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Research Paper

Early use of low dose tocilizumab in patients with COVID-19: A retrospective cohort study with a complete follow-up

Nicola De Rossi^{a,*}, Cristina Scarpazza^{b,1}, Chiara Filippini^a, Cinzia Cordioli^a, Sarah Rasia^a, Chiara Rosa Mancinelli^a, Damiano Rizzoni^{a,c}, Giuseppe Romanelli^{a,c}, Stefania Cossi^a, Nereo Vettoretto^a, Sergio Bove^a, Silvano Manfredini^a, Eva Andrea Beindorf^a, Carlo Mosca^a, Vittorio Scipione^a, Gigliola Flamminio^a, Elena Albini Albini^a, Paola Giansiracusa^a, Ruggero Capra^a, on behalf of Montichiari COVID-19 Study Group

^a Covid 19 Unit, Spedali Civili di Brescia, Presidio Ospedaliero di Montichiari, Montichiari Hospital, via Giuseppe Ciotti, Montichiari 35018, Brescia, Italy

^b University of Padova, Via Venezia 8, Padova, Italy

^c Department of Medical and Surgical Sciences, University of Brescia, Italy

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ABSTRACT

Background: Pneumonia with severe respiratory failure represents the principal cause of death in COVID-19, where hyper-inflammation plays an important role in lung damage. An effective treatment aiming at reducing the inflammation without preventing virus clearance is thus urgently needed. Tocilizumab, an anti-soluble IL-6 receptor monoclonal antibody, has been proposed for treatment of patients with COVID-19.

Methods: A retrospective cohort study at the Montichiari Hospital, Brescia, Italy, was conducted. We included consecutive patients with COVID-19 related pneumonia at the early stage of respiratory failure, all treated with a standard protocol (hydroxychloroquine 400 mg daily, lopinavir 800 mg plus ritonavir 200 mg per day). We compared survival rate and clinical status in a cohort of patients who received additional treatment with tocilizumab once (either 400 mg intravenous or 324 mg subcutaneous) with a retrospective cohort of patients who did not receive tocilizumab (referred to as the standard treatment group). All outcomes were assessed at the end of the follow-up, that correspond to death or complete recovery and discharge from the hospital.

Findings: 158 patients were included, 90 of which received tocilizumab. 34 out of 68 (50%) patients in the standard treatment group and 7 out of 90 (7.7%) in the tocilizumab group died. Tocilizumab significantly improved survival compared to standard care (multivariate HR: 0.057; 95% C.I = 0.017- 0.187, $p < 0.001$). No differences between the two administration routes of tocilizumab were observed. No tocilizumab-related infections and/or side effects were observed.

Interpretation: Early treatment with tocilizumab could be helpful to prevent excessive hyper-inflammation and death in COVID-19 related pneumonia. Low dose administration of tocilizumab is not associated with adverse events.

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1. Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) has severely affected northern Italy and especially the province of Brescia. The disease has been characterized by a very high mortality rate being interstitial pneumonia with respiratory failure the main cause of death for COVID-19 [1].

Previous studies have shown that immunological hyper-activation, referred to as “cytokine storm” [2], can be a contributory cause of interstitial damage in the lungs of COVID-19 patients, leading to a more severe clinical course. During this state of immunological hyper-activation, peripheral CD4 and CD8 T cells counts were substantially reduced while their status was hyper-activated. Furthermore, an increased concentration of highly pro-inflammatory CCR6+ T helper 17 (Th17) in CD4 T cells and a high concentration of cytotoxic granules in CD8 lymphocytes in peripheral blood was found in

* Corresponding author.

E-mail address: ncl.derossi@gmail.com (N. De Rossi).

¹ This author equally contributed to the work.

Research in context

Evidence before this study

Since the coronavirus disease 2019 (COVID-19) outbreak, evidence has emerged that patients may develop interstitial pneumonia with severe respiratory failure. This represents the principal cause of death in COVID-19 related infection, where hyper-inflammation plays an important role in lung damage. Tocilizumab, an anti-soluble IL-6 receptor monoclonal antibody, has been proposed for treatment of patients with COVID-19. However, data so far are limited, both in the term of efficacy and safety and only small or uncontrolled studies using high dose of tocilizumab have been published on patients in severe clinical conditions and the safety profile is still unknown.

Added value of this study

The current retrospective study describes the beneficial clinical effect of early IL-6 blockade with low dose tocilizumab in patients with COVID-19 and respiratory failure. Furthermore, both the intravenous and subcutaneous administration routes demonstrated to be equally effective and safe.

Implications of all the available evidence

Our study suggests that early administration of low dose tocilizumab, before the appearance of a respiratory distress requiring assisted ventilation, modulates excessive hyper-inflammation and reduces mortality caused by COVID-19. This result, together with the excellent safety profile and the ease of administration, suggests that the early administration of low dose of this agent deserves consideration in controlled trials for the treatment of COVID-19.

19 disease. By blocking the IL-6 receptor interaction, tocilizumab inhibits the IL-6 mediated signal transduction, reduces the availability of IL-6 and regulated immunological activity [16–18]. For this reason, some authors recommended its use in critically ill COVID-19 patients with significant elevated IL-6 [7]. However, the usefulness of tocilizumab is still controversial. While some studies describing the clinical and radiological improvement of patients with severe clinical conditions due to COVID-19 related pneumonia treated with high dose of tocilizumab reported an increased survival [19], other studies failed to observe beneficial effect of tocilizumab in severe patients [20,21]. Furthermore, it should be noted that IL-6 inhibition has potential hazards of inducing infectious diseases [22].

Thus, the role of inhibiting the link between IL-6 and the soluble receptor on COVID-19 evolution remains to be fully evaluated [23]. Interestingly, a recent paper suggested that treatment with tocilizumab could be useful not only to target symptoms but also to modulate the disease itself in its early phase [24], preventing excessive inflammation and lung damage [7]. In order to test this hypothesis, in the current paper, we describe 158 patients with COVID-19, ninety of whom were treated early in the disease course with single - low dose of tocilizumab. Our a priori hypothesis was twofold. First, mortality rate was expected to be lower in patients early treated with tocilizumab compared to controls, who were treated with standard therapy only. Second, a low dose of tocilizumab would not be expected to be associated with de novo infections.

2. Methods

2.1. Patients

We conducted a retrospective cohort study at the Montichiari Hospital, a tertiary health-care Centre in Brescia, Italy, which was designated as a COVID-19 hub by Italian health authorities. Patients consecutively admitted to Montichiari Hospital were retrospectively included in the study if they met the following inclusion criteria: 1) confirmed COVID-19 infection as determined by a positive reverse-transcriptase-polymerase-chain-reaction (RT-PCR) assay of a specimen collected on a nasopharyngeal swab; 2) bilateral pulmonary interstitial opacities on chest imaging that were not fully explained by congestive heart failure or other forms of volume overload; 3) a respiratory failure showing at least one of the following conditions: respiratory rate ≥ 30 breaths/min; peripheral capillary oxygen saturation (SpO_2) $\leq 93\%$ while breathing ambient air or ratio of the partial pressure of oxygen in arterial blood to the fractional concentration of oxygen in inspired air (PaO_2/FiO_2) ≤ 300 mmHg. In line with our rationale of including only patients in the early phase of infection, the following exclusion criteria were applied: 1) presence of a critical respiratory syndrome that requires mechanical or invasive ventilation at hospital admission; 2) presence of severe clinical conditions as revealed by transaminase 5 times the upper limit of the normal value; neutrophils <500 mmc; platelets <50.000 mmc [17].

This retrospective study has been conducted in accordance with the declaration of Helsinki and its later amendments and was approved by the Ethical Committee of the Spedali Civili of Brescia.

2.2. Study design

Due to the emergency situation in the province of Brescia, northern Italy, it was impossible to carry out a properly randomized controlled trial. Patients admitted to the hospital between February 26th and March 13th underwent a standard therapy (hydroxychloroquine 400 mg daily, lopinavir 800 mg daily plus ritonavir 200 mg per day) [25,26] as for standard protocol administered at our institution at the time (hereafter defined to as “standard treatment group”). Patients admitted after March 13th patients received off-label a single low dose administration of tocilizumab in addition to standard therapy

dead patients infected with SARS-CoV-2 [3]. Importantly, as shown by previous literature, increased circulating levels of pro-inflammatory cytokines (e.g. interleukin -IL- 1B, IL-6, IL-12) and chemokines (CXCL10 and CCL2) are associated with pulmonary inflammation and extensive lung involvement in SARS patients [4,5]. In this scenario, Acute Respiratory Distress Syndrome (ARDS) is the ultimate result of cytokine storm [3].

Within the pro-inflammatory cytokines, Interleukin 6 (IL-6) plays a key role in the pathogenesis of the COVID-19 related cytokine storm [2]. IL-6 seems to be also responsible for the activation of T helper 17 (Th17) cells in the dendritic cell-T cell interaction [6]. In COVID-19 affected patients, a high Th17 cells activation could result from a virus-driven increased production of IL-6 by the immune system [2]. Several studies showed that the serum levels of IL-6 are increased in COVID-19 patients and that its circulating levels are positively related to disease severity [7–9]. Indeed, levels of IL-6 have been found to be directly associated with severe lung damage [10,11], and a recent meta-analysis of six studies investigating IL-6 concentration in COVID-19 demonstrated 2.9 fold higher levels in patients with complicated COVID-19 compared with patients with non-complicated disease [12]. An excessive and dysregulated production of IL-6 is thus considered a potential negative prognostic factor for survival during COVID-19, being higher levels of IL-6 related to a higher mortality rate [10]. For this reason, high serum IL-6 levels were suggested to be a reliable biomarker of COVID-19 progression [13–15]. The role of hyper-inflammation in COVID-19 is so important that guidelines for the diagnosis and treatment of SARS-CoV-2 infected pneumonia recommended cytokine monitoring to reduce mortality [14,15].

Tocilizumab, a humanized anti-soluble IL-6 receptor monoclonal antibody, has been proposed to be useful in the treatment of COVID-

(hereafter defined as “tocilizumab group”). Inclusion of patients in the standard treatment or in the tocilizumab group (400 mg intravenously -i.v.- or 324 mg subcutaneous -s.c.-) was determined by the availability of the drug at the moment, as in a previous study [23]. All patients receiving standard therapy only retrospectively full-filled eligibility criteria for tocilizumab treatment. All patients gave written informed consent for off-label use of tocilizumab. Administration of tocilizumab occurred upon worsening of the respiratory functions, as described in the inclusion criteria, in accordance with the aim of the study to treat patients early in the course of the respiratory distress. This usually occurred the day of hospital admission or the day after.

During hospitalization, patients in both groups were assisted with non-invasive (i.e. low flow nasal cannula; high flow mask; Continuous Positive Airway Pressure –CPAP-) or invasive (i.e. mechanical ventilation) oxygen therapy, according to their needs. Patients were followed-up until the end of the clinical observation, defined as death or complete recovery and discharge from the hospital with SpO₂ > 94% while breathing in ambient air.

2.3. Data extraction

The clinical record of each patient was retrospectively analyzed and, for each patient, the following information were extracted and recorded in a dedicated database: patients anonymized ID; age at admission, gender; inclusion criteria; comorbidities; date of first flu symptom; date of admission to the hospital; date of tocilizumab administration (if pertinent); tocilizumab administration route (if pertinent); serum procalcitonin (both at the hospital admission and at the time of discharge) to evaluate subclinical bacterial infections; de novo infections and de novo respiratory infections; the need for daily respiratory support (low flow cannula, high flow mask, CPAP; SpO₂; Peep; FiO₂); daily body temperature; daily C Reactive Protein (CRP); complete laboratory test results; date of discharge or death; date of admission and of discharge from Intensive Care Unit, where mechanical ventilation has been administered (if pertinent).

2.4. Statistical analyses

Data were analyzed with SPSS version 24.0 (Chicago, IL, USA). We report categorical variables as number (%) and continuous variables as mean (standard deviation) or median (range) depending on whether the data are normally distributed or not. Statistical significance was assessed by means of chi-squared for dichotomous variables, or by means of the two independent sample t-test or the Mann-Whitney U test for continuous variable depending on whether the data are normally distributed or not. For longitudinal analysis, data were analyzed using paired sample t test or Wilcoxon test depending on whether the data are normally distributed or not. Regarding laboratory results, if one or more laboratory test resulted to statistically differ between tocilizumab and standard treatment group with a potential clinical relevance, we were interested in understanding the effect of tocilizumab administration route and posology on these laboratory test results. Thus, a repeated measures ANOVA was performed on laboratory results with potential clinical relevance using group (324 mg vs 400 mg) as independent variable and Time (two levels: pre-therapy; 5 days post therapy follow up) as dependent variable.

The primary endpoint was the survival rate in patients treated with tocilizumab in addition to standard therapy (tocilizumab group), and only with standard therapy (standard treatment group). The survival rate was assessed by Kaplan–Meier (KM) plot using group (tocilizumab vs controls) as between factor; death as event and time to death/discharge as time variable. Data were censored at the end of the observation, that corresponds to discharge from the hospital for patients with a complete recovery as the event (i.e. death) was not observed. Hazard Ratio (HR) with 95% confidence intervals (CI) were calculated by means of the Cox proportional-hazard model, adjusting

for the following baseline variables: age, gender, diabetes, hypertension or heart diseases; serum CRP and respiratory support needed at hospital admission (both this variables included in the multivariate model to correct for disease severity and admission); time elapsed from symptoms onset to hospital admission (hereafter referred as to “time to hospitalization”, included in the multivariate model to correct for treatment delay).

2.5. Role of the funding source

No funding was received for this study. All the authors had full access to the raw data and to patient’s clinical records.

3. Results

The results are described in accordance with the STROBE guidelines [27].

One hundred and fifty eight patients were included in the current study: sixty-eight patients received standard care, while 90 patients were treated with tocilizumab in addition to standard care (43 (47.7%) received 400 mg i.v. once, whereas 47 (52.3%) received 324 mg s.c. once, according to the availability of the drug). Baseline demographic and clinical characteristics, including laboratory test results, of the groups of patients in standard care or treated with tocilizumab are reported in [Table 1](#).

3.1. Effect of early administration of tocilizumab on mortality rate

Seven deaths were observed in the group of patients treated with tocilizumab (7 out of 90 patients, 7.7%, mean age=74) while 34 deaths occurred in the control group (34 out of 68 patients, 50%, mean age=78). The Cox proportional hazard model (adjusted for age, gender, diabetes, hypertension, heart disease; CRP; respiratory support needed at hospital admission; time to hospitalization) showed a significantly greater survival rate of tocilizumab patients as compared to controls (multivariate H.R. for death: 0.057; 95% C.I = 0.017- 0.187, $p < 0.001$, [Fig. 1](#)). The data revealed that the risk of death increases by 6% for each year of age, making older age a risk factor for death in COVID-19. In addition, having diabetes or heart disease increases the risk of death 3.2 or 3 times, respectively. Results are reported in [Table 2](#).

3.2. Clinical longitudinal follow up in the two groups

Considering the pharmacodynamic of tocilizumab [28], an immediate effect on inflammatory indices (CRP and body temperature) was expected. Patients were then closely monitored for the first five days after the beginning of therapeutic interventions in both groups. [Fig. 2](#) (upper panel) showed the drastic reduction of fever and CRP in patients treated with tocilizumab but not in controls. As CRP was not expected to fall within the normal range in few days, CRP was now measured further.

As reported in the methods, all patients were provided with respiratory support according to their needs. Respiratory support needed at hospital admission is reported in [Table 3](#). During the observation, six patients in the standard therapy group (8.82%) and 13 patients in the tocilizumab group (14.4%) needed mechanical ventilation.

During the longitudinal follow up, no mechanical ventilation associated pneumonia were observed in our cohort. Four patients within the standard treatment group (5.9%) and six patients within the tocilizumab group (6.6%) manifested de novo respiratory system infections. Details on the etiological agents are reported in [Table 3](#). Twelve patients in tocilizumab group (13.3%) manifested pulmonary embolism, three of whom died. As during the first phase of the outbreak the association between COVID-19 and pulmonary embolism [29] was not known and postmortem examinations were not done at our site, the prevalence of pulmonary embolism in the standard therapy

Table 1

Demographic and clinical characteristics of the two groups of patients. Number denotes mean (standard deviation) (a); raw number (percentages) (b); median [interquartile range] (c). Statistical significance was evaluated using two independent sample t-test (a); chi square (b); Mann-Whitney U test (c). P value reports the associated p value (statistical significance $p < 0.05$). CRP = C-Reactive Protein; bpm = beats per minute.

	Normative values	Controls (n=68)	Tocilizumab (n=90)	Significance	P value
Age (years) ^a	–	71 (14.6)	62.9 (12.5)	-3.706	<0.001
Ethnicity (Caucasian) ^b	–	65 (95.5%)	85 (94.4%)	0.105	0.745
Gender (males) ^b	–	49 (72%)	64 (71.1%)	0.017	0.896
Diabetes (yes) ^b	–	21 (30.9%)	14 (15.5%)	5.276	0.022
Hypertension (yes) ^b	–	36 (42.9%)	41 (45.5%)	0.846	0.358
Heart disease (yes) ^b	–	22 (32.3%)	11 (12.2%)	9.500	0.002
Time to hospitalization (days) ^a	–	6 (3.1)	9.1 (8.1)	3.001	<0.001
Clinical characteristics at hospital admission					
Heart rate (bpm) ^a	–	90.0 (16.0)	91.0 (16.0)	0.390	0.697
Systolic blood pressure (mm/Hg) ^a	–	127.0 (22.0)	130.0 (23.0)	0.918	0.360
Diastolic blood pressure (mm/Hg) ^a	–	73.0 (11.0)	74.0 (10.9)	0.285	0.776
Temperature (°C) ^c	<37	37.5 [36–39.5]	37.5 [36–40]		0.460
Laboratory Results at hospital admission					
CRP (mg/L) ^a	<0.5	83.8 (64.1)	121 (77.5)	3.212	0.002
Procalcitonin (ng/L) ^c	<0.1	0.14 [<0.1 –3.2]	0.25 [<0.1 –7.5]		0.980
White blood cells ($\times 10^3$ per μ L) ^a	4.00–10.80	6.6 (3.9)	7.0 (3.7)	0.635	0.527
Lymphocytes ($\times 10^3$ per μ L) ^a	0.90–4.00	1.1 (0.6)	9.9 (0.8)	-0.491	0.624
Neutrophils ($\times 10^3$ per μ L) ^a	1.50–8.00	4.7 (3.0)	5.6 (3.5)	1.444	0.151
Platelets ($\times 10^3$ per μ L) ^a	130–400	188 (89)	224 (103)	2.252	0.026
Glycemia (mg/dl) ^b	76–115	118 [87–476]	114 [76–314]		0.061
Urea (mg/dl) ^b	17–49	38 [21–154]	35 [17–143]		0.140
Creatinine (mg/dl) ^a	0.60–1.00	1.1 (0.5)	1.0 (0.3)	-2.369	0.016
Sodium (mmol/L) ^a	136–145	135.9 (3.6)	136.6 (5.3)	0.790	0.431
Potassium (mmol/L) ^a	3.4–4.5	3.9 (0.5)	3.8 (0.4)	-1.471	0.143
Chlorine (mmol/L) ^a	89–107	97.3 (4.1)	97.4 (4.2)	0.227	0.821
Bilirubin (mg/dL) ^a	<1.20	0.5 (0.2)	0.6 (0.2)	0.982	0.328
Aspartate Transaminase -AST (U/L) ^a	18–34	52.7 (37.8)	56.7 (38.2)	0.623	0.534
Alanine aminotransferase -ALT (U/L) ^a	10–35	35.4 (31.1)	46.9 (35.5)	2.015	0.046
Gamma glutamyl transferase- gGT (U/L) ^b	6–42	34 [14–555]	45.5 [11–360]		0.053
Alkaline phosphatase -ALP (U/L) ^a	44–107	70.7 (51.1)	63.0 (34.3)	-1.001	0.319
Lactate dehydrogenase- LDH (U/L) ^a	135–225	326.0 (146.7)	371.3 (131.1)	1.192	0.237
Creatine kinase- CK (U/L) ^b	26–192	102.5 [18–843]	113 [21–918]		0.048
Prothrombine time -Pt (sec) ^b	9.4–12.5	13.1 [10.9–18.4]	13.5 [10.8–22.2]		0.145
Activated partial thromboplastine time- aPTT (sec) ^b	24–38	32.2 (5.1)	33.1 (5.2)	-1.033	0.303
International normalized ratio -INR ^c	0.9–1.2	1.2 [0.9–4.6]	1.2 [1.0–2.0]		0.165

group is not known. Both respiratory system infections and pulmonary embolism are known to be associated with COVID-19 [30–35], thus the role of tocilizumab in their manifestation could be ruled out. For this reason, these data will not be further discussed. No infections or additional safety concerns related to tocilizumab emerged.

3.3. Effect of tocilizumab on laboratory test results

Furthermore, the short term effect of single low dose of tocilizumab administration was evaluated. Laboratory test results were compared before tocilizumab administration and 5 days after tocilizumab administration in 81 patients (n=7 dead patients were excluded; n=2 patients had a follow up shorter than 5 days and laboratory test results are not available). As shown in Table 4, few statistical differences emerged between baseline and follow up, however only few of them are clinically relevant [36]. A decrement in heart rate (from 90.5 at baseline to 73 bpm at follow up), in body temperature (from 37.5 to 36°C) and in CRP (from 108 to 22 mg/L) are indicative of improving clinical conditions. An increment in alanine aminotransferase (ALT) from 48 to 91 U/L has been observed. This is very commonly observed as a result of tocilizumab and is clearly indicated in the patient information leaflet (PIL) [37].

3.4. Effect of tocilizumab administration route

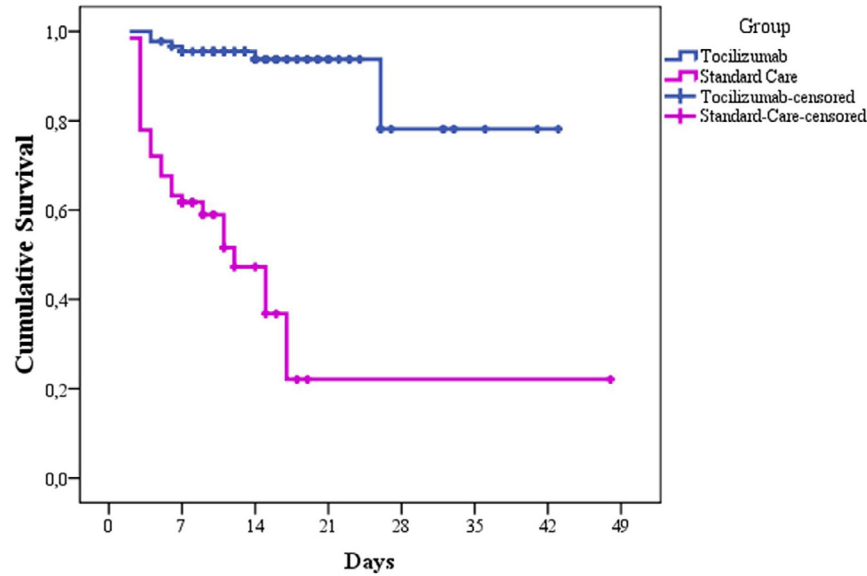
We then considered only patients treated with tocilizumab (n=90) and we explored whether the two different administration routes (400 mg i.v. or 324 mg s.c.) had an impact on patients outcome. Baseline demographic and clinical characteristics of the two groups of patients are reported in Table 5. Out of the seven deaths observed in

patients treated with tocilizumab, one occurred in the 400 mg i.v. group (age=64) and 6 in the 324 mg s.c. group (mean age=76). The Cox proportional hazard model (adjusted for age, gender, diabetes, hypertension, heart disease; CRP, respiratory support needed at hospital admission; time to hospitalization) revealed that survival rate did not statistically differ between the two administration routes (multivariate H.R. for death: 5.234; 95% C.I. = 0.241– 113.633, $p = 0.292$). The two groups did not differ in the time to discharge (mean time to discharge: 18 days -95% C.I. [15.3–20.7]- in the 400 mg i.v. group and 14.2 days -95% C.I. [12.1–16.3]- in the 324 mg s.c. group; baseline characteristics adjusted Cox proportional hazard model: multivariate H.R.: 1.556; 95% C.I. = 0.940– 2.479, $p = 0.086$). Furthermore, the quick decrement of body temperature and CPR after tocilizumab administration is similar between the two administration routes, as shown in the lower panel of Fig. 2. Finally, as an increment in the ALT emerged as a potential safety concern, we were interested in understanding the potential impact on administration route and posology on ALT increase. The repeated measures ANOVA revealed a non significant critical interaction Group x Time ($F=2.18$; $p=0.14$). Despite this, the Newman Keuls post hoc test revealed that, while the ALT results did not change significantly in the 324 s.c. mg group (from 50.1 ± 40.9 to 74.0 ± 46.3 U/L, $p=0.15$), ALT significantly increase in patients in the 400 i.v. mg group (from 44.4 ± 28.3 to 103.1 ± 141.3 , $p=0.004$).

4. Discussion

The current study describes the positive impact of a single low dose of tocilizumab in addition to standard therapy in a relatively early phase of SARS-CoV-2 disease in a cohort of 90 patients compared to 68

Survival Functions



Tocilizumab	90	84	54	18	7	3	1	0
Controls	68	42	9	1	1	1	1	0

Fig. 1. Kaplan-Meier survival curve for tocilizumab (blue line) and control (violet line) group. Analysis run using Group (tocilizumab vs controls) as factor; death as event and time to death/discharge as time variable. Multivariate Hazard Ratio (H.R. for death: 0.057; 95% C.I = 0.017- 0.187, $p < 0.001$) is adjusted for baseline characteristics.

Table 2

Results from the Cox Proportional Hazard model. GROUP = main variable (tocilizumab vs. controls); HR = Hazard Ratio; CI = Confidence Intervals; CRP = C-Reactive Protein.

Variable	HR	95% C.I. for HR		p value
		Lower	Upper	
GROUP	0.057	0.017	0.187	<0.001
Age	1.069	1.026	1.114	0.001
Gender	1.727	0.797	3.743	0.166
Diabetes	3.272	1.477	7.245	0.003
Hypertension	1.634	0.710	3.758	0.248
Heart disease	3.001	1.422	6.332	0.004
CRP at admission	1.006	1.000	1.011	0.044
Time to hospitalization	1.001	0.913	1.098	0.978
Respiratory support	1.728	1.141	2.619	0.010

patients treated with standard therapy only. All patients had laboratory confirmed infection and were followed-up until the discharge from the hospital or death. First and most important, this study found that the risk of death for patients treated with tocilizumab is 94% lower than the one of patients treated with standard therapy only. Early treatment with a single low dose of tocilizumab is thus effective. Second, this efficacy profile is not related to the administration route, as the two groups (400 i.v. vs 324 s.c.) show the same survival rate. Third, the effect of tocilizumab on inflammatory indices is very quick and does not depend on administration route. Finally, our study also revealed that low dose of tocilizumab administration is safe, as no tocilizumab related infections or safety concerns have been observed. Among COVID-19 patients, about 25% present severe complications including acute respiratory distress syndrome (ARDS) with a rapid worsening of clinical conditions leading to the need of mechanical or invasive ventilation to support respiratory functions [5]. Although the viral invasion and the direct cytopathic effect are critical for a worsening of the clinical course, there is evidence that a deranged immune response can be implicated in

ARDS [3]. A significant increase of white blood cells, in particular of neutrophils, has been observed in patients with a severe disease, while a significant reduction of both CD8+ and CD4+ T lymphocytes cells was detected [38]. Among of immunologic biomarkers, the highest levels of IL-6 and serum ferritin were observed in severe subacute form of respiratory disease and in non-survivors COVID-19 patients [9]; in the same way, inflammatory cytokines as IL-2 and IFN- γ show a high serum levels in patient with severe course of SARS and MERS [5,39]. As cytokine storm has been proposed to have a pivotal role in organ injury in COVID-19, tocilizumab has been suggested as a possible treatment in SARS-CoV-2 infection. Indeed, anti-IL6 therapeutic strategy has been shown to be effective in cytokine response syndrome (CRS) [40]. A recommended protocol for tocilizumab used in COVID-19 [41], requires a first dose of 4-8 mg/kg and an additional infusion after 12 hours for patients with worsening or poor clinical response. This protocol, however, lead to inconsistent results. Previous literature indeed report small or absent [20,21] effect of tocilizumab in a very small cohort of patients, making the results statistically unreliable. Additional studies provide data of single arm of patients only (i.e. the control group was not available) [42-46], making the real efficacy of tocilizumab obscure. In the absence of specific treatment for COVID-19, the main objective of clinicians should be to promote virus clearance allowing immune system to over-ride viral infection, whereas preventing organ damage due to excessive inflammation. In this regard, early tocilizumab administration was expected to be useful to modulate the cytokine storm and prevent the consolidation of lung damage, while the low dose was expected to be useful to reduce hyper inflammation without abolishing the immune response to the virus [24]. In the current study, a single low dose of tocilizumab (400 mg e.v. or 324 mg s.c., regardless of the patients' weight) has been administered at the early stage of the respiratory failure. Our study shows a higher survival rate in the treated group compared to the patients who were treated with standard therapy only with a 94% treatment impact on the risk of death, fully supporting the efficacy of administration of low dose of tocilizumab early in the disease course.

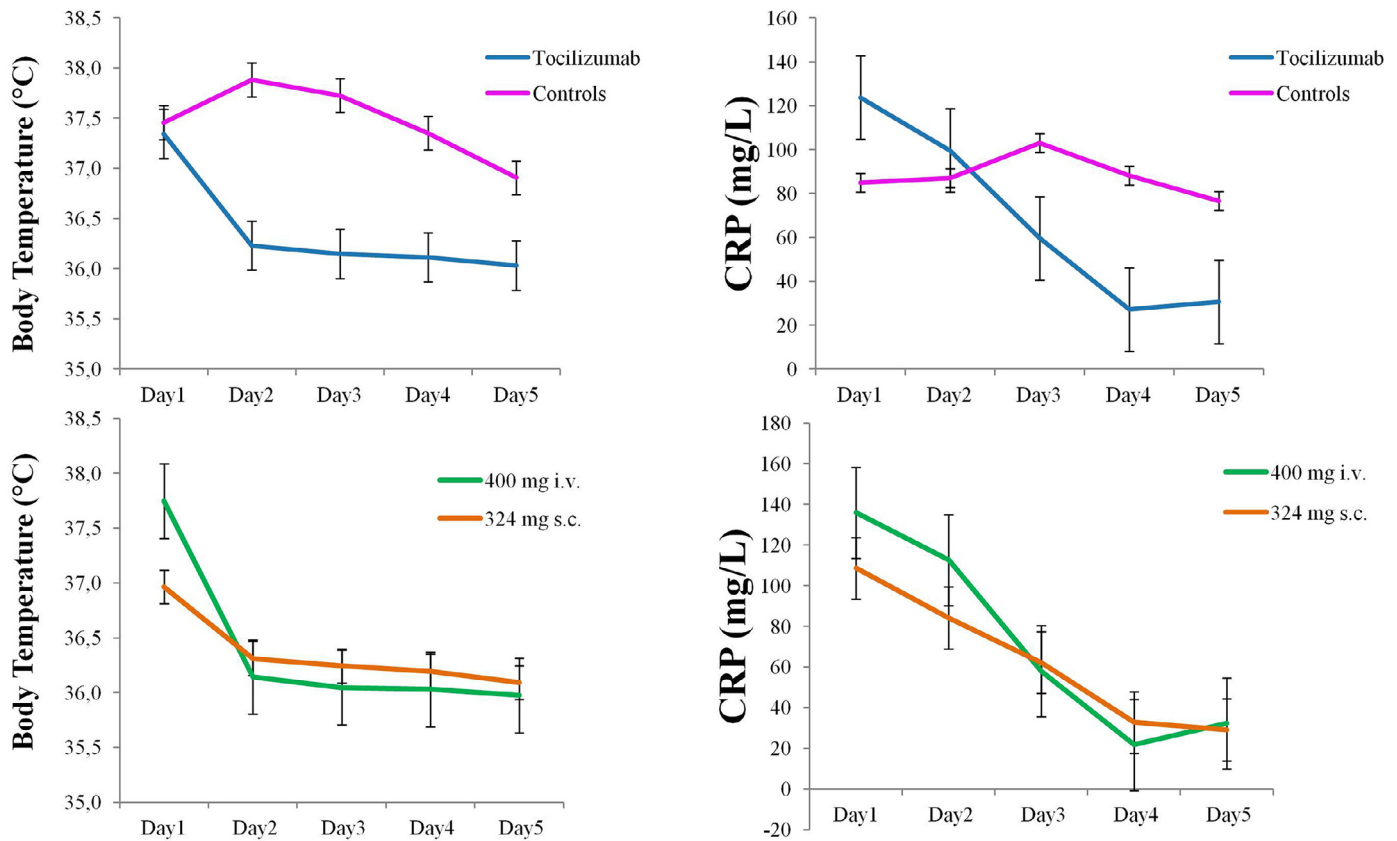


Fig. 2. Longitudinal data. Graphs show the longitudinal evolution of the body temperature and of the CRP (C Reactive Protein) after the hospital admission in the two groups of patients. The first five days after admission to the hospital were reported, where Day 1 refers to the beginning of therapeutic intervention in both groups. The upper panel shows the longitudinal body temperature and CRP data in tocilizumab and control groups, whereas the lower panel shows the longitudinal body temperature and CRP data in the tocilizumab group divided in the two administration routes. Error bars denotes standard error of the mean.

In accordance with previous data [47], the current study also supports that older age is a risk factor for death and that the probability to die increases by 6% for each year of age. Several reports also suggested that concomitant chronic illness may have an impact on mortality rate [1,48]. The current results confirmed diabetes and cardiopathy as risk factors for

worse outcome. Indeed, patients with diabetes have a 3.2 fold higher risk of death compared to patients without diabetes, and patients with cardiopathy have a 3 fold higher risk of death compared to patients without cardiopathy [49,50]. In our cohort hypertension is not associated with an increased risk of death but this could depend on the sample size.

Table 3

Respiratory data and Follow up data of the two groups. Numbers denotes row numbers (percentages) or median [interquartile range]. SpO₂ = peripheral capillary oxygen saturation; FiO₂ = Fractional concentration of oxygen in inspired air; CPAP = Continuous Positive Airway Pressure; PEEP = positive end expiratory pressure.

	Controls (n=68)	Tocilizumab (n=90)
Respiratory support needed at hospital admission		
1) No respiratory support	20 (29.4%)	18 (20%)
SpO ₂ (%)	95 [88–98]	93 [88–97]
2) Low flow cannula	13 (19.1%)	8 (8.8%)
SpO ₂ (%)	95 [92–97]	94 [92–98]
FiO ₂ (%)	28 [24–31]	31 [24–31]
3) High flow mask	24 (35.3%)	42 (46.6%)
SpO ₂ (%)	95 [91–98]	94 [88–100]
FiO ₂ (%)	60 [35–100]	60 [40–100]
4) CPAP	11 (16.2%)	22 (24.4%)
SpO ₂ (%)	94 [88–98]	95 [89–99]
FiO ₂ (%)	50 [40–60]	55 [40–100]
Peep (cm H ₂ O)	13 [10–12.5]	13 [10–20]
Longitudinal follow up		
Patients needing mechanical ventilation	6 (8.82%)	13 (14.4%)
Respiratory System Infections	4 (5.9%)	6 (6.6%)
Etiological agent for respiratory system infections	Streptococcus Epidermidis (n=1) Chlamydia Pneumoniae (n=1) Mycoplasma Pneumoniae (n=1) Staphylococcus Hominis (n=1)	Mycoplasma Pneumoniae (n=2) Chlamydia Pneumoniae (n=2) Staphylococcus Hominis (n=1) Cytomegalovirus (n=1)
Pulmonary Embolism	Not known	12 (13.3%)
Total deaths	34 (50%)	7 (7.7%)

Table 4

Longitudinal clinical and laboratory results of patients treated with tocilizumab. Data are reported at baseline (before tocilizumab administration) and at 5 days follow up. Number denotes mean (standard deviation) (a); median [interquartile range] (b). Statistical significance was evaluated using paired sample t-test (a); Wilcoxon test (b). P value reports the associated p value (statistical significance $p < 0.05$). Asterisk (*) denotes clinical significance.

	Normative values	Pre-tocilizumab treatment (n=81)	5 days post-tocilizumab treatment (n=81)	Significance	P value
Clinical characteristics					
Heart rate (bpm) ^a	-	90 (15.0)	73 (13.0)	8.427	<0.001*
Systolic blood pressure (mm/Hg) ^a	-	129 (22.0)	131 (19.0)	-0.458	0.648
Diastolic blood pressure (mm/Hg) ^a	-	73 (11.0)	76 (10.0)	-1.954	0.056
Temperature (°C) ^b	<37	37.5 [36-40]	36.0 [36-37.5]	-5.690	<0.001*
Laboratory Results					
CRP (mg/L) ^a	<0.5	108.4 (74.3)	22.2 (36.6)	9.950	<0.001*
Procalcitonin (ng/L) ^b	<0.1	0.25 [-0.1-7.5]	0.1 [-0.01-0.56]	-2.293	0.022
White blood cells ($\times 10^3$ per μL) ^a	4.00-10.80	6.9 (3.9)	7.4 (3.1)	-0.790	0.432
Lymphocytes ($\times 10^3$ per μL) ^a	0.90-4.00	0.9 (0.4)	1.1 (0.5)	-2.828	0.006
Neutrophils ($\times 10^3$ per μL) ^a	1.50-8.00	5.5 (3.7)	6.2 (5.6)	-0.678	0.500
Platelets ($\times 10^3$ per μL) ^a	130-400	225 (106)	337 (282)	-3.436	0.001
Glycemia (mg/dl) ^b	76-115	115 [76-314]	100.5 [64-323]	-0.909	0.363
Urea (mg/dl) ^b	17-49	35 [16-143]	35 [16-88]	-0.675	0.500
Creatinine (mg/dl) ^a	0.60-1.00	0.9 (0.2)	0.8 (0.2)	7.108	<0.001
Sodium (mmol/L) ^a	136-145	136.5 (5.1)	140.1 (2.9)	-4.707	<0.001
Potassium (mmol/L) ^a	3.4-4.5	3.8 (0.5)	4.0 (0.5)	-3.454	0.001
Chlorine (mmol/L) ^a	89-107	97.5 (3.9)	101.0 (9.1)	-2.970	0.004
Bilirubin (mg/dL) ^a	<1.20	0.5 (0.3)	0.5 (0.2)	1.622	0.115
Aspartate Transaminase -AST (U/L) ^a	18-34	58.6 (42.4)	58.9 (42.3)	-0.057	0.995
Alanine aminotransferase -ALT (U/L) ^a	10-35	48.6 (39.6)	91.2 (110.3)	-3.322	0.002*
Gamma glutamyl transferase -gGT (U/L) ^b	6-42	45 [11-360]	70 [8-609]	-1.734	0.083
Alkaline phosphatase -ALP (U/L) ^a	44-107	65.1 (37.3)	67.0 (29.0)	-0.373	0.711
Lactate dehydrogenase -LDH (U/L) ^a	135-225	358.6 (138.5)	324.7 (118.5)	0.415	0.681
Creatine kinase -CK (U/L) ^b	26-192	112 [11-878]	44 [7-1218]	-3.176	0.001
Prothrombin time -Pt (sec) ^b	9.4-12.5	13.4 [10.8-22.2]	12.9 [11-15.8]	-4.119	<0.001
Activated partial thromboplastin time -aPTT (sec) ^b	24-38	32.7 (4.0)	29.6 (5.4)	4.351	<0.001
International normalized ratio -INR ^c	0.9-1.2	1.2 [1.0-2.0]	1.2 [1.0-1.4]	0.504	0.674

Table 5

Demographic and clinical characteristics of the two groups of patients. Number denotes mean (standard deviation) (a); raw number (percentages) (b); median [interquartile range] (c). Statistical significance was evaluated using two independent sample t-test (a); chi square (b); Mann-Whitney U test (c). CRP = C-Reactive Protein.

	324 mg s.c. (n=47)	400 mg i.v. (n=43)	Significance	P value
Age (years) ^a	66.8 (11.3)	58.7 (12.6)	3.182	0.002
Gender (males) ^b	34 (72.3%)	30 (69.7%)	0.072	0.788
Diabetes (yes) ^b	7 (14.9%)	7 (16.2%)	0.003	0.856
Hypertension (yes) ^b	24 (51%)	17 (39.5%)	1.203	0.273
Heart disease (yes) ^b	6 (12.7%)	5 (11.6%)	0.027	0.869
Time to hospitalization (days) ^a	10 (5.7)	8.1 (10.1)	1.068	0.289
CRP at admission (mg/L) ^a	109 (73.5)	134 (80.5)	-1.550	0.125
Temperature at admission (°C) ^c	36 [36-39]	38 [36-40]		0.003
Procalcitonin (ng/L) ^c	0.19 [-0.1-5.2]	0.17 [-0.1-7.5]		0.822

The favorable outcome in the tocilizumab cohort is independent from administration routes, as the survival rates and the respiratory recovery are similar between patients receiving 400 mg i.v. and patients receiving 324 mg s.c. The higher number of deaths observed in the latter (6 deaths vs 1) is easily justified by the older average age in the subcutaneously treated group compared to the intravenous one. The equivalence between the two administration routes is further supported by the similar hospitalization time and by the equally rapid pharmacological effect, as evidenced by the quick decrease in CRP and body temperature analysis in both groups, in accordance with previous studies [51]. These data confirm the pharmacodynamic equivalence studies that analyzed the impact of tocilizumab administration route and confirm what has already been found in the rheumatological field [28,52]. Despite the similar effect of the two administration routes on tocilizumab efficacy, the current data also provide preliminary support that the potential hepatotoxicity might be dose dependent, being the observed increment in ALT higher in patients receiving 400 mg compared to patients receiving

324 mg. This elevation in ALT is known and expected during tocilizumab therapy, is not associated with clinically relevant increases in direct bilirubin and is not associated with clinical evidence of hepatic insufficiency. Importantly, the PIL clearly indicate that this elevation did not result in permanent or clinically evident hepatic injury in clinical trials [37].

Critically, the treatment with a low dose of tocilizumab was safe. During the follow-up period we did not observe any adverse drug reactions; in particular, secondary infections or intestinal perforations, both threatening complications of the administration of tocilizumab [53,54], did not occur. Serum level of procalcitonin remained stable and within the lower limits (<0.5 ng/mL), denoting the absence of bacterial infections in our patients. These complications were instead observed using higher doses of tocilizumab in patients with COVID-19 [42].

This study presented some limitations. The most important one refers to the lack of randomization resulting in not matched groups. Indeed, patients treated with standard care were older and with

higher prevalence of comorbidities compared to patients treated with tocilizumab. Contrarily, patients treated with tocilizumab were admitted to the hospital later during the disease course, as supported by the longer time elapsed from symptoms onset to hospitalization. Despite we are confident that the multivariate approach applied in the current paper (Cox proportional hazard model) removed the potential biasing effect of these unmatched variables on the primary results, the current data need to be further supported. A second important limitation is that an additional control group, including patients treated with tocilizumab during the late stage of respiratory failure is missing. This unfortunately prevents us from claiming that early administration of tocilizumab is more effective than late administration. However, it is worth noting that previous literature provides discordant and thus inconclusive findings on tocilizumab effectiveness in severe COVID-19 [20,21], thus suggesting that its late administration, when lung damage already happened, might be less effective in reducing mortality. Finally, the patient's inclusion strategy applied does not allow to definitely rule out the potential impact of unmeasured and unconscious confounding factors on the results, as for instance the acquired clinical experience of managing the disease. It is here important to underline that the patients included timely received the needed respiratory support and the standard therapy as for protocol at our site. Furthermore, none of the included patients received anticoagulant therapy modulating the thromboembolic risk [55]. We are thus confident that no relevant confounding factors explaining such an important decrement in mortality rate were present.

Despite the number of patients included is quite limited, to our knowledge this represents the biggest study comparing patients receiving tocilizumab with a control group receiving standard therapy only. However, in line with our rationale, only patients in the early stage of respiratory failure were enrolled. The current results cannot thus be generalizable to the wider population of COVID-19 patients, as for instance patients in critical conditions, where tocilizumab beneficial effect seems to be more limited.

In conclusion, the results described in the current paper are clinically relevant since they demonstrate a reduction of the mortality rate of 94% in patients receiving a single low dose of tocilizumab early in the course of the disease. The effect of additional variables on the results observed has reasonably been ruled out and the safety profile of this drug is excellent. Further multicenter and randomized trials are needed to confirm the efficacy and safety of early administration of low dose of tocilizumab in larger populations.

Declaration of Competing Interest

All authors declare no competing interests.

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Supplementary materials

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