

HHS Public Access

Author manuscript *Curr Opin Cardiol.* Author manuscript; available in PMC 2020 July 01.

Published in final edited form as:

Curr Opin Cardiol. 2019 July ; 34(4): 451–458. doi:10.1097/HCO.00000000000637.

Cardiotoxicity of HER2-targeted therapies

Robert S. Copeland-Halperin^a, Jennifer E. Liu^{b,c}, Anthony F. Yu^{b,c}

^aMount Sinai Heart, Mount Sinai Medical Center, Weill Cornell Medical College, New York, New York, USA

^bCardiology Service, Department of Medicine, Memorial Sloan Kettering Cancer Center, Weill Cornell Medical College, New York, New York, USA

^cDepartment of Medicine, Weill Cornell Medical College, New York, New York, USA

Abstract

Purpose of review—Cardiotoxicity is a well recognized adverse effect of human epidermal growth factor receptor 2 (HER2)-targeted therapies. The goal of this review is to highlight recent studies that have advanced our knowledge of the diagnosis, prevention, and management of cardiotoxicity associated with HER2-targeted agents.

Recent findings—Several clinical risk factors for cardiotoxicity associated with HER2-targeted therapies have been identified including age, low-baseline left ventricular ejection fraction, and treatment with anthracyclines; however, these remain insufficient to identify all patients at risk for cardiotoxicity. Routine cardiac monitoring remains the standard for cardiotoxicity surveillance, although the optimal frequency and modality of monitoring remains uncertain. Global longitudinal strain, T1/T2 weighted CMR imaging protocols, and circulating biomarkers can detect early signs of cardiotoxicity, but studies are needed to investigate whether use of these markers in clinical practice improves patient outcomes. Cardioprotective medications (e.g. beta-blockers or ACE-inhibitors) may be of benefit to patients at increased risk for cardiotoxicity from HER2-taregeted therapies, particularly those who are treated with an anthracycline-containing regimen.

Summary—Improved risk stratification of patients during HER2-targeted therapy and effective prevention and management strategies for cardiotoxicity are needed to enhance the value of longitudinal cardiac monitoring and increase cardiac safety so that optimal breast cancer treatment can be delivered.

Keywords

breast cancer; cardiooncology; cardiotoxicity; trastuzumab

A.F.Y. is a consultant for Glenmark Pharmaceuticals.

Correspondence to Anthony F. Yu, MD, Cardiology Service, Department of Medicine, Memorial Sloan Kettering Cancer Center, 1275 York Avenue, New York, NY 10021, USA. Tel: +1 212 639 7932; fax: +1 212 717 3624; yua3@mskcc.org. Conflicts of interest

INTRODUCTION

Breast cancer is the most commonly diagnosed cancer in women [1]. Up to 25% of breast cancers overexpress the human epidermal growth factor 2 (HER2) cell surface tyrosine kinase receptor [2]. Patients with HER2-positive breast cancers have an aggressive form of the disease associated with higher risk for recurrence and reduced survival [3]. Trastuzumab (Herceptin; Genetech Inc, San Francisco, California, USA), a humanized monoclonal antibody targeting the extracellular domain of the HER2 receptor, was approved for the treatment of metastatic and early stage HER2-positive breast cancer in 1998 and 2005, respectively [2,4,5]. Several additional HER2-targeted therapies were since developed, including pertuzumab, lapatinib, ado-trastuzumab emtansine, and neratinib. Collectively, these targeted agents have improved progression-free and overall survival in patients with HER2-positive breast cancer [6–12].

Cardiotoxicity is a well recognized adverse effect associated with HER2-targeted therapies and is characterized by left ventricular systolic dysfunction, manifesting clinically as decreased left ventricular ejection fraction (LVEF) and/or symptomatic heart failure. The goal of this review is to highlight recent studies that have advanced our knowledge on the diagnosis and management of cardiotoxicity associated with HER2-targeted agents.

INCIDENCE

The incidence of cardiotoxicity associated with an anthracycline-based trastuzumab regimen ranges from 4.0 to 18.6% for decreased LVEF and 0.4–4.1% for severe heart failure. The risk of cardiotoxicity appears lower among patients treated with trastuzumab in the absence of anthracyclines, with LVEF decline and symptomatic heart failure occurring in 3.2 and 0.5%, respectively [13,14]. Potential factors associated with increased risk for trastuzumab cardiotoxicity include age, preexisting cardiovascular comorbid conditions (e.g. hypertension), lower baseline LVEF, obesity, and prior treatment with anthracycline chemotherapy [15–18]. In a retrospective analysis by Litvak *et al.* [17], the rate of cardiotoxicity was higher among black patients compared with white patients, although black patients had more cardiovascular comorbidities. Long-term follow-up studies from clinical trials of trastuzumab in the adjuvant setting provide reassuring evidence that late occurrence of cardiotoxicity is uncommon [19–22].

In the early-stage setting, the recommended duration of trastuzumab treatment is 1 year, although investigation of shorter courses of trastuzumab have been investigated, particularly given the known risk of cardiotoxicity with extended durations of trastuzumab [21]. Two studies failed to show that 6 months of trastuzumab treatment was noninferior to 1 year of trastuzumab [23,24]. More recently, the Short-HER and Synergism or Long Duration (SOLD) studies failed to show the noninferiority of 9 weeks of trastuzumab compared with 1 year with 5-year disease free survival (DFS) in the 1-year and 9-week arms of 88 versus 85% and 90.5 versus 88%, respectively, though fewer adverse cardiac events were noted with the shorter duration of trastuzumab [25,26]. Although 1 year of trastuzumab remains the standard treatment for HER2-positive breast cancer, these studies suggest that patients

who receive a shorter duration of trastuzumab are still anticipated to have a favorable cancer outcome.

MECHANISMS

In contrast to anthracycline-related cardiotoxicity, which is mediated by lipid peroxidation and DNA damage causing myocardial necrosis/fibrosis, trastuzumab is not associated with apparent ultra-structural changes. Instead, cardiomyopathy is hypothesized to occur via disruption of the protective functions of HER2 in the cardiomyocyte and is often reversible upon interruption of treatment [27–29]. Preclinical studies demonstrate that interference with HER2 signaling can increase sensitivity to anthracycline-induced injury and pressure overload [30,31]. The HER receptor is a network of multiple molecularly kindred transmembrane proteins which comprise four main subtypes (HER1, HER2, HER3, HER4) [8]. Receptor activation leads to dimerization of these proteins, triggering tyrosine kinase signaling and downstream effects. Disruption of these interactions by multiple HER-targeted agents both attenuates breast cancer-proliferative effects and augments cell protective actions. Pertuzumab inhibits HER2 signaling via activity at a different site than trastuzumab, preventing heterodimerization of HER2 and HER3 and disrupting downstream activity. Dual anti-HER2 therapy improves tumor response and is currently recommended in the treatment of early stage and metastatic HER2-positive breast cancer [8–10,32]. On the basis of the recent findings from the ExteNET trial of neratinib, a small molecular tyrosine kinase inhibitor of HER1, HER2, and HER4, neratinib is now approved for extended adjuvant treatment following trastuzumab for early HER2-positive breast cancer [12]. Cardiotoxicity was not observed with neratinib, although this could be attributed to the inclusion of lower risk patients with no significant cardiac comorbidities who had completed trastuzumab with a normal LVEF. Therefore, the safety of neratinib among patients with preexisting LV systolic dysfunction or a prior history of trastuzumab cardiotoxicity remains uncertain.

NONINVASIVE CARDIAC IMAGING

LVEF assessments are recommended every 3 months during trastuzumab treatment, based on the cardiac surveillance strategies used in clinical trials [33]. Potential advantages of routine cardiac surveillance include early detection of cardiotoxicity prior to the development of clinical symptoms, which allows for early initiation of cardioprotective medications. However, current practice guidelines from the National Comprehensive Cancer Network (NCCN) and the American Society of Clinical Oncology (ASCO) acknowledge that the optimal frequency of cardiac monitoring remains unclear, and no specific schedule for LVEF assessments is provided in these recommendations [34,35]. Over screening for cardiotoxicity also poses potential harms to patients, such as unnecessary interruption of cancer treatment and increased healthcare spending. One potential strategy is to tailor the cardiac monitoring regimen based on an individual's risk of cardiotoxicity, as determined by baseline cardiovascular risk factors and the cardiotoxicity risk associated with the cancer treatment regimen. This would ensure that patients with known cardiovascular risk factors who are treated with high-risk cancer treatment regimens undergo more intensive monitoring to reduce risk for cardiotoxicity, whereas patients with no cardiovascular risk factors who are treated with low-risk treatment regimens undergo less monitoring.

Echocardiography-based strain imaging identifies early subclinical changes in LV systolic function during cancer treatment. Several studies demonstrate that global longitudinal strain (GLS) can be a predictive marker of cardiotoxicity and detects early declines in ventricular mechanics prior to an overt reduction in LVEF [36–38]. In a study by Ali *et al.* [39], GLS measured prior to chemotherapy was effective in identifying patients at high risk for cardiac events after anthracycline chemotherapy. Other studies have similarly shown that GLS is an early predictor of cardiotoxicity during trastuzumab therapy [36,40,41]. In clinical practice, GLS can also help to reconcile the significance of asymptomatic fluctuations in LVEF, which occur during serial imaging. Further investigation is needed to determine whether incorporation of GLS measurements into current clinical practice will improve cardiac outcomes among patients undergoing cardiotoxic cancer therapy. The Surveillance of Chemotherapy for Improving Cardiovascular Outcomes (SUCCOUR) Trial is an international prospective randomized controlled trial that seeks to evaluate whether a strategy of cardioprotective therapy guided by GLS results in improved cardiac function [42

Cardiovascular magnetic resonance (CMR) imaging is an important tool used for the noninvasive cardiac assessment of a cancer patient. CMR is considered the reference standard for measurement of ventricular volumes, function, and mass, and provides tissue characterization of the myocardium, which can be helpful in identifying the cause of a myocardial disease process. Two CMR-based studies highlighted that a decline in LVEF during cancer treatment for some patients can be attributed to an isolated decline in left ventricular end diastolic volume (LVEDV) rather than an increase in left ventricular end systolic volume (LVESV) [43,44]. Given that volume depletion is common during cancer treatment and can be easily corrected, assessment for changes in LVEDV and LVESV at the time of cardiac surveillance may help to inform the proper management. This is of importance given decisions to cease or interrupt cancer therapy are frequently based on changes in LVEF [44].

T1 and T2-weighted imaging series as well as newer T1 and T2 mapping sequences are promising techniques for the early identification of cardiotoxicity. T1-weighted CMR protocols can detect focal and/or diffuse cardiomyopathic processes, such as myocardial inflammation related to myocarditis [45,46]. Postcontrast T1-mapping protocols quantify extracellular volume, an additional marker of myocardial fibrosis, and were shown to be elevated among cancer survivors previously treated with anthracyclines [47,48]. T2-weighted imaging detects myocardial edema. T2-mapping protocols enhance predictive value and are gaining interest as early imaging biomarker of cardiotoxicity. A recent study by Galan *et al.* [49] suggests that changes in T2 relaxation time using T2 mapping techniques may be an early marker of reversible anthracycline cardiotoxicity; however, further investigation is needed to determine whether this can be translated into clinical practice. Despite the additional information that can be obtained with CMR, cost and lack of widespread availability are limitations to the integration of CMR into routine cardio-oncology practice.

BIOMARKERS

Blood-based biomarkers have been investigated for the early detection and/or prediction of trastuzumab cardiotoxicity (Table 1). Conventional and high-sensitivity troponin assays have been the most widely studied among patients treated with trastuzumab. Several studies show that troponin elevations are predictive of subsequent LVEF decline during trastuzumab [40,51,53,54]. In a cardiac biomarker sub-study of the Herceptin Adjuvant (HERA) study, elevated levels of troponin I and T before trastuzumab were associated with increased risk of LVEF decline [54]. Of note, nearly all patients in HERA received anthracyclines and thus the elevated troponin may be a manifestation of anthracycline-induced myocardial injury. In contrast, troponin T was not predictive of cardiotoxicity in the NeoALTTO trial in which patients were treated with neoadjuvant HER2-targeted therapy (lapatinib, trastuzumab, or lapatinib and trastuzumab), although patients in this trial did not receive anthracycline chemotherapy until after the last biomarker time-point [55]. These findings suggest troponin testing is of greatest utility after exposure to anthracyclines [52].

Brain natriuretic peptide (BNP) is widely used in the diagnosis and follow-up of heart failure but has limited value for the prediction of trastuzumab cardiotoxicity [36,40,51,53]. Myeloperoxidase, amarker of oxidative stress, was associated with cardiotoxicity in a small study of 78 breast cancer patients treated with doxorubicin and trastuzumab and may identify patients at increased risk for cardiotoxicity, but requires further validation [51].

PREVENTION

Several trials evaluated the efficacy of prophylactic treatment with neurohormonal antagonist medications [beta adrenergic blockers, angiotensin-converting enzyme (ACE) inhibitors or angiotensin receptor blockers (ARBs)] for the prevention of cardiotoxicity during treatment with trastuzumab (Table 2). In the PRADA trial, breast cancer patients were randomized to receive candesartan and metoprolol succinate, both alone and in combination, versus placebo [56]. All patients received an anthracycline-based regimen, although the prevalence of cardiovascular risk factors was low and only 22% were treated with trastuzumab. Candesartan, but not metoprolol, protected against a modest decline in LVEF associated with breast cancer treatment. In contrast, a study by Boekhout et al. [57] failed to show a benefit of candesartan for the prevention of trastuzumab cardiotoxicity. In this trial of 210 patients with HER2-positive breast cancer receiving treatment with anthracyclines followed by trastuzumab, candesartan (32 mg/day) failed to protect against LVEF decline, although candesartan was initiated nearly 3 months after anthracyclines were given. The MANTICORE trial evaluated the benefit of perindopril or bisoprolol in patients receiving trastuzumab, the majority (77%) of whom received a nonanthracycline-based regimen [58]. Treatment with both perindopril and bisoprolol led to attenuation of LVEF decline during trastuzumab treatment and fewer patients in the perindopril or bisoprolol arms required trastuzumab interruption because of LVEF decline, underscoring another potential benefit of a preventive strategy. However, these treatments had no effect on the primary endpoint of LV remodeling as measured by left ventricular end diastolic volume index.

Copeland-Halperin et al.

In a prospective study by Guglin *et al.* [59] of lisinopril or carvedilol in patients with HER2positive breast cancer treated with trastuzumab, preliminary findings point to an important interaction between anthracycline exposure and beneficial effects of pharmacologic prophylaxis to prevent trastuzumab cardiotoxicity. In this randomized trial of 468 patients, treatment with carvedilol or lisinopril was effective in reducing the occurrence of LVEF decline among the subset of patients who received anthracycline chemotherapy followed by trastuzumab (hazard ratio 0.49 for extended release carvedilol and 0.53 for lisinopril). These preliminary data suggest that neurohormonal antagonists may be of greatest benefit to patients at high risk for trastuzumab cardiotoxicity who are treated with an anthracyclinecontaining regimen.

A recent meta-analysis of eight trials examined the effect of neurohormonal antagonists for primary prevention of cardiotoxicity in 1048 patients receiving anthracyclines [60]. Of these, 333 patients received trastuzumab containing regimens. Beta-blockers, but not ACE inhibitors, were associated with a lesser reduction in LVEF compared with control, although this effect was less certain for patients treated with anthracyclines alone. A study using the Surveillance, Epidemiology, and End Results (SEER)-Medicare-linked database showed favorable effects of both beta-blockers and ACE-inhibitors on preventing cardiotoxicity and improving survival, with adjusted hazard ratio of 0.77 (95% CI 0.62–0.95) and 0.79 (95% CI 0.70–0.90), respectively [61]. Overall, these data suggest that in patients at sufficiently increased risk for cardiotoxicity, cardioprotective medications may be beneficial for primary prevention of cardiotoxicity.

MANAGEMENT

Optimal management of patients at risk for cardiotoxicity includes control of cardiovascular risk factors, such as hypertension and diabetes, and routine cardiac monitoring during cardiotoxic cancer treatment. Although some clinical risk factors for cardiotoxicity have previously been identified, there is a need to translate these findings into a validated risk assessment tool. On the basis of long-term follow-up of the NSABP-B31 study, Romond et al. [19] proposed a risk score that was based on age and baseline LVEF to predict the risk of cardiotoxicity. Acknowledging the selection bias of patients in clinical trials, another group analyzed Medicare claims data to develop a risk score (constituted of age, cancer treatment type, and cardiovascular conditions including coronary artery disease, atrial fibrillation/ flutter, diabetes, hypertension, and renal failure) to stratify risk of trastuzumab cardiotoxicity in an older breast cancer population [62]. Despite these efforts, clinical factors alone are unable to identify all patients who are at risk. This unexplained variability suggests that genetics factors may play a role in an individual's susceptibility to adverse cardiovascular effects of HER2-targeted therapies. Once developed, an accurate risk prediction tool can be used to inform decisions about the optimal frequency of cardiac monitoring, the potential benefit of prophylactic cardioprotective medications, or identify patients who should receive alternative cancer treatment regimens associated with lower cardiotoxicity risk. Further validation of any current or future candidate risk scores in a large patient population is required for incorporation into routine practice.

Cardiotoxicity associated with HER2-targeted therapy most often results in interruption or discontinuation of treatment. However, the safety of continuing treatment in patients with an asymptomatic LVEF decline has recently been shown in several small retrospective studies [63–65]. The SAFE-HEaRt trial is prospectively evaluating the cardiac safety of HER2-targeted therapy in combination with frequent cardiac monitoring and maximally tolerated beta-blocker and ACE-inhibitor therapy among patients with a LVEF of 40–49% [66]. Until more safety data are available, collaboration of cardiologists and oncologists is critical to educate patients on the cardiac risks of continuing treatment with an abnormal LVEF, monitor for signs or symptoms of heart failure, and prescribe medications recommended by the current ACC/AHA heart failure treatment guidelines [67].

CONCLUSION

HER2-targeted therapies are fundamental to current breast cancer treatment and have improved the prognosis for patients with HER2-positive disease. Strategies to identify patients at greatest risk for cardiotoxicity during therapy are vital to maximizing the safety and efficacy of HER2-targeted therapy. Exploration on the utility of genetic markers will likely yield greater insight into an individual patient's risk for cardiotoxicity. Primary prevention trials to date suggest the potential benefit of prophylactic administration of cardioprotective medications, although the magnitude of benefit remains modest. Future primary prevention studies are warranted in patients at high-risk for cardiotoxicity. Advances in noninvasive imaging techniques, such as speckle tracking strain echocardiography or T1/T2-based CMR sequences have improved our ability to detect subclinical signs of cardiotoxicity associated with anti-HER2 therapy. Patients at high risk for cardiotoxicity and/or those who have subclinical signs of cardiotoxicity may represent a key demographic with potential to benefit from more intensive cardiac monitoring or treatment with cardioprotective medications, whereas less cardiac monitoring may be appropriate for patients without cardiovascular comorbidities treated with low-risk cancer treatment regimens. Key unanswered questions include how interruption of trastuzumab because of cardiac toxicity affects cancer and cardiovascular-related outcomes, and whether continuation of targeted-HER2 therapy is well tolerated in patients who develop cardiotoxicity without heart failure. Continued collaboration between the cardiology and oncology communities will enable the clinical studies necessary to answer these important questions and further optimize the long-term outcomes of breast cancer patients.

Acknowledgements

Financial support and sponsorship

A.F.Y. is supported by the NIH/NCI grant K23 CA218897 and NIH/NCATS grant UL1 TR-002384. This work was funded in part through the NIH/NCI Cancer Center Support Grant P30 CA008748.

Funding: A.F.Y. is supported by the Chanel Endowment for Survivorship Research Grant, NIH/NCI grant K23 CA218897, and NIH/NCATS grant UL1 TR-002384. This work was funded in part through the NIH/NCI Cancer Center Support Grant P30 CA008748.

REFERENCES AND RECOMMENDED READING

Papers of particular interest, published within the annual period of review, have been highlighted as:

- of special interest
- ■■ of outstanding interest
- Cheung YF, Hong WJ, Chan GC, et al. Left ventricular myocardial deformation and mechanical dyssynchrony in children with normal ventricular shortening fraction after anthracycline therapy. Heart 2010; 96:1137–1141. [PubMed: 20511624]
- Slamon DJ, Leyland-Jones B, Shak S, et al. Use of chemotherapy plus a monoclonal antibody against HER2 for metastatic breast cancer that over-expresses HER2. N Engl J Med 2001; 344:783– 792. [PubMed: 11248153]
- 3. Seidman A, Hudis C, Pierri MK, et al. Cardiac dysfunction in the trastuzumab clinical trials experience. J Clin Oncol 2002; 20:1215–1221. [PubMed: 11870163]
- 4. Romond EH, Perez EA, Bryant J, et al. Trastuzumab plus adjuvant chemotherapy for operable HER2-positive breast cancer. N Engl J Med 2005; 353:1673–1684. [PubMed: 16236738]
- Slamon D, Eiermann W, Robert N, et al. Adjuvant trastuzumab in HER2-positive breast cancer. N Engl J Med 2011; 365:1273–1283. [PubMed: 21991949]
- Burstein HJ, Sun Y, Dirix LY, et al. Neratinib, an irreversible ErbB receptor tyrosine kinase inhibitor, in patients with advanced ErbB2-positive breast cancer. J Clin Oncol 2010; 28:1301–1307. [PubMed: 20142587]
- 7. Dieras V, Miles D, Verma S, et al. Trastuzumab emtansine versus capecitabine plus lapatinib in patients with previously treated HER2-positive advanced breast cancer (EMILIA). A descriptive analysis of final overall survival results from a randomised, open-label, phase 3 trial. Lancet Oncol 2017; 18:732–742. [PubMed: 28526536]
- Baselga J, Cortes J, Kim SB, et al. Pertuzumab plus trastuzumab plus docetaxel for metastatic breast cancer. N Engl J Med 2012; 366:109–119. [PubMed: 22149875]
- 9. Gianni L, Pienkowski T, Im YH, et al. Efficacy and safety of neoadjuvant pertuzumab and trastuzumab in women with locally advanced, inflammatory, or early HER2-positive breast cancer (NeoSphere): a randomised multicentre, open-label, phase 2 trial. Lancet Oncol 2012; 13:25–32. [PubMed: 22153890]
- Schneeweiss A, Chia S, Hickish T, et al. Pertuzumab plus trastuzumab in combination with standard neoadjuvant anthracycline-containing and anthracycline-free chemotherapy regimens in patients with HER2-positive early breast cancer: a randomized phase II cardiac safety study (TRYPHAENA). Ann Oncol 2013; 24:2278–2284. [PubMed: 23704196]
- Chan A, Delaloge S, Holmes FA, et al. Neratinib after trastuzumab-based adjuvant therapy in patients with HER2-positive breast cancer (ExteNET): a multicentre, randomised, double-blind, placebo-controlled, phase 3 trial. Lancet Oncol 2016; 17:367–377. [PubMed: 26874901]
- Martin M, Holmes FA, Ejlertsen B, et al. Neratinib after trastuzumab-based adjuvant therapy in HER2-positive breast cancer (ExteNET): 5-year analysis of a randomised, double-blind, placebocontrolled, phase 3 trial. Lancet Oncol 2017; 18:1688–1700. [PubMed: 29146401]
- 13. Tolaney SM, Barry WT, Dang CT, et al. Adjuvant paclitaxel and trastuzumab for node-negative, HER2-positive breast cancer. N Engl J Med 2015; 372:134–141. [PubMed: 25564897]
- Dang C, Guo H, Najita J, et al. Cardiac outcomes of patients receiving adjuvant weekly paclitaxel and trastuzumab for node-negative, ERBB2-positive breast cancer. JAMA Oncol 2015; 2:29–36.
- Cardinale D, Sandri MT, Colombo A, et al. Prognostic value of troponin I in cardiac risk stratification of cancer patients undergoing high-dose chemotherapy. Circulation 2004; 109:2749– 2754. [PubMed: 15148277]
- Guenancia C, Lefebvre A, Cardinale D, et al. Obesity as a risk factor for anthracyclines and trastuzumab cardiotoxicity in breast cancer. A systematic review and meta-analysis. J Clin Oncol 2016; 34:3157–3165. [PubMed: 27458291]

- Litvak A, Batukbhai B, Russell SD, et al. Racial disparities in the rate of cardiotoxicity of HER2targeted therapies among women with early breast cancer. Cancer 2018; 124:1904–1911. [PubMed: 29381193]
- Henry ML, Niu J, Zhang N, et al. Cardiotoxicity and cardiac monitoring among chemotherapytreated breast cancer patients. JACC Cardiovasc Imaging 2018; 11:1084–1093. [PubMed: 30092967]
- Romond EH, Jeong JH, Rastogi P, et al. Seven-year follow-up assessment of cardiac function in NSABP B-31, a randomized trial comparing doxorubicin and cyclophosphamide followed by paclitaxel (ACP) with ACP plus trastuzumab as adjuvant therapy for patients with node-positive, human epidermal growth factor receptor 2-positive breast cancer. J Clin Oncol 2012; 30:3792– 3799. [PubMed: 22987084]
- Advani PP, Ballman KV, Dockter TJ, et al. Long-term cardiac safety analysis of NCCTG N9831 (Alliance) Adjuvant Trastuzumab Trial. J Clin Oncol 2016; 34:581–587. [PubMed: 26392097]
- 21. Cameron D, Piccart-Gebhart MJ, Gelber RD, et al. 11 years' follow-up of trastuzumab after adjuvant chemotherapy in HER2-positive early breast cancer: final analysis of the HERceptin Adjuvant (HERA) trial. Lancet 2017; 389:1195–1205. [PubMed: 28215665]
- 22. Steinherz L, Steinherz P. Delayed cardiac toxicity from anthracycline therapy. Pediatrician 1991; 18:49–52. [PubMed: 1983861]
- 23. Pivot X, Romieu G, Debled M, et al. 6 months versus 12 months of adjuvant trastuzumab for patients with HER2-positive early breast cancer (PHARE): a randomised phase 3 trial. Lancet Oncol 2013; 14:741–748. [PubMed: 23764181]
- 24. Mavroudis D, Saloustros E, Malamos N, et al. Breast Cancer Investigators of Hellenic Oncology Research Group AG. Six versus 12 months of adjuvant trastuzumab in combination with dosedense chemotherapy for women with HER2-positive breast cancer: a multicenter randomized study by the Hellenic Oncology Research Group (HORG). Ann Oncol 2015; 26:1333–1340. [PubMed: 25935793]
- Conte P, Frassoldati A, Bisagni G, et al. Nine weeks versus 1 year adjuvant trastuzumab in combination with chemotherapy: final results of the phase III randomized Short-HER studydouble dagger. Ann Oncol 2018; 29:2328–2333. [PubMed: 30219886]
- 26. Joensuu H, Fraser J, Wildiers H, et al. Effect of adjuvant trastuzumab for a duration of 9 weeks vs 1 year with concomitant chemotherapy for early human epidermal growth factor receptor 2positive breast cancer: the SOLD Randomized Clinical Trial. JAMA Oncol 2018; 4:1199–1206. [PubMed: 29852043]
- Zambelli A, Della Porta MG, Eleuteri E, et al. Predicting and preventing cardiotoxicity in the era
 of breast cancer targeted therapies. Novel molecular tools for clinical issues. Breast 2011; 20:176
 183. [PubMed: 21146409]
- Sawyer DB, Zuppinger C, Miller TA, et al. Modulation of anthracycline-induced myofibrillar disarray in rat ventricular myocytes by neuregulin-1beta and antierbB2: potential mechanism for trastuzumab-induced cardiotoxicity. Circulation 2002; 105:1551–1554. [PubMed: 11927521]
- Ewer MS, Vooletich MT, Durand JB, et al. Reversibility of trastuzumab-related cardiotoxicity: new insights based on clinical course and response to medical treatment. J Clin Oncol 2005; 23:7820– 7826. [PubMed: 16258084]
- Crone SA, Zhao YY, Fan L, et al. ErbB2 is essential in the prevention of dilated cardiomyopathy. Nat Med 2002; 8:459–465. [PubMed: 11984589]
- Ozcelik C, Erdmann B, Pilz B, et al. Conditional mutation of the ErbB2 (HER2) receptor in cardiomyocytes leads to dilated cardiomyopathy. Proc Natl Acad Sci U S A 2002; 99:8880–8885. [PubMed: 12072561]
- Denduluri N, Chavez-MacGregor M, Telli ML, et al. Selection of optimal adjuvant chemotherapy and targeted therapy for early breast cancer: ASCO Clinical Practice Guideline focused update. J Clin Oncol 2018; 36:2433–2443. [PubMed: 29787356]
- 33. Genentech. Herceptin (trastuzumab). highlights of prescribing information, 04/2015 update Available at: http://www.gene.com/download/pdf/herceptin_prescribing.pdf
- 34 Armenian SH, Lacchetti C, Barac A, et al. Prevention and monitoring of cardiac dysfunction in survivors of adult cancers: American Society of Clinical Oncology Clinical Practice Guideline. J

Clin Oncol 2017; 35:893–911. [PubMed: 27918725] This clinical practice guideline includes a systematic review of the current evidence on prevention and monitoring for cardiac dysfunction during and after cancer therapy and provides practical recommendations that can be applied to clinical practice.

- 35. Zamorano JL, Lancellotti P, Rodriguez Munoz D, et al. 2016 ESC Position Paper on cancer treatments and cardiovascular toxicity developed under the auspices of the ESC Committee for Practice Guidelines: the Task Force for cancer treatments and cardiovascular toxicity of the European Society of Cardiology (ESC). Eur Heart J 2016; 37:2768–2801. [PubMed: 27567406]
- El-Sherbeny WS, Sabry NM, Sharbay RM. Prediction of trastuzumab-induced cardiotoxicity in breast cancer patients receiving anthracycline-based chemotherapy. J Echocardiogr 2018; 10.1007/ s12574-018-0394-4. [Epub ahead of print]
- 37. Arciniegas Calle MC, Sandhu NP, Xia H, et al. Two-dimensional speckle tracking echocardiography predicts early subclinical cardiotoxicity associated with anthracyclinetrastuzumab chemotherapy in patients with breast cancer. BMC Cancer 2018; 18:1037. [PubMed: 30359235]
- Potter E, Marwick TH. Assessment of left ventricular function by echocardiography: the case for routinely adding global longitudinal strain to ejection fraction. JACC Cardiovasc Imaging 2018; 11(2 Pt 1):260–274. [PubMed: 29413646]
- Ali MT, Yucel E, Bouras S, et al. Myocardial strain is associated with adverse clinical cardiac events in patients treated with anthracyclines. J Am Soc Echocardiogr 2016; 29:522.e3–527.e3. [PubMed: 27068546]
- 40. Sawaya H, Sebag IA, Plana JC, et al. Assessment of echocardiography and biomarkers for the extended prediction of cardiotoxicity in patients treated with anthracyclines, taxanes, and trastuzumab. Circ Cardiovasc Imaging 2012; 5:596–603. [PubMed: 22744937]
- Negishi K, Negishi T, Hare JL, et al. Independent and incremental value of deformation indices for prediction of trastuzumab-induced cardiotoxicity. J Am Soc Echocardiogr 2013; 26:493–498. [PubMed: 23562088]
- 42 . Negishi T, Thavendiranathan P, Negishi K, et al. Rationale and design of the strain surveillance of chemotherapy for improving cardiovascular outcomes: the SUCCOUR trial. JACC Cardiovasc Imaging 2018; 11:1098–1105. [PubMed: 29909105] This prospective clinical trial will provide important evidence to determine the utility of strain surveillance in the clinical management of patients receiving cardiotoxic cancer therapy. Findings from this study could translate to changes in cardiooncology clinical practice.
- Melendez GC, Sukpraphrute B, D'Agostino RB Jr, et al. Frequency of left ventricular end-diastolic volume-mediated declines in ejection fraction in patients receiving potentially cardiotoxic cancer treatment. Am J Cardiol 2017; 119:1637–1642. [PubMed: 28341361]
- 44. Jordan JH, Sukpraphrute B, Melendez GC, et al. Early myocardial strain changes during potentially cardiotoxic chemotherapy may occur as a result of reductions in left ventricular enddiastolic volume: the need to interpret left ventricular strain with volumes. Circulation 2017; 135:2575–2577. [PubMed: 28630272]
- Jordan JH, Todd RM, Vasu S, Hundley WG. Cardiovascular magnetic resonance in the oncology patient. JACC Cardiovasc Imaging 2018; 11:1150–1172. [PubMed: 30092971]
- Thavendiranathan P, Wintersperger BJ, Flamm SD, Marwick TH. Cardiac MRI in the assessment of cardiac injury and toxicity from cancer chemotherapy: a systematic review. Circ Cardiovasc Imaging 2013; 6:1080–1091. [PubMed: 24254478]
- Veilan TG, Coelho-Filho OR, Shah RV, et al. Myocardial extracellular volume by cardiac magnetic resonance imaging in patients treated with anthracycline-based chemotherapy. Am J Cardiol 2013; 111:717–722. [PubMed: 23228924]
- Melendez GC, Jordan JH, D'Agostino RB Jr, et al. Progressive 3-month increase in LV myocardial ECV after anthracycline-based chemotherapy. JACC Cardiovasc Imaging 2017; 10:708–709. [PubMed: 27544895]
- 49. Galan C, Sanchez-Gonzalez J, Garcia-Sanchez MJ, et al. Early diagnosis of anthracycline induced cardiotoxicity in a swine model by serial multiparametric cardiac magnetic resonance. Eur Heart J 2017; 38(Suppl 1):567.

- Cardinale D, Colombo A, Torrisi R, et al. Trastuzumab-induced cardiotoxicity: clinical and prognostic implications of troponin I evaluation. J Clin Oncol 2010; 28:3910–3916. [PubMed: 20679614]
- Putt M, Hahn VS, Januzzi JL, et al. Longitudinal changes in multiple biomarkers are associated with cardiotoxicity in breast cancer patients treated with doxorubicin, taxanes, and trastuzumab. Clin Chem 2015; 61:1164–1172. [PubMed: 26220066]
- 52. Ky B, Putt M, Sawaya H, et al. Early increases in multiple biomarkers predict subsequent cardiotoxicity in patients with breast cancer treated with doxorubicin, taxanes, and trastuzumab. J Am Coll Cardiol 2014; 63:809–816. [PubMed: 24291281]
- 53. Morris PG, Chen C, Steingart R, et al. Troponin I and C-reactive protein are commonly detected in patients with breast cancer treated with dose-dense chemotherapy incorporating trastuzumab and lapatinib. Clin Cancer Res 2011; 17:3490–3499. [PubMed: 21372222]
- 54 Zardavas D, Suter TM, Van Veldhuisen DJ, et al. Role of troponins I and T and N-terminal prohormone of brain natriuretic peptide in monitoring cardiac safety of patients with early-stage human epidermal growth factor receptor 2-positive breast cancer receiving trastuzumab: a Herceptin Adjuvant Study Cardiac Marker Substudy. J Clin Oncol 2017; 35:878–884. [PubMed: 28199174] A cardiac biomarker substudy of the HERA trial showing that elevated troponin levels are associated with risk of trastuzumab cardiotoxicity.
- 55. Ponde N, Bradbury I, Lambertini M, et al. Cardiac biomarkers for early detection and prediction of trastuzumab and/or lapatinib-induced cardiotoxicity in patients with HER2-positive early-stage breast cancer: a NeoALTTO sub-study (BIG 1–06). Breast Cancer Res Treat 2018; 168:631–638. [PubMed: 29280043]
- 56 Gulati G, Heck SL, Ree AH, et al. Prevention of cardiac dysfunction during adjuvant breast cancer therapy (PRADA): a 2 x 2 factorial, randomized, placebo-controlled, double-blind clinical trial of candesartan and metoprolol. Eur Heart J 2016; 37:1671–1680. [PubMed: 26903532] One of the first studies to investigate the benefit of cardioprotective medications for the primary prevention of cardiotoxicity in breast cancer patients.
- 57. Boekhout AH, Gietema JA, Milojkovic Kerklaan B, et al. Angiotensin II-receptor inhibition with candesartan to prevent trastuzumab-related cardiotoxic effects in patients with early breast cancer: a randomized clinical trial. JAMA Oncol 2016; 2:1030–1037. [PubMed: 27348762]
- 58 Pituskin E, Mackey JR, Koshman S, et al. Multidisciplinary approach to novel therapies in cardio-oncology research (MANTICORE 101-Breast): a randomized trial for the prevention of trastuzumab-associated cardiotoxicity. J Clin Oncol 2017; 35:870–877. [PubMed: 27893331] This trial demonstrated a modest benefit of perindopril and bisoprolol for the prevention of LVEF decline during trastuzumab. A limitation of this study was that only 23% of patients were treated with an anthracycline-containing regimen.
- Guglin MKJ, Tamura R, Fink Angelina, et al. Lisinopril or carvedilol for prevention of trastuzumab induced cardiotoxicity. American College of Cardiology Conference Presentation, 11 March 2018, abstract 405.
- Gujral DM, Lloyd G, Bhattacharyya S. Effect of prophylactic betablocker or ACE inhibitor on cardiac dysfunction & heart failure during anthracycline chemotherapy +/- trastuzumab. Breast 2018; 37:64–71. [PubMed: 29101824]
- 61. Wittayanukorn S, Qian J, Westrick SC, et al. Prevention of trastuzumab and anthracycline-induced cardiotoxicity using angiotensin-converting enzyme inhibitors or beta-blockers in older adults with breast cancer. Am J Clin Oncol 2018; 41:909–918. [PubMed: 28537988]
- Ezaz G, Long JB, Gross CP, Chen J. Risk prediction model for heart failure and cardiomyopathy after adjuvant trastuzumab therapy for breast cancer. J Am Heart Assoc 2014; 3:e000472. [PubMed: 24584736]
- 63. Yu AF, Yadav NU, Eaton AA, et al. Continuous trastuzumab therapy in breast cancer patients with asymptomatic left ventricular dysfunction. Oncologist 2015; 20:1105–1110. [PubMed: 26240135]
- Mescher C, Ding C, Defor T, et al. Left ventricular ejection fraction screening and clinical decision-making in metastatic HER2-positive breast cancer. Anticancer Res 2017; 37:3751–3755. [PubMed: 28668870]

Copeland-Halperin et al.

- 65. Hussain Y, Drill E, Dang CT, et al. Cardiac outcomes of trastuzumab therapy in patients with HER2-positive breast cancer and reduced left ventricular ejection fraction. Breast Cancer Res Treat 2019; 175:239–246. [PubMed: 30721443]
- 66. Lynce F, Barac A, Tan MT, et al. SAFE-HEaRt. Rationale and design of a pilot study investigating cardiac safety of HER2 targeted therapy in patients with HER2-positive breast cancer and reduced left ventricular function. Oncologist 2017; 22:518–525. [PubMed: 28314836] First prospective trial to investigate the cardiac safety of trastuzumab in patients with reduced LVEF.
- 67. Yancy CW, Jessup M, Bozkurt B, et al. 2017 ACC/AHA/HFSA focused update of the 2013 ACCF/AHA guideline for the management of heart failure: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Practice Guidelines and the Heart Failure Society of America. J Card Fail 2017; 23:628–651. [PubMed: 28461259]

KEY POINTS

- Cardiotoxicity is a well recognized adverse effect associated with HER2targeted therapies and is characterized by left ventricular systolic dysfunction, manifesting clinically as decreased left ventricular ejection fraction (LVEF) and/or symptomatic heart failure.
- Global longitudinal strain (GLS) and troponin assays may detect early cardiotoxicity prior to an overt reduction in LVEF and can be an early predictive marker.
- Emerging data suggest that neurohormonal antagonists may be of greatest benefit to patients at increased risk for trastuzumab cardiotoxicity, such as those who are treated with an anthracycline-containing regimen.
- Key unanswered questions needing further investigation include how to modify cardiac-monitoring strategies to eliminate unnecessary testing while ensuring cardiac safety, how interruption of trastuzumab because of cardiotoxicity toxicity affects cancer and cardiovascular-related outcomes, and whether continuation of treatment may be well tolerated among patients who develop cardiotoxicity.

-
-
_
—
_
_
\sim
\mathbf{U}
\sim
_
5
a
anu
anusc
anus
anuscri
anusc
anuscri
anuscri

Author Manuscript

Copeland-Halperin et al.

t cancer
breast
positive
with HER2-
with
xicity in patients
in
oxicity
tkers of cardiotoxicity in J
of c
ıaı
0i0
s of bion
Studies of

Study	Patients	Markers	Primary outcome	Findings
Cardinale <i>et al.</i> [50]	251 patients treated with trastuzumab 78% received prior anthracyclines 51% with metastatic disease	Tn-I	↓ LVEF > 10% from baseline to < 50%	Cardiotoxicity occurred in 42 (17%) patients. Cardiotoxicity was more frequent in patients with an elevated TnI >0.08 ng/ml (62 versus 5%, p < 0.001).
El Sherbeny <i>et al.</i> [36]	61 patients treated with AC followed by paclitaxel + trastuzumab	GLS NT-proBNP	↓ LVEF >10% to < 55% with or without signs and symptoms of heart failure.	GLS (absolute) <18% measured at month 3 of trastuzumab predicts development of cardiotoxicity NT-proBNP was not predictive of cardiotoxicity
Ky et al. [52]	78 patients treated with an anthracycline-based regimen followed by a taxane and trastuzumáb	hsTn-I MPO CRP GDF-15 PIGF sFlt-1 gal-3	↓ LVEF 5% to <55% with CHF symptoms Asymptomatic ↓ LVEF 10% to <55%	Increases in hsTn-I and MPO from baseline to month 3 (postanthracyclines) are associated with cardiotoxicity (hazard ratio 1.38 per SD increase in TnI, $P = 0.02$; hazard ratio 1.34 per SD increase in MPO, $P = 0.048$)
Morris et al. [53]	95 patients treated with ddAC followed by weekly paclitaxel with trastuzumab + lapatinib	Tn-I CRP	Change in LVEF	Tn-I elevations preceded maximal LVEF decline but did not correlate with development of CHF No correlation between CRP levels and LVEF decline or CHF
Negishi et al. [41]	81 patients treated with trastuzumab 46% received an anthracycline-based regimen	GLS	↓ LVEF 10% from baseline	A decrease in GLS of 11% measured at 6 months is predictive of subsequent reductions in LVEF at 12 months.
Sawaya <i>et al.</i> [40]	81 patients treated with anthracyclines, taxanes, and trastuzumab	GLS hsTn-I NT-proBNP ST-2	↓ LVEF 5% to < 55% with CHF symptoms Asymptomatic ↓ LVEF 10% to < 55%	GLS (absolute) < 19% measured after completing anthracycline chemotherapy is predictive of cardiotoxicity during trastuzumab. Elevated usTn-1 30 pg/ml measured after completing anthracycline chemotherapy is predictive of cardiotoxicity during trastuzumab.
Zardavas <i>et al.</i> [54 1]	452 patients treated with adjuvant chemotherapy followed by trastuzumab in the HERA trial 94% received an anthracycline-based regimen	Tn-I Tn-T NT-proBNP	NYHA class III-IV CHF ↓ LVEF >10% from baseline to <50% Death from cardiac cause	Elevated baseline troponin I (>40 ng/l) and T (> 14 ng/l) are associated with risk of significant LVEF decline (hazard ratio = 4.52; P <0.001 and hazard ratio 3.57; P <0.001, respectively)
AC, doxorubicin + cy growth differentiation Association: PI-GF, pJ	AC, doxorubicin + cyclophosphamide; CRP, C-reactive protein; ddAC, dose dense doxorubicin + cyclophosphamide; FEC, 5-fluorouracil, epirubicin, and growth differentiation factor-15; GLS, global longitudinal strain; hsTnI, high-sensitivity troponin I; MPO, myeloperoxidase; NT-proBNP, n-terminal pro- Association; PI-GF, placental growth factor: sFIt-1, soluble fms-like tyrosine kinase receptor-1; ST2, interleukin family member; Tn-1, cardiac troponin-1.	ldAC, dose dense dox hsTnI, high-sensitivity ke tyrosine kinase rec	anbicin + cyclophosphamide; FEC, 5-fl	AC, doxorubicin + cyclophosphamide; CRP, C-reactive protein; ddAC, dose dense doxorubicin + cyclophosphamide; FEC, 5-fluorouracil, epirubicin, and cyclophosphamide; gal-3, galectin 3; GDF-15, growth differentiation factor-15; GLS, global longitudinal strain; hsTnI, high-sensitivity troponin I; MPO, myeloperoxidase; NT-proBNP, n-terminal pro-B type natriuretic peptide; NYHA, New York Heart Association: PI-GF, placental growth factor: sFlt-1, soluble fms-like tvrosine kinase receptor-1; ST2, interleukin family member: Tn-L, cardiac troponin-L.

~
~
<u> </u>
Ŧ
0
5
_
<
_
Q
2
ົດ
C
二.
0
t

Copeland-Halperin et al.

Table 2.

Trials of primary prevention strategies for cardiotoxicity in patients with breast cancer

Study	Population	Interventions	Cardiotoxicity Outcome	Findings
PRADA [55]	130 patients treated with FEC 22% received trastuzumab	Candesartan Metoprolol XL Candesartan + metoprolol XL Placebo	Change in LVEF by cardiac MRI	Decline in LVEF from baseline to end of study was lower in the candesartan group (-0.8%) compared with the placebo group (-2.6%). LVEF decline in the metoprolol group (-1.6%) was not significantly different compared with placebo.
MANTICORE [58 ■]	94 patients treated with adjuvant chemotherapy (FEC 22%, TC 77%, ACT 1%) plus trastuzumab	Perindopril Bisoprolol Placebo	Change in LVEDVi by cardiac MRI	No difference in change in LVEDVi from baseline to end of study in the perindopril or bisoprolol groups compared with placebo (+7 ml/m ² versus + 8 ml/m ² versus +4 ml/m ² , $P = 0.36$). Small reduction in LVEF decline with bisoprolol but not perindopril compared with placebo (-1 versus -3 versus -5%, $P = 0.001$)
Boekhout <i>et al.</i> [56■]	206 patients treated with anthracyclines plus trastuzumab	Candesartan Placebo	↓ LVEF 15% from baseline or to <45%	No difference in rate of cardiotoxicity between candesartan group versus placebo (19 versus 16%, $P = 0.58$) NT-proBNP and hs-TnT were not associated with changes in LVEF. Candesartan did not affect changes in biomarkers.
Guglin <i>et al.</i> [59]	468 patients treated with adjuvant chemotherapy plus trastuzumab 39% with prior exposureto anthracycline-based chemotherapy	Lisinopril Carvedilol ER Placebo	↓ LVEF 10% or 5 to <50%	No difference in rate of cardiotoxicity between lisinopril group or carvedilol group versus placebo (30 versus 29 versus 32%, P = ns). In the subset of patients treated with anthracyclines, the rate of cardiotoxicity was lower in the lisinopril and carvedilol groups versus placebo (37 versus 31 versus 47%, P = 0.009)