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## Comparative Effectiveness of Operative versus Non-Operative Treatment for Rotator Cuff Tears: A Propensity Score Analysis from The ROW Cohort

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### Abstract

**Background:** The evidence to support operative versus non-operative treatment for rotator cuff tears is sparse and inconclusive.

**Hypothesis/Purpose:** We assessed pain and functional outcomes in patients undergoing operative versus non-operative treatments for rotator cuff tears.

**Study Design:** From 03/2011 to 02/2015, a multi-center cohort of patients with rotator cuff tears undergoing operative and non-operative treatments was recruited.

**Methods:** Patients completed a detailed history questionnaire, the Shoulder Pain and Disability Index (SPADI), the American Shoulder and Elbow Surgeons Standardized Form (ASES), and underwent a MRI. In addition to baseline assessments, patients received follow-up questionnaires at 3, 6, 12, and 18 months. Propensity score weighting was used to balance differences in characteristics of operative and non-operative groups.

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**Results:** Adjusted for propensity score, operative (n=50) and non-operative (n=77) groups had similar characteristics as evidence by small Standardized Mean Differences (SMD) between the groups. Adjusted mean differences in SPADI and ASES scores between the operative and non-operative groups were -22 points (95% CI=-32.1, -11.8) and -22.2 points (95% CI=-32.8, -11.6) at 18 months, respectively. Surgery group had a significantly higher proportion of patients who showed 30% (p=0.002) and 50% (p<0.0001) improvement in SPADI and ASES scores as compared with non-operative treatment.

**Conclusions:** In our prospective cohort study, surgery had significantly better pain and functional outcomes as compared with non-operative treatment for rotator cuff tears. Differences between the two groups in SPADI and ASES scores at the 6–18 month time points met the MCID thresholds. A large randomized controlled trial is needed to answer this question more definitively.

**Clinical Relevance:** We present data on operative versus non-operative treatments for rotator cuff tears that can be used in clinical practice by clinicians and patients.

## Study Design

**Level of Evidence: Level IIc** (Outcomes Research)

## Keywords

rotator cuff tears; arthroscopy; non-operative

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## INTRODUCTION

Shoulder pain accounted for 12.6 million ambulatory care visits to physician offices in 2015 in the United States<sup>4</sup>. Rotator cuff tears are one of the leading causes of shoulder pain and disability and accounted for 272,148 surgeries in 2006<sup>12, 24</sup>. Non-operative treatment and surgery are offered to patients with rotator cuff tears with good outcomes<sup>4, 5, 8, 15, 16, 20, 27, 48</sup>. However, the evidence-base to support surgical versus non-surgical treatment is quite small and conflicting<sup>28, 29, 32, 38, 39</sup>. This paucity of evidence is highlighted in the 2012 American Academy of Orthopedic Surgeons (AAOS) Clinical Practice Guidelines<sup>43</sup>, Cochrane reviews<sup>11, 17</sup>, a report by the Agency for Healthcare Research and Quality<sup>1</sup>, and expert reviews<sup>2, 9, 35, 36, 41, 47, 53</sup>.

In a cohort of patients with rotator cuff tears followed longitudinally, we assessed comparative effectiveness of operative versus non-operative treatment as measured by shoulder pain and function.

## MATERIALS AND METHODS

### Patient Population

We recruited a cohort of patients with symptomatic rotator cuff tears in a multi-center longitudinal study termed as Rotator Cuff Outcomes Workgroup (ROW). Patients aged 45 and older were recruited from sports/shoulder clinics in 3 academic and 1 community settings between 03/2011 and 02/2015. Exclusion criteria were a current shoulder fracture, prior shoulder surgery (on the index shoulder), and active cervical radiculopathy (elicited as

neck pain radiating to the shoulder/arm/hand). Additional details about this cohort has been provided previously<sup>21, 22</sup>. Patients provided informed consent and the study was approved by our Institutional Review Boards. Patients who met eligibility criteria, completed a baseline assessment, and were recommended either operative or non-operative treatment for rotator cuff tear without crossing over from non-operative treatment to surgery (n=7) were included in this analysis (n=127).

### Structured History Questionnaire and Outcome Measures

Patients were asked to complete a structured shoulder and general health questionnaire at enrollment. An abbreviated version of this questionnaire was mailed to patients around each of the follow-up time points. Patients were asked about their demographics, comorbidities, symptoms, smoking/alcohol habits, and patient expectations from treatment in the questionnaires. Patients were asked about manual labor at their current job to get information on daily use of shoulder at work. If patients were not working, they were instructed to provide information on manual labor at their last job. Patients completed the Fear-Avoidance Beliefs questionnaire (FABQ) which was designed by Waddell et al.<sup>50</sup> to assess fear-avoidance beliefs about physical activity and work in patients with low back pain. The FABQ physical activity questionnaire (4 items that contribute towards scoring) was slightly modified for our study to say “shoulder” instead of “back” for our study. The scale has 24 possible points with a higher score indicating worse fear-avoidance behavior. The Mental Health Inventory (MHI-5)<sup>7</sup>, a component of the 36-item Short Form Health Survey<sup>51</sup> was used to get information on mental health. MHI-5 scores range from 0 to 100. A score of 68 on the MHI-5 is indicative of a probable mood disorder (including depression)<sup>26, 49</sup>.

Shoulder pain and function were measured using the Shoulder Pain and Disability Index (SPADI)<sup>45</sup>, a standardized 13-item questionnaire, and the American Shoulder and Elbow Surgeons Standardized Shoulder Form (ASES)<sup>44</sup>, an 11-item questionnaire with minor modifications as described elsewhere<sup>40</sup>. Score ranges for ASES and SPADI are from 0 to 100, with higher scores reflecting worse pain and function.

### Strength Testing

Strength testing was performed using a hand-held dynamometer in abduction, external rotation, and internal rotation by trained research assistants. Our detailed protocol for standardized strength testing has been previously described<sup>25, 37</sup>. Strength testing using a dynamometer has good intra-rater and inter-rater reliability<sup>19</sup>. We used a ratio of the affected shoulder versus the contralateral shoulder strength in the analysis. There were 2 patients with a strength ratio above 3. These patients were given a value of 3 for the strength ratio to avoid outlying values in the analysis.

### Diagnostic Imaging

Shoulder MRI images were read in a blinded fashion by consensus by two shoulder experts. Our detailed protocol for imaging review and good inter-rater and intra-rater reliability for these MRI readings as compared with a reading by a musculoskeletal radiologist has been

previously described<sup>23</sup>. Kappa values ranged from 0.75 to 0.90 for tear presence, tear size, and tear thickness<sup>23</sup>.

### **Diagnosis of Rotator Cuff Tear**

Our algorithm for diagnosis of rotator cuff tear has been previously described<sup>21, 22</sup>. A diagnosis of rotator cuff tear was made based on the clinical impression of a sports/shoulder fellowship trained attending physician and evidence of structural deficit on a MRI (when available). If MRI was unavailable (since it was not clinically indicated; n=17), the diagnosis was based on the clinician's impression. It is important to include patients without MRI in the analysis to avoid a spectrum bias in patients undergoing non-operative treatment who in many cases do not need imaging unless surgery is indicated.

The diagnosis of biceps tendon pathology was based on the physician indicating that the patient had clinical signs and symptoms corresponding to biceps disease (a "yes/no" question).

### **Non-Operative Treatment and Surgery**

Patients pursued either non-operative treatment including physical therapy or rotator cuff surgery after their baseline visit. Treatment decisions were made based on shared decision-making between the physician and the patient. Physical therapy included rotator cuff strengthening, scapular stabilization exercises, and capsular stretching. Surgery was performed by one of the study surgeons and patients underwent post-operative rehabilitation after surgery. Patients were typically in a sling for about 3–6 weeks after surgery. Patients could receive additional interventions such as injections and medications as medically indicated in either arm. There were 11 patients who were recommended surgery but did not have surgery. These patients were included in the non-operative arm of our cohort study.

### **Longitudinal Follow-Up**

Patients were followed at approximately 3, 6, 12, and 18 months after completion of baseline visit. Follow-up was performed via mail and patients received phone or email reminders if they did not return the questionnaires.

### **Statistical Analysis**

Data for this study was entered twice to minimize inaccuracies during data entry. If there was a discrepancy between the two datasets, source documentation was reviewed to resolve them. Baseline SPADI score and 23 individual and composite variables that are related to both the treatment assignment and outcome are included in current analysis. Multiple imputation (MI) with predictive mean matching method was used to impute missing data among all 24 variables. Restricted cubic splines were applied to all continuous variables. Twenty separate datasets were constructed to account for added variability introduced by imputation. A propensity score was estimated for each patient in each imputation data set as the probability of surgery using a multivariable logistic regression<sup>3</sup>. The final propensity score for each patient was the average of 20 propensity scores calculated from imputed complete datasets. Matching weights were calculated for both surgery and non-operative groups<sup>14, 33</sup>. The standardized mean difference (SMD) was calculated to estimate the

improved balance among covariates achieved by propensity score weight matching. SPADI and ASES scores were measured at baseline, 3, 6, 12, and 18 months post enrollment. Our primary model(s) of SPADI and ASES included treatment, time (modelled as indicator variables), and time by treatment interaction using 11 degrees of freedom. In addition to the fixed effects mentioned above, individual participant ID was included as the random effect and inverse probability weighting (IPW) was used to adjust estimates for the propensity of treatment group membership. Compound symmetry was chosen to model the variance covariance matrix based on AIC criteria relative to auto-regressive and unstructured. The primary model, adjusting for propensity score, allows us to estimate the average treatment effect (ATE) at the population level, a measure achieved directly by a randomized study design. Supporting linear mixed effects models were fitted to study differential effects on SPADI and ASES scores over time for smoking, age, alcohol use, baseline SPADI (or ASES), external rotation strength ratio, daily shoulder use at work, trauma, fatty infiltration, number of tendons torn, MHI-5, patient expectations, tear thickness, and treatment (operative versus non-operative). Two-sided alpha level at 0.05 was set as significance level. Statistical analysis was performed using the computing environment R.

## RESULTS

There were 77 patients who underwent non-operative treatment and 50 patients who had operative treatment in our cohort. Adjusted for propensity score, operative and non-operative groups had similar characteristics as evidenced by the small Standardized Mean Differences (SMD) between the two groups (Table 1).

The observed SPADI (5.6; 95% CI=2.6, 8.7 for surgery and 25.7; 95% CI=19.4, 32.0 for non-operative; Figure 1a), and ASES (10.4; 95% CI=5.5, 15.2 for surgery and 27.1; 95% CI=21.4, 32.8 for non-operative; Figure 1b) scores plateaued by 12 months of follow-up in our cohort.

Adjusted for propensity score, the estimated difference in SPADI score between the operative and non-operative groups was -22 points (95% CI=-32.1, -11.8; Table 2a and Figure 2a) at 18 months. Similarly, the estimated adjusted difference in ASES score between the operative and non-operative groups was -22.2 points (95% CI=-32.8, -11.6; Table 2b and Figure 2b) at 18 months. In a sensitivity analysis adjusting for smoking, age, alcohol use, baseline SPADI (or ASES), external rotation strength ratio, daily shoulder use at work, trauma, fatty infiltration, number of tendons torn, MHI-5, patient expectations, and thickness of tear, receiving operative treatment versus non-operative treatment showed a differential effect over time, with visits at 6, 12, and 18 months for the operative group having lower SPADI and ASES scores than 3 months visit ( $p<0.01$ ).

In an analysis of 30% improvement from baseline in SPADI and ASES scores, a significantly higher proportion of patients undergoing surgery improved versus non-operative treatment (90% versus 57%;  $p=0.002$  for SPADI, and 88% versus 61%;  $p=0.002$  for ASES; Tables 3a and 3b). Similarly, when a 50% improvement from baseline threshold in SPADI and ASES scores was used, a significantly higher proportion of patients

undergoing surgery improved versus non-operative treatment (86% versus 44%;  $p < 0.0001$  for SPADI, and 84% versus 45%;  $p < 0.0001$  for ASES; Tables 3a and 3b).

## DISCUSSION

We assessed comparative-effectiveness of operative versus non-operative treatment for rotator cuff tears in a well-characterized cohort of patients recruited from academic and community settings. Our results show that over an 18-month follow-up period, patients undergoing surgery had significantly improved pain and functional outcomes as measured by SPADI and ASES as compared with those receiving non-operative treatment. The difference between the groups is sustained over the duration of the study after the first 3 months. When assessed as a 30% or 50% change in SPADI or ASES scores from baseline, patients undergoing surgery had a significantly higher proportion of patients meeting these outcomes improvement benchmarks.

Our study was designed to be a cohort study. Given the non-randomized nature of a cohort study, it has inherent indication bias in patients that receive operative versus non-operative treatment<sup>30</sup>. We used propensity score methodology as has been previously described<sup>18, 30</sup> to control for confounding by indication. This method accounts for differences in the likelihood of patients with certain characteristics to receive surgery versus non-operative treatment, and weights each patient in the cohort to balance the two groups. The gold standard study design for minimizing bias is a randomized controlled trial. Thus, even though we have used advanced methodology in this comparative-effectiveness study to adjust for indication bias, our results should be interpreted with caution.

There is substantial literature on pain and functional improvements after operative and non-operative treatment<sup>4-6, 10, 15, 16, 20, 27, 34, 42, 48</sup>. Surgical studies generally report favorable outcomes but do not have a comparison non-operative group<sup>4-6, 10, 15, 16, 20, 27, 34, 42, 48</sup>. Similarly, studies on non-operative treatments show improved symptoms and function over 12–24 weeks but do not have a surgical comparison group. There are three published small randomized trials on operative versus non-operative treatments for rotator cuff tears<sup>28, 32, 38</sup>. Moosmayer et al.<sup>38</sup> had clinically relevant study entry criteria such as exclusion of subscapularis tendon tears and prior shoulder tendon surgery, and inclusion of only full-thickness tears. The trial showed a statistically significant improvement in the surgery versus the non-operative group as measured by the shoulder Constant score<sup>13</sup> (13 point difference) and the visual analogue pain scale (1.7 cm difference). Recently, results from two and five year follow-up of this cohort were presented<sup>39</sup>. The difference in Constant scores between the surgery and non-operative groups in an intention to treat analysis was 2.6 (95% confidence intervals=−3.1, 8.3) at 2 years and 5.3 points (95% confidence intervals=−0.05, 10.7) at 5 years of follow-up. Thus, differences between the two groups were not statistically significant. Kukkonen et al.<sup>28</sup> randomized 173 patients with supraspinatus tears into three groups: physiotherapy; physiotherapy and acromioplasty, and; rotator cuff repair, acromioplasty, and physiotherapy. They reported no statistically significant differences in Constant scores at 12 months of follow-up across the three groups. After two years of follow-up, results again, showed no difference in clinical outcome between the three groups<sup>29</sup>. Lambers Heerspink et al.<sup>32</sup> randomized 56 patients with degenerative full-

thickness tears and at 12 months of follow-up reported no significant difference in Constant-Murley score between the surgery group and conservative care group. Although differences in VAS pain and disability scores between the two groups were statistically significant, these differences were small and unlikely to meet clinical significance. In our study, surgery had significantly superior outcomes as compared with non-operative treatment at all follow-up time points except for 3 months. At the 3 month time point patients who had surgery are still recovering from the operation, and hence it is not surprising that they have not improved. The differences in SPADI scores at time points from 6–18 months between the operative and non-operative groups were greater than the reported Minimal Clinically Important Difference (MCID) of 8–13.2 points for SPADI<sup>31, 46, 54</sup>. ASES has a MCID of 6.2–13.9 points<sup>52</sup>, and differences between the two groups also cross this threshold between 6–18 months.

Limitations of our study include a relatively small sample size, missing MRI information in 17 patients, and unavailability of complete data at all of the outcome time points. We were also limited by a cohort study design as opposed to a randomized controlled trial.

In our prospective cohort study, surgery had significantly better pain and functional outcomes as compared with non-operative treatment for rotator cuff tears. Differences between the two groups in SPADI and ASES scores at the 6–18 month time points met the MCID thresholds. Thus, pain and functional differences observed between the two groups in our study meet statistical significance and are clinically meaningful. An analysis with 30% and 50% improvement in SPADI and ASES scores from baseline also yielded similar results with surgery significantly superior to non-operative treatment. Although we present data from a well-designed cohort study and use advanced methodology, a large randomized controlled trial is needed to answer this question more definitively.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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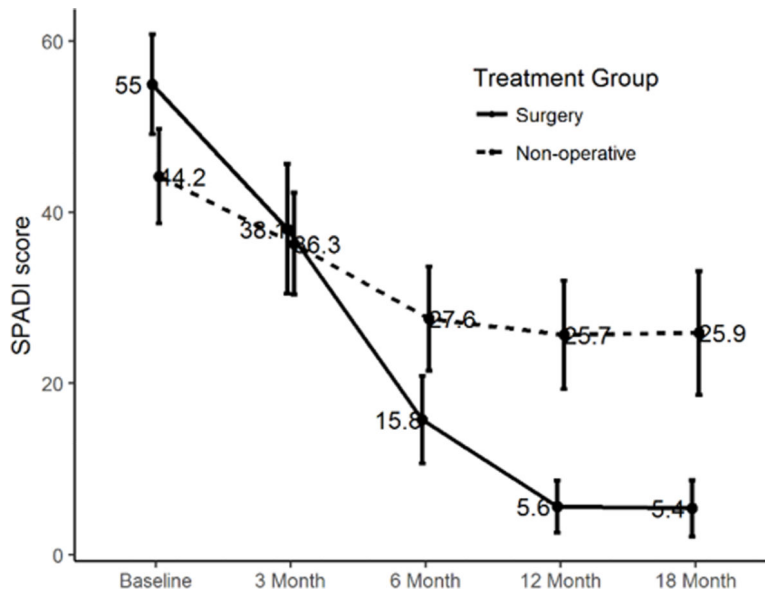
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**What is known about the subject**

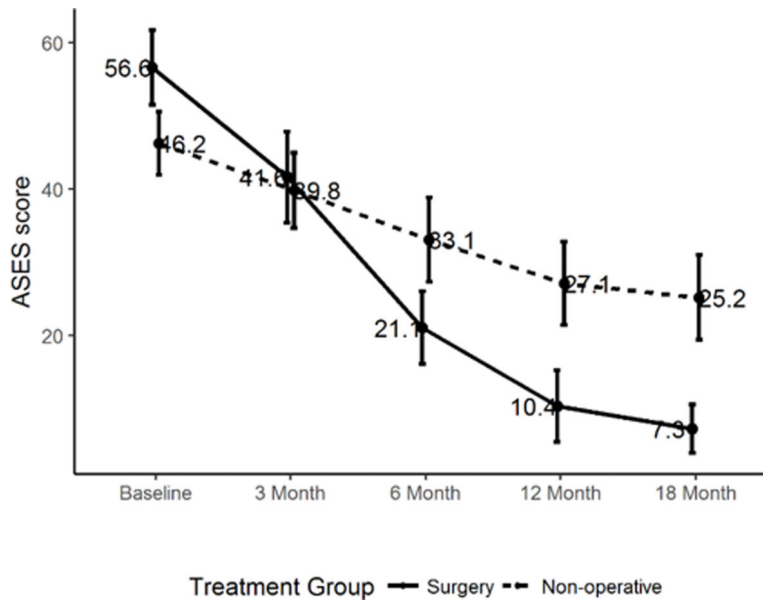
- Rotator cuff tears are the most common cause of shoulder pain and treated operatively or non-operatively
- Operative and non-operative treatments have good outcomes

**What this study adds to existing knowledge:**

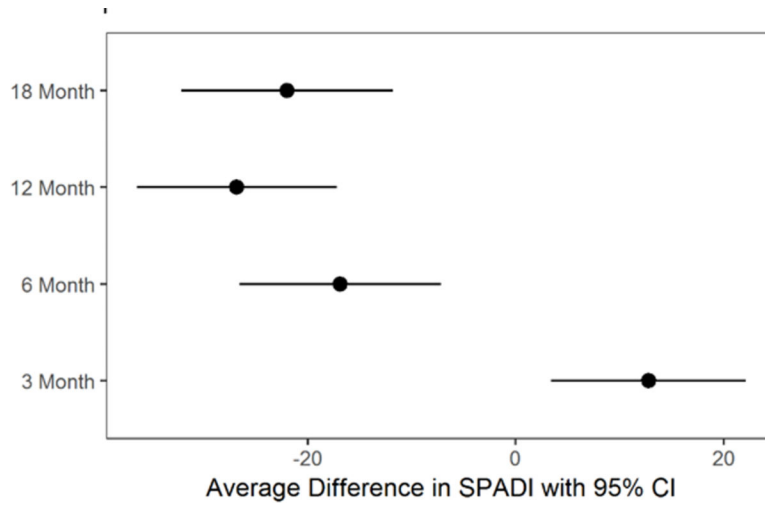
- We provide data on comparative-effectiveness of operative versus non-operative treatments for rotator cuff tears in a well-designed cohort study



**Figure 1a:** Observed SPADI Scores with 95% Confidence Intervals of Operative and Non-Operative Treatments over 18 Months of Follow-Up



**Figure 1b:** Observed ASES Scores with 95% Confidence Intervals of Operative and Non-Operative Treatments over 18 Months of Follow-Up



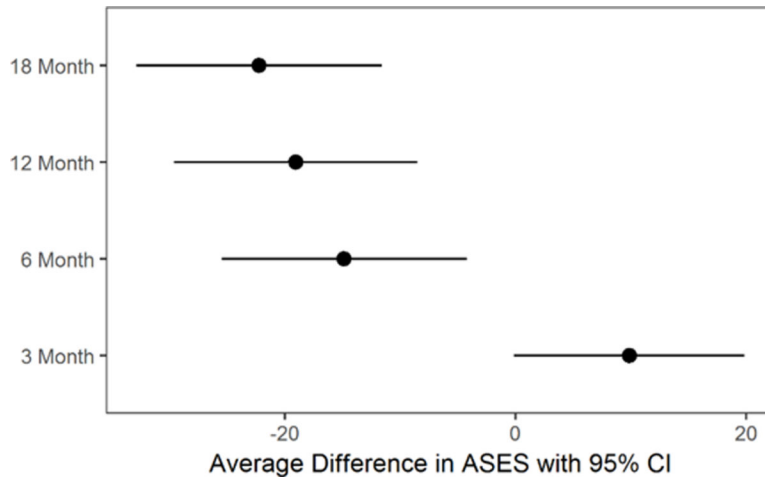
**Figure 2a:** Predicted mean differences with 95% confidence intervals in SPADI Scores between Operative and Non-Operative Treatments over 18 Months of Follow-Up

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**Figure 2b:**  
Predicted mean differences with 95% confidence intervals in ASES Scores between Operative and Non-Operative Treatments over 18 Months of Follow-Up

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**Table 1:**

Baseline Characteristics\*\* of Patients Undergoing Operative and Non-Operative Treatment for Rotator Cuff Tears Before and After Propensity Score Weighting: The ROW Cohort

	BEFORE WEIGHTING			AFTER WEIGHTING		
	SURGERY (n=50)	NON- OPERATIVE (n=77)	SMD	SURGERY (n=50)	NON- OPERATIVE (n=77)	SMD
<b>DEMOGRAPHICS</b>						
<b>SEX</b>			0.257			0.017
Female	38.0%	50.6%		45.6%	44.7%	
Male	62.0%	49.4%		54.4%	55.3%	
<b>AGE (YEARS)*</b>	59.3±8.9	63.8±8.3	0.534	61.5±8.3	61.1±8.5	0.038
<b>HIGHEST LEVEL OF EDUCATION</b>			0.019			0.101
Less than college	33.3%	34.2%		31.6%	36.4%	
College or above	66.7%	65.8%		68.4%	63.6%	
<b>MARITAL STATUS</b>			0.139			0.032
Single/Divorced/Widowed	22.0%	28.0%		27.5%	26.1%	
Married	78.0%	72.0%		72.5%	73.9%	
<b>SHOULDER SYMPTOMS AND STRENGTH</b>						
<b>SYMPTOM DURATION (MONTHS)*</b>	22.6±40.6	23.9±54.3	0.048	25.3±46.3	27.2±57.7	0.035
<b>DOMINANT SHOULDER AFFECTED</b>			0.041			0.023
No	22.9%	24.7%		29.0%	30.1%	
Yes	77.1%	75.3%		71.0%	69.9%	
<b>DAILY SHOULDER USE AT WORK</b>			0.154			0.008
Light/No manual labor	75.5%	81.8%		79.9%	79.6%	
Heavy/Moderate manual labor	24.5%	18.2%		20.1%	20.4%	
<b>TRAUMATIC TEAR</b>			0.266			0.055
No	46.0%	59.2%		47.9%	45.2%	
Yes	54.0%	40.8%		52.1%	54.8%	
<b>SPADI SCORE AT BASELINE*</b>	55.0±20.5	44.2±23.1	0.493	49.1±20.7	49.6±21.6	0.025
<b>EXTERNAL ROTATION STRENGTH RATIO**</b>	0.5±0.3	0.8±0.5	0.768	0.7±0.3	0.7±0.3	0.105
<b>ISOLATED ABDUCTION STRENGTH RATIO**</b>	0.9±0.2	0.9±0.2	0.110	0.9±0.2	0.9±0.2	0.041
<b>COMORBIDITIES AND SOCIAL HISTORY</b>						
<b>NUMBER OF COMORBIDITIES</b>			0.280			0.048
1	58.0%	44.2%		48.9%	51.3%	
>1	42.0%	55.8%		51.1%	48.7%	
<b>SMOKING STATUS</b>			0.026			0.002
Never	50.0%	48.7%		47.2%	47.3%	



	BEFORE WEIGHTING			AFTER WEIGHTING		
	SURGERY (n=50)	NON- OPERATIVE (n=77)	SMD	SURGERY (n=50)	NON- OPERATIVE (n=77)	SMD
Past/Current	50.0%	51.3%		52.8%	52.7%	
<b>ALCOHOL USE</b>			0.389			0.015
2–3 times per month or less	37.5%	56.6%		50.8%	51.6%	
1–2 times per week or more	62.5%	43.4%		49.2%	48.4%	
<b>FEAR AVOIDANCE AND BEHAVIOR (FABQ) PHYSICAL ACTIVITY SCORE</b>			0.495			0.050
19.0±4.3		16.4±6.1		17.9±4.8	17.6±4.8	
<b>MENTAL HEALTH INVENTORY (MHI-5) SCORE</b>			0.013			0.035
80.5±16.9		80.3±14.9		82.1±14.8	81.6±16.0	
<b>PATIENT EXPECTATIONS AFTER TREATMENT</b>			0.762			0.067
A great improvement	94.0%	65.3%		87.4%	85.2%	
Moderate/Little/No improvement or worse	6.0%	34.7%		12.6%	14.8%	
<b>BICEPS TENDONITIS/ TENOSYNOVITIS</b>			0.003			0.060
No	70.0%	70.1%		72.1%	74.7%	
Yes	30.0%	29.9%		27.9%	25.3%	
<b>TEAR CHARACTERISTICS ON MRI<sup>∞</sup></b>						
<b>CROSS-SECTIONAL AREA OF TEAR<sup>##</sup></b>			0.376			0.039
14.5±19.3		7.9±15.3		16.3±22.5	17.2±21.7	
<b>THICKNESS OF TEAR<sup>¶¶</sup></b>			0.841			0.005
Partial-thickness	10.4%	45.2%		15.2%	15.4%	
Full-thickness	89.6%	54.8%		84.8%	84.6%	
<b>PRESENCE OF FATTY INFILTRATION<sup>±</sup></b>			0.362			0.147
No	54.8%	71.9%		51.6%	58.9%	
Yes	45.2%	28.1%		48.4%	41.1%	
<b>NUMBER OF TORN TENDONS</b>			0.224			0.083
1	60.4%	71.0%		53.0%	57.1%	s
2 or 3	39.6%	29%		47.0%	42.9%	
<b>TENDON RETRACTION</b>			0.414			0.032
Stage I or not applicable <sup>¶¶</sup>	60.4%	79.0%		60.5%	58.9%	
Stage II or more	39.6%	21.0%		39.5%	41.1%	

SMD=Standardized Mean Difference

n for missing before imputation: Daily shoulder use at work=1; Alcohol use=3; Education level=3; Smoking status=3; Traumatic tear=6; Patient expectations=2; Duration of symptoms=5; Dominant shoulder affected=6; Marital status=2; External rotation strength ratio=8; Isolated abduction strength ratio=10; MHI-5=2; FABQ=5; SPADI score at baseline=7; cross-sectional are of tear=27

\* X ± Standard Deviation for continuous variables

\*\* After multiple imputation for missing values

<sup>¶</sup>Strength ratio is measured as affected shoulder versus unaffected shoulder

<sup>∞</sup> MRI information available for 110 patients; fatty infiltration and muscle atrophy were determined from CT scan in 2 patients a CT scan who were included in the analysis but not in the table above

<sup>#</sup> Tear size determined by sum of supraspinatus and infraspinatus tears in longitudinal or transverse planes for full-thickness tears only

<sup>∏</sup> If any of the tendons had a full-thickness tear, the tear was classified as full-thickness

<sup>±</sup> Fatty infiltration reported for muscle most severely affected

<sup>¶</sup> Since tear was partial-thickness

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**Table 2a:**

Predicted and Propensity Score Adjusted Differences in SPADI Score between Operative and Non-Operative Treatments at Follow-Up Time Points

Follow-Up Time Point	Estimated Difference in SPADI Score (95% Confidence Intervals)
3 Month	13 (3.4, 22.1)
6 Month	-17 (-26.6, -7.2)
12 Month	-27 (-36.4, -17.2)
18 Month	-22 (-32.1, -11.8)

SPADI=Shoulder Pain and Disability Index

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**Table 2b:**

Predicted and Propensity Score Adjusted Differences in ASES Score between Operative and Non-Operative Treatments at Follow-Up Time Points

Follow-Up Time Point	Estimated Difference in ASES Score (95% Confidence Intervals)
3 Month	9.9 (-0.1, 19.9)
6 Month	-14.8 (-25.5, -4.2)
12 Month	-19.0 (-29.6, -8.5)
18 Month	-22.2 (-32.8, -11.6)

ASES=American Shoulder and Elbow Surgeons

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**Table 3a:**

Percent Improvement in SPADI Score between Operative and Non-Operative Treatments During 18 Months of Follow-Up. n for missing for SPADI=7 (9%) for non-operative

IMPROVEMENT IN SPADI SCORE FROM BASELINE	SURGERY n (%)	NON-OPERATIVE n (%)	p-Value (Surgery versus Non-Operative)
<30%	5 (10%)	26 (34%)	0.002
30%	45 (90%)	44 (57%)	

IMPROVEMENT IN SPADI SCORE FROM BASELINE	SURGERY n (%)	NON-OPERATIVE n (%)	p-Value (Surgery versus Non-Operative)
<50%	7 (14%)	36 (47%)	<0.0001
50%	43 (86%)	34 (44%)	

n for missing for SPADI=7 (9%) for non-operative

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**Table 3b:**

Percent Improvement in ASES Score between Operative and Non-Operative Treatments During 18 Months of Follow-Up. n for missing for ASES=1 (2%) for surgery and 3 (4%) for non-operative

IMPROVEMENT IN ASES SCORE FROM BASELINE	SURGERY n (%)	NON-OPERATIVE n (%)	p-Value (Surgery versus Non-Operative)
<30%	5 (10%)	27 (35%)	0.002
30%	44 (88%)	47 (61%)	
IMPROVEMENT IN ASES SCORE FROM BASELINE	SURGERY n (%)	NON-OPERATIVE n (%)	p-Value (Surgery versus Non-Operative)
<50%	7 (14%)	39 (51%)	<0.0001
50%	42 (84%)	35 (45%)	

n for missing for ASES=1 (2%) for surgery and 3 (4%) for non-operative