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## Estimating Aggregate Cardiomyocyte Strain Using *In Vivo* Diffusion and Displacement Encoded MRI

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### Abstract

Changes in left ventricular (LV) aggregate cardiomyocyte orientation and deformation underlie cardiac function and dysfunction. As such, *in vivo* aggregate cardiomyocyte “myofiber” strain ( $E_{ff}$ ) has mechanistic significance, but currently there exists no established technique to measure *in vivo*  $E_{ff}$ . [1]

The objective of this work is to describe and validate a pipeline to compute *in vivo*  $E_{ff}$  from magnetic resonance imaging (MRI) data. Our pipeline integrates LV motion from multi-slice Displacement Encoded with Stimulated Echoes (DENSE) MRI with *in vivo* LV microstructure from cardiac Diffusion Tensor Imaging (cDTI) data. The proposed pipeline is validated using an analytical deforming heart-like phantom. The phantom is used to evaluate 3D cardiac strains computed from a widely available, open-source DENSE Image Analysis Tool. Phantom validation showed that a DENSE MRI signal-to-noise ratio (SNR)  $\geq 20$  is required to compute  $E_{ff}$  with near-zero median strain bias and within a strain tolerance of 0.04. Circumferential and longitudinal strains are also accurately measured under the same SNR requirements, however, radial strain exhibits a median epicardial bias of  $-0.10$  even in noise-free DENSE data.

The validated framework is applied to experimental DENSE MRI and cDTI data acquired in eight ( $N = 8$ ) healthy swine. The experimental study demonstrated that  $E_{ff}$  has decreased transmural

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variability compared to radial and circumferential strains. The spatial uniformity and mechanistic significance of *in vivo*  $E_{ff}$  make it a compelling candidate for characterization and early detection of cardiac dysfunction.

## Index Terms

DENSE MRI; Diffusion Tensor Imaging; cardiac strain; myofiber strain; cardiac microstructure

## I. Introduction

THE diagnosis of cardiovascular disease relies heavily on identifying abnormalities in the diastolic or systolic function of the left ventricle (LV). At the tissue level, microstructural remodeling, changes in electrophysiology, and excitation-contraction coupling all lead to compromised LV function and underlie many forms of heart failure (e.g., dilated cardiomyopathy [2], hypertrophic cardiomyopathy [3]). In the clinic, global metrics of LV function (e.g., ejection fraction (EF)) are mainstays in the diagnosis of heart failure, but provide no insights into the underlying tissue-linked causes of systolic cardiac dysfunction. Although aggregate cardiomyocyte (i.e. “myofiber”) strain ( $E_{ff}$ ) is the functional basis for gross contraction of the heart, there exists no established technique to measure *in vivo* performance of cardiomyocyte aggregates.

Regional systolic LV motion can be non-invasively characterized in great detail using magnetic resonance imaging (MRI) of the heart [4]. Among the many MRI sequences used to track LV wall motion, Displacement ENcoding with Stimulated Echoes (DENSE) MRI provides the most highly resolved maps of tissue movement. DENSE MRI encodes tissue displacement information into the phase of the complex MRI signal at each image voxel, from which regional LV motion and wall strains are computed [5]. These strains are computed in a geometry-dependent coordinate system, defined along the longitudinal ( $\vec{l}$ ), circumferential ( $\vec{c}$ ), and radial ( $\vec{r}$ ) directions of the LV myocardium [5]. While these geometrically defined strains are better predictors of cardiac events than EF [6], they are dependent on LV geometry, and are typically reported without consideration of underlying tissue microstructure. In some cases [7], “midwall fractional shortening” has been used as a surrogate for cardiomyocyte strain due to the roughly circumferential organization of midwall cardiomyocytes. However, this analysis cannot be extended to estimate  $E_{ff}$  at the epicardial and endocardial layers.

A more mechanistic characterization of LV function can be achieved by combining strain and microstructural MRI. Recent work by Wang *et al.* [8] investigated  $E_{ff}$  across the entire LV using a finite element framework, integrating LV motion with cardiomyocyte orientations from *ex vivo* diffusion tensor MRI. However, advances in cardiac diffusion tensor MRI (cDTI) [9]–[11] now enable imaging *in vivo* aggregate cardiomyocyte orientations in the beating heart. Here, the term “aggregate cardiomyocyte” is used since cDTI measures the ensemble orientation of cardiomyocytes within a voxel and not an individual cardiomyocyte’s orientation and because (although widely used) there are no “myofibers” in the heart. A framework was recently described that combines cDTI and a

single slice of DENSE MRI to estimate  $E_{ff}$  entirely from *in vivo* imaging data [12], thereby bypassing a complex image registration pipeline of *in vivo* motion with *ex vivo* microstructure. However, using only a single imaging slice of DENSE displacement data requires the incorporation of mathematical assumptions to compute  $E_{ff}$ , which confounds *in vivo* measurements.

While the DENSE imaging and processing pipeline has been validated [13]–[15], these studies were not performed in the context of measuring  $E_{ff}$ . It also remains unclear how realistically achievable signal-to-noise ratio (SNR) in DENSE MRI data propagates to errors in  $E_{ff}$  and other cardiac strain estimates.

Herein, we present an image acquisition and analysis framework to estimate *in vivo*  $E_{ff}$  from multi-slice DENSE MRI and cDTI data. Our objectives are to: 1) validate the cardiac motion and strain data obtained from the DENSE imaging and processing pipeline; 2) define the necessary DENSE SNR-level to achieve a strain tolerance of  $< 0.04$  [16]; and 3) apply the proposed framework to compute *in vivo*  $E_{ff}$  using experimental DENSE MRI and cDTI data.

## II. Validation Using an Analytical Deforming Phantom

Previous work experimentally validated both displacements and strains from DENSE MRI using a simple rotating gel phantom [13] and with comparison to ‘gold standard’ myocardial tagging. Herein, a more detailed *in silico* analysis of the DENSE processing pipeline is used to evaluate the accuracy of computed strains under precise conditions for which displacement and strain fields that reproduce cardiac contraction are known analytically. The DENSE processing pipeline is comprised of many steps (e.g., interpolation from Eulerian-to-Lagrangian displacement description, spatial smoothing, and  $n^{\text{th}}$ -order polynomial temporal fitting [17]). The exact impact of the pipeline on strains computed along the circumferential ( $\vec{c}$ ), radial ( $\vec{r}$ ), longitudinal ( $\vec{l}$ ), and aggregate cardiomyocyte ( $\vec{f}$ ) directions remains unclear.

The cine DENSE MRI sequence evaluated in this work measures  $x$ ,  $y$ , and  $z$  displacements through a balanced, four-point encoding scheme [13]. In this paradigm, the Eulerian Cartesian displacements are measured by encoding tissue motion along four gradient directions that are each a balanced sum of  $x$ ,  $y$ , and  $z$  displacements. Although this encoding strategy has been proposed to minimize phase variance and maximize signal-to-noise ratio (SNR) in the acquired DENSE images, the required DENSE SNR needed to achieve a target strain bias and precision remains undefined, especially for  $E_{ff}$ .

### A. Deforming Analytical Cardiac Phantom

The challenge in validating LV motion and strain data from DENSE MRI is due, in part, to the inherent difficulty of obtaining a set of ground-truth strain measurements in the beating heart. In this work, ground-truth LV strains are obtained by means of a deforming analytical cardiac phantom, which is used to computationally evaluate the most widely used, open-source DENSE Image Analysis Tool [17], [18]. The analytic cardiac-like displacements are used to simulate DENSE imaging data assuming a balanced, four-point encoding scheme across a range of image SNRs.

The mid-ventricular phantom is constructed using an axial-symmetric deforming heart-like geometry. The time-resolved motion  $\phi$  in the radial, circumferential, and longitudinal directions is described as a function of the initial reference point position  $\mathbf{X}$ , i.e.,  $\phi(\mathbf{X})$ . The endocardial and epicardial radii of the phantom at the beginning of systole were set to 25mm and 35mm, respectively, to represent a mid-ventricular section of the LV (Fig. 1a, blue). The height of the cylindrical phantom was set to 16mm, equivalent to the thickness of two short axis DENSE slices (Fig. 1b, blue). Cardiomyocyte microstructural orientations ( $\vec{f}$ ) were prescribed in ruled-based fashion using quadratic interpolation of transmural histological data. The prescribed epicardial, mid-, and endocardial cardiomyocyte angles were  $-45^\circ$ ,  $-9^\circ$ ,  $37^\circ$ , corresponding to the mean basal myofiber angles in [19] (Fig. 1c).

The coefficients of a polynomial governing the magnitude of the radial, circumferential, and longitudinal motion were computed to approximate, in the least-squares sense, a set of target peak systolic  $E_{ff}$ ,  $E_{ll}$ ,  $E_{cc}$ , and  $E_{rr}$  strains based on values reported in the literature [5], [16]. The optimization of the phantom displacement field also includes a target tissue incompressibility constraint based on data from *ex vivo* biaxial loading [20], and *in vivo* imaging studies [21]. The target tissue incompressibility was defined as  $\det(\mathbf{F}) = 1$ , where  $\mathbf{F}$  is the deformation gradient tensor, although this is an approximation since marginal (2–4%) myocardial compressibility is possible *in vivo*. The achieved phantom motion is shown in Fig. 1 and the ground truth peak systolic transmural strains are shown in Fig. 2.

## B. Simulating DENSE Magnitude and Phase Data

To simulate the resolution of a typical *in vivo* DENSE imaging protocol, the analytical phantom was subdivided into a grid of  $2.5 \times 2.5 \times 8$ mm voxels at two short-axis slice locations. To account for intravoxel dephasing and partial volume effects, tissue displacements were sampled at 12 points within each voxel. Intravoxel sampling points that fell inside the phantom annulus (i.e., the myocardium) were assigned a displacement according the analytical displacement field at that point, while points outside the annulus (i.e., air) were assigned zero displacement. For each voxel, the displacements from all intravoxel sampling points were averaged to generate a single bulk displacement vector.

Note, DENSE imaging directly measures tissue displacements  $\mathbf{u}(\mathbf{x})$  with respect to a fixed imaging plane at location  $\mathbf{x}$ . Therefore, in order to compute the voxel displacement as a function of  $\mathbf{x}$ , the Lagrangian description of the phantom deformation mapping  $\phi(\mathbf{X})$  was converted to an Eulerian description. First, the reference position  $\mathbf{X}$  was computed so that in the current configuration  $\phi(\mathbf{X}) \approx \mathbf{x}$

$$\tilde{\mathbf{X}} = \max_{\mathbf{X}} \left\| \phi(\mathbf{X}) - \mathbf{x} \right\|, \quad (1)$$

and subsequently  $\mathbf{u}(\mathbf{x})$  is computed as:

$$\mathbf{u}(\mathbf{x}) = \phi(\tilde{\mathbf{X}}) - \tilde{\mathbf{X}}. \quad (2)$$

To simulate the effect of noise in an experimental 3D DENSE protocol, the voxel-wise Cartesian phantom displacements  $\mathbf{u}(\mathbf{x})$  were transformed to balanced four-point phase maps using the following encoding matrix outlined in Zhong *et al.* [13]:

$$\begin{bmatrix} \phi_1 \\ \phi_2 \\ \phi_3 \\ \phi_4 \end{bmatrix} = \begin{bmatrix} -\frac{\sqrt{3}}{3} & -\frac{\sqrt{3}}{3} & -\frac{\sqrt{3}}{3} & 1 \\ \frac{\sqrt{3}}{3} & \frac{\sqrt{3}}{3} & -\frac{\sqrt{3}}{3} & 1 \\ \frac{\sqrt{3}}{3} & -\frac{\sqrt{3}}{3} & \frac{\sqrt{3}}{3} & 1 \\ -\frac{\sqrt{3}}{3} & \frac{\sqrt{3}}{3} & \frac{\sqrt{3}}{3} & 1 \end{bmatrix} \begin{bmatrix} k_e u_1(\mathbf{x}) \\ k_e u_2(\mathbf{x}) \\ k_e u_3(\mathbf{x}) \\ \phi_b \end{bmatrix}, \quad (3)$$

where  $k_e$  is the imposed displacement encoding strength,  $u_i(\mathbf{x})$  is the displacement  $i$ -th component,  $\phi_b$  is the background phase, and  $\phi_1$  to  $\phi_4$  are the balanced four-point phase maps. We assume that ground-truth  $\phi_b = 0$ .  $k_e$  was set to 0.08 cycles/mm, which is within the recommended range for *in vivo* DENSE imaging [22]. The signal magnitude of the simulated four-point images was computed as the average magnitude across all 12 intravoxel sampling points, assuming a signal of 0 for air and 1 for myocardium. Therefore, at voxels that encompass the air-myocardium boundary, the computed magnitude was between 0 and 1, reproducing partial volume effects. (Fig. 3a).

Complex valued Gaussian noise was added to all balanced four-point complex images at five different SNR levels: 5, 10, 20, 40, and  $\infty$  (i.e., noise-free). SNR is typically measured using the magnitude of the DENSE image [15], which is reconstructed as an average of all four acquired images. To compare the phantom SNR results to other DENSE studies, we define the target SNR to be reflected in the averaged magnitude image. Therefore, the standard deviation for the Gaussian noise to be added to each four-point image is  $\sigma = \frac{2}{\text{SNR}}$ , where SNR is the target to be achieved in the averaged magnitude image. Finally, the signal phase from the noise-injected images was encoded back into the displacement field along  $x$ ,  $y$ , and  $z$  according to:

$$\begin{bmatrix} k_e u_1^{(n)}(\mathbf{x}) \\ k_e u_2^{(n)}(\mathbf{x}) \\ k_e u_3^{(n)}(\mathbf{x}) \\ \phi_b^{(n)} \end{bmatrix} = \begin{bmatrix} -\frac{\sqrt{3}}{4} & \frac{\sqrt{3}}{4} & \frac{\sqrt{3}}{4} & -\frac{\sqrt{3}}{4} \\ -\frac{\sqrt{3}}{4} & \frac{\sqrt{3}}{4} & -\frac{\sqrt{3}}{4} & \frac{\sqrt{3}}{4} \\ -\frac{\sqrt{3}}{4} & -\frac{\sqrt{3}}{4} & \frac{\sqrt{3}}{4} & \frac{\sqrt{3}}{4} \\ \frac{1}{4} & \frac{1}{4} & \frac{1}{4} & \frac{1}{4} \end{bmatrix} \begin{bmatrix} \phi_1^{(n)} \\ \phi_2^{(n)} \\ \phi_3^{(n)} \\ \phi_4^{(n)} \end{bmatrix}, \quad (4)$$

where  $\phi_i^{(n)}$  are the phase maps of the noise-injected four-point images at a target SNR, and  $u_i^{(n)}(\mathbf{x})$  are the corresponding noisy displacement components (Fig. 3b–d).

All resulting displacement and strain analyses were performed on five repetitions of each simulated SNR. This was done to compute the 95% confidence interval (95%-CI) of the

strain bias introduced by the DENSE pipeline at a given SNR. More information about the calculation of strain and strain bias is included in the following section.

### C. Computing Phantom Displacements and Strains

The position  $\varphi_{ai}$  of a phantom voxel  $a$  in  $x$ ,  $y$ , and  $z$  ( $i = [1,2,3]$ ) at two adjacent short-axis slice locations ( $Z = 4\text{mm}, 12\text{mm}$ ) were extracted from the simulated DENSE signal phase using the DENSE Image Analysis Tool [17] (Fig. 4a). Systolic  $\varphi_{ai}$  was computed by segmenting [23], unwrapping and spatiotemporally fitting the time-resolved phantom  $x$ ,  $y$ , and  $z$  phase data, exactly as is done in *in vivo* DENSE image processing. A Local Maximum-Entropy (LME) approximation scheme [24] was used to compute the deformation mapping  $\boldsymbol{\varphi}(\mathbf{X})$  at 200 mid-ventricular, co-planar positions  $\mathbf{X}_q$  in between two simulated DENSE slices (Fig. 2a). This was done to parallel the experimental setup where systolic motion is measured at two slice locations that surround an interleaved slice of *in vivo* microstructural data [25]. The deformation gradient  $\mathbf{F}$  at  $\mathbf{X}_q$  was then computed as:

$$\mathbf{F}_{iJ}(\mathbf{X}_q) = \sum_{a=1}^m N_{a,J}(\mathbf{X}_q) \varphi_{ai}, \quad (5)$$

where  $\mathbf{F}_{iJ}$  is the  $(iJ)$  component of  $\mathbf{F}$ ,  $m$  is the number of DENSE voxels (or nodes)  $a$  inside a search radius  $r$  from  $\mathbf{X}_q$ ,  $\varphi_{ai}$  is the component  $i$  of node  $a$  deformation mapping,  $N_a$  is the LME shape function at node  $a$ , and  $N_{a,J}(\mathbf{X}_q)$  is the derivative of  $N_a$  in the  $J$  direction evaluated at  $\mathbf{X}_q$ . At each  $\mathbf{X}_q$ , the search radius  $r$  was chosen by increasing  $r$  until a minimum of 20 DENSE nodes were included in the search sphere. In the analyses presented in this work, the 20 node requirement guarantees that the LME approximation scheme was successfully computed at  $\sim 95\%$  of all  $\mathbf{X}_q$ . The LME scheme failed at a few boundary  $\mathbf{X}_q$ , which may fall outside the convex hull defined by the considered set of DENSE nodes. In these cases,  $\mathbf{F}(\mathbf{X}_q)$  was computed as

$$\mathbf{F}_{iJ}(\mathbf{X}_q) = \frac{\varphi_i(\mathbf{X}_q + \mathbf{H}_J) - \varphi_i(\mathbf{X}_q - \mathbf{H}_J)}{2h}, \quad (6)$$

where  $\mathbf{H}_J$  is a vector whose component  $J$  is equal to  $h$ , which defines a small change in position. In our simulations, we set  $h = 0.1\text{mm}$  and computed  $\varphi_i(\mathbf{X}_q \pm \mathbf{H}_J)$  using a trilinear interpolation function (Matlab scattered interpolant [26]).

Green-Lagrange strain components were evaluated at all  $\mathbf{X}_q$  as:

$$E_{\mathbf{v}\mathbf{v}} = \frac{1}{2}(\vec{\mathbf{v}} \cdot \mathbf{C} \vec{\mathbf{v}} - 1), \quad (7)$$

where  $\mathbf{C}$  is the right Cauchy-Green deformation tensor ( $\mathbf{C} = \mathbf{F}^T \mathbf{F}$ ), and  $\vec{\mathbf{v}}$  represents the direction along which the strain is computed, i.e.,  $E_{\text{cc}}$ ,  $E_{\text{tr}}$ ,  $E_{\text{ll}}$ ,  $E_{\text{ff}}$  (Fig. 1). The strain bias,  $E_{\mathbf{v}\mathbf{v}}$ , was computed at each  $\mathbf{X}_q$  as:

$$\Delta E_{\mathbf{v}\mathbf{v}}(\mathbf{X}_q) = E_{\mathbf{v}\mathbf{v}}^c(\mathbf{X}_q) - E_{\mathbf{v}\mathbf{v}}^{\text{an}}(\mathbf{X}_q), \quad (8)$$

where  $E_v^c$  is evaluated using the DENSE pipeline and  $E_{VV}^{an}$  is the corresponding analytical (“ground truth”), strain.

Displacement bias in direction  $\vec{v}$ ,  $u_v$ , was also computed at each  $\mathbf{X}_q$  as:

$$\Delta u_v(\mathbf{X}_q) = u_v^c(\mathbf{X}_q) - u_v^{an}(\mathbf{X}_q). \quad (9)$$

To determine the SNR requirements for DENSE MRI, the acceptable median  $E_{VV}$  was set to near-zero. Moore *et al.* [16] reported that the variation (defined as the standard deviation) of geometric strains across the LV in a healthy human population is  $\sim 0.04$ . Therefore, to remain sensitive to abnormal variations in strain, the target strain tolerance is achieved if the 95%-CI of  $E_{VV}$  falls within a range of 0.04 (i.e.,  $\pm 0.20$ ).

Strain and displacement bias were computed at all  $\mathbf{X}_q$  along all geometrically defined axes ( $\vec{c}$ ,  $\vec{r}$ , and  $\vec{l}$ ), and along the cardiomyocyte preferential orientation ( $\vec{f}$ ).  $E_{ll}$ ,  $E_{rr}$ , and  $E_{ff}$  were characterized from epicardium to endocardium to quantify their transmural bias, while  $E_{cc}$  was reported along the circumferential direction to relate its changes to bias in  $u_c$ .

#### D. Phantom Results

Displacement bias along the geometric axes are shown in gray in the top panels of Fig. 5. Median and 95%-CIs for  $u_z$ ,  $u_r$ , and  $u_c$  all tend towards near-zero with increasing SNR. No significant improvements in  $u_z$ ,  $u_r$ , and  $u_c$  were observed for  $\text{SNR} > 40$ . No displacements were perfectly reproduced, even at  $\text{SNR} = \infty$ , indicating an inherent bias produced by the imaging and/or analysis pipeline.  $u_z$  had the lowest median bias with respect to the analytical phantom displacement field, which was only slightly overestimated at  $\text{SNR} = \infty$  ( $u_z = -0.020\text{mm}$ ). Median  $u_r$  was the largest among geometric displacements. At  $\text{SNR} = \infty$ , median  $u_r$  was  $+0.10\text{mm}$  at the endocardium, while remaining close to zero from midwall to epicardium (Fig. 5b, top).  $u_c$  was computed along 32 segments around the circumference of the phantom (Fig. 5a, top). Although median  $u_c$  increased to  $0.06\text{mm}$  around segment 16 at  $\text{SNR} = \infty$ , the segment-to-segment variability remained low ( $0.007\text{mm}$  per segment).

Corresponding strain bias along the geometric axes are shown in color in the bottom panels of Fig. 5.  $E_{ll}$ ,  $E_{cc}$ , and mid-wall  $E_{rr}$  show near-zero median strain bias across SNRs. Epicardial and endocardial  $E_{rr}$  show a strain bias of  $-0.10$  and  $+0.01$  at the endocardium and epicardium, respectively. The 95%-CI for  $E_{ll}$ ,  $E_{cc}$ , and mid-wall  $E_{rr}$  tightens to fall within the target tolerance of 0.04 for  $\text{SNR} > 20$ . 95%-CI for epicardial and endocardial  $E_{rr}$  does not converge to within 0.04, even at  $\text{SNR} = \infty$ . Median  $E_{rr}$  at the epicardium and endocardium tend towards zero when the simulated in-plane voxel size was decreased from  $2.5 \times 2.5\text{mm}$  to  $2.0 \times 2.0\text{mm}$  and  $1.5 \times 1.5\text{mm}$  (Supplemental Data).

The transmural course of  $E_{ff}$  is shown in Fig. 6. Median  $E_{ff}$  converges to zero and 95%-CI falls within the target tolerance for all  $\text{SNR} > 20$ .

### III. *In Vivo* Image Acquisition and Analysis

Following the computational phantom validation, the same imaging and modeling framework was applied to a set of experimental MRI data to measure *in vivo*  $E_{ff}$ . All MR imaging was acquired in healthy swine (N=8) at 3T (Prisma, Siemens) in agreement with animal research protocol ARC # 2015–124, approved by the UCLA Institutional Animal Care and use Committee.

#### A. *In Vivo* Imaging Protocol

**1) cDTI Acquisition:** cDTI data was acquired *in vivo* at 10 short-axis locations at mid-systole (200 – 300ms trigger delay) using an M1–M2 nulled diffusion encoding gradient [9]. All cDTI data were acquired under free-breathing conditions using a prospective slice following motion correction technique [10]. A single mid-ventricular slice among the 10-slice stack was used in this work (Fig. 7a) as a proxy for the amount of data that can currently be acquired under clinical time constraints. A liver dome respiratory navigator with a tracking factor adjusted to 0.4 was used. Acquisition parameters were  $2 \times 2 \times 8$  mm, TE/TR = 74/10 \* RR ms, Partial Fourier 6/8, Bandwidth 2085Hz/pixel, b-value = 0,350 s/mm<sup>2</sup>,  $N_{avg} = 30$ ,  $N_{dir} = 12$ . The scan time was nine minutes per imaged short-axis slice.

Linear least-squares reconstruction of cDTI data yields pixel-wise symmetric rank-2 diffusion tensors ( $\mathbf{D}$ ) with eigenvectors  $\vec{e}_i$ . At each cDTI voxel,  $\vec{e}_1$  corresponds to the local aggregate cardiomyocyte orientation ( $\vec{f}$ ). The mid-systolic diffusion weighted images (DWIs) were rigidly registered, and all registered images for the same diffusion gradient direction were averaged yielding a set of DWIs across 12 diffusion encoding directions (Fig. 7d) for reconstruction of  $\mathbf{D}$  and  $\vec{e}_1$  (Fig. 7e).

**2) DENSE Acquisition:** Time-resolved LV deformation during systole was measured at two short-axis locations spaced 4mm above and below the cDTI data (Fig. 7a) using the following DENSE protocol: 15ms view-shared temporal resolution (29–39 cardiac phases depending on RR interval),  $2.5 \times 2.5 \times 8$  mm voxel size, balanced four-point phase encoding, TE/TR = 1.04/15ms,  $k_e = 0.08$ cycles/mm,  $N_{avg} = 3$ , spiral interleaves = 10. Using the same open-source Matlab tool [17] evaluated in the phantom study, the decoded  $x$ ,  $y$ , and  $z$  phase data were segmented using phase-guided segmentation [23], spatiotemporally unwrapped, and temporally fit to yield voxel-wise, time-resolved Lagrangian LV deformation mapping  $\phi$  (Fig. 7b,c). SNR was measured in all acquired DENSE images using the same air-myocardium based strategy outlined in previous DENSE SNR studies [15].

A sample DENSE MRI and cDTI dataset from this study can be found uploaded on IEEE DataPort (<http://dx.doi.org/10.21227/2xpw-jb08>).

#### B. Post-Processing and Strain Calculation for *In Vivo* Data

After image reconstruction, cDTI data were rigidly translated and rotated to register the LV with the acquired DENSE images (Matlab fitgeotrans [26]). This was aided by manually defining the right ventricular insertion points in the cDTI and mid-systolic DENSE images.



The primary eigenvector  $\vec{e}_1$  of  $\mathbf{D}$  at each cDTI voxel was then rotated based on the aforementioned cDTI-DENSE registration.

After cDTI-DENSE spatial registration, the mid-systolic DENSE phase where cDTI data was acquired (the “diffusion cardiac phase”) was manually identified as the phase that best approximated the shape of the LV in the cDTI images. The LV in the cDTI images was segmented and an LV mask was generated from the contours. The center of each voxel in the mid-systolic cDTI LV mask was used to define the target locations  $\mathbf{X}_q$  for displacement interpolation.

Up to this point, the cardiomyocyte position  $\mathbf{X}_q$  and orientation  $\vec{f}$  were all measured in a mid-systolic state, while the standard reference state for cardiac strain measurements is at the beginning of systole (i.e. end diastole). Thus, the measured  $\vec{f}$  and  $\mathbf{X}_q$  were mapped from mid-systole to the beginning of systole using the DENSE measured motion, i.e.,  $\varphi$ . The use of 3D DENSE deformation mapping to temporally register cDTI data was previously validated in [25].

$\mathbf{X}_q$  was mapped to the beginning of systole using LME approximation functions according to:

$$\varphi(\mathbf{X}_q) \Big|_{BS} = \sum_{a=1}^m N_a(\mathbf{X}_q) \varphi_a \Big|_{BS}, \quad (10)$$

where  $\varphi(\mathbf{X}_q)|_{BS}$  is the position of  $\mathbf{X}_q$  at the beginning of systole and  $\varphi_a|_{BS}$  is the corresponding position of DENSE node  $a$  at the beginning of systole. As in eqn. 5,  $m$  was  $> 20$  for each  $\mathbf{X}_q$  and a trilinear interpolation was used to compute  $\varphi(\mathbf{X}_q)$  where LME failed.

Aggregate cardiomyocyte orientations for each  $\mathbf{X}_q$  at the beginning of systole,  $\vec{f}(\mathbf{X}_q)|_{BS}$  were computed as:

$$\vec{f}(\mathbf{X}_q)|_{BS} = \mathbf{F}(\mathbf{X}_q) \vec{f}, \quad (11)$$

where  $\mathbf{F}(\mathbf{X}_q)$  is here the deformation gradient associated with the deformation mapping from the reference mid-systolic configuration to the beginning of systole.  $\mathbf{F}$  was computed according to eqns. 5–6. The registered  $\vec{f}|_{BS}$  was used as the aggregate cardiomyocyte orientation to compute  $E_{ff}$ . Note that while the target for the DENSE-based registration was the beginning of systole,  $\varphi$  was measured at many points throughout systole. Thus, the same registration technique can be used to compute time-resolved  $\mathbf{X}_q$  and  $\vec{f}$  from beginning to end systole.

After mid-systolic  $\mathbf{X}_q$  and  $\vec{f}$  were mapped to the beginning of systole reference configuration,  $\mathbf{F}$  was recomputed (eqns. 5–6) through systole using the beginning of systole as reference configuration. Strains at each  $\mathbf{X}_q$  were computed at all imaged cardiac phases

according to eqn. 7. The determinant of  $\mathbf{F}$  computed with respect to the beginning of systole was used to evaluate tissue incompressibility in the imaged mid-ventricular slice.

The circumferential ( $\vec{c}$ ) direction was defined as a weighted linear interpolation of the reference state epicardial and endocardial contours at each  $\mathbf{X}_q$ . The longitudinal ( $\vec{l}$ ) direction was defined as normal to the image plane. The radial vector was defined as the cross product of  $\vec{c}$  and  $\vec{l}$  (Fig. 7f). All  $\vec{c}$ ,  $\vec{l}$ ,  $\vec{r}$ , and  $\vec{f}$  vectors were normalized.

## IV. *In Vivo* Results

The measured median (95%-CI) SNR in all acquired DENSE images was 64 (40,93) at the beginning of systole, and decayed to 39 (20,88) at the end of systole. This was significantly above the SNR-level of 20 determined from the phantom study as necessary to reach a strain tolerance of 0.04.

Fig. 8 shows the DENSE-based registration of  $\vec{f}$  and  $\mathbf{X}_q$  from mid-systole back to the beginning of systole for a representative subject. Registration results are shown at several cardiac phases between beginning and end systole.

The evolution of  $E_{ff}$ ,  $E_{cc}$ ,  $E_{ll}$ , and  $E_{rr}$  during the cardiac cycle is shown in Fig. 9. Median and interquartile range (IQR) strain results at peak systole across all imaged subjects were:  $-0.14$  ( $-0.16, -0.14$ ),  $-0.15$  ( $-0.16, -0.14$ ),  $0.32$  ( $0.30, 0.41$ ) and  $-0.13$  ( $-0.14, -0.12$ ) for  $E_{ff}$ ,  $E_{cc}$ ,  $E_{rr}$ , and  $E_{ll}$ , respectively.  $E_{cc}$  showed a gradient from  $-0.12$  at the epicardium to  $-0.18$  at the endocardium.  $E_{rr}$  showed a gradient from  $0.28$  at the epicardium to  $0.35$  at the endocardium.  $E_{ff}$  and  $E_{ll}$  showed no significant transmural gradients across the three transmural layers.

Detailed epicardial to endocardial gradients of  $E_{ff}$  and  $E_{cc}$  are shown for each imaged subject across ten transmural layers in Fig. 10. Importantly, the transmural variation of  $E_{ff}$  was significantly reduced with respect to  $E_{cc}$  for each individual subject. Epicardial and endocardial  $E_{ff}$  differed the most from  $E_{cc}$ , while midwall  $E_{ff}$  was largely the same as midwall  $E_{cc}$ .

Median (IQR) tissue incompressibility measured from the DENSE displacement field at peak systole was 3.5% (2.6%, 6.0%) across all subjects (Supplemental Data).

## V. Discussion

### A. Computational Phantom Study

Phantom  $E_{cc}$  and  $E_{ll}$  were computed with near-zero median bias and within a strain tolerance of 0.04 for  $\text{SNR} > 20$ . Although circumferential displacement error  $u_c$  was still present in all segments along the phantom's circumference — even at  $\text{SNR} = \infty$  — the near-zero  $E_{cc}$  can be explained by the low rate of change of  $u_c$  along the circumferential direction. All  $u_v$  measured in the phantom were significantly less than the typical spatial resolution of DENSE MRI (2.5mm). However spatial heterogeneity in  $u_v$  can lead to significant bias in strain estimation. The SNR results from the computational phantom study suggest that a typically achievable DENSE  $\text{SNR} > 20$  is sufficient for reliably measuring cardiac strains

along the circumferential and longitudinal directions. These phantom results correlate well with previous studies examining DENSE strains at different SNR levels. Wehner *et al.* [15] found no significant difference in strain measurements and displacement error between DENSE studies performed at 1.5T (SNR  $\approx 25$ ) and 3T (SNR  $\approx 55$ ).

In comparison, even without added noise (SNR =  $\infty$ ),  $E_{rr}$  exhibited notable strain error at the endocardium. Unlike circumferential displacement error, median displacement error along the radius of the phantom did not show a low spatial variation, and spiked upwards going from midwall to endocardium. The pronounced error in  $E_{rr}$  at SNR =  $\infty$  suggests that the DENSE pipeline does not adequately estimate motion at the endocardium along the radial direction and introduces a negative bias in  $E_{rr}$  estimates. Previous work examining DENSE with an analytical approach [12], [14] similarly found a wide spread and pronounced bias in DENSE-derived endocardial motion. *In vivo* experimental studies in humans and mice using the same DENSE pipeline have often reported  $E_{rr}$  as the most poorly reproducible strain component [27], [28].

Increasing the resolution of the simulated DENSE images decreased the magnitude of  $E_{rr}$  error (Supplemental data), but it did not eliminate the trend. The DENSE imaging pipeline employs several interpolation and smoothing steps: e.g., the interpolation from Eulerian-to-Lagrangian displacement description is achieved using radial basis functions, and the resulting trajectories are smoothed through time using a 10<sup>th</sup>- order polynomial [5]. We chose to use a 10<sup>th</sup>-order polynomial for all analysis in this work as it is the most widely used model, thereby facilitating our comparison to other *in vivo* DENSE studies. Further work is needed to identify which components of the DENSE imaging processing pipeline can be refined to decrease  $E_{rr}$ .

Despite the pronounced error in  $E_{rr}$  at the endocardium, the transmural course of  $E_{rr}$  was successfully estimated throughout the myocardium with near-zero median error and within the target strain tolerance of 0.04 for all SNR > 20. This is largely due to the fact that the cardiomyocyte aggregates are defined to lie parallel to the circumferential-longitudinal plane, with no radial component. If strain analysis is extended along another microstructural axis (e.g., the cross-fiber direction), a larger contribution from  $E_{rr}$  bias is to be expected.

## B. In Vivo Study

Peak systolic tissue incompressibility for all subjects showed good agreement with reported myocardium quasi-incompressibility [20], [21]. These results provide additional evidence that myocardial tissue volume changes by 2 – 4% during systole, consistent with an exchange of blood volume in the myocardial capillary bed [29].

The magnitudes of all measured geometric strains are slightly below the values reported in other DENSE studies of 3D LV motion in humans [5]. The small discrepancies in strain magnitudes can be attributed to potential inter-species differences that exist between human and swine LV kinematics. In addition, all the measures were acquired during anesthesia, which can depress cardiac contraction. The transmural trends of  $E_{cc}$  and  $E_{ll}$  agree well with [5], [16]. Compared to these studies, the magnitude of endocardial  $E_{rr}$  is

underestimated, which can be explained by the negative  $E_{ff}$  bias reported at the endocardium of the analytical phantom.

Median (IQR)  $E_{ff}$  was  $-0.14$  ( $-0.16$ ,  $-0.14$ ), which is in general agreement with previous imaging and model based studies on aggregate cardiomyocyte strain [8], [30]. The measured median  $E_{ff}$  is higher than the values reported in [31]. However, these studies separately examined ventricular motion and micro structure, using MRI tagging and histological techniques that require fixing and sectioning of the tissue. The complex registration required between these separate datasets combined with the destructive nature of tissue sectioning confounds estimates of  $E_{ff}$ . In contrast, the measured median  $E_{ff}$  is slightly lower than the estimate reported in [8]. However, Wang *et al.* estimated  $E_{ff}$  in humans and combined *in vivo* with *ex vivo* data, which may explain the differences in the measured  $E_{ff}$  median value.

As previously reported in [8], [32], strain through-wall gradient is significantly less steep for  $E_{ff}$  than for  $E_{cc}$ , supporting the observation that aggregate cardiomyocyte strain is a more spatially uniform measure of cardiac kinematics. *In vitro* studies of cardiomyocyte contractility [33], [34] have demonstrated minimal differences in cardiomyocyte strain at different transmural layers of the same heart. Finite element studies of left ventricular function [30] have hypothesized that the microstructure of the LV is specifically organized to achieve uniformity in cardiomyocyte strain. The results from this study provide *in vivo* evidence to support this hypothesis.

To our knowledge, this framework is the first to measure  $E_{ff}$  entirely from *in vivo* MRI data that can be feasibly acquired in a clinical setting. All MRI data used in this study can be acquired in  $\sim 20$  minutes (nine minute cDTI scan + ten minutes of DENSE imaging) under free-breathing conditions, which eases the framework's applicability to studying cardiac dysfunction in heart failure patients. With respect to traditionally measured cardiac strains ( $E_{cc}$ ,  $E_{rs}$ , and  $E_{ll}$ ),  $E_{ff}$  provides a more reproducible, robust, and microstructurally anchored metric of cardiac function. The definition of the circumferential, radial and longitudinal directions depend heavily on the orientation and position of the images and their segmentation, whereas  $\vec{f}$  is directly measured from the tissue microstructure. Therefore,  $E_{ff}$  is particularly suited for longitudinal studies examining cardiac function during the progression of LV remodelling.

### C. Study Limitations

Several limitations in the phantom simulation may result in underestimation of the SNR-level required to reach near-zero strain bias and 0.04 strain tolerance. First, the phantom is constructed from idealized axial-symmetric LV geometry and displacements, which only approximates the *in vivo* motion and anatomy of the LV. Strain bias may be higher in different LV segments. Second, the phantom simulation assumes that a DENSE MRI acquisition occurs during the span of one heart beat. In reality, a single systolic DENSE acquisition requires consecutive readouts over many heartbeats, and inter-beat physiologic variability may lead to errors in strain estimates. Inter-beat variation is not accounted for as a source of strain bias in the current phantom simulations. Third, the phantom simulation does not account for mis-registration that can occur due to the respiratory motion of the imaged

subject. Most modern DENSE sequences employ a respiratory navigator that triggers image acquisition only within a limited window of respiratory phases. While this may limit respiratory-induced misalignment, it does not eliminate it as a source of strain error. Finally, we do not simulate the complex DENSE MRI signal decay during the cardiac cycle, which would require directly solving the Bloch equations during simulated motion. Each limitation may be addressed in future studies by improving the phantom geometry, motion simulation, and the conversion of the MRI signal to the DENSE displacement field. It is also important to note that these sources of strain error may not always be reflected in image SNR.

Some aspects of the proposed pipeline augment error from *in vivo* MRI data. Since the 3D DENSE displacement field is differentiated, experimental noise and error are likely amplified leading to a large spread in the computed strain values. Furthermore, this study did not examine how error in *in vivo* cDTI data propagates to error in  $E_{ff}$ . This motivates future work to examine how variations within the previously characterized  $16^\circ$  cone of uncertainty in cDTI-measured mid-systolic  $\vec{e}_1$  [35] affects  $E_{ff}$  estimates.

## VI. Conclusion

In this work, an MRI-based framework is presented to estimate LV aggregate cardiomyocyte strain ( $E_{ff}$ ) using cDTI and DENSE MRI data.

The 3D cardiac-like analytic phantom enabled examination of the DENSE processing pipeline by comparing the computed results to “ground truth” 3D analytical displacement and strain data. The flexibility of our validation pipeline allows for future assessment of the impact that other imaging parameters (e.g., resolution, encoding strength, number of encoding directions) have on measured cardiac strains. These simulations can provide *a priori* estimates of required DENSE protocol parameters to achieve a target strain sensitivity in clinical studies.

Application of the proposed framework to experimental MRI data demonstrated that  $E_{ff}$  is a more spatially uniform, microstructurally-anchored metric of LV motion. While mid-wall circumferential strain has been used as a clinical surrogate for  $E_{ff}$ , the proposed framework estimates  $E_{ff}$  throughout the myocardium and does not rely on geometric assumptions to identify the circumferential direction and transmural location. *In vivo*  $E_{ff}$  is a spatially uniform, robust, and mechanically significant measure of LV motion, which make  $E_{ff}$  a compelling candidate for use in longitudinal clinical studies of cardiac dysfunction and remodelling.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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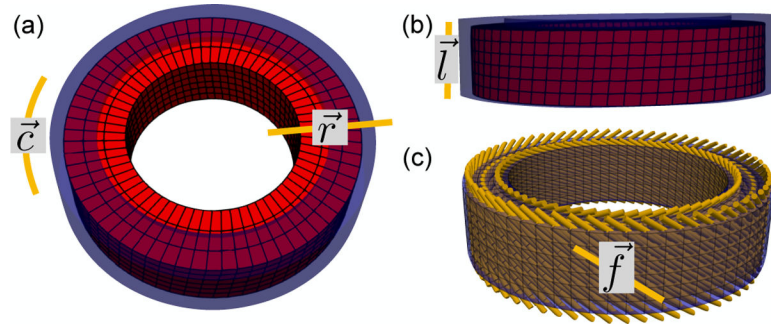
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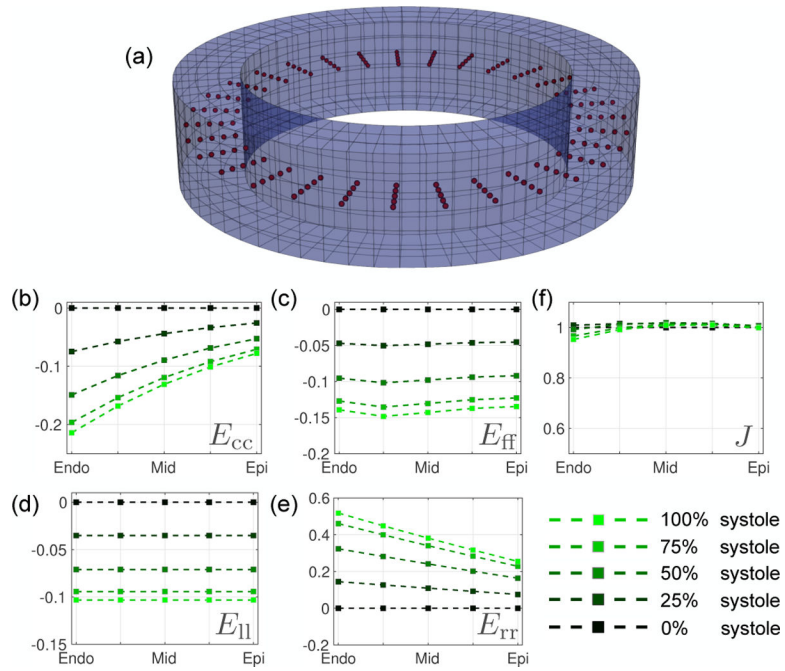
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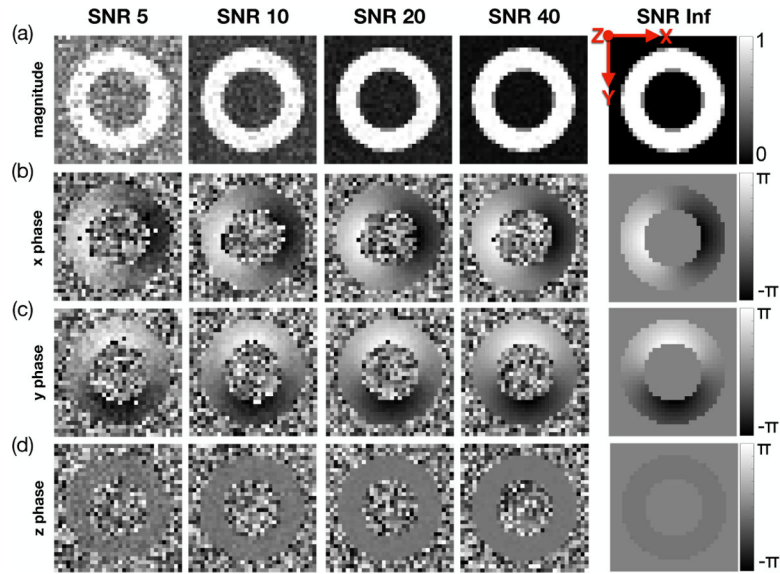


**Fig. 1.** Analytic deforming phantom, (a) Axial and (b) longitudinal views of the analytic phantom at beginning (blue) and end (red) systole. Circumferential ( $\vec{c}$ ), radial ( $\vec{r}$ ), and longitudinal ( $\vec{l}$ ) directions are also shown, (c) Cardiomyocyte ( $\vec{f}$ ) directions were prescribed in the phantom according to a rule-based approach calibrated on histological data.

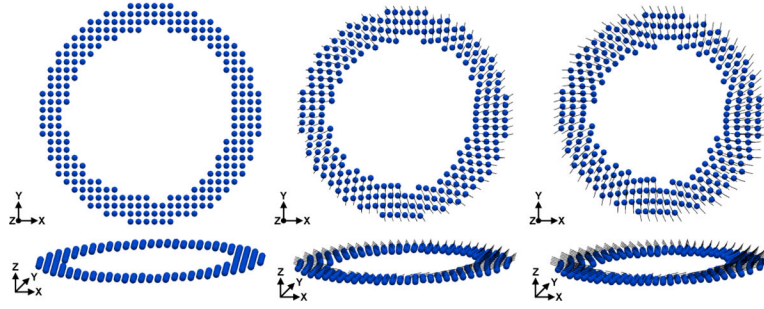


**Fig. 2.**

Strain results from the computational deforming phantom at peak systole, (a) Location of 200 co-planar mid-slice query points ( $\mathbf{X}_q$ , red), where strain and displacement errors are measured. Analytic peak systolic transmural strain results across all  $\mathbf{X}_q$  from endocardium to epicardium are shown for (b)  $E_{cc}$ , (c)  $E_{ff}$ , (d)  $E_{ll}$ , and (e)  $E_{rr}$ . (e) Transmural Jacobian results. The Jacobian describes the myocardium change in volume, i.e., the myocardium compressibility, 1 being perfectly incompressible.

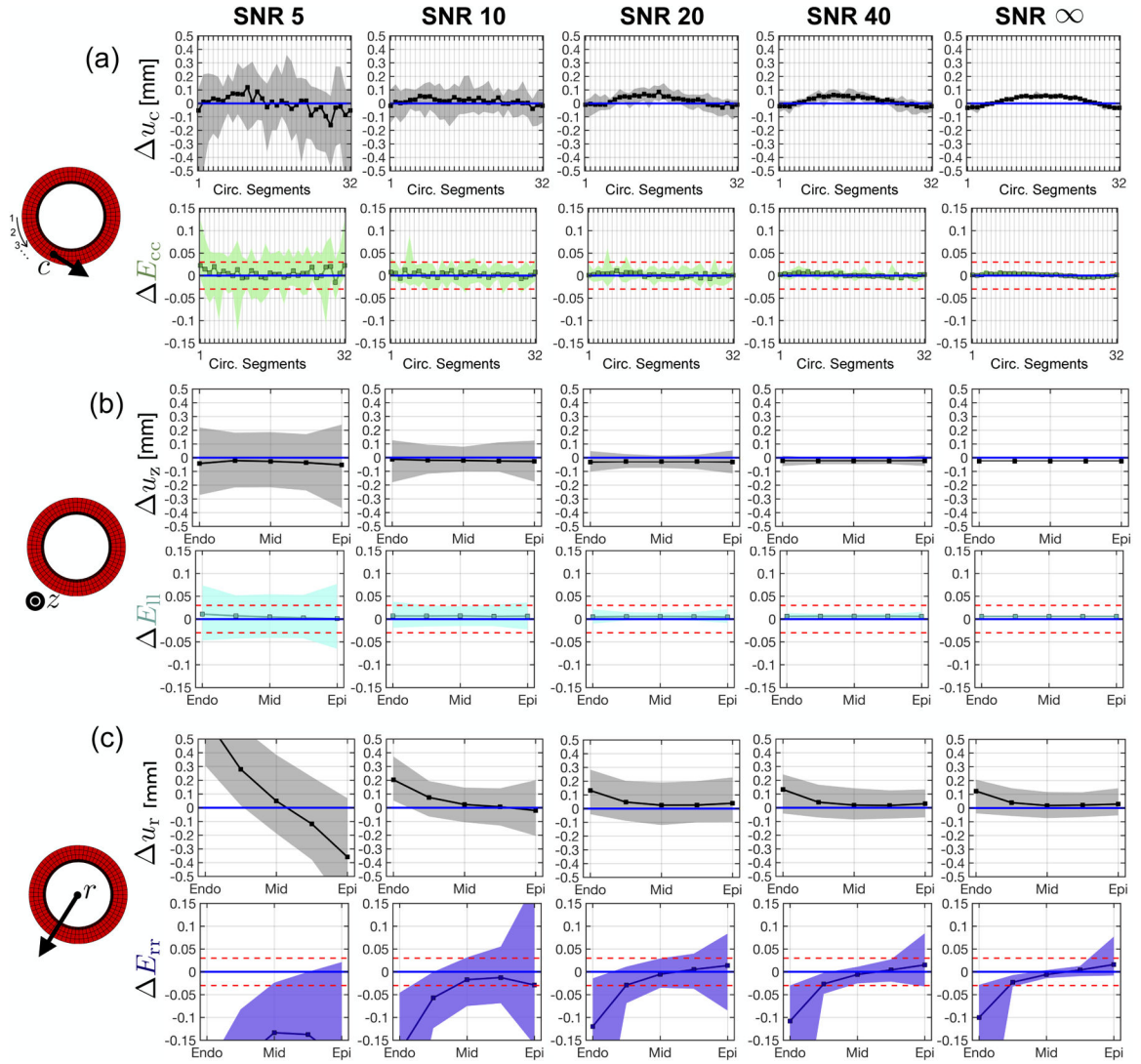


**Fig. 3.** Simulated DENSE magnitude and phase images for a range of SNRs at peak systole. Results for (a) magnitude data, (b) x-phase data, (c) y-phase data, and (d) z-phase data are shown. These data show a single repetition for each SNR, but simulations were repeated five times for each SNR.



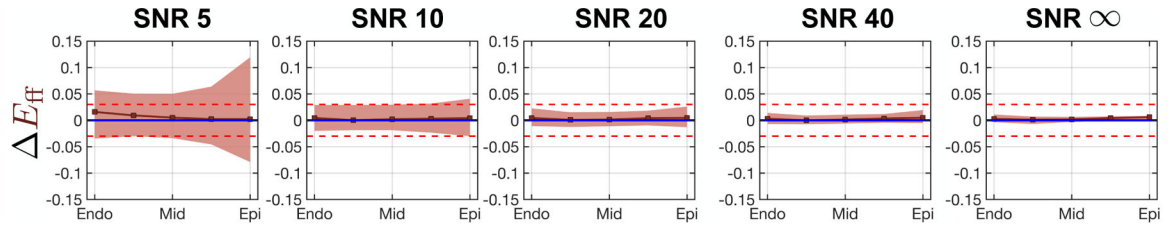
**Fig. 4.**

Example of voxel-wise phantom deformation reconstructed from a single slice of DENSE images at  $\text{SNR} = \infty$  using the DENSE processing pipeline. Short-axis (top) and long-axis (bottom) views of DENSE-processed motion ( $\varphi_i(\mathbf{X})$ ) at one longitudinal location. Displacements (black pathlines) are shown from beginning, to mid and peak systole (left to right).



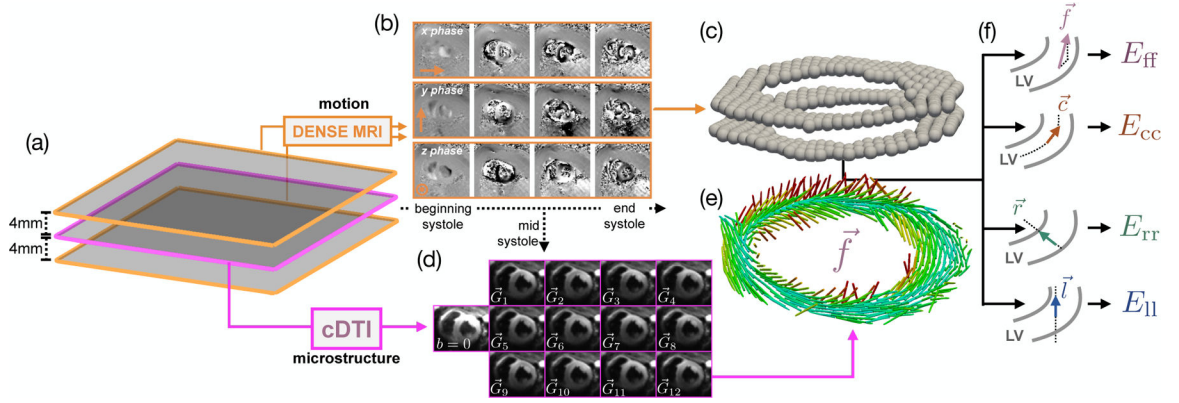
**Fig. 5.**

Strain and displacement bias in the radial, circumferential, and longitudinal directions across a range of SNRs. (a) Circumferential displacement (top) and strain (bottom) bias across 32 equal circumferential segments. The numbering of each segment is ordered in a counter-clockwise manner beginning at nine o'clock on the cylindrical phantom (left diagram), (b) Longitudinal displacement (top) and strain (bottom) bias from epicardium to endocardium, (c) Radial displacement (top) and strain (bottom) bias from epicardium to endocardium. Squares are strain bias medians and shaded regions are the 95%-CI of strain bias (i.e., the tolerance). Blue and red horizontal lines represent, respectively, 0 median strain bias and +0.020 to -0.020 strain tolerance.



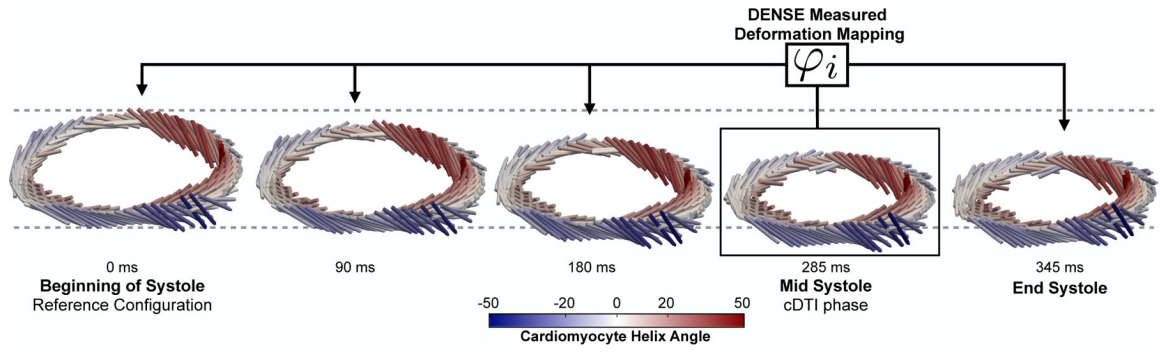
**Fig. 6.**

Cardiomyocyte strain bias vs. wall depth computed at five equidistant transmural points. Squares are strain bias medians and shaded regions are the 95%-CI of strain bias (i.e., the tolerance). Blue and red horizontal lines represent 0 median strain bias and +0.020 to -0.020 strain tolerance, respectively.



**Fig. 7.**

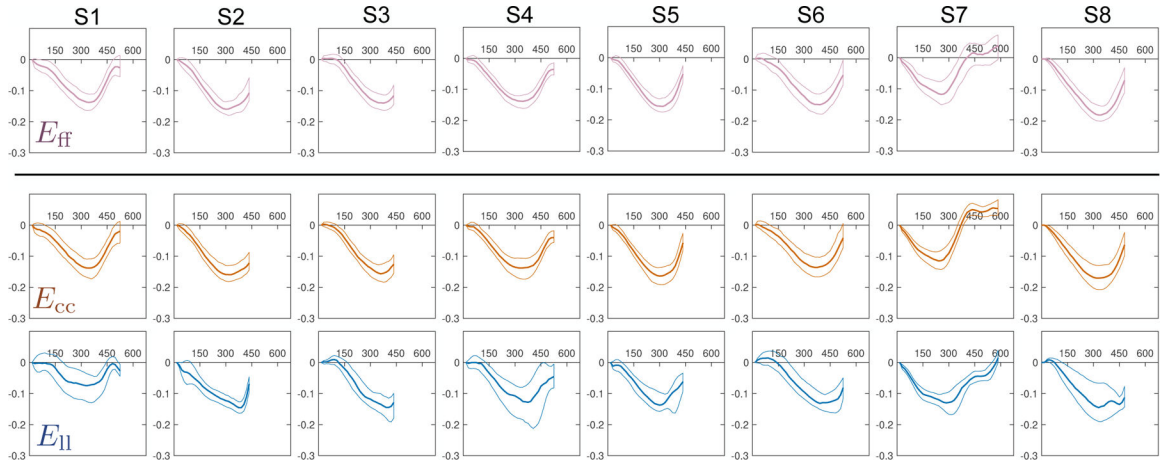
Model construction pipeline, (a) Two short-axis DENSE MR images were acquired 4mm above and below the cDTI location, (b) Example of acquired phase data in x, y, and z throughout systole, (c) Processed 3D deformation used to compute the deformation gradient tensor  $\mathbf{F}$  at the location of the cDTI voxels, (d) Example of mid-systolic diffusion weighted images ( $\vec{G}_1 - \vec{G}_{12}$ ) acquired *in vivo* in one subject, (e) Preferential aggregate cardiomyocyte orientations for each voxel of the cDTI data, (f) Schematic demonstrating how the cardiomyocyte, circumferential, radial, and longitudinal directions are computed. The two gray curves represent the epicardial and endocardial contours of the LV.



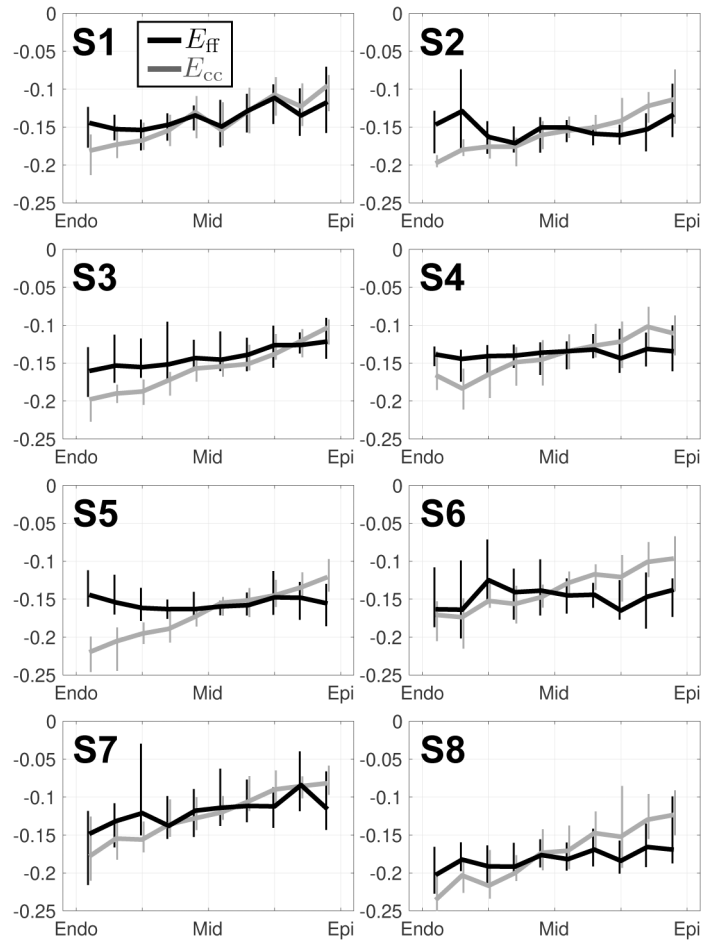
**Fig. 8.**

Results of DENSE-based registration for a representative subject. Time resolved  $\vec{f}$  (cylindrical bar orientation),  $\mathbf{X}_q$  (cylindrical bar position), and cardiomyocyte elevation values (colormap) are shown. The registration target is the beginning of systole, however registration results are shown throughout systole. All times are reported with respect to the beginning of systole ( $t = 0\text{ms}$ ). Note the longitudinal descent of the LV during systole.





**Fig. 9.**  $E_{ff}$ ,  $E_{cc}$ ,  $E_{ll}$ , and  $E_{rr}$  through time (horizontal axis, measured in [ms]) across all subjects (S1–S8). Solid lines represent the median at each cardiac phase, while thin lines represent the 25th and 75th quartiles. The reference state for all strains (i.e.,  $E_{VV} = 0$ ) was defined as the beginning of systole (time=0ms).



**Fig. 10.**

Transmurality changes in  $E_{ff}$  (black) and  $E_{cc}$  (grey) at peak systole in subjects S1 through S8. All strain data was placed into ten transmural bins. Medians and IQR (vertical lines) at each bin location are shown. Note the decreased transmural variability of  $E_{ff}$  (flatter) versus  $E_{cc}$  (larger transmural gradient).

TABLE I

Median (IQR) Transmural strain results for all healthy subjects at peak systole

	Endocardium	Midwall	Epicardium
$E_{\text{ff}}$	-0.15 (-0.16,-0.15)	-0.14 (-0.16,-0.14)	-0.14 (-0.16,-0.12)
$E_{\text{cc}}$	-0.18 (-0.20,-0.17)	-0.15 (-0.16,-0.14)	-0.12 (-0.13,-0.11)
$E_{\text{tr}}$	0.35 (0.29,0.40)	0.38 (0.33,0.42)	0.28 (0.24,0.36)
$E_{\text{ll}}$	-0.13 (-0.14,-0.12)	-0.13 (-0.13,-0.12)	-0.13 (-0.13,-0.12)