

# A realistic appraisal of the use of embryonic stem cell-based therapies for cardiac repair

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Despite the well-documented capacity of embryonic stem cells (ESCs) to differentiate into cardiomyocytes, transplantation of ESCs or ESC-derived cells is plagued by several formidable problems, including graft rejection, arrhythmias, and potential risk of teratomas. Life-long immunosuppression is a disease in itself. Transplantation of human ESC-derived cells in primates causes life-threatening arrhythmias, and the doses used to show efficacy are not clinically relevant. In contemporary clinical research, the margin of tolerance for such catastrophic effects as malignancies is zero, and although the probability of tumours can be reduced by ESC differentiation, it is unlikely to be completely eliminated, particularly when billions of cells are injected. Although ESCs and ESC-derived cells were touted as capable of long-term regeneration, these cells disappear rapidly after transplantation and there is no evidence of long-term engraftment, let alone regeneration. There is, however, mounting evidence that they act via paracrine mechanisms—just like adult cells. To date, no controlled clinical trial of ESC-derived cells in cardiovascular disease has been conducted or even initiated. In contrast, adult cells have been used in thousands of patients with heart disease, with no significant adverse effects and with results that were sufficiently encouraging to warrant Phase II and III trials. Furthermore, induced pluripotent stem cells offer pluripotency similar to ESCs without the need for lifelong immunosuppression. After two decades, the promise that ESC-derived cells would regenerate dead myocardium has not been fulfilled. The most reasonable interpretation of current data is that ESC-based therapies are not likely to have clinical application for heart disease.

## Keywords

Embryonic stem cells • Heart failure • Cell therapy • Fibrosis • Cardiomyocytes • Regeneration

Stem cells are defined as undifferentiated cells that are self-renewing and able to give rise to mature cells.<sup>1,2</sup> Embryonic stem cells (ESCs) and induced pluripotent stem cells (iPSCs) are pluripotent—they can differentiate into any cell type. In contrast, stem cells obtained from adult tissues are multipotent or unipotent—they can give rise only to one or few cell types.<sup>1–4</sup> All controlled clinical trials of stem cells performed to date in cardiovascular medicine have used adult cells, with results that were encouraging enough to warrant ongoing Phase III trials.<sup>5,6</sup> Here, we will address the question: is there a sound rationale for pursuing clinical trials of hESCs or hESC-derived cells?

When cell therapy emerged as a novel approach to heart disease almost two decades ago, the hope was that it would enable one, for the first time, to regenerate cardiac muscle after myocardial infarction (MI), replacing dead tissue with new contracting myocytes.<sup>7–9</sup> In the ensuing years, various types of adult cells (obtained from bone marrow, heart, adipose tissue, or other tissues) were found to be effective in improving left ventricular (LV) function in animal models of ischaemic cardiomyopathy but none has been shown to regenerate

*new bona fide* myocytes, due to failure of the cells to engraft and their inability to differentiate into mature cardiomyocytes (CMs).<sup>5,10–19</sup> In fact, most of these cell types have not been shown to be self-renewing and multi/unipotent, and thus do not meet the strict definition of ‘stem cells’ mentioned above and should not be referred to as ‘stem cells’ (the term ‘progenitor cells’ is more appropriate). Despite this, the evidence that adult cells improve LV function in pre-clinical models of MI or heart failure (HF) is overwhelming,<sup>5,10–19</sup> and although conclusive Phase III data are still lacking, many Phase I and II clinical trials have demonstrated safety and provided initial evidence of efficacy of adult cells in patients with HF or refractory angina.<sup>5,6</sup> It is now widely agreed that adult cells work via paracrine mechanisms<sup>5,19–21</sup> and that their salubrious effects on LV function probably do not reflect formation of new myocytes, but rather a panoply of reparative actions, e.g., anti-inflammatory, anti-fibrotic, anti-apoptotic, and pro-angiogenic actions, modulation of contractile function, etc.<sup>5,19–21</sup> Thus, when speaking of adult cell-based therapies, the terms ‘cardiac regeneration’ and ‘regenerative therapy’ are

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incorrect and potentially misleading, and should be replaced by 'cardiac repair' and 'regenerative therapy'.

ESCs and iPSCs, on the other hand, constitute a population of stem cells with clear multilineage potential, including cardiomyogenesis. Numerous studies have documented that ESCs can differentiate into mature, contracting myocytes *in vitro*,<sup>22–28</sup> raising the possibility that these cells could be used to regenerate dead myocardium. However, the same pluripotency of ESCs that paves the way to regeneration is also a major concern, because these cells can form teratomas in the host. One possible solution to prevent tumorigenicity is to differentiate ESCs into cardiovascular progenitor cells (CVPCs) or CMs and eliminate pluripotent cells from the pool of transplanted cells. In the past several years, numerous studies have addressed the safety and efficacy of ESC-derived CVPCs and CMs in small and large animal models.<sup>22,26,27,29–36</sup> Based on these studies, it is becoming clear that while these cells can improve cardiac function, they are unlikely to have any clinical applicability, for a host of reasons (Table 1), including prohibitive dose requirements, strong pro-arrhythmic actions, lack of robust evidence for safety, no evidence of long-term engraftment, and inadequate evidence of regeneration of CMs after *in vivo* administration. Surprisingly, despite these enormous problems, ESCs and ESC-derived cells have continued to be enthusiastically heralded for two decades as a major breakthrough in medicine that will usher in unprecedented opportunities for the treatment of human disease.<sup>37–44</sup> A reality check is therefore in order. The purpose of this review is to provide a critical appraisal of the current evidence regarding the potential use of ESCs or ESC-derived cells as a clinical therapy for MI or HF. The major problems with the development of these therapies are discussed below and summarized in Table 1 and Figure 1.

## Doses of hESC-derived cells

Can hESC-derived cells be delivered to humans in doses comparable to those used in animal studies to show a beneficial effect? The most relevant studies addressing this question are those performed in non-human primates. Chong *et al.*<sup>36</sup> injected an enormous number of hESC-CMs (1 billion) into the infarct and border zone of four pigtail macaques. Despite this massive transplantation, infarct size was not significantly reduced. Considering that the body weight of pigtail macaques (9.2–12.3 kg) was ~7 times less than that of a 75-kg human, an equivalent human dose would be ~7 billion hESC-CMs, which is obviously not a feasible possibility for clinical use, for several reasons. Interestingly, this study<sup>36</sup> was proposed by the authors as a proof-of-concept test for the use of hESC-CMs in clinical trials.

In a subsequent study in the same primate species, Liu *et al.*<sup>34</sup> injected 750 million hESC-CMs intramyocardially. In a recent editorial,<sup>45</sup> we wrote: 'Liu *et al.*<sup>34</sup> injected an astonishing number of hESC-CMs (750 million) in a total of 1.5 mL. (Incidentally, it is unclear how 750 million cells can be suspended in 1.5 mL of solution.) The weight of the five-treated macaques averaged 8.6 kg, ~9 times smaller than the average 75-kg human. Heart weight was not reported. However, since in the average 75-kg human the LV contains ~5 billion CMs, the number of CMs in the macaque LV, which should be ~9 times smaller, can be estimated at  $\sim 5000 \text{ million} / 9 = \sim 560 \text{ million}$ . Thus, the number of hESC-CMs injected was greater than the total number

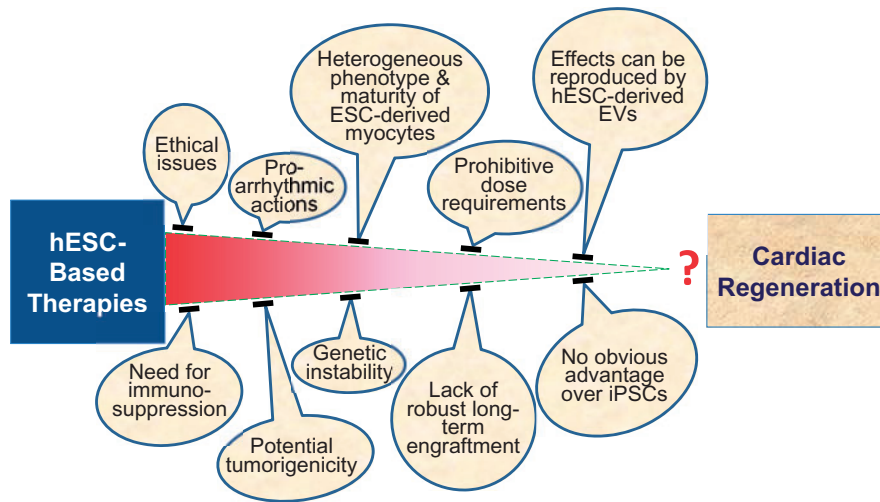
**Table 1** Problems with human embryonic stem cell-based therapies

Ethical and regulatory issues
Risk of tumorigenicity after transplantation of a large number (billions) of hESC-derived cells
Prolonged culture (including scale-up and differentiation) provides opportunities for genetic abnormalities (potentially tumorigenic)
Need for long-term immunosuppression
Pro-arrhythmic actions
No evidence of long-term engraftment of transplanted ESC-derived cells (most studies had a follow-up of <1 month, with <1% cell survival; when follow-up was >1 month, survival was even less)
Failure of ESC-CMs and ESC-CVPCs to form non-myocytes
Prohibitive dose requirements
Heterogeneous phenotypes and maturity of ESC-derived cells (nodal, atrial, ventricular phenotypes, and immature electrophysiological properties)
No obvious advantages over iPSC-derived cells
Effects may be recapitulated by hESC-derived extracellular vesicles

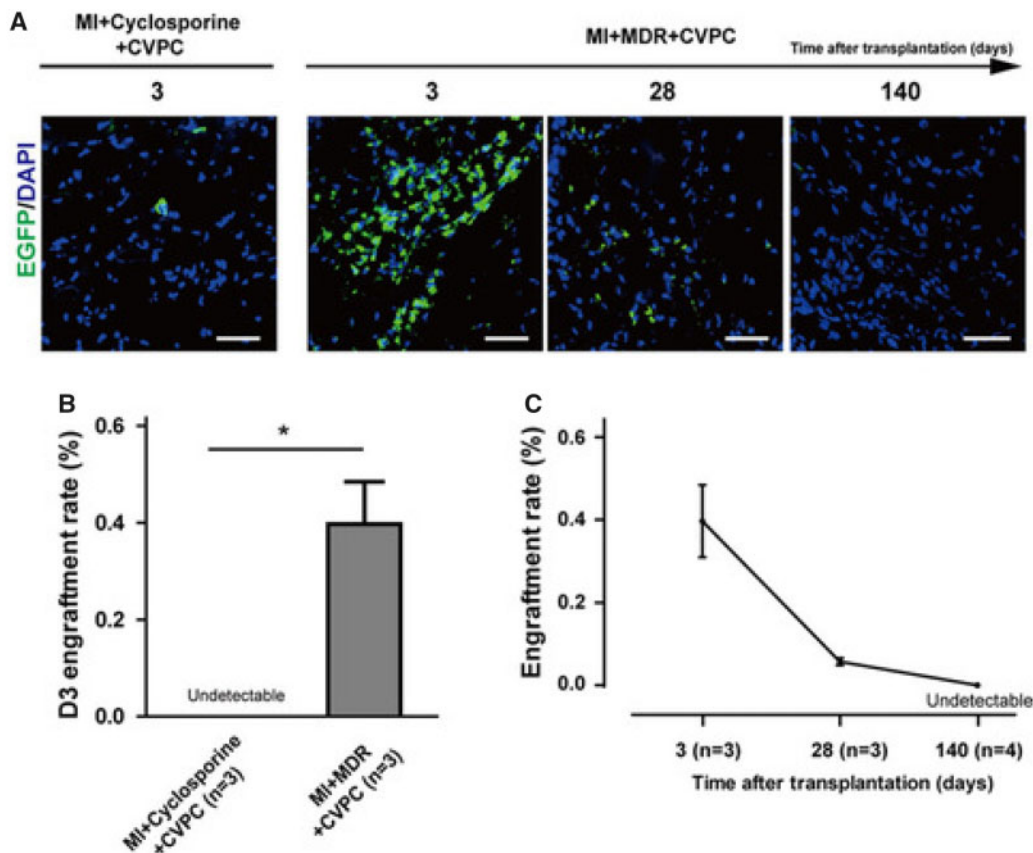
of CMs in the macaque heart! The authors administered the cells in 15 injections in the border zone and infarcted region. Since, as stated above, in the average 75-kg human the weight of the heart is  $\approx 9$  times greater than in these macaques, the equivalent human dose of hESC-CMs would be  $750 \times 9 = 6.750$  billion cells. Administration of 6.75 billion cells in a human heart is not possible, because it would require an inordinate number of injections, an inordinate volume of injections, or both. Given that this enormous cell load increased LV mass by just ~2%, the treatment described by Liu *et al.*<sup>34</sup> is not applicable to humans, and thus its clinical relevance is unclear.'

## Do hESC-derived cells engraft and form mature cardiomyocytes?

Studies in pigs suggest that at 1 month after transplantation, <1% of injected human iPSCs survive.<sup>46</sup> In a landmark study, Zhu *et al.*<sup>47</sup> reported that hESC (line H9)-derived CVPCs (hESC-CVPCs), injected in the hearts of non-human primates after MI, improved LV function; however, the transplanted cells did not engraft and did not contribute to the formation of new CMs. In this important investigation, the authors injected  $1 \times 10^7$  EGFP<sup>+</sup> hESC-CVPCs in the hearts of cynomolgus monkeys 4 days after MI. To avoid immune rejection, two immunosuppression protocols were applied and compared: cyclosporine alone and cyclosporine in combination with Simulect and methylprednisolone. Regardless of the immunosuppressive protocol used, hESC-CVPCs in the heart declined markedly at 28 days after transplantation and disappeared completely at 140 days (Figure 2). Therefore, despite aggressive immunosuppressive treatment, the transplanted cells did not engraft and did not contribute to new contractile myocytes. Echocardiographic analysis showed a significant increase in left ventricular ejection fraction (LVEF) in hESC-CVPC-treated animals at 28 days after cell injection compared with



**Figure 1** Obstacles to the clinical application of embryonic stem cell-based therapies for the treatment of heart disease.



**Figure 2** Negligible long-term engraftment of human embryonic stem cell-derived cardiovascular progenitor cells in immunosuppressed non-human primates. Cynomolgus monkeys were subjected to myocardial infarction by permanent ligation of the left anterior descending coronary artery. Thirty minutes after ligation, animals were treated with cyclosporine or multiple-drug regimen (cyclosporine in combination with Simulect and methylprednisolone) and intramyocardially injected with  $1 \times 10^7$  EGFP labelled human embryonic stem cell-derived cardiovascular progenitor cells. Engraftment of transplanted cells was evaluated with immunofluorescence in heart tissue collected at 3, 28, and 140 days after cell injection (A). At 3 days, the engraftment rate was nil with cyclosporine and 0.4% with MDR (B). By 140 days, cells became undetectable (C). Bar = 50  $\mu$ m. \* $P < 0.05$ . Reprinted with permission from Zhu et al.<sup>47</sup>

controls. Thus, transplanted cells produced an improvement in LV function despite failure to engraft and differentiate into new myocytes, indicating that they did not regenerate dead myocardium but instead released signals or factors that affected the host tissue in a manner that was conducive to tissue repair and improved contractile performance. Because echocardiographic studies were not performed at 140 days after cell transplantation, it is unknown whether the functional improvement persisted in the long term. With a total of 42 animals used, this is the largest non-human primate study of ESC-derived CVPCs or CMs reported to date. It provides compelling evidence that, similar to adult cells, transplanted ESC-derived cells do not engraft long-term, do not remuscularize the heart, and act via paracrine mechanisms.

One could argue that the immunosuppressive regimen used in this study was not sufficient to completely prevent rejection of the transplanted cells. However, similar studies were performed by Bellamy *et al.*<sup>29</sup> in immunodeficient rats with a similar outcome. The animals were transplanted with a fibrin patch loaded with hESC-CVPCs (700 000 cells per patch) or cell-free patch as a control 5–7 weeks after permanent coronary artery ligation. At 4 months after transplantation, LV function was significantly improved (echocardiography); however, no transplanted cells were detectable at this time point, suggesting that even in the absence of immune rejection and despite the fact that cells were embedded in a fibrin patch to increase their survival, the cells did not engraft long term and did not contribute to new functional myocytes.<sup>29</sup> Therefore, the only explanation for the functional improvement is that the transplanted cells improved myocardial repair through a paracrine mechanism, which is consistent with the study by Zhu *et al.*<sup>47</sup>

A similar study was performed by Blin *et al.*<sup>30</sup> in immunosuppressed Rhesus monkeys (*Macaca Mulatta*) subjected to a 90-min coronary occlusion followed by 2 weeks of reperfusion. Injection of unpurified hESC-CVPCs (which included SSEA-1<sup>neg</sup> undifferentiated pluripotent stem cells) resulted in formation of a microteratoma. In further experiments,  $2 \times 10^7$  SSEA-1<sup>+</sup> ESC-CVPCs were injected in eight monkeys. Two months later, no teratoma was detected in any organ. The injected hearts contained clusters of GFP+ cells that expressed CM markers but did not appear to have a fully mature morphology. Although surviving GFP+ cells occupied ~20% of the scar area, their number was not quantified. Moreover, cell survival was evaluated only at 2 months after transplantation, a follow-up that may be too short to determine long-term engraftment. LV function was not assessed.<sup>30</sup>

The same group also performed ESCORT, the first clinical study of hESC-CVPCs,<sup>48,49</sup> in which a fibrin scaffold containing SSEA-1<sup>+</sup> sorted hESC-CVPCs (median dose, 8.2 million cells; range 5–10 million) was implanted on the epicardium of the infarcted LV region of six patients with ischaemic HF undergoing coronary artery bypass surgery; no control patients were enrolled. All patients received immunosuppressive drugs to prevent graft rejection. No teratoma formation was detected at 6 and 12 months after implantation, and there was no evidence of arrhythmias during the follow-up period. Three patients developed clinically silent allerejection reactions, demonstrated by the presence of alloantibodies at low titre, which resolved over time, most likely due to immunosuppression. During a 1-year follow-up in four patients, regional wall motion improved significantly. However, the lack of a control group, the minuscule sample

size, and the fact that patients received both revascularization and stem cells at the same time make it impossible to draw any conclusions regarding the effects of hESC-CVPCs on LV function. The small sample size (only six patients) and the small number of hESC-CVPCs used (relative to the doses tested in monkeys) also make it difficult to evaluate the safety of this therapy.<sup>48,49</sup> Ultimately, the trial was stopped because of the growing evidence that transplanted cells most likely work via paracrine mechanism, not by engrafting and forming new contractile units. Indeed, recently it has been demonstrated that hESC-CVPC-derived exosomes injected in the infarcted heart can reproduce the beneficial effects of hESC-CVPCs.<sup>50</sup>

Studies using hESC-CVPCs have revealed numerous potential issues that would have to be resolved before moving forward with clinical trials. One of the common problems is that transplanted cells may not have proper cues to fully differentiate into adult myocytes that would electrically couple with the host cardiac muscle and contribute to synchronized LV contractility. This problem could be resolved with transplantation of mature hESC-derived myocytes (hESC-CMs). However, a series of studies conducted in an immunodeficient NOD-SCID mouse model of HF demonstrated that injection of  $1\text{--}3 \times 10^6$  hESC-CMs led to only a transient improvement in LV function [measured with magnetic resonance imaging (MRI)], which was evident at 4 weeks after transplantation but was not sustained and disappeared at 12 weeks.<sup>32,51–53</sup> It could be speculated that the transient reparative effects were caused by the progressive loss of transplanted cells. However, transplantation of larger amounts of hESC-CMs, which increased graft size, did not result in sustained functional improvement at 12 weeks.<sup>52</sup> Detailed histological analysis revealed that the functional improvement was most likely related to enhanced vasculogenesis in the tissue surrounding the graft rather than to the actual graft size.<sup>51</sup> Moreover, the graft was surrounded by fibrous tissue that precluded electrical coupling of the surviving transplanted myocytes with the host myocytes.<sup>53</sup> Taken together, these observations<sup>32,51–53</sup> indicate that the transient improvement in LV function was most likely related to paracrine factors released by hESC-CMs, not to regeneration of contractile cardiac muscle by the transplanted cells. The lack of electrical coupling of transplanted cells with host myocytes could potentially lead to arrhythmias, which could be missed in the mouse because of the high heart rate of this species (~600 b.p.m.). These studies<sup>32,51–53</sup> also suggest that long-term follow-up (>12 weeks) is necessary to evaluate the efficacy of transplantation of hESC-CMs.

In a similar investigation,<sup>31</sup> SCID-beige mice with MI received an intramyocardial injection of hESC-CMs (0.5 million). After 2 and 4 weeks, LVEF (measured with MRI) was improved in cell-treated mice, and manganese-enhanced MRI analysis demonstrated more viable myocardium compared with control mice. However, microscopic analysis revealed rather minimal cell engraftment, suggesting that the transplanted cells improved survival of endogenous myocytes rather than contributing to *de novo* cardiomyogenesis. Consistent with this hypothesis, the hESC-CM secretome improved CM survival and promoted expression of the promigratory marker CXCR4 and angiogenesis *in vitro*. Taken together, these observations corroborate (again) the view that hESC-CMs preserve LV function not by engrafting but by secreting paracrine factors that exert a favourable influence on the myocardium. No teratoma was observed, suggesting no contamination with pluripotent stem cells, but the

study follow-up (4 weeks) was too short to definitely exclude that possibility.<sup>31</sup>

Experiments in rats have also failed to document full maturation and integration of transplanted hESC-CMs with host myocytes. Laflamme *et al.*<sup>54</sup> subjected nude rats to a reperfused MI and, 4 days later, transplanted 10 million hESCs which were differentiated into CMs by incubation with Activin A and BMP4, along with a cocktail of pro-survival factors aimed at limiting CM death after transplantation. Four weeks later, LV dilation was attenuated and regional and global LV function (measured with MRI and echocardiography) were improved in rats treated with hESC-CMs compared with controls. Infarct size did not differ between the groups. The engrafted cells, however, did not resemble the adult phenotype; CMs were smaller and irregularly shaped, with irregular sarcomeric organization.<sup>54</sup> Therefore, despite the expression of adult cardiac markers, their contribution to LV function is rather questionable. Similar to the observations in the murine models described above,<sup>31,32,51–53</sup> the hESC-CMs were separated from the host myocardium by scar tissue, suggesting that the graft was not electrically coupled with the host CMs and, therefore, could not contribute to synchronized LV contractile activity. Similar to the mouse studies,<sup>31,32,51–53</sup> hESC-CMs induced angiogenesis of host-derived endothelial cells, resulting in an increased number of host capillaries. Given the short follow-up (4 weeks),<sup>54</sup> it is unknown whether the presence of hESC-CMs and the functional improvement would persist in the long-term in the rat heart.

In a second rat study, performed by an independent group, Caspi *et al.*<sup>55</sup> injected 1.5 million hESC-CMs intramyocardially in immunosuppressed rats 7–10 days after permanent coronary artery ligation and examined the hearts 30 and 60 days later. The authors confirmed the observations of Laflamme *et al.*<sup>54</sup> that the donor cells present in the scar and the border zone expressed markers of adult CMs but lacked adult CM morphology.<sup>55</sup> Echocardiographic analysis showed that fractional shortening was greater in hESC-CM-treated rats than in controls, but when the changes were analysed within the treated group, the improvement at 30 and 60 days after cell injection vs. baseline was modest and did not achieve statistical significance.<sup>55</sup> No quantitative analysis of hESC-CMs was reported. Again, given the 60-day follow-up and the absence of quantitative data on hESC-CMs, no conclusions are possible regarding long-term engraftment.

Studies in non-human primate models of ischaemic cardiomyopathy have provided even less evidence for long-term engraftment and 'remuscularization', and stronger evidence for dangerous, potentially lethal, adverse effects of transplanting ESC-derived cells. Chong *et al.*<sup>36</sup> attempted to overcome the issue of poor engraftment by injecting, 14 days after reperfusion, an extraordinary number (1 billion) of hESC-CMs (suspended in a 1.5-mL volume) into the hearts of pigtail macaques with MI induced by a 90-min coronary occlusion. It is unclear how one billion cells were suspended in 1.5 mL. Immunosuppressive therapy consisting of methylprednisolone, cyclosporine, and Abatacept (CTLA4 immunoglobulin) was started 5 days before cell delivery and continued until euthanasia. The entire study consisted of four cell-treated and two-vehicle treated monkeys which, for unclear reasons, were euthanized after different (but short) follow-up periods ranging from 14 to 84 days; thus, the study was predicated on two monkeys euthanized at 14 days (one control and one treated), three at 28 days (two treated and one control), and

one at 84 days (treated) after cell injection. At these time-points, pathologic examination of the hearts showed that some of the injected cells were still present, but the number of cells was not quantified; furthermore, although surviving human cells expressed markers of CMs, their small size and irregular shape suggest that they were not fully mature.<sup>36</sup> Although the grafted cells were shown to be electrically coupled with host myocytes, all animals exhibited life-threatening arrhythmias.<sup>36</sup> Even at these early time-points, the graft area was only ~2% of the left ventricle.

As pointed out by Anderson *et al.*,<sup>56</sup> this study<sup>36</sup> all but failed to show that transplantation of hESC-CMs is a safe and promising strategy for the treatment of patients with HF, for multiple reasons: (i) the study was anecdotal, involving only four-treated animals that were euthanized at different time-points; (ii) because of the short follow-up, the possibility that any latent minor population of pluripotent cells remained in the injected animals and eventually led to teratomas cannot be excluded; (iii) for the same reason, long-term engraftment of hESC-CMs cannot be evaluated; (iv) the occurrence of ventricular arrhythmias indicates that transplantation of hESC-CMs may potentially be life-threatening; (v) no evidence that hESC-CMs exerted beneficial effects on LV function was provided; and (vi) the persistence of some hESC-CMs at 14–84 days could simply reflect the long time necessary for the death of the enormous number of cells transplanted (1 billion) to be complete.

In conclusion, although ESC-based therapies have been touted as a means to achieve cardiac regeneration,<sup>37–44</sup> long-term engraftment, let alone regeneration, has not been clearly demonstrated thus far.

## Are hESC-derived cells safe?

Safety is a *sine qua non* for moving forward with translational studies from animals to humans. The preclinical studies of hESC-derived cells reviewed above raise two major safety concerns: formation of teratomas and life-threatening arrhythmias, either of which, if not properly addressed, would preclude clinical use of these cells.

### Teratomas

The possibility of teratomas is a risk if any pluripotent cells are injected along with more differentiated cells. This concern is particularly germane when very large numbers of cells (billions) are injected.<sup>34,36</sup> Partial differentiation of hESCs to CVPCs or terminal differentiation to CMs could potentially eliminate the pluripotent cells from the pool of injected cells, but obtaining a pure population of billions of partially differentiated cells is difficult. Therefore, even with these products, there may still be a risk of tumours. For safe clinical use, the purity of partially differentiated cells needs to approach 100%. However, the studies of hESC-CVPCs or hESC-CMs in small and large animal models discussed above<sup>23–39</sup> failed to demonstrate that the purity of their products was close to 100% (e.g. it was ~73% in the study by Chong *et al.*<sup>36</sup> and 86–99% in the study by Liu *et al.*<sup>34</sup>) even more concerning, none of these studies has developed rigorous protocols to test pluripotent stem cell contamination in the injected products.

The studies discussed above<sup>23–39</sup> did not report formation of teratomas after hESC-CVPC or hESC-CM injection, but because of the short follow-up (1–2 months) and the limited number of animals, the

possibility that a small population of pluripotent cells contaminating the injected cells may survive long term and eventually form tumours cannot be excluded. For example, if the incidence of teratomas is 1%, hundreds of animals would have to be studied to detect this problem—numbers that are orders of magnitude greater than those reported heretofore.<sup>23–39</sup> Development of teratomas in patients with HF would be disastrous. In the current medico-legal environment, the tolerance for this complication is close to zero: even one instance of tumourigenesis may suffice to halt all clinical trials of hESC-derived cells, particularly because alternative (adult) cell types are available that have been amply proven to be safe in thousands of patients. Rigorous animal studies with large sample sizes (at least 100 animals) and long-term follow-up (at least 1 year) are necessary to eliminate the possibility of teratoma formation. No such studies have been reported thus far or, to our knowledge, are planned.

## Arrhythmias

Another safety issue is related to the arrhythmogenic effects of ESC-CMs. In the studies by Chong *et al.*<sup>36</sup> and Liu *et al.*,<sup>34</sup> ESC-CMs transplanted in non-human primates caused life-threatening arrhythmias (ventricular tachycardia) that would preclude the use of these cells in humans (Figure 3). Since arrhythmias of similar severity were not observed in control animals, the most plausible conclusion is that they were induced by the ESC-CM graft.<sup>34</sup> The arrhythmogenic effects of ESC-CMs could be accounted for by the absence of terminal differentiation of these cells, as in most of the studies discussed above there was no clear evidence that the transplanted cells resemble an adult phenotype or that they possess the functional properties of adult myocytes, i.e. proper calcium handling. This could be a result of the artificial *in vitro* conditions of expansion and differentiation of ESCs that may produce an immature and heterogeneous population of CMs.

## Genomic instability and phenotypic heterogeneity of ESCs

Prolonged culture (including scale-up and differentiation) provides opportunities for genetic abnormalities due to stochastically arising new mutations that unpredictably change the composition of the ESCs in culture.<sup>57</sup> *In vitro*, ESCs are heterogeneous cell populations composed of different subsets of clones.<sup>58–60</sup> Each clone is derived from a single cell that was expanded but because of the accumulation of mutations during the expansion process, each single cell-derived clone is heterogeneous.<sup>57</sup> Some of the mutations are particularly dangerous, e.g. mutations in the tumour suppressor gene p53 can cause the cells to proliferate faster and become dominant in undifferentiated ESCs. These cells can accumulate further mutations that eventually could lead to cancerous transformation both *in vitro* and after transplantation in patients.<sup>61,62</sup> Furthermore, ESC-CMs have heterogeneous phenotypes and maturity (nodal, atrial, and ventricular phenotypes are observed, with immature electrophysiological properties).<sup>63</sup> It is unclear whether this heterogeneity occurs because of suboptimal *in vitro* differentiation protocols or lack of uniformity of ESCs resulting from accumulating mutations. When discussing the use of ESCs for regenerative purposes, these issues are largely ignored in the literature. Importantly, to date, there are no *in vitro*

tests to evaluate potential mutations in the ESC-derived cell products that are injected in animals or humans.

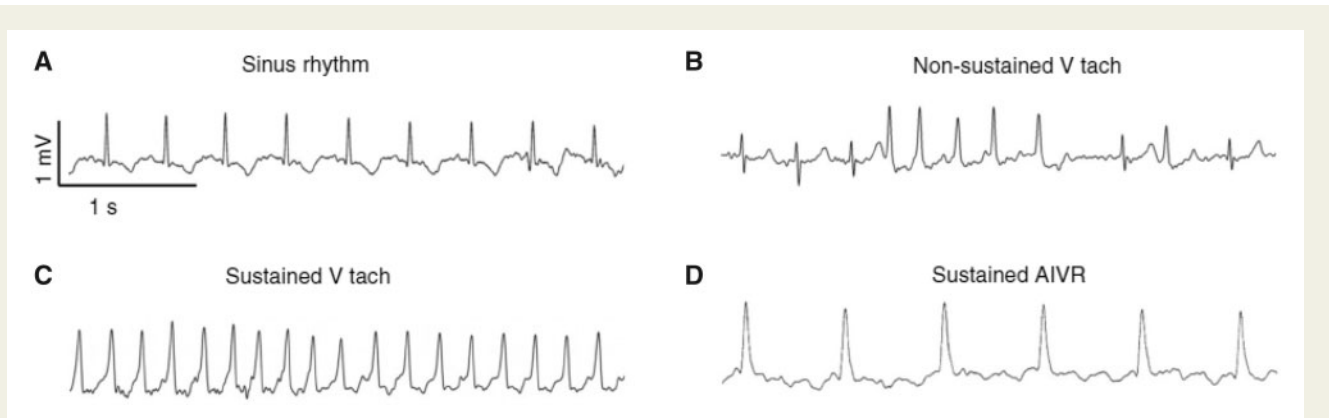
## Evidence that ESCs work via paracrine mechanisms

Despite no evidence of long-term survival of transplanted ESC-CVPCs or ESC-CMs, one common finding in the studies discussed above was the improvement in LV function. This suggests that similar to adult cells, transplanted ESC-CVPCs or ESC-CMs contribute to cardiac repair by producing short-lived paracrine factors such as cytokines or exosomes. Additional studies support this concept. In immunodeficient nude mice, Kervadec *et al.*<sup>50</sup> found that hESC-CVPCs transplanted 2–3 weeks after MI disappeared quickly and were cleared completely after 6 weeks, yet the functional benefits were maintained. Echocardiographic analysis performed 6 weeks after cell injection showed that mice in both cell-treated and vehicle groups had significantly reduced end-systolic and end-diastolic volumes compared to baseline; however, there were no significant inter-group differences. No surviving human-derived cells were detected at the end of the protocol. Transcriptomic analysis showed that 927 genes were up-regulated in the cell-treated group. Pathway analysis indicated that these genes are involved in cell survival, proliferation, DNA repair, as well as fibrosis, suggesting that injected cell do not have to survive long term to have an impact on the gene expression in the infarcted hearts. Importantly, the functional benefits of hESC-derived progenitors were recapitulated by the delivery of EVs derived from these cells.<sup>50</sup> The authors concluded that compared with cell grafts, EVs 'may be safer, more reproducible, more scalable, and more controllable, obviate the need for immunosuppression, and reduce manufacturing, regulatory, and cost issues associated with hESC transplantation'.<sup>50</sup>

Bellamy *et al.*<sup>29</sup> induced MI in nude rats by permanent coronary ligation. After 5–7 weeks, rats received a cell-free fibrin patch (control group), a fibrin patch populated with 700 000 hESC-CVPCs (cell treated), or no treatment (sham-operated group). The fibrin patches were implanted in the infarcted regions of the LV with obvious dyskinesia. At 4 months after implantation, cell-treated animals exhibited better functional outcomes (LVEF and ESV) vs. sham-operated rats (but not vs. fibrin-implanted rats). Since no hESC-CVPCs were detected at the end of the study (4 months), the results support paracrine mechanisms.

## Unclear advantages of ESCs over iPSCs

Similarly to ESCs, iPSCs form embryonic bodies that have pluripotent stem cell characteristics including self-renewal and trilineage potential.<sup>64,65</sup> Direct transcriptional comparisons have demonstrated that iPSCs are nearly identical to ESCs, with a few exceptions suggesting that perhaps the reprogramming is never 100% efficient. However, the genes that were differentially expressed between ESCs and iPSCs could not be clustered in a logical pathway or group of genes that clearly differentiate the two types of cells.<sup>66</sup> Based on these data, it was speculated that perhaps the small discrepancy in gene expression



**Figure 3** Arrhythmias in non-human primates subjected to a 3-h coronary occlusion followed by reperfusion. After intramuscular injection of human embryonic stem cell-derived cardiac myocytes or vehicle, cardiac rhythms were recorded continuously for 24-h periods at 3-day intervals. (A–D) Electrocardiograms from telemetry analysis of four biologically independent control animals and five human embryonic stem cell-cardiomyocyte-treated animals, demonstrating normal sinus rhythm (A), non-sustained ventricular tachycardia (B), sustained ventricular tachycardia (C), and sustained accelerated idioventricular rhythm (D). Reprinted with permission from Liu *et al.*<sup>34</sup>

**Table 2 Embryonic stem cell-derived cells vs. adult cells**

Evidence that ESC-derived cells engraft better than adult cells?	No
Preclinical evidence that ESC-derived cells are more effective at cardiac repair than adult cells?	No
Clinical evidence that ESC-derived cells are more effective at cardiac repair than adult cells?	No
ESC-derived cells safer than adult cells?	No
ESC-derived cells more cost-effective than adult cells?	No

between ESCs and iPSCs could be due to genetic differences of the donors for ESCs and somatic cells for iPSC reprogramming, or to discrepancies in the protocols for propagation of these cells.<sup>67–69</sup> This issue was addressed in elegant experiments in which ESCs and iPSCs were isolated from donor mice with identical genetic background: transcriptomic analysis showed only two differentially expressed transcripts—non-coding RNA Gt2 and small nuclear RNA Rian. They localize to the imprinted Dlk-Dio3 gene cluster on mouse chromosome 12 and are maternally expressed.<sup>52</sup> These findings confirm that there are transcriptomic discrepancies between ESCs and iPSCs but they are most likely related to genetic differences in the cell donors, not to their pluripotency.<sup>67</sup>

To further test whether iPSCs share their stemness with ESCs, controlled *in vitro* differentiation to CMs was performed. In general, both cells types showed the potential to differentiate into functional CMs, but there are some discrepancies in the published data.<sup>70–76</sup> In some cases, iPSCs showed differentiation potential equivalent to that of ESCs,<sup>70,76,77</sup> whereas other studies showed that iPSCs have delayed or lower efficiency of differentiation.<sup>71–73,75</sup> These discrepancies could be explained by numerous factors. In some studies, there was no validation of iPSC purity; further, the differentiation protocols

used in these studies were adopted from ESCs, and perhaps need further optimization. The newly-established protocols are more specific for iPSCs and show high efficiency of cardiomyogenic differentiation.<sup>78</sup> Together with the transcriptional profiling data, these studies suggest that ESCs and iPSC are virtually identical in their stemness and commitment to the cardiovascular lineage.

There are still concerns regarding the safety of iPSCs and iPSC-derived CM progenitor cells or CMs for cardiac regeneration related to the genetic manipulations of somatic cells with integrating viruses and to potential contamination with pluripotent cells that can form teratomas. Some of these safety concerns have been partially addressed by the use of non-integrating viruses, viral free constructs, or even recombinant proteins,<sup>79–82</sup> all of which make iPSCs safer and more suitable for clinical use. Taken together, the considerations reviewed herein indicate that ESCs have negligible advantages over iPSCs in regenerative medicine.

## What is the rationale for using hESC-derived cells?

It is apparent from the above discussion that the use of ESCs and ESC-derived cells is plagued by a host of formidable problems that seemingly preclude their use as a therapy for heart disease (Table 1 and Figure 1). Clearly, given that extensive work has already been done with a variety of adult cell types, it is important that new cells, such as ESC-derived cells, be shown to offer advantages over those tested thus far in order to provide a rationale for clinical trials of these cells. Have ESC-derived cells been shown to offer advantages over adult cells? A concise answer to this question (based on the detailed discussion provided above in this essay) can be formulated as follows (Table 2):

- Is there evidence that ESC-derived cells engraft better than adult cells?

The answer is no. Thus, the promise that ESC-derived cells promote cardiac regeneration (formation of new mature and long-lasting myocytes) remains unfulfilled.

- Is there preclinical evidence that ESC-derived cells are more effective at cardiac repair than adult cells?

The answer is no.

- Is there clinical evidence that ESC-derived cells are more effective at cardiac repair than adult cells?

The answer is no. In fact, there are no controlled clinical data on the efficacy of ESC-derived cells, whereas there are abundant clinical data (Phase I and II trials) supporting the efficacy of adult cells such as mesenchymal stromal cells (MSCs).

- Are ESC-derived cells safer than adult cells?

The answer is a resounding no. As expounded above, ESCs and ESC-derived cells are plagued by genetic instability, rejection, life-threatening arrhythmias, and their proclivity to produce malignancies. On the contrary, adult cells have an excellent record of safety: no adverse effects directly ascribable to adult cells have been reported in clinical trials in thousands of patients with cardiovascular disease.

- Are ESC-derived cells more cost-effective than adult cells?

The answer is definitely no. Allogeneic adult cells (e.g. bone marrow MSCs) are not more expensive to produce, particularly if one envisions billions of ESC-derived cells being necessary for one patient as the monkey studies<sup>34,36</sup> suggest. The number of adult cells necessary for one patient would be at least two orders of magnitude less. Not to mention the expenses involved in differentiating and ensuring optimal purification of ESC-derived cells in order to arrive at a clinic-grade product.

## Conclusions

In conclusion, given the (i) moral problems associated with destruction of human embryos, (ii) lack of evidence of long-term engraftment, (iii) lack of preclinical evidence of greater therapeutic effectiveness, (iv) lack of preclinical evidence that clinically relevant doses are effective, (v) lack of any clinical data suggesting effectiveness, (vi) lack of greater cost-effectiveness, and (vii) greater risks (tumours, immunosuppression, arrhythmias, and genomic instability) (Tables 1 and 2; Figure 1), what is the rationale for using hESC-derived cells instead of iPSCs or adult cells? Why would patients want to receive hESC-derived cells *in lieu* of adult cells? And would the FDA approve trials of hESC-derived cells? The available evidence indicates that ESCs and ESC-derived cells are unlikely to become a clinical therapy for cardiovascular disease, at least in the near future.

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