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and in tests of pulmonary function have been reported with use of inhaled racemic epinephrine $91,92$  and  $\beta$ -adrenergic agents, principally salbutamol and albuterol.<sup>93,94</sup> However, clinical improvement following repeated doses of epinephrine is not sustained and favorable response to b-adrenergic agents, as measured by clinical score and oxygenation, is inconsistent.<sup>95–100</sup> Flores & Horwitz<sup>101</sup> performed a meta-analysis of eight studies with similar designs. Overall, their analysis supported a beneficial effect in certain infants, but identifying those infants could not be consistently accomplished at the time of initial presentation. On balance, an initial trial of bronchodilator therapy for the hospitalized infant with bronchiolitis is reasonable, although brief episodes of hypoxia can be precipitated by adrenergic agents. Bronchodilator therapy should only be continued if consistent improvement in respiratory distress or oxygen saturation is observed. Racemic epinephrine should not be continued beyond one or two doses.

## **Corticosteroid Therapy**

Although corticosteroids reduce the inflammatory changes observed with bronchiolitis, they may increase viral replication and prolong shedding. One small controlled clinical trial concluded that the combination of albuterol plus prednisolone was more effective than albuterol alone in accelerating recovery from bronchiolitis.<sup>102</sup> Most studies examining the role of corticosteroids in bronchiolitis, however, have not demonstrated a consistent clinical benefit.<sup>103-111</sup> Although one meta-analysis of previously published reports of corticosteroid use in bronchiolitis concluded that there may be slight improvements in duration of symptoms, length of hospital stay, and clinical scores, these benefits appear to be limited. $112$  Therefore, the routine use of corticosteroids in bronchiolitis is not recommended.

## **Antiviral Therapy**

Ribavirin is a nucleoside analogue with in vitro activity against RSV, adenovirus, influenza A and B viruses, and parainfluenza viruses. Early trials indicated that ribavirin therapy was associated with modest improvement in clinical scores, oxygenation, and duration of mechanical ventilation for infants with severe bronchiolitis due to RSV infection. These studies were challenged on the basis that control groups received water aerosols, which may produce bronchospasm in individuals with hyperreactive airways. The high cost of ribavirin prohibits its use in cases of mild illness, but the drug might be useful in carefully selected patients with life-threatening infection.<sup>113-115</sup> Guidelines for the use of ribavirin in RSV disease are presented in Chapter 225, Respiratory Syncytial Virus.

Several treatment options are now available for infection due to influenza (see Chapter 229, Influenza Viruses). Amantadine and rimantadine are active against influenza A and have shortened the duration of fever, clinical symptoms, and duration of viral shedding in children and adults with upper respiratory tract disease.<sup>116,117</sup> Amantadine is recommended for children 1 year of age and older with severe influenza A illness, including bronchiolitis. The neuraminidase inhibitors oseltamivir and zanamivir have similar activity in the treatment of both influenza A and B infections. Oseltamivir is approved for treatment of influenza in children 1 year of age and older.118–121 Zanamivir is approved for children 7 years of age and older. Effectiveness of these agents in the treatment of bronchiolitis due to influenza virus is not known.

## **Immune Globulins and Other Therapies**

Antibody preparations containing high titers of neutralizing antibody against RSV as well as a preparation of monoclonal antibodies directed against one of the two major RSV surface glycoproteins (fusion glycoprotein) reduce the risk of hospitalization due to RSV infection.51–54 Used therapeutically, they result in more rapid clearing of virus from the respiratory tract but do not alter the course of illness and should not be used for the treatment of RSV infection.<sup>122-126</sup> Although vitamin A levels have been demonstrated to be low in infants

with RSV bronchiolitis, a therapeutic benefit of vitamin A therapy has not been demonstrated.<sup>127-129</sup>

#### **PROGNOSIS, COMPLICATIONS, AND SEQUELAE**

Most otherwise healthy infants recover completely from acute bronchiolitis, although subtle pulmonary abnormalities may persist for weeks.<sup>38</sup> An important question is whether bronchiolitis in infancy increases the likelihood of childhood asthma. Numerous studies have defined a higher risk of recurrent wheezing throughout childhood after bronchiolitis in infancy, and abnormalities of small-airway function have been identified in school-aged children with a history of bronchiolitis in infancy. However, each of these findings may simply be a reflection of hereditary tendencies that are expressed both at the time of bronchiolitis and upon allergen exposure in later childhood.<sup>130–134</sup> Moreover, by adolescence, the rate of recurrent wheezing in subjects who had bronchiolitis in infancy appears to fall to the rate observed in subjects without a history of bronchiolitis.<sup>134</sup> Thus, it is uncertain whether bronchiolitis is causally associated with long-term respiratory morbidity.

## **PREVENTION**

Strategies that reduce contact of vulnerable infants with individuals with respiratory tract infections, minimizing passive exposure to cigarette smoke, and limiting nosocomial transmission of causative agents offer immediate opportunities to reduce bronchiolitis morbidity. Monthly administration of monoclonal anti-F antibody (palivizumab) throughout the RSV season reduces the incidence of hospitalization due to RSV infection in infants with bronchopulmonary dysplasia, congenital heart disease, and prematurity by about 50% (see Chapter 225, Respiratory Syncytial Virus). The high cost and modest effect of palivizumab limit its use for passive immunoprophylaxis to the most medically fragile infants.

No vaccine to prevent infection with RSV or parainfluenza viruses, the most common causes of bronchiolitis, is licensed or near licensure. Trivalent influenza vaccine is recommended for all infants older than 6 months and less than 24 months of age during the influenza season. Because this is not approved for use in infants younger than 6 months, routine influenza vaccination is important for family members and caregivers of these young patients. Potential RSV vaccine candidates currently being evaluated include inactivated preparations of the purified fusion protein of RSV, DNA vaccines coding for the major immunogenic proteins of the virus, and replicating mutants of the virus that replicate in the upper respiratory tract but are inactivated at the higher temperatures of the lung.<sup>135</sup>

CHAPTER **3 6**

# Acute Pneumonia and its **Complications**

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"Pneumonia" is a Greek word meaning "inflammation of the lungs." This illness is frequently acquired from exposure in the community and is therefore called community-associated pneumonia (CAP); rarely, it is acquired during/or after hospitalization, when it is referred to as nosocomial or hospital-associated pneumonia (HAP). The onset can be acute or chronic. This chapter focuses on acute, communityacquired pneumonia and its complications in infants and children.

## **ACUTE PNEUMONIA**

Acute pneumonia is a common infection affecting infants and children, capable of causing significant morbidity and mortality.<sup>1</sup> The clinical presentations and agents of pneumonia in children and infants are different compared with adults. An accurate measurement of the worldwide incidence of childhood pneumonia is difficult because of the many ways of defining pneumonia. In this chapter, acute pneumonia is defined as an acute lower respiratory tract infection (LRTI) with fever (> 37.8°C), presence of lower respiratory tract signs, and radiologic evidence of a lung abnormality in one or both  $lungs.<sup>2</sup>$ 

The estimated annual incidence of pneumonia in North America and Europe is 36 to 40 cases/1000 population in children younger than 5 years and is 11 to 16 cases/1000 in those 5 to 14 years of age.<sup>2</sup> Every year, 1 to 4/1000 children are hospitalized in the United States with LRTI. $3$  The incidence of pneumonia is almost twofold higher in American Indian and Alaska native infants and children, $4$  and is 10- to 12-fold higher in the developing countries.<sup>5</sup> In the developing world, pneumonia is the third leading cause of death in children, accounting for over 1.9 million deaths annually in children younger than 5 years.<sup>5,6</sup> In developed countries, acute pneumonia is associated with morbidity, with low mortality.

## **Etiologic Agents and Epidemiology**

Multiple microbes cause LRTI in infants and children and establishing a microbial diagnosis is difficult. In two recent studies of immunocompetent hospitalized patients etiologic agents of pneumonia were confirmed in  $79\%$  to  $85\%$ <sup>2,7</sup> However, these investigations to detect the etiology involved performing multiple laboratory tests, some only available in research laboratories. Other studies have confirmed etiologic agents of pneumonia in a minority of children.<sup>8</sup> For some organisms, particularly viruses, *Mycoplasma,* and Chlamydiaceae, microbial etiology is inferred by detection of microorganisms in the upper respiratory tract. For others, serologic analysis or nucleic acid assay (polymerase chain reaction (PCR)) is the preferred method. Pyogenic bacteria present the most difficult challenge because some pathogens frequently coexist with or are normal upper respiratory tract flora. Bacteremia confirms the cause but is present in only 1% to 10% of hospitalized children with bacterial pneumonia.<sup>2,7,9</sup> In most cases of acute pneumonia, extensive or invasive testing is not warranted. Epidemiologic information is frequently useful in guiding differential diagnosis and management (see Chapter 23, Respiratory Tract Symptom Complexes). Certain pathogens, particularly respiratory syncytial virus (RSV), rhinoviruses, influenza viruses, and *Mycoplasma,* are seasonal. In other instances, the pattern of family illness provides a clue to the causative agent.

For purposes of management, the relative importance of etiologic agents in series of patients who have been extensively evaluated is extrapolated to patients with similar clinical syndromes, physical findings, and laboratory results. Table 36-1 lists the common etiologic agents of acute pneumonia in children.

## **Neonates and Young Infants**

Pneumonia in neonates can manifest as early-onset disease (within 5 to 7 days of life), or late-onset disease after 7 days of life. Most infections in the first week of life are caused by organisms acquired from the maternal genital tract through aspiration of either infected amniotic fluid or genital secretions. Group B streptococcus is the most frequent cause of early-onset pneumonia.<sup>10</sup> Group B streptococcus, *Listeria monocytogenes, Escherichia coli,* and other gram-negative bacilli can cause severe respiratory distress resembling hyaline membrane disease, usually as a part of a widespread systemic infection. Prenatal and perinatal risk factors, including preterm delivery, maternal chorioamnionitis, and prolonged rupture of membranes, increase the risk for development of neonatal pneumonia. Hematogenous dissemination can also occur from an infected mother.

Pneumonia due to *Chlamydia trachomatis,* which becomes symptomatic *>* 2 to 3 weeks after birth, occurs in about 10% of infants born to women who carry this organism in their genital tract. *Bordetella pertussis* infection can lead to pulmonary hypertension (simulating pneumonia) or secondary bacterial pneumonia. Viruses are a less common cause of pneumonia in neonates compared with older infants. Severe pneumonia can be the result of congenital or perinatal infection with cytomegalovirus (CMV), herpes simplex virus (HSV), or *Treponema pallidum*. Genital *Mycoplasma* species and *Ureaplasma urealyticum* can cause LRTI in very-low-birthweight infants.

## **Infants, Children, and Adolescents**

Traditionally, viruses have been considered to be the most common cause of acute LRTI in children between 1 and 36 months of age. However, in a recent study of acute pneumonia in immunocompetent, hospitalized children between 2 months to 17 years of age, bacteria were identified in 60%, viruses in 45%, *Mycoplasma* species in 14%, *Chlamydophila pneumoniae* in 9%, and mixed bacterial–viral infections in 23% of the cases.<sup>7</sup>

## **Viruses**

Overall, viruses account for approximately 14% to 35% of CAP in childhood.<sup>11</sup> However, when categorized by age, they accounted for 80% of CAP in children < 2 years compared with  $49\%$  in those > 2 years of age.<sup>12</sup> RSV is the predominant respiratory tract viral pathogen. Other viruses include human metapneumovirus (hMPV),<sup>13</sup> parainfluenza viruses (types 1, 2, and 3), influenza viruses (A and B), adenoviruses, rhinoviruses, and enteroviruses. Rhinoviruses have been recovered by culture in 2% to 24% cases of childhood pneumonia.<sup>2,14,15</sup> Varicella-zoster virus (VZV), CMV, and HSV typically cause LRTI in immunocompromised children. Recently, human parechovirus 1 (HPeV-1), a picornavirus, was identified to cause LRTI in young children.16 Coronavirus became a global concern in 2003, causing the severe acute respiratory syndrome (SARS)<sup>17</sup>; although children were infected, the clinical course was mild with no documented death.<sup>18,19</sup> Infections with RSV, hMPV, and influenza viruses occur during the winter season whereas infections with parainfluenza viruses and rhinoviruses are more common in spring and autumn; adenovirus infections can occur throughout the year.

#### Mycoplasma pneumoniae and Chlamydophila pneumoniae

In one study, *Mycoplasma pneumoniae* was detected in 30% of children with CAP.<sup>20</sup> Harris et al.<sup>21</sup> found that children  $>$  5 years of age had a higher rate of *Mycoplasma* infection (42%) compared with children < 5 years of age (15%). Coinfections with either *Streptococcus pneumoniae* (30%) or *Chlamydophila pneumoniae* (15%) are common.22 Infections due to *M. pneumoniae* occur in 2- to 4-year epidemic cycles.<sup>23</sup> Unlike respiratory viruses that cause rapid transmission among family members, transmission of *M. pneumoniae* is slow with a median interval of 3 weeks between cases in family members.24 Between 9% and 20% of cases of CAP in children of all ages are associated with recovery of *C. pneumoniae*;<sup>11</sup> the median age is 35 months.<sup>7</sup> Asymptomatic carriage of *C. pneumoniae* is well documented and confounds assessment of pathogenicity.

## **Bacterial Pathogens**

Bacterial pneumonia is more common in children living in developing countries, presumably due to chronic malnutrition, crowding, and chronic injury to the respiratory tract epithelium from exposure to cooking and heating with biomass fuels without adequate ventilation.25

Various tests that determine bacterial products in blood, respiratory tract secretions, and urine have been used in an attempt to ascribe a causative role of bacteria but are positive in fewer than 10% of



CA-MRSA, community-acquired methicillin-resistant *Staphylococcus aureus*; HIV, human immunodeficiency virus; hMPV, human metapneumovirus.

a Ranked roughly in order of frequency. Uncommon causes with no age preference: enteroviruses (echovirus, coxsackievirus), mumps virus, Epstein–Barr virus, Hantavirus, *Neisseria meningitidis* (often group Y), anaerobic bacteria, *Klebsiella pneumoniae*, *Francisella tularensis*, *Coxiella burnetii*, *Chlamydophila psittaci*. *Streptococcus pyogenes* occurs sporadically or especially associated with varicella-zoster virus infection.

cases.2,7,9,26–30 Evidence from multiple sources indicates that *S. pneumoniae* is the single most common cause of bacterial pneumonia beyond the first few weeks of life.<sup>2,11,31</sup> The serotypes that cause uncomplicated pneumonia in the United States are generally similar to those that cause bacteremia or acute otitis media (see Chapter 123, *Streptococcus pneumoniae*). Pneumococcal pneumonia occurs in all age groups.2,26,32

In the United States and Europe, the frequency of *Haemophilus influenzae* type b (Hib) infection, including pneumonia, has been markedly reduced in the past decade because of widespread immunization with the Hib conjugate vaccine.<sup>33</sup> Pneumonia due to nontypable *H. influenzae* is also uncommon in the United States except in children with underlying chronic lung disease, immunodeficiencies, or aspiration. Recently, a virulent strain of communityassociated, methicillin-resistant *Staphylococcus aureus* (CA-MRSA) carrying virulence factors including the Panton–Valentine leukocidin has emerged as an important agent of pneumonia, including, the United States,<sup>34</sup> causing life-threatening necrotizing pneumonia. *Streptococcus pyogenes* (group A streptococcus) is not a frequent cause of acute pneumonia. However, both staphylococcal and streptococcal pneumonia are rapidly progressive and severe, frequently leading to hypoxemia and pleural effusion within hours.

Other bacteria, especially Gram-negative organisms, are rare causes of pneumonia in previously healthy children.

## **Occasional Pathogens**

A variety of epidemiologic and host factors prompt consideration of specific organisms (Table 36-2). The most important of these is *Mycobacterium tuberculosis* (MTB), which should always be suspected if there is a history of exposure, in the presence of hilar adenopathy, or when pneumonia does not respond in a typical fashion to therapy or with passage of time. In North America and Europe, primary MTB in children is most common among those born to recent immigrants from countries with a high prevalence of infection, after contact with infected adults, or in HIV-infected individuals.

Residence in or travel to certain geographic areas suggests consideration of certain pathogens. *Coccidioides immitis* is endemic in the southwestern United States, northern Mexico, and parts of Central and South America. *Histoplasma capsulatum* is endemic in the eastern and central United States and Canada. Some other pathogens such as *Chlamydophila psittaci* and *Coxiella burnetii* are transmitted from infected birds, animals, or humans. *Pneumocystis jirovecii (P. carinii)*



causes pneumonia in HIV-infected infants at 3 to 6 months of age, in severely malnourished children, and in other immunocompromised or immunosuppressed hosts. *Legionella pneumophila* is a rare cause of pneumonia in children but is considered with certain environmental exposures and in immunocompromised individuals.

## **Pathogenesis and Pathology**

Pneumonia occurs in a child who lacks systemic or secretory immunity to a pathogenic organism. Invasion of the lower respiratory tract or lung usually occurs at a time when normal defense mechanisms are impaired, such as after a viral infection, during chronic malnutrition, or with exposure to environmental pollutants. High density of aerosal exposure or hematogenous spread can occasionally cause bacterial pneumonia.

The pulmonary defense mechanisms against LRTI consist of: (1) physical and physiologic barriers; (2) humoral and cell-mediated immunity; and (3) phagocytic activity. Physical barriers of the respiratory tract include the presence of hairs in the anterior nares that can trap particles  $> 10 \mu m$  in size, configuration of the nasal turbinates, and acute branching of the respiratory tract. Filtration and humidification capacities of the upper airways, mucus production, and protection of the airway by the epiglottis and cough reflex (eliminating particles between 2 and 10 μm) are protective physiologic functions. Mucociliary transport moves microscopic amounts of normally aspirated oropharyngeal flora and particulate matter up the tracheobronchial tree, minimizing the presence of bacteria below the carina. However, particles less than 1 μm can escape into the lower airways. Immunoglobulin A (IgA), which has good antibacterial and antiviral activities, is the major antibody secreted by the upper airways; IgG and IgM primarily protect the lower airways. In addition, other substances found in alveolar fluid – including surfactant, fibronectin, complement, lysozyme, and iron-binding proteins – have antimicrobial activity. The LRT has four distinct populations of

macrophages. Of these, the alveolar macrophages are the pre-eminent phagocytic cells that ingest and kill bacteria. Viral infection (especially due to influenza virus), high oxygen concentration, uremia, and use of alcohol and/or drugs can impair the function of the alveolar macrophages, predisposing to pneumonia. Cell-mediated immunity plays an important role in certain pulmonary infections such as those caused by *M. tuberculosis* and *Legionella* species.

## **Viral Pneumonia**

Three pathologic patterns are seen with viral pulmonary infections: bronchiolitis, interstitial pneumonia, and parenchymal infection. The first two patterns often overlap.35,36 Viral pneumonia is characterized by neutrophilic infiltration of the lumen of the airway with lymphocytic infiltration of the interstitium and parenchyma of the lungs. $37$  Giant cell formation can be seen in infections due to measles or CMV, or in children with immune deficiency. Viral inclusions within the nucleus of respiratory cells can be seen in adenoviral pneumonia.38,39 Air trapping with resultant disturbances in ventilation–perfusion ratio can occur from obstructed or obliterated small airways and thickened septa impeding oxygen diffusion. Necrosis of bronchial or bronchiolar epithelium can be seen in severe, sometimes fatal, viral infections (e.g., adenovirus infection).

## **Bacterial Pneumonia**

Five pathologic patterns are seen with bacterial pneumonia: (1) parenchymal infection/inflammation/consolidation of a lobe or a segment of a lobe (lobar pneumonia, the classic pattern of pneumococcal pneumonia); (2) primary infection of the airways and surrounding interstitium (bronchopneumonia, often seen with *Streptococcus pyogenes* and *Staphylococcus aureus*); (3) necrotizing parenchymal pneumonia that occurs after aspiration; (4) caseating

granulomatous disease, as seen with tuberculous pneumonia; and (5) peribronchial and interstitial disease with secondary parenchymal infiltration, as seen when viral pneumonia (usually due to influenza or measles) is complicated by bacterial infection.<sup>39</sup> Bacterial pneumonia is associated with diffuse polymorphonuclear infiltration. The airspaces become filled with transudates or exudates, impairing oxygen diffusion. The proximity of alveoli and a rich pulmonary vascular bed increase the risk for complications, such as bacteremia, septicemia, or shock.

## **Clinical Manifestations**

Use of clinical symptoms and signs to differentiate among sites and causes of LRTI is also discussed in Chapter 23, Respiratory Tract Symptom Complexes. The symptoms of pneumonia are varied and nonspecific. Acute onset of fever, rapid breathing (tachypnea), and cough are the classic symptom complex of pneumonia.<sup>40</sup> Fever may be absent in very young infants and in infections due to *Chlamydia trachomatis*, *B. pertussis,* and *Ureaplasma*. Some children have a prodrome of low-grade fever and rhinorrhea prior to developing lower respiratory tract symptoms. There is no single sign that is pathognomonic for pneumonia. Tachypnea, nasal flaring, decreased breath sounds, and auscultatory crackles (crepitations or rales) are specific signs of LRTI. Crackles can be absent in a dehydrated patient. Guidelines developed by the World Health Organization (WHO) for the clinical diagnosis of pneumonia in developing countries highlight tachypnea and retractions as the two best indicators of LRTI. $41$ Tachypnea is defined as  $> 50$  breaths/minute (min) in infants  $< 12$ months of age,  $> 40$  breaths/min in those between 1 and 5 years of age, and > 30 breaths/min in children > 5 years of age. Palafox et al. observed that, in children  $<$  5 years of age, of all the clinical signs of pneumonia, tachypnea (as defined by WHO) had the highest sensitivity (74%) and specificity (67%) for radiologically confirmed pneumonia but it was less sensitive and specific in early disease.<sup>42</sup>

Tachypnea can occur in other conditions such as asthma, cardiac disease, and metabolic acidosis. Crackles and bronchial breathing were reported to have sensitivity of 75% but specificity of only  $57\%$ <sup>4</sup> for pneumonia. Isolated wheezing or prolonged expiration is associated with bronchiolitis and is uncommon in bacterial pneumonia.<sup>44</sup> The sensitivity and specificity of clinical findings for predicting the presence of radiographically evident pneumonia have been evaluated in a number of studies. $44-47$  In one study, the combination of a respiratory rate *>* 50 breaths/min, oxygen saturation *<*96%, and the presence of nasal flaring in children < 12 months of age was highly associated with radiographically confirmed pneumonia.48 About three-fourths of children with radiographically confirmed pneumonia appear ill. Severity of illness correlates with the likelihood of a bacterial cause. Approximately 6% to 25% of children  $<$  5 years of age with fever > 39 $\degree$ C and a white blood cell (WBC) count *>* 20,000/mm3 without an alternative source of major infection and with no symptoms or signs of lower respiratory tract disease have radiographically confirmed pneumonia.<sup>47,49</sup>

A Medline search from 1982 to 1995 of studies that considered observer agreement of clinical examination suggested that observed clinical signs were better than auscultatory signs<sup>50</sup>; interobserver agreement was low in recognizing crackles, retractions, and wheezing, but high in determining respiratory rate and cyanosis. However, neither respiratory rate nor cyanosis is a specific or sensitive indicator of hypoxia. Oxygen saturation should be measured in any child with respiratory distress, especially if the child has retractions or decreased level of activity.<sup>51</sup>

## **Neonates and Young Infants**

The neonate with bacterial infection due to group B streptococcus, *Listeria monocytogenes,* or gram-negative bacilli usually manifests respiratory distress in the first few hours of life. Septicemia and infection at other sites, including the meninges, can dominate the clinical presentation. Pneumonia in children younger than 2 months of age is usually characterized by tachypnea (respiratory rate  $>60$ breaths/min), intercostal retractions, or both. $52$  In very young infants, particularly those who are born prematurely, fever may be absent and apneic spells may be the most prominent initial finding of LRTI from any cause.<sup>53</sup> Infants with *C. trachomatis* pneumonia present insidiously between 3 weeks and 3 months of age with staccato cough, tachypnea, crackles on pulmonary auscultation, and absence of fever. Significant laboratory findings include eosinophilia and elevated total serum IgM concentration.<sup>54–56</sup>

#### **Infants, Children, and Adolescents**

#### Viral Pneumonia

The onset of viral pneumonia is usually gradual and occurs in the context of a preceding upper respiratory tract illness (URI) (rhinorrhea, low-grade fever, and decreased appetite) in the patient or family members. There is then increase in irritability, respiratory congestion, cough, posttussive emesis, and fever. The patient may not appear toxic although hypoxia can be marked, particularly in a young infant, whose initial presentation can be apnea. The auscultatory findings are not anatomically confined but diffuse and bilateral, consisting of wheezing and crackles. Adenovirus usually produces signs and symptoms similar to other viral infections but it can also cause severe pneumonia similar to a bacterial infection, especially in immunocompromised hosts.

#### Bacterial Pneumonia

The onset of bacterial pneumonia is usually abrupt but may follow several days of mild URI. The patient with pyogenic bacterial pneumonia is usually ill and toxic-appearing with high fever, rigors, and tachypnea. Respiratory distress and hypoxemia, however, can be absent or mild unless there is widespread disease or a large pleural effusion. Cough occurs later in the course of the illness when the debris from the involved lung is swept into the upper airway. Unilateral pleuritic chest pain or abdominal pain in the presence of radiographically demonstrated infiltrate is a specific sign of bacterial pneumonia. Unless there is a parapneumonic effusion, auscultatory findings are usually few (especially in infants) and are focal and limited to an anatomic segment. These include decreased tactile and vocal fremitus on palpation, diminished air entry with rales, and dullness to percussion over the involved area of the lung. Presence of wheezing, in an otherwise healthy child, usually excludes pyogenic bacterial pneumonia.

#### Other Pathogens

The major symptoms of LRTI due to *Mycoplasma pneumoniae, Chlamydophila pneumoniae,* and *Coxiella burnetii* (Q fever) are fever and cough that persist for more than 7 to 10 days. The onset of pneumonia caused by *M. pneumoniae* is not usually well demarcated but malaise, headache, sore throat, fever, and photophobia occur early, and sometimes subside when gradually worsening, nonproductive cough ensues. Although coryza is an unusual symptom, ear infection with or without bullous myringitis can occur with *M. pneumoniae* infections. Findings on physical examination and auscultation can be minimal, most commonly dry or musical crackles. The presence of Stevens–Johnson syndrome or hemolytic anemia suggests *M. pneumoniae* infection. *M. pneumoniae* can cause severe disease in persons with sickle-cell disease in whom acute chest syndrome is common. *Chlamydophila pneumoniae* infection usually causes bronchospasm and can cause an acute exacerbation of asthma. Q fever, caused by *Coxiella burnetii*, has an acute onset with intractable headache, fever, and cough with round parenchymal opacities on chest radiograph.

## **Differential Diagnosis**

Pneumonia is highly probable in children with fever, cough, tachypnea, and shortness of breath (dyspnea) in whom chest radiograph demonstrates pulmonary infiltrates. There are many alternative diagnoses, particularly in the absence of fever or with chronic or relapsing symptoms and signs. These include foreign-body aspiration, asthma, gastroesophageal reflux, cystic fibrosis, congestive cardiac failure, systemic vasculitis, and bronchiolitis obliterans. Children who develop chemical pneumonia after ingestion of volatile hydrocarbons can have severe necrotizing pneumonia with high fever and peripheral neutrophil counts exceeding 15 000/mm<sup>3</sup>.

## **Laboratory Findings and Diagnosis**

#### Radiograph

Routine use of chest radiography did not change the clinical outcome in most cases in a study evaluating ambulatory children  $> 2$  months of age with acute LRTI.<sup>57,58</sup> Prescription of an antibiotic was more frequent in those who underwent radiography (61% versus 53%). However, chest radiography is necessary to confirm the presence and determine the location of pneumonia in the following patients: those < 12 months of age with acute LRTI who are hospitalized or severely ill; those who have recurrent disease or chronic medical conditions; those who develop complications; and those in whom the diagnosis is uncertain. The chest radiograph can appear falsely normal in children examined early in the course of pneumonia or in dehydrated patients.<sup>44</sup> Chest radiography is insensitive in differentiating bacterial from nonbacterial pneumonia; however, combined with clinical findings, it is accurate in excluding most cases of bacterial pneumonia.<sup>59,60</sup>

Bilateral diffuse infiltrates are seen with pneumonia caused by viruses, *Pneumocystis jirovecii, Legionella pneumophila* and occasionally *M. pneumoniae*. Both *Chlamydophila pneumoniae* and *M. pneumoniae* (Figure 36-1) cause focal radiographic abnormalities, which are out of proportion to clinical findings. Distinctly confined lobar or segmental abnormality or a large pleural effusion suggests bacterial infection (Figure 36-2). Rarely, *M. pneumoniae* or adenovirus can manifest with these findings. $61-63$  Round appearance of infiltrate is common in children younger than 8 years of age and is most often due to *Streptococcus pneumoniae*.

Enlarged or calcified hilar lymph nodes suggest tuberculosis or a fungal infection such as histoplasmosis, and can also occur in *Mycoplasma* pneumonia and in patients with cystic fibrosis. Tuberculosis is highly likely in an adolescent with epidemiologic risk factors and apical disease or cavitation. Pneumatoceles (thin-walled air–fluidfilled cavities) resulting from alveolar rupture are usually associated with infection due to *Staphylococcus aureus* but can be seen in infections due to *Streptococcus pneumoniae, S. pyogenes,* Hib, other gram-negative bacteria, or anaerobes. Involvement of the lower lobes, particularly with recurrent infections, suggests aspiration pneumonia, or if confined to the same site, pulmonary sequestration. Recurrent bacterial pneumonia involving the same anatomic area suggests congenital anomaly or foreign body whereas recurrences in different areas suggest an abnormality of host defense, cystic fibrosis, or other causes (see Chapter 37, Persistent and Recurrent Pneumonia).

A chest radiograph is rarely useful in following the clinical course of a child with acute pneumonia who is recovering as expected. Radiographic improvement lags clinical changes significantly; complete resolution is expected in children 4 to 6 weeks after onset. Follow-up radiography is indicated for children with lobar collapse, complicated pneumonia, recurrent pneumonia, and round pneumonia (to exclude tumor as the cause). $64,65$ 

#### Laboratory Tests

Indices of host response (acute-phase reactants), including peripheral WBC, white blood cell differential, erythrocyte sedimentation rate





**Figure 36-1.** (A) Posteroanterior and (B) lateral plain radiographs of a 2-year-old child with dextrocardia, complex congenital heart disease, and *Mycoplasma pneumoniae* pneumonia. Note bilateral patchy alveolar infiltrates in both lower lobes. (Courtesy of E.N. Faerber and S.S. Long, St. Christopher's Hospital for Children, Philadelphia, PA.)

(ESR), and C-reactive protein (CRP) level, best detect invasive infections, particularly those caused by bacteria. Viral pneumonia is associated with a less brisk rise of acute-phase reactants than bacteria pneumonia. However, some viral agents, especially adenovirus, influenza, and measles virus, can induce a host response similar to that of invasive bacterial infection. In a prospective study examining the utility of routinely obtaining the acute-phase reactants in children with pneumonia, the authors concluded that these tests do not stand alone as indicators of bacterial versus viral pneumonia.<sup>66,67</sup>

## **Diagnosis of Specific Agents**

The rigor of an investigation for specific causative agents in pneumonia depends on the severity of illness, the presence of underlying



Figure 36-2. Plain radiograph showing consolidative pneumonia in the right upper lobe, typical of acute bacterial pneumonia.

disease, and clinical manifestations. Mildly to moderately ill ambulatory patients can often be managed empirically without specific diagnostic tests. Under circumstances in which the identity of a specific etiologic diagnosis is desired, a number of investigations may be warranted. Some of these circumstances include all patients admitted to the hospital with pneumonia, patients with underlying medical conditions, and when there is a community outbreak caused by an organism that has recently been recognized to be contagious (i.e., an emerging infection).

#### Viruses

A viral pathogen is best identified by recovering the organism in tissue culture or by detection of viral products (antigens or nucleic acid) in respiratory tract secretions. Combined real-time PCR can rapidly detect common viral and atypical bacterial agents of CAP.<sup>68</sup> However, both false-positive and false-negative results can occur when the specimen is improperly obtained or transported and/or the tests are suboptimally performed. A nasopharyngeal wash or aspirate is the most sensitive specimen because it contains infected epithelial cells. The presence of a viral agent in the upper respiratory tract does not exclude the presence of secondary bacterial pneumonia. Clinical correlation is necessary. Obtaining acute and convalescent sera to assess rising antibodies to various viruses is usually confined to research settings.

#### Other Pathogens

*M. pneumoniae* can be detected most effectively by PCR methodology but the test may not be available in most hospital or commercial laboratories. *Mycoplasma* culture is available in some commercial and hospital laboratories but can take 3 weeks to complete. Cold agglutinins (IgM antibodies that agglutinate human red cells) are found in 30% to 75% of individuals with *M. pneumoniae* pneumonia during the acute phase of the disease.<sup>69</sup> The titer correlates with the severity of disease; a titer of 1:64 or greater has a high predictive value for *M. pneumoniae* infection. The cold agglutinin test result can be positive in lower titers in infections due to adenovirus, influenza virus, Epstein–Barr virus, and CMV, as well as in lymphoma. The test can be negative in young children and in those with mild disease. Testing for serum IgM and IgA antibodies to *M. pneumoniae* is positive in 80% of cases during the early convalescent period<sup>70</sup>; specificity and reproducibility may be suboptimal. Examining paired sera is the most definitive test.

*Chlamydophila pneumoniae* infection is identifiable serologically, by isolation of the organism in tissue culture, or by PCR, although none of these tests may be readily available.<sup>71</sup> Serology is also effective in detecting infections with other agents that cause atypical pneumonia, namely *C. psittaci* and *Coxiella burnetii*.

When tuberculosis is considered, a Mantoux skin test (a 5 TU intradermal test, purified protein derivative (PPD)) should be placed on the patient as well as on all the immediate family members and other significant contacts. In acutely ill patients, the PPD skin test can be nonreactive because of general or specific anergy to MTB antigen. When the suspicion of tuberculosis is strong, multiple respiratory tract specimens should be obtained; specimens include sputum (spontaneous or induced), gastric aspirate, and/or bronchoalveolar lavage. Gastric aspirates are superior to bronchoscopic specimens in infants with tuberculosis $72$  and should be obtained in patients with suspected primary pulmonary infection or miliary disease without cough.

#### Bacterial Pathogens

The diagnosis of most bacterial causes of pneumonia is problematic. Young children do not effectively cough up sputum, resulting in a specimen contaminated with saliva. In older children, a sputum sample is considered appropriate for microbiologic evaluation when Gram stain reveals < 10 squamous epithelial cells and *>* 25 neutrophils per low-power field, and a predominant organism. Nasopharyngeal cultures are not usually reliable specimens because many bacterial pathogens are also common commensals. Further, noncommensal organisms residing in the upper airway may not be the causative agent of LRTI. Tracheal aspiration is useful for culture if performed with direct laryngoscopy. However, culture samples obtained via a catheter directly passed through a tracheostomy, endotracheal tube, or deep nasotracheal tube have limitations due to frequent contamination with upper respiratory tract organisms. (They could be evaluated as for a sputum sample.) Quantitative culture performed on a bronchoalveolar lavage specimen is useful, with isolate colony count  $> 10^4$ /mL considered significant. Blood culture is specific but insensitive. A recent study demonstrated that transthoracic needle aspiration (lung tap) in hospitalized children with clinical pneumonia had a high microbiologic yield and was relatively safe.<sup>31</sup> However, this procedure is not widely performed in the United States. A diagnostic thoracocentesis should be considered in patients with more than a minimal pleural effusion, when the etiology is obscure, or when mechanical removal is indicated. A lung biopsy and/or a bronchoalveolar lavage may be necessary to confirm the diagnosis in patients who are seriously ill, immunocompromised, intubated, or who are not responding to empiric therapy.

#### **Management**

#### Indications for Hospitalization

Hypoxemia with  $Sao_2 < 92\%$  is the single most important indication for hospitalization because a hypoxemic child is at greater risk of death than an adequately oxygenated child.<sup>43</sup> Other indications include cyanosis, rapid respiratory rate (RR > 70 breaths/min in an infant or > 50 breaths/min in a child), apnea, dyspnea, expiratory grunting, dehydration, toxic appearance, poor oral intake, recurrent pneumonia, underlying medical condition, or uncertain observation at home.

#### Supportive Therapy

**Oxygen Therapy and Ventilatory Support.** Oxygenation is assessed continuously by measuring oxygen saturation or arterial  $P_{\text{O}_2}$ . Hypoxic infants and children may not appear cyanotic until they are terminally ill. Mental agitation, clinically evident as increased irritability, may be an indication of hypoxemia. Therefore, supplemental oxygen therapy is indicated in any patient whose oxygen saturation is persistently 92% or less. Sole reliance on pulse oximetry values is hazardous in ill patients because hypercarbia is an important sign of impending respiratory failure, especially in the tiring young infant who may have relatively preserved oxygenation. Blood gas should be evaluated to detect impending respiratory failure and provide ventilatory support when indicated.

**Fluid Therapy.** Rapid breathing, fever, and fatigue increase the fluid requirements in a child with acute LRTI. Most patients can be hydrated orally if they are given small volumes of fluids frequently. Intravenous hydration may be necessary for intubated or seriously ill children with very rapid breathing because of increased likelihood for pulmonary aspiration. The syndrome of inappropriate secretion of antidiuretic hormone (SIADH) can be seen in approximately one-third of patients hospitalized with pneumonia.<sup>73</sup>

**Nutrition.** Malnutrition has been associated with a worse prognosis of pneumonia. Infants and small children fare better if fed in small quantities and more frequently to prevent pulmonary aspiration.<sup>74</sup> Seriously ill or intubated children may require placement of an enteral feeding tube or parenteral nutrition.

**Fever and Pain Management.** Persistent and high fever increases the basal metabolic rate and oxygen consumption. Similarly, pain interferes with the depth of breathing and with the ability to cough effectively. Therefore, it is important that the patient is kept comfortable by using age-appropriate antipyretic or analgesic agents or both.

## **Antimicrobial Therapy**

Treatment of pneumonia in infants and children is often empiric because of the difficulty in proving etiology. Because viral LRTI is most frequent in previously healthy children, antibiotics are only administered when findings suggest bacterial infection.

Optimal antibiotic treatment of pneumonia in infants and children has not been determined by randomized controlled clinical trials. Recommendations are based on the most likely etiologic agents at different ages and in various settings. Because pathogens of pneumonia in neonates are similar to those of sepsis, broad-spectrum antibiotics like ampicillin and gentamicin are appropriate in this age group. A macrolide antibiotic is appropriate for *Chlamydia trachomatis* and *Ureaplasma.* In infants < 1 month of age the preferred macrolide is azithromycin because azithromycin is not known to cause hypertrophic pyloric stenosis.<sup>75</sup> For pertussis, the dose is 10 mg/kg per day on each of 5 days. Amoxicillin (80 to 90 mg/kg per day) is effective empiric therapy for febrile children with pneumonia; alternatives include high-dose amoxicillin-clavulanate (14:1 preparation), cefuroxime axetil, or cefdinir.<sup>76</sup> In children > 5 years of age in whom atypical organisms (*Mycoplasma* or *Chlamydophila pneumoniae*) are suspected, initial treatment with a macrolide or doxycycline (recommended only after 7 years of age) may be appropriate if pyogenic bacterial pneumonia is not likely. For a hospitalized child beyond the neonatal period with uncomplicated pneumonia, initial parenteral (intravenous) therapy with ampicillin is appropriate, even in areas with penicillin-nonsusceptible *Streptococcus pneumoniae*. Some experts recommend cefuroxime, ceftriaxone, cefotaxime, or ampicillin-sulbactam, and use higher doses of beta-lactam agents than in the era prior to penicillin-nonsuspectibility.<sup>77-79</sup> While the use of vancomycin, clindamycin, or linezolid is not recommended for initial treatment of uncomplicated CAP, these drugs are considered if infection due to CA-MRSA is suspected, if pneumonia is unresponsive to initial antibiotics, or in those patients allergic to betalactam agents.<sup>80</sup> Other antimicrobial agents may be chosen if a likely pathogen is identified, the case has clinical or epidemiologic features strongly suggestive of a particular infection, or the evolution of the disease suggests a more specific cause.

Opinions differ about the frequency with which viral pneumonia is

complicated by bacterial superinfection.<sup>81</sup> There is a good deal of evidence, however, that withholding antibiotics from hospitalized children with pneumonia clinically compatible or proven to be of viral origin is safe and is preferable to empiric antibiotic treatment.82 Use of specific antiviral therapy depends on the pathogen, the severity of the clinical course, and availability of effective nontoxic therapy. Use of ribavirin for the treatment of RSV and acute LRTI is guided by recommendations from the American Academy of Pediatrics, 83, 84 although the value of treatment has been questioned. $85,86$ 

## **Prognosis and Sequelae**

Mortality due to CAP is uncommon beyond infancy in Europe and North America because of improved and enhanced immunization rates, early access to medical care and availability of antimicrobial therapy. Most healthy children with acute LRTIs recover without sequelae. However, in some patients, especially premature infants, immunocompromised hosts, or in children with chronic lung, neuromuscular, or cardiovascular diseases, complications can develop from an acute LRTI.

In the late 1990s, there was a significant increase in the relative incidence of complications from bacterial pneumonia in infants and children living in North America,  $87,88$  the exact reason for which is still obscure.<sup>89</sup> Since 2000, universal immunization with pneumococcal conjugate vaccine in children less than 2 years of age has reduced the frequency of complications due to pneumococcal pneumonia,<sup>90</sup> and the overall complications due to presumed bacterial pneumonia. The complications of bacterial pneumonia include: necrotizing pneumonia, parapneumonic effusion, empyema, pneumatocele formation, and lung abscess.

Several epidemiologic studies have linked asthma and other respiratory problems occurring later in childhood to viral bronchiolitis or atypical pneumonia in infancy.91–95 Although many etiologic agents, including RSV and *Chlamydia* in particular, have been implicated, bacterial pneumonia has not been specifically found to have long-term sequelae. One study suggested that chronic cough can follow *C. trachomatis* pneumonia in infancy<sup>96</sup> and another has suggested that asthma can follow *Chlamydophila pneumoniae* infection.<sup>97</sup> A study of 35-year-old adults, whose parents had been interviewed 18 years previously, showed that those reported to have had pneumonia before age 7 years demonstrated a significant reduction of forced expiratory volume and forced vital capacity,<sup>98</sup> confirming findings of prior studies.<sup>99,100</sup> Several longitudinal studies of lung function in children with bronchiolitis have suggested that lung function abnormalities may have preceded the acute infectious illness.<sup>101–103</sup> Thus, it remains unclear whether childhood pneumonia causes subsequent pulmonary abnormalities.

## **Prevention**

Most respiratory viral infections are transmitted by direct inoculation from hands contaminated with respiratory secretions on to conjunctival and nasal mucosa. Spread by airborne droplets also occurs occasionally. Hand hygiene by caregivers or medical personnel, before and after contact with patients, is the single most important method of preventing hospital-associated infections. Spread of infection by small droplets can be reduced by placing the patient in a negative-pressure room. All caregivers should wear facemasks and goggles.

The development of vaccines for the prevention of pneumonia is complicated by the large number of etiologic agents. Universal use of Hib conjugate vaccine has eliminated invasive Hib disease. Universal immunization with pneumococcal conjugate vaccine has significantly reduced the incidence of pneumococcal pneumonia in children,<sup>104,105</sup> and in elderly contacts through herd immunity.<sup>106,107</sup>

RSV bronchiolitis and pneumonia can be reduced in high-risk infants by passive immunoprophylaxis using palivizumab, a monoclonal antibody directed at the fusion protein of RSV, which can

be administered intramuscularly every month to infants and young children at high risk for infection (see Chapter 225, Respiratory Syncytial Virus).108,109 Annual vaccination against influenza is recommended for individuals *>* 6 months of age with underlying medical conditions such as chronic lung diseases (including mild asthma), neuromuscular disorders, congenital cardiac conditions, and diabetes because of high risk of complications or more severe respiratory disease. Annual influenza vaccine is also recommended for all healthy children between 6 and 59 months of age to reduce morbid disease in them and the burden of disease in the community.<sup>110</sup> It is anticipated that the incidence of bacterial pneumonia in children, especially that caused by *Staphylococcus aureus* and *Streptococcus pyogenes*, will decrease substantially in the future with increased immunization rates for influenza and varicella viruses in young children.

## **PLEURAL EFFUSION, PARAPNEUMONIC EFFUSION, AND EMPYEMA**

*Pleural effusion* is the presence of demonstrable fluid of any character between the visceral and parietal pleurae. Pleural effusions are classified as being a *transudate* or an *exudate* based on the biochemical characteristics of the fluid. The relative concentration of pleural fluid protein to serum protein is  $> 0.5$  in an exudate versus *<* 0.5 in a transudate (Table 36-3). Exudates can have an infectious or noninfectious cause whereas transudates are less often caused by infections. Noninfectious causes of pleural effusions are listed in Table 36-4. Several drugs, including hydralazine, nitrofurantoin, dantrolene, amiodarone, methysergide, procarbazine, bromocriptine, methotrexate, and agents associated with a lupus-like reaction, are associated with pleural effusions. *Parapneumonic effusions* are inflammatory fluid collections adjacent to a pneumonic process, seen in about  $40\%$  of cases of bacterial pneumonia.<sup>84</sup> They can be classified as complicated or uncomplicated on the basis of various characteristics, particularly pH, glucose, and lactate dehydrogenase (LDH) concentrations (see Table 36-3).<sup>111</sup> The term *empyema* is used when a parapneumonic fluid becomes purulent or seropurulent.

Parapneumonic effusion (PPE) usually occurs as a complication of pyogenic bacterial pneumonia but can occasionally occur secondary to other etiologic agents (e.g., *Mycoplasma*) or as a consequence of an infection from another contiguous site. PPE can be complicated

## **TABLE 36-3. Biochemical Characteristics of Parapneumonic Pleural Effusions**



(CPPE) or uncomplicated (UPPE). CPPE and empyema represent a different spectrum of the same disease.<sup>112</sup> The estimated incidence of empyema in children is approximately 3.3 per 100,000.<sup>84</sup> Both CPPE and empyema are serious illnesses, often associated with significant morbidity<sup>113,114</sup> but with low mortality rates. Seventy percent of complicated pneumonia occurs in children < 4 years of age; pneumatoceles (defined as air–fluid-filled alveoli) occur predominantly in children  $<$  3 years of age.<sup>115</sup>

## **Etiologic Agents**

During the latter 1990s *Streptococcus pneumoniae*, especially serotype 1, emerged as the most common isolate from children with complicated parapneumonic effusion.<sup>116</sup> With the introduction of universal conjugated pneumococcal vaccination in the United States, the incidence of CPPE due to vaccine-serotype *S. pneumoniae* has decreased, $87$  although serotype 1 and other nonvaccine serotypes appear to be emerging.<sup>117</sup> Likewise, universal childhood immunization against Hib has also significantly reduced the incidence of CPPE due to this agent. CA-MRSA is an important cause of pneumonia and CPPE in children.104,118 In South Asia, *Staphylococcus aureus* is the most common cause of CPPE or empyema.<sup>119</sup> Less frequently, group A streptococcus, *Pseudomonas aeruginosa*, mixed anaerobic pathogens, *Mycobacterium* species and, rarely, fungi can be etiologic agents.<sup>120</sup> Although effusions have been described in pneumonia due to *M. pneumoniae* and viruses,  $\frac{121-123}{121}$  they are rarely large enough to require intervention. In several large studies, PPEs are found to be sterile in 22% to 58% of cases.<sup>116,124–128</sup>

## **Pathogenesis and Pathologic Findings**

Under normal circumstances the pleural space contains 0.3 mL of fluid per kilogram body weight. The pleural circulation is maintained by a delicate balance between secretion and absorption of pleural fluid by lymphatic vessels in the pleura. When this balance is disturbed, fluid accumulates. Various infectious agents induce pleural effusion by different mechanisms. Effusion can result from a sympathetic response to a bacterial infection (by elaboration of cytokines), extension of infection, an immune-complex phenomenon (e.g., pneumococcal infections) or as a hypersensitivity reaction (e.g., rupture of tuberculous granulomas). Replication of microorganisms in the subpleural alveoli precipitates an inflammatory response resulting in endothelial injury, capillary permeability, and extravasation of pulmonary interstitial fluid into the pleural space. The pleural fluid is readily infected because it lacks opsonins and complement. Bacteria also interfere with the host defense mechanism by production of endotoxins and other toxic substances. Anaerobic glycolysis results from further accumulation of neutrophils and bacterial debris. This in turn causes pleural fluid to become purulent and acidic (i.e., empyema). The acidic environment of the pleural fluid suppresses bacterial growth and interferes with antibiotic activity. With disease progression, more inflammatory cytokines are released and there is activation of coagulation leading to deposition of fibrin.

The American Thoracic Society has divided empyema into three stages: (1) exudative phase, in which the pleural fluid has low cellular



content; (2) fibrinopurulent phase, in which frank pus containing increased neutrophils and fibrin is formed. This fibrinous pus coats the inner surfaces of the pleura, interfering with lung expansion. Fibrin also leads to loculations within the pleural space; and (3) organizational phase (late stage), in which fibroblasts migrate into the exudate from visceral and parietal pleurae, producing a nonelastic membrane called the pleural peel. Before the availability of antibiotics, spontaneous drainage sometimes occurred by rupture through the chest wall (empyema necessitans) or into the bronchus (bronchopleural fistula). At present, such events are rare and are usually seen due to antibioticresistant, nosocomially acquired bacteria or insidiously progressive actinomycosis.

The acidic pleural pH is the basis for biochemical tests that differentiate uncomplicated effusions (which usually resolve with antibiotic therapy alone) from complicated effusions (that benefit from more aggressive drainage and other interventions); see Table 36-3.

## **Clinical and Radiographic Manifestations**

Necrotizing pneumonia or effusion should be suspected when the response of a lobar, lobular, or alveolar pneumonia to appropriate antibiotic therapy is slow, or if there is clinical deterioration during treatment. Early in the disease, the symptoms of pleural effusion can be nonspecific and include malaise, lethargy, and fever. This is followed by cough and rapid breathing. Pain in the chest and/or in the abdomen develops on the involved side, associated with high fever, chills, and rigors. The child may guard (splint) or lie on the involved side of the chest in an attempt to minimize pain. As the effusion progresses, so does difficulty in breathing (dyspnea). On physical examination, the patient is usually ill and toxic-appearing, febrile, with significant tachypnea and shallow respirations (to minimize pain). Scoliosis may be noted on the involved side and the affected side may be tender to palpation. Breath sounds are usually grossly diminished on auscultation. Crackles from an associated pneumonia may be audible. Pleural rub is usually audible when there is a small fibrinous exudate within the pleural space. The percussion note on the involved side is dull when the effusion is free-flowing; by contrast, dullness can disappear as the effusion organizes.

Chest radiography is more sensitive than physical examination, especially in detecting small pleural effusions. Blunting of the costophrenic angle, thickening of the normally paper-thin pleural shadow, and a subpulmonic density all suggest pleural effusion (Figure 36-3). Movement and layering of fluid on lateral decubitus films differentiate free effusions from loculated collections, pulmonary consolidation, and pleural thickening. In large effusions containing more than 1000 mL of fluid, compression of the lung and shift of the trachea away from the effusion occur.<sup>129</sup> Computed tomography  $(CT)$ is not routinely necessary to differentiate simple parapneumonic effusions from empyema or for recognizing loculations within an empyema.<sup>130</sup> However, CT often gives diagnostic information regarding the parenchymal component of the process not discernible by conventional radiographs.<sup>131</sup> Ultrasonography is usually more useful in the management than in the detection of effusions; it helps to localize and estimate the size of an effusion precisely.

## **Laboratory Findings and Diagnosis**

A sample of pleural fluid is obtained. The fluid should be centrifuged if it is cloudy on gross inspection; persistent cloudiness is suggestive of a chylothorax. Putrid odor is pathognomonic of anaerobic infection but is present in only half of such infections.

Pleural fluid is analyzed by biochemical (pH, glucose, and LDH levels), hematologic (total WBC count and differential), special staining techniques, and culture. If the diagnosis of pneumonia is not clear, other tests may be helpful, such as cellular histology and flow cytometry for malignancy. The usefulness of pH and glucose level in guiding the management of effusions is derived from data in



Figure 36-3. Plain radiograph showing left lower lobe pneumonia and a parapneumonic effusion, typical of acute bacterial pneumonia.

adults.132,133 The results of one small pediatric series support a similar interpretation in children.<sup>124</sup> To enhance the accuracy of tests for  $pH$ and glucose, the fluid must be obtained in a heparinized syringe with the exclusion of air, placed on ice, and tested promptly. If immediate performance of such tests is not possible, the fluid should be stored at 0°C for no more than 2 hours. The pH can be lowered if the fluid is stored at room temperature and raised upon exposure to air. Effusions that are likely to require aggressive management (CPPEs) can be differentiated from those that do not require such management by gross appearance of pus, or by the pleural fluid having a  $pH < 7.1$ , a glucose level < 40 mg/dL, and a LDH concentration >  $1000$  IU/mL.<sup>1</sup>

Neutrophil predominance in pleural fluid suggests an acute process, such as pneumonia, pancreatitis, pulmonary embolism, or intra-abdominal abscess.<sup>134</sup> Lymphocytic predominance suggests tuberculosis, fungal, or *Mycoplasma* infection but can also be due to chylothorax; mixed mononuclear cell types suggest a more chronic process, such as malignancy, uremia, or collagen vascular disease. Pleural fluid eosinophilia is not usually helpful in determining the cause of an effusion in children, $135$  although eosinophilia is consistently found in effusions accompanying pneumonia due to various forms of paragonimiasis.<sup>136</sup>

Bacteriologic examination of pleural fluid always includes Gram stain and culture for aerobic and anaerobic bacteria. Acid-fast stain and culture for *Mycobacterium tuberculosis*, and fungal stain and culture, should be obtained from both the pleural fluid and sputum when clinically indicated. The possibility of isolating a pathogen from either the pleural fluid or blood varies widely, from 8% to 76%.124–126,137–140 Direct antigen testing is not considered useful because of technical problems associated with all the current tests available.

A Mantoux (PPD) skin test should be performed in patients with epidemiologic risk factors or clinical manifestations possibly suggesting tuberculosis. Anergy is unusual in the presence of pleural effusion.<sup>141</sup> If organisms are not seen on acid-fast stains of the sputum or pleural fluid, a pleural biopsy (and thoracoscopy when possible) should be performed because granulomas are observed in 50% to 80% of pleural biopsy specimens compared with a 10% rate of isolation of *Mycobacterium* in cultures of pleural fluid in patients with tuberculous effusions.141,142 Pleural biopsy is also useful in diagnosing other granulomatous infections (histoplasmosis, other fungal infections, and *Francisella tularensis*) and malignancies.

Cytokine levels, especially interleukin-8, are significantly higher in empyema. They may be useful to differentiate CPPE from UPPE.<sup>143,144</sup>

#### **Management**

The optimal management of PPEs in children is controversial. Data underlying many of the decision points come from studies in adults, although effusions in children differ in the following ways from those in adults: the spectrum of causative organisms is not the same; underlying airway and lung diseases are less common and are different in children; and the capacity of the lung and pleural space to recover fully without aggressive intervention is greater in children. There have been no prospective pediatric trials comparing management schemes and, therefore, no optimal evidence-based management has been established.

The traditional approach to the management of PPEs is initially to obtain fluid by needle aspiration, primarily to establish a microbial etiology; this is followed by administration of antibiotic therapy and observation of the child's clinical course. In most cases, symptoms resolve. A more aggressive approach is taken if any of the following is present: persistence of fever or toxicity; rapid reaccumulation of the pleural effusion; respiratory compromise because of the size of the effusion. Under these circumstances, a drainage tube is initially inserted using ultrasonographic guidance. Benefit of fibrinolytic agents is controversial. Surgical intervention is considered in moderately severe cases.

In severely affected individuals, more aggressive approaches may be appropriate. Some experts advocate immediate thoracoscopic examination of the pleural space, lysis of the adhesions, and placement of a large-diameter drainage tube.<sup>127</sup> With the availability of less invasive video-assisted thoracoscopic surgery (VATS), there is increasing opinion that VATS is the best treatment for patients with CPPE and empyema. In one study, treatment failure and mortality rates were higher in patients who had nonoperative therapies (chest tube placement, antibiotics, and/or fibrinolytic therapy) compared with primary operative therapy (VATS and thoracotomy).<sup>145</sup> In addition, it was noted in this study that fibrinolytic therapy, using streptokinase or urokinase, was associated with more complications as systemic absorption of streptokinase after intrapleural instillation resulted in coagulopathy.<sup>146</sup> Another study concluded that VATS was both safe and effective as well as superior to chest tube drainage for large loculated empyemas $147$  when used early in the course of the illness. Bronchoscopy may be indicated in anaerobic infections to hasten drainage or the removal of a foreign body. Pleural decortication is reserved for patients who have entrapment of lung with persistent and severe restrictive lung disease.

## **Antimicrobial Therapy**

When choosing an antibiotic for the treatment of CPPE or empyema, consideration must be given to the following: probable pathogens predicted from patient's age; clinical circumstances; Gram stain of the pleural fluid; and radiographic appearance. The clinical circumstances include whether the infection was community- or hospital-acquired, the host is immunocompetent or immunocompromised, and/or has an underlying medical condition. In most cases, empiric therapy should adequately treat *Streptococcus pneumoniae,* CA-MRSA, and group A streptococcus. In addition, therapy should include adequate coverage for anaerobic bacteria in patients at risk for aspiration. In cases where atypical pathogens are suspected, a macrolide (for children  $<$  7 years) or doxycycline (for children  $> 7$  years) may be added. When the specific causative pathogen is determined, the antibiotic spectrum should be narrowed. Duration of parenteral therapy and total treatment is individualized on the basis of clinical response and adequacy of drainage. A minimal duration of 4 weeks is usual for CPPE; treatment should be prolonged when drainage is delayed and systemic manifestations are protracted.

In circumstances in which the effusion persists and the microbial etiology is unknown, it is important to remember that fever, anorexia, and toxicity can be prolonged, even when the choice of antibiotics is correct – due to inflammatory response within the pleural space. Therefore, additions or changes in appropriately selected antibiotic therapy should be avoided.

## **Prognosis**

The mortality rate for CPPE in previously healthy children is between  $0\%$  and  $3\%$ .<sup>145</sup> Historically, mortality was highest in small infants and with infection due to *Staphylococcus aureus*. Long-term follow-up data of patients with PPEs are limited. The capacity of the child's lung to recover is great and even patients with prolonged morbidity rarely require decortication. Patients are usually asymptomatic at follow-up but radiographs may show pleural thickening, $125,128$  which regresses over months. Mild abnormalities occur with equal frequency in children treated with and without chest tube drainage.<sup>148</sup> Re-expansion of the affected segment of the lung is an important consideration regarding need for surgical intervention, even if clinical improvement has ensued.

## **NECROTIZING PNEUMONIA AND LUNG ABSCESS**

Necrotizing pneumonia usually occurs as a consequence of a localized lung infection by particularly virulent, pyogenic bacteria. Necrotizing pneumonia in an otherwise healthy child can resolve without further complications after antimicrobial treatment, or it can be followed by the formation of a lung abscess or a pneumatocele (blebs in the lung parenchyma created by coalescence of alveolar spaces following rupture of septa) or bronchopleural fistula. Lung abscesses can be the outcome of widely variable pathogenic processes, such as: (1) a consequence of necrotizing pneumonia; (2) localized infection after aspiration of heavily infected mouth secretions (sometimes along with a foreign body); (3) focal infection of the lung that occurs during highgrade bacteremia or as a consequence of septic emboli; and (4) complication of a subacute or chronic airway infection seen as a late consequence of cystic fibrosis, after prolonged intubation, or after an infection with hospital-associated (nosocomial) bacteria. In the immunocompromised host, necrotizing pneumonia can be caused by bacteria and/or fungi that invade vessel walls, with subsequent lung infarction (see Chapter 38, Pneumonia in the Immunocompromised Host).

## **Etiologic Agents**

Community-acquired bacterial pneumonia can result in necrosis of the lung parenchyma, which is often discovered on chest radiography or CT in a child with prolonged fever and ill appearance.<sup>90,149</sup> As in most cases of bacterial pneumonia, the causative pathogen may not be identified. When a pathogen is isolated, most often it is *Streptococcus pneumoniae,* or, less commonly, *Staphylococcus aureus*, (especially CA-MRSA) or *Streptococcus pyogenes*. Hemoptysis can be a manifestation of necrotizing pneumonia. Pneumococcal necrotizing pneumonia, if not accompanied by parapneumonic effusion, usually resolves with antimicrobial treatment alone. Infection with either *S. pneumoniae* or *Staphylococcus aureus* can cause pneumatoceles. Occasionally, abscesses can form from pneumatoceles or as a complication of staphylococcal pneumonia.<sup>150–153</sup> Rarely, severe *Mycoplasma pneumoniae* pneumonia is accompanied by abscess formation.<sup>154</sup> Lung abscess is frequently accompanied by PPE.<sup>155</sup>

Pneumonia associated with aspiration of bacteria from the oropharynx, or after aspiration of regurgitated stomach contents, is particularly likely to cause necrosis and abscess formation. Anaerobic bacteria are frequently recovered from lung abscesses, accounting for 30% to 70% of isolates.153,155 *Peptostreptococcus* spp., *Bacteroides* spp., *Prevotella* spp., and *Veillonella* spp. are most common. Facultative aerobic pathogens include  $\beta$ -hemolytic streptococci (Lancefield groups C and G).

Single or multiple lung abscesses can result from right-sided

endocarditis, severe septicemia (usually with *S. aureus*), or infarction/infection following endovascular infection of the large veins in the neck (Lemierre disease).156 The bacteriology reflects the primary pathogens, which can be *S. aureus* (common pathogen), members of the *Streptococcus anginosus* group, or *Fusobacterium necrophorum* (Lemierre disease).

Abscesses in intubated infants and children are usually due to hospital-associated pathogens.<sup>157</sup> Abscesses can develop in the later stages of cystic fibrosis secondary to chronic bronchiectasis. In such cases, *Staphylococcus aureus*, *Pseudomonas aeruginosa,* and mycobacteria are considered.153,158

Table 36-5 shows the common microorganisms isolated in several series of children with lung abscesses.<sup>153,155,157,159,160</sup>

## **Pathogenesis**

Necrotizing pneumonia appears as a consequence of severe lobar or alveolar pneumonia in which the confined infection results in parenchymal damage. Such necrosis can result in abscess formation in some instances when treatment is adequate, and more frequently when it is delayed or inadequate.

Aspiration and obstruction of the airways predispose to lung abscess. Risk factors for aspiration include: decreased level of consciousness due to neurologic disease, anesthesia, alcohol, or drugs; neuromuscular disorders depressing the gag reflex; esophageal abnormalities; gastroesophageal reflux; and prolonged endotracheal intubation. Periodontal disease predisposes to bacterial hypercontamination of aspirated material.<sup>155</sup> The mechanism of abscess formation in otherwise healthy children is most often from an obstruction due to an aspirated foreign body, with growth of bacteria distal to the obstruction. Abnormal drainage, as seen in congenital pulmonary sequestration, lobar emphysema, and pneumatocele formation, predisposes to abscess formation through the same mechanism.

Any high-grade bacteremia or heavy seeding of bacteria into the pulmonary circulation via the systemic venous system can also lead to lung abscess formation. Pulmonary infarction associated with septic embolization increases the likelihood of abscess formation. Chronic airway disease, cystic fibrosis, congenital ciliary dysfunction, or bronchiectasis increases susceptibility to lung abscess. Instrumentation, either during surgery or in intensive care, raises the likelihood of pulmonary

#### **TABLE 36-5. Microbiology of Lung Abscesses in Children<sup>a</sup>**



a Note: more than one organism can be isolated from a lung abscess b Includes some *Prevotella melaninogenica* (formerly *Bacteroides melaninogenica*). Data compiled from references 153, 155, 157, 159, and 160.

infection and abscess formation, probably through a similar pathogenic pathway.<sup>157</sup> In addition, impairment of humoral or cellular immune responses results in greater susceptibility to lung abscess.

## **Clinical Manifestations**

Clinical manifestations of necrotizing pneumonia are similar to, but usually more severe than, those of nonnecrotizing pneumonia due to the same bacteria. Prolonged fever and a toxic appearance or persistent hypoxia, despite appropriate antimicrobial therapy, is characteristic. The evolution from necrotizing pneumonia to abscess is frequently insidious.<sup>161</sup>

Lung abscess typically develops approximately 1 to 2 weeks after aspiration of oropharyngeal or gastric material. The site of involvement is usually the lobe that was dependent at the time of aspiration. Fever is the most common sign in patients with lung abscess.153 Cough, dyspnea, and sputum production are present in approximately half of patients.<sup>153,162</sup> Chest pain and hemoptysis can also occur. Putrid breath or a mass effect is occasionally the sole or predominant manifestation (Figure 36-4).<sup>163</sup>

The differential diagnosis of lung abscess includes other necrotizing infections such as tuberculosis, nocardiosis, fungal infections, melioidosis, paragonimiasis, and amebic abscess. Certain noninfectious diseases, such as malignancy, sarcoidosis, and pulmonary infarction, can produce lesions that mimic abscess on chest radiographs.

## **Diagnosis**

Necrotizing pneumonia is suspected in a child when the symptoms do not respond to appropriate antibiotic treatment of a pneumonic consolidation. A chest radiograph can reveal a radiolucent lesion, but CT is more discerning. Decreased parenchymal contrast enhancement on CT correlates with impending necrosis and cavitation.<sup>130</sup>

The radiographic diagnosis of lung abscess is based on finding an air–fluid level in a cavity at least 2 cm in diameter, with a well-defined wall.<sup>157</sup> In about 20% of cases, a chest radiograph may not be



**Figure 36-4.** Anaerobic pleural empyema in a 5-year-old girl who came to medical attention because of a 1-month history of abdominal pain, tiredness, and constipation, but no history of an aspiration event, fever, respiratory distress, or cough. This radiograph was obtained after an acute respiratory event during evaluation for constipation. Note complete opacification of the left hemithorax with severe shift of the heart and trachea to the right. Three liters of putrid pus was drained, revealing a left lower lobe abscess. Gram stain and culture revealed polymicrobial anaerobic and facultative oropharyngeal flora. (Courtesy of E.N. Faerber and S.S. Long, St. Christopher's Hospital for Children, Philadelphia, PA.)

diagnostic initially. Lung abscesses are most commonly found in the right upper, right lower, and left lower lobes.<sup>164</sup>

CT is often useful to define the extent of disease, underlying anomalies, and the presence or absence of a foreign body (Figure 36-5). Bronchoscopy is diagnostic, and therapeutic on many occasions to facilitate the removal of a foreign body or to promote the drainage of purulent fluid if this has not occurred spontaneously.<sup>155</sup> Ultrasound or CT-guided transthoracic aspiration of lung abscess successfully identifies the etiologic agent in  $> 90\%$  of cases.<sup>165</sup> It is only required in complex cases or when the etiology cannot reasonably be ascertained from the clinical circumstances.

Specimens for culture, other than those obtained by bronchoscopy or direct aspiration of the lung, are of limited value. Quantitative culture of bronchoalveolar lavage fluid improves the accuracy of identification of aerobic and anaerobic bacteria as causes of lung abscess.155,166

## **Management**

Prolonged antibiotic therapy is the mainstay of treatment for necrotizing pneumonia and lung abscess. Duration of therapy is based on clinical response and is usually 4 weeks or at least 2 weeks after the patient is afebrile and shows clinical improvement. Parenteral therapy is usually initiated. Two randomized clinical trials that involved 72 adults found clindamycin to be superior to penicillin for the treatment of anaerobic lung abscess.<sup>167,168</sup> A clinical trial in children found no difference between these two drugs.<sup>169</sup> Parenteral clindamycin is an appropriate empiric therapy for children with suspected *S. Aureus* (including MRSA) or anaerobic lung infection. Combination therapy with ticarcillin or piperacillin and a  $\beta$ -lactamase inhibitor, with or without an aminoglycoside, is considered when necrotizing pneumonia follows aspiration in a hospitalized child or in a child for whom an Enterobacteriaceae (e.g., *Escherichia coli*, *Klebsiella,* etc.) or *Pseudomonas aeruginosa* infection is suspected on clinical grounds (as in cystic fibrosis) or has been identified as an isolate from a percutaneous lung aspirate.

Necrotizing pneumonia or abscess is frequently complicated by parapneumonic effusion, which benefits from percutaneous drainage or other invasive procedures (as mentioned earlier). Percutaneous abscess drainage<sup>157</sup> carries the hazard of bronchopleural fistula, with prolonged morbidity or the necessity for surgical repair.<sup>157,170</sup> Nevertheless, it should be considered in those patients with continued systemic illness, 5 to 7 days after initiation of antibiotic therapy,



**Figure 36-5.** Lung windows of computed tomography study showing right upper lobe abscess.

especially if lesions are peripheral or if bronchoscopy fails to drain a more central lesion. Drainage may also be necessary if an abscess is > 4 cm in diameter, causes mediastinal shift, or results in ventilator dependency.<sup>171</sup> Surgical wedge resection or lobectomy is rarely required, and is reserved for cases in which medical management and drainage fail or bronchiectasis has occurred.

## **Prognosis and Complications**

Necrotizing pneumonia in otherwise healthy children usually resolves with antibiotic treatment alone.<sup>153</sup> Similarly, 80% to 90% of lung abscesses resolve with antibiotic therapy alone (provided that the bronchial obstruction is removed). Fever has an average duration of 4 to 8 days. The most common complication of lung abscess is intracavitary hemorrhage with hemoptysis or spillage of abscess contents and spread of infection to other parts of the lung.<sup>172</sup> Other complications are empyema, bronchopleural fistula, septicemia, cerebral abscess, and inappropriate secretion of antidiuretic hormone.<sup>172</sup>

## **A CKNOWLEDGMENT**

The authors acknowledge significant contributions of K. McIntosh and M. Harper in the second edition of *Principles and Practices of Pediatric Infectious Diseases*.



## Persistent and Recurrent Pneumonia

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In young adults with community-acquired pneumonia, clinical resolution occurs in more than 85% of cases, and radiographic resolution in approximately 75%, by 4 weeks after onset.1 *Persistent pneumonia* has been defined as continuation of symptoms and radiographic findings beyond this period.2 This concept provides a useful framework in which to begin to consider a variety of causes for persistence; in children, however, particularly when symptoms are resolving, what appears to be persistence represents merely a lag in radiographic resolution. Up to a third of adults with uncomplicated pneumococcal pneumonia have radiographic abnormalities for 6 to 8 weeks.<sup>1,3</sup> Although pneumonia due to respiratory syncytial virus or parainfluenza virus typically clears within 2 to 3 weeks, $4$  pneumonia due to adenovirus can be necrotizing and can cause persistent abnormalities for up to 12 months.<sup>5</sup>

*Recurrent pneumonia* has been defined as occurrence of two or more episodes of pneumonia in a 1-year period or more than three episodes in any period, with radiographic resolution between episodes.<sup>2</sup> Using this definition, approximately 8% of children requiring hospitalization for pneumonia would be identified as having recurrent pneumonia.<sup>6</sup> In children with underlying conditions such as cystic fibrosis (CF), complete resolution does not occur between exacerbations. Radiographic documentation of episodes is essential for categorization, because precise clinical distinctions are made infrequently and "pneumonia" is the diagnosis sometimes conveyed to the parent (or the parent perceives) for conditions such as bronchiolitis, bronchitis, asthma, or persistent cough.

Although a chest radiograph is not necessarily indicated to confirm the diagnosis of acute pneumonia in previously healthy outpatients, nor indicated routinely at the end of treatment of the first episode of acute pneumonia requiring hospitalization, a history of prior episodes and persistence or recurrence of symptoms are indications for initial and follow-up radiographic evaluations.<sup>7</sup> In a child with a history of "recurrent pneumonia," documenting a normal radiographic appear-