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# **MGMT status as a clinical biomarker in glioblastoma**

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## **Abstract**

Glioblastoma is the most common primary malignant brain tumor. Although current standard therapy extends median survival to ~15 months, most patients do not have sustained response to treatment. While O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT) promoter methylation status is accepted as a prognostic and promising predictive biomarker in glioblastoma, its value in informing treatment decisions for glioblastoma patients is still debatable. Discrepancies between MGMT promoter methylation status and treatment response in some patients may stem from inconsistencies between MGMT methylation and expression levels in glioblastoma. Here, we discuss MGMT as a biomarker and elucidate the discordance between MGMT methylation, expression, and patient outcome, which currently challenges the implementation of this biomarker in clinical practice.

#### **Keywords**

Glioblastoma; MGMT; biomarker; neuro-oncology; precision medicine

## **Background of glioblastoma and MGMT**

Glioblastoma, a grade IV **astrocytoma** (see Glossary), is the most common primary malignant brain tumor in adults [1, 2]. The current standard treatment for newly diagnosed patients includes maximum safe surgical resection followed by radiation therapy (RT) with concomitant and **adjuvant** temozolomide (TMZ) [2]. The addition of TMZ to RT for newly diagnosed glioblastoma has resulted in a significant survival benefit in the general

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glioblastoma patient population; yet, only a quarter of patients survive 2 years after initial diagnosis, suggesting a high variability of patient response to the standard therapy [3]. The identification of molecular biomarkers to successfully predict patient response to therapy is a crucial goal in **neuro-oncology** research.

MGMT promoter **methylation** status has emerged as one of the leading determinants of prognosis and potential predictor of response to TMZ [4, 5]. However, this biomarker has not yet been implemented in routine treatment decision-making, and the best method to determine MGMT status in patients remains under debate [6]. Current methods of evaluating MGMT status are summarized in Table 1. Specifically, there is strong evidence of discordance between methylation status and protein expression level, with variable reports of correlation with patient outcome  $[4, 7-15]$ . Here, we outline the challenge of implementing MGMT methylation status as a clinical biomarker for glioblastoma patients. We specifically emphasize the inconsistencies between MGMT promoter methylation status, MGMT protein/gene expression, and patient outcome. Further, we propose the need to evaluate additional parameters in combination with MGMT methylation status in order to likely improve prediction of patient outcome. The function of the MGMT protein and the role of MGMT promoter methylation are described in Box 1.

#### **Discordance between promoter methylation and expression**

A negative correlation between MGMT promoter methylation and expression has been demonstrated in numerous studies; however, there is consistent evidence of discordance. For example, multiple glioblastoma cell lines have an unmethylated MGMT promoter, but exhibit low mRNA expression (Figure 1A). The subgroup of patients exhibiting inconsistency are of particular interest. A systematic review and meta-analysis of 52 studies determining the correlation between immunohistochemistry (IHC) and methylation-specific PCR (MSP) for MGMT in human tumors concluded that the results found by IHC were not in close concordance with those found by MSP [12]. Though non-brain tumor studies were included in the analysis, greater disagreement between the two tests was found in brain tumors [12]. Similarly, no correlation was found between MGMT protein expression and methylation by MSP (p=0.903) when 76 glioblastoma samples were tested. 52.4% of unmethylated tumors showed low MGMT expression and 41.2% of methylated tumors showed high MGMT expression [16]. When comparing IHC and pyrosequencing in another study, the concordance rate was only 30.8% (N=350) [17].

Importantly, even within studies that demonstrate a significant correlation between promoter methylation status and gene/protein expression, there are often several inconsistencies. For example, in a set of 53 glioblastoma and 10 anaplastic astrocytoma, a strong correlation between mRNA expression level and promoter methylation status was found (p<0.0001); however, 6 patients with a methylated promoter expressed high mRNA levels and 6 patients with an unmethylated promoter expressed low mRNA levels [18]. Remarkably, these discordant findings only occurred in glioblastoma patients. Moreover, when extending the analysis to a validation set using **TCGA-GBM** data, inconsistent findings were observed in 46 out of 209 samples (22%), similar to the study population (19%) [18]. In a larger cohort, IHC was negatively correlated with promoter methylation by MSP ( $p<0.0001$ ); yet 37% of

215 patients with an unmethylated promoter had low MGMT expression [10]. Multiple other studies report differential protein expression regardless of *MGMT* methylation status [7, 9, 16].

## **Potential explanations for inconsistent correlation between methylation status, expression level, and/or clinical outcome**

Although promoter methylation is a major mechanism of gene silencing, additional factors may affect the correlation between *MGMT* methylation, expression, and patient outcome. In addition to the explanations below, alternative mechanisms, such as post-transcriptional modulation of MGMT by microRNAs or the association of MGMT methylation with IDH mutation or the **glioma** CpG island methylator phenotype, may explain these inconsistent correlations [19–22].

#### **Limitations of IHC analysis**

Though IHC is a common and inexpensive assay used in many cancer types, including glioblastoma, the value of using IHC to determine MGMT status has been controversial [13]. The limitations of the assay could partially explain the findings of poor correlation between protein expression by IHC and methylation or clinical outcome. One challenge is contamination of non-neoplastic, MGMT-expressing cells in the tissue sample, such as microglia, tumor-infiltrating lymphocytes, and vascular endothelium, which could lead to false positives in scoring the tumor sample [23]. Another major challenge includes variation in interobserver agreement of IHC determinations [7, 12]. Other limitations could result from intratumoral variation in protein expression and the standard characterization of MGMT expression by positive cell count, which overlooks the level of protein within each cell [21]. Though the limitations of IHC are evident, this method is widely used for glioma diagnosis based on immunoreactivity of other molecular biomarkers (e.g., IDH1 R132H, ATRX). Development and standardization of the use of an antibody clone with maximum specificity and reliability and exclusion of non-neoplastic cells could aid in improving the measurement of MGMT protein, the reproducibility of the assay, and the correlation of IHC results with methylation status and patient outcome. Alternatively, transitioning to the use of quantitative fluorescent IHC may give a better determination of MGMT level compared to traditional IHC [14].

#### **Lack of standardized cutoff values in diagnostic assays**

Perhaps one of the most considerable limitations is the lack of standard cutoff values for determining MGMT status for methylation and expression assays. The most common cutoff values for methylation by pyrosequencing are  $8 - 10\%$  [24, 25]. However, binary cutoffs neglect patients with an intermediate level of methylation. A pooled analysis of quantitative MSP results from four clinical trials evaluated both an unsupervised technical cutoff and a clinically optimized cutoff supervised by overall survival [26]. Importantly, the 9.5% of patients who fell within the "gray zone" of intermediate methylation between the optimal and technical cutoffs had a significant survival benefit compared to those patients who were "truly" unmethylated [26]. This demonstrates the importance of standardizing a reliable clinically relevant cutoff for diagnostic assays rather than a strict technical cutoff,

considering that patients with low or intermediate levels of methylation may still receive some benefit from temozolomide. Moreover, not all studies use the same cutoff values. Some studies found that the correlation of MGMT methylation status even depends on different cutoff values within their own study [27, 28]. Cutoffs for protein expression also vary, with high and low expression stratified at the median or 10%, 25%, 30%, or 50% cutoff levels [7, 9, 10, 14, 16, 29, 30]. This lack of standardization complicates the interpretation of correlation with patient outcome and the clinical usability of these assays [6, 31].

## **Correlation between MGMT methylation, expression, and/or clinical outcome is CpG location-dependent**

The MGMT promoter and gene include many CpG dinucleotides; however, methylation of specific CpG sites have been shown to be more relevant for gene silencing than others. The −228, −186, +125, and +137 CpG positions (relative to the transcription start site) were previously identified as most relevant for expression and this was confirmed as >80% concordant in a separate study, which also identified additional critical CpG sites at +95, +113, and +135 [32, 33]. Interestingly, this second study further found that the region commonly investigated by MSP did not have the best correlation with gene expression, observing 28% discordant results, which could partially explain poor correlation between expression and methylation status by MSP in some patients [32]. Two genomic regions, differentially methylated region 1 (DMR1) and 2 (DMR2), have been identified as being most strongly concordant with expression and patient outcome [34, 35]. A BeadChip-based MGMT-STP27 *MGMT* classification model identified these two regions as highly significant for gene silencing and predicting outcome in chemo-radiotherapy-treated patients [34]. However, further research should attempt to establish a more targeted region for methylation probing; though the region investigated by MSP is located in the DMR2 region, methylation of particular CpG sites within DMR2 could explain the better correlation between clinical outcome and methylation within this region, compared to methylation of the specific CpG sites interrogated using MSP [35].

#### **Gene body methylation**

Methylation also occurs in the MGMT gene body, and methylation of exonic regions may result in increased *MGMT* expression in some patients, which could partially explain why MGMT transcript levels may differ from what is expected by the promoter methylation status [36]. The effect of gene body cytosine modifications was analyzed in 91 glioblastoma [37]. In patients with an unmethylated promoter, gene body hypomethylation resulted in decreased MGMT expression to a similar degree of those with a methylated promoter [37]. Moreover, gene body hypermethylation in these patients was correlated with increased MGMT expression. However, this phenomenon was not observed in patients with a methylated promoter. Assessing cytosine modification levels in both the promoter region and gene body may improve prediction of MGMT expression and response to TMZ. For example, hypomethylation of the gene body in D-566MG and SF-295 cells may possibly explain the decreased MGMT expression in these cells with an unmethylated promoter. It would be interesting to know whether the high *MGMT* expression in LN-18 and YH-13

cells occurs primarily as a result of an unmethylated promoter or hypermethylation of the gene body (Figure 1A–C).

#### **TMZ-induced upregulation of MGMT**

Another potential explanation is the observation that MGMT expression and/or activity may be induced in response to TMZ [6, 38]. It has been reported that recurrent glioblastoma showed a significant increase in mean MGMT activity after chemotherapy with no significant increase after radiation alone [39]. Similarly, MGMT protein level, MGMT mRNA expression, and MGMT activity increased after TMZ treatment in unmethylated patient-derived glioblastoma **xenografts**, and this upregulation was associated with TMZ resistance [38]. Also likely is treatment selection of high-MGMT subclones, which would promote resistance to TMZ [40, 41]. Although it can change upon recurrence in some patients, MGMT promoter methylation is relatively stable during disease progression [42]. Upregulation of MGMT activity was observed in recurrent glioblastoma compared to pretreatment, both with and without changes in promoter methylation status [30]. This may explain the discordance between methylation or protein status at initial diagnosis and clinical outcome.

#### **Mismatch repair deficiency in recurrent tumors**

As mentioned in Box 1, Figure IB, MMR activity is required for TMZ cytotoxicity. Tumors deficient in MMR proteins, including MLH1, MSH2, MSH6, and PMS2, are unable to recognize the mispairing of  $O^6$ -MeG with thymine, and thus evade the cytotoxic effects of TMZ [43, 44]. Accordingly, a combined measure of low MGMT activity and functional MMR was demonstrated to best predict sensitivity to TMZ in patient-derived xenograft models of glioblastoma [45]. Though MMR deficiency is less common in newly diagnosed glioblastoma, recurrent tumors are often associated with reduced levels of MMR proteins, as observed in paired primary and recurrent glioblastoma [46–48]. This could partially explain observed resistance in recurrent tumors independent of MGMT methylation status.

## **MGMT expression as a clinical biomarker?**

The value of *MGMT* promoter methylation status has been widely accepted in the neurooncology field, though this biomarker is a surrogate of MGMT activity. Because multiple studies have identified discordance between methylation and expression, recent investigations (Table 2, Key Table) have started to analyze the correlation between gene and/or protein expression directly with patient survival and response to chemotherapy to determine the value of MGMT expression as a biomarker. Low MGMT protein or gene expression has been found to be significantly associated with improved patient survival or treatment response independently of MGMT promoter methylation, and further, has also been found to be an independent prognostic marker in glioblastoma patients by multivariate analysis [10, 11, 18, 25, 29, 41, 49]. For example, when promoter methylation status was analyzed by MSP and biSEQ and protein expression level by IHC, both markers were correlated with both overall survival (OS) and progression-free survival (PFS) [10]. Conversely, in a different study, promoter methylation by MSP, SQ-MSP, and pyrosequencing correlated with outcome, but mRNA or protein expression did not [50].

Stratifying patients into four subgroups based on combined analysis of methylation and expression (methylated + low expression, methylated + high expression, unmethylated + low expression, unmethylated + high expression) appears to give the most accurate prediction of patient outcome. Such studies concluded that in patients treated with RT and TMZ, those with both *MGMT* methylation and low protein expression had the longest survival [8, 10, 25, 49]. For example, in one study, no correlation was found between immunostaining and survival alone; however, after combining MSP with IHC analysis, the difference in patient outcome between each subgroup was significant with the greatest median survival in the methylated-immunonegative patient subgroup. Surprisingly, the unmethylatedimmunonegative subgroup showed the poorest survival [8]. Combined analysis in a set of 121 glioblastoma patients revealed a better outcome in methylated, IHC-negative patients compared to unmethylated, IHC-positive patients [49]. Similarly, in a larger cohort, combined MGMT hypermethylation and low expression status was associated with both improved overall and progression-free survival compared to the other combinations [10]. In a series of 350 gliomas and gangliogliomas, including 154 glioblastoma, the sensitivity of IHC was 84.4%, and the specificity was only 45.7%; however, when combined with qMSP, the sensitivity and specificity of IHC for predicting MGMT status increased to 99.5% and 93.9%, respectively [17]. It would be important to further determine whether combined analysis also results in improved prediction of patient survival compared to IHC and qMSP alone in this study. Further research testing the correlation of combined methylation and expression status with outcome is warranted. Stratification of patients into subgroups incorporating both methylation and expression parameters may very likely enhance the prognostic and/or predictive value of MGMT methylation status (see Outstanding Questions).

## **Concluding remarks and future perspectives**

Although MGMT status has been a biomarker in clinical trials for some time and has been implemented as a predictive marker for elderly patients, it has not yet been integrated for all patients in routine clinical practice for prognostic evaluation or treatment decision-making [51]. 30 – 60% of all glioblastoma patients have a methylated *MGMT* promoter; yet, those expressing MGMT due to an unmethylated promoter or alternate mechanism will likely respond poorly to standard alkylating therapy [4, 29]. Current practice does not withhold TMZ from unmethylated patients treated with standard protocols due to the uncertainty of the predictive value of MGMT methylation status and the lack of alternative treatment modalities, and this has precluded clinical application of research findings on correlation of MGMT status with patient outcome [31].

We suggest that MGMT methylation and MGMT protein expression should not be used interchangeably as single biomarkers. It is important to elucidate the molecular and genetic mechanisms regulating MGMT expression beyond promoter methylation and also establish standardized clinical cutoffs for assays evaluating MGMT status. It is likely that evaluation of both MGMT methylation and gene/protein expression is critical for most accurately predicting patient survival and treatment response, which should be considered in further research studies, such as clinical trial inclusion criteria and stratification between treatment arms, and routine clinical practice. Decisions based on both parameters will likely give a

better indication of response for glioblastoma patients, especially for the patient subset with inconsistent MGMT methylation status and protein level. It also remains to be established whether the evaluation of other molecular markers in addition to *MGMT* status improves the prognostic and predictive value of this biomarker (see Outstanding Questions). Integrating additional molecular characteristics with MGMT methylation status, such as MGMT protein expression or MMR proficiency, to develop a combined biomarker status should be the next step in assisting the treatment decision-making of which patients should or should not receive temozolomide. It is critical to address these challenges in order to implement MGMT status as a reliable biomarker to identify all patients that are likely to benefit from TMZ, while avoiding unnecessary treatment toxicities in patients who are unlikely to respond; this will allow the use of personalized therapeutic strategies that are more likely to bring favorable outcomes.

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## **Glossary**



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#### **Box 1.**

#### **MGMT function and the role of promoter methylation**

Temozolomide (TMZ) is an **alkylating agent** that damages DNA by adding methyl groups to the N<sup>7</sup> and O<sup>6</sup> positions of guanine and the N<sup>3</sup> position of adenine [44, 53]. Though the  $O^6$ -methylguanine ( $O^6$ -MeG) adduct is the least frequent lesion, it is the primary mechanism of temozolomide cytotoxicity [43, 44, 54]. This methylation results in inaccurate pairing of the methylated guanine with newly incorporated thymine during replication. Futile cycling of the mismatch repair (MMR) pathway, which removes the thymine but leaves the methylated guanine, results in DNA double-stranded breaks, irreparable genomic damage, and activation of cell death [21, 23, 36]. As illustrated in Figure IA, MGMT prevents this from happening by removing and transferring the methyl group from  $O<sup>6</sup>$ -MeG to an internal cysteine residue in an irreversible suicidal reaction. This activity effectively reverses the alkylation-induced DNA damage, thus blunting the cytotoxic effects of TMZ. This is one of the mechanisms that explains why patients whose cancer cells express MGMT do not typically respond to TMZ (Figure IB) [53, 55].

The *MGMT* promoter region contains a high frequency of repetitive CpG sequences [4]. Hypermethylation at CpG sites within this region typically results in epigenetic silencing of MGMT transcripts. The resulting lack of MGMT-mediated DNA repair promotes sensitivity to temozolomide when MMR function is intact (Figure IB) [12, 44, 55]. An unmethylated promoter often results in high MGMT protein expression, which allows the repair of O<sup>6</sup>-MeG and promotes resistance to TMZ (Figure IB). The landmark EORTC-NCIC clinical trial established the association between MGMT promoter methylation and increased survival benefit in newly diagnosed glioblastoma patients treated with radiation plus concomitant and adjuvant TMZ. Although the addition of TMZ to radiotherapy brought significant survival benefit in patients with MGMT methylated glioblastoma, a modest benefit was also noted in patients with an unmethylated promoter [3, 55]. Subsequent studies have confirmed the link between MGMT promoter methylation and patient outcome, and thus, MGMT promoter methylation has emerged as the primary marker for lack of MGMT function to determine prognosis and potential response to chemotherapy  $[6, 56]$ . However, the correlation between *MGMT* promoter methylation status and mRNA or protein expression level is not absolute [12, 44]. Indeed, in cancer cell lines, only  $\sim$  50% of *MGMT*-negative cells show promoter methylation [44]. Hence, there is ongoing debate regarding the best method of classifying MGMT status in order to determine accurate prognosis and prediction of treatment response. Moreover, even in cells lacking MGMT function, MMR needs to be active for the cells to respond to TMZ (Figure IB) [44].

## **Outstanding Questions**

- Does the combined evaluation of *MGMT* methylation status and gene/protein expression better predict patient outcome compared to either parameter alone?
- **•** What other molecular characteristics beyond mismatch repair (MMR) contribute to patient response to temozolomide treatment which would enhance the predictive value of MGMT status?
- **•** How can we take the next step to implement these biomarkers in routine treatment decision-making for glioblastoma patients?

## **Highlights**

- **•** MGMT promoter methylation status is a widely accepted biomarker in glioblastoma.
- Inconsistencies between *MGMT* promoter methylation status and expression level have raised the question of the value of promoter methylation status in predicting patient response to temozolomide treatment in glioblastoma.
- **•** Combined evaluation of MGMT methylation and expression and/or MMR proficiency may provide better insight into a personalized treatment approach.
- **•** Understanding the molecular and genetic mechanisms regulating MGMT expression beyond promoter methylation is essential to enhance the utility of MGMT status as a biomarker in treatment decision-making for glioblastoma patients.

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#### **Figure I.**

Function of MGMT as determinant of response to TMZ **A)** Mechanism of MGMT-mediated repair of TMZ-induced DNA damage. Methylation of the  $O<sup>6</sup>$  position of guanine by TMZ is removed by MGMT, and prevents cell killing by MMR. **B)** Proposed role of MGMT promoter methylation and expression as determinant of response to TMZ. When the MGMT promoter is methylated (top), silencing of transcription results in low MGMT protein expression. This promotes sensitivity to temozolomide in MMR-proficient cells due to lack of MGMT-mediated DNA damage repair. MMR-deficient cells do not respond to TMZ due to evasion of MMR-dependent DNA double-stranded breaks. When the MGMT promoter is unmethylated (middle), transcription of the MGMT gene results in high expression of MGMT protein, which is able to remove the alkylation adducts, promoting resistance to temozolomide. In some glioblastomas (bottom), MGMT is not expressed in spite of lack of promoter methylation. This promotes sensitivity to TMZ in MMR-proficient cells and resistance in MMR-deficient cells. Created wit[h BioRender.com](http://BioRender.com)

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#### **Figure 1.**

Association of MGMT mRNA expression with DNA methylation. **A)** Plot of MGMT gene expression levels and promoter methylation levels in glioblastoma cell lines using Genomics of Drug Sensitivity in Cancer Project (GDSC) data from CellMinerCDB [\(http://](http://discover.nci.nih.gov/cellminercdb) [discover.nci.nih.gov/cellminercdb](http://discover.nci.nih.gov/cellminercdb)) [52]. Six cell lines were selected based on expression and promoter methylation for further visualization: 1) high expression and low promoter methylation (red), 2) low expression and low promoter methylation (green) and 3) low expression and high promoter methylation (blue). **B)** Promoter and **C)** gene body probe level methylation (beta values) of MGMT gene for the six selected cell lines.

#### **Table 1.**

#### Current methods for evaluating MGMT status



#### **Table 2, Key Table.**

Summary of studies that evaluate the prognostic or predictive value of MGMT protein or gene expressionin addition to promoter methylation status







 $a$ <sup>2</sup>OS = overall surviva

 $b)$ <br>PFS = progression-free survival

 $c$ )<br>Statistical significance indicated by p<0.05

 $\alpha^{(l)}$ Stupp regimen = protocol of glioblastoma treatment with radiation therapy and concomitant and adjuvant temozolomide