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## Somatic Mutations of Triple-Negative Breast Cancer: A Comparison between Black and White Women

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### Abstract

**Purpose:** Understanding the contribution of tumor genome biology to racial disparities of triple-negative breast cancer (TNBC) is important for narrowing the cancer mortality gap between Black and White women.

**Methods:** We evaluated tumor somatic mutations using targeted sequencing of a customized panel of 151 genes and 15 copy number variations (CNVs) within a population of 133 TNBC patients, including 71 Black and 62 White women.

**Results:** The overall mutational burden between Black and White women with TNBC was not significantly different, with a median of 5 somatic changes per patient (point mutations and CNVs combined) for the customized panel (range 1–31 for Blacks vs. 1–26 for Whites;  $p=0.76$ ). Of the 151 genes examined, none were mutated at a significantly higher frequency in Black than in White cases, whereas two genes were mutated at a higher frequency in White cases - *PIK3CA* and

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Author contributions

All authors contributed to the successful completion of this study. Conceptualization: Christine Ambrosone and Song Yao; Methodology: Lei Wei, Chi-Chen Hong, Elisa Bandera, Song Liu, and Thaer Khoury; Formal analysis: Angela Omilian, Lei Wei, Song Liu, Christine Ambrosone, and Song Yao; Writing: Angela Omilian and Song Yao; Funding acquisition: Christine Ambrosone, and Song Yao; Supervision: Christine Ambrosone and Song Yao. All authors read and approved the final manuscript.

#### Ethics approval

The Institutional Review Boards at Rutgers University and Roswell Park Comprehensive Cancer Center provided approval for the use of patient samples in this study. All participants gave informed consent and this study was performed in accordance with the ethical standards outlined in the 1964 Declaration of Helsinki.

#### Conflict of interest

The authors declare that they have no conflict of interest.

#### Data availability statement

All data generated or analyzed during this study are included in this published article in the supplementary information files or available from the author upon request.

*NCOR1*. No significant difference in the frequency of CNVs was observed between Black and White women with TNBC in our study population.

**Conclusions:** Of gene mutations and CNVs in TNBC tumors from Black and White women, only *PIK3CA* and *NCOR1* had significantly different, although slight, frequencies by race. These results indicate that overall differences observed in the mutation spectra between Black and White women with breast cancer are likely due to the differential distributions of breast cancer subtypes by race.

### Keywords

triple-negative breast cancer; racial disparities; African ancestry; somatic mutation; copy number variation

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### Introduction

African American/Black women with breast cancer are more likely to have aggressive tumors [1, 2] and are 42% more likely to die from their disease than European American/White women [3]. This mortality gap remains even after adjusting for socioeconomic factors [4, 5], and there is wide-ranging evidence for racial differences in tumor biology between Black and White women [6–11]. A notable difference is the high prevalence of triple-negative breast cancer (TNBC) in Black women.

TNBC is a heterogeneous clinical subtype characterized by the absence of detectable expression of receptors for estrogen (ER) and progesterone (PR) and the lack of overexpression of tyrosine kinase-type cell surface receptor *HER2/Neu* (HER2). TNBC occurs at a disproportionately high rate in young women, and has poor prognosis compared to other subtypes of breast cancer, in part due to fewer options for therapy compared to hormone receptor positive cancers [12]. Population-based incidence rates of TNBC are roughly two-fold higher in Black than White women, which contributes to the poorer survival in the former group [12–14].

However, the question of whether there are differences in survival outcomes or tumor biology within the triple-negative subtype between Black and White women is less clear [15]. Previous studies include conflicting reports, with some studies showing clear racial differences within the TNBC subtype, and other studies reporting that the racial differences were attenuated after accounting for subtype [9–11, 16–18]. Because most breast cancers arise from somatic mutations, it is thus of great interest to compare tumor mutational profiles between Black and White patients with TNBC, to have a better understanding of the roles of tumor biology in cancer disparities. Most studies to date have relied on TCGA data as the sole data source to evaluate tumor somatic mutations in Black and White women with TNBC. Since this cohort is dominated by White cases, studies based on independent non-TCGA data with similar numbers of Black and White cases are needed. In the present study, we sought to determine racial differences in tumor somatic mutations using targeted sequencing within a population of TNBC patients with a comparable number of Black and White cases.

## Methods

### Study Populations

The Women's Circle of Health Study (WCHS) is a multi-site, case-control study designed to evaluate risk factors for aggressive breast cancer in Black and White women. Details on study design have been described elsewhere [19]. Briefly, participants were English-speaking women who were 20–75 years old, self-identified as Black or White, had primary, histologically-confirmed invasive breast cancer, were diagnosed between 2001–2017, and had no previous history of cancer other than non-melanoma skin cancer. Cases were first identified from several hospitals in New York City and then from several counties in New Jersey using rapid case ascertainment by the New Jersey State Cancer Registry. As part of the informed consent process, patients were asked to sign a release permitting the use of their tumor tissue for research, and then tumor blocks and pathology reports were requested from treating hospitals. Blood samples were first collected and then saliva samples as a source of genomic DNA. Clinicopathologic variables were extracted from pathology reports. For this study, only patients who were diagnosed with TNBC and had tumor tissues and matched genomic DNA available for sequencing were included. TNBC cases were recorded as negative for ER, PR, and HER2 using immunohistochemistry (IHC) for ER and PR. HER2 status was determined with IHC and fluorescence *in situ* hybridization (FISH).

To increase the sample size of TNBC cases from White women, archived tumor samples from patients diagnosed between 1998–2011 were obtained from Roswell Park Comprehensive Cancer Center in Buffalo New York. The Pathology Network Shared Resource and Data Bank and BioRepository procure tumor samples and matched genomic DNA extracted from whole blood for research. Black and White TNBC cases were matched on age and cancer stage. This study was approved by the Institutional Review Boards of Roswell Park Comprehensive Cancer Center and Rutgers Cancer Institute of New Jersey.

### Genomic Data Acquisition

Tumor DNA was extracted from cores taken from tumor-rich regions chosen by our study pathologist (T.K.) using Covaris truXTRAC FFPE Kits. A customized gene panel was designed for sequencing, which included 151 genes selected from significantly mutated genes identified in previous breast cancer genomic studies [20–23], and in our preliminary analyses of TNBC and Black breast cancer data subsets from TCGA. Sequencing libraries prepared from tumor DNA using Agilent Haloplex Target Enrichment kit were barcoded and multiplexed at 32 samples per lane and matched genomic DNA at 96 samples per lane. Samples were sequenced using an Illumina HiSeq 2500 sequencer in Roswell Park Genomics Shared Resource (GSR), randomized on race (Black vs. White) and study population (WCHS vs. Roswell Park) across sequencing lanes to reduce potential batch effects.

The average sequencing depths were 1,279× for tumor samples and 494× for matched normal samples. The average mapping rates were 94% for tumor samples and 97% for normal samples, with an average of 91% and 95% of targeted regions covering at least 20× for tumor and normal, respectively. After the initial QC based on sequencing data indices,

three additional QC steps were taken to filter out low-quality samples and variant calls. First, to remove samples with tumor-normal mismatch, the identified somatic mutations were compared to the public human germline databases including dbSNP, 1000 Genomes Project, and the National Heart, Lung, and Blood Institute's Exome Sequencing Project to further exclude remaining germline polymorphisms. Samples with higher than expected percent of germline SNPs which were not present in the matched normal sample were excluded from further analysis. Second, for tumor samples whose mapping rates were below 90%, a minimum variant allele fraction of 15% was required for somatic mutations to avoid potential false positives due to artifacts. Third, to exclude likely false positive calls due to last base quality issue, we excluded putative calls where over 95% of the supporting reads had the mutation present at the last base of the read. As a result, the final dataset contained 144 genes, including 20 without any mutations detected, from 133 patients with TNBC (71 Black and 62 White). For each race, we determined the most frequently mutated genes by ranking genes by mutations across the largest number of tumors. Somatic mutation number per tumor across all markers in our panels was calculated.

We also assessed a panel of 15 focal CNVs in regions known to be aberrant in breast tumors, selecting from TCGA data. These regions contain known cancer genes including *CCNE1*, *CDKN2A*, *CREBBP*, *EGFR*, *ERBB2*, *ETV6*, *FGFR1*, *INPP4B*, *MDM2*, *MLL3*, *MYC*, *PIK3CA*, *PTEN*, *RBI*, and *TP53*. For each region, three probes were designed and after probe-sample hybridization, digital counting was conducted using the NanoString nCounter CNV assay performed by Roswell Park GSR according to the manufacturer's instructions. Along with tumor samples, a panel of normal DNA samples known to have no copy number changes was included for data normalization purposes. Amplification or deletion status of each probe was determined by a Z-test of its count in comparison to the empirical distribution of the same probe from the normal samples. A stringent Holm-Bonferroni method was used to control for family-wise error rate.

### Statistical Analysis

The Wilcoxon Rank Sum Test was used to compare the mutation burden between Black and White patients, and Fisher's exact test was used to test for racial differences in mutation frequency of single genes. Two-tailed *P* values < 0.05 were considered significant.

### Results

After sequencing QC measures were implemented, 124 of 151 genes had data for point mutations from 71 Black and 62 White TNBC patients (Supplemental Table 1). Of these, 65 Black and 57 White patients also had data for 14 informative CNVs (Supplemental Table 2). One CNV did not show variation in either racial group. Descriptive characteristics of Black and White patients in the final analysis are shown in Table 1. There were no significant differences between Blacks and Whites for age at diagnosis, cancer stage, or tumor grade. As shown in Figure 1, there were no significant differences in the number of point mutations between Black and White women with TNBC, with a median of 2 per patient for Blacks (range 1–29) and 2 for Whites (range 1–23, *p*=0.92). There was no difference in the number of CNVs (Blacks: median = 2, range 0–8; Whites: median = 2, range 0–8, *p*=0.76) or the

total number of point mutations and CNVs combined (Blacks: median = 5, range 1–31; Whites: median = 5, range 1–26,  $p=0.76$ ) (Figure 1). The average mutation rate was 7.00 mutations/Mb (7.26 for Black women vs. 6.72 for White,  $p=0.67$ ) based on single-nucleotide variant mutations within the targeted region (Figure 2). The mutation rate was notably higher than those from published exome or genome sequencing data, likely due to the targeted sequencing approach used.

Table 2 lists the genes harboring point mutations at  $\geq 5\%$  frequency in Black or White TNBC cases. As expected, TP53 was the most frequently mutated gene in both racial groups (63.4% in Blacks vs. 75.8% in Whites,  $p=0.13$ ). RYR2 was the second most frequently mutated gene in Whites with a frequency of 16.1% compared to 9.9% in Blacks ( $p=0.31$ ). PIK3CA was the third, mutated at 12.9% in White TNBC cases, significantly higher than in Black cases (2.8%,  $p=0.045$ ). SYNE1 was the second most frequently mutated gene in Blacks with a frequency of 14.1% vs. 6.5% in Whites ( $p=0.17$ ). Of all the genes sequenced, no gene was mutated at a significantly higher frequency in Black than in White cases; whereas two genes were mutated at a significantly higher frequency in Whites. In addition to PIK3CA mentioned above, 9.7% of White TNBC cases carried mutations in NCOR1, compared to only 1.4% in Black cases ( $p=0.050$ ). The differences became non-significant after controlling for multiple testing. BRCA mutations did not differ significantly between the two racial groups (Supplemental Table 1).

Table 3 lists the genes with CNVs occurring at  $\geq 5\%$  in Black or White TNBC cases, with MYC amplification being the dominant CNV in both groups (70.8% in Blacks vs. 68.4% in Whites). In addition, amplification of CREBBP and PIK3CA regions, and loss of the CDKN2A region were also commonly observed at  $>20\%$  frequency in both racial groups. Comparisons of the CNVs showed no significant difference in the frequency of any CNVs between Black and White patients with TNBC.

## Discussion

Our study specifically examined racial differences in tumor somatic mutation profiles within the TNBC subtype using cases from the WCHS, a large epidemiological study, and tissue banked at Roswell Park Comprehensive Cancer Center. We evaluated differences in tumor mutations in a targeted panel of genes, and only two (*PIK3CA* and *NCOR1*) showed significantly different point mutation frequencies between Black and White cases, and only marginally so. These results suggest that if mutation spectra are different between Black and White women with breast cancer, it is likely due to differential distributions of breast cancer subtypes by race. Our data represent one of the few tumor-genomic comparisons to date between Black and White women with TNBC that do not rely on TCGA data.

Keenen et al. used TCGA data for a population of 105 Black women and 663 White women with all subtypes of breast cancer and reported that median somatic mutation counts per tumor were significantly greater in Black women, but after accounting for the TNBC subtype, racial differences were no longer significant [10]. However, mutant allele tumor heterogeneity (MATH), a measure of intratumoral genetic heterogeneity, was significantly higher in Black women, even within the TNBC subtype. In a slightly larger cohort of TCGA

patients (N=930, 154 Black, 776 White), Huo et al. investigated a variety of breast tumor molecular features including gene expression, protein expression, somatic mutations, somatic DNA copy number alterations, and DNA methylation patterns and reported a similar pattern of Black-White differences in tumor molecular features being attenuated after accounting for the triple-negative subtype. In this study, expression in 142 genes, 1 protein, and 16 DNA methylation probes remained significant after adjustment for subtype, but no mutations and just four DNA copy number alterations were statistically significant, having higher frequencies in Black women [9]. In an examination of 178 TNBC patients with TCGA data, Ademuyiwa et al. found no compelling differences in the median somatic mutation number per tumor, the frequency of high prevalence genes, expression profiles, or clinical outcomes between Black and White women with TNBC [16].

In a large pan-cancer study of TCGA data, *TP53* showed significantly higher mutation frequency in Black patients compared with White patients, and genes of the phosphatidylinositol 3-kinase (PI3K) pathways were less frequently mutated in Black patients, and this trend was also specific to breast cancer (all subtypes) [6]. Other studies of breast cancer have also reported a trend of Black women having significantly more *TP53* mutations and fewer *PIK3CA* mutations, but have also shown that these racial differences were diminished after adjustment for the TNBC subtype [9, 10, 16]. Our analysis of TNBC patients in the WCHS and Roswell Park patient populations is largely consistent with these earlier reports of TCGA data.

The TNBC phenotype is roughly twice as prevalent in Black women than White women, with estimates of approximately 30% in Black women [12]. Studies of genomic profiles in TNBC tumors have generally not shown appreciable differences between Black and White women, consistent with our data. While many studies have shown genomic differences between Black and White women such as genomic instability, genome doubling, intratumoral heterogeneity, CNVs, and mutation counts and frequencies [6, 9, 10, 16], these differences dissipate when the focus narrows to the TNBC subtype. As analyses of the TNBC subtype consist of small sample sizes, it is possible that the lack of significant findings is due to the lack of statistical power, which is also a limitation of the current study. Moreover, we examined a targeted panel of genes that may not be representative of the overall mutational differences between Black and White women with breast cancer.

Other limitations include that TNBC is a heterogeneous group that comprises several subtypes [24, 25], and we did not have access to gene expression data to investigate the TNBC subgroups. We also used a targeted approach and our genes were chosen based on TCGA results, which are predominantly based on tumors from White women. While a targeted approach is less expensive than whole-exome or whole-genome sequencing, there is the drawback that an *a priori* assumption is made about what cancer genes are important and that they are the same in each racial group. Strengths of our study include the new collection of DNA sequences comparing TNBC breast tumors from a similar number of Black and White women outside of TCGA-related studies. Additional studies of women with TNBC from diverse ancestral backgrounds are needed to fully understand the mutational processes in this specific subtype that may ultimately inform on how to lessen the burden of TNBC in women of African descent.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Table of Abbreviations

<b>CNV</b>	Copy number variation
<b>ER</b>	Estrogen receptor
<b>GSR</b>	Genomics Shared Resource
<b>HER2</b>	Receptor tyrosine-protein kinase erbB-2
<b>PR</b>	Progesterone receptor
<b>TCGA</b>	The Cancer Genome Atlas
<b>TNBC</b>	Triple-negative breast cancer
<b>WCHS</b>	Women's Circle of Health Study

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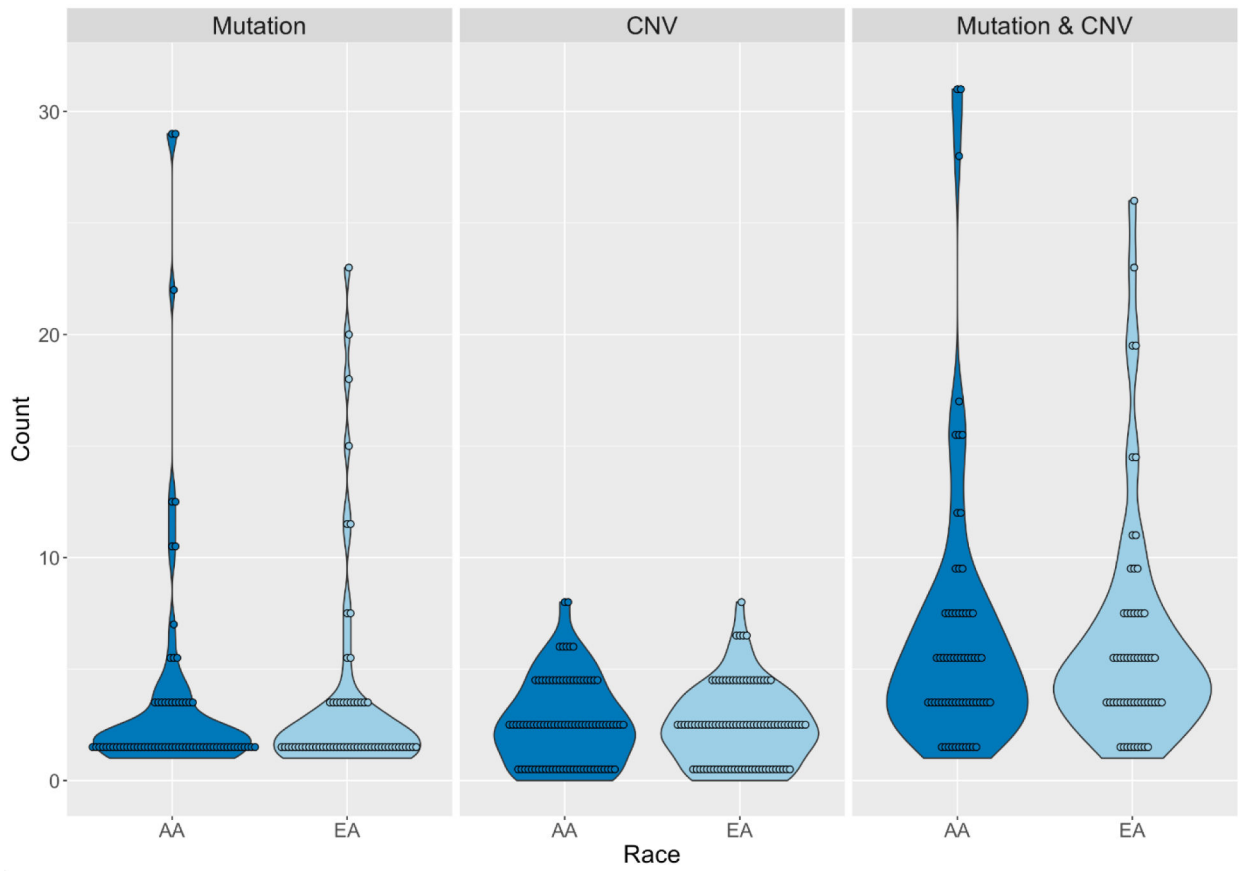
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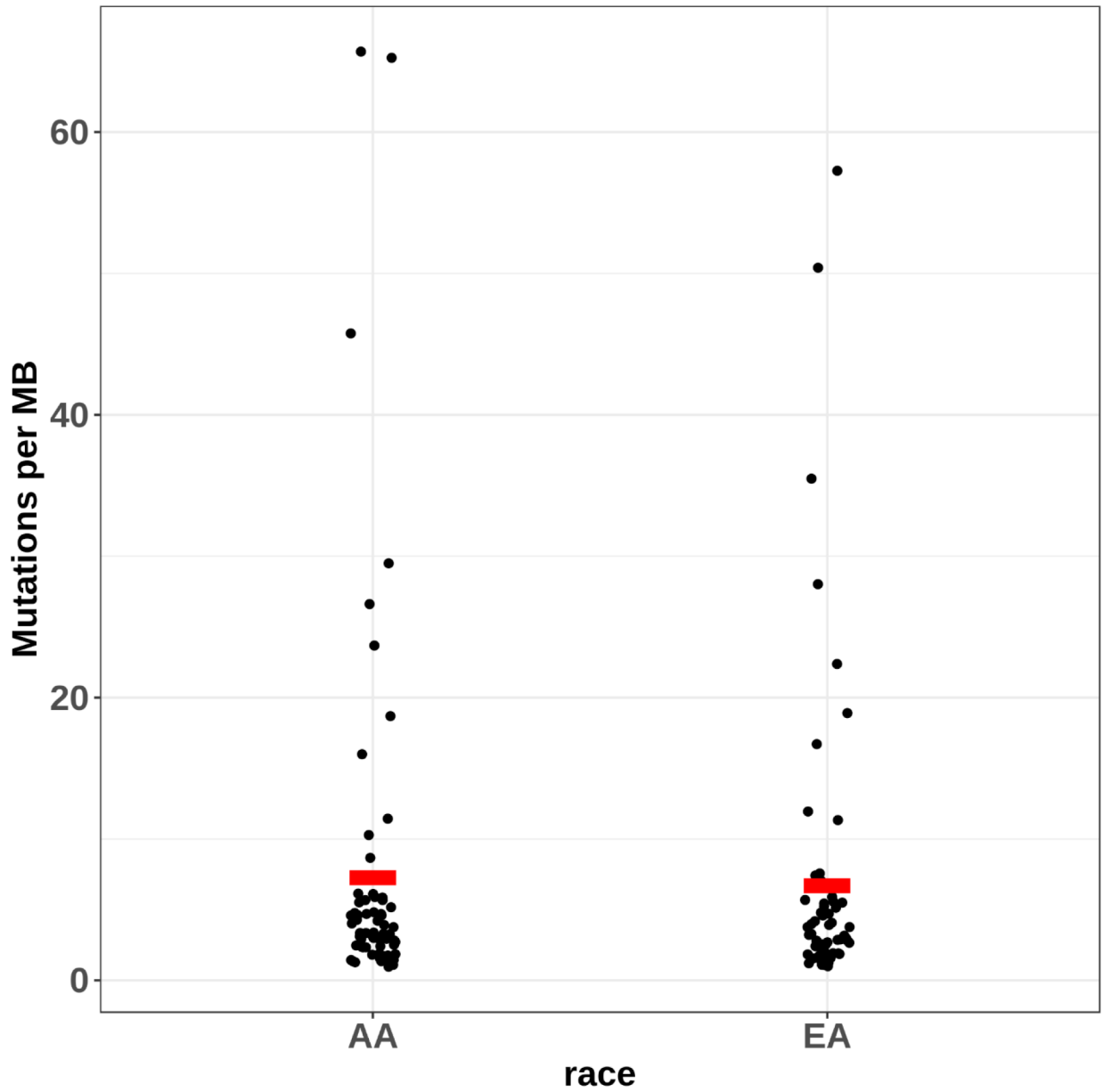
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**Fig. 1.** Number of mutations and copy number variations (CNV) carried by African American/Black (Black) and European American/White (White) triple-negative breast cancer patients.



**Fig. 2.** Single-nucleotide variant mutation rate in targeted regions in Black and White women with triple-negative breast cancer. The average mutation rate was 7.26 mutations/Mb for Black women and 6.72 mutations/Mb for White women (denoted with red rectangles).

**Table 1.**

Descriptive characteristics of the TNBC study population

	<b>Black (N=71)</b>	<b>White (N=62)</b>	<b>P</b>
Age at enrollment, yrs	51.6 ± 11.9	53.7 ± 13.3	0.34 <sup>a</sup>
Stage at Diagnosis			0.77 <sup>b</sup>
I	22 (31.4)	21 (34.4)	
II	35 (50.0)	31 (50.8)	
III	11 (15.7)	9 (14.8)	
IV	2 (2.9)	0 (0.0)	
Grade			0.07 <sup>b</sup>
2	5 (7.0)	11(17.7)	
3	66 (93.0)	51(82.3)	

<sup>a</sup> Student's T Test<sup>b</sup> Fisher's Exact Test

**Table 2.**

Genes harboring point mutations in greater than 5% of Black or White TNBC cases.

Gene symbol	% of TNBC cases		<i>P</i> <sup>a</sup>
	Black N=71	White N=62	
ADGRG4	5.6	1.6	0.37
AFF2	5.6	3.2	0.68
ARID1A	5.6	3.2	0.68
ARID2	5.6	1.6	0.37
ATR	4.2	6.5	0.70
BRCA1	2.8	6.5	0.42
COL6A3	7.0	8.1	1.00
HMCN1	7.0	6.5	1.00
KIF4A	5.6	1.6	0.37
KMT2A	5.6	8.1	0.73
KMT2C	8.5	4.8	0.50
KMT2D	8.5	6.5	0.75
MDN1	9.9	4.8	0.34
NCOR1	1.4	9.7	0.05
PIK3CA	2.8	12.9	0.04
RYR2	9.9	16.1	0.31
SYNE1	14.1	6.5	0.17
SYNE2	4.2	8.1	0.47
TP53	63.4	75.8	0.13
UBR5	7.0	4.8	0.72
USH2A	5.6	3.2	0.68
USP9X	5.6	1.6	0.37

<sup>a</sup>. Fisher's Exact Test

**Table 3.**

Genes with CNVs in greater than 5% of Black or White TNBC cases

CNV	% of TNBC cases		<i>P</i>
	Black	White	
CCNE1	4.6	5.3	1.00 <sup>a</sup>
CDKN2A	23.1	22.8	0.97
CREBBP	49.2	35.1	0.12
EGFR	16.9	19.3	0.73
ERBB2	9.2	7.0	0.75 <sup>a</sup>
ETV6	4.6	8.8	0.47 <sup>a</sup>
FGFR1	12.3	14.0	0.78
INPP4B	4.6	7.0	0.70 <sup>a</sup>
MYC	70.8	68.4	0.78
PIK3CA	35.4	38.6	0.71
PTEN	18.5	10.5	0.22
RBI	7.7	7.0	1.00 <sup>a</sup>
TP53	13.9	14.0	0.98

<sup>a</sup>. Fisher's Exact Test

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