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## NHLBI-AATS Workshop Report: Identifying Collaborative Clinical Research Priorities in Lung Transplantation

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### **Central Message:**

This report summarizes the discussion and recommendations from the June 2017 NHLBI-AATS Workshop on Identifying Collaborative Clinical Research Priorities in Lung Transplantation.

### **Introduction**

In 2016, more than 2,300 lung transplants were performed in the United States, and nearly 2,700 candidates were added to the wait-list.<sup>1</sup> Clinical outcomes following lung transplantation lag behind those achieved with transplantation of other solid organs, with average five-year survival rates of 55%.<sup>1</sup> Despite this, lung transplantation is a relatively understudied area of clinical research and few prospective randomized trials are conducted in lung transplantation. In June 2017, the National Heart, Lung, and Blood Institute (NHLBI) and American Association for Thoracic Surgery (AATS) co-sponsored a workshop designed to bring participants together to summarize the current state of the science in adult lung transplantation, identify knowledge gaps, and determine priorities in clinical lung transplant research that could be addressed in the near future. Workshop topics were initially drafted by AATS with input from the International Society for Heart & Lung Transplantation, then finalized by NHLBI and AATS leadership. Workshop participants included leaders in lung transplantation with specific expertise in thoracic surgery, pulmonary medicine, and bioethics. While all present acknowledged that chronic lung allograft dysfunction (CLAD) is the predominant cause of late mortality and the biggest impediment to long-term success in lung transplantation, this topic was outside the scope of

the workshop. The focus of this workshop was the time period from recipient selection to one-year post-transplant.

Currently available resources for clinical lung transplantation research include the United Network for Organ Sharing (UNOS) database, the International Society for Heart and Lung Transplantation (ISHLT) registry, the National Institute of Allergy and Infectious Diseases-funded Clinical Trials in Organ Transplantation (CTOT), and the NHLBI-funded Lung Transplant Outcomes Group (LTOG). The UNOS and ISHLT databases are large registries that are analyzed frequently to report differences and trends in outcomes and practice patterns. While UNOS mandates participation in their database, ISHLT registry participation is voluntary. The main strength of these databases is their size. However, reporting is not always current, data may be incomplete, and not all data elements that might affect relevant outcomes are captured. The CTOT and Clinical Trials in Organ Transplantation in Children (CTOT-C) consortia include observational studies and clinical trials involving all solid organ transplantation, while the LTOG is principally focused on observational studies of adult lung primary graft dysfunction (PGD). Several CTOT and CTOT-C studies involve aspects of adult or pediatric lung transplantation, respectively. CTOT-03 was designed to look at inflammatory gene expression signatures present in lung donors and their impact on early outcomes, while CTOT-20 and CTOT-22 include prospective multi-center studies to identify predictive risk factors for the development of CLAD and cytomegalovirus infection in adult transplant recipients. CTOT-C includes studies examining viral triggers of alloimmunity and autoimmunity and their impact on outcomes (CTOT-C-03, CTOT-C-14), perceived barriers to patient adherence to immunosuppressive regimens (CTOT-C-05), the efficacy of B cell-depleting induction therapy with rituximab (CTOT-C-08), and correlates of post-traumatic stress symptoms (CTOT-C-11) in pediatric lung transplant recipients. Data in the LTOG are collected pre-operatively from lung donors and recipients, and post-operatively during the first three days after transplantation with the focus on understanding risks and mechanisms of PGD.

Workshop topics addressed over the two-day workshop included: recipient selection issues, optimizing the potential donor organ pool, perioperative issues, and strategies to prevent PGD. A summary of the topics presented at the workshop are described below with summary recommendations for clinical investigations listed at the end.

## Recipient Selection

### Defining Transplant Benefit and Who Benefits Most

Lung transplantation is intended to extend survival, relieve disability, and improve health-related quality of life (HRQL) for adults suffering from end-stage lung disease.<sup>2,3</sup> Several pre-transplant recipient factors that are associated with recipient death or graft failure following transplant surgery have been identified. These include older recipient age, critical illness, extra-pulmonary end organ dysfunction, psychiatric illness, and lack of adequate social support.<sup>4</sup> How these risk factors interact with transplant program experience, expected wait times, and available expertise, is unknown. Therefore, identifying individual candidates that will survive and thrive following lung transplantation is still based largely on clinical judgement.

Lung transplant candidates are older and more critically ill at the time a donor offer is accepted than they have been in the past. The proportion of transplant recipients in the United States (US) who are hospitalized in the intensive care unit prior to transplantation more than tripled from 3.7% in 2003 to 14.1% in 2013,<sup>5</sup> and there has been a rapid increase in the number of patients bridged to transplant on either mechanical ventilation or extracorporeal life support (ECLS), or both.<sup>5,6</sup> Transplanting older and more critically ill recipients has not changed overall survival trends,<sup>7,8</sup> but instead has been associated with substantial cost increases due to greater post-transplant disability, worse health-related quality of life, and decreased survival beyond one year.<sup>9–13</sup> Additionally, length of stay, discharge to places other than home, costs, and wait list mortality are all trending in an undesirable direction.<sup>14</sup>

There is a need to comprehensively redefine transplant benefit. While one-year mortality has driven organ allocation policy and represents the standard against which program quality is judged, many patients consider transplantation to improve their HRQL even if a survival advantage is not assured. As such, transplant benefit should include patient-centered outcomes that are meaningful and important to patients and caregivers. A majority of patient-centered outcome literature in lung transplantation has focused on HRQL, however these studies have been small to moderate-sized single-center cohorts, many of which were subject to selection and survivorship bias.<sup>15</sup> There is also a need for clinical prediction tools to identify which candidates will achieve reasonable outcomes and which will not. Because decision-making depends on multiple outcome domains (e.g. efficacy, safety, HRQL, and function), multiple models may be needed.

Given the increasing age of transplant candidates, applying concepts and principles from the geriatric literature to lung transplantation may be productive. Incorporation of an operational definition of frailty would also be useful although there is no current consensus of how best to quantitate frailty. For debilitated and poorly-nourished patients, a course of pre-transplant rehabilitation designed to modify frailty or alter body composition may potentially improve outcomes, but this has not been proven.<sup>16</sup> For example, early evidence suggests that weight loss in the setting of a structured pre-transplant exercise program may reduce the risk of post-transplant death.<sup>17</sup>

Key questions in this area include:

- How can transplant benefit be better defined to include patient centered outcomes and HRQL?
- Does a pre-transplant diet, exercise program, and/or psychosocial preparation regimen improve recipient outcomes such as survival, functional outcomes, post-transplant discharge location, cost, and HRQL?
- How can lung transplant candidate and recipient risk be quantified and standardized, including the assessment of adiposity, sarcopenia, and frailty?
- What is the impact of lung transplantation on patient centered outcomes for different recipient characteristics (e.g., sex, age, disease category, race/ethnicity)?

## ECLS as a Bridge to Transplant

Outcomes of patients with decompensated respiratory failure who are bridged to lung transplant using ECLS have historically been poor.<sup>18</sup> More recent cohorts demonstrate improved survival and suggest better outcomes for ECLS when compared to patients receiving longer durations of mechanical ventilation.<sup>19–23</sup> Outcomes appear to vary depending on timing of initiation of support, patient status, and mode of support, but early intervention prior to mechanical ventilation has been associated with improved post-transplant survival compared to transplant recipients who received ECLS after failing mechanical ventilator support.<sup>19–24</sup> Improved outcomes have also been reported for patients supported by ECLS while awake, ambulatory, and extubated.<sup>24,25</sup> Similarly, patients who are bridged to transplant on veno-venous ECLS appear to have better outcomes than those bridged with veno-arterial ECLS.<sup>23</sup> However, the interpretation of many of the studies of ECLS as a bridge to transplant is challenging because of limitations including selection bias, confounding due to differences in patient characteristics (e.g., severity of illness), management of candidates on ECLS (e.g. sedation, ambulation), and variable thresholds for initiation of ECLS support between centers.

Under current UNOS policy, patients placed on ECLS have the same lung allocation score as mechanically ventilated patients on 100% oxygen, regardless of the threshold level of illness that prompted the use of ECLS. Determining the optimal threshold for ECLS support and standardizing the threshold for initiation of ECLS across centers would help ensure equitable allocation of organs.

Empirical data are lacking to support a growing trend in the use of ECLS prior to conventional support (e.g. mechanical ventilation) during acute decompensation of patients awaiting transplantation or to initiate ECLS support in patients who have yet to be evaluated for transplantation. In fact, in one series of 21 patients with interstitial lung disease who decompensated and were supported by ECLS, only 6 underwent transplantation with 5 surviving to hospital discharge.<sup>26</sup> In addition to the potential impact on organ allocation, this trend may increase healthcare costs. Overall, clarification is needed to determine the criteria for ECLS support and appropriate strategies for support. More clarity would help determine the effect of ECLS on lung transplant outcomes and organ allocation policy.

Key questions in this area include:

- Does ECLS, as compared to mechanical ventilation alone, result in superior outcomes in decompensating patients listed for lung transplantation?
- In patients who are bridged to transplant with ECLS, what pre-transplant factors or recipient characteristics are associated with worse clinical outcomes including death, withdrawal from the waitlist, and post-transplant complications?

## Use of Marginal Donor Lungs

The definition of what constitutes an ideal donor lung is evolving and practice patterns regarding the appropriate use of “marginal” donor lungs that do not meet these criteria vary considerably. Original criteria used to characterize ideal donor lungs was based largely on expert opinion.<sup>27</sup> A 2002 research letter published in *Lancet* suggested that 41% of

discarded lungs would have been suitable for use as donor lungs, and that the criteria to determine appropriate donor lungs were restrictive and may have hindered donor lung utilization.<sup>28</sup> Since the original criteria were challenged by this report, there has been a greater than 40% increase in lung transplants over the last decade that likely reflects changing opinions on donor suitability.

Donor age as an independent criterion for defining an organ as acceptable has been debated and there is a trend towards using lungs from older donors. Recent experience with the use of lungs in donors greater than 70 years of age does not suggest any significant compromise to post-transplant survival.<sup>29</sup> However, functional outcomes appeared to be better preserved among recipients transplanted for emphysema and not pulmonary fibrosis.<sup>29</sup>

Multiple single center studies report that roughly half of their donor organs are characterized as marginal.<sup>30–34</sup> These studies report no difference in outcomes including survival, post-operative ventilator days, oxygenation, or complications, except in some cases where outcomes were worse in recipients with cystic fibrosis. Some of these reports found that marginal lungs are best utilized in bilateral lung transplantation, although this finding is not consistent across all studies or recipients. A more recent single center study showed that patients transplanted for suppurative lung disease with marginal organs had higher 30-day mortality (17% vs 2%) and that the use of marginal lungs was associated with a higher rate of grade 3 PGD (44% vs 27%).<sup>35</sup> Data from the UNOS database demonstrate that high-risk recipients may not be good candidates for marginal lungs: among recipients with a lung allocation score of 70 or greater, the use of marginal lungs was associated with a significant increase in 1-year mortality.<sup>36</sup>

There remains a lack of proven, objective criteria with which to assess acceptability of donor lungs. Various scoring methodologies have been proposed, but none have been widely adopted. Further research is needed to better inform clinical decision-making regarding donor characteristics that define a suitable lung allograft and which lung transplant candidates are most appropriate to receive “marginal” donor lungs.

Key questions in this area include:

- How should “marginal” donor lungs be objectively defined to more accurately inform clinical decision making?
- Which recipients are the most appropriate for the use of “marginal” lungs?

## **Optimizing the Potential Donor Organ Pool**

### **Variability in Organ Acceptance Rates**

Organ acceptance rates vary considerably across centers in part due to lack of consensus about what constitutes an acceptable donor lung. Although most members of the US lung transplant community would embrace a revision of donor acceptance criteria, this effort remains hampered by many factors including a lack of donor infrastructure, risk aversion, liability concerns, and public opinion. Proper assessment of donor lung appropriateness requires a fastidious in-person examination to corroborate or disprove imaging and



laboratory data. Development of a donor score, including clinical and radiographic data derived from the procurement site as well as additional laboratory data obtained after standardized maneuvers in the operating room, would likely be helpful. If widely adopted, a standardized assessment approach offers the potential to significantly increase donor lung utilization rates without sacrificing quality.

Variation in donor hospital performance and practice as well as organ procurement organization (OPO) management strategies make it even more important for on-site assessment. However, broad geographic distribution of donor hospitals and limitations in personnel make on-site assessment a costly and judiciously applied practice. A movement towards more centralized donor management centers may increase the ability for specifically trained surgeons and other medical personnel to evaluate and procure a greater number of organs.<sup>37</sup>

Regulatory and societal pressures may also impact donor utilization, especially amongst high-risk recipients or for donor lungs deemed to be marginal or meeting extended criteria. For example, transplant centers may at times restrict recipient and donor selection to improve performance on program-specific reports, but this conservative behavior decreases access for certain high-risk transplant recipient groups and may also limit overall donor utilization rates.<sup>38</sup> Patient awareness, public opinion, and fear of legal action may also hinder the use of extended criteria donors. For example, existing data demonstrate the overall societal survival benefit of using smoking donors for lung transplantation.<sup>39</sup> However, public awareness of the modest reduction in individual post-transplant outcomes has deterred the use of these donors and as a result, the rate of utilization in the US of lungs from donors who have smoked has fallen.<sup>5</sup>

Key questions in this area include:

- What are the barriers and facilitators to lung allograft acceptance for each of the involved stakeholders (e.g. OPO, donor center, transplant center)?
- Is standardized intraoperative donor assessment more predictive of recipient outcomes than pre-procurement assessment?
- Are centralized donor centers feasible and able to increase the number of donor lungs procured and transplanted without compromising recipient outcomes?

### **The Role for Ex-Vivo Lung Perfusion**

Ex-vivo lung perfusion (EVLP) was introduced as a donor lung assessment tool in 2001.<sup>40</sup> It was later used to re-assess extended criteria donor (ECD) lungs. Furthermore, EVLP has been shown to be efficacious in improving the oxygenation of donor lungs rejected for transplantation due to poor oxygenation.<sup>41</sup> More recently, two clinical trials demonstrated the feasibility of transplanting ECD lungs after EVLP with good clinical outcomes.<sup>42,43</sup>

Using EVLP as a standard assessment tool to obtain data under reproducible conditions may be a useful strategy in overcoming the clinical variability in OPO management and could also expand the donor pool by allowing for higher fidelity re-assessment of extended criteria organs. However, the current EVLP paradigm has limitations. For example, the current

ventilatory and perfusion modalities are not physiologic in that they utilize significant ventilator recruitment strategies and low perfusion pressure. Therefore, EVLP platforms used in the US may benefit from revision to achieve more physiologic assessments.

EVLP also has the potential as a therapeutic platform for novel therapeutic interventions. Transfection technologies and other treatment paradigms could be employed *ex vivo*, whereas use of these strategies in the intact donor might be too costly, cumbersome, impractical, or have effects on other organs that need to be considered. Defining which therapeutic interventions are most appropriate for use in the EVLP platform could represent a significant role for this technology in the future.

Key questions in this area include:

- Does utilization of EVLP as a standardized assessment tool to evaluate donor lung quality improve donor lung utilization rates and recipient outcomes?
- How can novel therapies for donor lung reconditioning using EVLP platforms be implemented to improve donor lung utilization rates and recipient outcomes?

### Donor Lung Procurement

Most donor lungs in the US are procured from donation after brain death (DBD) donors, with a minority of donor lungs procured from controlled donation after circulatory death (DCD) donors. DCD donation involves a planned withdrawal of life support in patients who do not meet criteria for brain death. Most studies have demonstrated that short and long-term outcomes after transplantation of DCD lungs are comparable to those after transplantation of DBD lungs.<sup>44,45</sup> Despite compelling evidence that DCD lungs can be safely transplanted, such organs are infrequently utilized in the US. Limitations in the use of DCD organs stem from ethical, financial, and logistical considerations, as well as lack of awareness of initial studies demonstrating DCD donor lung comparability to DBD donors.<sup>46</sup> Some transplant programs may be reluctant to consider DCD organs in part due to risk aversion, lack of institutional resources, and limited lung transplant experience. However, more widespread and standardized use of DCD organs could significantly improve donor organ availability and increase transplant rates across the country.

At the present time, OPO practice patterns vary widely with respect to diagnostic and therapeutic interventions that are employed to facilitate DCD organ placement. While some centers decline any intervention that does not directly benefit the potential donor, a multi-society consensus statement recommends that “*ante mortem* interventions are ethically appropriate if they contribute to good transplant outcomes and have a low chance of harming the prospective donor”.<sup>47</sup> As such, diagnostic studies such as computed tomography scans and bronchoscopy can be performed similarly to what occurs in DBD donors, and transplant team representatives can advise OPO personnel regarding appropriate management that can optimize organ function. Studies to define the best strategies and techniques for donor lung procurement are needed.

Key questions in this area include:



- What are the barriers and facilitators to DCD and DBD donor lung procurement for each of the involved stakeholders (e.g., donor hospital, OPO, transplant center)?
- Will a structured collaborative intervention with OPOs and donor hospitals result in an increase in DCD and DBD lungs being offered and procured?

### Optimizing Donor Management Strategies

Current donor management strategies to optimize organ function vary widely across OPOs and transplant centers. Such strategies are based primarily on physiologic rationale, anecdotal experience, and retrospective clinical studies. For example, the San Antonio Lung Transplant protocol for management of potential lung donors involves OPO education and training, active donor management by transplant pulmonologists, aspiration prevention strategies, maintenance of negative fluid balance, and periods of recruitment using mechanical ventilation with high inspiratory and expiratory pressures.<sup>48</sup> Use of the San Antonio Lung Transplant protocol demonstrated increased organ utilization rates with no adverse impact on recipient 30-day or 1-year outcomes. Similar investigations have been conducted in Europe, but the impact of the overall protocol or specific interventions within the protocol is not known. Furthermore, it is unknown if such interventions would be effective if implemented across all OPOs.

Very few randomized clinical trials have been conducted in lung donor management. One of the first assessed the effect of nebulized albuterol versus placebo in lung donors designed to promote resolution of pulmonary edema.<sup>49</sup> Albuterol treatment did not impact donor oxygenation or donor lung utilization. Another randomized clinical trial compared two different mechanical ventilator protocols in European lung donors.<sup>50</sup> Donors were randomized to conventional mechanical ventilation or ventilation with low tidal volume and higher positive end-expiratory pressure (PEEP) for six hours. Both lung function and subsequent lung utilization were significantly better in the low tidal volume arm, however the intervention occurred early in the donor management protocol and lasted only for six hours. Current trials include one comparing naloxone to placebo for donors with hypoxemia ([NCT02581111](#)) and another investigating an open lung protective ventilation strategy in potential lung donors ([NCT03439995](#)).

Regulatory issues, especially regarding recipient consent, the current delivery of care model, a general lack of standardized approaches to donor management, and widely distributed donor populations, make clinical trial organization and execution in this area challenging.<sup>51</sup> Recognizing these complexities, a recent National Academies of Sciences, Engineering, and Medicine consensus study sought to parse the existing barriers and identify opportunities for conducting organ donor intervention research.<sup>52</sup> Through this report, the committee recommended that donor research stakeholders coordinate to develop resources that effectively communicate information about donor intervention research to the public, improve coordination among agencies around a single national donor registry, provide legal guidance for interventional research involving organ donation, outline the parameters for informed consent to participate in organ donation intervention research, and establish a centralized donor research oversight committee. It is with this framework that we consider

research opportunities to optimize the potential donor organ pool with the appreciation that the field of donor management is ripe for clinical investigation with appropriately structured studies.

Key questions in this area include:

- What is the optimal recipient consent strategy for donor specific interventional studies?
- What donor management strategies can improve donor lung utilization rates while preserving or improving recipient outcomes?

## Perioperative Issues

### Surgical Technique

Most US lung transplant recipients undergo bilateral lung transplantation. The most common incision employed for bilateral lung transplantation is a 4<sup>th</sup> interspace bilateral thoracosternotomy. However, the morbidity, long term patient satisfaction, and effect on graft function of the bilateral thoracosternotomy compared to other incisions is unknown. Some centers have espoused the use of more limited access incisions with some single-center reports supporting these approaches; however, definitive comparative outcomes studies based on incisional approach are lacking.

Extracorporeal support may be necessary during lung transplantation, however the relative benefits of cardiopulmonary bypass (CPB) versus other forms of ECLS are not understood. Best practices are often developed within an institution and then shared anecdotally or informally. Studies to determine the best mode of extracorporeal support could help provide evidence to inform clinical practice.

Key questions in this area include:

- What is the effect of different incisional approaches on recipient outcomes?
- Are recipient outcomes improved with intraoperative ECLS compared to CPB support?

### Single versus Bilateral Lung Transplantation

Over the last 15 years there has been a significant growth in the number of double lung transplants performed while the number of single lung transplants performed annually has remained relatively stable.<sup>53</sup> Double lung transplantation (DLT) is favored for patients with cystic fibrosis, other forms of suppurative lung disease, and group 1 pulmonary arterial hypertension. Additionally, patients with a high lung allocation score and those requiring ECLS as a bridge to transplant both appear to have better survival following double lung transplantation.<sup>54</sup> However, there is variability among centers regarding the use of single or double lung transplantation, particularly for patients with chronic obstructive pulmonary disease and interstitial lung disease.

Although direct comparison studies report a survival advantage for double versus single lung transplant, the groups are not age- or disease-matched as the double lung transplant cohort includes a larger number of younger patients who undergo transplant due to underlying cystic fibrosis or pulmonary hypertension.<sup>53–56</sup> There may be subgroups of recipients who do not benefit from DLT. For example, older patients are at risk for morbidity and potential mortality related to “too much surgery” with a bilateral procedure. As such, older patients are more frequently considered for single lung transplantation.<sup>53,54</sup> The data is mixed in patients with idiopathic pulmonary fibrosis. Using the UNOS registry, similar graft survival was noted in IPF recipients concurrently listed for DLT and SLT between 2001–2009.<sup>57</sup> However, a more recent study post-lung allocation score noted a longer median time to graft failure in IPF patients who received a DLT when compared to those who received a SLT.<sup>58</sup> Furthermore, in the same study, it was noted that while patients that undergo DLT for emphysema typically note improved function compared to their single lung recipient counterparts, the impact on survival is less clear.<sup>58</sup> Increased use of single lung transplants may increase the availability of donor lungs for other candidates and therefore increase the net benefit associated with these donors.<sup>58–60</sup>

Literature regarding the optimal procedure in lung re-transplantation is scarce. Many centers cite a failing graft as a source of significant morbidity, but research has not shown a clear difference in graft or patient survival between recipients of re-transplant with single or double lungs when stratified by previous single or double lung transplantation.<sup>61</sup> At present, this would suggest that single lung re-transplantation should be considered in these cases regardless of previous transplant type, as this has not been shown to worsen outcomes and it would positively impact organ availability for the waitlist population.

A key question in this area includes:

- Which lung transplant candidates are best suited for single lung transplantation?

### **Peri-Operative Critical Care Management**

There is a dearth of high quality evidence to guide peri-operative critical care management after lung transplantation. Current practices are based on institutional protocols or expert opinion with wide inter-center variability. Significant interest exists in gaining clarity regarding the best strategies for mechanical ventilation, fluid and hemodynamic management, sedation, and use of ECLS after transplantation.

Currently, no randomized controlled trial data exist to guide ventilator management after uncomplicated lung transplantation or in the setting of PGD. The NHLBI Acute Respiratory Distress Syndrome (ARDS) network demonstrated the efficacy of lung protective ventilation strategies in ARDS, yet it is unknown how these beneficial strategies may impact the incidence of PGD and longer term outcomes following lung transplantation.<sup>62</sup> A single center study demonstrated a reduction in the incidence of PGD with implementation of an evidence-based protocol for ventilator and hemodynamic management, however no differences in duration of mechanical ventilation or survival were noted.<sup>63</sup> Furthermore, even though lung protective ventilation strategies are commonly used after transplantation, tidal volumes are often set based on recipient ideal body weight (IBW) as opposed to donor

IBW.<sup>64</sup> Donor characteristics do not appear to be considered by clinicians managing the recipient's ventilatory support.<sup>64</sup>

Additionally, concerns about airway anastomotic ischemia have led to a general reluctance in peri-operative transplant management to the use of higher levels of PEEP advocated in ARDS.

A restrictive fluid management strategy is commonly employed after lung transplantation to limit pulmonary edema resulting from increased pulmonary vascular permeability. While this approach has been demonstrated to be effective in reducing duration of mechanical ventilation in ARDS patients, trials in lung transplantation have not been conducted.<sup>65</sup> Instead, data are limited to retrospective studies that suggest that increased intra-operative fluid administration and the use of colloid fluids are associated with an increased risk for PGD, prolonged mechanical ventilation, and mortality.<sup>66,67</sup>

Current data and practice guidelines surrounding the management of sedation in the intensive care unit recommend light sedation to minimize the duration of mechanical ventilation and the incidence of delirium.<sup>68</sup> However, data in lung transplantation are limited to surveys in which most respondents report that they do not have or follow a formal sedation policy specifically relevant to lung transplant patients.<sup>69</sup>

The use of ECLS support in the post-transplant setting also varies considerably. While excellent clinical outcomes can be achieved with ECLS support, thresholds for initiating support vary from institution to institution. Some centers use ECLS based on the theory that by providing complete cardiopulmonary support that bypasses the lungs and obviates the need for ventilator support, the avoidance of ventilator-induced lung injury can expedite lung recovery. However, limited data exist to support this approach and the optimal clinical scenario where post-operative ECLS may be most beneficial is unknown.

Key questions in this area include:

- Does the use of donor compared to recipient IBW to determine post-transplant ventilator settings reduce the risk of ventilator-induced lung injury and PGD?
- What is the impact of protocolized peri-operative management, including lung protective ventilation strategies and early implementation of ECLS for PGD, on lung transplant outcomes?

## Primary Graft Dysfunction

### Risk Factors and Mechanisms for Primary Graft Dysfunction

The ISHLT grading system for PGD is summarized in Table 1. The incidence of PGD is between 10 and 25%, with high 30-day attributable mortality.<sup>70–75</sup> Grade 3 PGD is associated with 23% 90-day and 34% 1-year mortality, compared to 5% and 11% respectively in those without PGD.<sup>76</sup> PGD survivors have increased long term mortality and an increased risk of CLAD.<sup>74,75,77,78</sup> Furthermore, survivors of PGD have substantial long term functional impairments.<sup>79</sup> Thus far, there are no therapeutic agents that prevent or treat

PGD. Therefore, any intervention that successfully reduces the risk and severity of PGD could dramatically improve transplant outcomes.

Recipient-related independent risk factors for PGD include: a body-mass index (BMI) greater than 25; pre-operative diagnosis of IPF, sarcoidosis, or pulmonary arterial hypertension (PAH); and elevated mean pulmonary artery pressure.<sup>71,76,80,81</sup> Any donor smoking history is an independent risk factor among donor variables; however, this variable has a need for more accurate quantification. Although there are differences in PGD incidence by center, these donor and recipient risk factors are independent of center effects.<sup>76</sup>

Understanding the mechanisms of PGD is a current area of active research. Protein biomarker and genomic studies performed in humans have implicated mechanisms for PGD development similar to those seen in model systems, notably donor cellular injury, innate immune activation, and inflammatory mechanisms.<sup>82</sup> Likewise, gene expression studies have implicated innate immune and inflammasome activation in the lung and the recipient immune cell response.<sup>83</sup> Such changes persist in the days following organ reperfusion.<sup>84</sup> Quantification of markers of such biological processes may serve to enhance the clinical PGD definition, develop syndrome endotypes, and promote discovery of new mechanisms in human studies.

Key questions in this area include:

- What therapies can prevent or treat PGD?
- What is the impact of precision interventions based on donor and/or recipient endotypes on the incidence and severity of PGD?
- Can donor and recipient risk factors (such as donor smoking exposure) be better quantified to predict PGD?

### **Preservation, Storage, and Reperfusion Techniques to Prevent PGD**

Initial perfusion solutions consisted of high potassium, low sodium content that replicated the electrolyte and osmotic composition of the intracellular environment. However, due to concerns regarding pulmonary vasoconstriction from the high potassium content, solutions replicating the extracellular environment were studied and found to be superior in terms of prevention of severe graft dysfunction early after transplant.<sup>85,87</sup>

Initial organ preservation began with core cooling to 13–15 degrees Celsius using cardiopulmonary bypass, followed by organ removal and storage at 4 degrees Celsius using saline and ice. Subsequently, the majority of transplant centers worldwide moved to a single pulmonary artery antegrade flush and topical cooling as the initial step in lung preservation. However, antegrade flushing was recognized to have potential drawbacks. Retrograde pulmonary venous flushing was introduced with the proposed theoretical advantages of being able to clear emboli from the lungs and perfuse the bronchial circulation that is not perfused with antegrade pulmonary arterial delivery. Retrograde flushing has been suggested to reduce the incidence and severity of PGD, and this technique has been adopted by most transplant centers.<sup>88,89</sup>

The technique of reperfusion and composition of the reperfusate may also influence early graft function after transplantation. A technique of controlled reperfusion (i.e., gradual pulmonary arterial unclamping over a 10-minute period) has been reported to have a favorable impact on early graft function.<sup>90</sup> Controlled reperfusion may also be augmented by the intraoperative use of ECLS or CPB.

A key question in this area includes:

- What preservation, storage, and reperfusion techniques are most effective at preventing and/or reducing the severity of PGD?

## **Recommendations for Future Clinical Investigation**

### **Identify donor or recipient interventions that improve survival as well as functional status and quality of life following lung transplantation.**

- Develop novel precision therapies based on donor and/or recipient endotypes to prevent PGD and thereby potentially influence the risk of CLAD.
- Assess outcomes in addition to mortality. HRQL, functional status, and validated surrogate endpoints should be utilized in lung transplant clinical studies.
- Determine the optimal usage and criteria for initiation of ECLS in decompensating patients on the lung transplant waitlist.

### **Develop improved donor and recipient assessment methods that can be used to better characterize donor-recipient interactions, expand the donor pool, and improve recipient outcomes.**

- Establish protocols for standardized intraoperative donor assessment, the collection and bio-banking of accepted and rejected donor tissues, and the quality/type of data collected within transplant registries across treatment centers.
- Design structured interventions with OPOs and donor hospitals to increase the number of successful donations after brain and circulatory death.
- Develop donor and recipient risk scores to help guide donor-recipient selection decisions.
- Establish core management behaviors (pharmacologic, ventilation strategy, fluid management) that can be investigated for comparative efficacy and serve as evidence-based elements of donor management.

### **Evaluate surgical approaches and perioperative clinical management strategies to optimize lung transplant recipient outcomes.**

- Determine the impact of routine ECLS compared to standard mechanical ventilation and CPB techniques on lung transplant outcomes.



- Assess if certain types of lung transplant candidates may be best suited for a specific operative procedure such a single versus bilateral lung transplantation or a specific incision type.
- Optimize perioperative critical care of lung transplant recipients including the use of lung protective ventilation strategies.

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### Glossary of Abbreviations

<b>AATS</b>	American Association for Thoracic Surgery
<b>ARDS</b>	acute respiratory distress syndrome
<b>CLAD</b>	chronic lung allograft dysfunction
<b>CPB</b>	cardiopulmonary bypass
<b>CTOT</b>	Clinical Trials in Organ Transplantation
<b>CTOT-C</b>	Clinical Trials in Organ Transplantation in Children
<b>DBD</b>	donation after brain death
<b>DCD</b>	donation after circulatory death
<b>DLT</b>	double lung transplantation
<b>ECD</b>	extended criteria donor
<b>ECLS</b>	extracorporeal life support
<b>EVLP</b>	ex-vivo lung perfusion
<b>HRQL</b>	health-related quality of life
<b>IBW</b>	ideal body weight
<b>IPF</b>	idiopathic pulmonary fibrosis
<b>ISHLT</b>	International Society for Heart and Lung Transplantation
<b>LTOG</b>	Lung Transplant Outcomes Group
<b>NHLBI</b>	National Heart, Lung, and Blood Institute
<b>OPO</b>	organ procurement organization
<b>PEEP</b>	positive end-expiratory pressure
<b>PGD</b>	primary graft dysfunction

<b>UNOS</b>	United Network for Organ Sharing
<b>US</b>	United States

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**Table 1:**

## International Society for Heart and Lung Transplantation Grading of Primary Graft Dysfunction

Grade	PaO <sub>2</sub> /FiO <sub>2</sub>	Infiltrates
0	>300	Absent
1	>300	Present
2	200–300	Present
3	<200	Present

PaO<sub>2</sub> – partial pressure of oxygen in arterial blood

FiO<sub>2</sub> – fraction of inspired oxygen

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