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## How Structural Biologists and the Protein Data Bank Contributed to Recent US FDA New Drug Approvals

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### Abstract

Discovery and development of 210 new molecular entities [NMEs, new drugs] approved by the US Food and Drug Administration 2010–2016 was facilitated by 3D structural information generated by structural biologists worldwide and distributed on an open access basis by the Protein Data Bank [PDB]. The molecular targets for 94% of these NMEs are known. The PDB archive contains 5,914 structures containing one of the known targets and/or a new drug, providing structural coverage for 88% of the recently approved NMEs across all therapeutic areas. More than half of the 5,914 structures were published and made available by the PDB at no charge with no restrictions on usage >10 years before drug approval. Citation analyses revealed that these 5,914 PDB structures significantly impacted the very large body of publicly-funded research reported in publications on the NME targets that motivated biopharmaceutical company investment in discovery and development programs that produced the NMEs.

### eTOC Blurp

Discovery/development of 210 new molecular entities (NMEs, new drugs) approved by the US Food and Drug Administration 2010–2016 was facilitated by open access to 3D structures stored in the Protein Data Bank (PDB). Nearly 6,000 relevant PDB structures contributed to approval of 88% of these NMEs across all therapeutic areas.

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**Supplementary Information** is provided describing the assembly and analysis of the data set reported in this article.

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## Introduction

The Protein Data Bank [PDB; (Berman et al., 2003)] is an enormously valuable gold standard, reference data resource for education/training and research (both basic and applied) across the biological and biomedical sciences. It was established in 1971 as the first open-access, digital data resource in biology with just seven protein structures (Protein Data Bank, 1971). Forty-seven years later, the PDB continues to serve as the single global repository for 3D structural data, making >146,000 experimentally determined structures [of proteins, DNA, RNA, and their complexes with drugs and/or other small molecules] freely available without restrictions on usage. Since 2003, the PDB has been managed jointly by the Worldwide Protein Data Bank [wwPDB] partnership (Berman et al., 2003) [including US Research Collaboratory for Structural Bioinformatics Protein Data Bank or RCSB PDB (Berman et al., 2000), Protein Data Bank in Europe (Velankar et al., 2010), Protein Data Bank Japan (Kinjo et al., 2017), and BioMagResBank (Ulrich et al., 2008)]. The wwPDB OneDep global system for deposition-validation-biocuration of incoming structures supports all PDB data depositors, helping to ensure that every structure archived in the PDB is well validated and expertly biocurated (Young et al., 2017; Gore et al., 2017; Young et al., 2018). wwPDB partners are committed to ensuring adherence to the **FAIR** Principles of indability-Accessibility-Interoperability-Reusability (Wilkinson et al., 2016). Publication of new macromolecular structures in most scientific journals is contingent on mandatory deposition to the PDB of the 3D atomic coordinates comprising the structure, together with experimental data and metadata. Many governmental/non-governmental research funders also require PDB deposition of macromolecular structural data.

Over the past two decades, structural biology and structure-guided drug discovery have become well established within the biopharmaceutical industry (Blundell, 2017; Klebe, 2013). 3D structures frequently provide information about how individual small-molecule weight ligands bind to their target proteins [e.g., imatinib in chronic myeloid leukemia (Capdeville et al., 2002; Nagar et al., 2002)]. Structural data have also proven useful in overcoming some of the myriad challenges inherent in turning biochemically-active compounds into potent drug-like molecules suitable for safety and efficacy testing in animals and humans (Stoll et al., 2011). In the realm of biologics [~20% of approved drugs over the past decade (Mullard, 2016)], 3D structural information is now routinely being used to drive engineering of monoclonal antibodies (Gilliland et al., 2012).

Previously published case studies [e.g., (Hu et al., 2018)] and largely anecdotal reports presented at scientific meetings leave no doubt as to the importance of individual contributions to drug discovery made by macromolecular crystallographers working in industry, but they do not address the impact on the biopharmaceutical industry by publicsector structural biologists and the data they contribute to the PDB archive. We, therefore, undertook a quantitative assessment of the impact of structural biologists and the PDB on the discovery and development of 210 New Medical Entities [NMEs or drugs] approved by the US Food and Drug Administration [FDA] between 2010 and 2016.

## Results and Discussion

### Overview:

We analyzed PDB archival holdings and identified 3D structures that include the 210 NMEs and/or their 150 known molecular targets [Table 1]. The 210 NMEs break down into three classes: small chemicals of molecular weight <1000 [Low Molecular Weight or LMW-NMEs: 81.4%, 171/210], proteins or peptide hormones with molecular weight 1000 [Biologic-NMEs: 17.1%, 36/210], and nucleic acid drugs [Antisense Oligonucleotide or ASO-NMEs: 1.5%, 3/210]. Known molecular targets of the 210 NMEs include proteins [147/150], a lipid [1/150], a small-molecule drug [1/150], and a glycolipid substrate of an enzyme [1/150]. 13 of the 210 NMEs have no known molecular target. Methods used in generating the findings reported in this Perspective are described in the Supplementary Materials. Primary data assembled from the PDB archive, US FDA documents, and the scientific literature are provided in Table S1.

In aggregate, we identified 5,914 unique PDB structures that contain a known protein target of an NME or one of the NMEs [hereafter referred to as “Relevant Structures”]. Our definition encompasses PDB structures containing the following: (i) a reference or a mutant/variant form of a human protein NME target; (ii) a reference or a mutant/variant form of a bacterial or a viral protein NME target; (iii) a LMW-NME bound to a reference or mutant/variant form of its target protein; (iv) a LMW-NME bound to a possible off-target protein; (v) a LMW-NME bound to a potential alternative target protein; (vi) a Biologic-NME; (vii) a Biologic-NME bound to a reference or mutant/variant form of its target protein; or (viii) a Biologic-NME bound to its small-molecule target.

These 5,914 Relevant Structures cover 86% [126/147] of the known protein targets of the NMEs and 88% [184/210] of NMEs [Table 2]. For most of the known protein targets of a given NME, the PDB archive contains more than one Relevant Structure [80%; 117/147]. In some cases, there are 10s to more than 100 Relevant Structures identified for a particular NME, reflecting frequent reliance on structural data by drug discovery teams operating in biopharmaceutical companies [Figure 1]. Finally, most drug targets do not function as macromolecules in isolation. They frequently engage in protein-protein interactions that underpin normal biological function and/or pathogenesis. It is, therefore, significant that a considerable fraction of the Relevant Structures [37%; 2,193/5,914] include both the NME target protein and one or more partner proteins, thereby providing broader structural insights into drug target biology.

### Experimental Methods used to Determine the Relevant Structures:

More than 95% of Relevant Structures [median resolution limit 2.08Å] were determined using macromolecular crystallography [MX], the dominant experimental method in structural biology today. The remainder were determined by either nuclear magnetic resonance spectroscopy [NMR; ~4%] or 3D electron microscopy [3DEM; ~1%]. For the ~145,000 structures currently housed in the PDB archive, the relative breakdown by experimental method used for structure determination is as follows: MX: 89.5%; NMR: 8.5%; 3DEM: 1.6%; Other: 0.4%.

### Types of Institutions Depositing Relevant Structures:

In most cases, the earliest instance of a Relevant Structure for a given NME came from research groups in academe [73%], with the remainder coming from industry [21%] or from combined academic-industrial research teams [6%]. Institution type information for the earliest Relevant Structure for each NME was derived manually from the author affiliations listed in the publication reporting the structure determination. Other 3D structures of protein-ligand complexes contributed to the PDB by industrial researchers are impacting to drug discovery by providing data useful for methods development. For example, a large number of “post-competitive” co-crystal structures have been generously donated by biopharmaceutical companies to the NIGMS-NIH funded Drug Design Data Resource [D3R; [drugdesigndata.org](http://drugdesigndata.org)] for use in blind challenges of computational docking/scoring of ligands (Gathiaka et al., 2016).

### Open-access Availability and Publication of Relevant Structures:

More than half of the 5,914 Relevant Structures were deposited to the PDB well before the NME was approved by the US FDA for clinical use. The median time between deposition and approval exceeded 10 years [Figure 2]. Virtually all of the 5,914 Relevant Structures [92%, 5,441/5,914] were reported in a PubMed-indexed publication around the time of PDB deposition. In aggregate, the PDB archive has records of 2,541 primary publications describing one or more of the Relevant Structures. These papers had garnered 204,660 literature citations as of August 2018, giving an average of >80 citations/primary publication. For reference, the average number of literature citations/primary publication across the entire PDB archive is ~50 (Burley et al., 2018). Galkina Cleary and coworkers (Galkina Cleary et al., 2018) recently published a study of these same 210 NMEs and their molecular targets. They documented that research studies on the 150 known molecular targets were reported in more than 2 million PubMed-indexed publications. Although we cannot draw definitive conclusions from these data, it appears that ~10% of worldwide research on the known molecular targets of the 210 NMEs was influenced by 3D structural data made freely accessible from the PDB.

### Low Molecular Weight-NMEs:

171 of the 210 NMEs are small molecules with molecular weight <1000. Approximately 92% of these LMW-NMEs [158/171] have known protein targets. We identified 5,364 Relevant Structures containing a known protein target of a LMW-NME [Table 2]. In addition, we identified 277 Relevant Structures containing one of the LMW-NMEs [Table 2]. In a significant minority of cases [18%, 30/171], the number of Relevant Structures for a given LMW-NME exceeded 100 [Figure 1], reflecting the importance of structure-guided drug discovery for some drug targets [e.g., thrombin (Nar, 2012); HIV-protease inhibitors (Wlodawer and Vondrasek, 1998)].

US FDA approvals of anti-neoplastic agents benefited very considerably from PDB structural data. 55 of the 59 anti-cancer NMEs have Relevant Structures for their known protein targets. 26 of the 59 anti-cancer NMEs have Relevant Structures containing one of the NMEs. Some of these new drugs have a wealth of Relevant Structures represented in the PDB archive. For example, bosutinib has a total of 179 Relevant Structures, including those

of its protein target [human Src] alone, mutant forms of Src, phosphorylated forms of Src, bosutinib bound to Src, other small-molecule inhibitors bound to Src, and bosutinib bound to proteins other than Src. Beginning in 1994 with publication and PDB deposition of the first human Src structure [PDB ID: 1shd; (Gilmer et al., 1994)] these 179 structures provided detailed insights into the biology of Src as a non-receptor tyrosine kinase, its role as a driver of tumor growth, inhibition with a variety of small-molecules, and eventual targeting with a US FDA approved drug, the LWM-NME bosutinib.

For some of the LMW-NMEs, structural data for drug-protein complexes were only deposited to the PDB and published after the for-profit company had completed its proprietary structure-guided drug discovery campaign [e.g., vemurafenib targeting the Val600→Glu or V600E mutant form of B-Raf protein kinase found in ~50% of late stage metastatic melanomas, PDB ID: 3og7; (Bollag et al., 2010)]. For some Central Nervous System NME targets [e.g., ion channels and G-protein coupled receptors], some of the structure determinations dependent on 3DEM (Renaud et al., 2018) were only deposited to the PDB and published around the time of NME approval. Open access to these and other PDB structural data forthcoming from 3DEM will almost certainly influence future US FDA NME approvals in this challenging yet important therapeutic area.

### Biologics-NMEs:

36 of the 210 NMEs are biologics [i.e., proteins or peptide hormones with molecular weight 1000]. More than 90% of these Biologics-NMEs [33/36] have known protein targets. The number of unique protein targets of all Biologics-NMEs equals 25. Not surprisingly, monoclonal antibodies are most numerous [24/33], followed by peptide hormones [6/33], antibody-drug conjugates [2/33], and one enzyme [*Clostridium histolyticum* collagenase; approved for treating Dupuytren's contractures]. The remaining three Biologics-NMEs that do not have protein targets include an antilipid antibody [dinutuximab; approved for treating high-risk pediatric neuroblastomas], an anti-drug antibody [idarucizumab; approved for reversal of the effects of the small molecule thrombin inhibitor dabigatran], and a beta glucocerebrosidase enzyme [taliglucerase alfa; approved for treating Gaucher's lipid storage disease]. Structures of both idarucizumab [PDB IDs: 4jn1, 4jn2; (Schiele et al., 2013)] and taliglucerase alfa [earliest of 24 PDB IDs: 1ogs; (Dvir et al., 2003)] are represented in the PDB. There are no Biologics-NMEs with unknown molecular targets.

Relevant Structures for 94% [31/33] of the Biologic-NMEs with known protein targets were detected. In aggregate, we found 474 Relevant Structures containing a known protein target of a Biologic-NME [Table 2]. We also identified 41 Relevant Structures containing a Biologic-NME [Table 2]. Approximately one third [13/36] of the Biologic-NMEs are represented in the PDB with structures of the drug itself [e.g., metreleptin, PDB ID: 1ax8; (Zhang et al., 1997)]. Finally, 10 Biologic-NMEs are represented in the PDB as one or more co-crystal structures of the drug bound to its known target protein, including parathyroid hormone bound to the extracellular portion of its G protein-coupled receptor (Pioszak and Xu, 2008)]. Given the complexity of designing a Biologic-NME and determining optimal usage in clinical settings, it is not surprising that PDB structures play many different roles in biologics drug discovery and development (Hu et al., 2018).

Structural information can also assist in improving properties of proteins developed as Biologic-NMEs. A powerful example is provided by recombinant human insulin and its analogs, which in aggregate were prescribed nearly 58 million times in the US during calendar year 2016 (Kane, 2018). There are more than 100 insulin structures in the PDB. The first insulin structure was deposited in 1980 [PDB ID 1ins; (Blundell et al., 1971)]. Knowledge of the 3D structure of insulin decisively influenced the molecular designs of recently approved analogs with pharmacokinetic and pharmacodynamic properties markedly different from those of earlier formulations of wild-type bovine, porcine, and human insulins [e.g., degludec, an ultralong-acting insulin, PDB IDs: 4ajx, 4ajz, 4ak0, 4akj; (Steensgaard et al., 2013)].

Eight of the monoclonal antibody Biologic-NMEs and one of the antibody-drug conjugate Biologic-NMEs are represented in the PDB as co-crystal structures of the Fab fragment bound to its known target protein. Such co-crystal structures provide important insights into how these drugs work. For example, structural studies of anti-her2 antibodies determined that they bind to distinct antigenic epitopes, explaining the molecular underpinnings of effective combination therapy for breast cancer [reviewed in (Olson, 2012)] with pertuzumab [PDB ID: 1s78; (Franklin et al., 2004)] and trastuzumab [PDB ID: 1n8z; (Cho et al., 2003)].

Structural biologists have also helped to explain how immune check-point inhibitors prevent down regulation of T-cells by tumors displaying the cell surface proteins PD-L1 and PD-L2. The PDB archive contains multiple structures of PD-1 [earliest PDB ID: 1npu; (Zhang et al., 2004)], PD-L1 [earliest PDB ID: 3bis; (Lin et al., 2008)], PD-L2 [earliest PDB ID: 3bov; (Lazar-Molnar et al., 2008)], PD-1/PD-L1 complexes [earliest PDB ID: 3bik; (Lin et al., 2008)], and PD-1/PD-L2 complexes [earliest PDB ID: 3bp5; (Lazar-Molnar et al., 2008)]. Two of the recently approved monoclonal antibody Biologic-NMEs target PD-1. Structures of both nivolumab [earliest PDB ID: 5ggq; (Lee et al., 2016)] and pembrolizumab [earliest PDB ID: 5dk3; (Scapin et al., 2015)] are available from the PDB. The PDB archive also contains multiple structures of nivolumab/PD-1 complexes [earliest PDB ID: 5ggr; (Lee et al., 2016)] and pembrolizumab/PD-1 complexes [earliest PDB ID: 5jxe; (Na et al., 2017)]. Biopharmaceutical company recognition that PD-1 was a *bona fide* target for anti-neoplastic immunotherapy came in no small part from ~750 PDB structures that together revealed the molecular mechanisms underpinning antigen presentation to T-cell receptors and T-cell regulation, beginning with the crystal structure of the major histocompatibility complex published and deposited to the PDB in 1987 [PDB ID: 1hla; (Bjorkman et al., 1987)].

### Antisense Oligonucleotide Drugs:

Three of the 210 recently approved NMEs are antisense oligonucleotides (ASO-NMEs) that target mRNAs and not proteins, including Eteplirsen [targeting dystrophin], mipomersen [targeting apo-lipoprotein B 100], and nusinersen [targeting survival motor neuron or SMN Protein]. There are, however, Relevant Structures of the proteins encoded by the target mRNAs in the PDB for both eteplirsen/dystrophin [earliest PDB ID: 1dxx; (Norwood et al., 2000)] and nusinersen/SMN protein [earliest PDB ID: 4a4f; (Tripsianes et al., 2011)]. While these Relevant Structures did not influence the design of the ASO-NMEs *per se*, they did



contribute to the very significant body of “pre-competitive” data pertaining to the biology of the proteins translated from each of the mRNAs and their respective roles in human disease.

### **Drugs with No Known Molecular Targets:**

Only 6% [13/210] of the NMEs approved between 2010–2016 have no known molecular target. These new drugs came primarily from phenotypic screening, which remains an important means of identifying lead compounds for drug discovery (Moffat et al., 2017).

### **Cost of Relevant Structures Deposited to the PDB:**

The global cost of all publicly-funded research focused on the known protein targets of the 210 NMEs is significant. Identification of 5,914 Relevant Structures allowed us to estimate the total cost of the structure determinations themselves at ~\$600 million [using an average cost/structure of ~\$100,000 in today’s currency extrapolated from metrics reported during the NIH NIGMS-funded Protein Structure Initiative] (Burley et al., 2008). Galkina Cleary et al. (2018) estimated that in excess of \$100 billion in NIH funding [~20% of the NIH budget between 2000 and 2016] helped support research on the known molecular targets of the 210 NMEs approved between 2010 and 2016. N.B.: Most of NIH-funded research on the 150 known molecular targets analyzed by Galkina Cleary et al. (2018) was deemed to have been basic and non-proprietary (or pre-competitive).

Neither of these cost estimates include the enormous sums of money expended by various biopharmaceutical companies to discover, develop, and secure US FDA approval of the 210 NMEs. The Tufts University Center for the Study of Drug Development recently reported the average cost per new drug approval at ~\$2.87 billion [in 2013 US dollars] (DiMasi et al., 2016). Combining this industry estimate with our findings and those of Galkina Cleary et al. (2018) suggests that aggregate public-and private-sector expenditures that ultimately yielded the 210 new drug approvals exceeded \$700 billion.

### **Impact of the PDB on Drugs Approved Outside the US:**

Not captured in our analyses is the impact of PDB structures on contemporaneous approvals of NMEs outside the US [i.e., Europe, Japan]. For example, tafamidis, a small-molecule transthyretin stabilizer, was approved in 2011 by the European Medicines Agency to delay peripheral nerve impairment in adults with transthyretin-related hereditary amyloidosis. The first 3D structure of transthyretin a.k.a. prealbumin, the protein target of tafamidis, was deposited to the PDB in 1977 [PDB ID: 2pab; (Blake et al., 1978)]. Subsequently, a co-crystal structure of the transthyretin-tafamidis complex was both published (Penchala et al., 2013) and deposited to the PDB [PDB ID: 4his]. Tafamidis is currently undergoing clinical trials in the US ([clinicaltrials.gov](http://clinicaltrials.gov)).

### **Drug Discovery and Development Eco-system:**

Documentation of the impact of PDB data on recent drug approvals in the US and elsewhere is consistent with our previously published work that reported mentions of the PDB in >50,000 issued patents and patent applications in process worldwide during 2016 (Burley et al., 2018). The findings reported herein are also consistent with the holistic picture of the drug discovery and development eco-system painted by Galkina Cleary et al. (2018). We

identified a wealth of contributions by hundreds if not thousands of structural biologists to an extensive body of basic research that underpinned discovery and development of new drugs. For the avoidance of doubt, we acknowledge that many other biomedical research disciplines (e.g., genome sequencing, high-throughput screening, medicinal and computational chemistry) are also of tremendous importance in drug discovery and development efforts. Finally, our analyses of the impact of 3D structure provide further support for the view that life-changing biomedical innovations (e.g., NME approvals) depend critically on open sharing of data within scientific ecosystems and generation of knowledge therefrom (Beierlein et al., 2017).

## Postscript

The PDB is now in its 48<sup>th</sup> year of continuous operation, reflecting an unwavering community commitment to open access sharing of 3D structural data for biological macromolecules. Our analyses document that structural biologists in both academe and the biopharmaceutical industry have contributed substantially to both pre-competitive and proprietary research that led to US FDA approval of 184 NMEs between 2010 and 2016. Deposition of 3D structural data to the PDB at the time of structure publication ensures that this valuable information is immediately shared with the global biomedical research community to further basic and applied research. Open access to 3D structures of presumed drug targets directly facilitated structure-guided discovery of many of the 184 NMEs, thereby increasing efficiency and lowering costs of drug discovery campaigns in biopharmaceutical companies. Our work underscores the importance of adherence to the **FAIR** Principles (Wilkinson et al., 2016), which help ensure the broadest possible use of biomedical research data generated with government/private philanthropic funding as public goods that enable basic and applied research and education across the sciences.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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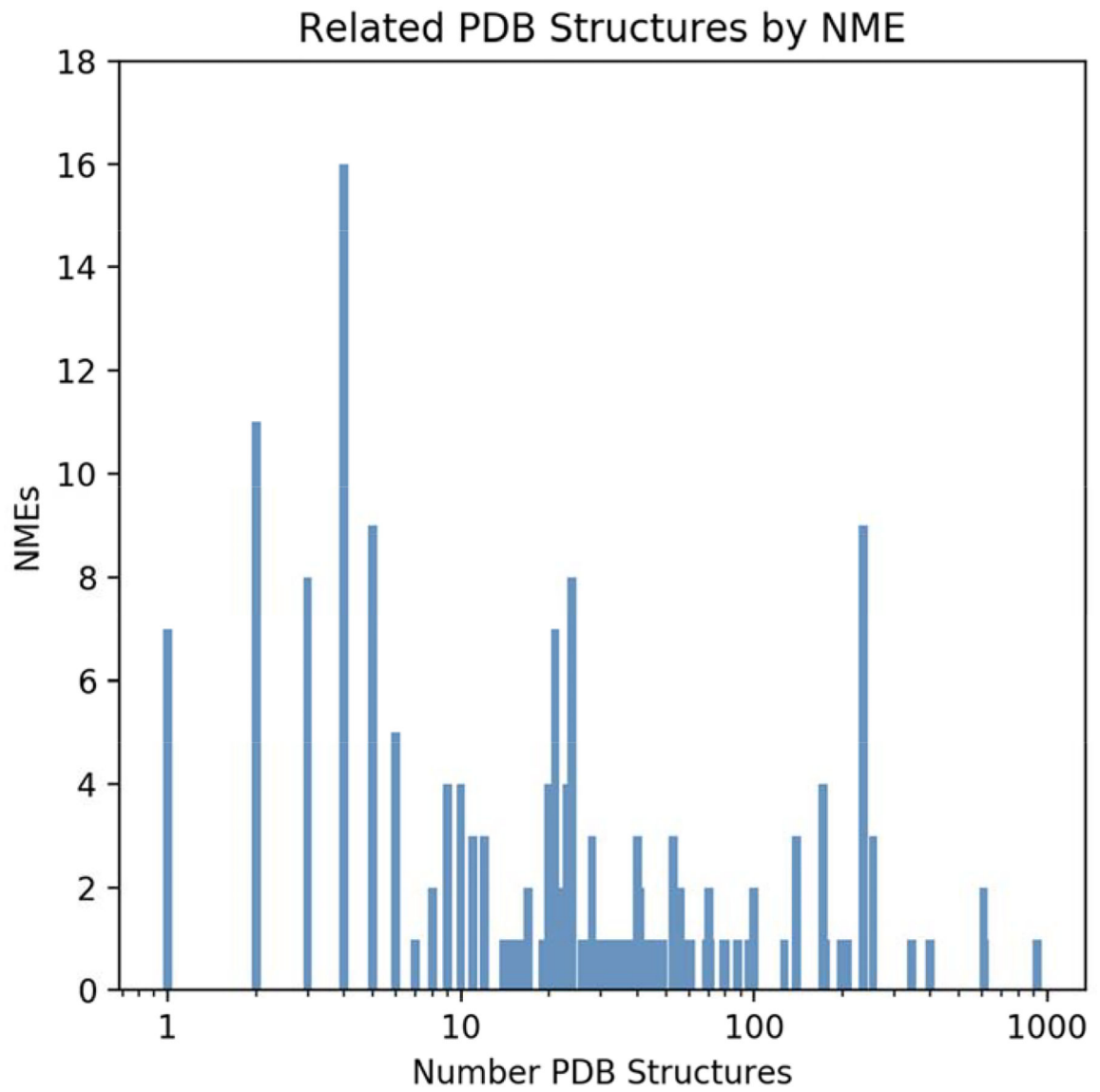
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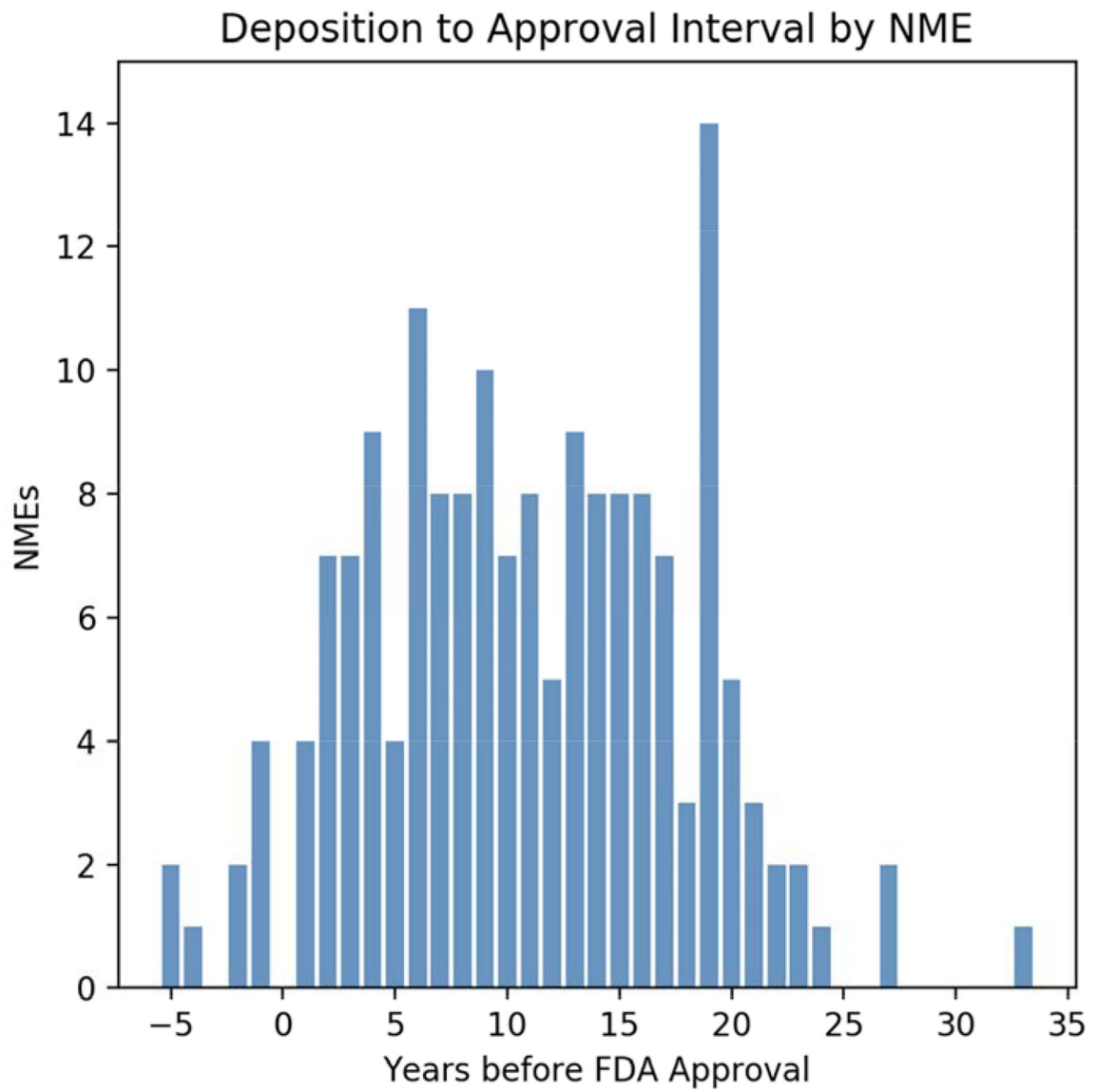
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### Highlights

- Open access to PDB structure data facilitates discovery and development of new drugs
- 210 new molecular entities (drugs) were approved by the US Food and Drug Administration 2010–2016
- Molecular targets are known for 94% of these 210 NMEs
- PDB contains 5,914 structures relevant to 88% of these new drugs across all therapeutic areas



**Figure 1.**  
Distribution of the number of Relevant Structures/NME.



**Figure 2.** Distribution of the lead time between earliest PDB deposition of a Relevant Structure for a particular NME and subsequent US FDA approval of that NME.



**Table 1.**

Breakdown of US FDA approved NMEs between 2010–2016 by drug type and therapeutic area.

Therapeutic Area	NMEs US FDA Approved Between 2010–2016	Low Molecular Weight NMEs	Biologic NMEs	Antisense Oligonucleotide NMEs	NMEs with Known Protein Targets	NMEs with Known NonProtein Target	NMEs with No Known Target
All	210	171	36	3	194	3	13
Anti-infective	31	29	2	0	29	0	2
Anti-neoplastic	59	45	14	0	54	1	4
Cardiovascular	21	17	3	1	18	1	2
Central Nervous System	21	18	1	2	20	0	1
Endocrine	7	6	1	0	7	0	0
Gastrointestinal	6	5	1	0	5	0	1
Immunologic	21	13	8	0	21	0	0
Metabolic	27	22	5	0	24	1	2
Respiratory	13	12	1	0	12	0	1
Miscellaneous	4	4	0	0	4	0	0

**Table 2.**

PDB coverage of US FDA Approved NMEs between 2010–2016 with therapeutic area breakdown.

Therapeutic Area	NMEs US FDA Approved Between 2010–2016	NMEs with Relevant Structures in PDB <sup>1</sup>	% PDB Coverage of NMEs with Known Targets	Low Molecular Weight NMEs in PDB Structures	Biologic NMEs in PDB Structures
All	210	184	93%	50	16
Anti-infective	31	27	93%	7	1
Anti-neoplastic	59	54	98%	26	6
Cardiovascular	21	16	89%	5	1
Central Nervous System	21	18	90%	1	1
Endocrine	7	7	100%	1	1
Gastrointestinal	6	5	100%	0	1
Immunologic	21	19	90%	4	2
Metabolic	27	23	96%	5	3
Respiratory	13	11	92%	0	0
Miscellaneous	4	3	75%	1	0

<sup>1</sup>Totals include all NME protein targets with 95% sequence identity to the UniProt ([uniprot.org](http://uniprot.org)) reference sequence for the target protein, with the exception of 3 NME protein targets where 50% sequence identity was used.