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Metastatic Melanoma Patient-derived Xenografts Respond to MDM2 Inhibition as a Single Agent or in Combination with BRAF/MEK Inhibition

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Abstract

Purpose: Over 60 % of melanoma patients respond to immune checkpoint inhibitor (ICI) therapy, but many subsequently progress on these therapies. Second-line targeted therapy is based on *BRAF* mutation status, but no available agents are available for *NRAS*, *NFI*, *CDKN2A*, *PTEN*, and *TP53* mutations. Over 70% of melanoma tumors have activation of the MAPK pathway due to *BRAF* or *NRAS* mutations, while loss or mutation of *CDKN2A* occurs in ~40% of melanomas, resulting in unregulated MDM2-mediated ubiquitination and degradation of P53. Here we

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Contributions of authors:

Designed the study: AR, AEV, DBJ, KBD, RLS

Performed the experiments: RLS, EM, KBD, JFO, VB, AEV, AJ

Analyzed the data: SC, RLS, GDA, RNA, AR, KBD

Provided tumor samples and de-identified patient data: HC, DBJ, MK, RMK, MH, AG

Wrote the manuscript: RLS, SC, AR, AEV, KBD, DBJ

In experiments involving animals, the experiments were executed in compliance with institutional guidelines and regulations and after approval from the appropriate institutional review board (IACUC protocol number M1600236). The studies involving human tissue were conducted in accordance with the ethical principles and guidelines for research outlined in The Belmont Report (Vanderbilt IRB# M030220), and informed written consent was obtained from each subject or each subject's guardian.

Conflicts of Interest: Doug Johnson is on Advisory Boards for Array Biopharma, BMS, Jansen Merck, Novartis and received research funding from BMS and Incyte. Ann Richmond is on the Advisory Board for Polyphor. Kim Dahlman received research funding from Kadmon, Inc.

investigated the therapeutic efficacy of over-riding MDM2 mediated degradation of P53 in melanoma with an MDM2 inhibitor that interrupts MDM2 ubiquitination of P53, treating tumor bearing mice with the MDM2 inhibitor alone or combined with MAPK-targeted therapy.

Experimental Design: To characterize the ability of the MDM2 antagonist, KRT-232, to inhibit tumor growth, we established patient-derived xenografts (PDX) from 15 melanoma patients. Mice were treated with KRT-232 or a combination with BRAF and/or MEK inhibitors. Tumor growth, gene mutation status, as well as protein and protein-phosphoprotein changes, were analyzed.

Results: 100% of the 15 PDX tumors exhibited significant growth inhibition either in response to KRT-232 alone or in combination with BRAF and/or MEK inhibitors. Only *BRAF^{V600wt}* tumors responded to KRT-232 treatment alone while *BRAF^{V600E/M}* PDXs exhibited a synergistic response to the combination of KRT-232 and BRAF/MEK inhibitors.

Conclusions: KRT-232 is an effective therapy for the treatment of either *BRAF^{wt}* or *PAN^{wt}* (*BRAF^{wt}*, *NRAS^{wt}*) *TP53^{WT}* melanomas. In combination with BRAF and/or MEK inhibitors, KRT-232 may an effective treatment strategy for *BRAF^{V600}* mutant tumors.

Translational Relevance:

While nearly 60% of melanoma patients respond to treatment with an immune checkpoint inhibitor (ICI) or targeted therapy, identification of therapeutic regimes that successfully treat the 40% of melanoma patients who fail to respond or progress after therapy, remains elusive. Here we evaluated the response of patient-derived xenograft tumors established from melanoma patients to a new therapeutic regimen: treatment with either MDM2 antagonist alone, or for tumors with a *BRAF^{V600}* mutation, treatment with KRT-232 in combination with BRAF/MEK inhibitors. Data show that non-*BRAF^{V600E/M}* mutation tumors exhibited significant growth inhibition in response to MDM2 inhibitors, while those with *BRAF^{V600E/M}* mutations responded to treatment with combined MDM2, BRAF, and MEK inhibition. These data provide key insight into the potential for using MDM2 inhibitors alone or combined with BRAF and/or MEK inhibitors for the treatment of melanoma.

Keywords

Melanoma; MDM2; TP53; AMG 232; KRT-232

Introduction:

The American Cancer Society estimates that there will be over 96,000 new cases of melanoma in the United States in 2019, with an estimated 7,230 deaths. The World Health Organization estimates nearly 300,000 melanoma skin cancers occur globally each year with the highest rates in Australia and New Zealand (1). The incidence of melanoma has risen rapidly over the past 30 years, especially in those over 50 years of age, which places melanoma as the 5th most common form of new cancer diagnoses. For the period between 2006–2015, the incidence rate increased by 3% per year (2). While new cancer diagnosis rates continue to rise, the five-year survival rate continues to improve (1). This improvement in survival reflects the recent emphasis in early detection combined with improvements in therapies, such as targeted and immune therapy.

Advances in metastatic melanoma treatment followed the identification and classification of melanoma driver mutations, particularly mutations in *BRAF* described in 2002 (3). Characterization of driver mutations paved the way for new treatment strategies focused on small molecule inhibitors targeting specific proteins involved in the melanoma pathogenesis (4–6). The most common oncogenic driver mutations are in the mitogen-activated protein-kinase (MAPK) pathway where *BRAF* mutations occur in approximately 50% of melanomas and *NRAS* mutations in 15–20% of melanomas, with neither *BRAF* nor *NRAS* mutations occurring concomitantly. Activating mutations in *NRAS* occur at either codon 12 or codon 61, or less frequently codon 13. Mutations at codon Q61, resulting in the Q61R/K/L substitutions, disrupts the GTPase activity of RAS, resulting in a constitutively active conformation; whereas, mutations at codon G12 or G13, affect the Walker A-motif of the protein, thus decreasing its sensitivity to GTPase-accelerating proteins (7). *BRAF* activating mutations resulting in V600E/K/M account for nearly 90% of all the *BRAF* mutations in melanomas. Inhibitors of *BRAF*^{V600E/K/M} were developed including dabrafenib, vemurafenib, and encorafenib, and were successful in Phase III clinical trials (8–10). MEK kinases (MEK1 and MEK2), which function immediately downstream of *BRAF*, also have been studied as potential therapeutic targets for inhibition, especially in combination with *BRAF* inhibition. Three *BRAF*-MEK inhibitor combinations (dabrafenib-trametinib, vemurafenib-cobimetinib, and encorafenib-binimetinib) were successful in Phase III clinical trials (11–17). Treatment of patients with wild-type *BRAF* has proved more difficult, especially for those with *NRAS* mutations. There are currently no therapies that directly target mutant *NRAS*; however, MEK inhibitors (trametinib, binimetinib, and pimasertib) have been shown to have some effect in wild type *BRAF* and mutant *NRAS* melanoma (18,19).

The suboptimal response rates for targeted therapies, particularly within tumors not expressing the *BRAF*^{V600} mutation, have led to increased interest in other therapeutic options, including combination therapies targeting different pathways. The P53 tumor suppressor protein is one such option. In contrast to many other cancers, over 80% of human melanomas express *TP53*^{wt}, but P53 degradation can be enhanced through overexpression of the murine double minute (MDM) proteins, MDM2 or MDMX (20–23). Loss or mutation of *CDKN2A* in ~40% of melanoma tumors results in loss of function of the MDM2 inhibitor, p14/p19, thus increasing MDM2 mediated degradation of P53. (24). In the past several years, several molecules have been developed to interrupt the interaction between P53 and MDM2. These include the cis-imidazolines “Nutlins” (RG7112 and RG7388), the spirooxindoles, MI-77301 and, MK-8242, as well as other recently developed compounds such as CGM097, HDM201, and the piperidinone, AMG 232/KRT-232 (25,26). Interestingly, KRT-232 was shown to have antitumor activity in cell and animal models of melanoma with *BRAF* and *KRAS* mutations. These studies showed that KRT-232 treatment led to increased P53, *CDKN1A*, MDM2, and PUMA proteins in *TP53* wild-type cells (SJS-A-1, HCT116), but not in *TP53*-mutant HT-29 cells. KRT-232 also has been reported to be an effective MDM2 inhibitor in glioblastoma cell lines, patient-derived stem cells (27), and a wide variety of *TP53*^{wt} but not mutant *TP53* tumor cell lines (28,29).

In the present study, we tested the anti-tumor efficacy of combining KRT-232 with *BRAF* and MEK inhibitors using melanoma patient-derived xenograft models (PDX). We utilized a

well-characterized panel of melanoma PDX tumors, which represented an array of *BRAF* and *NRAS* genotypes. Others have demonstrated that melanoma PDX tumors accurately model the disease and respond to targeted therapies (30–32). When KRT-232 was tested as a single agent, only tumors that expressed wild type *BRAF*^{V600} (6/15 or 40%) had slower growth rates in response to KRT-232 compared to vehicle treated mice. The remaining nine PDX tumors were synergistically responsive to KRT-232 in combination with *BRAF* and *MEK* inhibition. These studies demonstrate that KRT-232 is an effective potential agent for the treatment of melanoma tumors that are either *BRAF*^{WT} or Pan^{WT} (*BRAF*^{WT}, *NRAS*^{WT}, *NFI*^{WT}). Furthermore, KRT-232 is an effective agent in combination with dabrafenib and trametinib for the treatment of *BRAF*^{V600MUT} tumors.

Materials and Methods:

KRT-232 (AMG 232), navitoclax, dabrafenib and trametinib were provided by the NCI.

Mouse studies.

Animal studies were approved by the Vanderbilt IACUC. NSG mice (NOD.Cg-*Prkdc*^{scid}*Il2rg*^{tm1Wjl/SzJ}) were purchased from Jackson Labs and Athymic Nude mice (Hsd: Athymic Nude-Foxn1nu) were purchased from Envigo. PDX tumors were established and propagated as described previously (33). The mice were all 3–6 month-old females weighing 20–26 grams. KRT-232 and navitoclax were prepared in 5% DMSO and 95% corn oil and administered five days a week by oral gavage in a total volume of 100–200uL based upon the weight of the mouse. Corn oil with 5% DMSO was used as the vehicle control. Dabrafenib and trametinib were prepared in 0.5% hydroxypropyl methylcellulose. At least 5 mice per treatment group were used, with each mouse bearing 2 tumors. Mouse body weight was measured daily for gavage dosing and recorded twice a week and tumor measurements were taken twice a week with micro-calipers. Tumor volume was estimated as $0.5 \times \text{length} \times \text{width} \times \text{width}$. Treatment began when tumors reached 50–100mm³ volume on average and continued until tumors reached 15mm in diameter or became perforated. At the end of the experiment, the final weight of the tumors was recorded, and tumors were either flash-frozen for RPPA analysis or fixed in 10% buffered formalin for paraffin embedding. PDX models 1577, 1668, 1767, 1595, 2316 and 2252 were provided to the Patient-Derived Models Repository at NCI-Frederick. All models were established according to the recommended Minimal Information Standard (34).

Targeted DNA sequencing.

DNA from patient tumor or early passage (P2) PDX tumor (PDX1577, 1668, 2316, and 2252) and from leukocytes isolated from peripheral blood from the PDX donor were submitted for QC and DNaseq using the Human Comprehensive Cancer Panel (QIAGEN Sciences, Frederick, MD). Identification of tumor-associated somatic mutations and copy number alterations was performed using the QIAGEN NGS Data Analysis Web Portal. A color-coded map of genetic alterations in PDXs was constructed using OncoPrinter available through cBioPortal (35,36)

STR Profiling.

DNA extraction from tumor tissue was achieved using standard phenol: chloroform extraction methods and ethanol precipitation or using the DNeasy Blood and Tissue Kit. For a subset of tumors with high melanin content, the DNA was further purified using the Qiagen DNeasy PowerClean Pro Cleanup K Kit (QIAGEN Sciences, Frederick, MD). DNA from matching blood samples, when available, was extracted using the QIAamp DNA Mini and Blood Mini Kit. DNA from matched patient blood, when available, P0 (patient's original tumor), and passage two of patient-derived xenografts tumors (P2A and P2B) were subject to STR Profiling leveraging the PowerPlex 16 HS System (Promega). A total of 16 STR loci (D3S1358, TH01, D18551, Penta E, D55828, D135317, D75820, D165539, CSF1P0, Dmelogenin, vWA, D851179, TPOX, FGA) were co-amplified in each sample. PDX specimens were considered a match to the patient specimen when one allele from each 16 STR locus was present in the P0 and P2 specimen. The amelogenin alleles for all the specimens match the patient sex that was reported.

Pathology/histology assessment.

Immunohistochemical (IHC) analyses were performed using the Leica Bond Max IHC stainer. All steps besides dehydration, clearing and cover-slipping were performed on the Bond Max. Slides were deparaffinized and heat-induced antigen retrieval was performed on the Bond Max using their Epitope Retrieval 2 solution for 30 minutes. The sections were incubated with Ready-to-Use antibody as indicated below. The Bond Refine Polymer detection system was used for visualization. Slides were then dehydrated, cleared and coverslipped. IHC slides were scanned at the Digital Pathology Shared resource. The automated quantification of the percentages of the KI67-positive cells was performed by Leica Biosystems' Digital Image Hub, using the software available with the Leica SCN400 Slide Scanner. The antibodies used were as follows: anti-p53 (PA0057, Leica Biosystems, Newcastle, United Kingdom) for 30 minutes; anti-MelanA (PA0233, Leica, Buffalo Grove, IL) for 15 minutes; anti-Ki67 (RM47-26, StatLab, McKinney, TX) for 30 minutes; anti-SOX-10 (PA0813, Cell Marque, Rocklin, CA) for one hour.

Proteomics.

Flash-frozen tumor tissue was processed in RIPA buffer using a Precellys Homogenizer. Lysates were analyzed with a 382 antibody panel. For each condition, three independent tumors were analyzed from three PDX tumors, resulting in nine samples analyzed for each treatment. The only exception to this was for the KRT-232 + dabrafenib + trametinib treatment of PDX1351 where only two independent tumors were analyzed. RPPA data was analyzed after a \log_2 transformation. All comparisons were performed based on a mixed-effect model to account for the correlation structure with the measured data from the sample PDX. Using model-based (least-square) means, the average adjusted difference (\log_2 FC: fold change in a \log_2 scale) between treatments (or groups) was estimated and compared using the Wald test. Bonferroni correction was used to adjust for multiple testing. Changes in protein expression were considered to be significant (shown in red) based upon two criteria, the significance of the change (false discovery rate (FDR) < 0.05) and the degree of change (when \log_2 (FC)= \pm 0.4). A heat map was used for the visualization of proteins with

significantly different expression across treatments. Hierarchical clustering analysis using Ward's distance was used to measure the dissimilarity between two clusters of observations.

Statistical Analysis.

For a comparison of more than two groups, one-way analysis of variance (ANOVA) with pairwise t test comparison was used. For *in vivo* experiments, tumor volume or tumor weight were analyzed on the natural log scale to better meet the normality assumption. The mixed-effects model with posthoc tests was used to compare the difference in tumor growth or tumor weight between treatments. P-value was adjusted using the Bonferroni method. With pairwise comparison, the p-value was adjusted using the Bonferroni method to correct for inflated Type I error (the higher the change for a false positive). For each PDX, initial statistical and data analysis was based on all treatment groups, which included three experimental agents tested individually and in combination compared to vehicle controls, but data analyses are presented based upon the statistical analysis for the subset of experimental agents. For synergy analysis, a mixed-effect model with individual effect and interactive effect was used to assess treatment differences in tumor volume over days. Each observation was classified according to the PDX. Tukey's adjusted p-value was used for multiple comparisons. All tests of statistical significance were two-sided. Unless otherwise noted in the manuscript, we use the word "significant" to represent statistical significance ($p < 0.05$). *** for $p < 0.001$, ** for $p < 0.01$, * for $p < 0.05$. Analyses were performed using R version 3.4.3 (37,38)

Results:

Patient Demographics:

Melanoma patient-derived xenografts (PDX) were established from melanoma tumors obtained from patients who were referred for surgical resection or biopsy. From a larger set of PDX tumors, 15 PDXs (Table 1A) were chosen for this study to approximately represent the range of mutations found in melanoma tumors. The patient population was 47% male and 53% female, represented ages from 29 to 77 and were largely American Joint Committee on Cancer (AJCC) (39) Stage IV (although two patients with locally advanced stage III melanoma; PDX2316 and 2552). The prior systemic therapies are listed in Table 1A, in the order in which they were received. Four patients were not treated systemically before resection (PDX2195, 2252, 1668, and 2316). The remaining 11 patients had tumor progression after targeted therapy or immunotherapy; both patients with BRAF^{V600} mutations treated with dabrafenib and trametinib (D+T) had progression on therapy.

Genetic Analysis:

Except for patient 2316, all tumors were screened by either SNaPshot analysis or in the case of patient 2552 by Onkosight as standard of care in the clinic (40). SNaPshot analyses indicated that three tumors (1129, 1668 and 1767) were *BRAF*^{wt}, *NRAS*^{wt}, and *NF1*^{wt} for specific mutations in the nucleotides queried. To further understand the genetic make-up of the PDX tumors utilized in this study, either the original patient tumor or in the case of PDX1577, 1668, 2316 and 2552, second passage PDX aliquots were analyzed using a comprehensive cancer next generation sequencing (NGS) panel that targets exonic regions of

genes with known associations to cancer. In all cases, the mutations noted in the patients' tumors by SNaPshot and Onkosight sequencing obtained as standard of care in the clinic were confirmed by NGS in the laboratory.

NGS provided additional genetic information relevant to this study. The UV carcinogenic etiology of melanoma results in melanoma having among the highest prevalence of somatic mutation across all cancer types (41). The number of mutations between samples sequenced was variable. PDX1767 had the fewest non-synonymous mutations (NSMs), with 295 high confidence variants at a mean read depth in the target region of 83, while PDX1668 had the most NSMs with 2,352 high confidence variants at a mean read depth of 199 (Supplementary Table S1A&B). Neither of these tumors had hot spot mutations in *BRAF* and *NRAS*. For two of the tumors where no mutations were identified by SNaPshot (1129 and 1668), NGS identified additional mutations in driver genes. For PDX1668, NGS identified a loss of the stop codon in *NFI*, and two mutations in *BRAF*, S147N, and N140T, with unknown significance. Further analysis of PDX1129 by NGS identified two mutations in *BRAF* (D549N and K483T). While the *BRAF* p.D549N is of unknown significance, it has been previously identified in the NZM41 cell line and in other melanomas, colorectal and lung carcinomas, in addition to hairy cell leukemia (42) (43–45). PDX2316 also was retrospectively analyzed by NGS and was found to lack mutations in *BRAF* and *NRAS*, although mutations in *NFI*, *CDKN2A*, *MTOR*, and *KIT* were detected. The tumors used in this study closely mimic the expected distribution of mutations within the driver mutation genes *BRAF*, *NRAS*, and *NFI*, with 53% exhibiting mutated *BRAF*^{V600} and 13% exhibiting mutated *NRAS*^{Q61} mutations. Furthermore, PDX2316 was *BRAF*^{wt}, *NRAS*^{wt} and PDX1767 was *BRAF*^{wt}, *NRAS*^{wt}, and *NFI*^{wt}.

Given the high number of tumor variants, we queried the cBioPortal for Cancer Genomics (35,36) to identify the 10 most frequently mutated genes in melanoma. The data set included 1414 patient/case sets for melanoma, including acral, desmoplastic and lentigo maligna but not uveal melanoma. The distribution of occurrence of mutations in these top 10 genes is shown in Table 1A and Supplementary Table S1B and the hotspot mutations identified in the PDX panel are listed. A hotspot in the cBioPortal is defined as, “this mutated amino acid was identified as a recurrent hotspot (statistically significant) and a 3D clustered hotspot in a population-scale cohort of tumor samples of various cancer types using a previously described methodology(46,47)”. Close attention was paid to mutations and copy number variations (CNV) within the *TP53* locus since *MDM2* is an important negative regulator of *P53* (Table 1B and Supplementary Table S1B). By far the most prevalent *TP53* variant was the P72R polymorphism, which was present in all the PDXs, except PDX9164. Four PDX tumors, PDX9164, 1839, 2252, and 0807, had nonsynonymous mutations (NSM) in the *TP53* locus. PDX9164, PDX1839 and PDX2252 had mutations resulting in early translation termination and PDX2252 also had decreased *TP53* copy number (CNV could not be determined for PDX9164). PDX0807 had two *TP53* mutations, an insertion at codon 138 (A—ADG) and an NSM at codon T140 (T—S). The mutations in PDX0807 occurred at a low frequency (26–27%). In addition to these NSMs, PDXs 1668, 1839, and 1946 had mutations within *TP53* splice sites. While the exact nature and frequency of these mutations is not reported in the NGS data, these three PDX tumors also had a loss of heterozygosity in the *TP53* locus. All mutations and CNVs within the 10 focus genes and *MDM2*, regardless of

SnEff scores (48), for each PDX are listed in Supplementary Table S1B. Interestingly, copy number alterations, but not mutations, were noted for *MDM2*.

Verification of the PDX Panel:

Eight PDX tumors were characterized by Short Tandem Repeat (STR) analysis to confirm that the resulting PDX tumors were derived from the patient sample (Supplementary Figure S1A). The STR profiles demonstrate that each analyzed PDX was derived from the primary specimen. As previously reported (49), mouse PDX tumors do undergo genetic evolution, and in accordance with this, there were changes noted between the STR profiles of the human tumor sample and the PDX, with occasional loss of an allele, consistent with clonal selection.

For each PDX tumor, pathology and melanoma IHC markers were confirmed relative to the patient diagnosis of melanoma by a clinical pathologist. Representative results are shown for PDXs 2552, 1767, 1668 and 1595 (Supplementary Figure S1B). The markers used for melanocytic differentiation were Melan-A/Mart 1 and SOX10. Also, patient tumors (P0) and the second passage PDX (P2) were stained by H&E and for Ki-67, to determine the mitotic rate. All melanoma tumors and resulting PDXs were positive for SOX10 (50) while a subset was positive for Melan-A, consistent with prior results (51). In addition to the correlation between SOX10 and Melan-A expression between the tumor sample and PDX P2 passage, we also saw a correlation with melanin expression, as shown for PDX1767. PDX tumors derived from tumors with high expression of melanin also had high expression of melanin in the PDX. Likewise, if a tumor did not express detectable melanin, the PDX did not either, as shown for PDX2552 and PDX1668. PDX1595 had a low but detectable level of melanin as did the patient tumor. All tumors and patient-derived PDXs stained positive for Ki-67.

Effects of KRT-232 on Growth of Melanoma PDX tumors:

To evaluate the effects of KRT-232 on the melanoma PDX panel, 50 mg/kg KRT-232, which is comparable to a human dose of 250mg, was administered by oral gavage to the mice once the PDX tumor reached a volume of 50–100mm³. Mice were treated 5-days/week and treatment was continued until one or more tumors reached the endpoint size limit defined by the IACUC protocol (1.5 cm diameter). We compared the KRT-232 response to an FDA approved therapy, either dabrafenib (30 mg/kg) and trametinib (1 mg/kg) (D+T) or trametinib (T) alone (for *NRAS* mutant tumors) administered by oral gavage 5-days/week, once daily(QD). For mice harboring mutated BRAF^{V600} tumors, dabrafenib and trametinib (D+T) were administered *in vivo* either with or without 50 mg/kg KRT-232 QD. The response to all drugs was compared to the appropriate vehicle control group. Toxicity was not detected with any treatment group based on AST and ALT levels, weight loss or morbidity. Representative data from these studies for PDX1839, PDX1946, PDX2316, PDX1668, and PDX1595 are shown in Figure 2. (Data from treatment effects on tumor growth for all the PDX tumors are shown in Supplemental Figure S2). For each drug study, the tumor growth rate was statistically determined, the final tumor weight was measured, and FFPE sections were stained and quantified to measure proliferation based on Ki67 expression. To facilitate comparisons between PDX tumors and the responses to therapy, a summary of t-ratios for treatment group comparisons across 15 PDX tumors is shown in

Figures 2 and 3A. The t-ratio is a ratio of the difference in the slope of tumor growth between control and a treatment group relative to its standard error, calculated by estimated marginal means based on the mixed effect model. For each PDX, response to a drug was based on a positive t-ratio greater than 2.6. The lowest positive t-ratio considered was 2.598 for the KRT-232 treatment of PDX0807, which correlated with an adjusted p-value of 0.063. Any treatment which resulted in a decreased tumor growth compared to vehicle control is indicated by a positive t-ratio. Likewise, any treatment which resulted in increased tumor growth compared to vehicle control is indicated by a negative t-ratio.

The response to drug treatment could be classified into three distinct categories. Figure 1A, with PDX1839 as an example, represents those PDX tumors that responded to the standard therapy (D+T), but not to KRT-232. This group of PDX tumors is referred to as Group I. In fact, in the case of PDX1839 *in vivo* administration of KRT-232 caused a slight, albeit insignificant, increase in growth (Bonferroni adjusted (adj.) p=0.138) (Figure 1A). In contrast, D+T, an FDA approved therapy for BRAF^{V600} mutated melanoma, did decrease the growth rate of the Group I PDX tumors (t-ratio=5.4741, adj. p <0.001). The combination of KRT-232 with D+T resulted in a further reduction in the growth rate compared to KRT-232 alone treatment (t-ratio=9.00, adj. p<0.001), but not compared to D+T standard therapy (t-ratio=1.58, adj. p<0.7). A decrease in tumor size was also observed when comparing the response to KRT-232 alone versus KRT-232 + D+T (adj. p = 0.014). Also shown in Figure 1, Ki67 levels were not affected by *in vivo* administration of either KRT-232 or D+T (adj. p=0.714 and 0.184 respectively); however, a significant decrease in Ki67 staining compared to the vehicle control was seen with the combination therapy of KRT-232 and D+T (adj. p<0.001). A significant decrease was also observed when comparing the combination therapy (KRT-232 + D+T) to KRT-232 alone treatment (adj. p<0.001), but not when compared to D+T therapy (adj. p=0.999). In summary, in Group I PDX tumors, D+T has a predominant effect on decreasing melanoma PDX tumor growth while KRT-232 therapy does not inhibit tumor growth in Group I PDX tumors.

The second group of tumors (Group II) shown in Figure 1B includes those PDX tumors that did not respond to either KRT-232 or the standard therapy alone but responded synergistically to the combination of KRT-232 and the standard therapy. Group II was comprised of 6 PDX tumors; five of the six PDX tumor lines exhibited BRAF^{V600} mutations. The sixth line, PDX 1179, had an NRAS^{Q61} mutation and a BRAF copy number of 1.36 (see Supplementary Table S1B). Therefore, PDX1179 was treated with trametinib but not dabrafenib. As shown in Figure 1B, as exemplified with PDX1946 neither KRT-232 nor the standard therapy (D+T or T) resulted in a significant decrease in either growth rate (adj. p=0.999) or final tumor size for Group II PDX tumors. However, *in vivo* administration of KRT-232 in combination with the standard therapy, resulted in a significant decrease in the tumor growth rate compared to the vehicle control (t-ratio=3.75, adj. p=0.002) and a significant decrease in Ki67 positive cells (Figure 1B and Supplementary Figure S2).

The third group of PDX tumors (Group III), tumors 0807, 1129, 1595, 1668, 1767, and 2316, did respond to KRT-232 alone with growth inhibition (Figure 1C and D). Two of the lines, PDX2316 and PDX1767, were BRAF^{wt} and NRAS^{wt} so mice were treated only with KRT-232. One line, PDX1595, exhibited an NRAS^{Q61R} mutation, so the mice implanted

with this PDX were treated with either KRT-232, trametinib, or the combination. The final three PDX tumor lines, 0807, 1129 and 1668, had *BRAF* mutations outside the V600 position (Table 1 and Figure 2), so mice carrying these three PDX tumors were treated with KRT-232, D+T alone, and the combination of KRT-232 plus D+T, except for 1668, which was only treated with KRT-232 alone or D+T alone. PDX2316, PDX1668, and PDX1595 are shown as examples of the three different genetic subgroups within the group that responded to KRT-232 [Figure 1C (PDX2316) and D (PDX1595 and PDX1668)]. For PDX2316, PDX1668, and PDX1595, KRT-232 treatment resulted in a significant decrease in tumor growth rate (adj. $p < 0.001$ for PDX2316 and 1595 and adj. $p = 0.018$ for PDX1668), and as shown for PDX2316, KRT-232 alone also decreased the final tumor weight (adj. $p = 0.042$) and the level of Ki-67 staining (adj. $p < 0.001$). When KRT-232 was used in combination with the standard therapy, as shown in Figure 1D for PDX1595, the standard therapy alone did not affect the growth rate (adj. $p = 0.999$), except for PDX0807 and there was no further decrease in growth rate comparing the rate with KRT-232 to the combination therapy (adj. $p = 0.999$). In summary, in Group III PDX tumors, KRT-232 has a predominant effect on tumor growth inhibition that is not enhanced by D+T therapy.

Effect of KRT-232 on P53 Expression and Localization:

KRT-232 previously has been shown to increase P53 protein levels in cell lines and mouse xenografts (28,29). To determine whether KRT-232 was affecting P53 expression and localization in the melanoma PDX models, the P53 protein level was monitored by IHC in two Group III PDX tumors that had been treated *in vivo* with KRT-232 (Figure 1D). For both PDX1595 and PDX1668, the P53 protein level was very low in tumors from the vehicle-treated mice, but KRT-232 treatment resulted in a substantial increase in P53 protein and nuclear localization. In contrast, neither dabrafenib or trametinib altered P53 levels or localization.

Synergy in PDX tumors with *BRAF*^{V600} Mutation:

As exemplified in Figure 1 and summarized in Figure 2, the 15 PDXs studied here were classified into three subgroups: Group I PDXs did not respond to KRT-232, responded to D+T, and treatment with the combination of D+T and KRT-232 resulted in a small augmentation of tumor growth inhibition. Group II PDXs did not respond to either KRT-232 alone or D+T alone but responded to the combination of KRT-232 and D+T (KRT+D+T). Group III PDXs responded to KRT-232 monotherapy, as indicated by the gray shading. A larger t-ratio indicates that there was a larger difference between the treatment group and the comparison group. Interestingly, PDX 1767 was the only PDX with *MDM2* amplification, and this PDX had the largest t-ratio when comparing the effect of KRT-232 to vehicle treatment (t-ratio=5.182). This finding is consistent with prior findings in glioblastoma cell lines, where lines with *MDM2* amplification were the most sensitive to KRT-232 (27).

In the Group II PDX tumors, the range of t-ratios for the combined treatment with KRT-232 and D+T was 3.028 to 8.106, which is suggestive of a synergistic relationship between KRT-232 and D+T treatments. The synergistic effect was defined as an interaction between KRT-232 and D+T treatments that causes the total effect of the drugs to be greater than the sum of the individual effects of each drug. This analysis did not include data from

PDX1179, since this *NRAS*^{Q61H} tumor was treated only with trametinib, in contrast to the other Group II PDX tumors that were treated with dabrafenib and trametinib. The analysis showed a significant interaction effect of KRT-232 and D+T for four independent PDX tumors over time ($p=0.004$) using the mixed-effect model. With model-based means, tumor growth rate with 95% confidence intervals for each treatment was estimated (Figure 2B). The multiple comparison graph showed that the tumor growth rate in the combination group (KRT+D+T) was significantly reduced compared to either the KRT-232 group (Tukey's adjusted $p<0.001$) or the D+T group (Tukey's adjusted $p<0.001$). There was no difference in the tumor growth rate between KRT-232 alone and D+T groups (Tukey's adjusted $p=0.696$). In other words, the Group II PDX tumors did not respond to KRT-232 or D+T alone, but when administered together there was synergistic inhibition of tumor growth. The power of this analysis is based on analyzing treatment effectiveness for multiple independent PDX tumors (Figure 2).

Morphological Changes Associated with Synergism:

Interestingly, analysis of H&E staining of those PDX tumors that had the highest t-ratios when comparing the effect of KRT-232 treatment to the effect of KRT-232 + D+T revealed morphologic differences after KRT-232 and D+T therapy (Figure 3). H&E staining of tumors isolated from vehicle-treated and D+T treated cells revealed that the tumor cells were tightly packed with minimal stroma, were of uniform size and appearance, and had large nuclei with a high mitotic rate and a high Ki67 expression. In contrast, PDX tumors exhibiting a synergistic growth-inhibitory response to KRT-232 and D+T were characterized by mostly karyorrhectic nuclei with vacuolar changes around the karyorrhectic debris. This change in histology was only present in PDX tumors where the t-ratio comparing the effect of KRT-232 treatment to the effect of KRT-232 combined with D+T was greater than 2.6 (PDX1351 and 1946).

Biomarkers of Treatment Response: Identifying Proteins with Altered Expression in Tumors Responding Synergistically to KRT-232 and D+T

To identify changes in protein expression associated with the synergistic response to KRT-232 and D+T in Group II tumors, RPPA analysis of protein and phosphoprotein expression was conducted (Figure 3B). Unexpectedly, protein markers for different modalities of cell death, including bcl-2-like protein 4 (BAX), B-cell lymphoma 2 (BCL2), p53 upregulated modulator of apoptosis (PUMA), poly (ADP-ribose) polymerase (PARP), beclin, janus kinase (JAK), induced myeloid leukemia cell differentiation protein (MCL1), and the caspase, signal transducer and activator of transcription (STAT), and heat shock proteins (HSP) families of proteins, while present in the antibody panel, were notably absent from the list of positive hits when comparing vehicle-treated PDX tumors to KRT-232 + D +T treated tumors. In contrast, a decreased expression hypoxia-inducible factor 1 subunit alpha (HIF-1 α), monocarboxylate transporter 4 (MCT4), phosphorylated N-myc downstream-regulated gene-1 (pNDRG1), and phosphorylated mitogen-activated protein kinase (pMAPK) were noted in the KRT-232 + D +T treatment group as compared to vehicle-treated tumors. Lactate dehydrogenase A (LDHA) was also decreased, although the fold change was small and below the cutoff of $\log_2(\text{FC})=\pm 0.4$. The decreased levels of phosphorylated MAPK kinase were expected since these tumors were treated with

dabrafenib and trametinib, both of which regulate the phosphorylation of MAPK. In contrast, in response to the combination of KRT-232 and D+T, the observed decreased expression of HIF-1- α , MCT-4, LDHA, and decreased phosphorylation of NDRG was unexpected and indicate treatment-mediated decreased rates of glycolysis (52–54). The change in expression of HIF-1- α , pNDRG and LDHA was only seen in PDX tumors treated with the combination of KRT-232 and D+T (Group II: PDX1179, 1351, and 2252) where synergy was exhibited. Changes in MCT-4 expression were also observed when KRT-232 was used as a single agent (Figure 4D and E). Furthermore, Group I PDX tumors 1839, 2195, and 2252 responded to D+T as a single agent, and exhibited decreased phosphorylation of MAPK, but did not exhibit altered expression of HIF-1- α , MCT-4, LDHA, nor was there a decrease in phosphorylation of NDRG in response to D+T without KRT-232 (Supplementary Figure S3). These data suggest that the synergistic action of KRT-232 and D+T results in metabolic reprogramming of the tumor cells.

Biomarkers of Treatment Response: Identifying Tumors That Respond to KRT-232 Monotherapy.

To identify potential biomarkers for KRT-232 response we examined both the genetic mutation and copy number variation data obtained by NGS and RPPA analysis (Figure 4). Analysis and comparison of basal (vehicle-treated) protein and phosphoprotein expression in PDX tumors that were either resistant or responsive to KRT-232 did not identify any significant proteins or phosphoproteins (FDR >0.05) that could be used as predictors of KRT-232 responsiveness, as shown in the Volcano Plot comparing vehicle-treated samples from PDX tumors in Groups I and II compared to those in Group III (Supplementary Figure S4). While protein expression patterns were not a predictor of KRT-232 responsiveness, the BRAF mutation status was a strong predictor of KRT-232 responsiveness.

The Oncoprint Cluster Analysis shown in Figure 4 represents the analysis with the 10 most relevant genetic alterations, as discussed in Table 1. No further insights were gained by including all 300 genes analyzed by NGS (data not shown). This analysis indicates that the mutational status of *BRAF* is highly correlated with responsiveness to KRT-232. Of the 9 PDX tumors that were resistant to KRT-232 (Groups I and II), all but one had a V600 mutation in *BRAF* (Table 1).

In contrast to the high prevalence of V600 *BRAF* mutations in the PDX tumors that were resistant to KRT-232, all of the PDX tumors that responded to KRT-232 lacked the *BRAF*^{V600} mutation, although other mutations were noted in the *BRAF* gene. PDX1129 had two coding changes, D594N and K483T and PDX1668 and 1595 had missense mutations, of unknown significance. For PDX1668 the two non-synonymous *BRAF* mutations were S147N and N140T and for PDX1595 the *BRAF* mutation was P334S. While there are reports of the D594N mutation in melanoma, colorectal and lung carcinomas and hairy cell leukemia, none of the other mutations have been reported and there are no data on the biological significance of these mutations (43–45). Amplification of the *BRAF* gene also occurred in the PDX tumors, but occurred in equal percentages in both the PDX tumors that were resistant to KRT-232 (3/9 or 33.3%) and those that were sensitive (2/6 or 33.3%). Statistical analysis of the correlation between the *BRAF*^{V600} mutation and the *in vivo*

effectiveness of KRT-232 in decreasing tumor growth, as measured by the t-ratio, was analyzed using the Wilcoxon rank sums test and Pearson's product-moment correlation. The Point-Biserial correlation coefficient (a special case of Person's correlation coefficient) was -0.7938 , with a Bonferroni adjusted p-value of <0.001 . A dot plot showing the correlation is shown in Supplementary Figure S5.

RPPA analysis of Group III PDX tumors (1129, 1595, 1668 and 2316) revealed altered levels of six proteins in KRT-232 monotherapy responsive tumors, based on the limits of an $FDR < 0.5$ and $\log_2(FC) > \pm 0.4$. The P53-inducible P21 protein (CDKN1A) exhibited the most significant increase in expression in response to KRT-232 treatment. The other notable protein induced by KRT-232 was the receptor tyrosine kinase ephrin type-A receptor 2, also known as (erythropoietin-producing hepatocellular receptor A2 (EPHA2), which has been reported to play a critical role in oncogenic signaling in many types of solid tumors (55). This increase in EPHA2 was offset by KRT-232-induced decreases in the cell cycle regulatory protein Cyclin B1 (CCNB1), polo-like kinase 1 (PLK1) which belongs to the CDC5/Polo subfamily, and forkhead box protein M1 (FoxM1) (56,57). These three proteins are involved in the regulation of M-phase of the cell cycle (58,59). (Figure 4 D&E). Finally, the monocarboxylate transporter 4 (MCT4), which releases lactate from glycolytic tumors (60) was decreased by KRT-232 treatment. Altogether, these changes in protein expression in response to KRT-232 should result in a reduction in tumor growth.

Interestingly, RPPA analysis of PDX tumors that did not respond to KRT-232 treatment had a similar increase in p21 expression (Figure 4 B&C) but the increase in P21 was not accompanied by significant changes in the downstream pathways regulated by MDM2 including cell cycle regulatory proteins or proteins involved in the regulation of apoptosis (61). Since all these PDX tumors have activating mutations in the MEK/ERK pathway, these data suggest that activation of BRAF or NRAS interferes with the pathways associated with the growth inhibitory effects of KRT-232.

Role of TP53 in Responsiveness to KRT-232.

Detailed analysis of the *TP53* status in the panel of 15 melanoma PDX tumors revealed 6 PDXs had either a non-synonymous mutation in the *TP53* gene and/or a loss of heterozygosity (Table 1A, B and Supplementary Table S1B). As shown in Figure 2A, the PDX tumors with alterations in TP53 status still responded to KRT-232 either as a single agent (PDX0807 and PDX1668) or in combination with D+T (PDX2252 and PDX1946), but the response was considerably reduced compared to the *TP53^{wt}* tumors and was at the lower limits of significance. For example, PDX0807 and PDX1668, both of which responded to KRT-232 as a single agent had t-ratios of 2.598 and 2.829, respectively, while the *TP53^{wt}* PDX tumors in Group III, PDX 1595, 2316, 1129 and 1767, had t-ratios greater than 3.5 (Figure 2), indicating stronger inhibition of tumor growth in *TP53^{wt}* tumors.

Effects of Navitoclax on Growth of Melanoma PDX tumors:

BRAF^{V600} mutant melanoma tumors often develop resistance to MAPK inhibitors like dabrafenib and trametinib. We postulated that D+T resistant tumors might respond to a Bcl-2 inhibitor to block tumor growth and proliferation through an alternate pathway. Indeed, it

has been demonstrated that combining the MDM2 inhibitor, idasanutlin RG7388, with the BCL-2 inhibitor, ABT-199, provides better therapeutic efficacy than either drug alone in acute myeloid leukemia (AML) (62). To determine if similar effects occur in melanoma, two BRAF^{mut} and two BRAF^{wt} tumors were treated with navitoclax, a BCL2 inhibitor, either as a single agent or in combination with KRT-232. The summary data for navitoclax treatment are shown in Figure 5C. Navitoclax slightly enhanced tumor growth for two PDX tumors (PDX1351 and 1577), as indicated by the negative t-ratio. While navitoclax was not effective as a single agent in any PDX tumor, navitoclax in combination with KRT-232 in BRAF^{V600E} (PDX1351 and 1577) tumors was more effective than either drug alone and resulted in tumor regression in three PDX models, as shown by example for PDX1577 (Figure 5). Interestingly, the growth of only mutant BRAF^{V600E} tumors was inhibited by the combination of navitoclax and KRT-232. In BRAF^{V600wt} tumors (PDX1129 and PDX1668), the effects of KRT-232 and navitoclax were not more effective than KRT-232 alone as indicated by a t-ratio of less than 2.6 when comparing KRT-232 + navitoclax treatment groups to KRT-232 alone. Similar effects were noted in the weights of the final tumors.

Discussion:

While recent therapeutic advances for metastatic melanoma have significantly increased the overall survival of melanoma patients, a subset of patients does not respond to immunotherapy or targeted therapies. In this study, utilizing a panel of melanoma PDX tumors we observed that therapy with KRT-232, based upon mutational status of the tumors, either singularly or in combination with dabrafenib and trametinib treatment effectively decreased tumor growth in 100% of the tumors tested. Similar results were obtained in a small Phase I/2 clinical trial testing KRT-232 with trametinib and/or dabrafenib (NCT02110355), where patients with TP53^{wt} metastatic cutaneous melanoma were treated with KRT-232 at 120, 180 or 240 mg QD (7 days of each 3 week cycle) in combination with T (BRAF^{nonV600-mutant}) or D+T (BRAF^{V600-mutant}). KRT-232 + T was found to reduce tumor size in 73% of the patients with metastatic cutaneous melanoma expressing BRAF^{nonV600-mutant}, with 13% (2/15) showing a greater than 30% reduction in size according to the RECIST criteria (44,45). Furthermore, 100% (6/6) of patients with BRAF^{V600-mutant} melanoma treated with KRT-232 in combination with trametinib or dabrafenib had a reduction in tumor size, with 67% (4/6) patients having a decrease in tumor size of greater than 30%. Based on preliminary results, the KRT-232 maximally tolerated dose was 180 mg, based on observed adverse effects at 240 mg in the KRT-232 + T treatment arm. The incidence of adverse effects was notable, albeit acceptable, with 1 patient having a grade 3 adverse event and all remaining patients have either a G1 or G2 adverse event (64). Similarly, based on the calculations described by Nair and Jacob (65), the human equivalent dose for 50 mg/kg KRT-232 used in mice is ~250 mg KRT-232 for an average 62 kg individual.

In our study, tumors responding to KRT-232 plus dabrafenib and/or trametinib could be classified into three separate groups. Group I, consisted of BRAF^{V600E} mutant PDX tumors, which responded to dabrafenib and trametinib but not to KRT-232 as a single agent. In combination, these agents further inhibited tumor growth. Group II, consisted of BRAF^{V600E/M} and NRAS^{Q61H} mutant tumors that did not respond to either KRT-232 or

dabrafenib and/or trametinib alone, but when used in combination, the inhibition of tumor growth was demonstrated to be statistically significant and synergistic. Finally, in Group III, the BRAF^{V600wt} PDX tumors responded to KRT-232 alone with significant inhibition of tumor growth. The mutational status of *BRAF* was an effective predictor of KRT-232 monotherapy response. In our PDX panel, only those tumors that were BRAF^{V600wt} were responsive to KRT-232 alone, while, except for PDX1179, tumors with BRAF^{V600} mutations were resistant to KRT-232, but responded synergistically to KRT-232 combined with dabrafenib and trametinib.

In another tumor type, acute myeloid leukemia (AML), Pan et al. reported that some AML cell lines are sensitive to the MDM2 inhibitor, RG7388 (idasanutlin) which is a more potent second-generation nutlin, while other AML cell lines were resistant (62). As seen with KRT-232, in both the RG7388 sensitive and resistant cell lines, the protein level of P21 is abundantly induced. Through a series of elegant experiments using RG7388 in combination with a Bcl-2 inhibitor, ABT-199 (venetoclax), they demonstrated that P53 plays a pivotal role in regulating the Ras/Raf/MEK/ERK signaling cascade (62). While Pan et al. did not explore the mechanistic role of P53 in regulating the MAPK pathway, others have identified P53-regulated transcription of four phosphatases, wild-type p53-induced phosphatase 1 (Wip1), mitogen-activated protein kinase phosphatase 1 (MKP1), phosphatase of activated cells 1, also known as dual specificity phosphatase 2 (PAC1/DUSP2), and DUSP5, that negatively regulate MAPK signaling (66). While further experiments are needed, we propose that in tumors with BRAF^{V600} mutations, activation of P53 through MDM2 inhibition will not inhibit the MAPK pathway; therefore, those tumors with BRAF^{V600} mutations are resistant to the effects of KRT-232 and other MDM2 inhibitors. However, in the presence of dabrafenib and trametinib, two inhibitors of the MAPK pathway, inhibition of the constitutively activated MAPK pathway reverses the resistance to MDM2 inhibition, resulting in a decrease in MAPK T202/Y204 phosphorylation. The MAPK pathway is also a key regulator of the transcription factor Myc through both phosphorylation and transcription control (67–70). While our RPPA panel did not include p-Myc or N-Myc, NDRG1, an N-Myc downstream-regulated gene, was decreased by KRT-232 plus D+T treatment. Additionally, Myc has been shown to stabilize HIF-1 α (71). Consistent with the concept that KRT-232 plus D+T treatment down regulates Myc-mediated transcription, we observed a decrease in HIF-1 α in KRT-232 plus D+T treated BRAF^{V600} tumors. Therefore, our studies suggest that the treatment of melanoma PDX tumors expressing the activating BRAF^{V600} mutation with the combination of dabrafenib, trametinib and KRT-232, modulates MYC and HIF-1. These proteins play a key role in the regulation of the Warburg phenomenon (72–75) and are key regulators of the glycolytic switch in tumors (76).

It has also been reported that like dabrafenib and trametinib, Bcl-2 inhibitors can overcome the resistance to MDM2 inhibitors (62). Preclinical studies have shown that mice implanted with OCL-AML3 leukemic cells that are resistant to both the MDM2 inhibitor, RG7388, and the Bcl-2 inhibitor, ABT-199, exhibited enhanced overall survival with the two agents combined. Similarly, we observed that treatment of BRAF^{V600} mutant PDX tumors PDX1351 and 1577 that are resistant to KRT-232, with KRT-232 combined with navitoclax significantly inhibited tumor growth when neither agent was effective alone. These data suggest that, as in AML, co-treatment with Bcl2 inhibitors can overcome the resistance to

MDM2 inhibitors in tumors in which the MAPK pathway is constitutively activated. It is interesting to note that in the PDX tumors that were treated with KRT-232 and navitoclax or KRT-232 and D+T, the combination of KRT-232 with navitoclax was more effective and not only inhibited tumor growth but caused tumor regression.

While the combination of KRT-232 plus dabrafenib and trametinib in BRAF^{mutV600} tumors resulted predominantly in a decreased expression of key proteins involved in the regulation of glycolysis, KRT-232 monotherapy treatment of BRAF^{wtV600} tumors resulted in a decrease in expression of a triad of proteins regulating the G₂/M checkpoint in the cell cycle. The G₂/M DNA damage checkpoint serves to prevent the cell from entering mitosis (M-phase) with genomic DNA damage and the key regulators of this process are FoxM1, PLK1, and Cyclin B1, all of which were decreased by KRT-232 treatment. FoxM1 expression is increased in a variety of solid tumors, including melanoma, and inhibition of FoxM1 leads to a decrease in cell proliferation and migration, metastasis and angiogenesis. Furthermore, analysis of the TCGA database illustrated that high levels of FoxM1 are related to poor prognosis in most solid tumors (58). P53, both directly and indirectly through p21, has been reported to decrease FoxM1 expression (77,78). FoxM1 induces the expression of the Cdk1 activators, cyclin B and Cdc25 (79), so a decrease in FoxM1 expression should coincide with a decrease in cyclin B and Cdc25, as we observed in the RPPA data. Interestingly, cyclin B and polo-like kinases (PLK) are proposed as critical transcriptional targets of FoxM1 at the G₂/M transition and PLK1 phosphorylates FoxM1 to further activate it as a transcription factor (59,80). Therefore, KRT-232, through the P53 dependent inhibition of FoxM1 expression, has a direct effect in regulating the G₂/M checkpoint.

It is important to note that the tumors used in this study were heterogeneous and all PDX tumors included some mouse and potentially human stromal tissue. The lack of homozygosity for driver mutations likely reflected the heterogeneous nature of tumors instead of the presence of non-tumor tissue within our samples since the NGS panel was specific for human genes, though we cannot fully rule out a contribution of stroma to wild type allele detection (Supplementary Figure S1B). Similar heterogeneity was seen with *TP53*. In this study, we included four PDX tumors with mutations in *TP53*. Only one of the lines, PDX9164 had a heterozygous *TP53* mutation, with a frequency of 1, at codon 192 (Q192*) and this mutation has a FATHMM pathogenic score of 0.93 suggesting it is highly pathogenic. The other mutations occurred at lower frequencies, 0.265 for PDX0807 (A138ADG and T140S); 0.607 for PDX 2252 (R196*); and 0.484 and 0.235 for PDX1839 (R306* and Y236H). This heterogeneity complicates the interpretation of the effectiveness of KRT-232 in *TP53* mutant versus wild type tumors. It is interesting to note, however, that while KRT-232 was somewhat effective in melanoma PDX tumors with mutant *TP53*, the effect was at the lower limit of significance. Furthermore, while *TP53*^{mut} and BRAF^{V600} mutant, PDX9164 did not respond to KRT-232 monotherapy, it did respond synergistically to KRT-232 plus dabrafenib and trametinib. Similar results were noted in a Phase 1 trial of RG7112, a member of the Nutlin family of MDM2 inhibitors, in leukemia. In this study, 3 of 19 patients with *TP53* mutations showed evidence of response to RG7112 as demonstrated by a decrease peripheral blast count and one patient was reported to have stable disease for more than 2 years (81). While neither study was designed to specifically address the

effectiveness of KRT-232 in *TP53* mutant tumors, these data suggest that KRT-232 may have limited effectiveness in *TP53* mutant tumors.

We demonstrate here that 60% of melanoma PDX tumors have an inherent resistance to KRT-232 and this correlates with the incidence of BRAF^{V600} mutations. In this study, of the eight BRAF^{V600} mutant PDX tumors treated with dabrafenib and trametinib, five (62.5%) were resistant to dabrafenib and trametinib. Two of the five resistant PDX tumors came from patients previously treated with dabrafenib and trametinib who had progressed on treatment. In these two MAPKi-resistant PDX tumors, the resistance is undoubtedly acquired. The study of MAPKi-resistant melanoma has been extensively studied and the mechanism of resistance is complex and multifactorial. The causative factors that contribute to MAPKi-resistance can be broadly classified into three categories: mutational events and non-mutational events, which are tumor inherent and lead to either MAPK pathway reactivation or activation of a parallel signaling pathway, and changes in the surrounding microenvironment (82–84). Based on the pleiotropic nature of drug resistance it is interesting, although not surprising, the two PDX tumors previously treated with D+T remained resistant. It is also interesting that none of the BRAF^{V600E} mutant tumors that responded to D+T were derived from patients who had prior exposure to D+T.

Overall, our results demonstrate the efficacy of the MDM2 antagonist as a single agent or as a part of a therapeutic combination with either MAPK pathway or Bcl2–targeting agents in preclinical melanoma models. We have previously shown in preclinical studies that melanoma tumors exhibit a limited response to CDK4/6 inhibitors but the addition of an MDM2 antagonist results in significantly increased inhibition of tumor growth (85). Notably, tumors that acquired resistance to the current standard of care approaches such as immunotherapy and BRAF/MEK targeted therapy, are responsive to the MDM2 antagonist given alone or as a part of combination treatment. These findings support the clinical development of MDM2 antagonists as a second-line treatment for metastatic melanoma.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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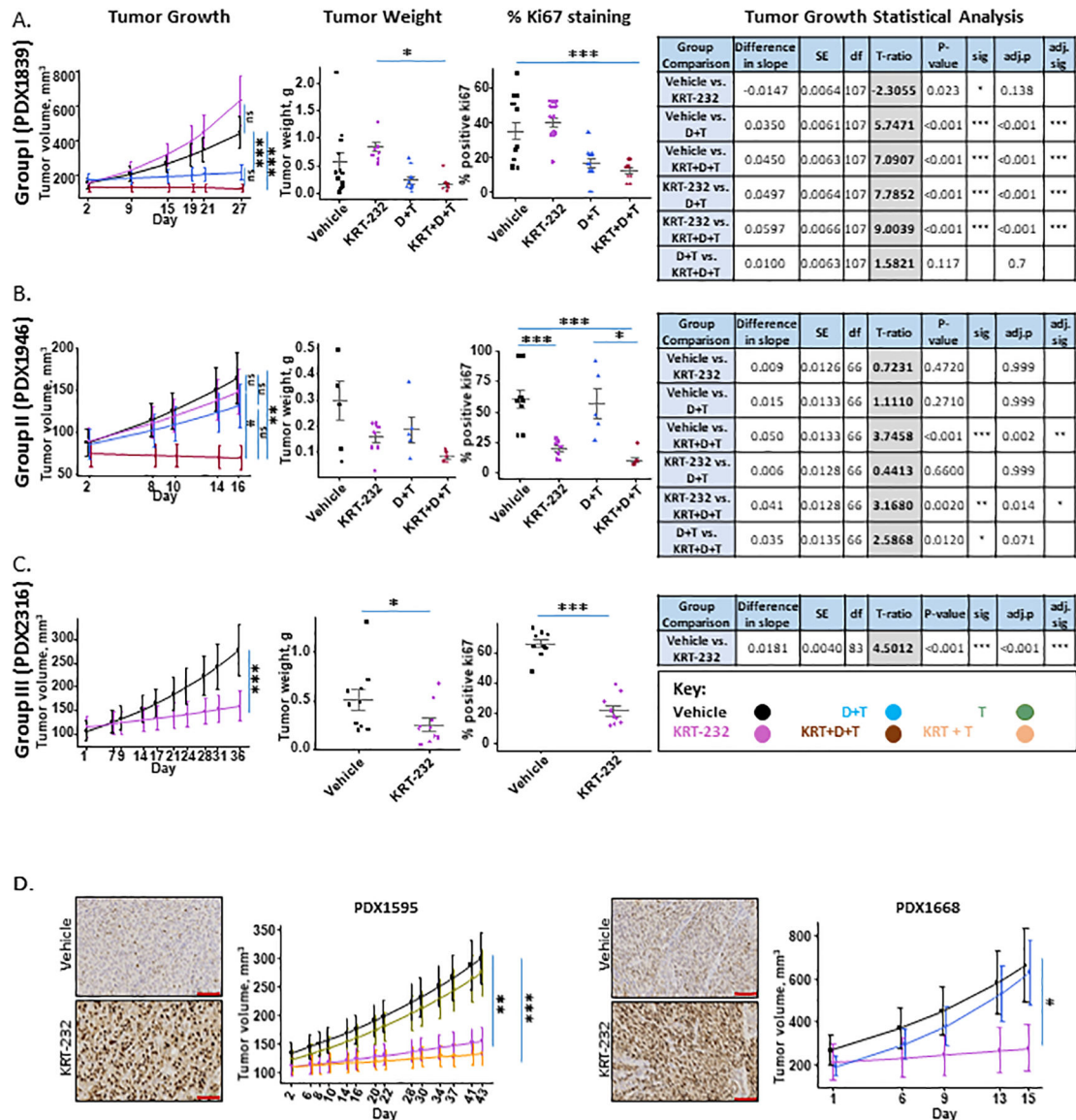


Figure 1. Response to KRT-232.

The response to drug treatment was divided into three separate categories. **Figure 1A**, exemplified by PDX1839 represents those PDX tumors that responded to dabrafenib and trametinib (D+T) but not KRT-232 (Group I). **Figure 1B**, exemplified by PDX1946, represents those PDX tumors that did not respond to D+T or KRT-232 but did respond synergistically to both (Group II). **Figure 1C**, exemplified by PDX2316, and **Figure 1D**, with PDX1668 and PDX1595, represent those PDX tumors that responded to KRT-232 but not D+T (Group III). Each panel in A-C includes data showing the effect of drug treatments on Tumor Growth, Final Tumor Weight, %Ki67 Staining and the Tumor Growth Statistical Analysis. Tumor volume was analyzed on the natural log scale to better meet normality assumptions and the predicted mean and standard error of tumor volume over time for each treatment group is shown. Dot plot of tumor weight (g) by treatment and % positive Ki67 by treatment (gray line: mean with standard error) are shown. A t-ratio table for pairwise

comparison in tumor growth rate between treatments based on the mixed-effect model with post hoc tests is shown. **Figure 1D, KRT-232 treatment increases the nuclear localization of P53.** IHC staining of huP53 in melanoma PDX tumors from mice treated with the vehicle or KRT-232. 20X images are shown and the scale marker is 100µm. Tumor growth is also shown. The standard therapy for PDX1595 was trametinib and for PDX1668 the standard therapy was dabrafenib + trametinib.

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A.

PDX	Genotype		T. Ratio for Comparison of Treatment Effects					Group
	BRAF	TP53	Vehicle vs KRT-232	Vehicle vs D+T ⁺	Vehicle vs KRT + D+T	AMG vs KRT + D+T	D+T vs KRT + D+T	
1839	V600E	R306*, Y236H, HETLOSS	-2.310	5.750	7.090	9.000	1.580	I
9164	V600E	Q192*	-1.250	8.480	11.040	12.600	2.630	I
2195	V600E		-0.700	4.740	9.620	9.690	4.300	I
2252	V600E, V600M, AMP	R196*, HETLOSS	-0.120	2.170	8.110	8.220	5.940	II
1351	V600E, HETLOSS		0.370	-0.680	4.900	4.810	6.600	II
1179*	wt, HETLOSS		0.370	-0.660	5.140	4.770	5.790	II
1946	V600E	HETLOSS	0.720	1.110	3.750	3.170	2.590	II
2552	V600E, AMP		1.160	-3.060	3.100	1.780	6.070	II
1577	V600E, AMP		1.210	-2.770	3.030	2.010	5.950	II
1668	S147N, N140T	HETLOSS	2.830	-1.560				III
1595*	P334S		3.580	-0.040	4.880	1.150	4.920	III
2316	wt, AMP		4.500					III
1129	D594N, K483T		4.620	1.210	5.060	0.550	3.870	III
1767	wt, AMP		5.180					III
0807	P192Q	A138ADG, T140S	2.600	10.790	13.850	11.250	3.070	III

* For PDX1179 and 1595, Trametinib alone was used.

B.

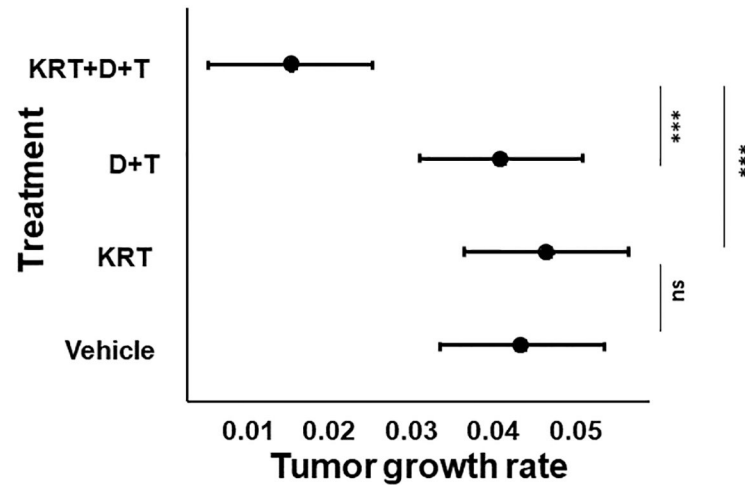
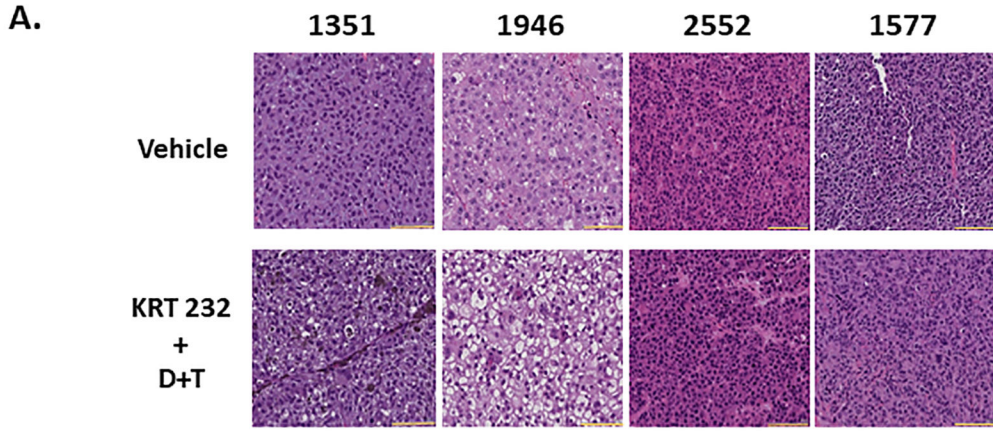


Figure 2A, Statistical Summary Table of T-ratio.

The t-ratio, obtained from the statistical analysis of treatment difference comparisons of the tumor growth rate based on the tumor volume, for each PDX treatment comparison, is listed. The *BRAF* and *TP53* mutational status of each PDX is also listed. The group assignment, shown in the final column, is based on the response to KRT-232 and T+/-D treatment.

Figure 2B, Analysis of Synergy. The mean estimated tumor growth rate of 4 independent PDX Group II lines is graphed with a 95% confidence interval by treatment. Mice implanted with PDX1351, 1577, 1946, and 2552 were treated *in vivo* with Vehicle, KRT-232, D+T, or KRT-232+D+T as described in Figure 1.



T. Ratio for Comparison of Treatment Effects:

V vs KRT+D+T	4.90	3.75	3.10	2.91
KRT vs KRT+D+T	4.81	3.17	1.78	1.23

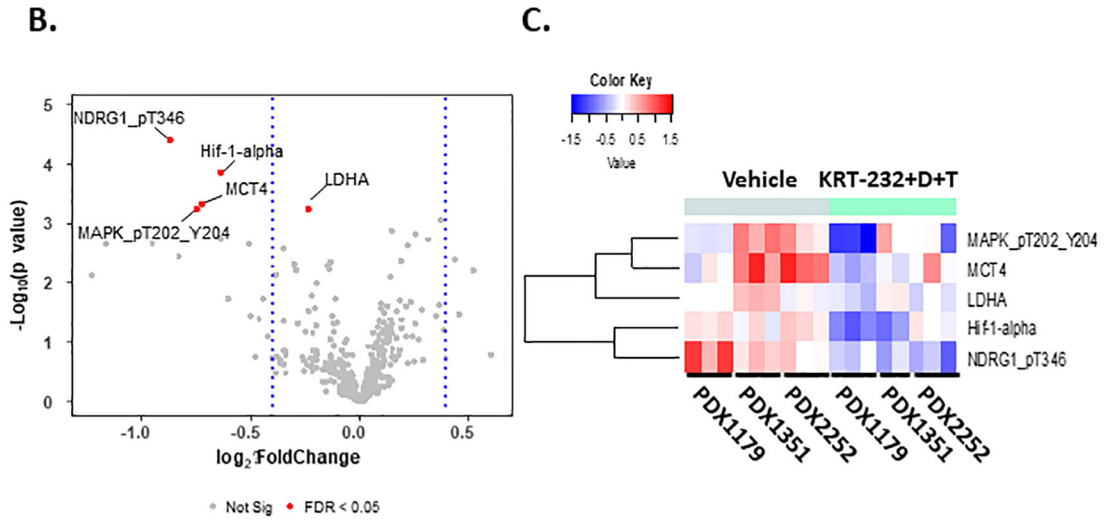


Figure 3A, Alterations in Cell Morphology Associated with Synergism.

H&E is shown for Vehicle and KRT-232 + D+T treated mice implanted with PDX1351, PDX1946, PDX2552 and PDX1577 (Group III). The t-ratio for the statistical difference between the vehicle or KRT-232 treatment group compared to the KRT-232+D+T treatment group is shown. 20X images are shown and the scale marker is 100µm. **Figure 3 B & C, Alterations in Protein Expression Associated with Synergism.** A volcano plot (B) and a heat map (C) of RPPA data obtained from vehicle-treated and KRT-232 + D+T treated Group III PDX tumors samples for PDX1179, PDX1351, and PDX2252 is shown. Three tumors were analyzed for each PDX treatment. Only those proteins with an FDR <0.05 and log₂(FC)=+/- 0.4 were included in the heat map.

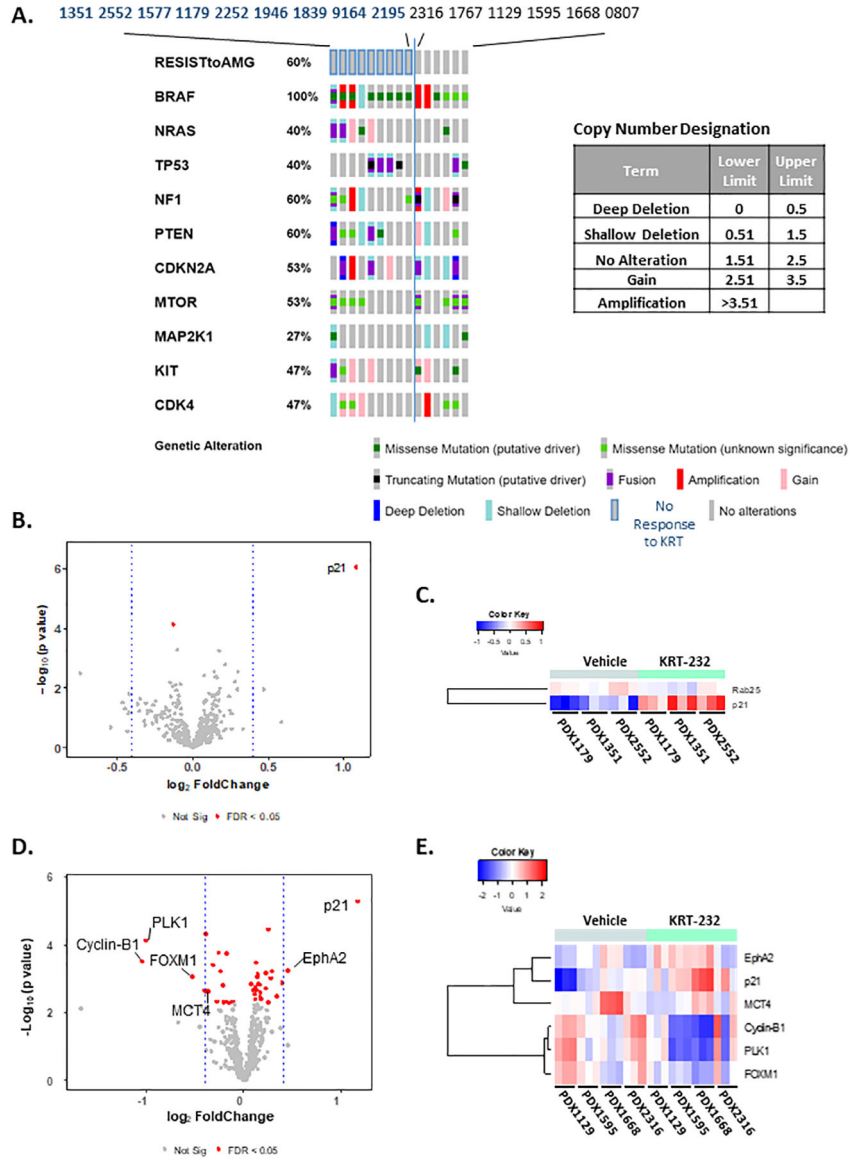


Figure 4A, Biomarkers as Predictors of KRT-232 Response. Oncoprint Cluster Analysis. DNA sequence analysis was performed using NextGen sequencing. Paired targeted analysis for all 15 PDX tumors was performed using Oncoprint on the cBioPortal (<http://www.cbioportal.org/>) hosted by Sloan Kettering Institute. These results show the analysis of the 10 genes listed in Table 1. The inset table lists the brackets and terms used to describe the copy number variations. **Figure 4 B-E, Comparison of RPPA Analysis Between Group II and III PDX tumors Treated with KRT-232.** Volcano plots (B&D) and heat maps (C&E) of RPPA data obtained from vehicle-treated and KRT-232 treated Group II (B&C) and Group III PDX (D&E) tumors samples. Three tumors were analyzed for each PDX treatment. Group II PDX tumors were PDX1179, 1351 and 2552 and Group III PDX tumors were PDX1129, 1595, 1668 and 2316. Only those proteins with an FDR <0.05 and log₂(FC)=+/- 0.4 were included in the heat map.

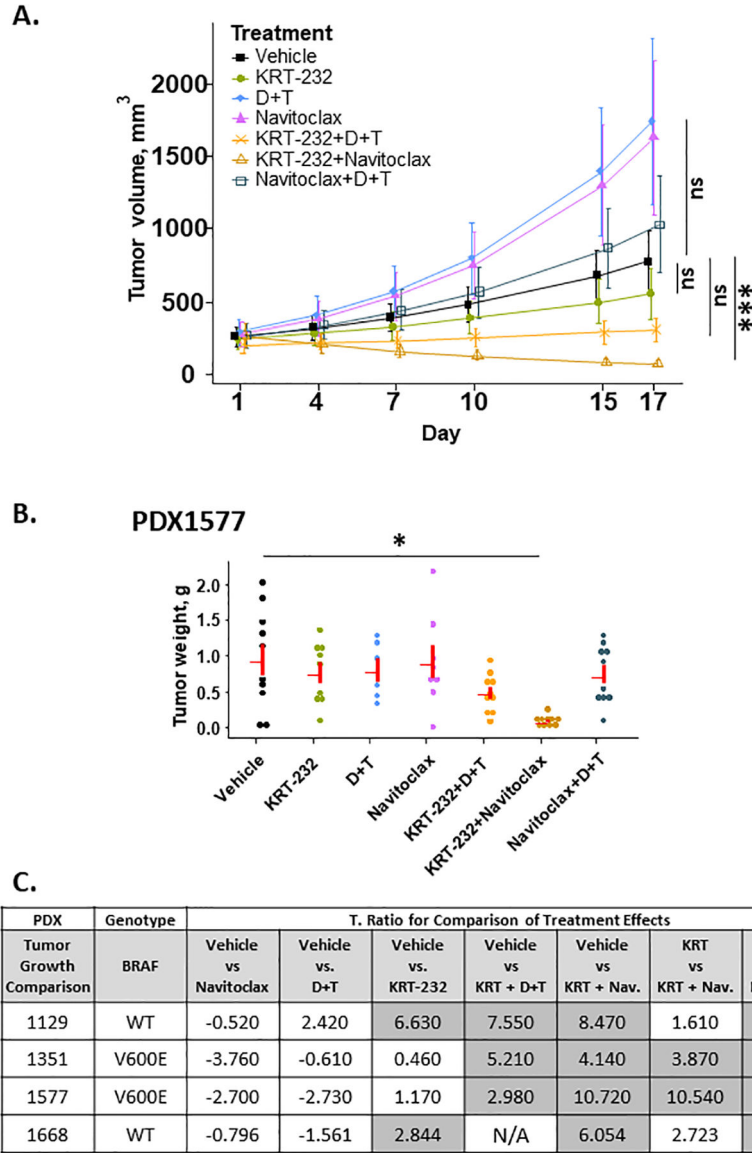


Figure 5A, Response to Navitoclax. Tumor Growth of PDX1577.

Tumor volume was analyzed on the natural log scale to better meet normality assumptions and the predicted mean and standard error of tumor volume over time for each treatment group is shown. **Figure 5B, Final Tumor Weight of PDX 1577.** Dot plot of tumor weight (g) by treatment (gray line: mean with standard error) **Figure 5C, T-ratio Table for PDX tumors Treated with Navitoclax.** A t-ratio table for pairwise comparison in tumor growth rate between treatments based on the mixed-effect model with post hoc tests is shown.

**Table 1A,
PDX Tumors: Patient Demographics and Molecular Characterization.**

A panel of 15 PDX melanoma tumors is arranged by distinct genetic phenotypes based on the mutation status. For each PDX, the patient demographics are shown including Clark's Level tumor stage and prior treatments. Prior treatments are list in the order they were received. The gene mutation status was determined by NGS of the primary tumor sample or PDX P2 passage (PDX1577, 1668, 2316 and 2552, indicated by a *) using a Human Comprehensive Cancer Panel (QIAGEN Sciences, Frederick, MD), and the results for 10 melanoma driver mutations are shown. The metastatic tumor was used to generate the PDX. Abbreviations for therapies patients received are as follows: **D**,dabrafenib (Tafinlar), ^{V600}B-Raf mutant Inhibitor; **G**, GM-CSF (Oncovax); **I**,interferon; **Ipi**,ipilimumab (Yervoy), monoclonal Ab CTLA-4; **N**,nivolumab (Opdivo), monoclonal Ab PD-1; **Pem**,pembrolizumab (Keytruda), IgG4 isotype antibody PD-1; **S**,sunitinib (Sutent), multi-targeted receptor tyrosine kinase (RTK) inhibitor; **S+T**, sorafenib (Nexavar) + tivantinib, tyrosine-protein kinase inhibitor, MET inhibitor; **T**, trametinib (Mekinist), MEK1/2 inhibitor; **V**, vemurafenib (Zelboraf), B-Raf inhibitor. **Table 1B, TP53 Mutation Status.**The specific *TP53* mutations detected by tumor NGS are shown for each PDX. NON_SYN, indicates a non-synonomous mutation, STOP, indicates a nonsense mutation resulting in a stop codon and SPL_SITE indicates a mutation (deletion) involving a splice site. The identification of the mutations, the small nucleotide polymorphism (SNP) effect and impact, and the designation of germline or somatic mutation was determined by using QIAGEN NGS Data Web Analysis Web Portal based on sequence analysis of the tumor and patient blood when available. SnpEff is an open-source tool that annotates variants and predicts their effects on genes by using an interval forest approach (48). The variant frequency is also listed for each non-synonomous mutation (NSM). The determination of somatic versus germline was based upon analysis of the patient's blood, when available. The patient tumor (P0) was used to determine *TP53* mutation status, except for PDX1577, 1668, 2316, and 2552. For these four PDX, the second PDX passage (P2) was sequenced.

A.	Patient Information					Gene Mutation Status									
	PDX	Sex	Age	Clark Stage	Prior Treatment	BRAF	NRAS	TP53	NF1	CDKN2A	PTEN	MTOR	MAP2K1	KIT	CDK4
	1351	F	61	V	Pem, V	V600E Stop Lost	N	N	A1226T	N	Stop Lost	H1366Y	E203K	Stop Lost	N
	2552*	M	40	IIIB	Pem	V600E	N	N	N	Stop Lost	T398S	G580A N382S	N	G265S	I51V G45A G44A
	1577*	F	38	IV	D+T	V600E	N	N	N	N	T398S	T1837S G580A N382S	N	N	I51V G45A G44A
	2195	M	45	V	None	V600E	N	N	V530I	N	N	N	N	N	N
	1839	F	38	IV	I	V600E	N	N	N	N	Stop Lost	N	N	N	N
	1946	F	32	IV	D+ T,Ipi	V600E	N	N	N	N	G129E	N	N	N	N
	9164	M	n/a	n/a	Pem	V600E	N	Stop Lost	N	N	N	N	N	N	N
	2252	M	34	IV	None	V600M V600E	N	Stop Lost	N	Stop Lost	Stop Lost	N	N	N	N
	0807	F	29	IV	D, D+T	P192Q	N	A138ADG T140S	N	N	N	LR282L	D67N P124S	N	N

A.	Patient Information					Gene Mutation Status									
	PDX	Sex	Age	Clark Stage	Prior Treatment	BRAF	NRAS	TP53	NF1	CDKN2A	PTEN	MTOR	MAP2K1	KIT	CDK4
	1668*	M	61	IV	None	S147N N140T	N	N	Stop Lost	Stop Lost	T398S	T1837S G580A N382S	N	M541L	I51V G45A G44A
	1129	F	69	V	Ipi	D549N K483T	N	N	N	N	N	N	N	N	N
	1767	F	49	n/a: spindle	Ipi	N	N	N	N	N	N	N	N	N	N
	2316*	F	71	IIIB	None	N	N	N	Stop Lost	Stop Lost	Y	T1837S G580A	N	M541L K642E	N
	1595	M	33	IV	S, G	P334S	Q61R	N	N	N	N	T1837S G580A N382S	N	N	I51V G45A G44A
	1179	M	77	likely V	S+T, Pem	N	Q61H	N	N	N	N	K1981E	N	N	N

B.	PDX	Gene Name	Germline/ Somatic	Ref	Variant	Codon Change	AA Change	Variant Frequency	snpEff Effect	snpEff Impact
	0807	TP53		G	CCGATCT		p.A138ADG	0.265	NON_SYN	MOD
	0807	TP53		G	C	c.419C>G	p.T140S	0.269	NON_SYN	MOD
	0807	TP53		G	C	c.215C>G	p.P72R	0.458	NON_SYN	MOD
	1129	TP53		G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	1179	TP53		G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	1351	TP53	germline	G	C	c.215C>G	p.P72R	0.58	NON_SYN	MOD
	1577	TP53	germline	G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	1595	TP53		G	C	c.215C>G	p.P72R	0.486	NON_SYN	MOD
	1668	TP53	somatic	C					SPL_SITE	HIGH
	1668	TP53	germline	G	C	c.215C>G	p.P72R	0.986	NON_SYN	MOD
	1767	TP53		G	C	c.215C>G	p.P72R	0.556	NON_SYN	MOD
	1839	TP53	somatic	G	A	c.916C>T	p.R306*	0.484	STOP	HIGH
	1839	TP53	somatic	G	A	c.483C>T	p.A161	0.468	NON_SYN	LOW
	1839	TP53	somatic	A	G	c.706T>C	p.Y236H	0.235	NON_SYN	MOD
	1839	TP53	germline	G	C	c.215C>G	p.P72R	0.359	NON_SYN	MOD
	1946	TP53	somatic	C					SPL_SITE	HIGH
	1946	TP53	germline	G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	2195	TP53		G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	2252	TP53	somatic	C					SPL_SITE	HIGH
	2252	TP53	germline	GG	AA		p.IR01*	0.607	STOP	HIGH
	2252	TP53	somatic	G	A	c.586C>T	p.R196*	0.607	STOP	HIGH
	2252	TP53	germline	G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	2316	TP53	germline	G	C	c.215C>G	p.P72R	1	NON_SYN	MOD
	2552	TP53	germline	G	C	c.215C>G	p.P72R	0.605	NON_SYN	MOD
	9164	TP53		G	A	c.574C>T	p.Q192*	1	STOP	HIGH
	9164	TP53		C	T	c.108G>A	p.P36	0.939	NON_SYN	LOW

B.	PDX	Gene Name	Germline/Somatic	Ref	Variant	Codon Change	AA Change	Variant Frequency	snpEff Effect	snpEff Impact
	9164	TP53		A	G			1	INTRON	MODIFIER

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