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## Role of the ERO1-PDI interaction in oxidative protein folding and disease

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### Abstract

Protein folding in the endoplasmic reticulum is an oxidative process that relies on protein disulfide isomerase (PDI) and endoplasmic reticulum oxidase 1 (ERO1). Over 30% of proteins require the chaperone PDI to promote disulfide bond formation. PDI oxidizes cysteines in nascent polypeptides to form disulfide bonds and can also reduce and isomerize disulfide bonds. ERO1 recycles reduced PDI family member PDIA1 using a FAD cofactor to transfer electrons to oxygen. ERO1 dysfunction critically affects several diseases states. Both ERO1 and PDIA1 are overexpressed in cancers and implicated in diabetes and neurodegenerative diseases. Cancer-associated ERO1 promotes cell migration and invasion. Furthermore, the ERO1-PDIA1 interaction is critical for epithelial-to-mesenchymal transition. Co-expression analysis of *ERO1A* gene expression in cancer patients demonstrated that *ERO1A* is significantly upregulated in lung adenocarcinoma (LUAD), glioblastoma and low-grade glioma (GBMLGG), pancreatic ductal adenocarcinoma (PAAD), and kidney renal papillary cell carcinoma (KIRP) cancers. *ERO1A* knockdown gene signature correlates with knockdown of cancer signaling proteins including IGF1R, supporting the search for novel, selective ERO1 inhibitors for the treatment of cancer. In this review, we explore the functions of ERO1 and PDI to support inhibition of this interaction in cancer and other diseases.

### Keywords

Protein Folding; Targeted Therapy; Cancer; Endoplasmic Reticulum Oxidase; Protein Disulfide Isomerase; Gene Expression

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Conflict of Interest

The authors declare that there are no conflicts of interest.

## 1. Introduction

Reactive oxygen species (ROS) are partially reduced metabolites of oxygen with strong oxidizing capabilities that contribute to diseases related to cell metabolism, survival and death. There are two main classes of ROS: free radicals and non-radicals. Free radicals include hydroxyl radical ( $\text{HO}^{\bullet}$ ), nitric oxide ( $\text{NO}^{\bullet}$ ), peroxyxynitrite ( $\text{ONOO}^{-}$ ), superoxide anion ( $\text{O}_2^{\bullet-}$ ), nitrogen dioxide ( $\text{NO}_2^{\bullet}$ ), peroxy radicals ( $\text{ROO}^{\bullet}$ ), and lipid peroxy ( $\text{LOO}^{\bullet}$ ). Non-radicals include hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), singlet oxygen ( $^1\text{O}_2$ ) and lipid peroxide ( $\text{LOOH}$ ). The most well-understood ROS are hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), superoxide anion ( $\text{O}_2^{\bullet-}$ ), and hydroxyl radical ( $\text{HO}^{\bullet}$ ) (Li, Jia, & Trush, 2016). At low doses, ROS maintain cellular homeostasis as secondary signaling molecules, and, at high concentrations, ROS can induce severe oxidative damage in proteins, lipids and DNA, earning their reputation as a double-edged sword (Cao & Kaufman, 2014; Puspita, Chung, & Shim, 2017; Sifuentes-Franco, Pacheco-Moisés, Rodríguez-Carrizalez, & Miranda-Díaz, 2017).

ROS are generated via both non-enzymatic and enzymatic reactions. ROS generated as byproducts of oxidative phosphorylation and ATP production during electron transfer reactions in the mitochondria are the major source (Fig. 1). ATP generation depends on the mitochondrial respiratory chain in the inner mitochondrial membrane, which consists of 5 major protein complexes (complex I, II, III, IV, and V) (Bolisetty & Jaimes, 2013). ATP is synthesized by  $\text{F}_0\text{F}_1$ -ATPase via the proton gradient across the membrane, and during this electron transfer, molecular oxygen accepts leaked electrons to form ROS (Dromparis & Michelakis, 2013). Complex I (NADH dehydrogenase subunits) and complex III (ubiquinol-cytochrome C reductase complex subunits) are the major sites of electron leak in the mitochondrial respiratory chain (Borek, Sarewicz, & Osyczka, 2008; Warnau, et al., 2018). Furthermore, complex II (succinate dehydrogenase) also plays a role in ROS generation, and mutation or dysfunction of complex II can enhance ROS production (Kausar, Wang, & Cui, 2018). In addition to the mitochondria, ROS are also produced by NADPH oxidases and xanthine oxidase in the cytoplasm, and generated in the endoplasmic reticulum (ER), peroxisome, and other organelles (Cao & Kaufman, 2014).

The purpose of this review is to address the dynamics of the ERO1-PDI interaction in intracellular ROS signaling and explore the rationale behind ERO1 inhibition in disease. ERO1 contributes up to 25% of the induced cellular ROS especially in heavily secretory cells (Chaudhari, Talwar, Parimisetty, Lefebvre d'Hellencourt, & Ravanan, 2014; Sevier & Kaiser, 2008; Tu & Weissman, 2004). ROS can act in several ways, including as signaling molecules to downstream pathways, and as cytotoxic elements. Furthermore, ERO1 $\alpha$  (an ERO1 isoform) expression is upregulated in several cancers and plays an important role in the physiology of many diseases. These findings highlight a strong therapeutic potential for effectively targeting ERO1 $\alpha$  and PDI as novel inhibitors become available.

## 2. Endoplasmic reticulum as an alternative source of ROS

Although mitochondria hold the reputation as the primary, ROS-inducing organelles of the cell, oxidative protein folding in the ER generates large quantities of ROS, and, in fact, the

ER lumen contains more ROS than mitochondria (Bulleid & Ellgaard, 2011; Malinouski, Zhou, Belousov, Hatfield, & Gladyshev, 2011). In eukaryotes, the ER is responsible for secreted and membrane-bound protein folding and calcium storage. ROS are generated during oxidative protein folding, when molecular chaperones, such as protein disulfide isomerases (PDIs), form disulfide bonds in nascent polypeptides. Active site cysteine residues in PDI accept electrons from free thiols in nascent polypeptides to generate a disulfide bond. PDI then transfers the electrons to membrane-bound ERO1 to perform another cycle of catalysis (Cao & Kaufman, 2014). ERO1 further transfers the electrons to O<sub>2</sub> and generates H<sub>2</sub>O<sub>2</sub>. H<sub>2</sub>O<sub>2</sub> produced by ERO1 in the ER lumen is involved in signaling and oxidative protein folding via peroxiredoxin 4 (Tavender & Bulleid, 2010; Zito, Melo, et al., 2010). In response to ROS generation, antioxidant enzymes, such as superoxide dismutase, catalase, and glutathione peroxidase, maintain redox homeostasis by converting ROS into water and oxygen. Other antioxidant molecules, including reduced glutathione (GSH), vitamin E, and NADH, can also inactivate ROS (Fig. 1) (Gomes, Silva, & de Oliveira, 2012; Tse, Yan, Chan, Tian, & Huang, 2016). In the ER, ROS can also be produced by reduced nicotinamide adenine dinucleotide phosphate (NADPH) oxidases downstream of PDIA1 activity (Laurindo, Araujo, & Abrahão, 2014).

The ER and mitochondria interact via a physical contact known as the mitochondria-associated ER membrane (MAM). The MAM was first identified in 1986 as a major site of phospholipid synthesis and transportation and is now known to be essential for calcium homeostasis, energy metabolism and other signaling pathways (Rieusset, 2018; Vance & Vance, 1986) (Csordas, Weaver, & Hajnoczky, 2018; Thoudam, Jeon, Ha, & Lee, 2016). The MAM allows exchange of Ca<sup>2+</sup>, ROS, lipids, and nutrients between the ER and the mitochondria, thus promoting cellular bioenergetics and metabolism (Fig. 1). High concentrations of ER Ca<sup>2+</sup> are released at MAM sites and taken up into the mitochondria by the Ca<sup>2+</sup> uniporter on the inner mitochondrial membrane (Csordás, Várnai, Golenár, Sheu, & Hajnoczky, 2012). ROS generated by either the ER or mitochondria in H<sub>2</sub>O<sub>2</sub> nanodomains generated by cristae can localize at the MAM interface and perturb calcium signaling (Booth, Enyedi, Geiszt, Várnai, & Hajnoczky, 2016). Importantly, ERO1, a key regulator of oxidative stress in the ER, is enriched at the MAM interface and regulates calcium flux (Anelli, et al., 2012; Gilady, et al., 2010). ERO1 localization at the MAM interface demonstrates the role of redox signaling on calcium flux through the mitochondria. ERO1 does not cross the MAM but may exert its effect via diffusible H<sub>2</sub>O<sub>2</sub>. Dysfunction of the ER-mitochondrial crosstalk at the MAM has been implicated in neurological diseases such as Alzheimer's and Parkinson's, thus ERO1 function at this interface may emerge as a critical driver of MAM dysfunction (Hetz & Mollereau, 2014).

The oxidizing environment of the ER is maintained by the GSH/GSSG ratio to facilitate protein folding (Fig. 2) (Cao & Kaufman, 2014; Chakravarthi, Jessop, & Bulleid, 2006). Correctly folded and processed proteins are transported out of the ER, while misfolded proteins can be either refolded or degraded via the ER-Associated Degradation (ERAD) pathway (Cao & Kaufman, 2014; Hwang & Qi, 2018). Therefore, the ER is equipped with a regulatory mechanism to accurately differentiate between unfolded/native, misfolded and correctly folded proteins. The rate of the reaction between PDI and GSSG or GSH is rapid, likely contributing to its role as an ER redox sensor (Lappi & Ruddock, 2011). In a disease

state, misfolded and unfolded proteins can accumulate to trigger ER swelling and the ER stress response (Oakes & Papa, 2015). During ER stress, the GSH/GSSG ratio is disturbed, further increasing ROS production and distorting the ER redox environment (Tu & Weissman, 2004). In eukaryotes, the ER is more susceptible to oxidative stress due to limited antioxidant enzymes, which indicates its important role in the induction of redox imbalance and cellular stress (Santos, Tanaka, Wosniak, & Laurindo, 2009). ER stress stimulates the unfolded protein response (UPR), which can promote cell survival, or upon prolonged ER stress, apoptosis ensues (Zeeshan, Lee, Kim, & Chae, 2016). Furthermore, ER stress can induce calcium release from the ER into the cytosol. Mitochondria uptake the released  $\text{Ca}^{2+}$ , causing physical and metabolic changes. For example, ROS induces the release of cytochrome c to the cytoplasm, inhibiting complex III activity and further inducing ROS in the form of a ubisemiquinone radical intermediate (Cao & Kaufman, 2014). Increased  $\text{Ca}^{2+}$  ions also enhance mitochondrial Krebs cycle dehydrogenases and nitric oxide synthase, both leading to the elevation of ROS. Most importantly, in a vicious cycle, the ER-induced mitochondrial ROS may accelerate release of  $\text{Ca}^{2+}$  from the ER to further increase mitochondrial oxidative stress (Cao & Kaufman, 2014). ERO1 silencing or pharmacological inhibition (EN460) blocks calcium re-uptake by mitochondria but not by the ER (Anelli, et al., 2012). Mitochondrial dysfunction alters ATP generation, which is required for protein folding and bond formation in the ER. Thus, mitochondrial dysfunction further aggravates ER stress (Cao & Kaufman, 2014).

### 3. ERO1 function & link to PDIA1

Endoplasmic reticulum oxidoreductase 1 (ERO1) is an ER-resident thiol oxidoreductase responsible for catalyzing disulfide bond formation in nascent polypeptide substrates via electron transfer through protein disulfide isomerase (PDI) with oxygen acting as the final electron acceptor (Fig. 2) (Fränd & Kaiser, 1999). The enzyme was first studied in *Saccharomyces cerevisiae* as an essential component of the oxidative folding machinery (Tu & Weissman, 2004). ERO1 is highly conserved and uses flavin adenine dinucleotide (FAD) as a coenzyme for electron transfer during oxidative folding. The ERO1/PDI oxidative folding pathway releases  $\text{H}_2\text{O}_2$ ; thus, increased oxidative protein folding is a source of ER ROS.

*Saccharomyces cerevisiae* contains a single homolog, ERO1p, that is essential for growth. In mammals, there are two paralogs of ERO1, ERO1 $\alpha$  and ERO1 $\beta$ , and these enzymes are two of many enzymes that perform similar functions, highlighting the importance of the oxidative folding pathway. ERO1 $\alpha$  is ubiquitously expressed in most cells, whereas ERO1 $\beta$  is specifically expressed in cells of the pancreas and stomach (Dias-Gunasekara, et al., 2005). ERO1 $\beta$  is more active than ERO1 $\alpha$  *in vitro*, and is highly expressed in the pancreas, emphasizing its importance in insulin biogenesis and glucose homeostasis (Zito, Chin, Blais, Harding, & Ron, 2010). ERO1 $\alpha$ , encoded by the *ERO1A* gene, is induced by HIF1 $\alpha$  (hypoxia-inducible factor 1 $\alpha$ ) and hypoxic conditions, whereas ERO1 $\beta$  is induced by the unfolded protein response (Cabibbo, et al., 2000; Gess, et al., 2003). Both isoforms are globular folds of alpha helices containing two essential CXXCXXC active sites and a regulatory loop region. Though the isoforms share 65.4% amino acid identity, ERO1 $\beta$  is missing the EF-hand calcium-binding motif contained in ERO1 $\alpha$ . Furthermore, ERO1 $\alpha$

contains two sites for N-glycosylation, Asp280 and Asp384. Crystal structures of human ERO1 $\alpha$  were solved in 2010 (Fig. 3A) (Inaba, et al., 2010). The electron shuttle from reduced PDI to molecular oxygen facilitated by ERO1 is tightly regulated, and ERO1 activity is heavily dependent on the redox characteristics of PDI.

Tight regulation is crucial as unregulated ERO1 activity would lead to harmful concentrations of hydrogen peroxide, oxidative stress, and cell death. Active, partially oxidized ERO1 $\alpha$  (OX1) can resist changes that could be induced by a reducing environment, whereas, inactive ERO1 $\alpha$  (OX2) is readily reduced by dithiothreitol (Benham, van Lith, Sitia, & Braakman, 2013). Therefore, in the oxidizing environment of the ER, inactive, oxidized ERO1 $\alpha$  is well-suited to donate a disulfide bond to PDI. ERO1 activity is regulated by disulfide bond combinations of four cysteines. Active ERO1 $\alpha$  (OX1) contains a Cys94-Cys99 disulfide bond. ERO1 $\alpha$  is inactivated when those cysteines form bonds with other cysteines in the protein, to form two disulfide bond pairs (OX2): Cys94-Cys131 and Cys99-Cys104 (Fig. 3B). ERO1 $\beta$  is similar, with a Cys90-Cys95 disulfide bond in the active form that is broken in the inactive form (OX: Cys90-Cys130). Upon reduction, ERO1 $\alpha$  moves from the compact, inactive OX2 form to the more active OX1, and rapidly returns to the OX2 form when no longer needed (Benham, et al., 2013). A Cys81-Cys390 disulfide stabilizes ERO1 $\beta$  by linking the “loop cap” and “helical core.” A regulatory bond similar to the Cys94-Cys131 bond in ERO1 $\alpha$  also exists as Cys90-Cys130 in inactive ERO1 $\beta$  (Wang, Zhu, & Wang, 2011). In general, ERO1 $\beta$  seems to be less tightly regulated than ERO1 $\alpha$  and brings powerful oxidizing capacity when needed (Wang, et al., 2011).

An increase in protein folding for which the cell does not have biomolecular capacity could lead to toxic buildup of ROS molecules, ER oxidative stress, and apoptosis. The highly oxidizing environment of the ER is maintained by ERO1 and GSSG, though glutathione enters the ER in its reduced form and also provides potent reducing equivalents at high concentrations (Tu, Ho-Schleyer, Travers, & Weissman, 2000). In normal cells, H<sub>2</sub>O<sub>2</sub> generation as a consequence of ERO1-mediated disulfide bond formation is tightly regulated, and H<sub>2</sub>O<sub>2</sub> is quickly reduced by glutathione peroxidase 8 (GPX8) (Ramming, Hansen, Nagata, Ellgaard, & Appenzeller-Herzog, 2014). Overexpression of ERO1 $\beta$  increases ROS in yeast cells, and those ROS levels are exacerbated even further with GPX8 knockdown (Haynes, Titus, & Cooper, 2004; Ramming, et al., 2014). Thus, while ER ROS levels can exceed mitochondrial concentrations, increases in protein folding can be mitigated by overexpression of UPR-inducible GPX8 (Eletto, Chevet, Argon, & Appenzeller-Herzog, 2014). Furthermore, additional substrates besides O<sub>2</sub> could act as electron acceptors because, while the enzyme has a high affinity for oxygen, it can also function under anaerobic conditions in yeast cells (Tu & Weissman, 2002). In cervical cancer, ERO1-dependent H<sub>2</sub>O<sub>2</sub> promotes growth and migration via promotion of epithelial-to-mesenchymal transition (EMT) (Zhang, et al., 2019). Thus, ERO1 is a key modulator of redox homeostasis in the ER.

Although ERO1 is the major contributor to ROS generation in the ER, knockdown in normal cells is not detrimental. ERO1 $\beta$  knockdown in yeast (*S. cerevisiae*) impairs the redox environment of the ER and disrupts protein folding (Frand & Kaiser, 1998; Pollard, Travers, & Weissman, 1998). ERO1 $\beta$  knockdown in mice hinders proinsulin folding, while double



knockdown of ERO1 $\alpha$  and ERO1 $\beta$  in immunoglobulin-producing cells does not affect disulfide bond formation or secretion of immunoglobulin (Zito, Chin, et al., 2010). Thus, ERO1 $\beta$  plays a selective and important role in glucose homeostasis (Zito, Chin, et al., 2010). The modest effect of ERO1 knockout on phenotype indicates mammalian cells rely on redundant pathways for disulfide bond formation and ERO1-independent mechanisms. For example, ERO1-deficient cells still form disulfide bonds (Appenzeller-Herzog, et al., 2010). Knockout of ERO1 $\alpha$ , ERO1 $\beta$ , and the antioxidant enzyme peroxiredoxin 4 (PRDX4) leads to a deficiency in procollagen maturation, depletion of ascorbic acid, and scurvy in mice (Zito, Hansen, Yeo, Fujii, & Ron, 2012). In cervical cancer cells, knockdown of ERO1 impaired tumorigenesis (Zhang, et al., 2019).

Whole body knockout of ERO1 $\beta$  specifically impairs pancreatic  $\beta$  cell function because ERO1 $\beta$  is expressed in the pancreas; in addition to the pancreas, ERO1 $\beta$  is selectively expressed in the testis, liver, appendix, thyroid, and pituitary gland (Pagani, et al., 2000; Zito, Chin, et al., 2010). This specific tissue expression may explain the fact that, in general, *ERO1B* was not expressed as highly as *ERO1A* in our TCGA pan-cancer RNA-Seq data survey. Figure 5 shows expression of *ERO1A* or *ERO1B* that has been z-score normalized per patient so that a z-score of 0 indicates average expression of all genes per patient. All TCGA cohorts had *ERO1A* median expression greater than zero, and nearly all cohorts had *ERO1B* median expression greater than zero. Median *ERO1B* expression was higher than median expression compared to *ERO1A* expression in PRAD, though the relevance for this has yet to be explored. *ERO1B* had the highest median expression in LIHC and PAAD; thus, ERO1 $\beta$  inhibition may be a potential treatment for pancreatic cancer. Furthermore, ERO1 $\beta$  knockout renders cells susceptible to ER stress; the capability of normal cells to withstand a level of ER stress may promote ERO1 $\beta$  as a selective inhibitor of tissue-specific cancers, such as pancreatic or prostate (Khoo, et al., 2011). However, because ERO1 $\beta$  plays such a significant role in insulin signaling, ERO1 $\beta$  inhibitors may cause side effects such as glucose intolerance. In addition, it has not yet been studied whether ERO1 $\beta$  would be expressed to compensate for the loss of ERO1 $\alpha$  activity or if ERO1 $\beta$  is induced upon ER stress in tissues besides the ones in which basal expression is high. For example, in the progression of diabetes, as ER stress increases, *ERO1B* transcript expression decreases, unlike other UPR genes *Bip* and *CHOP* (Awazawa, et al., 2014). To consider the importance of ERO1 $\beta$  expression in cancer, we must establish 1) whether ERO1 $\beta$  expression is induced in cancerous tissues and 2) whether ERO1 $\beta$  expression induced by the UPR is critical for cancer progression. Based on the TCGA patient ERO1 expression data, median *ERO1B* expression is higher than average gene expression (zScore = 0) in most cancers assessed. Thus, it will be important to determine whether this higher than average expression is a critical driver of cancer progression.

ERO1 $\alpha$  mediates rapid disulfide bond generation in oxidative protein folding via PDIA1 (Appenzeller-Herzog, et al., 2010). PDI is an abundant multifunctional oxidoreductase catalyzing disulfide bond formation, isomerization, and reduction (Shergalis & Neamati, 2017). In vascular smooth muscle cells where ROS are key signaling molecules, PDIA1 regulates NADPH oxidase activity (Laurindo, Fernandes, Amanso, Lopes, & Santos, 2008). PDIA1 (also known as PDI, but referred to in this review as PDIA1 for clarity), the canonical member of the PDI family of 22 members, is the major substrate of ERO1 $\alpha$ .

Additionally, ERO1 $\beta$  preferentially associates with PDIA1 and PDIp, among the other PDI family members (Wang, et al., 2011). PDIA1 contains catalytic **a** and **a'** thioredoxin-like domains, a conserved CXXC active site, and **b** and **b'** domains that are homologous to the **a** and **a'** domains and also contain the thioredoxin-like fold, without the CXXC active site (Hatahet & Ruddock, 2009). ERO1 $\alpha$  preferentially oxidizes the **a'** domain of human PDIA1 over the **a** domain (Wang, et al., 2008). The cysteines in the CGHC active site of PDIA1 have high biochemical reduction potentials ( $-180$  mV) and are poised to accept electrons from nascent polypeptides (Lundström & Holmgren, 1993). ERO1 $\alpha$  interacts with PDIA1 via hydrophobic and electrostatic interactions in the **b'** domain of PDIA1 (Fig. 3C). Specifically, Phe240, Phe249, and Phe304 in the **b'** domain likely form non-covalent interactions with the  $\beta$ -hairpin region of ERO1 $\alpha$  (Masui, Vavassori, Fagioli, Sitia, & Inaba, 2011). Furthermore, Val101 and Trp272 of ERO1 $\alpha$  are necessary for PDI-ERO1 complex formation (Zhang, et al., 2019). These interactions position Cys397 and Cys400 in the **a'** domain active site of PDIA1 near the disulfide-bonded cysteines in the shuttle loop of ERO1 $\alpha$  and allow ERO1 $\alpha$  to perform the disulfide exchange.

ERO1 $\alpha$  activity is regulated by the redox state of PDI in the ER. An abundance of reduced PDIA1, or a PDIA1 molecule that has transferred its disulfide bond to an unfolded protein, can activate ERO1 $\alpha$  by reducing the regulatory disulfide bonds (Appenzeller-Herzog, Riemer, Christensen, Sørensen, & Ellgaard, 2008). Reduced PDIA1 has a much higher affinity for ERO1 $\alpha$  than oxidized PDIA1, and oxidation generates one molecule of H<sub>2</sub>O<sub>2</sub> (Masui, et al., 2011). The generated H<sub>2</sub>O<sub>2</sub> is typically scavenged by ER peroxidases PRDX4, GPX7, and GPX8, before it diffuses out of the cellular compartment (Ramming & Appenzeller-Herzog, 2013; Wang, Zhang, Niu, Sitia, & Wang, 2014). GPX7 and PRDX4 oxidize PDIA1 and catalyze disulfide bond formation independent of ERO1 (Zito, Melo, et al., 2010). Overexpression of ERO1 shifts PDIA1 towards an oxidized state, which promotes oxidation of PDIA1 substrates (Bhandary, Marahatta, Kim, & Chae, 2012). Inhibition of ERO1 may prevent or slow reoxidation of PDIA1 and impair protein folding in tumor cells.

Post-translational modifications on folding chaperones can have downstream signaling and protein folding consequences. For example, nitrosative stress generated by sustained levels of nitric oxide, often during chronic inflammation, can lead to *S*-glutathionylation of proteins (Hofseth, Hussain, Wogan, & Harris, 2003; Townsend, et al., 2009). Furthermore, *S*-nitrosylation of PDIA1 can lead to sulfinic acid ( $-\text{SO}_2\text{H}$ ) modification. *S*-glutathionylation is a post-translational modification on cysteine residues and an indicator of redox stress. Furthermore, nitrosative stress has been shown to be induced by electrophilic chemotherapy drugs, primarily affecting ER-located proteins (Townsend, 2007). Because ER protein folding relies on robust redox signaling, post-translational modifications arising from ER stress may have unexpected consequences. However, the ER is built to handle flux in redox signaling as part of its mechanisms for UPR activation. For example, *S*-glutathionylation of PDIA1 induced by nitrosative stress from *O*<sup>2</sup>-[2,4-dinitro-5-(*N*-methyl-*N*-4-carboxyphenylamino)phenyl]1-(*N,N*-dimethylamino)diazene-1-ium-1,2-diolate (PABA/NO) activates the PERK and IRE1 branches of the UPR (Townsend, et al., 2009). PERK activation promotes protein degradation pathways, and IRE1 activation halts protein translation. Similarly, studies have shown that *S*-glutathionylation of PDIA1 by glutathione

S-transferase P (GSTP) is cytoprotective when ER stress is induced (Ye, et al., 2017). S-glutathionylation, along with cysteine nitrosylation and sulfenilation, of PDIA1 was observed upon exposure to chemicals in cigarette smoke and also induced the UPR (Kenche, et al., 2016). Thus, S-glutathionylation of PDIA1 halts protein folding until cell homeostasis is restored.

While PDIA1 is the canonical ERO1 partner protein, other PDI family members have been shown to interact with ERO1. For example, ERp44 is a PDI family member that may have the ability to regulate ERO1 $\alpha$ -PDIA1 complex formation and enable ERO1 retention in the ER (Anelli, et al., 2002; Masui, et al., 2011). ERp44 is induced during ER stress and associates with ERO1 $\alpha$  at the same rate as PDIA1, however, its dissociation rate is faster (Masui, et al., 2011). ERp44 also interacts with inositol trisphosphate receptor and inhibits its function (Li, et al., 2009). Inositol trisphosphate receptor maintains balanced calcium flux between the ER and mitochondria and activity can be regulated by oncogenes to promote tumor growth. Hyperoxidation via increases in ROS levels disrupt the interaction between ERp44 and inositol trisphosphate receptor to lead to calcium release and apoptosis (Li, et al., 2009). ERO1 can also form mixed disulfides with PDI family members ERp57 and ERp72 (Appenzeller-Herzog, et al., 2010). ERp57 associates with ERO1 $\alpha$  *in vivo*, suggesting ERO1 $\alpha$  has the ability to oxidize ERp57 (Jessop, et al., 2007). ERp72, a PDI family member with a CGHC active site similar to ERp44 and PDIA1, also binds to ERO1 $\alpha$ , likely in an active site-independent manner (Araki, et al., 2013). Therefore, while PDIA1 is the canonical ERO1 partner protein, other PDI family members may be involved in the link between ERO1 function and disease.

Because of the critical role of PDIA1 in protein folding, it has been linked to various diseases including cancer (Xu, Sankar, & Neamati, 2014), thrombosis (Bekendam & Flaumenhaft, 2016), Huntington's disease (Zhou, et al., 2018), Parkinson's disease (Cheng, Wang, & Wang, 2010), and diabetes (Zhang, Lai, Teodoro, & Volchuk, 2008). In several cancers, PDIA1 is overexpressed to meet the increased proliferation demands of the tumor. PDIA1 regulates integrin-mediated platelet function, thus, inhibition of PDIA1 has shown promise as a potential thrombosis inhibitor (Bekendam & Flaumenhaft, 2016). In neurodegenerative diseases, PDIA1 is overexpressed and causes apoptosis, and modulation of PDIA1 with small molecule inhibitors is neuroprotective in Huntington's disease models (Conn, et al., 2004; Duennwald & Lindquist, 2008; Lee, et al., 2010; Zhou, et al., 2018). In diabetes, PDIA1 knockdown promotes proinsulin export and overexpression of PDIA1 leads to proinsulin retention in the organelle (Rajpal, Schuiki, Liu, Volchuk, & Arvan, 2012; Zhang, et al., 2008). Thus, PDIA1 seems to prevent insulin export and may be a promising target for inhibition in diabetes (Sun, et al., 2015; Zhang, et al., 2008). Because of the ubiquitous nature of PDIA1 function, the enzyme is an attractive drug target and multiple inhibitors and modulators have been identified for various indications; however, PDIA1 inhibitors are still in preclinical and early stage clinical studies. Pharmacological inhibition of PDIA1 by bisphenol A blocks ERO1 $\alpha$  binding in the **b'** domain and subsequently inhibits PDIA1-ERO1 $\alpha$ -mediated disulfide bond formation (Okumura, et al., 2014). Furthermore, blocking the PDIA1-ERO1 $\alpha$  interaction suppresses cervical cancer growth, thus providing validation for a **b'** domain PDIA1 inhibitor in the context of cancer (Zhang, et al., 2019).



As ER-resident enzymes, both ERO1 and PDI are critically involved in the UPR. Under normal conditions, the UPR responds to the protein synthesis load and mediates the protein folding machinery by upregulating chaperones, halting protein synthesis, or initiating cell death (Hetz, 2012). ER stress, or an imbalance between the newly synthesized proteins and machinery expressed and ready to fold and secrete them, triggers the UPR via three major arms: protein kinase RNA-like ER kinase (PERK), inositol-requiring protein 1 $\alpha$  (IRE1 $\alpha$ ), and activating transcription factor 6 (ATF6). The UPR affects downstream signaling in a complicated manner dependent on physiological conditions (Hetz, Chevet, & Harding, 2013). For example, in cancer, the UPR can be activated by the hypoxic environment and can contribute to tumor growth (Hetz, et al., 2013; Moenner, Pluquet, Bouchecareilh, & Chevet, 2007; Rouschop, et al., 2010).

Both ERO1 $\alpha$  and ERO1 $\beta$  respond to ER stress; however, ERO1 $\alpha$  is induced by hypoxic conditions, while ERO1 $\beta$  is induced by the UPR (Pagani, et al., 2000). ERO1 $\alpha$  expression is regulated by the ER stress transcription factor CHOP (C/EBP homologous protein) (Marciniak, et al., 2004). CHOP is activated downstream of phosphorylation of eukaryotic initiation factor 2 $\alpha$  under the PERK arm of the UPR and activates the translation of several ER stress response proteins (Fig. 4). Increased protein synthesis leads to an increase in ROS and ERO1 $\alpha$  knockdown actually rescues cell death when *CHOP* and *ATF4* are activated (Han, et al., 2013). However, under prolonged ER stress, increased disulfide bond formation can produce excess levels of H<sub>2</sub>O<sub>2</sub> that can leak out of the ER and cause apoptosis (Tu & Weissman, 2004). It has been suggested that ERO1-mediated generation of H<sub>2</sub>O<sub>2</sub> during ER stress leads to apoptosis; however, the origin of ROS may actually be the mitochondria (Bulleid & Ellgaard, 2011). Furthermore, overexpressing ERO1 in yeast did not increase ROS levels (Sevier, et al., 2007). ER stress leads to calcium release from the ER into the cytoplasm, increased mitochondrial calcium uptake, increased mitochondrial metabolism, and higher levels of ROS (Chaudhari, et al., 2014).

A major role of the ER involves calcium storage, and research in recent years furthered our understanding of the role in calcium signaling. In addition to being highly oxidizing compared to other cellular compartments, the ER differs in that calcium concentrations are higher such that free calcium concentration is 100–800  $\mu$ M (Carreras-Sureda, Pihán, & Hetz, 2018). ROS (O<sub>2</sub><sup>-</sup>, H<sub>2</sub>O<sub>2</sub>, and HO<sup>\*</sup>) also communicate bidirectionally with calcium in a complex relationship. Calcium signaling is necessary for ROS production, and ROS can regulate calcium signaling (Gordeeva, Zvyagilskaya, & Labas, 2003; Görlach, Bertram, Hudecova, & Krizanova, 2015). In mitochondria, calcium promotes metabolism via both the tricarboxylic acid cycle (McCormack & Denton, 1993) and oxidative phosphorylation (Murphy, Kelleher, & Fiskum, 1990). In turn, ROS regulate calcium signaling by modulating plasma membrane and intracellular calcium channels and Ca<sup>2+</sup> ATPases (Görlach, et al., 2015).

Calcium signaling and ROS interact in many cellular compartments, including the mitochondria, ER, nucleus, and Golgi apparatus, but the extent of signaling is tissue specific. In the ER, sarco/endoplasmic-reticulum Ca<sup>2+</sup> ATPase proteins use ATP to import calcium into the ER lumen. Increased ROS can increase sarco/endoplasmic-reticulum Ca<sup>2+</sup> ATPase activity, in turn increasing calcium levels in the ER. ER calcium is released passively

through ryanodine receptors and inositol 1,4,5-triphosphate-receptors (IP3R). ERO1 $\alpha$  regulates calcium release by regulating IP3R (Anelli, et al., 2012). ERO1 $\alpha$  expression increases passive calcium flux, which can lead to apoptosis (Li, et al., 2009). Many ER chaperones use calcium as a cofactor to aid protein folding, thus calcium depletion can affect protein folding. Calcium-binding chaperones include PDIA1, calnexin, calreticulin, GRP78, and GRP94 (Coe & Michalak, 2009). PDIA1 binds calcium with high capacity (19 mol Ca<sup>2+</sup>/mol protein) and may regulate ER calcium homeostasis (Primm & Gilbert, 2000). Crosstalk between the ER and mitochondria via MAMs is regulated by the flow of calcium. Within the ER and second to the rough ER, MAMs have the highest concentration of ER chaperones and oxidoreductases that may aid in regulating calcium flux (Gilady, et al., 2010; Hayashi, Rizzuto, Hajnoczky, & Su, 2009). ERO1 $\alpha$  localizes at MAMs under oxidizing conditions (Anelli, et al., 2012; Gilady, et al., 2010). MAM signaling is important in biological processes including lipid biosynthesis, cell death, and macroautophagy (Phillips & Voeltz, 2016).

#### 4. Clinical implications & disease relevance

ERO1 knockout in mammals is not as lethal as it is in yeast, suggesting that mammalian systems have evolved redundant pathways to compensate for ERO1 loss. Evolution and redundancy of several oxidative folding enzymes explains the viability of ERO1 KO mice. Abnormalities associated with dysfunctional ERO1 include cardiac conduction irregularities in ERO1 $\alpha$  mutant mice (Chin, et al., 2011) and problems with insulin folding and secretion (Zito, Chin, et al., 2010). In adult worms, attenuated expression of *ero-1* promotes viability and prolongs lifespan (Curran & Ruvkun, 2007). However, ERO1-related ROS generation may promote cancer growth through EMT (Zhang, et al., 2019).

Although the knockout phenotype is mild, ERO1 dysfunction may stimulate ER stress and lead to diseases related to oxidative stress and ER malfunction. For example, ERO1 may impact the folding and secretion of insulin in pancreatic beta cells and malfunction could lead to diabetes (Nardai, et al., 2005; Wang, et al., 1999). ERO1 $\alpha$  overexpression decreases mutant proinsulin-G(B32)V misfolding in a model of mutant Ins-gene-induced diabetes of youth. Similarly, ERO1 $\alpha$  expression can reduce ER stress caused by proinsulin misfolding (Wright, et al., 2013). Furthermore, ERO1 $\alpha$  is responsible for adiponectin secretion in adipocytes (Qiang, Wang, & Farmer, 2007). Adiponectin is a hormone that is protective against type 2 diabetes and cardiovascular disease (Matsuzawa, 2005). ERp44 forms a covalent bond with adiponectin to promote its retention in the secretory pathway, and ERO1 $\alpha$  can release adiponectin, likely because it is a competitive binder of ERp44 (Cortini & Sitia, 2010; Wang, et al., 2007). Thus, in this context, knockout or inhibition of ERO1 $\alpha$  could lead to potential side effects caused by improper folding and release of functional adiponectin. ERO1 $\beta$  deficiency in mice causes problems with insulin folding and production to contribute to a diabetic phenotype (Zito, Chin, et al., 2010). Furthermore ERO1 $\beta$  deficiency is linked to ER stress-induced cell death, and hampers insulin secretion by delaying proinsulin folding (Khoo, et al., 2011). ERO1 $\beta$  expression is lower in the islets of mouse models of diabetes, and expression decreases with age (Awazawa, et al., 2014). However, in the diabetic mouse model, increasing ERO1 $\beta$  expression led to ER stress and impaired insulin secretion; thus, the dysfunction caused by lower levels of ERO1 expression

may be part of a complex pathway that ERO1 $\beta$  cannot rescue (Awazawa, et al., 2014). ERO1 $\beta$  deficiency may be linked with the pathogenesis of type 2 diabetes (Marselli, et al., 2010). ERO1 $\alpha$  is primarily in the oxidized state in diabetic mice, possibly indicating ERO1 inactivation (Awazawa, et al., 2014; Nardai, et al., 2005). In other mouse models of diabetes, in addition to promoting cell survival and decreasing oxidative damage, CHOP deletion decreased ERO1 $\alpha$  expression, reflecting the role of ERO1 $\alpha$  as a target of CHOP (Song, Scheuner, Ron, Pennathur, & Kaufman, 2008). Thus, ERO1 $\alpha$  activation may be a strategy to improve the oxidative folding capacity of the ER in diabetes.

There are some links between ERO1 $\alpha$  and the immune system. For example, ERO1 $\alpha$  overexpression promotes tumor growth and inhibits T cell response (Tanaka, et al., 2015). In breast cancer, ERO1 $\alpha$  upregulates programmed cell death ligand 1, the immune response ligand that blocks antitumor response by programmed cell death 1 (Tanaka, et al., 2017). Additionally, ERO1 $\alpha$  expression affects the function and oxidative folding of major histocompatibility complex class I H chain (Kukita, et al., 2015). Furthermore, in macrophages, ER stress-induced apoptosis may occur via ERO1 $\alpha$ -induced inositol 1,4,5-triphosphate (IP3) receptor activation (Li, et al., 2009). Thus, ERO1 has a complex role in immuno-oncology, but may be a therapeutic target for inhibition in some cases.

## 5. Relevance of ERO1 in cancer

ERO1 $\alpha$  RNA expression is upregulated significantly in several cancers and may indicate sensitivity to ERO1 $\alpha$  inhibitors. Furthermore, ERO1 $\alpha$  overexpression is associated with poor prognosis of cervical (Zhang, et al., 2019), gastric (Seol, et al., 2016), breast (Kutomi, et al., 2013), lung (Endoh, et al., 2004), hepatocellular (Yang, et al., 2018), and multiple myeloma (Hayes, et al., 2019) cancers, and knockdown of ERO1 $\alpha$  in mice inhibited tumor growth (Kutomi, et al., 2013). To further uncover the role of ERO1 $\alpha$  across multiple cancers, we surveyed *ERO1A* mRNA expression using The Cancer Genome Atlas (TCGA) patient data (Lee, Palm, Grimes, & Ji, 2015). Patient RNA-Seq data was downloaded from the GDAC Firehose (<https://gdac.broadinstitute.org/>), log<sub>2</sub> transformed, and z-score normalized per patient. Across all 33 diseases (9726 unique patient samples), median *ERO1A* expression is higher than average gene expression (> z-score = 0), indicating that *ERO1A* is being consistently and actively expressed in cancer patient cohorts (Fig. 5). We observed that esophageal carcinoma (ESCA), head and neck squamous cell carcinoma (HNSC), and lung squamous cell carcinoma (LUSC) patients tended to express *ERO1A* the most; thus, inhibitors may be effective in these diseases. Median patient *ERO1A* expression was higher than median patient *ERO1B* expression in 28 of 33 (85%) diseases reinforcing its greater relevance to cancer (Fig. 5).

To further identify ERO1 $\alpha$ -related signaling programs and pathways, we analyzed *ERO1A* association with cancer patient reduced survival and disease progression. We identified four diseases in which *ERO1A* expression was significantly associated with reduced survival and disease progression (tumor stage, grade, or glioma type). As previously described by Shergalis *et al.*, TCGA disease cohorts were stratified into patient groups with high and low *ERO1A* expression; differences in survival of patient groups were evaluated using a log-rank statistic and confirmed using the GDAC Firebrowse mRNA coxph survival analysis (Center,

2016; Shergalis, Bankhead, Luesakul, Muangsin, & Neamati, 2018). High *ERO1A* expression was associated with reduced survivability in glioma (GBMLGG), kidney renal papillary cell carcinoma (KIRP), lung adenocarcinoma (LUAD), and pancreatic ductal adenocarcinoma (PAAD) patients (Fig. 6). *ERO1A* expression in these disease cohorts was also associated with tumor progression endpoints (e.g. stage, grade). For example, LUAD patients with higher pathological stage (III and IV) had significantly higher *ERO1A* expression (Wilcoxon  $p = 0.0067$ ) than patients with lower pathological stage (I and II). Glioblastoma patients with more aggressive disease than lower grade glioma patients expressed significantly higher *ERO1A* (Wilcoxon  $p = 5e-15$ ). These observations are supported by previous studies (Endoh, et al., 2004; Kutomi, et al., 2013; Zhang, et al., 2019). We also note that no TCGA diseases had significant associations with *ERO1B* expression or reduced survival and disease progression using the criteria described above.

We performed a co-expression analysis to identify transcriptional programs involving ERO1 $\alpha$  in diseases for which *ERO1A* expression was associated with patient survival and tumor progression. Using Gene Set Enrichment Analysis (GSEA), we identified fourteen common gene sets across LUAD, GBMLGG, KIRP, and PAAD (Fig. 7) that were significantly enriched for genes correlating with *ERO1A* expression. Included in the common gene set sets was the Hallmark hypoxia gene set. HIF1 $\alpha$  regulates ERO1 $\alpha$  expression, and hypoxic tumors may be more sensitive to ERO1 $\alpha$  inhibitors (May, et al., 2004). Additionally, inhibition of ERO1 activity may prevent tumor growth in hypoxic tumors as ERO1 $\alpha$  was identified as an adaptive response element in VEGF-mediated angiogenesis (May, et al., 2004). Identifying the link between hypoxia and ERO1 $\alpha$  expression provided validation that this analysis could robustly identify key connections with canonical ERO1 $\alpha$ -related pathways. This analysis also implicated ERO1 $\alpha$  expression with cell cycle regulation, E2F signaling, epithelial-to-mesenchymal transition, and interleukin 6 JAK (Janus kinase)-STAT3 (signal transduction and transcription 3) signaling and provides additional hypotheses regarding the link between ERO1 $\alpha$ , patient survival, and disease progression. The link between EMT and ERO1 has been previously established in cervical cancer, and the ERO1-PDIA1 interaction was identified to contribute to epithelial-to-mesenchymal transition in cervical cancer (Zhang, et al., 2019). On the other hand, ERO1 overexpression in osteosarcoma enhanced the cytotoxicity of microtubule-targeting agent CYT997 (lexibulin) and inhibition decreased cell death (Wang, et al., 2019). The link between ERO1 $\alpha$  and interleukin 6 JAK-STAT3 signaling was demonstrated in hepatocellular carcinoma (HCC). Knockdown of ERO1 $\alpha$  blocked STAT3 phosphorylation in cancer cells (Yang, et al., 2018). STAT3 is a critical signaling transducer responsible for cancer cell growth and migration. The link between ERO1 $\alpha$ , cell cycle, and E2F1 may indicate a connection between p53 function and ER stress, or more directly, ERO1 activity. Tumor suppressor p53 function is inhibited by ER stress (Mahdi, Rizvi, & Parveen, 2016). However, ERO1 $\alpha$  function is not directly under the regulation of p53 transcription (May, et al., 2004). Additionally, our co-expression analysis strengthens the connection between ERO1 $\alpha$  and known pathways, such as EMT and STAT3 signaling, and provides rationale for further studying the relationship between ERO1 $\alpha$  and E2F signaling.

To identify genes that were most highly correlated with *ERO1A* expression, we compared *ERO1A* to all other genes using Pearson correlation and ranked genes based on their

correlation with *ERO1A*. Using the top 300 *ERO1A* co-expressed genes in LUAD, GBMLGG, PAAD, and KIRP TCGA diseases, we identified 10 common genes across the four diseases (Fig. 8A). *P4HA1* (Prolyl 4-hydroxylase subunit alpha-1) was the top gene co-expressed with *ERO1A* in the four diseases studied (Fig. 8B). P4HA1 is part of a protein complex with PDIA1 that catalyzes the hydroxylation of proline to promote collagen maturation, important for cancer progression and metastasis. The P4H complex (collagen prolyl 4-hydroxylase) is responsible for stabilizing HIF1 $\alpha$ , which enhances angiogenesis. Because tumor cells grow rapidly, they have increased metabolic demands and consume higher rates of oxygen. Higher oxygen consumption leads to hypoxic tumor conditions and activation of the HIF1 $\alpha$  pathway to promote cell survival. Furthermore, inhibitors of P4H sensitize breast cancer cells to docetaxel and doxorubicin (Xiong, et al., 2018). Similarly, knockdown of P4HA1 prevented collagen synthesis and neovascularization in glioma (Zhou, et al., 2017), and its expression could serve as a prognostic biomarker for high grade glioma (Hu, et al., 2017). Additionally, HIF1 $\alpha$  can activate P4HA1 and promote extracellular matrix remodeling (Gilkes, Bajpai, Chaturvedi, Wirtz, & Semenza, 2013). P4HA1 is activated by transcriptional repressors EZH2 and CtBP1 through miR-124 downregulation (Chakravarthi, et al., 2014). In ovarian cancer, an upstream repressor of P4HA1, miR-122, is typically downregulated, and overexpression can prevent epithelial to mesenchymal transition (Duan, et al., 2018). The link between ERO1 $\alpha$  and P4HA1, rather than *P4HB* (PDIA1), emphasizes the important role of ERO1 $\alpha$  in hypoxia signaling in cancer.

Using the top 100 *ERO1A*-correlated genes in GBMLGG, a ClueGO network was used to visualize gene ontology (GO) biological process gene set enrichment and highlight ERO1 $\alpha$ -related GO categories (Bindea, et al., 2009). We identified *ERO1A* co-expressed genes involved in cell-matrix adhesion, VEGFR signaling pathway, and COPII vesicle coating (Fig. 9). COPII vesicle coating functions in concert with ER protein trafficking. Co-expression of ERO1 $\alpha$  with proteins involved in COPII vesicle coating points to its role in lipid trafficking and biosynthesis, although this relationship has yet to be explored in detail. Co-expressed genes were also involved in cell-matrix adhesion and VEGFR signaling. In HCC, ERO1 $\alpha$  was demonstrated to trigger angiogenesis via the S1PR1/STAT3/VEGF-A signaling pathway (Yang, et al., 2018). Thus, our findings are consistent with previous research on ERO1 $\alpha$  function.

## 6. ERO1 $\alpha$ knockdown associations with Connectivity Map analysis

We evaluated ERO1 $\alpha$  knockdown data from Connectivity Map (CMap), a reference database containing gene expression profiles from cancer cells treated by drugs or gene modification (knockdown or overexpression) (Lamb, et al., 2006). CMap is widely used to find connections between drugs, genes, and diseases in order to understand the drug mechanisms and identify drug repurposing opportunities (Musa, et al., 2017; Qu & Rajpal, 2012). We first analyzed the CMap ERO1 $\alpha$  knockdown data to find novel biological pathway connections. We focused on lung cancer cell lines because ERO1 $\alpha$  expression is associated with reduced LUAD patient survival.

The Touchstone database in CMap contains ERO1 $\alpha$  knockdown data in two non-small cell lung cancer cell lines, A549 and HCC515. We examined the correlated signatures across



perturbagen classes, compounds, gene knockdown and gene overexpression to generate a hypothesis about ERO1 $\alpha$ -related genes, pathways and pharmacological activities. All perturbagen types were ranked by their connectivity score in each cell line, and perturbagens with a score over + 90 or below – 90 were considered a strong connection. Among the top 50 correlated compounds, ERO1 $\alpha$  knockdown showed a strong positive connectivity score with 8 diverse classes of compounds in both cell lines (Table S1, Fig. 10A). These classes of compounds may have novel connections to ERO1 $\alpha$ . Among them are p38 MAPK inhibitor, JAK inhibitor, and EGFR inhibitor, which relate to kinase signaling and cell growth, suggesting these inhibitors induce a similar gene expression profile as ERO1 $\alpha$  knockdown (Fig. 10B). This also suggests that ERO1 $\alpha$  knockdown may affect kinase signaling, such as JAK, p38, and other protein kinases. Similar gene expression profiles from CMap can be used to generate hypotheses about their similar pathways and synergistic anti-cancer combinations (Hassane, et al., 2010; Qu & Rajpal, 2012). Thus, this CMap analysis demonstrated a link between ERO1 $\alpha$  and kinase pathways. ERO1 $\alpha$  inhibition or knockdown may be lethal to cancer cells when combined with EGFR inhibitors, JAK inhibitors, or other kinase inhibitors. We did not observe common negative compound perturbagen hits between the two cell lines (Table S2).

We also identified genes that, when knocked down, have a similar signature as ERO1 $\alpha$  knockdown in two lung cancer cell lines. In particular, there were three highly significant genes (except *ERO1L*) among the top 50 knockdown perturbagens: *IGF1R*, *PRKCQ*, and *HEXIM1* (Table S3, Fig. 10C, D). IGF1R (insulin-like growth factor 1 receptor) is a receptor that binds insulin-like growth factor, has tyrosine kinase activity, and is involved in the PI3K/AKT and Ras/MAPK pathways. IGF1R is overexpressed in tumors and mediates tumor proliferation, invasion and metastasis (Riedemann & Macaulay, 2006). As a membrane-bound protein, IGF1R requires processing through the endoplasmic reticulum in order to function properly. PRKCQ (protein kinase c theta isoform) is a member of the Protein Kinase C (PKC) family, another critical membrane-bound protein family of signaling kinases. The role of PKC in cancer is complex, but overexpression of PRKCQ can promote tumor growth in triple-negative breast cancer (Byerly, Halstead-Nussloch, Ito, Katsyv, & Irie, 2016). PKCs are connected downstream of IGF1R/PI3K signaling via activation by phosphoinositide-dependent kinase 1, further confirming the relevance of ERO1 $\alpha$  to IGF1R signaling pathways. HEXIM1 (hexamethylene bisacetamide inducible 1) is a member of the 7SK small nuclear ribonucleoprotein complex that inhibits positive transcription elongation factor b and control mRNA synthesis (Michels, et al., 2004). HEXIM1 has also been demonstrated as a robust pharmacodynamic marker for bromodomain and extraterminal domain inhibitors (Lin, et al., 2017). Furthermore, HEXIM1 is downregulated during cancer progression, and expression sensitizes prostate cancer cells to anti-androgens. HEXIM1 induces expression of the histone demethylase KDM5B (lysine-specific demethylase 5B) to inhibit histone methylation (Yeh, et al., 2014). It stabilizes p53 tumor suppressor by associating with the C-terminal negative domain (Lew, et al., 2012). In addition to the three significantly connected perturbagens in CMap, *P4HB* overexpression is positively correlated with ERO1 $\alpha$  knockdown in HCC515 cells (ranked 127 out of the 215 hits with a connectivity score > +90), suggesting there may be a compensatory expression mechanism (Table S4, Fig. 10D).

A STRING (Search Tool for the Retrieval of Interacting Genes) protein interaction network was constructed to highlight proteins associated with ERO1 $\alpha$  (*ERO1A*) (Fig. 11) (Szklarczyk, et al., 2015). ERO1 $\alpha$  protein-protein interactions primarily included protein folding and cell redox homeostasis pathways. Many of the interactions are with PDI family members (PDIA1, PDIA3, PDIA4, PDIA6, ERP29, and TXNDC5). Insulin and GRP78 are also closely associated with ERO1 $\alpha$ .

Three compounds have been reported to inhibit ERO1 (Fig. 12). Erodoxin was selective for yeast ERO1 compared to mouse ERO1 $\alpha$  (IC<sub>50</sub> > 400  $\mu$ M) (Costanzo, et al., 2010). Another series of ERO1p inhibitors was identified through virtual screening techniques with biochemical IC<sub>50</sub> values as low as 3  $\mu$ M (Chu, Chen, Yang, & Tang, 2011). Probe molecules EN460 and QM295 were identified from a biochemical high throughput screen to inhibit ERO1 $\alpha$ -mediated reduction of thioredoxin A with IC<sub>50</sub> values around 1.9  $\mu$ M (Blais, et al., 2010). EN460 interacts reversibly with the reduced, active form of ERO1 $\alpha$ , displacing the FAD moiety. EN460 inhibition of ERO1 $\alpha$  prevents H<sub>2</sub>O<sub>2</sub> production when ERO1 $\alpha$  function is stimulated. EN460 also prevents replication of the chikungunya virus (Langsjoen, et al., 2017). However, EN460 is not selective for ERO1 and also inhibits other FAD-containing enzymes including monoamine oxidase A, monoamine oxidase B, and LSD-1 (Hayes, et al., 2019). Recently, the interaction between ERO1 $\alpha$  and PDIA1 was demonstrated to depend on Val101 of ERO1 $\alpha$ ; thus, inhibition of the ERO1 $\alpha$ -PDIA1 interaction around Val101 may be a potential anti-cancer strategy (Zhang, et al., 2019). One hypothesis may be that ERO1 $\alpha$  inhibition would block PDIA1 re-oxidation, and thus be another strategy for selective PDIA1 inhibition. Because this interaction involves the conserved a' domain of PDIA1, it is possible that inhibiting the ERO1 $\alpha$ -PDIA1 interaction may disrupt associations between ERO1 $\alpha$  and other PDI family members, such as ERp46 and P5 (PDIA6). ERp46 is critical for prostate cancer progression (Duivenvoorden, Hopmans, Austin, & Pinthus, 2017), and P5 activates the Wnt/ $\beta$ -catenin pathway in HeLa cells (Gao, et al., 2016). Thus, inhibiting this interaction may have a broader application to cancers other than cervical cancer. However, the development of a pan inhibitor may lead to unexpected side effects because of the broad, complex roles these proteins play in different tissues. Thus, careful consideration of off-target effects should be monitored when developing ERO1 $\alpha$  inhibitors. Furthermore, *in vivo* toxicity to tissues such as pancreas and liver should be observed closely to identify undesired consequences of off-target ERO1 $\alpha$  complex inhibition.

Inhibition of PDIA1 has been linked to many diseases and a number of inhibitors have been discovered. In more recent years, PDIA1 has been studied in the context of cardiovascular diseases, particularly in thrombus formation mechanisms (Cho, Furie, Coughlin, & Furie, 2008; Jasuja, et al., 2012), but is also linked to cancer (Xu, et al., 2014), neurodegenerative diseases (Uehara, et al., 2006), diabetes (Rajpal, et al., 2012), and viral entry (Stolf, et al., 2011). Polymorphisms in *P4HB* have been linked to Cole Carpenter syndrome (Porntaveetus, Theerapanon, Srichomthong, & Shotelersuk, 2018). PDI overexpression has been linked to several cancers, including glioblastoma, lymphoma, kidney, ovarian, prostate and lung cancers (Xu, et al., 2014). In highly secretory cancers such as multiple myeloma, PDI inhibitors have been particularly effective (Robinson, et al., 2018; Vatolin, et al., 2016). A broad class of electrophilic compounds have been identified that target the PDIA1 active site cysteines (Cole, et al., 2018; Kyani, et al., 2018; Xu, et al., 2012). PDIA1 inhibitors that

do not bind in the active site are in high demand, likely because these types of inhibitors are more selective for PDIA1 inhibition. Furthermore, a well-designed **b'** domain inhibitor has the potential to block the ERO1 $\alpha$ -PDIA1 interaction (Zhang, et al., 2019). PDIA1 has multiple binding sites, including the substrate-binding pocket in the **b'** domain and hormone reservoirs for estradiol and TH hormone (Primm & Gilbert, 2000). Bepristat 1a binds in the **b'** substrate binding pocket and exhibits antithrombotic properties (Bekendam, et al., 2016). Furthermore, **BAP2**, a chalcone-containing PDIA1 and PDIA2 inhibitor, was reported to bind in a pocket in the **b'xa'** domain (Yang, et al., 2019). Additionally, isoquercetin, in Phase II clinical trials to decrease thrombosis in at risk advanced cancer patients (Bekendam, et al., 2016; Zwicker, et al.), and analogues bind in the **b'x** domain (Lin, et al., 2015). CRISPR/Cas9-mediated PDIA1 knockout prevents ROS production induced by lipopolysaccharide (LPS) and inactivates the NF- $\kappa$ B pathway (Xiao, et al., 2018). PDIA1 has been linked to a major source of ROS, Nox (Laurindo, et al., 2008), and PDIA1 overexpression leads to an increase in ROS levels via increased Nox activity (Gimenez, et al., 2019; Santos, Stolf, et al., 2009). Furthermore, ROS production by PDIA1 in leukocytes was found to be redox-dependent; oxidized PDIA1 stimulated ROS production, whereas reduced PDIA1 did not (de A. Paes, et al., 2011). Studies have not yet demonstrated whether **b'** domain inhibitors of PDI prevent ERO1 $\alpha$  interaction.

## 7. Conclusions

Roughly 25 % of intracellular ROS originate from the ER. Thus, the ER is a critical, yet underrepresented ROS-producing organelle. Most of the generated ROS remain within the organelle, without leaking out, and are reduced by high concentrations of ER antioxidants (Tu & Weissman, 2004). Furthermore, during the unfolded protein response, the ER is resistant to redox imbalances, supporting that ROS do not leak from the ER (Avezov, et al., 2013). However, increased ROS levels are observed in cells overexpressing ERO1, and, in some disease states, ROS leakage may occur (Yang, et al., 2018). Thus, ROS remain critical signaling molecules in the ER. Increasing evidence (including results presented in this paper) demonstrates that ERO1 $\alpha$  expression correlates with survival and is upregulated in several cancers, despite the existence of compensatory oxidative folding pathways in the cell. ERO1 function is essential in yeast systems; however, mammalian cells can survive ERO1 knockout, indicating redundant pathways for disulfide formation. This provides an opportunity to develop tumor-selective inhibitors, as normal cells may have the mechanisms to cope with ERO1 inhibition, while tumor cells overexpressing ERO1 may suffer. As interest in developing ERO1 inhibitors grows, more selective inhibitors are needed as probe molecules. The discovery that the PDI-ERO1 $\alpha$  interaction is critical for cervical cancer progression validates the development of inhibitors with anticancer potential.

Overall, overexpression of ERO1 $\alpha$  suggests cancer cells depend on its expression and dual inhibition of ERO1 and PDI may be a lethal combination in several diseases. However, the field has lacked selective ERO1 inhibitors to explore inhibition in *in vivo* disease models. Selective ERO1 inhibitors may be able to discriminate between cancerous cells and healthy cells because of the reliance of cancer cells on ERO1 expression. Furthermore, our bioinformatics analysis demonstrated that ERO1 inhibitors may be effective in lung cancer, uncovering a potential avenue of therapeutic value to be explored. Thus, this space

represents novel opportunity with enormous potential for the development of first-in-class inhibitors of ERO1 $\alpha$ .

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations:

<b>ATF6</b>	activating transcription factor 6
<b>Cmap</b>	Connectivity Map
<b>CHOP</b>	C/EBP homologous protein
<b>EMT</b>	epithelial-to-mesenchymal transition
<b>ER</b>	endoplasmic reticulum
<b>ERAD</b>	ER-associated degradation
<b>ERO1</b>	endoplasmic reticulum oxidase 1
<b>ERp</b>	endoplasmic reticulum protein
<b>ESCA</b>	esophageal carcinoma
<b>FAD</b>	flavin adenine dinucleotide
<b>FBXO2</b>	F-box only protein 2
<b>GBMLGG</b>	glioma
<b>GO</b>	gene ontology
<b>GPX</b>	glutathione peroxidase 8
<b>GSEA</b>	Gene Set Enrichment Analysis
<b>GSH</b>	reduced glutathione
<b>GSSG</b>	oxidized glutathione
<b>HCC</b>	hepatocellular carcinoma
<b>HEXIM1</b>	hexamethylene bisacetamide inducible 1
<b>HIF1<math>\alpha</math></b>	hypoxia-inducible factor 1 $\alpha$
<b>HNSC</b>	head and neck squamous cell carcinoma

<b>GRP78</b>	78 kDa glucose-regulated protein
<b>IGF1R</b>	insulin-like growth factor 1 receptor
<b>IL6</b>	interleukin 6
<b>IP3R</b>	inositol 1,4,5-triphosphate-receptors
<b>IRE1<math>\alpha</math></b>	inositol-requiring protein 1 $\alpha$
<b>JAK</b>	Janus kinase
<b>KIRP</b>	kidney renal papillary cell carcinoma
<b>KW</b>	Kruskal-Wallis
<b>LUAD</b>	lung adenocarcinoma
<b>LUSC</b>	lung squamous cell carcinoma
<b>MAM</b>	mitochondrial-associated membrane
<b>P4HA1</b>	prolyl 4-hydroxylase subunit alpha-1
<b>P4HB</b>	protein disulfide isomerase A1
<b>PAAD</b>	pancreatic ductal adenocarcinoma
<b>PDI</b>	protein disulfide isomerase
<b>PERK</b>	RNA-like ER kinase
<b>PRDX4</b>	peroxiredoxin 4
<b>PRKCC</b>	Protein Kinase C theta isoform
<b>ROS</b>	reactive oxygen species
<b>STAT3</b>	signal transducer and activator of transcription 3
<b>STRING</b>	Search Tool for the Retrieval of Interacting Genes
<b>TCGA</b>	The Cancer Genome Atlas
<b>TXNDC5</b>	thioredoxin domain-containing protein 5
<b>UPR</b>	unfolded protein response
<b>VEGF</b>	vascular endothelial growth factor

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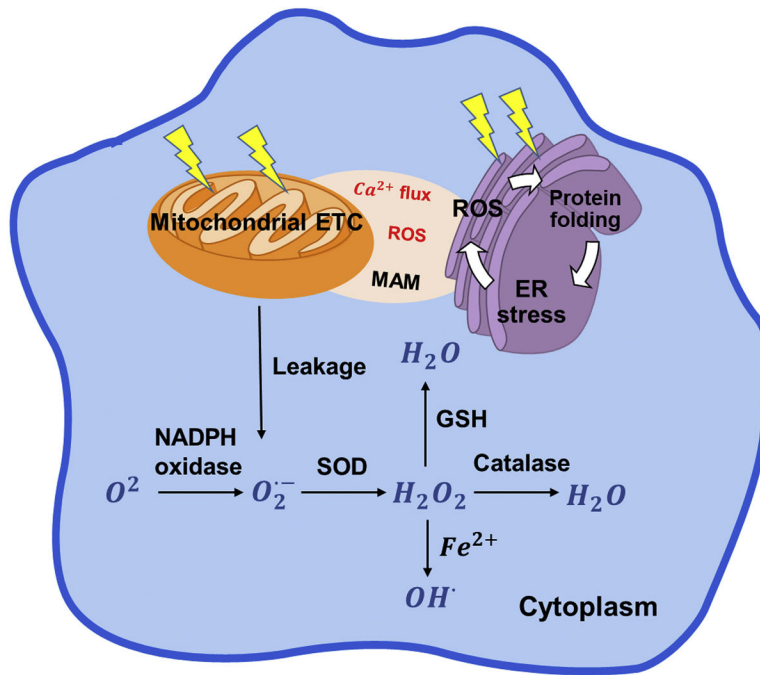
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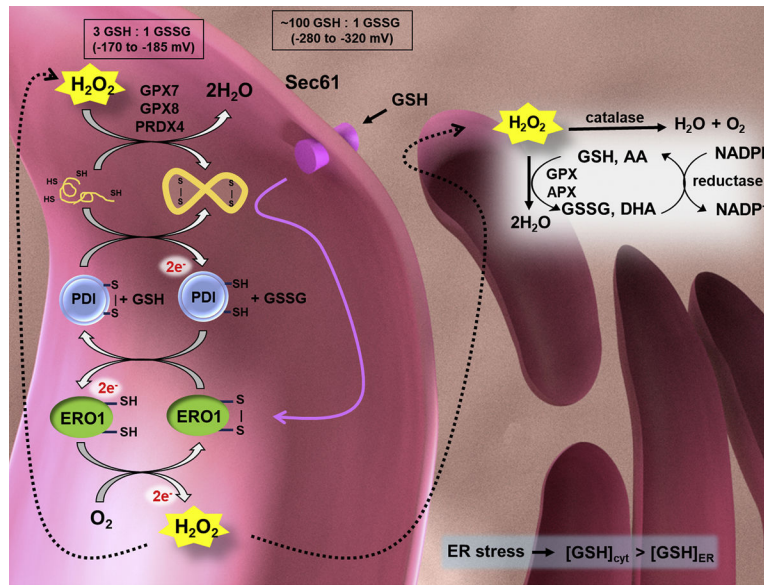
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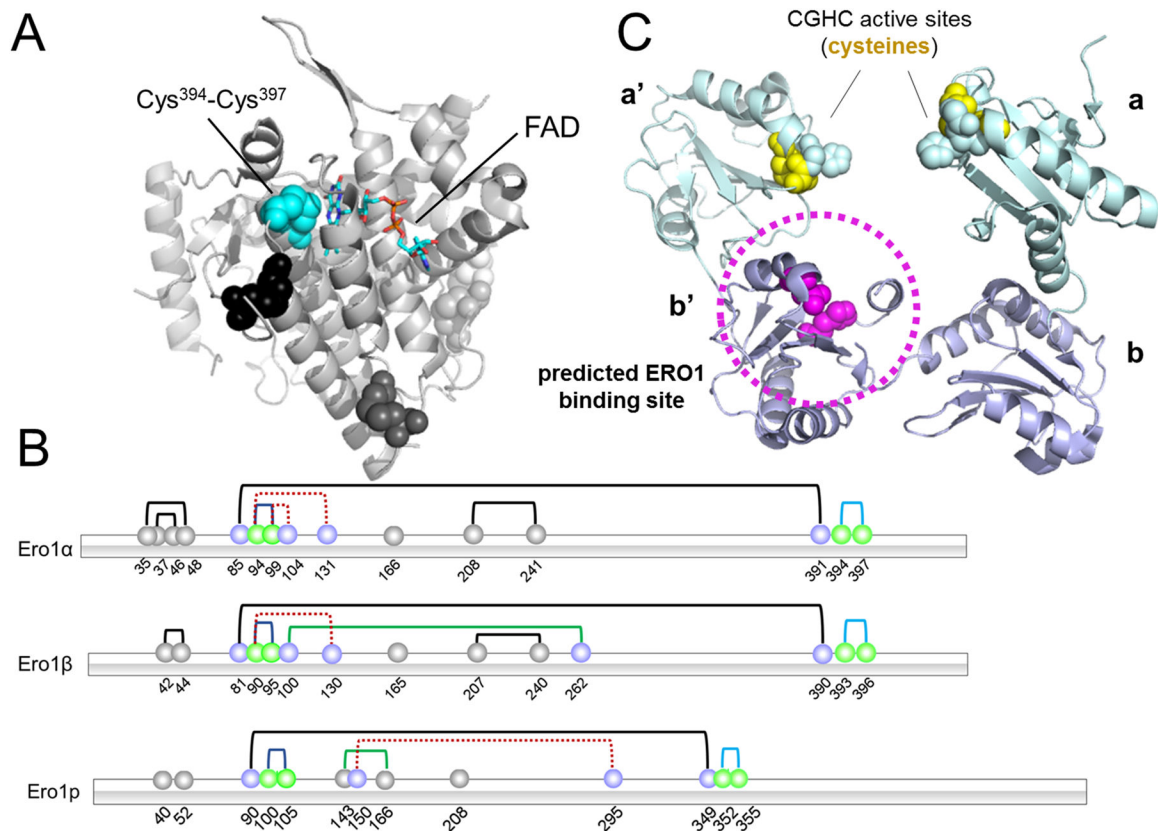


**Fig. 1.** General ROS production in the cell cytoplasm, mitochondria and ER. The various types of ROS are generated based on cell requirements and extracellular stimuli. Mitochondria and ER are the two major organelles that control ROS signaling.

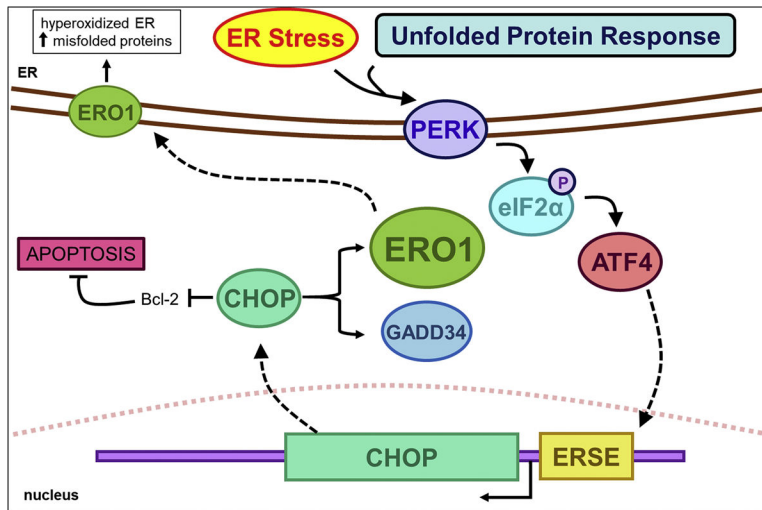




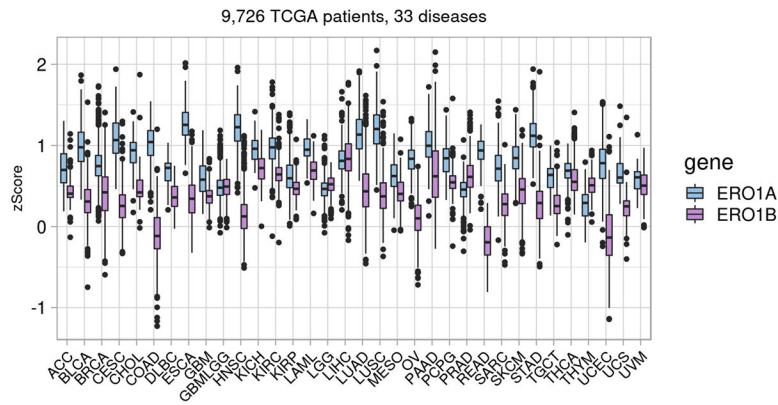
**Fig. 2.** The ER (pink) is an oxidizing environment, maintained by redox sensor glutathione (GSH/ GSSG), with a reduction potential much larger than that of the cytoplasm (ER  $\epsilon$ : -170 to -185 mV; cytoplasm  $\epsilon$ : -280 to -320 mV). The oxidizing environment promotes nascent protein folding and disulfide bond formation. ERO1 is a key mediator of disulfide bond formation in the ER. Disturbed protein folding may cause ER stress and increased ER ROS production, further affecting the mitochondrial and cellular metabolism. Reduced polypeptides are oxidized by PDI, which transfers its electrons to ERO1. ERO1 is reoxidized by oxygen and produces H<sub>2</sub>O<sub>2</sub>. H<sub>2</sub>O<sub>2</sub> is reduced through various mechanisms in the ER including catalase, glutathione peroxidases (GPX7 and GPX8), peroxiredoxin 4 (PRX4), and ascorbate peroxidase (APX). Background image created in Blender 2.79.



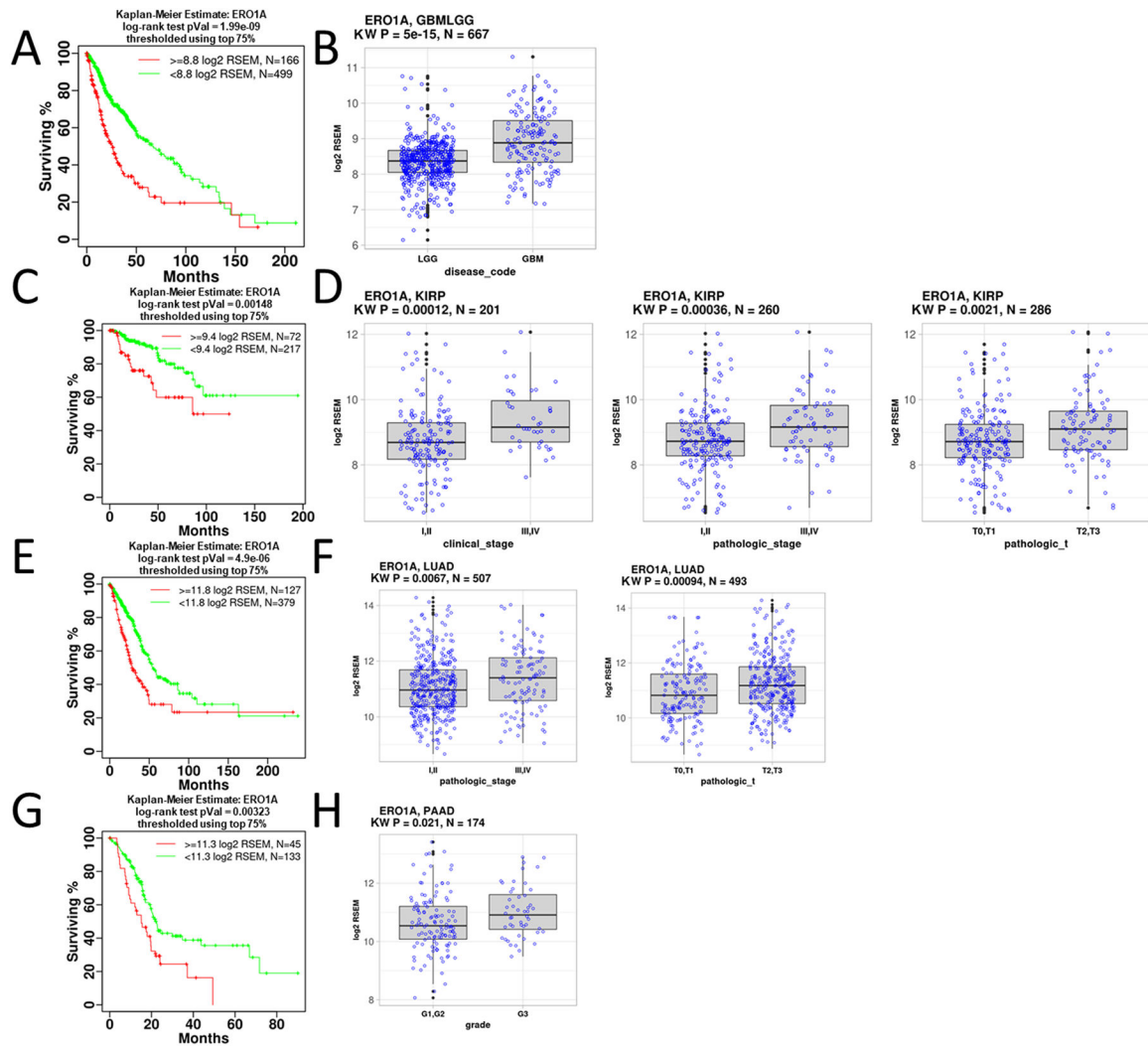
**Fig. 3.** Structures of ERO1 and PDI. A) Hyperactive ERO1 $\alpha$  (3AHQ) (Inaba, et al., 2010), with FAD moiety represented as a stick model. Blue spheres represent active site cysteines. Black, dark gray and light gray spheres indicate structural disulfides. B) A schematic of the disulfide bonds of ERO1 $\alpha$ , ERO1 $\beta$ , and ERO1p (blue line - active site disulfides, pale orange line - flexible loop shuttle disulfides, black line - structural disulfides, dashed red line - regulatory cysteines (inactive ERO1), green line - auxiliary regulatory disulfides). ERO1p is a *Saccharomyces cerevisiae* homolog. C) Reduced PDI (4EKZ) is predicted to bind ERO1 $\alpha$  via the substrate-binding pocket in the b' domain (circled in magenta). Active site cysteines are depicted in yellow.



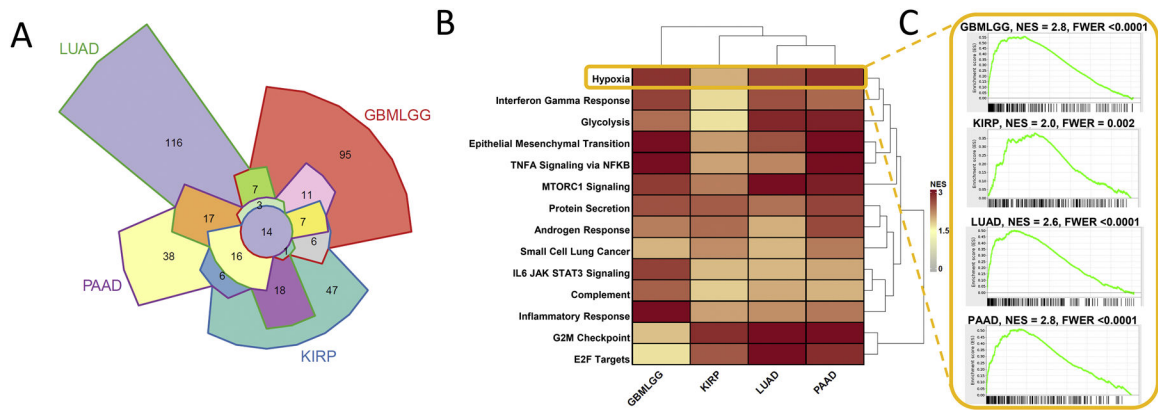
**Fig. 4.** ER stress upregulates ERO1 via CHOP expression. ER stress and the unfolded protein response activate membrane-bound PERK. PERK phosphorylates eukaryotic initiation factor 2α (eIF2α), which leads to induction of CHOP. CHOP expression promotes ER stress by inducing ERO1 and GADD34. ERO1α expression increases ROS levels in the ER, leading to hyperoxidation and an increase in misfolded proteins. Additionally, CHOP downregulates Bcl-2 to promote apoptosis.



**Fig. 5.** Pan-disease mRNA expression of *ERO1A* and *ERO1B* across 33 TCGA diseases. Z-scores were calculated per patient per disease. The majority of patients across all diseases show higher than average expression (z-score = 0) of *ERO1A*. Adrenocortical carcinoma (ACC), bladder urothelial carcinoma (BLCA), breast invasive carcinoma cohort (BRCA), cervical squamous cell carcinoma and endocervical adenocarcinoma (CESC), cholangiocarcinoma (CHOL), colon adenocarcinoma (COAD), lymphoid neoplasm diffuse large B-cell lymphoma (DLBC), esophageal carcinoma (ESCA), glioblastoma multiforme (GBM), head and neck squamous cell carcinoma (HNSC), kidney chromophobe (KICH), kidney renal clear cell carcinoma (KIRC), kidney renal papillary cell carcinoma (KIRP), acute myeloid leukemia (LAML), brain low-grade glioma (LGG), liver hepatocellular carcinoma (LIHC), lung adenocarcinoma (LUAD), lung squamous cell carcinoma (LUSC), mesothelioma (MESO), ovarian serous cystadenocarcinoma (OV), pancreatic adenocarcinoma (PAAD), pheochromocytoma and paraganglioma (PCPG), prostate adenocarcinoma (PRAD), rectum adenocarcinoma (READ), sarcoma (SARC), skin cutaneous melanoma (SKCM), stomach adenocarcinoma (STAD), testicular germ cell tumors (TGCT), thyroid carcinoma (THCA), thymoma (THYM), uterine corpus endometrial carcinoma (UCEC), uterine carcinosarcoma (UCS), uveal melanoma (UVM).

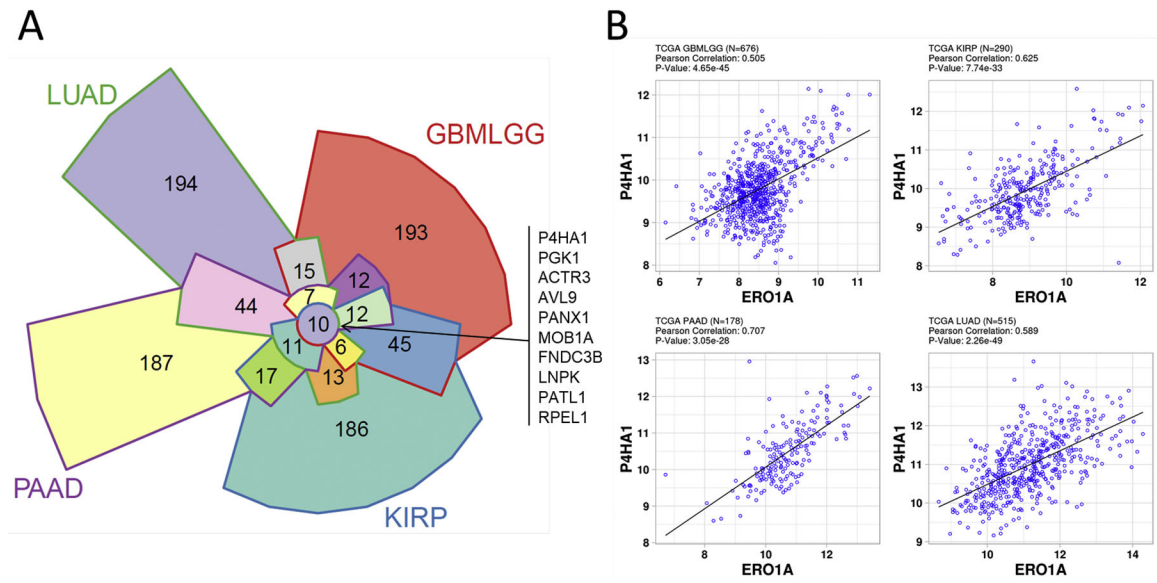


**Fig. 6.** Analysis of ERO1 $\alpha$  in cancer. A) Significant reduction in survival is observed for patients with high expression of ERO1 $\alpha$  in glioma. B) *ERO1A* mRNA expression is significantly higher in more aggressive GBM gliomas than LGG. C) Significant reduction in survival is observed for patients with high *ERO1A* expression in KIRP. D) *ERO1A* mRNA expression is significantly higher in higher stages of KIRP. E) Significant reduction in survival is observed for patients with high *ERO1A* expression in LUAD. F) *ERO1A* mRNA expression is significantly higher in higher stages of LUAD. G) Significant reduction in survival is observed for patients with high *ERO1A* expression of in PAAD. H) *ERO1A* mRNA expression is significantly higher in increasing grades of PAAD. Kruskal-Wallis (KW) and survival analysis statistics were calculated using the R statistical programming language (Gravendeel, et al., 2009; Madhavan, et al., 2009).

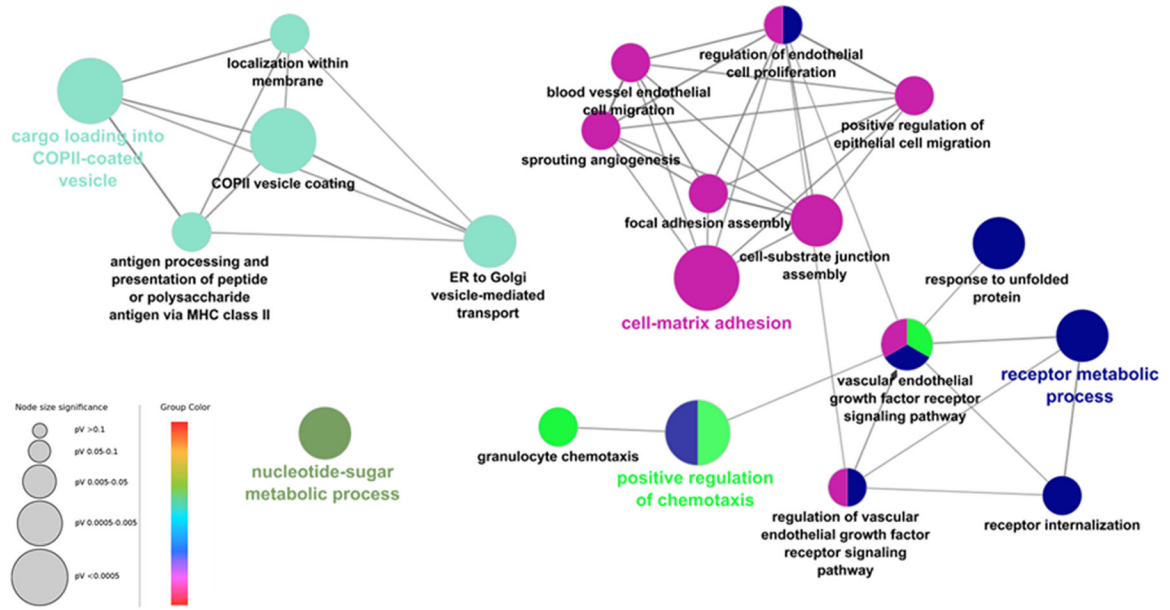


**Fig. 7.** Common gene sets enriched for genes that are co-expressed with *ERO1A*. A) Gene set enrichment analysis (GSEA) was used to identify enriched pathways with genes that are co-expressed with *ERO1A*. A Chow-Ruskey diagram shows overlap of significant gene sets correlated with *ERO1A* expression within LUAD, GBMLGG, PAAD, and KIRP TCGA diseases. Fourteen gene sets commonly enriched among the four diseases are shown in the heatmap. B) GSEA was used to identify enriched pathways with genes that are correlated with *ERO1α*. Heatmap coloring indicates normalized enrichment score (NES). C) GSEA running sum statistic visualizations are shown for the Hallmark gene set, Hypoxia, that was significantly enriched in GBMLGG, KIRP, LUAD, and PAAD TCGA diseases. All common gene sets were Hallmark except for the KEGG “Small Cell Lung Cancer” gene set. GSEAv2.2.3 was used with v6 gene sets sourced from MSigDB. 10,000 gene set permutations were performed using weighted mode scoring and Pearson metric (Subramanian, et al., 2005). Only genes with evidence of expression in > 50 % of a disease patient population were considered.

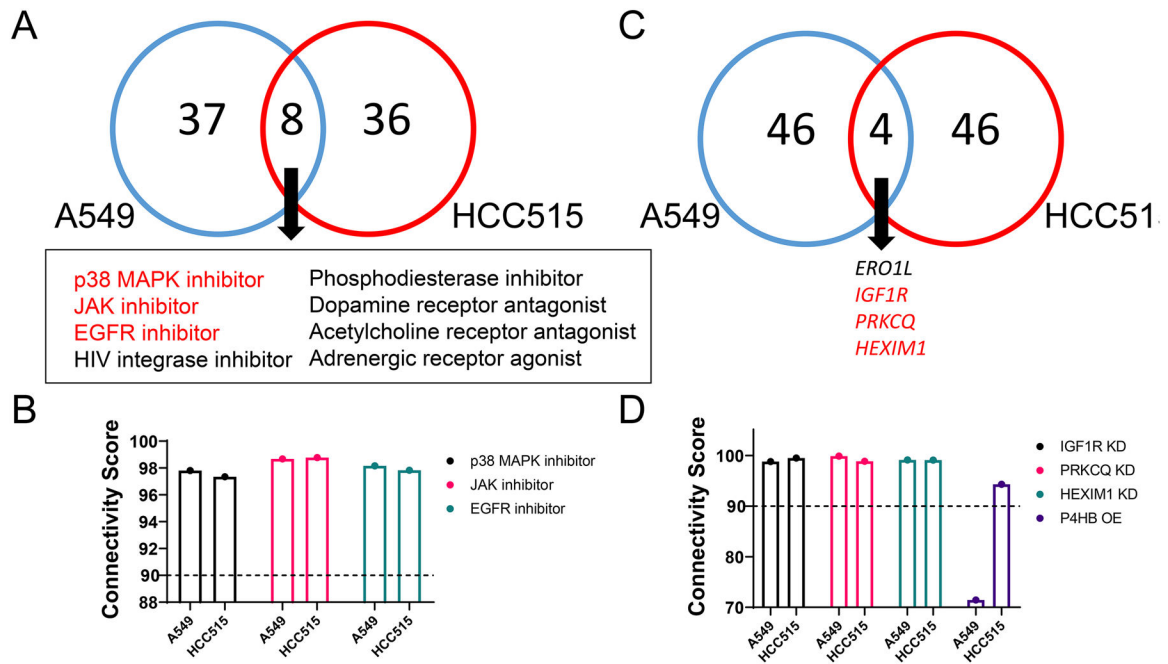




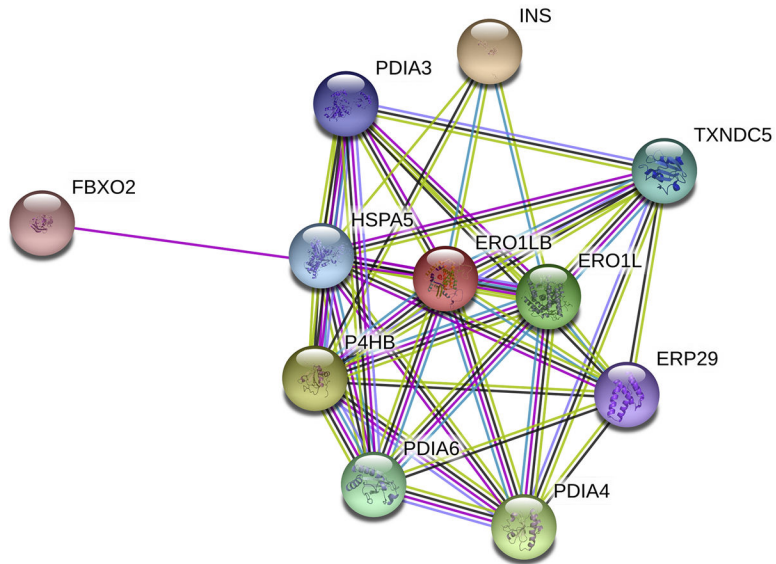
**Fig. 8.**  
 A) Chow-Ruskey diagram shows overlap of the top 100 genes correlated with *ERO1A* expression within GBMLGG, KIRP, PAAD, and LUAD TCGA diseases. B) *P4HA1* was one of the top co-expressed genes in common across GBMLGG, KIRP, PAAD, and LUAD. Gene log2RSEM expression values are shown in scatter plots.



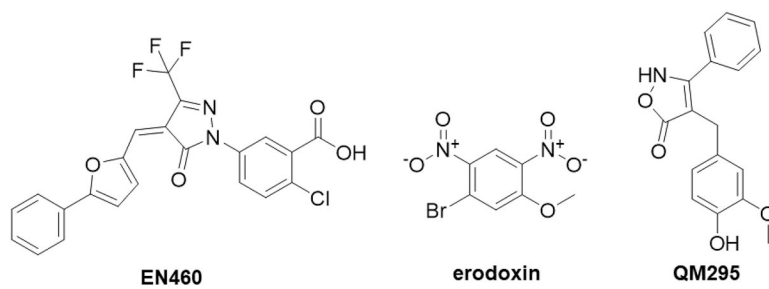
**Fig. 9.** GO pathways associated with top 100 genes correlated with ERO1 expression in TCGA glioma generated by ClueGO. Terms with Bonferroni corrected p values < 0.05 are shown.



**Fig. 10.** Highly connected CMap perturbagens reveal potential genes and signaling pathways with which *ERO1 $\alpha$*  is involved in A549 and HCC515 cell lines. A) Eight classes of compounds are shared between A549 and HCC515 cell lines among the top 50 positively connected compound perturbagens. B) Select compound perturbagens with high connectivity score ( +90) in two lung cancer cell lines. C) Four gene KD perturbagens are shared between two cell lines among the top 50 positively connected hits. D) Select gene KD profiles with high connectivity score ( +90) in two lung cancer cell lines. *P4HB* overexpression is included since it is positively correlated with *ERO1A* knockdown in the HCC515 cell line.



**Fig. 11.** STRING analysis of protein interactions with ERO1L. ERO1LB, ERO1-like protein beta; ERP29, endoplasmic reticulum resident protein 29; FBXO2, F-box only protein 2; HSPA5, 78 kDa glucose-regulated protein; INS, insulin; P4HB, protein disulfide isomerase A1; PDIA3, protein disulfide isomerase A3; PDIA4, protein disulfide isomerase A4; PDIA6, protein disulfide isomerase A6; TXNDC5, thioredoxin domain-containing protein 5.



**Fig. 12.**  
Reported ERO1 inhibitors