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## Approaches to Objectively Measure Antiretroviral Medication Adherence and Drive Adherence Interventions

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### Abstract

**Purpose of review:** Traditional methods to assess antiretroviral adherence, such as self-report, pill counts, and pharmacy refill data, may be inaccurate in determining actual pill-taking to both antiretroviral therapy (ART) or pre-exposure prophylaxis (PrEP). HIV viral loads serve as surrogates of adherence on ART, but loss of virologic control may occur well after decreases in adherence and viral loads are not relevant to PrEP

**Recent findings:** Pharmacologic measures of adherence, electronic adherence monitors, and ingestible electronic pills all serve as more objective metrics of adherence, surpassing self-report in predicting outcomes. Pharmacologic metrics can identify either recent adherence or cumulative adherence. Recent dosing measures include antiretroviral levels in plasma or urine, as well as emtricitabine-triphosphate in dried blood spots (DBS) for those on tenofovir-emtricitabine-based therapy. A urine tenofovir test has recently been developed into a point-of-care test for bedside adherence monitoring. Cumulative adherence metrics assess adherence over weeks to months and include measurement of tenofovir-diphosphate in peripheral blood mononuclear cells or DBS, as well as ART levels in hair. Electronic adherence monitors and ingestible electronic pills can track pill bottle openings or medication ingestion, respectively.

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**Summary:** New and objective approaches in adherence monitoring can be used to detect nonadherence prior to loss of prevention efficacy or virologic control with PrEP or ART, respectively.

### Keywords

Adherence metrics; PrEP; ART; Pharmacologic metrics; Electronic adherence monitors; Ingestible sensors

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### Introduction:

Advances in antiretroviral therapy (ART) have led to increasingly well-tolerated and potent ART regimens, resulting both in less toxicity and greater tolerance of non-adherence prior to loss of virologic control. Although a virally-suppressed patient was once assumed to be an adherent patient, as low as 50% adherence may be sufficient for some individuals on the most potent antiretroviral regimens to achieve virologic suppression, particularly with prior sustained viral suppression [1–4]. However, a decrease in adherence can precede loss of virologic control by weeks to months [5, 6]. Moreover, adherence can be a challenge over time with nearly 20% stopping ART altogether after five years in sub-Saharan Africa [7]. Increasing evidence has also demonstrated the importance of optimal (currently daily) adherence to ART, even upon achieving virologic suppression, given the relationship between suboptimal adherence and systemic inflammation [8–10]. Additional tools to identify individuals at risk of loss of virologic control and non-persistence as early as possible are also needed to maximize the impact of treatment as prevention [11, 12]. Furthermore, objective adherence data can enhance interpretation of an unsuppressed viral load, permitting more rapid treatment switching or intensification and triaging of costly resistance testing [13, 14].

Pre-exposure prophylaxis (PrEP) is a highly effective HIV prevention strategy, but requires adequate adherence[15]. The only currently approved agents for PrEP are oral and include daily or intermittent[16] tenofovir disoproxil fumarate/emtricitabine (TDF/FTC) or daily tenofovir alafenamide (TAF)/FTC.[17] For PrEP, given the strong relationship between adherence and prevention efficacy, objective adherence measures have established themselves as key predictors and outcomes in clinical trials and demonstration projects,[18–24] and increasingly, to drive adherence interventions [25].

Traditional adherence measures, such as self-reported adherence, have played key roles in ART implementation to date [26–28]. Although self-reported adherence remains the most widely used method to assess adherence, particularly in real-world clinical settings, it is subject to multiple limitations including social desirability and recall biases (Table 1) [28–32]. Researchers and clinicians have attempted to combine self-reported adherence with pill counts and pharmacy refill data to improve accuracy, although this approach adds additional time, staff, and cost, without necessarily achieving higher accuracy[28, 33–36]. Directly observed therapy, if implemented well, is the gold-standard of adherence monitoring, but is rarely used outside the setting of multidrug resistant tuberculosis due to cost, staffing, and inconvenience to patients or study participants [14]. However, use of artificial intelligence

via mobile health technologies and smartphone cameras could mitigate these downsides, although additional data on acceptability and feasibility is needed [37, 38]. Recent advances in adherence metrics seek to address the limited accuracy of traditional measures of adherence. Pharmacologic measures of adherence, electronic adherence monitors, and ingestible electronic pills seek to objectively assess both cumulative adherence as well as adherence patterns. Techniques to make objective adherence data available as rapidly as possible to clinicians (at the point of care) are being developed, to obtain both accurate and actionable information to immediately direct adherence counseling and interventions.

## Pharmacologic Metrics of Adherence, Including Cumulative Measures and Point-of-Care Assays

Pharmacologic metrics of adherence involve measuring drug concentrations in a biomatrix such as plasma [39], urine [40], peripheral blood mononuclear cells (PBMCs) [41], hair [42–89], and dried blood spots (DBS) [90], most frequently via liquid chromatography tandem mass spectrometry (LC-MS/MS)-based methods (Figure 1). Pharmacologic measures of adherence have been critical to the interpretation of PrEP clinical trials and demonstration projects. For instance, the efficacy of PrEP in iPrEx, the first clinical trial to demonstrate this finding among men who have sex with men (MSM) and transgender women, rose from 44% to 92% among those with detectable drug levels in plasma and PBMCs [91]. In the VOICE and FEM-PrEP trials among women in sub-Saharan Africa, women in both trials reported >95% adherence to study drug, but random plasma tenofovir (TFV) levels among women on active drug were detectable in fewer than 30% of participants [20, 18].

Drug-level measurement is also increasingly being used to interpret outcomes on ART, given that an elevated HIV viral load can represent either non-adherence or ART resistance (Figure 2[92, 93]). For instance, a nondetectable drug level with virologic failure is more suggestive of non-adherence than a detectable drug level with failure, which can be more suggestive of resistance. However, single plasma drug-levels, like single glucose measurements when evaluating diabetes, are limited because they reflect only a short duration of exposure [94–96], can have significant day-to-day variation [94], and are subject to “white coat” adherence (where adherence improves transiently prior to a visit) [97]. Tenofovir-diphosphate (TFV-DP) levels in PBMCs relay information on exposure over longer periods (7–14 days), although processing, isolating and counting PBMCs are costly and technically challenging. In a manner analogous to how glycosylated hemoglobin A1C provides information on average glucose levels over long periods of time, cumulative adherence measures, such as measurement of TFV-DP in DBS or drug levels in hair, examine average adherence over weeks to months [65, 90] (Figure 1). Finally, the recent development of antibody-based TFV drug-level detection or measurement in urine can allow for real-time measurement.[98–102] Immunoassays are first translated into a lateral flow immunoassay (LFA) format[101] (like a urine pregnancy test), which allows recent TFV ingestion to be captured at the point-of-care at low cost without specialized training [101].

## Tenofovir diphosphate (TFV-DP) and emtricitabine triphosphate (FTC-TP) in Dried Blood Spots

Similar to the process within peripheral blood mononuclear cells (PBMCs), tenofovir and emtricitabine are phosphorylated to TFV-DP and FTC-TP in red blood cells (RBCs), which are abundant in dried blood spots (DBS). Each one of these phosphorylated anabolites exhibits unique pharmacokinetic parameters, which confers them distinct application as adherence measures. For example, TFV-DP—which is quantified both with TDF and TAF-based therapy—accumulates 25-fold from first dose to steady state with a long intracellular half-life (~17 days) in RBCs [90, 103–106]. These pharmacologic properties have been leveraged to develop adherence gradients to quantitate average TDF and TAF dosing over the preceding two months in healthy volunteers [90, 105, 106], which reflect both biology (pharmacokinetics) and behavior (adherence). Comparatively, FTC-TP has a shorter half-life in RBCs (~35 hrs.) [2, 107], and reflects recent TDF/FTC or TAF/FTC dosing (within 48–72 hrs.), similar to the look-back period duration of plasma and urine drug concentrations (Figure 1). Collectively, TFV-DP and FTC-TP in DBS provide a comprehensive measure of cumulative adherence and recent dosing, allowing for the identification of adherence patterns such as “white-coat” adherence where TFV-DP would be low but FTC-TP would be quantifiable.

The utility of TFV-DP and FTC-TP in DBS as pharmacologic measures of adherence has been well established in research and clinical settings. For example, TFV-DP in DBS has consistently shown to be a powerful predictor of efficacy to PrEP among high-risk individuals taking TDF and TAF-based PrEP [108–111], with concentrations of 700 femtomole (fmol)/3 mm punch and 900 fmol/two 7mm punches corresponding to high protection against HIV for TDF [108] and TAF [111], respectively. This strong predictive value has led to the integration of this measure to quantify adherence in PrEP studies and in clinical cases of suspected PrEP failure [112, 113]. For treatment, the clinical utility of TFV-DP was recently demonstrated in a large clinical cohort of people living with HIV (PLWH) on TDF, where increasing adjusted odds (aOR) for HIV virologic suppression (<20 copies/mL) were identified with higher concentrations of TFV-DP in DBS (highest adjusted odds ratio (aOR) 73.5 [95% Confidence interval (CI)=25.7, 210.5] for a TFV-DP 1850 fmol/punch compared to <350 fmol/punch). The predictive utility of this pharmacologic measure on outcomes was stronger than self-reported adherence,[114] which has been seen with most objective metrics.[75, 73] Recently, TFV-DP in DBS was also evaluated as a predictor of future viremia among participants on TDF/FTC-based ART. Among PLWH who were virologically-suppressed, the aOR of future viremia were 4.2 (95% CI=1.5,12.0) and 2.2 (95% CI=1.2, –4.0) for a concentration of 0–800 fmol/punch and 800–1649 fmol/punch compared to a TFV-DP concentration 1650 fmol/punch [115]. These findings highlight the potential wide clinical applications of TFV-DP and FTC-TP in DBS, not only as adherence biomarkers for PrEP or ART, but also as tools to predict clinical outcomes (i.e., viremia) among PLWH receiving tenofovir/ emtricitabine-based ART.

Similar to other pharmacologic measures, TFV-DP is influenced by demographic, clinical (including hemoglobin concentrations) and behavioral characteristics. Previous studies identified that TFV-DP in DBS was overall higher in PLWH compared to healthy volunteers,

and that it was 36% higher in women than men [105, 115, 114]. Similarly, TFV-DP in DBS in PLWH was found to be 14% and 22% higher in Whites and Hispanic PLWH compared to Blacks, respectively, likely due to lower hemoglobin levels among Blacks. TFV-DP is also influenced by body mass index, showing a strong inverse correlation, and by ART class, demonstrating higher concentrations with concomitant use of a pharmacologic booster (i.e. ritonavir or cobicistat) [116]. Despite its powerful associations with clinical outcomes, understanding the influence of these patient-specific characteristics on the variability of TFV-DP in DBS will allow for a more accurate characterization of this biomarker as a measure of TFV. Studies are underway to implement TFV-DP and FTC-TP testing clinically to improve outcomes on PrEP and ART, including the application of a bench-top near-real time technique for assaying TFV-DP in DBS [117].

### Hair drug-level measurement

Hair drug-level measurement is a technique, similar to TFV-DP in DBS, that can measure cumulative adherence to ART or PrEP over weeks to months [42–89] (Figure 1), with one centimeter of hair equivalent to one month of drug ingestion. [77, 80] Hair concentrations provides long-term exposure information on multiple antiretrovirals (ARVs) [60, 43, 44, 64] and do not require the medication to be processed intracellularly, like tenofovir. Hair concentrations of ARVs reliably predict virologic success in large prospective cohorts [46–54, 82], and clinical trials [55, 83] among PLWH, providing pharmacodynamic relevance for the longitudinal exposure data provided by hair samples. Hair levels of ARVs are stronger predictors of treatment outcomes than self-reported adherence [47–49, 54, 51, 82], or single plasma ARV concentrations [46, 47]. Furthermore, ARV hair levels have been shown to reflect adherence intervention effects when compared pre- and post-implementation [61, 62].

A linear relationship is observed between TFV dose and concentrations of TFV in hair among HIV-noninfected volunteers under directly observed therapy conditions. [65] Moreover, a strong correlation between hair levels of TFV and DBS concentrations of TFV-DP has been demonstrated, paving the way for the use of hair measures in the setting of PrEP. [66–79, 89, 80] Hair levels of TFV are also associated with PrEP-related toxicities in open-label studies, specifically declines in renal function. [68, 71] Hair concentrations of TFV are similar among men and women under conditions of directly observed therapy. [79] Therefore, the same range of hair levels can be used to quantitate adherence to PrEP/ART in both men and women [83] [79]. Finally, segmental analysis of hair samples allows for the assessment of adherence at various time points over preceding months (depending on the length of the participant's hair), which can be useful in the context of PrEP failure [77, 80]. For example, segmental hair analysis can be used to measure adherence patterns month-by-month, permitting examination of adherence patterns prior to and around the estimated time of seroconversion on PrEP [77, 80].

Hair collection is noninvasive and does not require specific skills like phlebotomy, sterile equipment, or specialized storage conditions. Hair sample collection requires only a pair of scissors and storage at room temperature. Hair collection has shown high rates of acceptability and feasibility (>95%) for hair ARV monitoring in African and Asian settings [47, 50, 53, 66, 57, 83], and among U.S. adolescents [72], and women [48, 49, 54].

Acceptability of hair collection has been lower among MSM in the U.S.[71] and among children in Africa [58]. Moreover, self-collection of hair samples (which may enhance feasibility of collection)[118] provides equivalent ARV concentration data to hair samples collected by field staff [70].

### Point-of-care (POC) Urine Tenofovir Measurement

Although drug levels in DBS and hair have an advantage over traditional pharmacologic methods given their ability to measure cumulative adherence, they are limited in their scalability within real-world clinical settings. Traditional methods to measure drug levels for pharmacologic monitoring, regardless of biomatrix, require expensive spectrometry-based equipment, usually liquid chromatography/tandem mass spectrometry (LC-MS/MS) machines. Moreover, LC-MS/MS involves specialized personnel and can be labor-intensive [99]. Therefore, an easy-to-perform point-of-care test that could measure adherence objectively would be of interest to the field.

A urine-based point-of-care (POC) test, which qualitatively assesses recent adherence to TDF-based regimens over the past 4–7 days, analogous to the duration of exposure provided by FTC-TP measurement in DBS, [101] (Figure 1) has been recently developed.[98–102] Rather than using spectrometry-based methods, this assay leverages a very selective antibody raised against TFV, which is the metabolite of both TDF and TAF excreted in the urine. The antibody-based assay has now been packaged into a lateral flow immunoassay, analogous to a urine pregnancy test or tuberculosis urine galactomannan test (Figure 3) [99]. The POC strip test provides information on recent adherence to TDF-based regimens in a few minutes, requires no special training, and is projected to be low-cost for use in resource-limited settings. The POC test has excellent performance characteristics in terms of sensitivity and specificity (both >99%) in comparison to both LC-MS/MS[101] and laboratory-based enzyme-linked immunoassays (ELISA)[102], minimizing the risk for any misclassification of adherence.

Qualitative data from two completed PrEP demonstration projects, which tested drug-level feedback using plasma measures, emphasized that dosing cut-offs should seek to maximize accuracy for dosing within the last 24 hours, and that feedback would be most effective if available immediately [119]. Participants indicated that a cut-off should be selected to minimize the risk of telling a participant taking daily PrEP that they were non-adherent, potentially damaging the therapeutic relationship [25]. Therefore, a cut-off of 1,500 ng/ml of tenofovir in urine for the TDF-based assay was chosen, because this cut-off accurately classified 98% of patients who took a dose within the last 24 hours as adherent [99]. The immunoassay has now been developed, validated against LC-MS/MS concentrations with high sensitivity and specificity, and packaged into a POC test using this cut-off [101]. In a secondary data analysis of a large PrEP demonstration project, the iPrEx open-label extension (OLE) study, low versus high urine TFV levels via the immunoassay were associated with 14-fold higher odds of future HIV seroconversion (95% CI=1.3,1197) [120]. POC adherence monitoring could be performed on urine specimens already collected for sexually transmitted infection (STI) screening for gonorrhea and chlamydia as part of routine PrEP care [121], requiring few changes in procedures and minimal time to



implement in clinical settings. In the context of HIV treatment, the POC test could also be used to rapidly detect non-adherence versus possible drug resistance to HIV treatment, enhancing the interpretation of viral load testing and allowing immediate transition to second-line therapy while resistance testing is pending (Figure 2). The POC strip test is currently being evaluated as a tool to perform drug-level feedback to support PrEP adherence among young Kenyan woman (NCT03935464) and enhanced adherence counseling among young US MSM. Adherence patterns in these trials will be investigated with both recent and cumulative adherence measures (urine and hair levels, respectively) to examine possible “white coat” adherence effects. A version of the assay for use with TAF is currently being developed.

## Electronic Adherence Monitors

Electronic adherence monitoring involves “smart” pill containers that record a date-and-time stamp with each opening of the container as a proxy for pill ingestion. This technology has played an important role in understanding adherence behavior in both HIV treatment and prevention.[122–124] Data can be stored on standard monitors (e.g., medication event monitoring systems (MEMS) caps) for downloading to a computer. Real-time monitors (e.g., Wisepill,[125] AdhereTech, CleverCap) have also become available in recent years and transmit data via cellular networks. Strengths of electronic adherence monitoring include its objectivity and day-to-day records, which are a powerful means to analyze adherence patterns.[73] A significant weakness, however, is the inability to measure drug ingestion; individuals may open the monitor without taking medication or take out multiple doses for later dosing, thus resulting in misclassification bias.

Electronic adherence monitors have traditionally been used to understand execution and persistence of adherence and factors that influence adherence. Other studies have used these devices to assess the estimated accuracy of alternate adherence measures, typically self-report.[73, 126] While electronic adherence monitors continue to serve these functions, recent studies have leveraged their ability to discern day-to-day adherence patterns to understand how adherence aligns with other behaviors (e.g., sexual activity)[127] and how adherence patterns evolve longitudinally. Other studies have explored the way electronic monitoring itself influences adherence and the experience of being monitored. Still others have described the use of real-time monitors in novel populations and their ability to trigger tailored/stepped adherence interventions.[128] This section of the review highlights key studies in each of these domains.

## Patterns of adherence and associated behaviors

Several recent studies have used electronic adherence monitors to explore patterns of adherence and their relationship with associated behaviors such as sexual events. ADAPT 067 was a multi-national, randomized, open-label study of daily versus nondaily oral PrEP that utilized Wisepill to monitor adherence [129]. Weekly interviews guided by the electronic adherence data formed the basis for assessing coverage of sex events with pre- and post-exposure dosing and adherence. The Partners Demonstration Project was a prospective, open-label, implementation science-driven study of ART and oral PrEP for HIV

prevention among high-risk heterosexual HIV serodiscordant couples in Kenya and Uganda; it used MEMS caps to assess PrEP adherence. This study was the first to explore the concept of prevention-effective adherence by demonstrating alignment of adherence with HIV risk [130, 131]. Additionally, the electronic adherence data was used to identify four distinct patterns of adherence related to HIV risk and other factors (i.e., high steady, moderate steady, late declining, early declining) [127]. Finally, electronic adherence data was combined with SMS-reported sexual activity and a population pharmacokinetic model to estimate the percent of reported sexual events likely covered by therapeutic tenofovir levels [132].

### **Impact of electronic monitoring on adherence and the experience of being monitored**

Building on the well-known Hawthorne effect (i.e., altered behavior because of known observation), a quasi-experimental analysis showed that real-time monitoring likely has a significant intervention effect compared to standard monitoring [133]. Additionally, an ethics study explored the experience of electronic adherence monitoring; participants reported feeling pressured by the monitor to take their ART, yet also perceived the monitors as conducive to their fundamental goal of achieving high adherence [134].

### **Utilization of electronic adherence monitors in novel populations**

Initial studies involving real-time electronic adherence monitors largely took place in sub-Saharan Africa and Asia; however, two recent studies used Wisepill in the US South among both women living with HIV and depression [135], and with youth living with HIV [126]. Both studies noted overall feasibility but with technical and acceptability challenges. Another study explored electronic adherence monitoring among injection drug users in Kazakhstan [136]. Participants supported the use of these devices, although some were concerned about having their adherence tracked. Additionally, Wisepill was used in a study of patients coinfecting with drug-resistant tuberculosis and HIV in South Africa [137]. Wisepill was highly acceptable, although adherence was higher for the tuberculosis medication (bedaquiline) than the ART.

### **Real-time adherence monitoring and triggered interventions**

Recent studies have leveraged the ability of electronic adherence monitors to trigger real-time adherence interventions. A study in Chicago developed a triaged real-time intervention based on alerts of missed ART doses (via Wisepill) among young African-American men [138]. Support escalated from text messages to social supporter engagement based on the duration of the adherence interruption. A New York study explored patients' experiences using CleverCap linked to an HIV self-management mobile phone app comprised of testimonial videos, adherence and physical activity reminders, a fitness tracker, health surveys, and wellness tasks [139]. Finally, a study in Missouri used MEMS caps to assess the efficacy of ecologic momentary assessment (i.e., repeated real-time measurement of behaviors in participants' natural environments aimed at minimizing recall bias and maximizing ecological validity) to define patterns of alcohol use, mood, and medication adherence [140].



## Ingestible Electronic Pills

Ingestible sensors combined with medications can be used to study and directly measure medication adherence and ingestion patterns. There are three major components of ingestible sensors systems. First, the ingestible sensor is coupled with an inert silver/magnesium battery and integrated into standard gelatin capsules. These capsules can be coencapsulated with medication to create a “digital pill” using a standard pharmacy pill filling machine (first component). Investigations have demonstrated bioequivalence among various ARVs when encapsulated with an ingestible sensor [141–143]. When this digitized version of drug is ingested, gastric acid catalyzes a chemical reaction between silver and magnesium to generate an electrical charge adequate to power the ingestible sensor for approximately 30 minutes. These sensors can then produce an electrochemical signal or radiofrequency signal, broadcasting ingestion of the sensor and medication.

This signal is acquired by a wearable cutaneous patch or off-body reader (e.g., a lanyard), the second component of the digital pill system. This reader device stores ingestion data gathered by each digital pill ingestion, and acts as a relay, transmitting information via low energy Bluetooth protocols to a smartphone, which then transmits the data to storage in the cloud. In countries where smartphone usage may not be ubiquitous, a third generation (3G) radio can allow for direct transmission of ingestion data to the cloud. Adherence data can also be acquired through querying the reader using a low energy Bluetooth reader.

The third component of the digital pill system is a cloud-based interface that stores and interprets adherence data transmitted from the reader. A programmable interface can be used to automate messaging surrounding nonadherence and adherence and provide other adherence support programs either asynchronously or in synchrony with medication ingestion patterns.

### Feasibility and acceptability

Several investigations have demonstrated the feasibility and acceptability of using ingestible sensors to measure adherence to single medication regimens in individuals with diabetes, schizophrenia, hypertension, and tuberculosis [144–148]. These investigations deployed ingestible sensors coencapsulated with specific study drugs demonstrating the ability of various patient populations to operate the system, and general acceptability in these populations. It is also plausible to coencapsulate multiple different medications (for example an ARV plus antidepressant in a person living with HIV who has depression) and measure adherence to various regimens. Additionally, ingestible sensors can also be used to measure patterns of ingestion for medications that may be prescribed on an as needed basis. For example, individuals who experience pain can use a digitized version of their opioid analgesics, thereby reporting patterns of opioid ingestion [149]. For PrEP, a digital pill can be used to understand the contextual basis surrounding alternative PrEP regimens like on-demand PrEP. Currently, there are several ongoing clinical trials utilizing ingestible sensor systems to measure adherence to ART as well as PrEP ([NCT02797262](#), [NCT04065347](#), [NCT03978793](#), [NCT03842436](#), [NCT03512418](#)).

There are several limitations associated with digital pills. First, researchers and clinicians who select digital pills as a modality to measure adherence will need to ensure that individuals also adhere to the technology. Individuals need to be trained on the operation of the relay device which collects ingestion data and transmits it to the phone. Second, individuals must ensure that the reader is paired to their smartphone via Bluetooth. As the use of Bluetooth technology becomes increasingly ubiquitous with connected speakers, smart watches and other devices, this barrier will be lowered. Second, the infrastructure requirements to successfully deploy a digital pill can be difficult to assemble. Currently, most digital pill systems are classified as a medical device and require specialty pharmacies to assemble drug prior to delivery to the patient. As digitized medications continue to evolve, integration of the ingestible sensor may be incorporated into other junctures of the supply chain, including clinical settings. Finally, the cost associated with digitized medications has yet to be estimated. While one digital medicine product, a combination aripiprazole pill with ingestible sensor (Abilify MyCite), is FDA approved and marketed, it is unclear whether there are active models for insurance to reimburse the use of this technology within clinical care.

### **Real-time adherence monitoring using digital pills**

Like with the electronic adherence monitors described above, digital pills can measure ART or PrEP adherence in real time, but with the added advantage of documenting ingestion. These real time measures of adherence lend a contextual basis to daily medication taking behaviors in patients. Combined with other measures of activity-tracking, such as sleep or location, this contextualized adherence data can be used as a tool by patients or clinicians to support adherence habits. Additionally, digital pills also provide the unique opportunity to detect the onset of nonadherence. For individuals on ART, these suboptimal episodes of nonadherence may represent an opportunity to intervene and support these individuals prior to the development of ingrained behaviors of nonadherence and the development of viremia and/or virologic failure. Continued measurement with a digital pill can demonstrate improved adherence after intervention.

### **Conclusions**

New approaches to the measurement of antiretroviral adherence, including pharmacologic measures, electronic adherence monitors, and ingestible electronic pills, provide more objective and accurate data on adherence than traditional measures, such as self-report, pill counts, and pharmacy refill data. The recently-developed urine-based assay to measure ART and PrEP taking at the point of care, real-time electronic adherence monitors, and ingestible electronic pills with sensors can all measure adherence behavior in real-time and trigger immediate adherence intervention. These technologies can thereby be harnessed to improve the effectiveness of PrEP and ART regimens worldwide.

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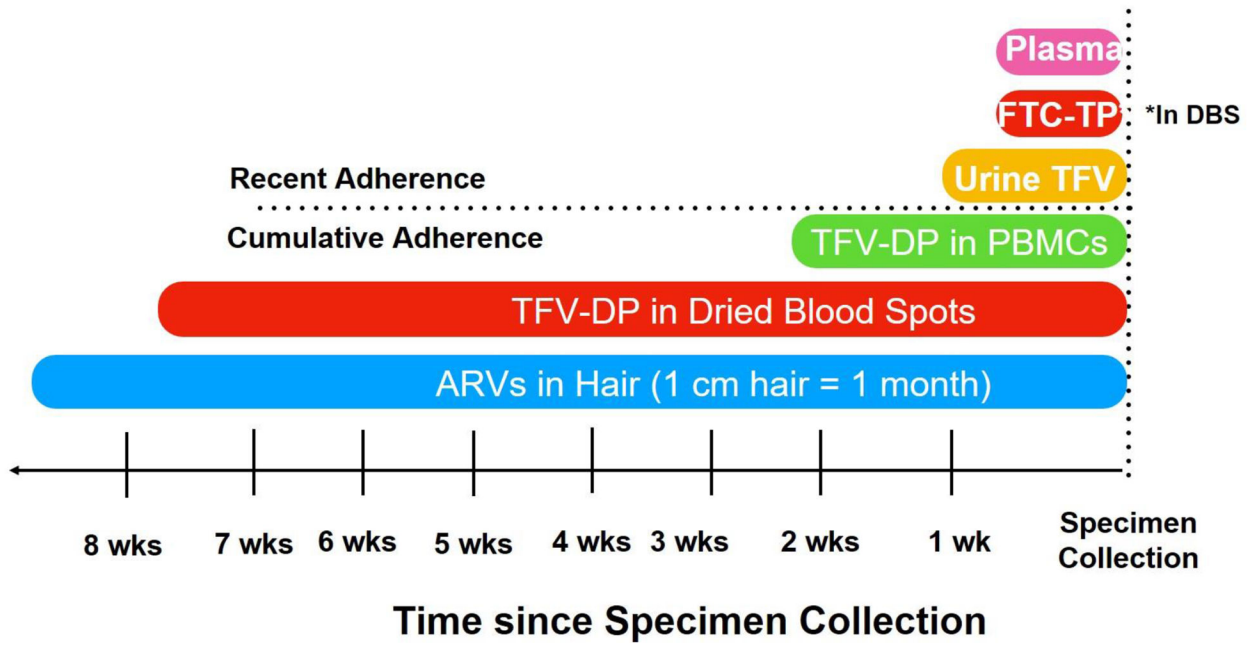
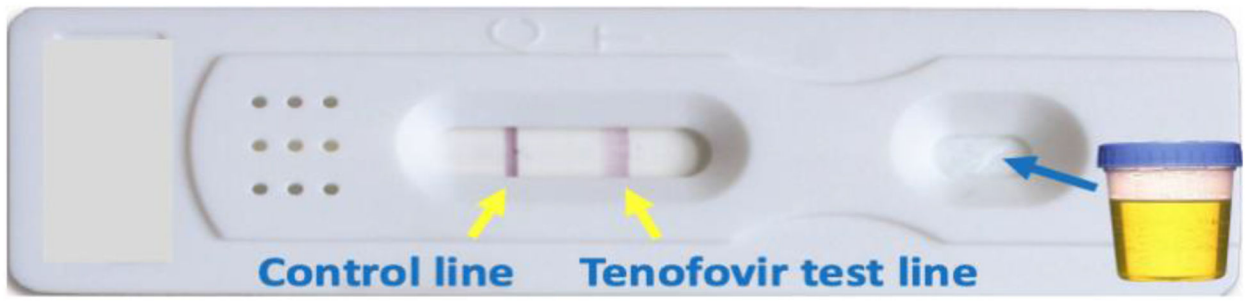


Figure 1: Time Frames Examined by Pharmacologic Measures of Adherence

<b>Viral Load</b>	<b>Adherence</b>	<b>Viral Load</b>	<b>Adherence</b>
<i>High</i> = <b>ADHERENCE problem</b>	<i>Low</i>	<i>High</i> = <b>RESISTANCE problem</b>	<i>High</i>
<i>Low</i> = <b>COUNSEL to avoid future problems</b>	<i>Low</i>	<i>Low</i> = <b>GOOD, desired outcome</b>	<i>High</i>

**Figure 2: Clinical Interpretation of Paired HIV Viral Load and Objective Adherence Data for ART**



**Figure 3: Prototype for first lateral flow assay for tenofovir detection in urine**

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**Table 1:**

## Comparison of Adherence Metrics

Measure	Advantages	Limitations	Implementation Concerns
<b>HIV viral load</b>	<ul style="list-style-type: none"> <li>Assesses both HIV treatment efficacy and adherence</li> <li>Standard of care</li> <li>Point-of-care (POC) tests in development</li> </ul>	<ul style="list-style-type: none"> <li>May not reflect perfect adherence (which is optimal for durability, inflammation)</li> <li>Lag in viral load rise after non-adherence</li> <li>Not applicable to PrEP</li> </ul>	<ul style="list-style-type: none"> <li>Expensive and not universally available</li> <li>Usually centrally run, delays in results</li> <li>Not applicable to PrEP</li> </ul>
<b>Self-report</b>	<ul style="list-style-type: none"> <li>Used in routine care</li> <li>Cost-effective</li> </ul>	<ul style="list-style-type: none"> <li>Recall bias</li> <li>Social desirability bias</li> <li>Mostly overestimates adherence</li> <li>Cannot measure ingestion</li> <li>Inaccurate in PrEP/ HIV ART trials</li> </ul>	<ul style="list-style-type: none"> <li>Highly acceptable and simple to implement</li> <li>Computer assisted selfinterview may improve accuracy but additional cost/time</li> </ul>
<b>Pill Counts</b>	<ul style="list-style-type: none"> <li>Minimal training</li> <li>Quantitative</li> </ul>	<ul style="list-style-type: none"> <li>Easily manipulated</li> <li>Inaccurate in PrEP/HIV ART trials</li> <li>Cannot measure ingestion</li> </ul>	<ul style="list-style-type: none"> <li>Require staff time to perform</li> </ul>
<b>Pharmacy Refills</b>	<ul style="list-style-type: none"> <li>Can be performed retrospectively</li> </ul>	<ul style="list-style-type: none"> <li>Stockpiling can lead to overestimation of adherence</li> <li>Cannot measure ingestion</li> </ul>	<ul style="list-style-type: none"> <li>Requires central healthcare systems</li> <li>Pharmacy data must be integrated with clinical/research systems to be useful</li> </ul>
<b>Electronic Adherence Monitors</b>	<ul style="list-style-type: none"> <li>Reveal adherence patterns</li> <li>Some systems are realtime</li> </ul>	<ul style="list-style-type: none"> <li>Dependent on patient using device</li> <li>Large, bulky, not surreptitious</li> <li>Cannot measure ingestion</li> <li>Requires internet, electricity, charging</li> </ul>	<ul style="list-style-type: none"> <li>Requires special device</li> <li>Can be costly</li> <li>Transmission system maintenance</li> </ul>
<b>Ingestible electronic pills</b>	<ul style="list-style-type: none"> <li>Records time of ingestion</li> <li>Reveals adherence patterns</li> <li>Records physiologic parameters</li> </ul>	<ul style="list-style-type: none"> <li>Requires a relay device that may require patient adherence (i.e. using a patch)</li> </ul>	<ul style="list-style-type: none"> <li>Requires specialty pharmacy or industry for assembly</li> <li>Maintenance of bluetooth signal, smartphone</li> </ul>
<b>Pharmacologic measures (recent adherence: plasma, FTC-TP in DBS, urine)</b>	<ul style="list-style-type: none"> <li>Objectively assess recent adherence and pharmacokinetics</li> <li>Urine testing available at POC</li> </ul>	<ul style="list-style-type: none"> <li>Vulnerable to whitecoat dosing</li> <li>POC urine test is qualitative</li> </ul>	<ul style="list-style-type: none"> <li>Lab-based testing requires training and expensive machines</li> </ul>

Measure	Advantages	Limitations	Implementation Concerns
			<ul style="list-style-type: none"> <li>• POC test requires urine collection, so privacy</li> </ul>
<b>Pharmacologic measures (cumulative adherence: TFV-DP in PBMCs and DBS, antiretrovirals (ARVs) in hair)</b>	<ul style="list-style-type: none"> <li>• Similar to above but assess cumulative dosing, i.e average adherence</li> <li>• Hair can assess cumulative adherence to variety of ARVs</li> <li>• Hair easy to collect in resource-limited settings</li> </ul>	<ul style="list-style-type: none"> <li>• Need to combine with other metrics such as FTC-TP in DBS to assess patterns or perform segmental hair analysis</li> <li>• Hematocrit, biologic sex may impact DBS levels</li> <li>• Must have hair of sufficient length</li> </ul>	<ul style="list-style-type: none"> <li>• DBS requires specialized training for collection and processing</li> <li>• Expensive machines to run assays</li> <li>• PBMCs difficult to collect/process/store</li> </ul>

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