



## Review:

# Gene editing: an instrument for practical application of gene biology to plant breeding\*

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**Abstract:** Plant breeding is well recognized as one of the most important means to meet food security challenges caused by the ever-increasing world population. During the past three decades, plant breeding has been empowered by both new knowledge on trait development and regulation (e.g., functional genomics) and new technologies (e.g., biotechnologies and phenomics). Gene editing, particularly by clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated protein (Cas) and its variants, has become a powerful technology in plant research and may become a game-changer in plant breeding. Traits are conferred by coding and non-coding genes. From this perspective, we propose different editing strategies for these two types of genes. The activity of an encoded enzyme and its quantity are regulated at transcriptional and post-transcriptional, as well as translational and post-translational, levels. Different strategies are proposed to intervene to generate gene functional variations and consequently phenotype changes. For non-coding genes, trait modification could be achieved by regulating transcription of their own or target genes via gene editing. Also included is a scheme of protoplast editing to make gene editing more applicable in plant breeding. In summary, this review provides breeders with a host of options to translate gene biology into practical breeding strategies, i.e., to use gene editing as a mechanism to commercialize gene biology in plant breeding.

**Key words:** Gene editing; Expression regulation; Novel allele; Trait development; Plant breeding  
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## 1 Introduction

Plant breeding is widely expected to help meet the challenge of feeding a population of 10 billion people on earth (Hickey et al., 2019). The fulfillment of this magnificent task will rely on advances and breakthroughs in all fields related to plant breeding,

including new techniques yet to be innovated. Gene editing has recently emerged as such a breakthrough technology. It was not even dreamed of ten years ago in this field. Gene editing started with zinc-finger nucleases (ZFNs) (Lloyd et al., 2005) and later, transcription activator-like effector nucleases (TALENs) (Cermak et al., 2011; Mahfouz et al., 2011). It is now dominated by the clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated protein (Cas) technology (Li et al., 2013; Nekrasov et al., 2013; Shan et al., 2013). Although the CRISPR/Cas technology has been in being for only seven years, its development as a new technology has been unprecedented. Many CRISPR variant technologies have already been developed and quickly deployed

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for basic and applied research, including plant breeding (Li et al., 2013; Chavez et al., 2015; Piatek et al., 2015; Xie et al., 2015; Zetsche et al., 2015; Endo et al., 2016; Kleinstiver et al., 2016; Lin et al., 2016; Minkenberg et al., 2017; Shimatani et al., 2017; Tang L et al., 2017; Hu et al., 2018; Nishimasu et al., 2018; Ren et al., 2019).

The progress on and perspectives of genomic editing in plant breeding have already been extensively reviewed recently (Zhang H et al., 2017; Pandiarajan and Grover, 2018; Zaidi et al., 2018; Eş et al., 2019; Hua et al., 2019; Mao et al., 2019; Molla and Yang, 2019; Kausch et al., 2019; Zhang YX et al., 2019; Zimny et al., 2019). Some reviews were published almost simultaneously but in different journals, and hence the key information, insights, and perspectives conveyed in these reviews overlapped to some extent. These reviews mainly covered topics such as: (1) the ever-developing gene editing technologies adapted to plant systems; (2) the applications of gene editing in plant breeding for different breeding objectives; (3) challenges and perspectives of gene editing in plant breeding. In summary, it is now well recognized that gene editing has a broad spectrum of applications, from knocking out a gene to quickly generating a recessive but desired trait (such as fragrance, early maturity) (Shan et al., 2015; Xu et al., 2016; Soyk et al., 2017), to generating new alleles by nucleotide substitution to create a novel trait (Li et al., 2016; Hua et al., 2018; Zong et al., 2018), to quantitatively regulating the expression of a particular gene to increase stress tolerance or content of desired metabolites (Li et al., 2012; Čermák et al., 2015; Jia et al., 2017), to name a few.

In parallel with rapid technical advance in plant research, study on the mechanism of how a gene exerts its function and how a gene is regulated, i.e., gene biology, has also experienced tremendous progress in the past two decades. However, due to the lack/incomprehension of such knowledge, and in most cases lack of proper techniques to make use of, plant breeders at large have not taken advantage of this knowledge in their breeding programs. Although some of the mechanisms have already been integrated into gene editing for generation of novel traits, a comprehensive examination of how to make use of gene biology in gene editing-facilitated plant breeding has been lacking. In this perspective paper, we review

the current state of plant gene biology research, from gene structure to transcription and post-transcription regulations, to translation and post-translation modifications, and propose various gene editing strategies that could be deployed to generate novel alleles of a given gene for a particular purpose in plant breeding. That is, to use gene editing to translate gene biology into practical breeding strategies, i.e., to use gene editing as a mechanism to commercialize gene biology in plant breeding.

## **2 A brief introduction to gene biology: type of genes, functioning mechanisms, and regulation at various levels**

Our understanding of a gene and how it functions has evolved drastically since the term “gene” was first introduced into biology. Nowadays, genes are divided into two basic types: coding and non-coding. Proteins encoded by coding genes also vary greatly in their function and can be divided into different categories: (1) enzymes involved in biochemical catalytic reactions in metabolism (classical enzymes) and (2) enzymes specialized in modification of proteins, RNAs, and DNAs; (3) non-enzyme proteins such as transporters of ions and macromolecules and (4) non-enzyme proteins working together with other proteins in biochemical process or gene regulation, to name a few. Non-coding genes can also be further classified into long non-coding genes and small RNA genes. Genes of different types function in distinct ways to confer a phenotype. Consequently, different strategies could be developed to manipulate their function to achieve a specific objective in plant breeding.

For a coding gene to confer a phenotype in plants, it should first be transcribed into RNA, processed into messenger RNA (mRNA), and translated into a peptide, which should be modified before becoming active, or be transported into proper organelles for functioning. Therefore, the function of a coding gene could be regulated at transcriptional, post-transcriptional, and translational levels, and further modified post-translationally. For non-coding genes, their products are often involved in regulation of other genes through distinct or as yet unknown mechanisms, and hence their function could also be regulated or

modified. In the following sections, the biological basis of gene expression and regulation is elaborated in more detail with practical examples when available, and respective ways to modify traits by gene editing are proposed for plant breeding.

### 3 Gene editing for transcription and post-transcription regulations

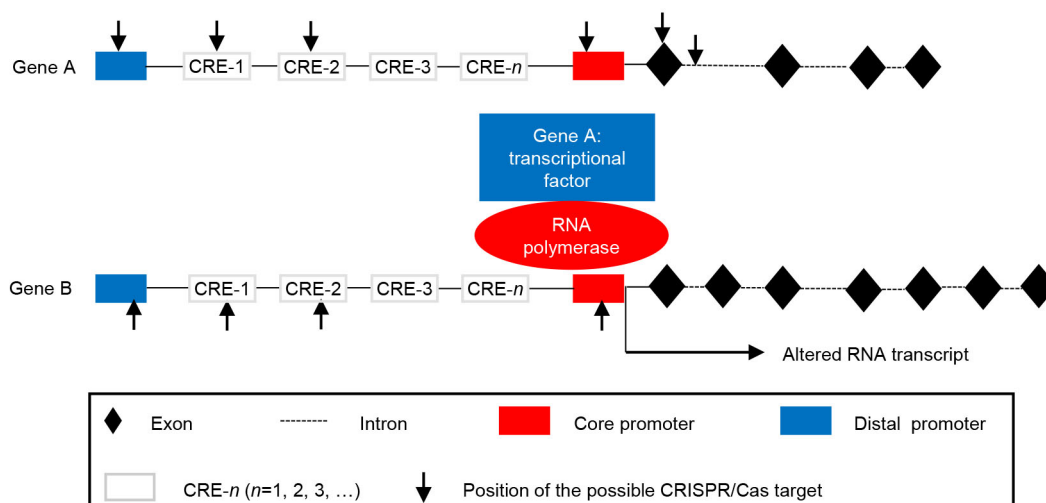
Transcription is a process in which DNA is transcribed into RNA, which involves three steps, i.e., initiation, elongation, and termination. Transcription begins with the binding of RNA polymerase to DNA at the promoter region, and RNA polymerase works together with transcriptional factor (TF) in plants. The TFs can either bind with the RNA polymerase to form complexes or directly bind with the specific sequence on the promoter region. Therefore, the transcription of a gene of interest (GOI) could be altered by editing either its sequence (e.g., promoter, terminator) or its TFs. Transcribed RNA is processed into mRNA for translation, and the stability of mRNA is dependent on sequences in the untranslated regions (UTRs) as well as others.

#### 3.1 Editing for transcription

Functionally, the promoter region consists of three important components, i.e., the core/minimal, distal, and proximal promoter regions. The core/

minimal promoter where the TATA box, initiator, and B recognition element (BRE) reside is the sequence that is necessary for initiating transcription. The distal promoter contains enhancers or silencers and can also influence the expression of a gene. The proximal promoter region has several TF-binding sites (TFBSs) adjacent to the core promoter sequence (Fig. 1). *Cis*-regulatory elements (CREs) are known to be one or more TFBSs in the proximal/distal promoter region (Pandiarajan and Grover, 2018).

In the promoter region, many different types of responsive elements have been reported that can induce transcription when stimulated by various biotic or abiotic stress, such as a responsive element to oxidative stress, environmental stress (light response elements (LREs), heat-shock element (HSE)), or pathogen (HSR203 responsive element (HSRE)) and responsive elements to different hormones (such as abscisic acid (ABA)-responsive element (ABRE) and gibberellin (GA)-responsive element (GARE)). The transcription proceeds for the elongation with the RNA polymerase after initiation and the template DNA strand is transcribed into RNA. At termination, RNA polymerase releases from DNA and the transcription stops. Therefore, gene transcription can be regulated by inhibiting the initial binding of the promoter with the RNA polymerase and TFs. This can be achieved by targeting the known vital elements on the promoter or unknown elements analyzed by the websites through the CRISPR/Cas technology.



**Fig. 1** Schematic presentation of a gene and its transcription, and important targets on the promoter or coding region of a gene directly conferring a trait (gene B) and its transcription factor (gene A) for transcription editing  
CRE: *Cis* regulatory element; CRISPR: clustered regularly interspaced short palindromic repeat; Cas: CRISPR-associated protein

Many important traits have evolved from sequence changes in the promoter region during the course of crop domestication, e.g., grain color (Espley et al., 2009; Butelli et al., 2012; Oikawa et al., 2015) and shape (Li et al., 2011; Wang et al., 2012, 2015; Xu et al., 2015), and resistance to disease (Li et al., 2017). They often resulted from altered expression levels of genes responsive to hormonal or environmental signals. In practice, it has been proven that genome editing can be used to change gene transcription by editing CREs of the GOI (gene B in Fig. 1), or by knocking out or altering transcription of its TFs (gene A in Fig. 1), thus improving stress tolerance, fruit size, and disease resistance in crops, such as rice, wheat, and tomato (Wang YP et al., 2014; Wang FJ et al., 2016; Rodriguez-Leal et al., 2017; Shi et al., 2017).

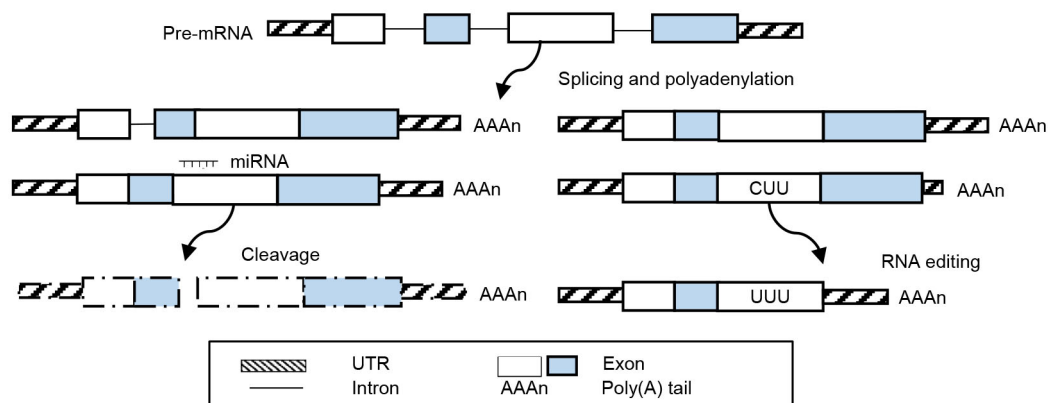
### 3.2 Editing of UTR

The UTR region contains the sequences ahead of the translation initiation codon ATG (5'-UTR) and after the stop codon (3'-UTR) in mature mRNA. 5'-UTR works as the entry point for the ribosome during translation of mRNA and contains elements that can interact with thermal sensors and other classes of translation or transcription attenuators, and hence plays crucial roles in the regulation of gene transcription through influencing the mRNA stability in response to environmental stimuli (Leppek et al., 2018; Al-Zeer et al., 2019). Therefore, the transcription of a GOI could theoretically be altered by targeted mutation in UTRs.

### 3.3 Editing for post-transcriptional regulation

Many genes are subject to post-transcriptional regulation in plants, e.g., alternative splicing (AS), alternative polyadenylation (APA), and microRNA (miRNA) digestion (Fig. 2), which affects transcript processing and protein translation (Deng and Cao, 2017).

AS rearranges the pattern of intron and exon elements in mRNAs to direct the decay of a special RNA variant. This enables a gene to encode diverse protein isoforms (Filichkin et al., 2015). For example, one of the florigen genes in temperate grasses is capable of producing two splicing isoforms that play antagonistic roles in flowering control, suggestive of a pervasive phenomenon by AS to confer a gene with different cellular functions or properties (Qin et al., 2017). Most eukaryotic splicing processes of pre-mRNAs observe a GU/AG rule (Reddy et al., 2013), and thus it can be modified by a CRISPR/Cas9 technology. The recently developed Cas9-directed base editor, which can introduce desired point mutation, has been shown to be an ideally efficient way to manipulate splicing outcomes. For example, hypersensitive to ABA1 (*HABI*), a phosphatase 2C gene in Arabidopsis, that can generate two isoforms playing entirely opposite roles in the GA signaling, has successfully produced a retention of intron (Xue et al., 2018). The ABA hypersensitive phenotype of transgenic mutants demonstrated that generation of *HABI* AS isoforms had been completely prevented through single-base substitutions (Xue et al., 2018). As the base editing tools with non-specific protospacer



**Fig. 2 Schematic overview of post-transcriptional gene regulation events that can be theoretically controlled by CRISPR/Cas9 technology in plants, including alternative splicing (AS), miRNA-mediated mRNA cleavage, RNA editing, and alternative polyadenylation (APA)**

CRISPR: clustered regularly interspaced short palindromic repeat; Cas: CRISPR-associated protein; miRNA: microRNA; mRNA: messenger RNA; UTR: untranslated region

adjacent motif (PAM) properties are becoming available, manipulating pre-mRNA splicing via CRISPR-directed base editors will gain wide application in mRNA isoform functional studies in crops.

Similar to transcriptional control, the strategy of gene editing, especially by CRISPR/Cas9, has now revealed significant insights into post-transcriptional effects.

miRNAs, a class of 20- to 24-nt RNAs generated from a stem-loop structure, play regulatory roles in a wide range of biological processes in eukaryotes (Wu et al., 2010). Besides deletion of the full miRNA gene locus, making indels in miRNA precursors by CRISPR/Cas9 could also readily abolish miRNA functions, because the secondary structure of the miRNA precursor is critical for the efficiency of miRNA formation. Generally, plant miRNAs have almost perfect sequence complementarity with targets. On the one hand, it is simple to destroy the regulatory module of miRNA and targets. This is done through the introduction of indels where miRNA and target pairs, because of the matching degree at miRNA and target sites, especially in the 5' end (positions 2 to 8) and central region (positions 10 and 11) of miRNA, are crucial for the efficiency of miRNA-mediated cleavage. On the other hand, it is also possible to artificially fine-tune the target expression through adjustment of complementary miRNA and target sites by precise base editing.

In a recent study, Zhang's group attempted to produce miR528 loss-of-function mutants through CRISPR/Cas9-based genome editing (Zhou et al., 2017). The transgenic plants with 3-bp deletion in miR528 precursor were, as expected, observed to compromise miR528 function in salt stress response. However, those with 1-bp insertion in miR528 precursor have no obvious effects. This interesting finding indicates that creating miRNA locus with large deletions is more credible than engineering miRNA precursors to obtain miRNA loss-of-function mutants via CRISPR/Cas9.

Another example is to fine-tune plant architecture by miRNA editing. Promotion of high resource use efficiency via ideal plant architecture (IPA) is an attractive way to increase crop yield potential (Jiao et al., 2010). Rice *SQUAMOSA* promoter-binding-like 14 (*OsSPL14*), an *SQUAMOSA* promoter-binding

protein (SBP)-domain transcription factor targeted by miR156, is the locus of *IPA1* and can be exploited to increase grain yield through balancing panicle size and tiller number, if it is optimally expressed (Zhang L et al., 2017). Although selection of novel promoter alleles in natural or mutagenized populations has been suggested as a useful way to control the *IPA1* expression pattern, genome editing at miR156 and *IPA1* target site would be an alternative way to better fine-tune *IPA1* to design elite super rice varieties.

Like genomic editing, RNA editing is also a mode of nucleotide modification. However, it is usually a natural phenomenon occurring at the RNA rather than DNA nucleotides from their genome-encoded sequence, which is acting as an indirect repair mechanism that corrects DNA mutations at the RNA level (Takenaka et al., 2013). In flowering plants, mRNA editing is generally performed as a C-to-U alteration, and subsequently results in a change of protein amino acids or gene expression via AS or miRNA-mediated degradation (Takenaka et al., 2013). Since RNA editing is not always emergent, people can directly edit a DNA nucleotide sequence at an RNA editing site by CRISPR/Cas9 to artificially control the physiology caused by the occasional RNA editing event.

APA is a widespread gene regulation mechanism that generates mRNA with different 3'-UTRs, allowing them to be differentially degraded or interact with diverse sets of RNA regulators such as RNA-binding proteins and miRNAs (Hunt, 2014). Because APA generally occurs at different sites enriched with poly(A), it is conceivable to change APA events through deletion or alternation of poly(A) fragments by the CRISPR/Cas9 method to form truncated mRNA isoforms with different adenylations

In theory, gene editing could be used in all aspects of post-transcription regulation; however, there is no report yet on success of APA or RNA editing events so far by CRISPR/Cas genome editing, and even applications of gene editing on miRNA and AS are still very limited. It may well be in that the single nucleotide editing efficiency is not yet high enough. Nevertheless, with the progress made via the breakthroughs of base editing tools, genome editing promises to contribute a lot to manipulating gene expression explicitly at post-transcription levels.

#### 4 Gene editing for translation, post-translation modification, and protein localization

Classical gene editing often results in indel mutations in the targeted genome region, and when it occurs in the exonic region, it would completely or partially knock out a protein-coding gene. Indeed, many important traits, selected by farmers during the domestication process of various crops, are controlled by recessive alleles, such as the “green revolution” gene semi-dwarf 1 (*sd1*), the fragrance gene betaine aldehyde dehydrogenase 2 (*badh2*), and the long grain gene grain size 3 (*gs3*) in rice. Hence, gene editing has already been successfully applied to quickly breed a trait that is in demand, such as fragrance, waxy rice, low phytic acid, and low Cd accumulation (Shan et al., 2015; Sun et al., 2017; Tang X et al., 2017; Zhang JS et al., 2018; Zhou et al., 2018; Jiang et al., 2019; Liu et al., 2019). By using base editing techniques, the amino acid sequence could also be modified to produce partial knockout or gain-of-function mutations through various mechanisms (Fig. 3).

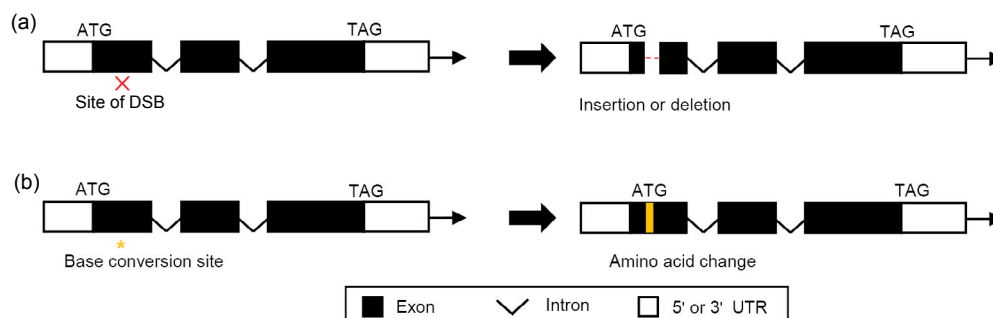
##### 4.1 Upstream ORF editing for regulating of primary ORF translation

It is now considered as a general mechanism that upstream ORFs (uORFs) control the amount of protein that is synthesized from their downstream primary ORFs (pORFs). According to von Arnim et al. (2014), from about 30% to more than 40% transcripts harbor uORFs in plants, including important crop plants such as maize and rice. By demonstrating that genome editing of endogenous uORFs could enable the modulation of translation of mRNAs from four pORFs that are involved in either development or antioxidant biosynthesis, Zhang HW et al. (2018)

proposed that gene editing could be a generalized instrument for translation regulation in plants.

##### 4.2 Editing for protein activation and degradation

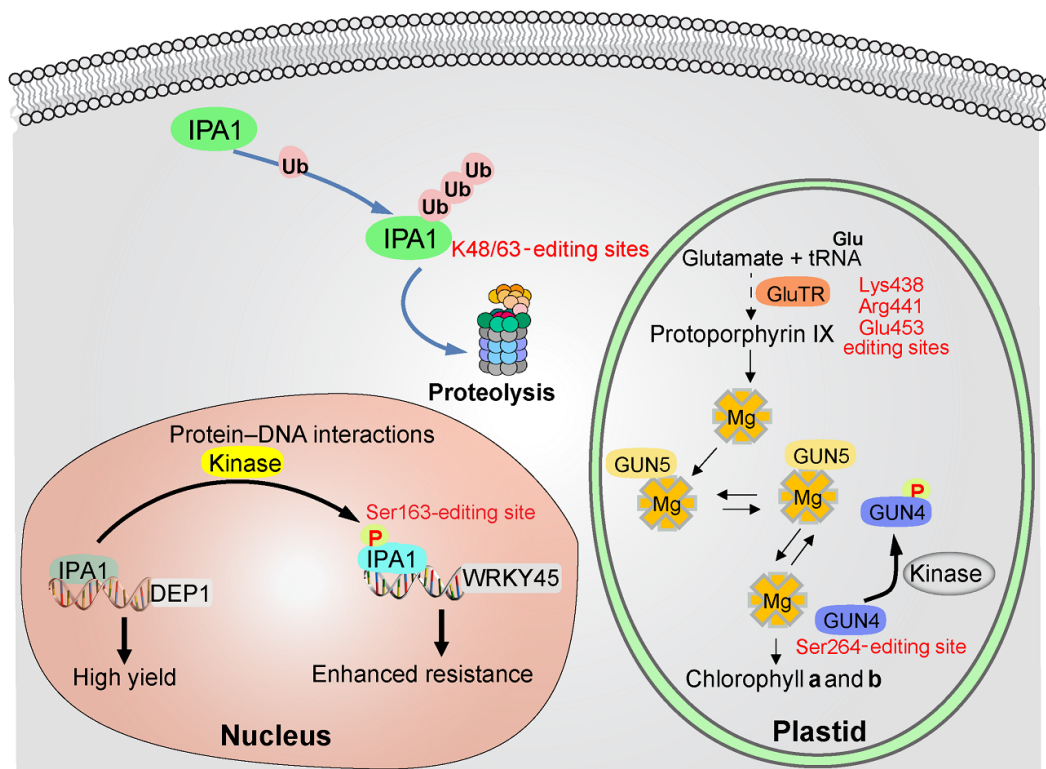
After being transcribed and translated, the complexity of genetic information encoded in DNA is largely increased by multiple post-translational modifications (PTMs) (Fig. 4). PTMs are chemical alterations to protein structure, typically catalyzed by substrate-specific enzymes, which themselves are under strict control by PTMs. Due to a lot of types of PTMs, there is a rich diversity of gene products (Deribe et al., 2010). PTMs might induce conformational changes or form a docking site to mediate molecular recognition and stabilize protein–ligand and protein–protein interactions. By reversible multisite PTMs, they can rapidly and dynamically regulate protein turnover, localization, and activation, and thus dynamically coordinate developmental processes (Huber and Hardin, 2004; Ytterberg and Jensen, 2010; Duan and Walther, 2015). PTMs play the key role in plant development stages. For example, GENOMES UNCOUPLED 4 (*GUN4*) is a positive regulator of light-dependent chlorophyll biosynthesis. *GUN4* activates Mg chelatase (MgCh) that catalyzes the insertion of an  $Mg^{2+}$  ion into protoporphyrin IX. *Arabidopsis thaliana* *GUN4* is phosphorylated at Ser264 (S264), the penultimate amino acid residue at the C terminus. Phosphorylation of *GUN4* alters stimulation of MgCh activity in angiosperms (Richter et al., 2016). The *IPA1* gene encodes OsSPL14, a SBP-domain transcription factor, and promotes both yield and disease resistance in rice (Zhang H et al., 2017; Wang J et al., 2018). Wang B et al. (2018) reported that ubiquitination and phosphorylation modifications could regulate IPA1 function at the post-transcriptional



**Fig. 3** Generation of gain- or loss-of-function mutations using genome editing tools

(a) Frameshift mutations cause loss-of-function alleles; (b) Amino acid substitutions cause partial loss-of-function or gain-of-function alleles. DSB: double-strand break; UTR: untranslated region





**Fig. 4 Potential post-translationally modified sites involved in phosphorylation and ubiquitination processes using genome editing**

IPA1: ideal plant architecture 1; Glu: glutamate; Lys: lysine; Arg: arginine; Ser: serine; tRNA: transfer RNA; GluTR: Glu-tRNA reductase; GUN: GENOMES UNCOUPLED; K48/63: lysine 48/63; DEP1: dense and erect panicle 1; Ub: ubiquitination

level. For example, the RING-finger E3 ligase stabilizes IPA1 in shoot apices through K63-linked polyubiquitination, but it promotes the degradation of IPA1 in panicles through K48-linked polyubiquitination. Moreover, phosphorylated IPA1 at amino acid Ser163 activates the expression of pathogen defense gene *WRKY45*, leading to enhanced disease resistance, which indicate that fine-modifying IPA1 protein leads to an “ideal” rice plant with fewer tillers, better lodging resistance, and enhanced grain yield. Thus, new directions of research are beginning to examine how we can exploit genome editing to benefit crop breeding by targeting the key sites in PTMs. Although some technical hurdles still remain to be overcome, we probably can apply CRISPR/Cas technology to simultaneously editing of multiple phosphorylation or ubiquitination sites of IPA1 or other key regulators in a precise and efficient manner, dynamic modulating of key protein activity or abundance, redirecting plant development in a multifunctional way, and providing new insights to a new level of plant synthetic biology.

### 4.3 Editing for modification of protein–protein interaction

The term “protein–protein interaction” encompasses a variety of events, such as transient and stable complexes. The interaction between the proteins can be relevant to a variety of biological processes including metabolic and signaling pathways, stress responses, plant defense, and organismal systems (Morsy et al., 2008; Jorrín-Novo et al., 2009). For example, the nicotinamide adenine dinucleotide phosphate (NADPH)-dependent reduction of glutamyl-transfer RNA (tRNA) catalyzed by glutamyl-tRNA reductase (GluTR) is the rate-limiting enzyme of 5-aminolevulinic acid (ALA) formation and chlorophyll biosynthesis (Beale, 1999). Three proteins are known to regulate GluTR activity in chloroplasts, fluorescent (FLU) protein (Meskauskiene et al., 2001; Goslings et al., 2004; Kauss et al., 2012), the GluTR-binding protein (GBP, OsGBP) (Czarnecki et al., 2011), and the caseinolytic protease (Clp) (Apitz et al., 2016). The tetratricopeptide repeat (TPR)-containing protein FLU is a negative regulator of chlorophyll biosynthesis

in plants. It directly interacts through its TPR domain with GluTR (Zhang et al., 2015). Three sites (Arg450, Arg485, and Arg500) in the C terminal of GluTR and three sites (Glu284, Asp291, and Asp307) in the TPR3 domain of FLU play important roles in protein–protein interaction in Arabidopsis (Zhang et al., 2015). We can use a genome editing tool for single-base substitution to edit these sites to vary the interaction ability between GluTR and FLU in crops, which may change the ALA biosynthesis, chlorophyll biosynthesis rate, or even plant stress resistance. This paves the way for achieving functional modifications rather than total knock-out.

## 5 Protoplast editing: making gene editing more applicable in plant breeding

In animals, genome editing is often DNA-free because guide RNA (gRNA) and Cas9 were delivered into cells in the form of pre-assembled ribonucleoproteins (RNPs) via electroporation (Kim et al., 2014; Liang et al., 2015). However, in plants, the presence of a cell wall makes it impossible to use transfection or electroporation for nucleic acid and/or protein delivery. Transient protoplast transfection has also been used for evaluation of gene editing reagents in various crops such as rice and tomato (Shan et al., 2014; Čermák et al., 2015; Woo et al., 2015; Lin et al., 2018), but because of the lack of a reasonably efficient protoplast regeneration system for almost all crop plants, gene editing via the protoplast seems not feasible yet for plant breeding. However, RNP-based protoplast editing has numerous advantages over the current transgenic plant-based method, and hence efforts should continue to be made to make it work in crops.

### 5.1 Advantages of RNP-based protoplast editing

Transient delivery systems for transgene-free and DNA-free genome editing show a promising advantage in crop genetic modification. Based on lots of published data the mutagenesis efficiency in the protoplast looks higher than that in the plant- or tissue-based genome editing, which relies on traditional genetic engineering techniques (originally including gene guns, electroporation, and agrobacterium (Li et al., 2013; Lin et al., 2018)). To avoid some disadvantages of the expression of Cas9 and gRNA

from genome-inserted plasmid DNA, an efficient plasmid-free genome editing system has been developed using pre-assembled RNPs (containing Cas9/ single-guide RNA (sgRNA)) in animal and plants (Liang et al., 2015; Woo et al., 2015). The new strategy achieved almost comparable efficiency to the plasmid-based transgenic systems with low off-target frequency. After transfection, the RNP can cleave the target immediately. The transcriptional or translational machinery is not required. Importantly, the RNP complex is degraded quickly, to achieve another valuable advantage with fewer off-target mutations. The rate of regenerated mutants was up to 46% in lettuce, according to the published data (Woo et al., 2015). Sheen lab made progress with a DNA-free genome editing system with higher efficiency, approximately 99% (Sheen, pers. comm.).

Multiplex gene editing by CRISPR/Cas9 provides a powerful tool for targeting members of multigene families. Although previous studies have shown that multiplex gene editing in plants is possible with CRISPR/Cas9 (Xie et al., 2015) or CRISPR/centromere and promoter factor 1 (Cpf1) (Wang et al., 2017), the Cas9 system requires large constructs to express multiple sgRNA cassettes, which is more laborious to construct and may cause instability and reduce transformation efficiency. Engineered CRISPR/Cpf1 with a simple short direct repeat (DR)-guide array still has the disadvantage of low cleave efficiency and off-target mutation. For pre-assembled RNPs one can synthesize multiplex sgRNAs, with, theoretically, no limitation for the RNP complex. This will demonstrate the feasibility of high-efficiency multiplex gene editing in the protoplast.

Currently, most advances in CRISPR/Cas application in crops focus on single or multigene knockouts, and chromosomal deletions, which exclude some “bad” genes or *Cis*-elements. In wheat, mutations of three mildew-resistance locus O (*MLO*) homeologs by CRISPR/Cas9 result in improved resistance to *Blumeria graminis* f. sp. *tritici* infection (Wang et al., 2014). Also editing of an  $\alpha$ -Kafirin gene family increases digestibility and protein quality in sorghum (Li et al., 2018). These strategies are based on CRISPR/Cas-targeted modification of susceptibility genes in crop species. Compared with the great progress of genome editing in animals, the big challenge in plants is in-frame gene knock-ins by the

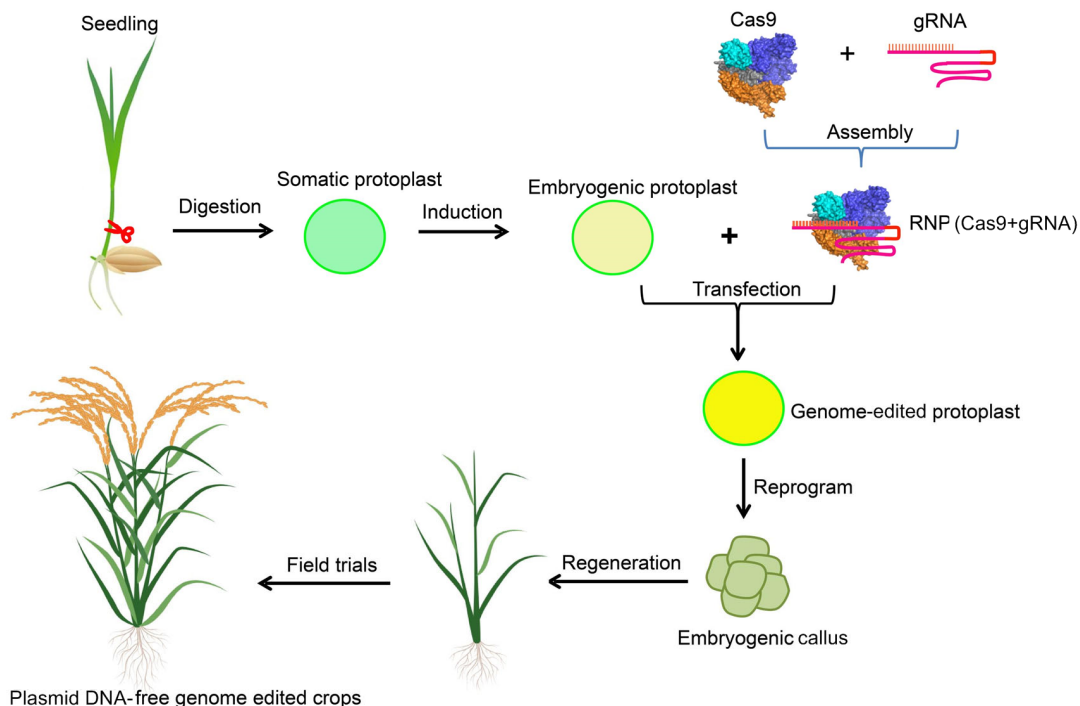


CRISPR/Cas system, which can produce a “gain of function” and facilitate breeding by introducing new alleles faster or generating allelic variants that do not exist naturally. Also, knock-in can be used to alter multiple elite traits by stacking genes in a single variety, which have great value for crop trait improvement (Chen et al., 2019). Apparently, gene knock-in with a protoplast system shows more advantages in higher efficiency and accuracy than the traditional method. The Sheen lab at Harvard University successfully in-frame integrated the exogenous tags in *Arabidopsis* and the tobacco genomes (Sheen, pers. comm.), because using preassembled RNP complexes consisting of Cas9 protein and sgRNAs, the complexes were introduced directly into protoplasts using a polyethylene glycol-based method and have shown precise and efficient gene knock-out (Woo et al., 2015) or knock-in (Sheen, pers. comm.). The previous advantages and pitfalls give us a perspective on CRISPR/Cas that might be safely used for the design of genetic modified crops as with hybrid crops.

## 5.2 Paradigm-changing technique for protoplast regeneration

There is a problem in crop regeneration after gene editing in the protoplast. Though some papers

claimed that they regenerated some crops from the protoplast, as is known the regeneration efficiency is far from providing a feasible application. How to regenerate a plant from a single protoplast? Actually, in animals, pluripotent stem cells (also known as induced pluripotent stem (iPS) cells) are derived directly from adult tissues, and induced by some kinases, transcription factors, or other components (Takahashi and Yamanaka, 2006). Unlike animals, plant stem cells are innately undifferentiated cells located in the meristems of plants during the whole life cycle (Pierre-Jerome et al., 2018). However, the tissue is limited and surrounded by differentiated cells. Importantly, these innate stem cells are changed at the cell characteristics, cell polarity, epigenetic modification, and physiological levels after removing the cell wall. Compared with animal cells, plant cells also can be induced to homogeneous embryonic stem cells in *Arabidopsis* and tobacco. With these cells, we can manipulate the genome rewriting easily and improve the regeneration at higher efficiency in *Arabidopsis* and tobacco (data not shown; rice is a potential model, which is shown in Fig. 5). Whether it works well in main crops such as rice, maize, wheat, soybean, and barley remains to be seen. It remains challenging but would be rewarding if achieved. Another question is



**Fig. 5 Workflow of potential protoplast-based gene editing and regeneration for plant breeding (rice as a model)**

Cas: clustered regularly interspaced short palindromic repeat (CRISPR)-associated protein; gRNA: guide RNA; RNP: ribonucleoprotein

what the protoplast-regenerated plants look like? The plants germinate from a seed and experience programmed genetic and epigenetic modifications to produce environmentally adaptable plants. If we produce a somatic regenerated plant, the agronomic traits and adaptability will be doubtful, even though the reported protoplasts in regenerated tobacco look good (Lin et al., 2018). Another group found that regeneration of *Solanum tuberosum* plants from protoplasts induces widespread genome instability (Fossi et al., 2019), so more detailed information is needed before application. CRISPR/Cas is a sophisticated toolkit, but still under development and evolution. More and more researchers are working on the improvement and application of the toolkit, and such efforts should eventually lead to the implement of precision agriculture in the future.

### Contributors

Qing-yao SHU contributed to the overall structure of the review. Yuan-yuan TAN wrote the part of editing for transcription and edited the manuscript. Yan-hua LIU was responsible for the part of editing of untranslated regions (UTRs). Liang WU and Xia WU wrote the part of editing for post-transcriptional regulation. Shi-yong SONG and Meng JIANG wrote the part of editing for translation, post-translation modification, and protein localization. Hao DU summarized the protoplast editing in this review. All authors have read and approved the final manuscript and, therefore, have full access to all the data in the review and take responsibility for the integrity and security of the data.

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### Compliance with ethics guidelines

Yuan-yuan TAN, Hao DU, Xia WU, Yan-hua LIU, Meng JIANG, Shi-yong SONG, Liang WU, and Qing-yao SHU declare that they have no conflict of interest.

This article does not contain any studies with human or animal subjects performed by any of authors.

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## 中文概要

**题目：基因编辑：将基因生物学用于植物育种的工具**

**概要：**人口不断增长给世界粮食安全带来了严峻的挑战，植物育种是应对这一挑战的最重要手段之一。过去三十年来，性状形成和调控的新知识（如功能基因组学）和新技术（如生物信息学和表型组学）极大地支持了植物育种的发展。基因编辑，特别是基于 CRISPR/Cas 技术和其衍生技术，已成为强有力的植物研究技术，可能直接改变植物育种的方法和策略。植物表型性状受编码基因和非编码基因的控制，在本文中，我们提出了编辑这两类基因的不同策略。对于编码基因，其编码蛋白的活性和数量可在转录和转录后水平以及翻译和翻译后水平加以调节，我们由此提出了创造基因功能性变异从而改变性状表型的基因编辑策略。对于非编码基因，则可以采用基因编辑技术对其转录水平或对靶基因的目标序列加以改造，达到产生新的性状的目的。此外，我们还提出了一种基于原生质体的基因编辑方案，使基因编辑技术更适合于植物育种。总之，本文提出了一系列可供植物育种者选择的将基因生物学知识转化为实用育种策略的方案，即基因编辑技术成为将基因生物学知识用于植物育种的技术。

**关键词：**基因编辑；表达调控；新等位基因；性状形成；植物育种