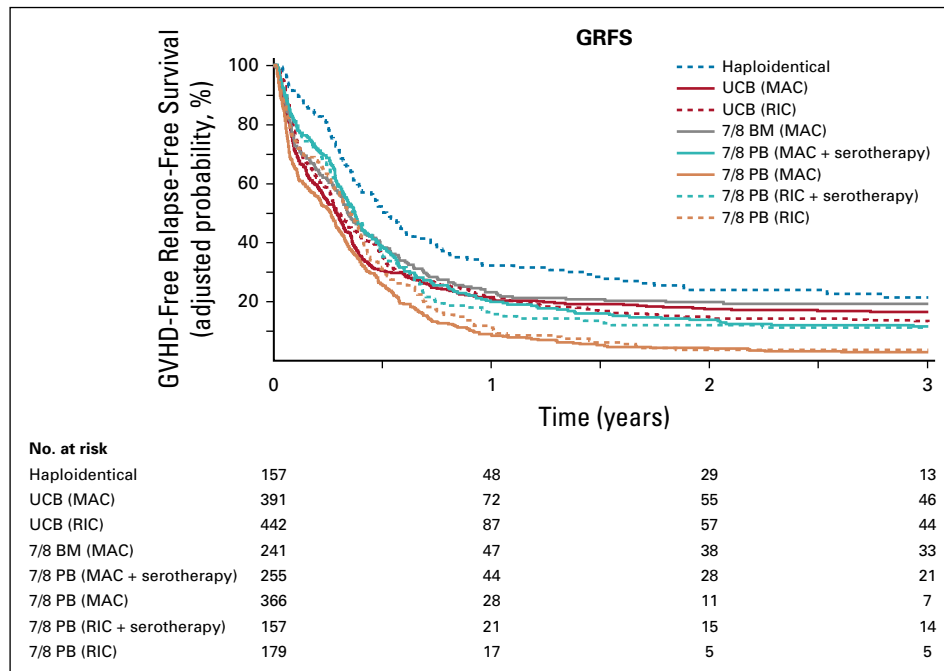
In multivariable analysis, patients with haploidentical grafts had the best GRFS of all the groups (7/8-PB [MAC or RIC, with or without serotherapy]; 7/8-BM-MAC; and UCB [MAC or RIC]) as shown in Figure 1 and detailed in Table 2. Patients with high or very high DRI, Karnofsky performance score (KPS) below 90, and HCT-CI of 3 or greater had significantly inferior GRFS, whereas patients with a recent HCT (2012 to 2014) had superior GRFS. Among the patients with UCB grafts, the degree of HLA matching had no impact on GRFS (Data Supplement); hence, they were not compared with other groups. In the direct pairwise comparisons, among the group of patients who received MAC, we found no differences in GRFS between those who received UCB, 7/8-BM, or 7/8-PB (MAC + serotherapy) grafts, whereas those who received a 7/8-PB (MAC without serotherapy) graft had significantly inferior GRFS compared with those who received a 7/8-BM graft (hazard ratio [HR], 1.50; 95% CI, 1.22 to 1.84;  $P = .0001$ ) or a 7/8-PB (MAC with serotherapy) graft (HR, 1.65; 95% CI, 1.35 to 2.00;  $P < .0001$ ; Data Supplement).

### CRFS

In multivariable analysis, the haploidentical group had the best CRFS compared with other groups (Fig 2; Table 2). Patients with high or very high DRI and those with a KPS below 90 had inferior CRFS. In the direct pairwise comparisons among the MAC group, we found no differences in CRFS between the UCB, 7/8-BM, and the 7/8-PB (MAC + serotherapy) groups, whereas the 7/8-PB (MAC without serotherapy) group had significantly inferior CRFS compared with the 7/8-PB (MAC with serotherapy) group (HR, 1.41; 95% CI, 1.17 to 1.69;  $P = .0002$ ) and a trend toward inferior CRFS compared with the UCB group (HR, 1.36; 95% CI, 1.14 to 1.63;  $P = .0006$ ) and the 7/8-BM group (HR, 1.38; 95% CI, 1.13 to 1.69;  $P = .002$ ; Data Supplement).

### Component End Points of Grade 3 to 4 aGVHD, cGVHD, and Relapse

**aGVHD grade 3 to 4.** In multivariable analysis, we found no differences in the risk of aGVHD between haploidentical, UCB-RIC, and 7/8-PB (RIC + serotherapy) groups. In contrast, aGVHD was significantly higher in all MAC groups (7/8-BM, 7/8-PB, and UCB) and in the 7/8-PB (RIC without serotherapy) group. No other factors influenced the risk of aGVHD grade 3 to 4 (Table 3). In the direct pairwise



**FIG 1.** Adjusted graft-versus-host-disease (GVHD)-free relapse-free survival (GRFS) after receiving an HCT with haploidentical, umbilical cord blood (UCB) with myeloablative conditioning (MAC), UCB with reduced intensity conditioning (RIC), 7/8 bone marrow (BM) with MAC, 7/8 peripheral blood (PB) with MAC + serotherapy, 7/8 PB with MAC, 7/8 PB with RIC + serotherapy, or 7/8 PB with RIC grafts. The adjusted factors are the variables listed in the multivariable regression analysis (Table 2).

comparisons of MAC groups, we found no differences between the UCB and 7/8-BM groups, whereas the 7/8-PB without serotherapy group had significantly higher risk of aGVHD compared with the 7/8-PB with serotherapy group (HR, 2.25; 95% CI, 1.60 to 3.18;  $P < .0001$ ) and a trend toward higher risk of aGVHD than the 7/8-BM group (HR, 1.65; 95% CI, 1.23 to 2.21;  $P = .0009$ ). Among RIC groups, we found no differences in the risk of aGVHD between the UCB and 7/8-PB + serotherapy groups, whereas the 7/8-PB without serotherapy group had a trend toward higher risk of aGVHD than the UCB group (HR, 1.79; 95% CI, 1.27 to 2.54;  $P = .001$ ; Data Supplement).

**cGVHD.** In multivariable analysis, we found no differences in the risk of cGVHD between haploidentical and UCB-RIC groups, whereas all groups with 7/8-PB (MAC or RIC + serotherapy), UCB-MAC, and 7/8-BM-MAC grafts had significantly higher risk. There were no other significant predictors of cGVHD (Table 3). In the direct pairwise comparisons of MAC groups, we found no difference in the risk of cGVHD between patients with UCB, 7/8-BM, or 7/8-PB (with serotherapy) grafts; however, the 7/8-PB (without serotherapy) group had a significantly higher risk of cGVHD than the UCB group (HR, 1.77; 95% CI, 1.42 to 2.22;  $P < .0001$ ) compared with both the 7/8-BM group (HR, 1.71; 95% CI, 1.34 to 2.19;  $P < .0001$ ) and the 7/8-PB with serotherapy group (HR, 1.76; 95% CI, 1.40 to 2.21;  $P < .0001$ ). Among RIC groups, the 7/8-PB without serotherapy group had a significantly higher risk of cGVHD (HR, 2.74; 95% CI, 2.06 to 3.65;  $P < .0001$ ). The 7/8-PB + serotherapy group trended toward a higher risk of cGVHD (HR, 1.58; 95% CI, 1.12 to 2.23;  $P = .009$ ) than the UCB group (Data Supplement).

**Relapse.** In multivariable analysis, we found no difference in the risk of relapse among patients with haploidentical, UCB-RIC, and all 7/8-PB (MAC or RIC, with or without serotherapy) grafts, whereas the patients who received UCB-MAC or 7/8-BM-MAC grafts had significantly lower risk than those who received haploidentical grafts (Fig 3A; Table 3). Patients with high or very high DRI and those with KPS below 90 had a significantly higher risk of relapse. In the direct pairwise comparisons of MAC groups, no significant differences were noted. Among RIC groups, the 7/8-PB without serotherapy group (HR, 0.52; 95% CI, 0.32 to 0.74;  $P = .0008$ ) had a trend toward lower risk of relapse than the UCB group (Data Supplement).

**DFS.** In multivariable analysis, the UCB-RIC group had significantly inferior DFS, whereas no differences were noted in other groups compared with the haploidentical group (Fig 3B; Table 3). Other factors associated with worse DFS included age older than 50 years, high or very high DRI, and KPS below 90. In the direct pairwise comparisons (excluding the haploidentical group), we found no differences in DFS among groups (Data Supplement).

**OS.** In multivariable analysis, the patients who received haploidentical grafts had superior OS compared with all other groups (Fig 3C; Table 3). Age older than 50 years, high or very high DRI, HCT-CI of 3 or greater, and KPS of 90 or lower were significantly associated with poor OS. In the direct pairwise comparisons (excluding the haploidentical group), we found no differences in OS among groups (Data Supplement).

#### Engraftment

The median time to neutrophil engraftment was 17 days (range, 16 to 18 days) in the haploidentical group, which

**TABLE 2.** Multivariable Regression Analysis of GRFS and CRFS

Factor	GRFS			CRFS		
	HR	95% CI	P	HR	95% CI	P
Donor type			<b>&lt; .0001</b>			<b>&lt; .0001</b>
Haploidentical	1.00					
UCB (MAC)	1.90	1.50 to 2.42	<b>&lt; .0001</b>	1.50	1.17 to 1.91	<b>.0011</b>
UCB (RIC)	1.71	1.36 to 2.15	<b>&lt; .0001</b>	1.75	1.38 to 2.23	<b>&lt; .0001</b>
7/8 BM (MAC)	1.58	1.20 to 2.08	<b>.001</b>	1.48	1.12 to 1.95	<b>.006</b>
7/8 PB (MAC + serotherapy)	1.44	1.12 to 1.86	<b>.005</b>	1.45	1.13 to 1.87	<b>.004</b>
7/8 PB (MAC, no serotherapy)	2.37	1.84 to 3.05	<b>&lt; .0001</b>	2.04	1.60 to 2.61	<b>&lt; .0001</b>
7/8 PB (RIC + serotherapy)	1.64	1.23 to 2.18	<b>.0007</b>	1.82	1.36 to 2.41	<b>.0001</b>
7/8 PB (RIC, no serotherapy)	1.97	1.50 to 2.58	<b>&lt; .0001</b>	1.92	1.47 to 2.51	<b>&lt; .0001</b>
Revised DRI			<b>.0001</b>			<b>.00020</b>
Low/intermediate	1.00			1.00		
High/very high	1.32	1.15 to 1.51	<b>&lt; .0001</b>	1.31	1.14 to 1.50	<b>.0001</b>
Missing	0.88	0.71 to 1.09	.25	0.89	0.72 to 1.09	.26
Karnofsky performance score			.10			<b>.008</b>
< 90	1.00			1.00		
> 90	0.88	0.78 to 0.99	<b>.04</b>	0.83	0.73 to 0.93	<b>.002</b>
Missing	1.00	0.74 to 1.34	.98	0.91	0.69 to 1.20	.51
HCT comorbidity index			.08			.42
0	1.00			1.00		
1-2	1.13	0.97 to 1.32	.13	1.07	0.91 to 1.26	.41
≥ 3	1.18	1.02 to 1.38	<b>.03</b>	1.07	0.92 to 1.25	.26
Unavailable before 2008	0.93	0.75 to 1.14	.48	1.08	0.93 to 1.26	.33
Missing	0.79	0.47 to 1.34	.39	0.70	0.42 to 1.16	.17
Year of transplantation			.05	— <sup>a</sup>		
2003-2005	1.00					
2006-2008	0.89	0.73 to 1.08	.24	—	—	—
2009-2011	0.84	0.65 to 1.09	.19	—	—	—
2012-2014	0.72	0.55 to 0.94	<b>.02</b>	—	—	—

NOTE. P values in bold type represent statistically significant values ( $P < .0071$  for comparison of donor and graft type and  $P < .05$  for covariates).

Abbreviations: BM, bone marrow; CRFS, chronic graft-versus-host disease (cGVHD)-free relapse-free survival; DRI, Disease Risk Index; GRFS, GVHD-free relapse-free survival; HCT, hematopoietic cell transplantation; HR, hazard ratio; MAC, myeloablative conditioning; RIC, reduced intensity conditioning; PB, peripheral blood; UCB, umbilical cord blood.

<sup>a</sup>Covariate was not included in the model because it was not a significant predictor.

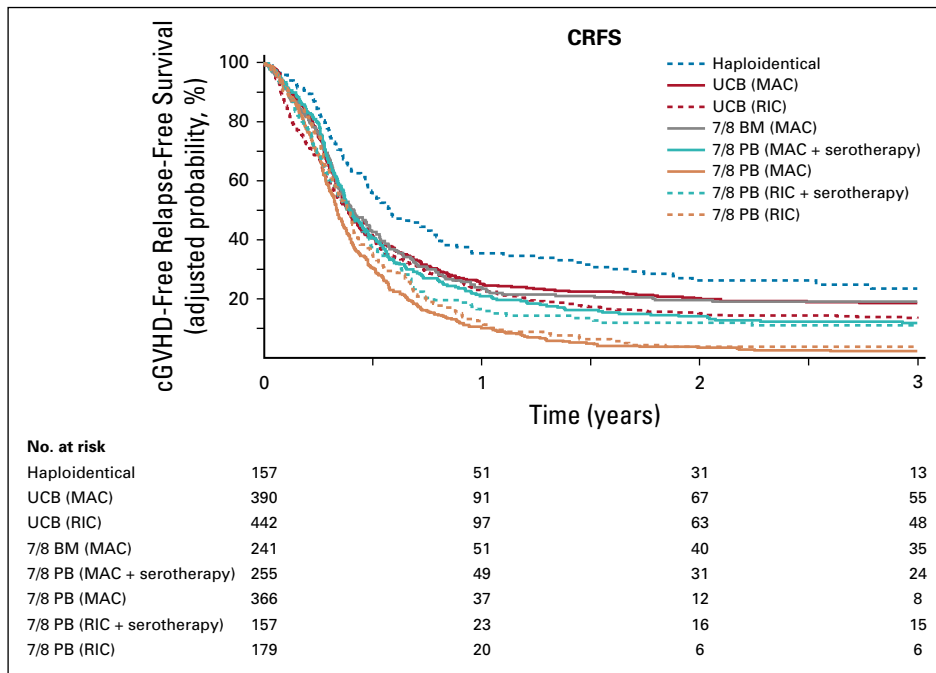
was comparable to that of the RIC-UCB group (16 days; range, 14 to 18 days) and was marginally longer than that for the 7/8-RIC PB groups (14 to 15 days). Among those who received MAC, it was 24 days (range, 23 to 25 days) in the UCB group, 20 days (range, 18 to 20 days) in the 7/8-BM group, and 13 days in both 7/8-PB groups (Data Supplement). The Data Supplement contains descriptions of events contributing to GRFS and CRFS and lists causes of death.

## DISCUSSION

We observed that the group of patients who received haploidentical HCT had the best long-term survival without GRFS

or CRFS events compared with all other 7/8-PB (MAC or RIC with or without serotherapy), UCB (MAC or RIC), or 7/8-BM-MAC groups. However, to facilitate the choice of an alternative donor, it is essential to interpret the outcomes in the context of conditioning intensity, a factor that is generally determined by a treating physician on the basis of an individual patient's status and cannot be controlled in retrospective analyses. We analyzed patients who received haploidentical grafts as a single group because a large majority (123 of 159) received RIC, and about two thirds were age 50 years or older, a group in which MAC and RIC most often yield comparable outcomes (NRM, relapse, DFS, and OS).<sup>28</sup>





**FIG 2.** Adjusted chronic graft-versus-host disease (cGVHD)-free relapse-free survival (CRFS) after receiving an HCT with haploidentical, umbilical cord blood (UCB) with myeloablative conditioning (MAC), UCB with reduced intensity conditioning (RIC), 7/8 bone marrow (BM) with MAC, 7/8 peripheral blood (PB) with MAC + serotherapy, 7/8 PB with MAC, 7/8 PB with RIC + serotherapy, or 7/8 PB with RIC grafts. The adjusted factors are the variables listed in the multivariable regression analysis (Table 2)

Within the RIC group, patients who received haploidentical grafts had the best GRFS, CRFS, and OS, which supports the use of haploidentical donors over others for patients undergoing RIC-HCT. In rare circumstances in which a haploidentical donor is not available, our data support the use of either 7/8-PB (RIC with or without serotherapy) or UCB-RIC. The use of UCB was associated with a somewhat higher risk of relapse but a somewhat lower risk of aGVHD and a significantly lower risk of cGVHD than 7/8-PB without serotherapy and a slightly lower risk of cGVHD than 7/8-PB + serotherapy, which led to similar GRFS, CRFS, DFS, and OS.

Among the MAC group, we found no differences in GRFS, CRFS, DFS, or OS among the patients who received UCB, 7/8-BM, or 7/8-PB + serotherapy grafts. However, the 7/8-PB without serotherapy group had significantly inferior GRFS compared with the 7/8-BM and 7/8-PB with serotherapy groups, significantly inferior CRFS compared with the 7/8-PB with serotherapy group, a trend toward inferior CRFS compared with the 7/8-BM and UCB groups, significantly higher risk of aGVHD compared with the 7/8-PB with serotherapy group, and significantly higher risk of cGVHD compared with the UCB, 7/8-BM, and 7/8-PB without serotherapy groups. Therefore, these data support the use of UCB, 7/8-BM, or 7/8-PB + serotherapy grafts for patients undergoing MAC-HCT. Conclusions about haploidentical MAC-HCT cannot be made from our analysis.

A randomized trial comparing MAC with RIC in an HLA-matched setting showed lower risk of relapse and improved OS with MAC.<sup>29</sup> Because there are no randomized studies on this subject, it is unclear whether the same holds true with mismatched donors, especially given the potentially

higher graft-versus-tumor effect in this setting. With the haploidentical group, a CIBMTR study<sup>30</sup> showed no difference in relapse but higher NRM and lower OS with MAC than RIC, whereas a study by the European Society for Blood and Marrow Transplantation (EBMT)<sup>31</sup> showed higher risk of relapse and poor DFS with RIC. In contrast, conditioning intensity was not associated with survival in some studies with other mismatched URDs.<sup>32-34</sup> In our study, MAC UCB and BM grafts, but not PB grafts, were associated with significantly lower risk of relapse than haploidentical grafts (predominantly RIC). In the direct pairwise comparisons of patients who received MAC versus RIC (except in the haploidentical group), we noted a higher risk of relapse with some, but not all RIC groups (Data Supplement), but no difference in GRFS, CRFS, DFS, or OS in any RIC versus MAC group.

Several studies independently compared one alternative donor with another. Outcomes with UCB grafts have been contrasted with those of one-antigen mismatched<sup>7,8,11</sup> BM<sup>7,35,36</sup> or PB<sup>7,36</sup> grafts from URDs and to haploidentical HCT.<sup>4,6,9</sup> Haploidentical HCT has been compared with one-antigen mismatched HCT.<sup>37</sup> No clear conclusion about the superiority of an alternative donor choice has emerged from these reports. Our study adds to these data by providing direct contemporaneous comparison of multiple alternative donors and graft sources. Moreover, it offers a global perspective of outcomes as assessed by the composite end points GRFS and CRFS in addition to the individual end points.

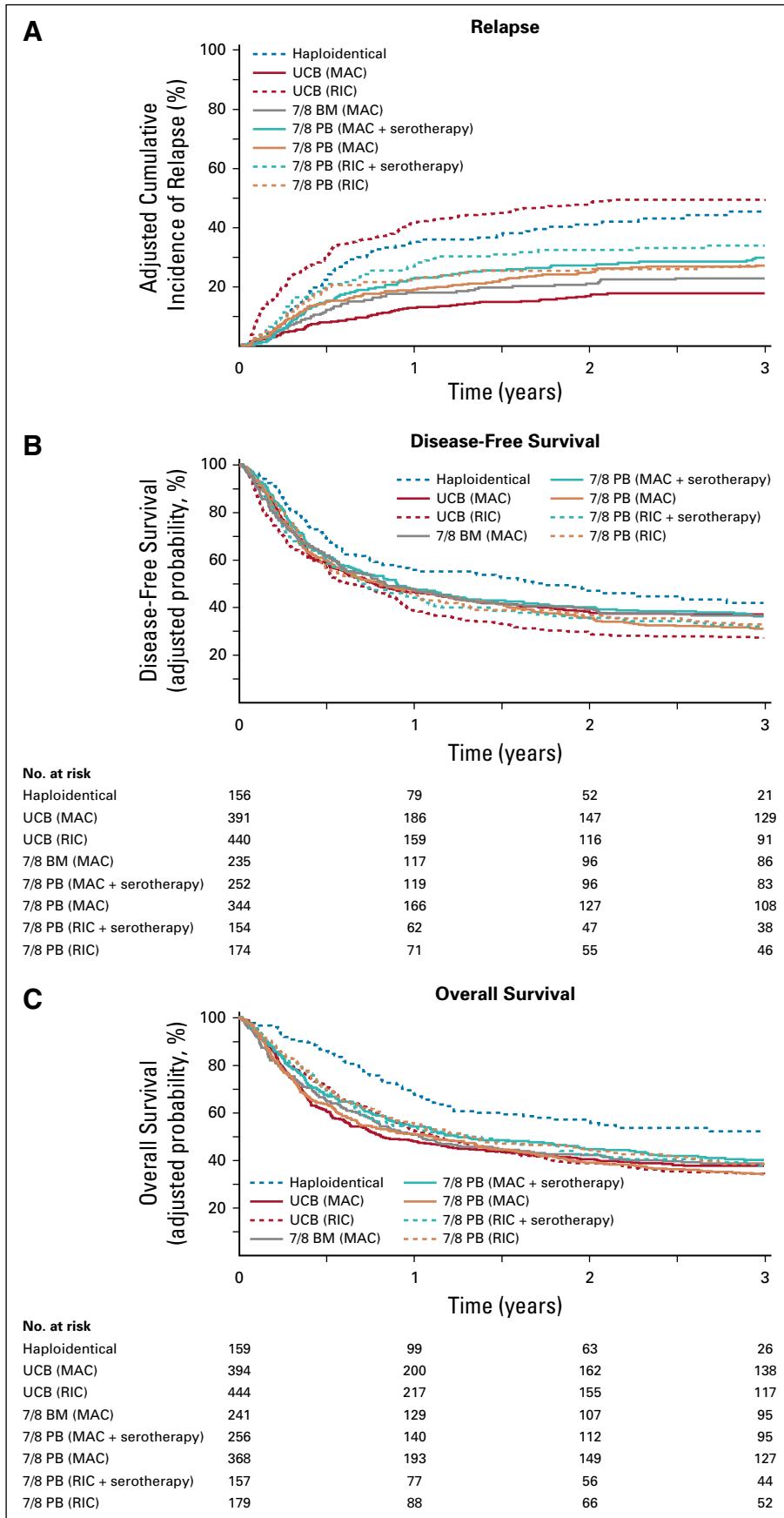
These comparisons incorporated differences in graft, donor, and GVHD prophylaxis but could not dissect the specific elements driving the outcomes. All haploidentical

**TABLE 3.** Multivariable Regression Analysis of aGVHD, cGVHD Relapse, and Survival aGVHD, Grade 3 to 4

Factor	aGVHD, Grade 3 to 4				cGVHD				Relapse				DFS				OS					
	HR	95% CI	P	< .0001	HR	95% CI	P	< .0001	HR	95% CI	P	< .0001	HR	95% CI	P	< .0001	HR	95% CI	P	< .0001		
Donor/graft type																						
Haploidentical	1.00				1.00				1.00				1.00				1.00					
UCB (MAC)	4.40	2.21 to 8.77	< .0001		2.03	1.46 to 2.83	< .0001		0.44	0.28 to 0.68	.0002		1.28	0.95 to 1.71	.10	1.73	1.29 to 2.32				.0003	
UCB (RIC)	2.20	1.13 to 4.29	.02		1.13	0.80 to 1.59	.49		1.47	1.01 to 2.12	.04		1.64	1.21 to 2.23	.001	1.69	1.24 to 2.30				.0008	
7/8 BM (MAC)	3.85	1.93 to 7.68	.0001		2.12	1.46 to 3.04	< .0001		0.57	0.39 to 0.84	.004		1.28	0.98 to 1.67	.07	1.65	1.23 to 2.22				.0010	
7/8 PB (MAC + serotherapy)	2.81	1.39 to 5.67	.004		2.05	1.46 to 2.88	< .0001		0.73	0.48 to 1.10	.13		1.25	0.94 to 1.65	.12	1.52	1.14 to 2.04				.005	
7/8 PB (MAC, no serotherapy)	6.34	3.18 to 12.6	< .0001		3.61	2.60 to 5.00	< .0001		0.68	0.47 to 0.98	.04		1.41	1.08 to 1.84	.01	1.81	1.36 to 2.40				< .0001	
7/8 PB (RIC + serotherapy)	2.07	0.98 to 4.38	.06		1.79	1.19 to 2.69	.006		0.91	0.59 to 1.42	.69		1.42	1.05 to 1.93	.02	1.58	1.17 to 2.13				.003	
7/8 PB (RIC, no serotherapy)	3.96	1.97 to 7.95	.0001		3.10	2.16 to 4.44	< .0001		0.71	0.45 to 1.12	.14		1.36	1.06 to 1.75	.02	1.55	1.25 to 1.92				.0001	
Revised DRI			.13				.03				< .0001				< .0001						< .0001	
Low/intermediate	1.00				1.00				1.00				1.00				1.00					1.00
High/very high	1.22	1.00 to 1.49	.05		0.82	0.66 to 1.00	.05		1.94	1.60 to 2.34	< .0001		1.61	1.42 to 1.82	< .0001	1.62	1.43 to 1.84				< .0001	
Missing	0.97	0.65 to 1.43	.86		0.76	0.58 to 1.00	.05		1.04	0.74 to 1.48	.81		1.02	0.84 to 1.24	.84	1.09	0.89 to 1.33				.42	
HCT comorbidity index			.88				.70				.50				.16							.03
0	1.00				1.00				1.00				1.00				1.00					1.00
1-2	1.11	0.85 to 1.43	.44		1.08	0.87 to 1.33	.48		0.91	0.66 to 1.27	.59		1.06	0.86 to 1.30	.58	1.10	0.91 to 1.32				.32	
≥ 3	1.13	0.86 to 1.49	.38		1.97	0.79 to 1.19	.78		0.93	0.73 to 1.18	.54		1.14	0.93 to 1.38	.20	1.23	1.03 to 1.47				.03	
Unavailable before 2008	1.03	0.79 to 1.36	.81		1.10	0.90 to 1.33	.35		1.07	0.83 to 1.38	.61		1.06	0.89 to 1.26	.52	1.15	0.97 to 1.36				.11	
Missing	1.15	0.68 to 1.95	.59		0.92	0.48 to 1.75	.79		0.65	0.35 to 1.20	.17		0.67	0.43 to 1.06	.09	0.66	0.38 to 1.15				.14	
Karnofsky performance score	— <sup>a</sup>		—		— <sup>a</sup>		—		—		.07		—		.005	—						.004
< 90	—		—		—		—		1.00				1.00			1.00						1.00
≥ 90	—		—		—		—		0.82	0.69 to 0.97	.02		0.81	0.72 to 0.92	.001	0.79	0.69 to 0.91				.0007	
Missing	—		—		—		—		0.81	0.49 to 1.34	.41		0.85	0.68 to 1.07	.18	0.86	0.66 to 1.13				.29	
Recipient age, years	— <sup>a</sup>		—		— <sup>a</sup>		—		—		.04		—		.06	—						.003
18-30	—		—		—		—		1.00				1.00			1.00						1.00
30-40	—		—		—		—		1.00	0.73 to 1.36	.99		1.08	0.91 to 1.28	.41	1.13	0.94 to 1.36				.20	
40-50	—		—		—		—		1.05	0.75 to 1.46	.80		1.14	0.97 to 1.34	.12	1.17	0.99 to 1.38				.07	
50-60	—		—		—		—		1.29	0.97 to 1.70	.08		1.31	1.07 to 1.59	.008	1.39	1.13 to 1.71				.002	
60-70	—		—		—		—		1.13	0.81 to 1.58	.46		1.29	1.05 to 1.59	.01	1.50	1.23 to 1.83				.0001	
70+	—		—		—		—		1.69	1.16 to 2.47	.006		1.41	1.07 to 1.88	.02	1.50	1.11 to 2.02				.008	

NOTE. P values in bold type represent statistically significant values ( $P < .0071$  for comparison of donor and graft type and  $P < .05$  for covariates). Abbreviations: aGVHD, acute graft-versus-host disease; BM, bone marrow; cGVHD, chronic graft-versus-host disease; DFS, disease-free survival; DRI, Disease Risk Index; GVHD, graft-versus-host disease; HCT, hematopoietic cell transplantation; HR, hazard ratio; MAC, myeloablative conditioning; OS, overall survival; RIC, reduced intensity conditioning; PB, peripheral blood; UCB, umbilical cord blood.

<sup>a</sup>Covariate was not a significant predictor for that particular outcome



**FIG 3.** Adjusted (A) relapse, (B) disease-free survival, and (C) overall survival after receiving an HCT with haploidentical, umbilical cord blood (UCB) with myeloablative conditioning (MAC), UCB with reduced intensity conditioning (RIC), 7/8 bone marrow (BM) with MAC, 7/8 peripheral blood (PB) with MAC + serotherapy, 7/8 PB with MAC, 7/8 PB with RIC + serotherapy, or 7/8 PB with RIC grafts. The adjusted factors are the variables listed in the multivariable regression analysis (Table 3).

HCTs included PTCy for GVHD prophylaxis, which was not used in any other groups, although PTCy is now being increasingly used in one-antigen mismatched HCTs.<sup>38,39</sup> Most of the haploidentical HCTs (82%) were performed in recent years (2012 to 2014) when few MAC-BM or MAC-PB HCTs were performed. Moreover, haploidentical HCTs included mostly BM and RIC and offered only a limited sample size, which precluded exploration of outcomes in any subsets. A CIBMTR study compared BM (n = 481) to PB (n = 190) grafts for haploidentical HCTs with PTCy in patients with myeloid or lymphoid malignancies. BM grafts were associated with a higher risk of relapse but a significantly lower risk of aGVHD and cGVHD than PB grafts, which translated into superior GRFS with BM grafts.<sup>30</sup> In contrast, an EBMT study showed a similar risk of cGVHD, relapse, NRM, DFS, or OS after BM (n = 260) or PB (n = 191) grafts but a significantly higher risk of grade 2 to 4 and grade 3 to 4 aGVHD with PB grafts in patients with ALL or AML who underwent haploidentical HCTs with PTCy.<sup>31</sup> In addition, because of the limitation of using registry data, our study could not incorporate allele-level matching for most UCB grafts, which may be of added importance.<sup>40-43</sup> Furthermore, we could not directly test the statistical associations of covariates with outcomes because that was not the primary intended aim of the study. Finally, although our analyses were adjusted for any center effect, the

possibility of selection bias (in which some centers prefer a particular graft or donor source over another) remains and should be considered while interpreting our results.

This large analysis of HLA-mismatched donors addresses some questions and highlights crucial questions about the choices inherent in selecting an alternative donor. Both GRFS and CRFS are compromised after haploidentical HCT because of the risks of disease relapse, whereas novel strategies to limit graft failure may limit early mortality after UCB or 7/8-BM HCT.

We conclude that, compared with other groups, patients who underwent haploidentical HCT had the best long-term OS with limited morbidity as measured by GRFS and CRFS. With MAC, patients who received 7/8-PB without serotherapy grafts had a higher risk of cGVHD and inferior GRFS and CRFS than patients who received other types of grafts. These data support the use of UCB, 7/8-BM, or 7/8-PB + serotherapy grafts for MAC-HCT. Within the RIC group, patients who received haploidentical grafts had the best GRFS, CRFS, and OS, thus supporting the use of haploidentical BM grafts with PTCy for RIC-HCT. Ongoing comparisons and innovative improvements in care using UCB or haploidentical HCT may further inform graft, donor, and conditioning and treatment choices for those without HLA-matched donors.

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Disclosures provided by the authors and data availability statement (if applicable) are available with this article at DOI <https://doi.org/10.1200/JCO.19.00396>.

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**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST****Composite GRFS and CRFS Outcomes After Adult Alternative Donor HCT**

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