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# The mitochondrial PKC8/retinol Signal Complex exerts real-time Control on Energy Homeostasis.

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# Abstract

The review focuses on the role of vitamin A (retinol) in the control of energy homeostasis, and on the manner in which certain retinoids subvert this process, leading potentially to disease. In eukaryotic cells, the pyruvate dehydrogenase complex (PDHC) is negatively regulated by four pyruvate dehydrogenase kinases (PDKs) and two antagonistically acting pyruvate dehydrogenase phosphatases (PDPs). The second isoform, PDK2, is regulated by an autonomous mitochondrial signal cascade that is anchored on protein kinase C $\delta$  (PKC $\delta$ ), where retinoids play an indispensible co-factor role. Along with its companion proteins p66Shc, cytochrome c, and vitamin A, the PKC8 /retinol complex is located in the intermembrane space of mitochondria. At this site, and in contrast to cytosolic locations, PKC $\delta$  is activated by the site-specific oxidation of its cysteine-rich activation domain (CRD) that is configured into a complex RING-finger. Oxidation involves the transfer of electrons from cysteine moieties to oxidized cytochrome c, a step catalyzed by vitamin A. The PKC $\delta$ /retinol signalosome monitors the internal cytochrome c redox state that reflects the workload of the respiratory chain. Upon sensing demands for energy PKCδ signals the PDHC to increase glucose-derived fuel flux entering the KREBS cycle. Conversely, if excessive fuel flux surpasses the capacity of the respiratory chain, threatening the release of damaging reactive oxygen species (ROS), the polarity of the cytochrome c redox system is reversed, resulting in the chemical reduction of the PKC8 CRD, restoration of the RING-finger, refolding of PKC8 into the inactive, globular form, and curtailment of PDHC output, thereby constraining the respiratory capacity within safe margins. Several retinoids, notably anhydroretinol and fenretinide, capable of displacing retinol from binding sites on PKC8, can co-activate PKC8 signaling but, owing to their extended system of conjugated double bonds, are unable to silence PKC $\delta$  in a timely manner. Left in the ON position, PKC $\delta$  causes chronic overload of the respiratory chain leading to mitochondrial dysfunction. This review explores how defects in the PKC8 signal machinery potentially contribute to metabolic and degenerative diseases.

Declaration of Interest Statement

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#### Keywords

Vitamin A; Protein kinase C; Mitochondria; Energy homeostasis

When eukaryotic cells evolved 2.5 billion years ago they gained independence from fixed energy sources, allowing them to spread into vast new environmental niches. Those adopting mitochondrial endosymbionts eventually switched to oxidative metabolism, enabled by the co-evolution of chloroplast-carrying plant cells that created an oxygen-rich atmosphere. With the availability of a portable, oxygen-based energy source multicellular organisms arose. However, reliance on as corrosive a chemical as molecular oxygen for energy metabolism had drawbacks, necessitating powerful anti-oxidant systems. Despite these, various oxygen byproducts, collectively known as reactive oxygen species (ROS), inevitably escape from mitochondria, especially during phases of high energy demands. In animal cells, some of these ROS species are coopted to perform normal functions, including signaling, but when in excess multiple pathologies can ensue. To forestall such harmful ROS production, mitochondria evolved internal quality control mechanisms. One example among these is a signaling system based on protein kinase A which regulates the efficiency of electron transfer by coordinating Krebs cycle metabolism with cytochrome c oxidase capacity [1]. A second, feed-forward/feed-back loop, anchored on protein kinase  $C\delta$  $(PKC\delta)$ , is the subject of this review [2, 3]. This signal system operates upstream of the pyruvate dehydrogenase complex and is designed to coordinate the fuel flux entering the Krebs cycle with the workload of the electron-transfer chain (ETC), ostensibly to speed up electron flux during high energy demands but, importantly, to also maintain energy generation within safe margins. The PKC8 signaling systems is entirely confined to mitochondria, and while the import and assembly of the biochemical machinery, the uptake of a variety of fuels, as well as the export of numerous products, are under cytoplasmic control, monitoring the performance of the ETC by the PKC $\delta$  signaling system occurs in real time and quasi-autonomously. Such uniquely independent status seems necessary because cells harbor in their cytoplasm hundreds to thousands of mitochondria, with no readily discernible central coordination of these widely dispersed organelles.

# Brief history of the discovery of the regulation of oxidative phosphorylation by the PKC $\delta$ / vitamin A complex.

Vitamin A came into existence as "fat-soluble substance A" based on its requirement by chicks for normal growth [4, 5]. Support of growth promotion was also implied by findings in the 1920's that the development of lymphoid organs (among other organs) was stunted in vitamin A deficient mice. While, for instance, the thymus Anlage was present, the lymphocytes normally populating this organ were missing [6], which in retrospect we now know proliferate rapidly during the immune response, demanding peak energy levels. That vitamin A deprivation correlated with insufficient cell proliferation foretold an important regulatory role of this vitamin in energy homeostasis. Yet in the following decades vitamin A physiology took a back-seat, upstaged by the groundbreaking discoveries of the roles of vitamin A metabolites in vision [7] and gene transcription [8, 9]. When the growth-promoting properties of vitamin A were rediscovered by us in the early 90's [10–12], and

when only vitamin alcohol, but not retinoic acid, rescued vitamin A-deprived cultures of lymphocytes it became clear that vitamin A has its own biological purpose and is not a mere precursor for activated retinoids.

A search for cellular vitamin A receptors by affinity chromatography netted protein kinase Ca, and subsequent studies revealed that several other PKC isoforms, along with all three Raf serine/threonine kinase isoforms, harbored vitamin A binding sites as well [13]. All members of the family of serine/threonine kinases share in common a conserved, cysteine-rich, circa 50-amino acid long domain within their regulatory C1 domain [14]. An unusual structural feature of the cysteine-rich domains is the coordination of six cysteines, together with 2 histidines by 2 Zn<sup>2+</sup> ions into dual zinc-finger-like structures, with the motif  $HX_{12}CX_2CX_nCX_2CX_4HX_2CX_7C$ , called a RING finger. These structures constitute the activation domains, where diacyl-glycerol (DAG), the classic second messenger of PKC8, binds [15], or where RAS, an activator of Raf family members, attaches [16]. The RING-finger domain is also where vitamin A binds, although at a site separate from the classical second-messenger binding site [17]. In contrast to DAG and RAS, vitamin A does not activate serine-threonine kinases per se. However, the alternate activation mode by oxidizing agents such as H<sub>2</sub>O<sub>2</sub>, discovered by Nishizuka three decades ago [18, 19], was found by us to require vitamin A as essential co-factor [20].

All mammalian PKC and Raf isoforms carry at least one RING-finger with an associated vitamin A binding site. These structures are evolutionarily conserved from *Mammals* to *Drosophila*, implying functional relevance. Among the kinase isoforms studied in detail all registered positive in the vitamin A-catalyzed redox activation assay [21]. It is therefore likely that the emerging paradigm, ie the redox activation of RING-finger bearing kinases with participation of vitamin A cofactor, finds broad usage in redox signal networks. In the following we review the principles of this paradigm, as it applies to a unique PKC8 signaling complex in mitochondria, the PKC8 signalosome, distinct of PKC8 signals elsewhere in the cell.

### Composition and redox-activation of the murine PKCδ signalosome.

A large pool of inactive PKC $\delta$  exists in the cytosol from where a portion translocates to the intermembrane space of mitochondria. Here PKC $\delta$  associates with p66Shc, a versatile signal adaptor [22], using the SH2 domain of the latter to attach to a phospho-tyrosine residue (Tyr 332 in mice) of PKC $\delta$  [23]. Cytochrome c also binds to the p66Shc assembly platform, using a hydrophobic interaction with glutamic acid residues, E132 and E133 [24]. This arrangement juxtaposes cytochrome c towards PKC $\delta$ , thus facilitating the site-specific oxidation of the PKC $\delta$  RING-finger, leading to the activation of the PKC $\delta$  enzyme, independently of the DAG second-messenger. This alternate, oxidation-based activation mechanism entails the transfer of electrons from the PKC $\delta$  RING-fingers to cytochrome c. However, despite being tethered to the same platform in close proximity the passage of electrons proceeds too slowly between these two proteins to be physiologically useful. Nature overcame this problem by deploying vitamin A as catalyst. Vitamin A attaches to both PKC $\delta$  RING-finger domains via its head group, the  $\beta$ -ionone ring. The tail, comprising a system of conjugated double bonds, is thought to contact the juxtaposed cytochrome c,

thereby establishing an electronic bridge [25] that accelerates the passage of electrons by several orders of magnitude (Figure 1).

That retinol is electronically coupled to the RING-finger domains was demonstrated with recombinant versions of PKC C1 domains. In the presence of retinol these peptides displayed quenching of intrinsic protein (tryptophan) fluorescence and resonance energy transfer of intrinsic protein fluorescence (FRET) [13, 21]. In fact, the quenching of fluorescence elicited by UV irradiation at 280nm of a conserved tryptophan situated near the retinol binding cavity forms the basis of measurements of retinoid binding affinities to recombinant RING-finger proteins of a number PKC isoforms, as well as of cRAF [13]. Binding constants ranged from 20 nM (PKCa C1A, PKCµ C1A, and cRAF C1 domains) to 65nM (PKC8 C1A) or 95nM (PKC8 C1B), to name a few examples [13]. The recorded binding constants represent nominal values since these were measured with recombinant peptides. The true values in native PKC and Raf proteins may differ. However, in operational terms vitamin A binding sites abolished redox activation [20, 26], while preserving activation by second-messengers, phorbol esters, or RAS, respectively.

Vitamin A receptor sites on PKC $\delta$  do not only bind vitamin A alcohol (retinol) but also a number of other retinoids. However, retinol is the most important response modifier for PKC $\delta$ . As mentioned above, binding occurs via the  $\beta$ -ionone head group, and hence retinoids sharing the  $\beta$ -ionone ring, which include retinaldehyde, retinoic acid, and certain  $\beta$ -apocarotenoids all can bind and co-activate PKC8 *in vitro*, throwing this phenomenon into confusion as to its biological specificity. Nature largely resolved this problem by differentiating cytoplasmic, including mitochondrially located, vitamin A alcohol receptors of PKC and Raf families from those of the nuclear retinoic acid receptor families, RARs and RXRs. Thus, retinoid binding affinities for PKC and Raf kinases measure in the ten's of nanomoles [13], and hence are two orders of magnitude lower than those of retinoic acid for retinoic acid receptors (ranging from 0.1 to 1 nM). Therefore, in order to work as PKC or Raf cofactors local retinol concentrations must reach tens of nanomoles, which is achievable since vitamin A is ubiquitously distributed in micromolar concentrations in the circulation. On the other hand, because retinoic acids are produced locally near their sites of usage at concentrations never exceeding the low-nanomolar range, and because they are moreover sequestered to these sites by the degrading enzyme, Cyp26 [27], they are unlikely to interfere with retinol-mediated signaling events in mitochondria. However, in the event that retinoids, such as retinaldehyde and anhydroretinol (AR) were to accrue in pathological circumstances in concentrations above 10 to 100 nanomoles they may out-compete retinol via the shared the  $\beta$ -ionone ring. As experiments with cultured cells show, several of these retinoids function as competent PKC8 co-activators. However, as described below, the longterm (>30 min) stimulation of cells with retinoids other than retinol itself causes cytotoxicity by an as yet incompletely explained mechanism [28]. To what extent these *in vitro* inhibitory effects are biologically relevant is still uncertain.

## Role of RING-fingers in PKC<sub>8</sub> activation.

Substantial biochemical evidence supports the notion that the 2 PKC8 RING-fingers tandemly arranged within the regulatory C1 domain principally function as activation domains. In this regard, the PKC8 RING-finger is reminiscent of the activation domain of the bacterial Hsp33 chaperone which, like PKCs, comprises a zinc-coordinated structure as its key feature [29]. The Hsp33 zinc-finger is simpler in structure, comprising a single zinc ion chelated by four cysteines [30], as compared to the RING-finger which contains 2 zinc centers, each coordinated by three cysteinyl-plus one histidinyl-anion [15]. Nevertheless, the two structures are true functional orthologs. Because these ligands are derived from a single peptide and are arranged in space at the four corners of a perfect tetrahedron with the  $Zn^{2+}$ ion at its center, Zn-chelation gives rise to rigid structures that dominate the overall tertiary structure of PKCs. RING-fingers are stable as long as intracellular reducing conditions prevail. Jakob, Bardwell et al. were the first to point out the dynamic nature of zinc-finger structures in vivo by demonstrating that zinc-coordination centers dissolve under oxidizing conditions, but reform when reducing conditions return [29]. This concept of a reversible redox switch underlies the dynamic regulation of the Hsp33 chaperone [31]. Whether the PKCδ RING-finger structures are subject to a similar, redox-dependent dynamism, including especially full reversibility, has not been established in ultra-structural detail, but it was noted that PKC activation was linked to changes in their zinc-coordination centers.

In the inactive state, PKCs are subject to the common paradigm of auto-inhibition, where the regulatory domain sterically obstructs the kinase domain. In essence, activation entails the retraction of the regulatory domain from the catalytic domain, to uncover substrate recognition surfaces, to gain access to ATP binding sites and to dislodge the pseudo substrate from the catalytic cavity. A stepwise unfolding process was described in exemplary detail for the PKCBII isoform by Hurley and co-workers [32]. In their model Ca<sup>++</sup> release triggers the translocation of PKC from cytosol to the plasma membrane where it becomes anchored to membrane lipids, phosphatidyl serine and phosphatidylinositol (4,5)bisphosphate, by the synergistic action of two C2-domain associated receptors. Next, membrane-associated di-acyl-glycerol (DAG) binds both tandem RING-finger subunits, promoting the further unfolding of the regulatory domain to yield the active enzyme. Although widely accepted, this model does not allow for conformation changes induced within the RING-finger domains in response to DAG binding. To the contrary, our group showed that activated PKCa recovered by immunoprecipitation from phorbol-ester stimulated live cells had lost a substantial portion of its zinc [33], indicating that zinccoordination centers dissolved during the activation process. Follow-up studies showed that recombinant a Clb RING-finger domain structures responded to phorbol-12-myristoyl-13acetate (PMA) in a dose-dependent manner by shedding zinc ions, but not to phorbol-13acetate (P13A) [34]. Recombinant a Clb also released zinc in response to DAG, and this effect was enhanced by simultaneous stimulation with phosphatidyl-serine. The DAGnonbinding PKCζ Cl domain was unresponsive to PMA. Full-length recombinant PKCα protein shed 2 equivalents of zinc upon PMA, but not P13A, stimulation, acquiring at the same time full phospho-transferase activity in vitro. A chimeric Hsp33 reporter protein, in which the mammalian PKCe Clb RING-finger replaced the bacterial zinc-finger, yielded a

chaperone that underwent dramatic conformation change upon stimulation with PMA, but not P13A, as revealed by NMR [34]. This Hsp33 chimeric chaperone moreover responded to PMA by gaining the ability to refold aggregated test protein into the soluble, native conformation, just as the native Hsp33 would do in response to oxidation. *In toto,* these findings strongly support our hypothesis that PKC8 activation is associated with the reorganization of RING-finger peptides from their rigid, zinc-coordinated forms to flexible structures. Whether initiated by PMA, DAG, or oxidation, the dissolution of the RINGfinger fold may represent the primary event in the series of unfolding steps recorded by Leonard et al [32] that yield the active enzyme.

Mitochondria lack DAG generating enzymes. Hence the activation of PKC8, residing within mitochondria, is accomplished by the alternate pathway via oxidation of PKC8 RINGfingers. Although oxidation by diffusible oxidants, such as peroxide, is the main activation mechanism in bacteria this is not permissible in intact mammalian cells because random oxidation of sensitive cysteines and/or methionines located in the catalytic domain can be expected to inactivate PKC8. This was in fact shown for several PKC isoforms [35]. In lieu of soluble oxidants, mammalian cells evolved the use of oxidoreductases, because these enzymes possess intrinsic site-recognition, much as phosphatases, kinases, methylases or demethylases do. The PKC8 signalosome is a cogent example of how pin-point precise oxidation can be achieved, not only with regard to site selectivity (ie the RING-finger) but also to isoform selectivity. As mentioned above, PKC8 was found to assemble in the mitochondrial intermembrane space with cytochrome c on the p66Shc platform, bringing the oxidizing agent (cytochrome c3+) into close proximity with the PKC8 substrate [36]. Moreover, retinol bound to the RING-finger domain, marks this structure as the target of oxidation, at the same time creating a conduit for efficient transfer of electrons from PKC8 to oxidized cytochrome c3+.

Our model is well vetted by biochemical and genetic experiments [2, 26] although ultrastructural analyses are still outstanding. Genetic ablation of PKC8, p66Shc, or cytochrome c in mouse embryo fibroblasts (MEFs) all rendered the PKC8 signalosome inoperative. Genetic complementation by re-introduction of the respective transgenes normalized signaling. The withdrawal of retinol from cell cultures similarly silenced PKC8 signaling. Replenishment with vitamin A (retinol) reactivated the PKC8 signalosome in a dosedependent manner, with an optimum dose at 1  $\mu$ M – close to the physiological concentration of vitamin A in plasma. Phosphotyrosine Y332 of PKC8 binds the SH2 domain of p66Shc [23]. Disruption of this interaction by Y332F mutation attenuated signaling, as did the double mutation E132Q ; E133Q on p66Shc that abolishes the binding of cytochrome c to p66Shc [24]. Thus, the integrity of the fully assembled signal complex is critical for the activation and forward signaling of PKC8. It is noteworthy that the above listed genetic disruptions (except outright PKC8 deletion), including the removal of vitamin co-factor, are readily overridden by pharmacomimetics, such as PMA [2].

We confirmed that redox activation of PKC $\delta$  was mediated by cytochrome c3+. Mitoplasts lose cytochrome c along with other small molecular components from the intermembrane space, but retain their intact respiratory machinery. Replenishing mitoplasts from the exterior space with the combination of cytochrome c3+ and retinol restored respiration, while

cytochrome c2+ was ineffective [2]. Control mitoplasts of cells harboring the p66Shc E132Q; E133Q mutations did not normalize respiration in response to external cytochrome c3+, indicating that physical binding of cytochrome c to p66Shc was a prerequisite. Cytochrome c3+ mediated PKC8 activation of mitoplasts correlated with increased PKC8 auto-phosphorylation, along with decreased PDH E1 phosphorylation, signifying coordinately increased PKC8 and PDH activities [2]. The changes in *in vivo* phosphorylation patterns also correlated with up-regulation of oxygen consumption, and with augmentation of ATP synthesis. Considered *in toto,* these observations support the notion that in intact mitochondria PKC8 is activated by cytochrome c-dependent redox action.

We postulate that cytochrome  $c3^+$ -mediated activation of PKC $\delta$  is reversible. Specifically, we propose that the oxidized RING-finger associated with the active form of PKC $\delta$  is reduced by cytochrome  $c2_+$ , restoring the zinc-coordination centers and initiating the process that returns the molecule to its inactive conformation. Silencing PKC $\delta$  would depend on conditions when the cytochrome  $c2_+$  concentration in the IMS would prevail over that of the oxidized cytochrome c species. While these dynamics are unknown in detail, there is evidence that oxidation of cytochrome  $c2_+$  by cytochrome c oxidase is the rate-limiting, final step in the conveyance of electrons to oxygen [37, 38]. By implication, low respiration would be associated with a high ratio of cytochrome  $c3^+ : c2^+$ , whereas at high respiratory activity the ratio would shift towards prevalence of cytochrome  $c2^+ > c3^+$ . Linking PKC $\delta$  activity to the dynamics of the cytochrome c redox status represents the beginnings of a ratiometric feedback/feedforward loop that ties glycolytic fuel production to the workload of the respiratory chain.

# Forward target of PKC<sub>8</sub> signaling.

In the above studies on retinoid dependence mitochondria were energized by pyruvate/ malate, placing the target of retinoid action upstream of the PDHC. By contrast, use of succinate in lieu of pyruvate rendered mitochondrial function retinol-independent, also suggesting that the likely target of PKC8 signaling was upstream of the Krebs cycle, effectively ruling out components of the ETC, especially complex I, as the direct target of PKC8/retinoid action, and supporting instead the function as upstream regulator of PDHC [26] (Figure 2).

In further detailed studies to define physiologically meaningful PKCδ targets we employed live cells, isolated mitochondria, and mitoplasts. Focusing on key components, including PDK2, PDHC and PKCδ itself, we determined unbiased phosphorylation patterns by 2D gel electrophoresis, recorded the phosphorylation status of known functional sites, determined, where possible, the intrinsic phosphotransferase capacities, and related measurements with downstream metabolic parameters, including oxygen consumption and ATP synthesis. To further consolidate findings, we applied an array of cell lines with select genetic modifications of pertinent genes, including global gene knock-outs, RNAi-knockdowns, and site-specific function-disrupting mutations. The results were entirely congruent with one another, and supported the model of figure 2 where the PKCδ activation results in PDK2 inactivation, consequently up-regulating PDHC activity. Our results are in conflict with a

study which showed that purified PKCδ was capable of phosphorylating recombinant PDK2 protein in a cell-free system [39]. However, such *in vitro* results are biologically meaningless unless substantiated in intact cells.

The PKC $\delta$  signal pathway proposed by us melds seamlessly with the canonical PDHC regulatory system, that involves a set of 4 PDH kinases (PDK1 – 4) and 2 PDH phosphatases (PDP1,2) [40–43]. But it also necessitates a matrix-located PDK2 phosphatase acting upstream of PDK2, the identity of which remains unknown. The mechanism of how PKC $\delta$  signals to the PDK2-phosphatase across the inner membrane is also unknown. On the other hand, following the tenet that every phosphatase must be paired with a kinase, and *vice versa*, we recently identified PKC $\epsilon$  as a strong candidate for the PDK2 kinase, as will be described below.

# Subversion of the PKCδ signal system by natural vitamin A derivatives and synthetic analogs.

The major metabolic derivatives of retinol are retinoic acid, acting as transcriptional mediator, and retinaldehyde, forming the universal visual pigment in the vertebrate eye, as well as in the compound eye of insects. Additional common derivatives of retinol are two hydroxylated retinoids: 14-hydroxy-retro-retinol (14-HRR) [44] and 13,14-dihydroxy-retinol (13,14-DHR) [45]. A third derivative is AR, generated by enzymatic dehydration of the terminal primary alcohol group of retinol by a de-hydratase [46]. Apocarotenoids, generated by asymmetric enzymatic cleavage of  $\beta$ -carotenoids, further expand the inventory of cellular retinoids [47]. These vitamin A derivatives were identified by analyses of vitamin A metabolism in normal tissues, normal cell lines and cancer cells, but a systematic study of their tissue distribution is still outstanding. Moreover their physiologic purpose has remained unclear. Vitamin A and its natural derivatives 14HRR, 13,14DHR and AR, as well as the synthetic retinoid fenretinide (4HPR) all contain the  $\beta$ -ionone ring that enables binding to the RING-Finger domains of PKC $\delta$ , as well as those to other PKC isoforms. As these 5 retinoids have similar binding affinities they can act as competent co-factors during redox activation of PKC8. However, the consequences of interaction with PKC8 differ dramatically, depending on the chemical structure of the retinoid ligands. Hydroxylated retinoids, retinol, 14-HRR and 13,14-DHR support long-term cell growth, although their inverted U-shape dose-response curves suggest that at supra-optimal doses they exert considerable cytotoxicity, just as retinol does [44, 45]. By contrast, AR and fenretinide are acutely cytotoxic throughout the entire dose range [28, 46, 48]. What accounts for the different outcomes of AR- or fenretinide-mediated versus retinol-mediated PKC8 activation is not fully understood, but contains a potentially important lesson in vitamin A physiology. We propose that the reason for retinoid toxicity is subversion of the PKC $\delta$  signaling system, leading to mitochondrial overload.

In our model the high-dose induced retinol cytotoxicity can be attributed to a shift in the equilibrium between apo-PKC $\delta$  and holo-PKC $\delta$  (ie. the retinol-free, inactive PKC $\delta$  species and the retinol-bound, active PKC $\delta$  species, respectively). By the law of mass action, cells exposed to supra-optimal retinol concentrations, assuming unobstructed partition to

mitochondria, would have increased holo-PKCδ concentrations and hence generate a comparatively stronger signal to PDH, driving fuel flux to levels that elicit chronic mitochondrial overload. As our experimental evidence shows, cultured cells supplemented with retinol concentrations above the physiological optimum display dramatically lower oxygen consumption and ATP synthesis compared to cultures with physiological retinol concentrations [26].

While both high-dose vitamin A- and AR-mediated toxicities can be attributed to mitochondrial overload [49], as defined by increased NADH production in the Krebs cycle, inner membrane hyperpolarization [50], and release of damaging ROS [51, 52] [53] the underlying mechanisms differ. The former can be explained as shifts in the equilibrium from apo- towards holo-PKC $\delta$ , increasing PKC $\delta$  signal strength, as described above. By contrast, the cytotoxicity mediated by AR and fenretinide results, we propose, from a unique manner in which these 2 retinoids subvert the control of PKC $\delta$ . The dynamic model of figure 1 stipulates that retinol catalyzes the forward redox reaction resulting in the conversion of inactive mitochondrial PKC8 to the active enzyme. However retinol also catalyzes the necessary reverse reaction that inactivates this enzyme as soon as demands for substrate are met, but when excess might become a liability. AR on the other hand, while capable of catalyzing the forward reaction as demonstrated by us, might not be able to drive the reverse reaction, thereby leaving the enzyme stranded in its active state. It should be noted that the oxidation of RING-finger cysteines that underlies PKC8 activation likely is an exergonic reaction, whereas the reduction of oxidized cysteines, a step postulated to precede kinase inactivation, is endergonic.

In catalyzing these reactions retinoids can be thought of as devices that shuttle electrons from PKC $\delta$  to cytochrome c, as well as in the opposite direction. The concept becomes clearer if one assumes that energy, not electrons, is being transferred. We observed that retinol bound to PKC8 becomes electronically coupled to the RING-finger, potentially enabling resonance energy transfer (RET). A key consideration is that the energy quanta any given retinoid can absorb is determined by the length of its linear polyene. As a rule, the longer the polyene, measured in terms of number of conjugated double bonds, the lower the energy absorption. This is reflected in the redshift of absorption spectra. As a rule of thumb for each C-C=C unit added to the polyene the absorption maximum gains circa 20 nm. Retinol ( $\lambda_{max}$  = 325 nm) possesses 4 conjugated double bonds, compared to 6 in AR  $\lambda_{max}$  = 366 nm) and 5 in fenretinide. Consequently, the quantum of energy retinol can adsorb by RET from PKC8 and transfer to cytochrome c3+ is substantially larger than what AR or fenretinide can absorb. These considerations support our model that AR and fenretinide are capable of co-activating PKC8, as in fact shown by the experiment [28], but lack the energy to de-activate PKC8 in a timely manner. Under these circumstances mitochondrial overload ensues, generating damaging amounts of ROS, that lead to programmed cell death with the hallmarks of acute mitochondrial membrane hyperpolarization followed by depolarization, PARP-1 dependent ATP deprivation, opening of the transition pores, cytochrome c release, and eventually programmed cell death [49]. It is noteworthy that retinol at physiological concentrations is able to rescue cells when supplied within the first hour after AR supplementation, presumably by competing AR off the PKC $\delta$  receptor sites and thereby restoring normal, bi-directional, control of the PDHC [49].

In addition to direct effects on PKC $\delta$  fenretinide also exerts an indirect influence on the PKC $\delta$  signal cascade. This is mediated by a second mitochondrial kinase, PKC $\epsilon$ , localized in the matrix. While the mode of fenretinide-mediated PKC $\epsilon$  activation is currently unknown, this kinase was found to act as a negative regulator of the PDHC, thereby antagonizing PKC $\delta$  signaling [54]. On preliminary evidence PKC $\epsilon$  targets PDK2, which is also the downstream target of PKC $\delta$ , with the proviso that the former activates, whereas the latter inactivates PDK2 (Figure 2). The observation that PKC $\epsilon$  activates PDK2 raises the possibility that PKC $\delta$  represents the long sought PDK2-kinase [28].

Compared to the real-time action of PKCδ on the PDHC, the fenretinide-mediated downregulation of PDHC via PKCε occurs with delayed kinetics. The fenretinide effect is bi-modal, consisting during the first 30 minutes of a phase when PKCδ becomes hyper-activated. This is followed by the second phase when PKCδ action dominates over that of PKCδ. Observations that during the secondary phase substantial amounts of PKCδ are recruited from the cytoplasm to the mitochondrial matrix suggest an explanation of how the balance in the PKCδ/ε Yin-Yang signal system can be shifted towards suppression of the PDHC [28]. That this shift coincides with the intense oxidative stress further implies that cells sensing imminent danger down-regulate oxidative phosphorylation in order to mitigate mitochondrial deterioration. The emerging picture is of a system that, similar to the cruise control of an automobile, monitors the internal performance of the ETC and constantly adjusts the OXPHOS machinery via the PKCe signal system to keep the output within safe margins. However, in the event that run-away fuel flux threatens mitochondrial integrity the PKCδ signal is activated to dampen OXPHOS more resolutely, akin to the chauffeur's shifting to lower gear.

#### Association of imbalanced PKCδ signalosome with disease.

PKC $\delta$  has been directly linked to many pathophysiologies, including metabolic syndrome, reperfusion injury of the ischemic heart, and cancer. Natural overexpression of PKC8 in C57BL/6J mice correlated with the development of insulin resistance, while experimental deletion of PKC8 in muscle conferred resistance to age-related insulin-resistance [55]. Likewise, mice with liver-specific or global ablation of PKCS displayed increased hepatic insulin sensitivity, increased glucose tolerance and reduced hepatosteatosis, whereas liverspecific overexpression resulted in the opposite phenotype: insulin resistance, glucose intolerance and obesity [55]. For these pathologies the primary target of PKC $\delta$  signaling has not been identified, indeed whether single or multiple targets are involved is uncertain. However, a case can be made that the PKC $\delta$  signalosome is the common denominator, in as much as type 2 diabetes is a quintessentially mitochondrial disease, and PKC $\delta$  is emerging as a prime regulator of glycolytic fuel processing in mitochondria [26]. Thus, the consequences of the above genetic PKCS overexpression might relate to abnormally high PDHC activity resulting in excessive ROS production by the chronically overloaded ETC. The converse might also apply: the more subdued pace of glycolytic fuel production by an under-stimulated PDHC might engage increased fatty acid beta-oxidation to maintain energy homeostasis, explaining the lean body mass of PKC $\delta^{-/-}$  mice [55, 56]. Noteworthy, global ablation of p66Shc generated a mouse strain that partly phenocopies the PKC $\delta^{-/-}$  mouse strain [57]. P66Shc ablation in Ob/Ob mice ameliorated several symptoms of metabolic

syndrome, including improved glucose tolerance and protection from premature death. These parameters are typically linked to oxidative stress and therefore ascribed to a propensity of p66Shc to control ROS production. Indeed, on the basis of cell-free studies but never demonstrated in intact cells, it was proposed that p66Shc itself possesses intrinsic capacity to generate ROS by catalyzing the transfer of electrons from cytochrome c2+ to oxygen [24, 58]. On the other hand, ablation of p66Shc disrupts the PKCδ signalosome, thereby curtailing the far more important source of mitochondrially produced ROS. Consistent with our model, we showed that in p66Shc<sup>-/-</sup> MEFs the PKCδ/PDH signal path was blocked, reducing glycolytic fuel flux. Therefore, the reduced redox stress observed with p66Shc<sup>-/-</sup> mice [57] might be more aptly attributed to overall diminished intermediate metabolism.

PKC8 was implicated in RBP4-mediated insulin resistance [59]. In this condition elevated RBP4 levels observed in the plasma of type 2 diabetic individuals or mice [60, 61] might indirectly, and at times adversely, influence PKC8 signaling. PKC8 activation requires that the vitamin A (retinol) cofactor be physically bound to a defined pocket in the PKC $\delta$ activation domain, as described above. Since the retinol binding affinities of PKC8 and RBP4 are similar in magnitude, holo-PKC8 and holo-RBP4 are in equilibrium. Therefore elevations of holoRBP4, occurring in diabetic conditions, leads by the laws of mass action to increases in the proportion of the fully assembled retinol/PKC $\delta$  complexes, i.e. the active form of PKC8. The resulting increase in signal strength to PDHC would push glycolytic fuel production to higher, and potentially unsafe, levels when the overburdened ETC releases pathogenic amounts of ROS. Like in instances of natural PKC8 overexpression [55], damaging ROS levels would exacerbate diabetic conditions. This hypothesis was tested in mice with experimentally-induced muscle-specific overexpression of RBP4 [59]. These mice had up to threefold elevated levels of retinol in muscle. When fed a high fat diet these mice became prone to develop insulin-resistance and glucose intolerance. This phenotype was PKCδ-dependent since ablation of PKCδ in the RBP4-transgenic mice ameliorated insulinresistance, along with a number of other parameters of metabolic syndrome.

#### A tale of misinterpretations and missed opportunities?

The emerging model of intrinsic regulation of intermediary metabolism (see cartoon of Figure 2) contains multiple concatenated Yin/Yang control elements: 1. The PDHC, positively regulated by pyruvate dehydrogenase phosphatases, counterbalanced by pyruvate dehydrogenase kinases; 2. Among these PDK2, negatively controlled by a PKC& dependent phosphatase, but counterbalanced by PKC& kinase; 3. PKC&, regulated positively by cytochrome c3+, but held in check by cytochrome c2+. This hierarchical system of checks and balances, more complex than a Calder sculpture, likely evolved to guarantee rapid PDHC activation, but to also curtail PDHC output when excessive fuel flux might jeopardize the integrity of the electron transfer chain. Since several pathophysiological conditions are attributable to imbalances in the control of PDHC, the PKC&/PKCe kinase system presents a prime target for therapeutic interventions. For instance, the metabolic syndrome is caused by chronic dependence on glucose-derived fuel at the expense of fats. The latter, being unable to be disposed of, accumulate in adipose tissues. Since our model highlights a profound antagonistic relationship between PKC& and PKCe, should it not be possible to intervene by

either reducing PKCδ signaling, or by strengthening PKCε signaling? Our model predicts that either of such interventions should reduce glucose dependence and increase fat utilization, thus effectively countermanding obesity. As mentioned, PKCδ knock-out mice have lean body mass and resist high-fat diet induced insulin-resistance with aging, whereas, conversely, mice with abnormally high PKCδ expression are prone to become obese, glucose intolerant, and insulin resistant [55]. Thus, methods to shift the Yin/Yang balance towards increased PKCε signaling might be of high clinical interest.

In fact the scientific record shows that this concept has already been proven in principle. Unbeknownst to their authors, the promising outcomes of several therapeutic intervention trials with fenretinide [62] might well be based on adjustments within the PKC8/PKCe signal system that diminish PDHC output. As described above, sustained fenretinide treatment of cells promotes the recruitment of PKCe into the mitochondrial matrix, resulting in decreased PDHC function via the activation of PKD2, the negative PDHC regulator.

Fenretinide was widely investigated in cancer therapies, based on findings in cell cultures that it preferentially killed malignantly transformed cells, compared to normal cells [63]. Fenretinide was known to interfere with complex I function, but it was only recently found that its true mode of action was upstream of complex I, namely suppression of PDHC function [28]. Clinical trials to control several types of cancer were initially rewarded with favorable outlooks buoyed by good pharmacological parameters, including excellent bioavailability and low toxicity, but resulted in only sporadic clinical improvement. Clinical researchers turned their attention to cancer prevention, specifically asking in one study whether secondary breast cancers in women could be prevented by long-term treatment with fenretinide [64]. Encouragingly, the incidents of secondary breast cancers were reduced, but analyses of the data revealed that weight loss among obese postmenopausal women was the true reason for the lowered cancer incidents. Chronic fenretinide treatment, it transpired, reduced adiposity, a result consistent with our model.

Observations raised by Yang et al in a mouse model of HFD-induced obesity and type 2 diabetes [60] might be relevant here. As this syndrome was known to be driven by elevated serum levels of holoRBP4, chronic treatments with fenretinide were undertaken under the premise that this retinoid would disrupt the TTR/RBP4/retinol transporter leading to efficient renal secretion of RBP4 [65]. Lowered RBP4 levels indeed correlated with improved insulin sensitivity and decreased adiposity. However, as shown with RBP4 knockout mice on HFD, the adiposity-reducing effect of fenretinide was independent of RBP4, and might well result from PKCe-mediated attenuation of PDHC function, as predicted by our model.

## Outlook

Mitochondria are the ubiquitous energy-producing organelles. They harbor, so far without exception, the PKCδ signalosome as an important component of an intrinsic energy-regulating circuit. Unsurprisingly mitochondrial dysfunction has been linked to a plethora of pathologies, many of which involve excessive ROS production as a primary etiologic factor. These include the metabolic syndrome, atherosclerosis, neurodegeneration, macula

degeneration, cardiac ischemia reperfusion injury, to name a few, but also normal aging. It is unclear how injury to mitochondria that are common to all nucleated cells can result in tissue-specific diseases. In this regard the discovery of the PKC6/s regulatory system is expected to open new avenues of inquiry. The finding that turning off PKC6 signaling in a timely manner is as important as efficient activation represents a conceptual advance. Currently the mechanism of PKC6 deactivation is unclear, but on thermodynamic grounds auxiliary protein foldases, orthologs to the DNAJ / DNAK / GrpE chaperone machinery of bacteria [66] [67], must exist as additional components of the PKC6 signalosome and should be investigated. When dysfunctional, this postulated refolding machinery might link PKC6 signaling to yet other pathologies, in particular to protein refolding diseases, such as Alzheimer [68] and Parkinson disease [69, 70], for which mitochondrial influences are already apparent. As our understanding of the contributions of PKC6 signaling to mitochondrial integrity and function deepens, opportunities for therapeutic interventions in degenerative diseases are apt to follow.

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# Highlights

- The mitochondrial PKCδ/retinol signalosome controls PDHC output, hence fuel flux
- The PKCδ activation domains comprise RING-fingers that contain retinolbinding sites
- Retinol-catalyzed oxidation of RING-fingers with cytochrome  $c3^+$  activates PKC $\delta$
- Linking PKCδ activity to cytochrome c redox state creates regulatory feedback loop
- Reversibility of PKCδ signaling ensures safe operation of the respiratory chain

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#### Figure 1: Model of the PKC8/retinol signalosome in mitochondria.

The PKC $\delta$  signalosome is shown as an assemblage of two proteins, PKC $\delta$  (in brown) and cytochrome c (in blue), on the p66Shc platform (in green). The phosphotyrosine residue 332 of PKC8 binds the SH2 domain of p66Shc, and cytochrome c (cyt c) connects to the p66Shc CB (cytochrome c binding domain) by hydrophobic interaction. Retinol occupies dedicated binding pockets on PKC8 which coincides with the two cysteine-rich activation domains (CRD) of this kinase, only one them being shown for clarity. The CRD contains two  $Zn^{2+}$ ions, configured into a rigid zinc-finger (also known as RING Finger) by chelation of each Zn cation with 3 cysteinyl-sulfhydryl anions (C), and one histidinyl anion (H). In mitochondria PKC8 is activated by oxidation of RING-finger cysteines, presumably generating one or two cystine residues, depending on whether one or both zinc-coordination centers are oxidized. Oxidation entails the transfer of at least one pair of electrons (e<sup>-</sup>) from cysteines to cyt c3<sup>+</sup>. Electron transfer is catalyzed by vitamin A (retinol) which bridges the gap between the PKC8 CRD and cyt c. In consequence to RING-finger oxidation the CRD undergoes a local structural change resulting, when amplified by allosteric means, in the retraction of the regulatory domain from the catalytic domain and providing access to substrate binding (SBS) and ATP binding sites (ABS).

The unfolding process from globular, inactive PKC& to the active enzyme is postulated to be reversible. This step presumably entails the reduction of cystines (generated during the activation step) by vitamin A-catalyzed transfer of electrons from cyt c2<sup>+</sup> that accumulates in the IMS during periods of high respiratory activity. It is presently unknown whether the restoration of the RING-finger, along with the large-scale restoration of the globular protein, occurs spontaneously or, as seems likely, involves auxiliary chaperones. It is noteworthy that the silencing of the bacterial HSP33 protein refoldase is assisted by the DNAK/DNAJ/GrpE auxiliary chaperone machinery. Refolding PKC& might require orthologous auxiliary chaperones.



#### Figure 2: Dynamic model of activation and proposed de-activation of PKC8 in mitochondria.

The PKC8 signalosome comprises PKC8 as the signal actuator, p66Shc as assembly platform, cytochrome c as oxido-reductase, and retinol as electron-bridge catalyzing the transfer of electrons from PKC $\delta$  to cyt c3+, initiating enzyme activation, or in reverse from cyt c2+ to PKCδ, silencing PKCδ activity. The PKCδ signal complex is situated in the IMS and signals forward to activate an as yet unidentified matrix-located phosphatase. The latter dephosphorylates the pyruvate dehydrogenase kinase 2 (PDK2), causing its inactivation. As PDK2 acts as negative regulator of PDHC the silencing of this kinase allows the canonical pyruvate dehydrogenase phosphatases, (PDP1 or 2) to dephosphorylate the E1 regulatory subunit of pyruvate dehydrogenase, thereby increasing the enzymatic conversion of pyruvate to acetyl-coenzyme A. Processing of the latter generates NADH which donates the highenergy electrons that pass through the ETC and generate the proton-motive force that drives ATP production (not shown in the cartoon). Electrons are then transferred in complex III to cyt c3+, reducing the latter to cyt c2+, which is transported to complex IV for final disposal by transfer of electrons to molecular oxygen, in the process regenerating cyt c3+. The model proposes a feedback/feedforward loop that positively and negatively regulates the fuel flux entering the KREBS cycle. The key assumption is that PKC8 monitors the redox state of the cytochrome c pool. PKC8 becomes activated by oxidation of its RING-finger

domain when cyt c3+ prevails over cyt c2+, reflecting the demand for ATP generation. It was proposed that cytochrome c oxidase is the rate-limiting step in electron transfer to oxygen, implying dynamic shifts in the ratios of cyt c3<sup>+</sup> to 2<sup>+</sup>. Therefore, at rest most cytochrome c would comprise the oxidized form, whereas at high respiratory load reduced cytochrome c would come to dominate. Hence, when cyt c2<sup>+</sup> accumulates in the IMS, the redox polarity in the PKC8 signalosome is reversed, allowing the reduction of the RING-finger cystines, restoring zinc-chelation centers and initiating the de-activation of PKC8. Consequently PDHC output is reduced, and fuel flux diminished. While incompletely understood the PKC8 signaling system emerges as an important ratiometric regulatory circuit that can accelerate acetyl-CoA production when energy demands are high, but also curtail fuel flux when the danger of ETC overload is imminent, threatening the release of damaging levels of ROS.

If real-time regulation of PDHC by PKCδ can be likened to the cruise control of automobiles, our model also has the makings of a second, more resolute downregulation akin a shift to lower gear. Located in the matrix, the PKCe kinase phosphorylates PDK2, thereby activating this negative regulator of the PDHC, antagonizing PKCδ. Although normally subjugated to PKCδ, during periods of stress added amounts of PKCe are recruited to mitochondria, essentially switching the control circuitry towards suppression of PDHC. The mechanism of PKCe activation, and the nature of potential companion molecules are unknown, as are modes of integration into the overall PKC control circuit.