



Published in final edited form as:

Clin Cancer Res. 2020 July 15; 26(14): 3514–3516. doi:10.1158/1078-0432.CCR-20-1427.

BTK inhibitors in cancer patients with COVID19: “The winner will be the one who controls that chaos” (Napoleon Bonaparte)

Elise A. Chong^{1,*}, Lindsey E. Roeker^{2,*}, Mazyar Shadman³, Matthew S. Davids⁴, Stephen J. Schuster¹, Anthony R. Mato²

¹Abramson Cancer Center, University of Pennsylvania, Philadelphia, PA

²Memorial Sloan Kettering Cancer Center, New York, NY

³Fred Hutchinson Cancer Research Center, Seattle, WA.

⁴Dana Farber Cancer Institute, Boston, Massachusetts

Abstract

As the SARS-CoV-2 (COVID19) pandemic spreads and the number of Bruton’s tyrosine kinase inhibitor (BTKi)-treated COVID19 affected patients grows, we must consider the pros and cons of BTKi discontinuation for our patients. In favor of BTKi continuation, BTK plays an active role in macrophage polarization. By modulating key transcription factors, BTK may regulate macrophage polarization downstream of classic M1 and M2 polarizing stimuli and mitigate the hyperinflammatory state associated with COVID19. In favor of BTKi discontinuation, we note a potentially increased risk of secondary infections or impaired humoral immunity. We hypothesize that the potential benefit of blunting a hyper-inflammatory response to SARS-CoV-2 through attenuation of M1 polarization outweighs the potential risk of impaired humoral immunity, not to mention the risk of rapid progression of B-cell malignancy following BTKi interruption. Based on this, we suggest continuing BTKi in patients with COVID19.

Thousands of patients with chronic lymphocytic leukemia (CLL) and B-cell lymphomas are currently treated with Bruton’s tyrosine kinase inhibitors (BTKi), including ibrutinib, acalabrutinib and zanubrutinib. As the SARS-CoV-2 (COVID19) pandemic spreads and the number of BTKi-treated COVID19 affected patients grows, we must consider the pros and cons of BTKi discontinuation for these patients. A recent survey of CLL specialists conducted by the CLL Society showed stark disagreement regarding BTKi management. 40% reported that they were in favor of BTKi continuation and 60% reported that they would discontinue BTKi for COVID19 patients or would only continue in certain clinical scenarios.(1) To fully inform this decision, one must consider the potential protective anti-inflammatory effects of BTKis versus the theoretical risk of humoral immunosuppression.

SARS viruses are known to induce a hyperinflammatory state in part through M1 macrophage-associated activity, which not only promotes viral spreading via increased

Corresponding Author: Anthony Mato, MD, MSCE, Director, CLL program, Leukemia Service, Memorial Sloan Kettering Cancer Center, New York, NY, matoa@mskcc.org, Phone: 212-639-8596 Fax: 212-639-3841 .
*equal contribution

lymphocyte and infected monocyte flux, but also causes massive cell death, depletion of monocytes and macrophage “burn out” leading to the clinical consequences of COVID19.(2) Later stages of COVID19 are similarly marked by systemic hyperinflammation with potentially life-threatening cardio-pulmonary collapse and massive cell death.(3) Thus, blunting SARS-CoV-2 induced cytokine storm may be important in mitigating pulmonary, cardiac and vascular system injury. In COVID19, laboratory markers of systemic inflammation (i.e., IFN- γ , IL-2, IL-6, MIP1- α) are elevated, again providing evidence that activation of T-cells and monocytes, with polarization of macrophages to an M1 state is fundamental in this immune dysregulation.(4,5) Targeted immunomodulatory drugs that decrease the M1 macrophage inflammatory response may minimize organ damage by blocking activation of the TH1/M1 inflammatory cascade.

BTK plays an active role in macrophage polarization by regulating transcription factors, such as NF- κ B and interferon regulatory factors.(6–10) By modulating these transcription factors, BTK may regulate macrophage polarization downstream of classic M1 and M2 polarizing stimuli.(11) For example, in *BTK* knockout mice, impaired recruitment of M1 macrophages and preferential polarization towards an M2 phenotype support BTK as a key regulator of M1 polarization. Moreover, BTK deficient macrophages are not only defective in inducing pro-inflammatory cytokines, but preferentially polarize towards anti-inflammatory M2 macrophages, even in response to pro-inflammatory stimuli.(11) Additional preclinical studies have examined the effect of ibrutinib in the setting of influenza A infection. For mice lethally infected with influenza A virus, ibrutinib improved overall survival with resolution of infection, attenuation of lung inflammation, and reduced levels of inflammatory cytokines.(12)

Though these data support the potential utility of BTKi in the setting of COVID19, one also must consider the potentially increased risk of secondary infections or impaired humoral immunity in patients on BTKis. Opportunistic infections, particularly pneumonia, are commonly reported with ibrutinib and other BTKi, with a systematic review showing that 56% of ibrutinib-treated patients experienced an infectious complication.(13) With 3 years of follow-up, 6% of patients receiving first-line ibrutinib and 25% of relapsed/refractory patients receiving ibrutinib developed pneumonia.(14) Ibrutinib has been shown to affect humoral immunity; IgG levels remain stable during the first 12 months of ibrutinib therapy but subsequently fall over time, while IgA levels increase over time.(15,16) During ibrutinib exposure, normal B-cells levels increase but continue to remain abnormally low.(15) These findings are consistent with the clinical observation that the frequency of infections appears to decrease over time, especially after the first 6 months of ibrutinib.(15,16)

The effect of BTKi on the host’s ability to develop immunity to SARS-CoV-2 or to a SARS-CoV-2 vaccine must also be considered. Patients with CLL are known to have decreased responses to vaccination; the seroconversion of untreated CLL patients to influenza vaccine is reported in the range of 10–50%.(17–19) Data on the effect of BTKi on vaccine efficacy is limited and mixed. A study of 19 ibrutinib-treated patients demonstrated that 26% (5/19 pts; 95%CI: 9.2–51.2%) seroconverted to at least one strain of influenza following vaccination, a proportion within the range of reported seroconversions in untreated CLL patients.(20) Conversely, two smaller studies suggested that patients treated with BTKi may have inferior

vaccine responses (0/13 ibrutinib-treated patients vaccinated for influenza seroconverted, (21) 0/4 ibrutinib treated patients had immune response to PCV13 vs. 4/4 untreated CLL patients.(22) Whether BTKi effects on the humoral immune system prevent the development of immunity to SARS-CoV-2 infection remains to be seen.

In patients who receive BTKi for therapy of B-cell malignancies, we hypothesize that the potential benefit of blunting the hyperinflammatory response to SARS-CoV-2 through attenuation of M1 polarization to mitigate the immediate risk of COVID19-related mortality outweighs the potential medium- to long-term risk of impaired humoral immunity. The risk of rapid progression of B-cell malignancy following interruption further supports the argument for continuation of BTKi. Based on this, we suggest continuing BTKi in patients with COVID19, though practitioners should maintain a low threshold to discontinue in the setting of significant clinical decompensation. Further, toxicity of BTKi, which may vary by agent within the class, should be considered in light of clinical context and COVID19-mediated organ dysfunction. Clinical trials are now underway to test BTKi as potential therapy for COVID19 in patients without B cell malignancies.

Acknowledgments

Research Support: This research was funded in part through the NIH/NCI Cancer Center Support Grant P30 CA008748. Dr. Chong receives research support

Conflict of Interest: E.A.C. holds a consultancy role for Novartis, Tessa, BMS, and KITE pharma, has received research funding from Lymphoma Research Foundation; L.E.R. has received research funding from American Society of Hematology, spouse with minority ownership interest in Abbvie and Abbott Laboratories; M.S. Consultation and Advisory Boards: Abbvie, Genentech, Astra Zeneca, Sound Biologics, Pharmacylics, Verastem, ADC Therapeutics, Beigene, Cellectar, Bristol Myers Squibb and Atara Biotherapeutics. **Research Funding:** Mustang Bio, Celgene, Pharmacylics, Gilead, Genentech, Abbvie, TG Therapeutics, Beigene, Astra Zeneca, Sunesis, Acerta Pharma, Beigene and Merck; M.S.D. has received personal fees from AbbVie, Adaptive Biotechnologies, Ascentage Pharma, AstraZeneca, Beigene, Celgene, Genentech, Gilead Sciences, Janssen, MEI Pharma, Pharmacylics, Research to Practice, Syros Pharmaceuticals, TG Therapeutics, Verastem, and Zentalis, and institutional research funding from Astra-Zeneca, Ascentage Pharma, Bristol Myers Squibb, Genentech, MEI Pharma, Pharmacylics, Surface Oncology, TG Therapeutics and Verastem, outside the submitted work. S.J.S. has received non-financial support from Nexxus, research support and honoraria from AstraZeneca, Celgene BeiGene, Dava Oncology, Loxo Oncology, AlloGene, Novartis, Genentech/Hoffman-La Roche, Tessa Therapeutics; A.R.M. holds a consultancy role for TG Therapeutics (in addition DSMB), Abbvie, Pharmacylics, Johnson & Johnson, Regeneron, Astra Zeneca, Genentech, LOXO, and Celgene and has received research funding from TG Therapeutics, Abbvie, Pharmacylics, Johnson & Johnson, Regeneron, Genentech, LOXO, Portola, DTRM, and Acerta.

References

1. Shadman M CLL Society COVID-19 Survey. 2020.
2. Sang Y, Miller LC, Blecha F. Macrophage Polarization in Virus-Host Interactions. *J Clin Cell Immunol* 2015;6(2) doi 10.4172/2155-9899.1000311.
3. Siddiqi HK, Mehra MR. COVID-19 Illness in Native and Immunosuppressed States: A Clinical-Therapeutic Staging Proposal. *J Heart Lung Transplant* 2020;20(10):012.
4. Huang C, Wang Y, Li X, Ren L, Zhao J, Hu Y, et al. Clinical features of patients infected with 2019 novel coronavirus in Wuhan, China. *Lancet* 2020;395(10223):497–506 doi 10.1016/S0140-6736(20)30183-5. [PubMed: 31986264]
5. Huang KJ, Su IJ, Theron M, Wu YC, Lai SK, Liu CC, et al. An interferon-gamma-related cytokine storm in SARS patients. *J Med Virol* 2005;75(2):185–94 doi 10.1002/jmv.20255. [PubMed: 15602737]

6. Doyle SL, Jefferies CA, O'Neill LA. Bruton's tyrosine kinase is involved in p65-mediated transactivation and phosphorylation of p65 on serine 536 during NFkappaB activation by lipopolysaccharide. *J Biol Chem* 2005;280(25):23496–501 doi 10.1074/jbc.C500053200. [PubMed: 15849198]
7. Doyle SL, Jefferies CA, Feighery C, O'Neill LA. Signaling by Toll-like receptors 8 and 9 requires Bruton's tyrosine kinase. *J Biol Chem* 2007;282(51):36953–60 doi 10.1074/jbc.M707682200. [PubMed: 17932028]
8. Horwood NJ, Mahon T, McDaid JP, Campbell J, Mano H, Brennan FM, et al. Bruton's tyrosine kinase is required for lipopolysaccharide-induced tumor necrosis factor alpha production. *J Exp Med* 2003;197(12):1603–11 doi 10.1084/jem.20021845. [PubMed: 12810683]
9. Horwood NJ, Page TH, McDaid JP, Palmer CD, Campbell J, Mahon T, et al. Bruton's tyrosine kinase is required for TLR2 and TLR4-induced TNF, but not IL-6, production. *J Immunol* 2006;176(6):3635–41 doi 10.4049/jimmunol.176.6.3635. [PubMed: 16517732]
10. Lee KG, Xu S, Kang ZH, Huo J, Huang M, Liu D, et al. Bruton's tyrosine kinase phosphorylates Toll-like receptor 3 to initiate antiviral response. *Proc Natl Acad Sci U S A* 2012;109(15):5791–6 doi 10.1073/pnas.1119238109. [PubMed: 22454496]
11. Ni Gabhann J, Hams E, Smith S, Wynne C, Byrne JC, Brennan K, et al. Btk regulates macrophage polarization in response to lipopolysaccharide. *PLoS One* 2014;9(1):e85834 doi 10.1371/journal.pone.0085834.
12. Florence JM, Krupa A, Booshehri LM, Davis SA, Matthay MA, Kurdowska AK. Inhibiting Bruton's tyrosine kinase rescues mice from lethal influenza-induced acute lung injury. *Am J Physiol Lung Cell Mol Physiol* 2018;315(1):L52–L8 doi 10.1152/ajplung.00047.2018. [PubMed: 29516781]
13. Tillman BF, Pauff JM, Satyanarayana G, Talbott M, Warner JL. Systematic review of infectious events with the Bruton tyrosine kinase inhibitor ibrutinib in the treatment of hematologic malignancies. *Eur J Haematol* 2018;100(4):325–34 doi 10.1111/ejh.13020. [PubMed: 29285806]
14. Byrd JC, Furman RR, Coutre SE, Burger JA, Blum KA, Coleman M, et al. Three-year follow-up of treatment-naive and previously treated patients with CLL and SLL receiving single-agent ibrutinib. *Blood* 2015;125(16):2497–506 doi 10.1182/blood-2014-10-606038. [PubMed: 25700432]
15. Sun C, Tian X, Lee YS, Gunti S, Lipsky A, Herman SE, et al. Partial reconstitution of humoral immunity and fewer infections in patients with chronic lymphocytic leukemia treated with ibrutinib. *Blood* 2015;126(19):2213–9 doi 10.1182/blood-2015-04-639203. [PubMed: 26337493]
16. Byrd JC, Furman RR, Coutre SE, Flinn IW, Burger JA, Blum KA, et al. Targeting BTK with ibrutinib in relapsed chronic lymphocytic leukemia. *N Engl J Med* 2013;369(1):32–42 doi 10.1056/NEJMoa1215637. [PubMed: 23782158]
17. Gribabis DA, Panayiotidis P, Boussiotis VA, Hannoun C, Pangalis GA. Influenza virus vaccine in B-cell chronic lymphocytic leukaemia patients. *Acta Haematol* 1994;91(3):115–8 doi 10.1159/000204315. [PubMed: 8091931]
18. van der Velden AM, Mulder AH, Hartkamp A, Diepersloot RJ, van Velzen-Blad H, Biesma DH. Influenza virus vaccination and booster in B-cell chronic lymphocytic leukaemia patients. *Eur J Intern Med* 2001;12(5):420–4 doi 10.1016/s0953-6205(01)00149-2. [PubMed: 11557327]
19. de Lavallade H, Garland P, Sekine T, Hoschler K, Marin D, Stringaris K, et al. Repeated vaccination is required to optimize seroprotection against H1N1 in the immunocompromised host. *2011;96(2):307–14.*
20. Sun C, Gao J, Couzens L, Tian X, Farooqui MZ, Eichelberger MC, et al. Seasonal Influenza Vaccination in Patients With Chronic Lymphocytic Leukemia Treated With Ibrutinib. *JAMA Oncol* 2016;2(12):1656–7 doi 10.1001/jamaoncol.2016.2437. [PubMed: 27533065]
21. Douglas AP, Trubiano JA, Barr I, Leung V, Slavina MA, Tam CS. Ibrutinib may impair serological responses to influenza vaccination. *Haematologica* 2017;102(10):e397–e9 doi 10.3324/haematol.2017.164285. [PubMed: 28659336]
22. Andrick B, Alwhaibi A, DeRemer D, Quershi S, Khan R, Shenoy S, et al. Antibody Response to Pneumococcal Conjugate Vaccine (PCV13) in Chronic Lymphocytic Leukemia Patients Receiving Ibrutinib. *Blood* 2016;128(22):5597- doi 10.1182/blood.V128.22.5597.5597 %JBlood.

Translational Relevance:

In the setting of the evolving COVID19 pandemic, providers must consider how to optimally manage patients with hematologic malignancy. There is rationale both for and against continuation of BTK inhibitors in patients on these drugs for management of CLL and B-cell lymphomas. Herein, we describe both benefits and risks of BTK inhibitor continuation.