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Association of *KRAS* mutation with tumor deposit status and overall survival of colorectal cancer

Meifang Zhang^{1,2,3}, Wenwei Hu², Kun Hu⁴, Yong Lin^{2,5}, Zhaohui Feng², Jing-Ping Yun^{1,6}, Nan Gao⁷, Lanjing Zhang^{2,3,7,8}

¹Department of Pathology, Sun Yat-sen University Cancer Center, Guangzhou, China;

²Rutgers Cancer Institute of New Jersey, New Brunswick, NJ;

³Department of Pathology, Princeton Medical Center, Plainsboro, NJ;

⁴Department of Pathology, University at Buffalo, Buffalo, NY;

⁵Department of Biostatistics, School of Public Health, Rutgers University, Piscataway, NJ;

⁶Sun Yat-sen University Cancer Center, State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Guangzhou, China;

⁷Department of Biological Sciences, Rutgers University, Newark, NJ;

⁸Department of Chemical Biology, Ernest Mario School of Pharmacy, Rutgers University, Piscataway, NJ.

Summary

Purpose: To examine associations of *KRAS* mutation with tumor-deposit status and overall survival in colorectal cancer (CRC) patients.

Methods: This retrospective cohort study included patients with incidental CRC diagnosed during 2010–2014 and recorded statuses of *KRAS* and tumor deposit in the National Cancer Database of the U.S. Multivariable logistic regression and time-varying Cox regression analyses were used.

Results: We included 45,761 CRC patients with *KRAS* status (24,027[52.5%] men, 24,240 [53.0%] <65 years old, 17,338 [37.9%] with *KRAS* mutation). Adjusted for microsatellite instability, age, pathologic stage and tumor grade, *KRAS* mutation (versus wild-type) was

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Correspondence: Lanjing Zhang, MD, Department of Pathology, Princeton Medical Center, 1 Plainsboro Rd, Plainsboro, NJ 08536, USA. lanjing.zhang@rutgers.edu or lzhang@hotmail.com.

Authors' contributions: MZ, NG, JY and LZ designed the study, LZ extracted the data, MZ, WH, and LZ analyzed the data, MZ and LZ wrote the first draft of the manuscript. The final draft has been edited and approved by all authors.

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We cannot share the patient-level data which are confidential and were obtained with review and approval from the National Cancer Database and the American College of Surgeons.

associated with tumor-deposit presence (odds ratio=1.11, 95% CI 1.02–1.20). *KRAS* mutation was also linked to worse overall survival of CRC patients regardless of tumor-deposit status (adjusted Hazard ratio [HR]=1.20, 95% CI 1.07–1.33 for CRC with tumor deposits, and adjusted HR=1.24, 95% CI 1.14–1.35 for CRC without) or tumor-stage (adjusted HR=1.32, 95% CI 1.14–1.54 for early-stage and adjusted HR=1.18, 95% CI 1.10–1.27 for late-stage). Microsatellite instability was associated with better overall survival in CRC without tumor-deposit (adjusted HR=0.89, 95% CI 0.79–0.99), but not in CRC with tumor-deposit (adjusted HR=1.12, 95% CI 0.97–1.30).

Conclusion: *KRAS* mutation is independently associated with tumor-deposit presence, and a worse overall survival in CRC patients.

Keywords

Colorectal cancer; biomarker; survival rate; *KRAS*; microsatellite instability

Introduction

Colorectal cancer (CRC) is the third most common cancer, as well as a leading cause of cancer death, in the United States [1]. Kirsten rat sarcoma viral oncogene homolog (*KRAS*) is a protooncogene that plays an important role in the development and treatment of CRC. Its mutation occurs in approximately 30 to 45% of CRC, and mostly in codon 12 or 13 [2–7]. The patients with *KRAS* mutation are unlikely to benefit from anti-EGFR (epidermal growth factor receptor) therapy, which should thus be applied to only the CRC with wild type *KRAS* as recommended by the National Comprehensive Cancer Network (NCCN) guidelines [8]. However, the association between *KRAS* mutation and patients' survival remained controversial. A clinical trial showed that *KRAS* mutation was linked to worse survivals in CRC patients [9], while other reports failed to show any prognostic value of *KRAS* [10, 11]. Nonetheless, the NCCN guidelines for Treatment of Colon/Rectal Cancer suggested that all patients with metastatic CRC should be treated with the detection of *KRAS* mutations [8].

The tumor node metastasis (TNM) staging system of the American Joint Committee on Cancer (AJCC) is widely used for staging CRC patients and guiding adjuvant therapies. The 7th and 8th editions of the AJCC TNM staging systems (AJCC 7 in 2010; AJCC8 in 2018) classified extranodal tumor deposits (TDs) that lack regional lymph node metastasis as N1c in TNM staging system [12, 13]. The presence of TDs was associated with shorter decreased disease-free survival (DFS) and overall survival (OS) [14, 15]. TDs-positive CRC patients appeared to have worse DFS than those with higher N stages when treated as stage III disease [16]. However, our recent work also showed the upstaging node-negative CRC with TD (N0 to N1c) led to more chemotherapy and 43% more all-cause mortality.[17] Therefore, the factors associated with survivals of TD-positive and TD-negative CRC are largely unknown. The associations of *KRAS* status with TD status and OS of CRC are also unclear.

In this retrospective cohort study, we aimed to investigate association of *KRAS* mutation with TD status and that with OS of CRC using a large cancer-database.

Methods

The National Cancer Database (NCDB), established in 1989, is a nationwide, facility-based, clinical-surveillance based cancer dataset in the U.S. It is the largest clinical cancer registry in the world and a joint program sponsored by the Commission on Cancer of the American College of Surgeons and American Cancer Society [18]. The NCDB extracts data through all available components of the medical record by certified tumor registrars at all cancer centers accredited by the American College of Surgeon's Commission on Cancer. A rigorous program has been implemented to ensure data quality. Scholars have used NCDB to investigate colorectal, breast and lung cancers [19–23]. An Institutional Review Board (IRB) review was exempt for this study due to the use of publicly available, deidentified, existing database (Exempt category 4).

In this retrospective cohort study on the 2017-release of NCDB (followed through Dec. 2014), the end point was the OS which was modeled using multivariable Cox regression. We also examined the factors linked to TD status.

No cancer specific survivals could be assessed due to the lack of data on the death cause in the NCDB [18]. The inclusion criteria were all incident CRC cases diagnosed during 2010–2014, with data of *KRAS* status, which became part of the NCDB (as Site-specific factor 9) for CRC in 2010. The data for surgical resection of primary tumor were extracted from NCDB as described before [16, 17]. We included the following factors in the univariate regression analyses: age, sex, tumor location (colon versus rectum), microsatellite instability (MSI) status, *KRAS* status, pathologic tumor stage (the 7th AJCC staging manual, according to the data item TNM_EDITION_NUMBER), tumor grade (high versus low), race, Charlson-Deyo score, chemotherapy status, and radiotherapy status. Charlson-Deyo score is the sum of the comorbid score derived from the entries in the Charlson Comorbidity Score Mapping Table according to the patient's primary and secondary disease codings [18]. A factor will be included in multivariable regression analyses if its *P* in the univariate analysis was less than 0.10. Specifically, MSI status was classified as stable/low (codes 20 and 40) and unstable/high (codes 50 and 60) because of its potential predictive value for chemotherapy outcome [24]. TD status was classified as positive, negative and not available based on the Site-specific factor 4 [16, 17]. The race was classified as non-Hispanic (NH) White, NH Black, Hispanic and Others according to the data items of race and Hispanic ethnicity. Chemotherapy statuses were classified as received if chemotherapy data-item was coded as chemotherapy (not otherwise specified) administered, single-agent chemotherapy or multiagent chemotherapy administered as first course therapy (codes 1, 2 and 3); otherwise as classified not received (codes 0, 86 and 87). Radiotherapy statuses were classified as received if a radiotherapy was indicated (codes 1–5), and classified as not received if no radiotherapy indicated (code 0).

We conducted statistical analyses using Stata (version 15, StataCorp LLC, College Station, TX). The Pearson's Chi-square test and logistic regression models were used to assess potential associations. Multivariable Cox regression models with time-varying covariates were used for survival analyses, including the factors that had a *P* value less than 0.10 in univariate Cox regression models. Only the factors with significant time-variance were

included as time-varying covariate. The likelihood ratio test was used to examine the differences between the models with and without potential factor interactions. All *P* values were two-sides, with *P*<0.05 as statistically significant.

Results

Among the 59,592 CRC cases with known *KRAS* status in the NCDB, 11,392 (19.1%) had no surgical resections of the CRC, and were excluded because the pathologic assessment of their tumor deposit status was not possible. Several factors were associated with *KRAS* status (Table 1), including age, sex, tumor location, MSI status, TD status, pathologic tumor stage, tumor grade, race and chemotherapy status.

Multivariable logistic regression analyses were conducted to identify the factors independently linked to tumor deposit status and CRC OS. We found that MSI (Unstable/High vs Stable/Low), *KRAS* (Mutated vs Wild type), Age (65+ vs <65 year), Pathologic stage (III-IV vs I-II) and Tumor grade (High vs Low) were associated with presence of tumor deposit (versus absence), but not Charlson-Deyo Score, tumor location or sex (n=15,229, Table 2). In subgroup analyses, *KRAS* mutations were linked to TD status in early-stage CRC (stage I-II, n=5,769, *P*=0.005), but not in late-stage CRC (stage III-IV, n=9,460, *P*=0.10).

Univariate Cox regression analysis and log-rank test both showed that *KRAS* mutation (versus wild-type) was linked to a worse OS of the CRCs, but no association between MSI status and OS (Figure). The multivariate Cox regression analyses also showed that the prognostic values of MSI status differed by tumor deposit status (Table 3). Given the association of MSI and *KRAS* statuses, we included the interaction of the two in a Cox regression model, and found no statistical significance (*P*=0.627 and 0.434 in the TD negative and positive groups, respectively). The likelihood ratio test also showed no significant differences between the models with and without such an interaction (*P*=0.626 and 0.432 in the TD negative and positive groups, respectively). In the CRC with or without tumor-deposits, *KRAS* mutation (versus Wild type) was independently linked to a worse OS (n=8,110, *P*=0.008 for with tumor deposits, and n=2,618, *P*=0.004 for without); However, in the CRC with insufficient or no applicable data of tumor deposit status, *KRAS* mutation (versus Wild type) was linked to a better OS (n=457, *P*=0.039). Among the patients without tumor resection, *KRAS* mutation (versus Wild type) was also associated with a worse OS (Table 3, n=156, *P*<0.001). We further conducted multivariable Cox regression analyses by tumor pathologic stage, and found *KRAS* status was linked to OS in early and late stage CRCs (Table 4, *P*<0.001).

Discussion

Here we showed that *KRAS* mutation was more frequent in TDs-positive CRC in a multivariable model. Adjusted to many prognostic and therapeutic factors, *KRAS* mutation was still associated with worse OS of the CRC with or without TD, and worse OS of early- and late-stage CRC. But the association of MSI with OS differed by tumor-deposit status in CRC patients.

The TNM/AJCC 7th and 8th Editions define tumor cells present in peri-colorectal adipose tissue and lymph drainage sites without residual lymph-node tissue as TD. It may represent a completely replaced lymph node, venous invasion or discontinuous tumor spread.[12, 13] TDs are found linked to several aggressive histologic features [25–28]. The RAS family of oncogenes was one of the first to be identified as mutated in human cancer [29]. Ras functions downstream of EGFR and the *KRAS* gene product (*Kras* protein) passes EGFR mitogenic signals from cell surface into various EGFR effectors in the cell nucleus. These EGFR signals play an important role in CRC development, progression and metastasis, through apoptotic, angiogenic and invasion pathways [30, 31]. Considering our finding that *KRAS* mutation was associated with TD presence and worse CRC OS, it is possible that *KRAS* mutation also involves in the TD development and CRC progression. Additional studies of molecular and cell biology are warranted to examine such a role of *KRAS* mutation.

Recently, Andreyev et al. found that, compared with wild type, *KRAS* mutations is linked to higher possibility of CRC recurrence and patient death [2]. Studies also show that patients with *KRAS* mutation in curable CRC had a shorter OS or shorter recurrence free survival in those with early CRC [9, 32], while recent studies found no significant prognostic value of *KRAS* mutation status [10, 11]. Therefore, the prognostic values of *KRAS* mutations in TDs-positive CRC appear still not well defined. In this study of a large, nationally represented U.S. population, *KRAS* mutation in CRC was independently associated with worse OS regardless of TD or tumor-resection status, or tumor pathologic stage.

MSI refers to the hypermutable state of cells caused by impaired DNA mismatch repair (MMR). The NCCN and European Society for Medical Oncology (ESMO) guidelines recommended universal testing of MSI/MMR for CRC [8, 33]. A previous study showed deficient MMR status was associated with improved DFS in the patients treated with surgery alone and no survival benefits in patients treated with fluorouracil (FU)-based regimens [24, 34]. Sinicrope et al revealed that deficient MMR in stage II CRC was also linked to better survivals among the recipients of adjuvant FOLFOX (folinic acid, fluorouracil, and oxaliplatin) therapy [35, 36]. The multivariable regression analysis in this cohort shows MSI was independently associated with better OS in CRC without tumor-deposit, but not in CRC with tumor-deposit. It suggests that MSI status may play a role in the prognostication of patients with TD-negative CRC, while no plausible explanation for the differential prognostic association of MSI in TD-negative and TD-positive CRC. TD as a pathology feature may be helpful to stratify CRC patients for MSI testing.

Several strengths of the study are noteworthy. We used the NCDB, which is a widely used and validated large cancer database [18]. Several clinical prognostic and therapeutic factors were also included in the survival analysis, including Charlson-Deyo Score, radiotherapy status, race and chemotherapy status. Inclusion of these models are expected to reduce, if not eliminate, the potential biases associated with those factors. Moreover, the large sample size of the study lent us the advantages of more statistical power and inclusion of more covariates in analyses. Further, to our knowledge this is the first to show that the *KRAS* mutations were associated with worse OS in patients without CRC resection. Finally, we included several factors as time varying covariates due to violating constant proportional hazard assumption.

Many studies did not check whether the proportional hazard is constant in their Cox regression models. They thus may report the uncorrected HR.

The current study had several limitations. First, this retrospective study might have a selection bias despite the adjustment of many covariates. Second, about 11.5% of the CRC cases in the NCDB had data on *KRAS* mutation and were included in the study. There thus may be a potential selection bias due to the lack of universal *KRAS* testing. A population-based study is needed to confirm our findings. Third, anti-EGFR therapy was associated with *KRAS* status, but not captured in any known population-based cancer databases. Additional validation studies thus are needed. Finally, the interobserver variations in the detection TD, MSI and *KRAS* may exist. Future works using a centralized laboratory are needed to address this issue.

Conclusions

In this NCDB-based cohort, *KRAS* mutation is independently associated with the tumor deposit presence in CRC, and a worse OS in CRC regardless of tumor-deposit status or tumor stage. Therefore, *KRAS* mutation may play a role in the development of tumor deposits in CRC and serve as a target for CRC treatment, besides guiding anti-EGFR treatment.

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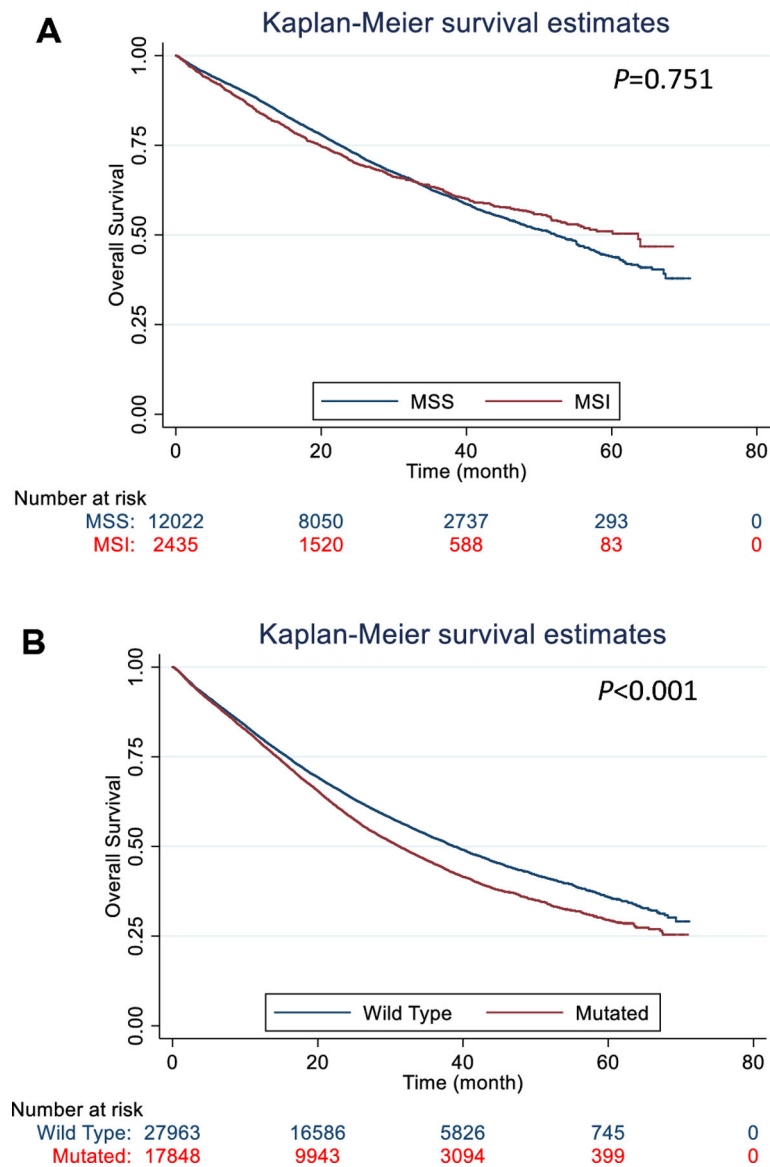
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**Figure.**

Kaplan-Meier plots of the incident colorectal cancers diagnosed during 2010–2014 in the National Cancer Database that had a known *KRAS* status. **A.** The status of microsatellite instability (MSI) was not associated with OS of the colorectal cancers ($n=14,457$, Log-rank test $P=0.751$). **B.** The *KRAS* mutation (versus wild type) was associated with a worse OS of the colorectal cancers ($n=45,811$, Log-rank test $P<0.001$).

Table 1.

Baseline characteristics of resected incident, colorectal cancers with known *KRAS* status in National Cancer Database diagnosed during 2010–2014

	<i>KRAS</i> status ^a				P value
	Wild Type, n (%)		Mutated, n (%)		
Total	28423	(62)	17338	(38)	45761
Age					0.016
<65 year	14931	(53)	9309	(54)	24240
65+ year	13492	(47)	8029	(46)	21521
Sex					<0.001
Male	15159	(53)	8868	(51)	24027
Female	13264	(47)	8470	(49)	21734
Tumor deposit					0.001
None	19126	(68)	11368	(66)	30494
Yes	7076	(25)	4536	(27)	11612
NA	1835	(7)	1200	(7)	3035
Microsatellite Instability (MSI)					<0.001
Stable/Low	9116	(81)	5533	(86)	14649
Unstable/High	2112	(19)	905	(14)	3017
Location					0.006
Colon	24778	(87)	15266	(88)	40044
Rectum	3645	(13)	2072	(12)	5717
Tumor stage					<0.001
I-II	8806	(33)	4575	(28)	13381
III-IV	17837	(67)	11722	(72)	29559
Tumor grade					<0.001
Low	19470	(72)	13016	(79)	32486
High	7696	(28)	3501	(21)	11197
Charlson-Deyo Score					0.55
0	20811	(73)	12775	(74)	33586
1	5752	(20)	3452	(20)	9204
2	1860	(7)	1111	(6)	2971
Race					<0.001
NH White	21722	(79)	12316	(73)	34038
NH Black	2816	(10)	2506	(15)	5322
Hispanic	1795	(7)	1260	(8)	3055
Others	1218	(4)	716	(4)	1934
Received chemotherapy					<0.001
No	7743	(29)	4169	(26)	11912
Yes	18747	(71)	12013	(74)	30760
Received radiotherapy					0.098
No	24490	(87)	15024	(88)	39514

	<i>KRAS</i> status ^a		P value
	Wild Type, n (%)	Mutated, n (%)	
Yes	3649 (13)	2133 (12)	5782

MSI, microsatellite instability; NH, Non-Hispanic; *P*, Chi-square test for associations; NA, not available;

^aSum of the subtotals may not be equal to the grand total due to missing data in some strata.

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Table 2.

Factors associated with tumor deposit status (present vs absent) of incident colorectal cancers in National Cancer Database diagnosed during 2010–2014

	OR	95% CI	P
All tumor			
MSI (Unstable/High vs Stable/Low)	0.84	(0.75 to 0.95)	0.004
<i>KRAS</i> (Mutated vs Wild type)	1.11	(1.02 to 1.20)	0.015
Location (Rectal vs Colonic)	1.14	(0.99 to 1.30)	0.064
Age (65+ vs <65 year)	0.85	(0.78 to 0.92)	<0.001
Pathologic stage (III-IV vs I-II)	14.44	(12.51 to 16.66)	<0.001
Tumor grade (High vs Low)	1.58	(1.45 to 1.73)	<0.001
Early stage tumor (stage I-II)			
MSI (Unstable/High vs Stable/Low)	0.87	(0.61 – 1.25)	0.454
<i>KRAS</i> (Mutated vs Wild type)	1.49	(1.13 – 1.97)	0.005
Location (Rectal vs Colonic)	1.13	(0.77 – 1.65)	0.545
Age (65+ vs <65 year)	0.71	(0.54 – 0.95)	0.020
Tumor grade (High vs Low)	1.83	(1.30 – 2.57)	0.001
Late stage tumor (stage III-IV)			
MSI (Unstable/High vs Stable/Low)	0.84	(0.74 – 0.95)	0.004
<i>KRAS</i> (Mutated vs Wild type)	1.08	(0.99 – 1.17)	0.100
Location (Rectal vs Colonic)	1.14	(0.98 – 1.31)	0.080
Age (65+ vs <65 year)	0.86	(0.79 – 0.94)	0.001
Tumor grade (High vs Low)	1.57	(1.43 – 1.72)	<0.001

P of multivariable logistic regression analysis; MSI, microsatellite instability; OR, odds ratio; CI, confidence intervals. Race, sex and Charlson-Deyo Score were not linked to tumor deposit status.

Table 3.

Factors associated with overall survival of incident colorectal cancers in National Cancer Database diagnosed during 2010–2014 by tumor deposit status

	HR	95% CI	<i>P</i> ^a
Tumor-deposits absent in resected tumor^b			
MSI (Unstable/High vs Stable/Low)	0.89	(0.79 – 0.997)	0.044
<i>KRAS</i> (Mutated vs Wild type)	1.24	(1.14 – 1.35)	<0.001
Tumor-deposits present in resected tumor^c			
MSI (Unstable/High vs Stable/Low)	1.12	(0.97 – 1.30)	0.124
<i>KRAS</i> (Mutated vs Wild type)	1.20	(1.07 – 1.33)	0.001
No tumor resection^d			
MSI (Unstable/High vs Stable/Low)	0.51	(0.24 – 1.08)	0.078
<i>KRAS</i> (Mutated vs Wild type)	2.07	(1.33 – 3.22)	0.001

MSI, microsatellite instability.

^a*P* of multivariate Cox regression analyses adjusted for age, tumor grade, pathologic stage, Charlson-Deyo score, chemotherapy status, radiotherapy status and race.

^bAge, pathologic stage and chemotherapy status included as time-varying covariates.

^cTumor grade and chemotherapy status included as time-varying covariates.

^dChemotherapy status included as time-varying covariate.

Table 4.

Factors associated with overall survival of incident colorectal cancers in National Cancer Database diagnosed during 2010–2014 by tumor pathologic stage

	HR	95% CI	<i>P</i> ^a
Stage I-II^b			
MSI (Unstable/High vs Stable/Low)	0.92	(0.77 – 1.11)	0.397
<i>KRAS</i> (Mutated vs Wild type)	1.32	(1.14 – 1.54)	<0.001
Tumor deposit (Present vs absent)	1.28	(1.09 – 1.51)	0.002
Stage III-IV^c			
MSI (Unstable/High vs Stable/Low)	0.98	(0.89 – 1.08)	0.689
<i>KRAS</i> (Mutated vs Wild type)	1.18	(1.10 – 1.27)	<0.001
Tumor deposit (Present vs absent)	1.42	(1.35 – 1.50)	<0.001

MSI, microsatellite instability.

^a*P* of multivariate Cox regression analyses adjusted for age, tumor grade, pathologic stage, Charlson-Deyo score, chemotherapy status, radiotherapy status and race.

^bChemotherapy status included as time-varying covariate.

^cTumor grade, age and chemotherapy status included as time-varying covariates.