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## Identification of $\alpha$ -Fetoprotein-Specific T-Cell Receptors for Hepatocellular Carcinoma Immunotherapy

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### Abstract

Hepatocellular carcinoma (HCC) is the major form of liver cancer for which there is no effective therapy. Genetic modification with T-cell receptors (TCRs) specific for HCC-associated antigens, such as  $\alpha$ -fetoprotein (AFP), can potentially redirect human T cells to specifically recognize and kill HCC tumor cells to achieve antitumor effects. In this study, using lentivector and peptide immunization, we identified a population of cluster of differentiation 8 (CD8) T cells in human leukocyte antigen (HLA)-A2 transgenic AAD mice that recognized AFP<sub>158</sub> epitope on human HCC cells. Adoptive transfer of the AFP<sub>158</sub>-specific mouse CD8 T cells eradicated HepG2 tumor xenografts as large as 2 cm in diameter in immunocompromised nonobese diabetic severe combined immunodeficient gamma knockout (NSG) mice. We then established T-cell hybridoma clones from the AFP<sub>158</sub>-specific mouse CD8 T cells and identified three sets of paired TCR genes out of five hybridomas. Expression of the murine TCR genes redirected primary human T cells to bind HLA-A2/AFP<sub>158</sub> tetramer. TCR gene-engineered human T (TCR-T) cells also specifically recognized HLA-A2<sup>+</sup>AFP<sup>+</sup> HepG2 HCC tumor cells and produced effector cytokines. Importantly, the TCR-T cells could specifically kill HLA-A2<sup>+</sup>AFP<sup>+</sup> HepG2 tumor cells without significant toxicity to normal primary hepatocytes *in vitro*. Adoptive transfer of the AFP-specific TCR-T cells could eradicate HepG2 tumors in NSG mice.

**Conclusion:** We have identified AFP-specific murine TCR genes that can redirect human T cells to specifically recognize and kill HCC tumor cells, and those AFP<sub>158</sub>-specific TCRs have a great potential to engineer a patient's autologous T cells to treat HCC tumors.

Approximately 854,000 new cases of liver cancer, 85%–90% of which are hepatocellular carcinoma (HCC), are diagnosed annually, making it the sixth most common cancer

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worldwide.<sup>(1)</sup> According to the American Cancer Society, in the United States, the incidence rate of liver cancer increases the fastest among all cancers, and the number of liver cancer cases has doubled in the last decade, likely due to obesity/diabetes.<sup>(2)</sup> Liver cancer is the second leading cause of cancer death in adult men due to a lack of therapies.<sup>(3,4)</sup> Thus, new therapeutic approaches for liver cancer are urgently needed. Activation of the host immune system has been shown to generate significant antitumor effects.<sup>(5,6)</sup> For example, check point blockade unleashes a patient's immune responses, generating therapeutic effects in a variety of cancers,<sup>(7)</sup> including HCC.<sup>(8)</sup> While that is promising, the antitumor efficacy of programmed death 1 (PD-1) blockade depends on the preexistence of tumor-specific infiltrating T cells that are not always available.<sup>(9)</sup> Additionally, unleashing nonspecific immunity by checkpoint blockade may cause autoimmune destruction. On the other hand, immunotherapy targeting tumor-specific or tumor-associated antigens is less likely to cause autoimmune diseases.

Human  $\alpha$ -fetoprotein (AFP) is reexpressed in approximately 70%–80% of HCC tumors,<sup>(10,11)</sup> serving as a biomarker for diagnosis and a potential target for immunotherapy. Butterfield et al. identified four human leukocyte antigen (HLA)-A2-restricted AFP epitopes and developed peptide-based and vector-based vaccines.<sup>(12,13)</sup> Though modest AFP-specific cluster of differentiation 8 (CD8) responses were detected, no antitumor effect was observed in HCC patients.<sup>(14)</sup> A more effective, specific immunotherapy is adoptive transfer of tumor-specific autologous T cells.<sup>(15,16)</sup> Unfortunately, most tumor-specific T cells are of low affinity or cannot be expanded from patients. One way to obtain high-affinity antigen-specific T cells is to genetically modify a patient's T cells with tumor-specific T-cell receptors (TCRs).<sup>(17–19)</sup> Identification of tumor antigen-specific TCRs is the first critical step in T-cell engineering and adoptive cell therapy. Recently, Sun et al. cloned an AFP<sub>158</sub> epitope-specific TCR from human T cells.<sup>(20)</sup> Not surprisingly, this AFP<sub>158</sub>-specific TCR has little antitumor effect because of its low affinity. In a meeting abstract, Gerry et al.<sup>(21)</sup> reported that mutations in the complementarity-determining region (CDR) of an AFP<sub>158</sub>-specific human TCR<sup>(22)</sup> could increase its affinity and showed that human T cells transduced with the affinity-enhanced TCR (TCR-T cells) could better recognize HCC tumor cells. The antitumor efficacy of this AFP-specific TCR-T cell is being tested in a recent approved clinical trial. However, reports of severe on-target and off-target toxicity of melanoma-associated antigen A3 (MAGE-A3) TCR-T<sup>(23,24)</sup> suggest that more TCRs are needed in order to select the optimal TCRs that can provide human T cells the tumor-killing activity without toxicity to normal cells. Thus, there is a strong demand for more effective and safe AFP-specific TCRs for HCC immunotherapy.

In this study, we developed an effective strategy to identify TCRs for HLA-A2 presented AFP<sub>158</sub> epitope. We first established an immunization approach of lentivector (lv)-prime and peptide-boost to elicit high-level CD8 responses in HLA-A2 transgenic AAD mice. A large number of AFP<sub>158</sub>-specific CD8T cells generated in mice without repeated *in vitro* restimulation should keep their TCR diversity and enabled us to study their antitumor effects prior to TCR identification. We found that the AFP<sub>158</sub>-specific mouse CD8 T cells recognized and killed human HCC tumor cells *in vitro* and eradicated large human HepG2 tumors in nonobese diabetic severe combined immunodeficient gamma knockout (NSG) mice. We then identified three pairs of TCRs out of five hybridomas. Transduction of human

T cells with the mouse TCR genes redirected them to specifically recognize and kill HepG2 tumor cells without toxicity to normal hepatocytes *in vitro*. Adoptive transfer of the human TCR-T cells eradicated HepG2 tumor in NSG mice. In conclusion, we have identified AFP<sub>158</sub>-specific TCRs with a great potential to redirect a patient's autologous T cells for HCC treatment.

## Materials and Methods

### MICE

HLA-A2 transgenic AAD mice (female 7–8 weeks) and immunocompromised NSG mice (female 7–10 weeks) were obtained from Jackson Laboratory. The AAD mice express a chimeric major histocompatibility complex I (MHCI) of human HLA-A0201  $\alpha 1$ - $\alpha 2$  domain and mouse H-2D<sup>d</sup>  $\alpha 3$  domain (binding mouse CD8).<sup>(25)</sup> Animal protocols were approved by the Institutional Animal Care and Use Committee of Augusta University.

### CELL LINES AND NORMAL HEPATOCYTES

HEK293T, T2, and HepG2 cells were from the American Type Culture Collection; Huh7 cells were from Dr. Satyanayana Ande of the Georgia Cancer Center, Hep3B cells were from Dr. Mitchell Ho of the National Cancer Institute, and AFP<sup>-</sup> HepG2 cells were provided by Dr. Jingxiong She of Augusta University. The expression of AFP and HLA was verified by western blot and immunological staining. The cells were cultured for no more than eight passages, to assure their authenticity. Cells were checked for myco-plasma by a PCR test (Fisher Scientific). Normal primary hepatocytes were purchased from Sekisui XenoTech (Kansas City, KS).

### TUMORS

Five million HepG2 cells were inoculated into the flank of NSG mice. Tumor growth was monitored by measuring the length, width, and height of the tumors. Tumor volume was calculated as  $1/2(\text{length} \times \text{width} \times \text{height})$ .

### RECOMBINANT lv AND IMMUNIZATION

The AFP and influenza virus PR8 M1 genes were cloned into lv to generate AFP-lv and Flu-M-lv. Lvs were prepared and titrated.<sup>(26,27)</sup> Mice were subcutaneously immunized with AFP-lv<sup>(28)</sup> or Flu-M-lv and boosted 12–15 days later with AFP<sub>158</sub> (FMNKFIYEI) or M1<sub>58</sub> (GILGFVFTL) peptide.<sup>(29)</sup>

### TETRAMER STAINING

Splenocytes were stained with indicated surface markers, Tet<sub>158</sub> tetramers (NIH Tetramer Core Facility), and/or the anti-V $\beta$  antibody panel (BD Biosciences). Tetramer staining was conducted before anti-V $\beta$  antibody staining.

## T-CELL HYBRIDOMAS

T-cell hybridomas were created by fusing the sorted mouse CD8<sup>+</sup>Tet<sub>158</sub><sup>+</sup> cells with BW-Lyt2.4 cells that lacked the TCR  $\alpha$  and  $\beta$  chains and selected in HAT medium as described.<sup>(30)</sup> Single-hybridoma clones were obtained by serial dilution.

## IDENTIFICATION OF PAIRED TCR $\alpha$ AND $\beta$ CHAINS

The technique 5' rapid amplification of complementary DNA ends<sup>(31)</sup> was conducted to amplify the TCR  $\alpha$  and  $\beta$  genes. Briefly, total RNA was isolated from hybridomas, and complementary DNA was made with an oligo dT primer. PolyC was added to the 5' end of complementary DNA by terminal transferase. PCR was conducted using the 5' pGI primer (CACCGGGIIGGGIIGGGIIGG) and 3' primers corresponding to the constant (C) region of the  $\alpha$  (GGCATCACAGGGAACG) or  $\beta$  (CCAGAAGGTAGCAGAGACCC) chain. Based on the obtained sequence of  $\alpha$  and  $\beta$  variable (V) regions, specific primers corresponding to the V region of the  $\alpha$  (ATGAAATCC TTTAGTATTTCCC) or  $\beta$  (ATGGGCTCCAGG CTCTTTCTG) chain were used with an internal primer of the  $\alpha$  C region (GCACATTGATTTGGGAGTC) or the  $\beta$  C region (GGGTAGCCTTTT GTTTGTTTG) to amplify the V region. Nucleotide sequences of the TCR  $\alpha$  and  $\beta$  chains were obtained.

## TCR GENES AND RECOMBINANT *lv*

TCR  $\alpha$  and  $\beta$  genes were designed from the above-identified V-D-J region. The C region of the TCR $\alpha$  chain and the C2 region of the TCR $\beta$  chain were used to create the full-length TCRs. A P2A sequence<sup>(32)</sup> was inserted between the  $\alpha$  and  $\beta$  chains. The entire TCR genes were codon-optimized, synthesized, and cloned into *lv*.

## TRANSDUCTION OF HUMAN T CELLS

Human T cells were isolated from the buffy coat of healthy donors by negative selection and activated by the CD3/CD28 tetrameric antibody complex (Stem-cell Technologies) for 2 days before they were transduced with *lv*. The CD3/CD28 antibody complex was rinsed away 2 days after stimulation. Twenty units of interleukin-2 (IL-2) was in the culture through the process.

## 3-(4,5-DIMETHYLTHIAZOL-2-YL)-2,5-DIPHENYLTETRAZOLIUM BROMIDE ASSAY

To measure the live HepG2 cells after overnight coculture with mouse splenocytes, a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay was performed as described.<sup>(33)</sup>

## INTRACELLULAR STAINING AND ENZYME-LINKED IMMUNOSORBENT ASSAY

Peripheral blood cells or splenocytes were restimulated with indicated peptides in the presence of Golgi-Stop (Biolegend) for 4 hours and intracellularly stained for interferon-gamma (IFN  $\gamma$ ) or IL-2 as described.<sup>(34)</sup> Enzyme-linked immunosorbent assay (ELISA) of IFN  $\gamma$  and IL-2 was conducted per the instructions (Biolegend).

## LACTATE DEHYDROGENASE ASSAY AND PROPIDIUM IODIDE STAINING

TCR-T cells were cocultured with HepG2 tumor cells ( $5 \times 10^4$ ) at indicated effector to target cell (E/T) ratios overnight. The cytotoxicity of TCR-T cells was determined by measuring the lactate dehydrogenase (LDH) activity in the coculture media as instructed (Promega). The HepG2 cells after coculture with TCR-T were then stained with propidium iodide (PI; BD Biosciences).

## ADOPTIVE CELL TRANSFER

The indicated numbers of splenocytes, T-cell populations, or human TCR-T cells were transferred into NSG mice bearing human HepG2 tumors. TCR-T cells in mouse blood were monitored by immunological staining.

## STATISTICAL ANALYSIS

Statistical analyses were performed using *t* test or analysis of variance (GraphPad Inc.).

## Results

### IMMUNIZATION OF AAD MICE ELICITS A HIGH LEVEL OF AFP<sub>158</sub>-SPECIFIC CD8 T CELLS THAT RECOGNIZE AND KILL HUMAN HepG2 CELLS

To induce CD8 T cells that can recognize the HLA-A2/AFP<sub>158</sub> complex, we immunized AAD mice with AFP-lv or AFP peptide. We found that AFP-lv immunization induced a modest level of AFP<sub>158</sub> epitope-specific CD8 responses, whereas peptide did not (Fig. 1A). However, AFP<sub>158</sub> peptide significantly boosted the lv-primed CD8 responses (Fig. 1A). Critically, mouse CD8 T cells produced IFN $\gamma$  after coculture with AFP<sup>+</sup>, but not AFP<sup>-</sup>, HepG2 cells (Fig. 1B), suggesting that the vaccine-activated mouse CD8 T cells could specifically recognize AFP<sup>+</sup> HepG2 tumor cells. In addition, after coculture with the immunized splenocytes, the AFP<sup>+</sup> HepG2 cells were killed in a dose-dependent manner (Fig. 1C,D). Together, the data suggest that immunization of AAD mice with lv-prime and peptide-boost elicits a high level of AFP<sub>158</sub>-specific CD8 T cells that recognize and kill HepG2 tumor cells.

### ADOPTIVE TRANSFER OF IMMUNIZED AAD MOUSE SPLENCYTES PREVENTS AND ERADICATES LARGE HepG2 TUMORS

In this adoptive transfer experiment, we found that splenocytes from AFP-immunized AAD mice completely prevented HepG2 tumor challenge in NSG mice (Fig. 2A,B). Strikingly, adoptive transfer of immunized AAD splenocytes was able to eradicate HepG2 xenografts as large as 2 cm in diameter (Fig. 2C).

### ADOPTIVE TRANSFER OF AFP<sub>158</sub>-SPECIFIC CD8 T CELLS ERADICATES HepG2 TUMORS

To further define the immune cells with an antitumor effect, we isolated CD8 T cells from AFP-immunized AAD mice (Fig. 3A). CD8 T cells from AAD mice immunized with influenza virus M1 antigen were used as the control. We found that adoptive transfer of CD8 T cells from AFP-immunized mice eradicated HepG2 tumors (Fig. 3B). In contrast, the HepG2 tumors continued growing in the NSG mice that received CD8 T cells from M1

antigen-immunized mice. Next, we isolated AFP<sub>158</sub>-specific CD8 T cells by tetramer sorting (Fig. 3C). After adoptive transfer, only the Tet<sub>158</sub><sup>+</sup> CD8 T cells could eradicate HepG2 tumors in NSG mice (Fig. 3D). Together, the *in vitro* and *in vivo* data verify that AAD mouse CD8 T cells specific for the HLA-A2/ AFP<sub>158</sub> complex can recognize and kill human HCC tumor cells.

### T-CELL HYBRIDOMAS CREATED FROM Tet<sub>158</sub><sup>+</sup> CD8 T CELLS BIND TO Tet<sub>158</sub> TETRAMER

To identify paired TCR  $\alpha$  and  $\beta$  chains, we created T-cell hybridomas. Prior to generating T-cell hybridomas, the TCR V $\beta$  chains of Tet<sub>158</sub><sup>+</sup> cells were characterized. Approximately 90% of the Tet<sub>158</sub><sup>+</sup> CD8 T cells could be stained by anti-V $\beta$ 8.3 antibody (Supporting Fig. S1); the other 10% of Tet<sub>158</sub><sup>+</sup> CD8T cells were stained with antibodies against V $\beta$ 2, V $\beta$ 4, V $\beta$ 5.1/5.2, V $\beta$ 6, or V $\beta$ 11. We obtained 39 T-cell hybridomas that were stained by Tet<sub>158</sub> tetramers (Fig. 4A). Twenty-five of them could be stained by anti-V $\beta$ 8.3 antibody (data not shown). Further experiments showed that five of the anti-V $\beta$ 8.3<sup>+</sup> T-cell hybridomas responded to AFP<sup>+</sup>HepG2 tumor cells and produced IL-2 (Fig. 4B).

### PAIRED TCR $\alpha$ AND $\beta$ CHAINS WERE IDENTIFIED FROM HYBRIDOMAS

The paired TCR  $\alpha$  and  $\beta$  chains from the five IL2-producing hybridomas after coculture with HepG2 cells were amplified and sequenced. The result is summarized in Fig. 4C. Out of these five hybridomas, three unique sets of TCR genes were identified. The amino acid (AA) sequences of the TCR  $\alpha$  and  $\beta$  chains were compared to the National Center for Biotechnology Information and the International Immunogenetics Information System data-banks. The data show that all three TCR $\alpha$  chains have the same variable region (TRAV7D-2) and that the TCR $\beta$  chains share the same TRBV13-1 variable region (corresponding to V $\beta$ 8.3 antibody staining). Detailed analysis revealed that TCR1 and TCR2 are similar. The  $\beta$  chains of TCR1 and TCR2 were identical; the  $\alpha$  chains of TCR1 and TCR2 had two-AA differences in the V-J junction. On the other hand, TCR3 is more divergent from TCR1 and TCR2. Although the  $\beta$  chain of TCR3 differs from that of TCR1 and TCR2 by one AA, the  $\alpha$  chain J region of TCR3 is encoded by a different gene, which makes the TCR3  $\alpha$  chain 10 AA different from the TCR1 and TCR2  $\alpha$  chains in the CDR3 region.

### EXPRESSION OF TCR $\alpha$ AND $\beta$ CHAINS IN HUMAN T CELLS FORMS FUNCTIONAL TCRs

To study whether the mouse TCR genes could engineer human T cells to create TCR-T cells that recognize the HLA-A2/AFP<sub>158</sub> complex, we synthesized the TCR1, TCR2, and TCR3 genes and cloned them into lv (Fig. 5A). First, transduction of Jurkat cells with TCR-lv showed that expression of the TCR genes enabled them to bind Tet<sub>158</sub> tetramer. The mean fluorescence intensity (MFI) of Tet<sub>158</sub> staining on the TCR3-transduced cells was higher (Fig. 5B). Then, we studied whether the TCR genes could redirect primary human T cells to recognize the HLA-A2/ AFP<sub>158</sub> complex. Primary T cells were isolated from healthy donor buffy coat and transduced by lv after CD3/CD28 activation (Fig. 5C). Using green fluorescent protein (GFP) as a reporter, we found that approximately 60% of human T cells were transduced by GFP-lv at a multiplicity of infection of 10 (Supporting Fig. S2). Transduction of human T cells with TCR genes enabled 20%–30% of them to bind Tet<sub>158</sub> tetramer (Fig. 5D). Although different donors' T cells were transduced with different

efficacies, there was no significant variation among the TCRs. Again, the MFI of Tet<sub>158</sub> staining was consistently higher on the TCR3-T cells, indicating that TCR3 may have a higher affinity for the HLA-A2/AFP<sub>158</sub> complex. Both CD8 and CD4 TCR-T cells could bind the Tet<sub>158</sub> tetramer. However, the MFI of Tet<sub>158</sub> staining on CD8 TCR-T cells was higher (Fig. 5E), suggesting that CD8 enhanced binding of the TCR to the HLA-A2/AFP<sub>158</sub>. When the TCR-transduced T cells were stained with anti-Vβ8.3 or the Tet<sub>158</sub> tetramer separately, the percent of Tet<sub>158</sub><sup>+</sup> cells was nearly identical to the percent of anti-Vβ8.3<sup>+</sup> cells (Supporting Fig. S3), suggesting that there was no mispairing with endogenous human TCRs.

### **HUMAN TCR-T CELLS CAN SPECIFICALLY RECOGNIZE HLA-A2-PRESENTED AFP<sub>158</sub> PEPTIDE AND AFP<sup>+</sup> HepG2 TUMOR CELLS**

First, we studied whether the human TCR-T cells could specifically recognize HLA-A2-presented AFP<sub>158</sub> peptide. We measured the cytokine production by TCR-T cells after stimulation with T2 cells pulsed with AFP<sub>158</sub>, glypican 3 (GPC3), or M1 peptide. The data showed that TCR-T cells could be stimulated to produce IFN  $\gamma$  by T2 cells pulsed with AFP<sub>158</sub> peptide. In contrast, no IFN  $\gamma$  was detected in the TCR-T culture after stimulation by T2 cells pulsed with M1<sub>58</sub>, GPC3<sub>326</sub>, or GPC3<sub>367</sub> peptide (Supporting Fig. S4). The mock T cells did not respond to AFP<sub>158</sub> peptide. Next, we found that TCR-T cells also recognized HLA-A2<sup>+</sup>AFP<sup>+</sup> HepG2 tumor cells and produced IFN  $\gamma$  but did not recognize HLA-A2<sup>+</sup>AFP<sup>-</sup>, HLAA11<sup>+</sup>AFP<sup>+</sup> Huh7, or HLA-A28<sup>+</sup>AFP<sup>+</sup> Hep3B cells (Fig. 6A). Intracellular staining showed that the IFN  $\gamma$  was mainly produced in CD8 TCR-T cells (Fig. 6B). However, both CD4 (Fig. 6C) and CD8 (Fig. 6B) TCR-T cells were able to produce IL-2. There was no significant difference among the three TCRs. Furthermore, the TCR-T, especially the CD8 TCR-T, cells underwent significant proliferation after coculture with HepG2 cells (Fig. 6D).

### **HUMAN TCR-T CELLS HAVE POTENT AND SPECIFIC CYTOTOXICITY AGAINST HepG2 TUMOR CELLS**

In this study, we investigated the cytotoxicity of TCR-T cells. Using an LDH assay, we found that TCR-T cells killed ~80% of HepG2 tumor cells in 24 hours at a low E/T ratio, whereas they did not generate a significant cytotoxic effect on the AFP<sup>-</sup> or HLA-A2<sup>-</sup> tumor cells (Fig. 7A). The cytotoxicity against HepG2 cells was dose-dependent (Fig. 7B). At an E/T ratio of 0.5 (the real E/T ratio was 0.15 because only ~30% of T cells were Tet<sub>158</sub><sup>+</sup> cells), ~50% of HepG2 cells were killed, suggesting that TCR-T cells could have multiple killing of HepG2 cells. Using purified T subsets, we showed that the tumor killing activity was mainly from the CD8 TCR-T cells (Fig. 7C–E). However, CD4 TCR-T cells also had a lower level of cytotoxicity, resulting in 15% of specific killing at a ratio of 1.5/1 (Fig. 7E). The CD8 TCR-T cells encircled the HepG2 cells to form clusters (Fig. 7D). On the other hand, the CD4 TCR-T and mock-transduced T cells did not form obvious clusters. The PI staining revealed that the cluster contained mainly dead cells (Fig. 7F). PI staining also showed that, whereas CD8 TCR-T cells may have the dominant cytotoxicity, CD4 TCR-T cells also had a lower activity of killing HepG2 tumor cells, in concordance with the LDH assay. Mock-transduced human T cells did not induce death of HepG2 tumor cells in all assays. The specificity of TCR-T cells was further studied *in vitro* by examining whether

they could recognize and kill normal hepatocytes. The data showed that all three TCR-T cell types did not recognize and kill normal hepatocytes (Supporting Fig. S5).

### ADOPTIVE TRANSFER OF TCR-T CELLS GENERATES ANTITUMOR EFFECT IN NSG MICE

To evaluate the TCR-T cells' *in vivo* antitumor effect, NSG mice bearing HepG2 tumors were adoptively transferred with human TCR-T cells (Fig. 8A). We found that adoptive transfer of TCR-T cells inhibited HepG2 tumor outgrowth in NSG mice (Fig. 8B). One of the TCR-T cell-treated mice developed a tumor, but the tumor was eradicated by 3 weeks posttransfer (Fig. 8C,D). In contrast, HepG2 tumors continued growth after transfer of the mock-transduced T cells. Both the mock-T and TCR-T cells survived approximately 3–4 weeks in the absence of human IL-2 administration (Fig. 8E). There was, however, a significant increase in Tet<sub>158</sub><sup>+</sup> cells among the TCR-T cells on day 8 posttransfer (Fig. 8F). To examine the *in vivo* antitumor effect of different TCR-T subsets, we separated CD4 and CD8 TCR-T cells after transduction and transferred them separately into HepG2 tumor-bearing NSG mice. We found that adoptive transfer of either T-cell subset could generate an antitumor effect (Supporting Fig. S6A). Also, the kinetics showed that the percent of Tet<sup>+</sup> cells increased after adoptive transfer into HepG2 tumor-bearing mice (Supporting Fig. S6B), consistent with data in Fig. 8F, suggesting that there may be an antigen-induced TCR-T expansion. Phenotype staining showed that nearly half of the total and CD8 TCR-T cells and a third of CD4 TCR-T cells in NSG mice were of naive-like phenotype (CD45RA<sup>+</sup>CD62L<sup>+</sup>) (Supporting Fig. S6C).

### Discussion

In this study, we established an effective immunization strategy to obtain a large number of AFP<sub>158</sub>-specific (Tet<sub>158</sub>) mouse CD8 T cells that specifically recognized and killed human HepG2 tumor cells *in vitro* and eradicated large HepG2 tumor xenografts in NSG mice. Subsequently, we established T-cell hybridomas from the Tet<sub>158</sub> CD8 T cells, which allowed us to identify three pairs of TCR  $\alpha$  and  $\beta$  chains that bound the HLA-A2/AFP<sub>158</sub> complex. The TCR genes enabled human CD8 T cells to specifically recognize and kill HepG2 tumor cells *in vitro*. Furthermore, adoptive transfer of the TCR-T cells could eradicate HepG2 tumors in NSG mice.

TCR-T cells have been studied in a number of clinical trials,<sup>(35)</sup> which include TCRs specific for tumor-associated antigens of p53,<sup>(36)</sup> carcinoembryonic antigen,<sup>(37)</sup> MAGE3,<sup>(23,24)</sup> GPI100,<sup>(16)</sup> MART1,<sup>(38)</sup> and NY-ESO.<sup>(39)</sup> Most of the TCR genes, including the recently reported AFP<sub>158</sub>-specific TCRs,<sup>(20,22)</sup> were isolated from T-cell clones established by repeated *in vitro* antigen stimulation, which might significantly reduce TCR diversity. For example, after 4 weeks of *in vitro* stimulation, only one TCR sequence was found in 19 of the GPC3<sub>367</sub>-specific T-cell clones.<sup>(40)</sup> Compared to this conventional approach of repeated *in vitro* antigen stimulation, we established an effective *in vivo* immunization strategy in the HLA-A2 mice using one lv-prime and one peptide-boost. This approach generates a large number of AFP<sub>158</sub>-specific CD8 T cells, which allows us to study their specific killing of tumor cells *in vitro* and to validate their antitumor function *in vivo*. Importantly, the large number of T cells enabled us to create T-cell hybridomas without repeated restimulation,



which should maintain the diversity of the TCR repertoire. Not surprisingly, we were able to identify three unique sets of TCRs out of five T-cell hybridomas. There are an additional 34 Tet<sub>158</sub><sup>+</sup> T-cell hybridomas that can also be the resource for finding an optimal TCR of strong antitumor activity without on-target and off-target toxicity.

TCRs specific for human tumor antigens can be identified from human T cells or HLA-A2 transgenic mice. Human TCR is inherently of low affinity because of negative selection and may require affinity enhancement to achieve effective recognition of tumor antigens.<sup>(21,41)</sup> On the other hand, murine TCRs can recognize human antigenic epitopes with high affinity. However, high-affinity TCRs have a higher chance of cross-recognizing off-target antigens,<sup>(42)</sup> resulting in toxicity. It has been demonstrated that the TCRs derived from conventional HLA-A2 mice had a higher affinity than the TCRs derived from the HLA-A2/K<sup>b</sup> mice with chimeric MHCI.<sup>(43)</sup> Thus, in our study, we intentionally used AAD mice, in which the MHCI is a chimeric molecule consisting of the  $\alpha 1$ - $\alpha 2$  domain of HLA-A2 and the  $\alpha 3$  domain of mouse H-2D<sup>d</sup>. This chimeric MHCI molecule makes the mice more responsive to antigen immunization because mouse CD8 molecules bind the  $\alpha 3$  domain of MHC to help T cells engage with antigen-presenting cells. At the same time, the provision of CD8 assistance should increase the likelihood of generating CD8 T cells with optimal TCR affinity (not very high affinity). Additionally, the use of murine TCRs minimizes mispairing with endogenous human TCRs,<sup>(44)</sup> reducing the chance of generating off-target toxicity. We found that the percent of TCR V $\beta$  chain<sup>+</sup> cells is very close to the percent of Tet<sup>+</sup> cells, suggesting that, indeed, there is no TCR mispairing in the transduced human T cells. However, murine TCR was found to be immunogenic in a portion of patients, though the immunogenicity did not correlate to a lower antitumor effect<sup>(36)</sup>; thus, humanization may be needed for repeated use of TCR-T cells in patients.

The three sets of AFP<sub>158</sub>-specific murine TCRs were also able to render CD4 T cells capable of binding the Tet<sub>158</sub> tetramer. The production of IL-2 by CD4 TCR-T cells in response to HepG2 tumor cells may provide the cytokine necessary for maintaining T-cell proliferation *in vivo*. Additionally, CD4 TCR-T cells demonstrated low cytotoxicity against HepG2 tumor cells. In fact, adoptive transfer of sufficient CD4 TCR-T cells resulted in potent antitumor effects in NSG mice. Thus, although CD8 TCR-T cells may be the major player in the killing of AFP<sup>+</sup> tumor cells, CD4 TCR-T cells can also generate an antitumor effect.

Another factor that affects the TCR-T antitumor efficacy is the T cells themselves. One recent study found the CD26<sup>hi</sup> T cells were more persistent after transfer and generated a potent antitumor effect,<sup>(45)</sup> which may be the better T-cell subset for TCR engineering. On the other hand, we found that stem-like memory T cells with naive-like phenotype generated a better antigen response *in vivo*.<sup>(46)</sup> In the current study, we found that a significant portion of TCR-T cells were of naive-like phenotype (CD45RA<sup>+</sup>CD62L<sup>+</sup>) in NSG mice. Whether the CD45RA<sup>+</sup>CD62L<sup>+</sup> cells are more responsive to antigen and thus generate a better antitumor effect on TCR-T cells remains to be studied.

Adoptive transfer of TCR-T cells has generated remarkable antitumor effects in multiple myeloma<sup>(47)</sup> and in synovial cell sarcomas and melanoma,<sup>(48)</sup> suggesting that TCR-engineered T cells have a great potential to control solid tumors. However, the liver is

equipped with a number of immunosuppression mechanisms,<sup>(49)</sup> posing a significant barrier to the success of HCC immunotherapy. But a recent report showed that some immune suppression in the liver could be overcome by checkpoint blockade,<sup>(5')</sup> opening the possibility that the combination of TCR-T cells with PD-1 blockade may enhance the antitumor effect in an immunosuppressive microenvironment. Though our initial study showed no significant toxicity on normal primary hepatocytes by our AFP-specific TCR-T cells, in light of the severe toxicity recently observed after adoptive transfer of MAGE-A3-specific TCR-T cells,<sup>(23,24,51)</sup> the on-target and off-target toxicity of our TCR-T cells should be extensively examined in preclinical models<sup>(52)</sup> before conducting clinical trials to test their antitumor effect. The multiple TCRs identified in this study provide an increased arsenal for T-cell engineering and HCC immunotherapy. And the diverse TCR repertoire pool gives us the resources and a higher chance of finding the optimal TCR for a strong antitumor effect without causing severe on-target and off-target toxicity. Considering that the Food and Drug Administration has approved two chimeric antigen receptor gene-modified T cells for immunotherapy of hematological tumors, the AFP-specific TCR genes identified in this study, if proved to be safe, should have a great potential of being used to modify patients' autologous T cells for adoptive cell therapy of HCC.<sup>(50)</sup>

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations:

<b>AA</b>	amino acid
<b>AFP</b>	$\alpha$ -fetoprotein
<b>CD</b>	cluster of differentiation
<b>E/T</b>	effector to target cell ratio
<b>GPC3</b>	glypican 3
<b>HCC</b>	hepatocellular carcinoma
<b>HLA</b>	human leukocyte antigen
<b>IFN<math>\gamma</math></b>	interferon-gamma
<b>IL</b>	interleukin
<b>LDH</b>	lactate dehydrogenase

<b>Iv</b>	lentivector
<b>MFI</b>	mean fluorescence intensity
<b>MHCI</b>	major histocompatibility complex I
<b>MTT</b>	3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
<b>NSG</b>	nonobese diabetic severe combined immunodeficient gamma knockout
<b>PI</b>	propidium iodide
<b>TCR</b>	T-cell receptor
<b>TCR-T</b>	TCR gene-modified T cell

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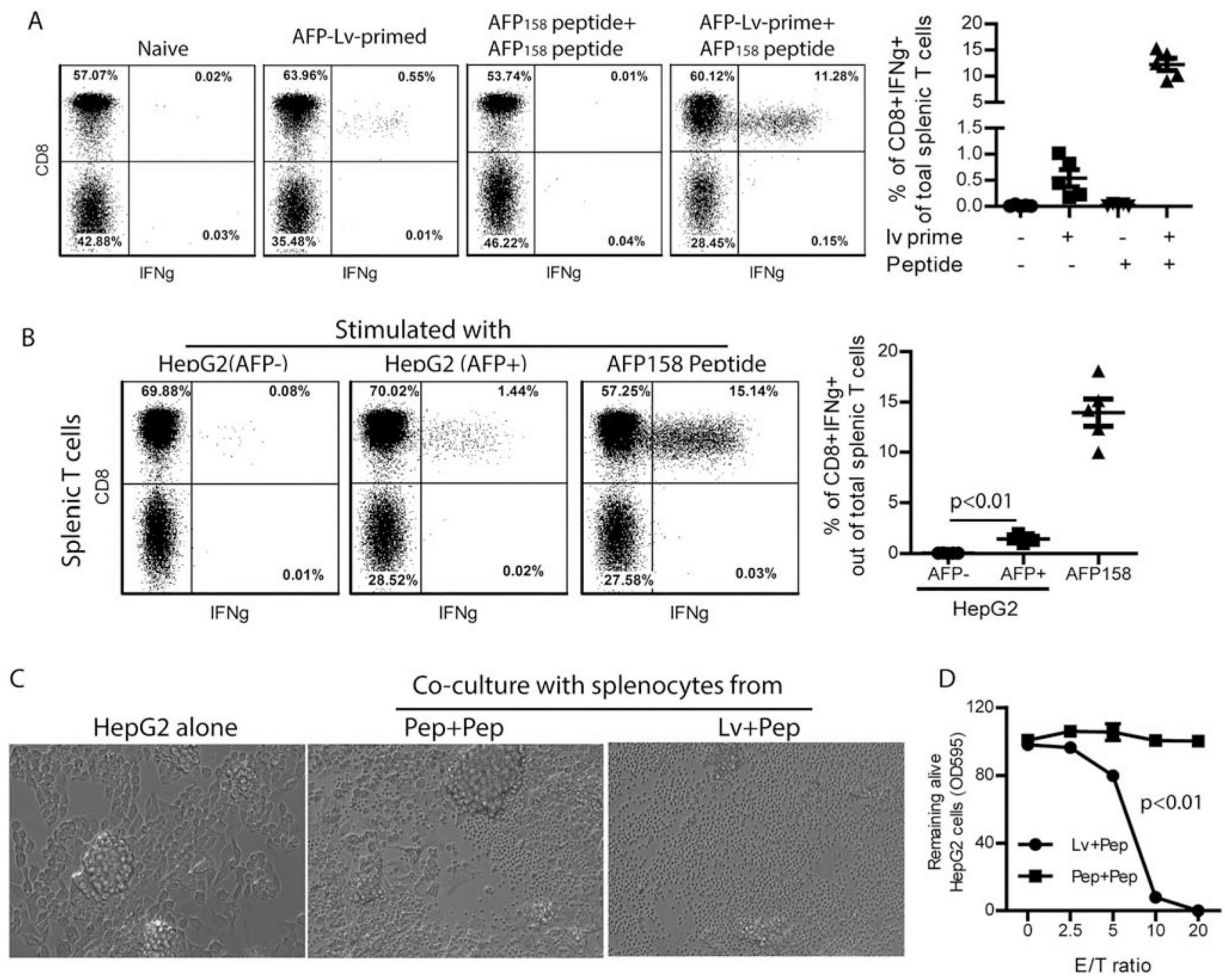
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**FIG. 1.**

Immunization of AAD mice elicits a high level AFP<sub>158</sub>-specific CD8 T cells that recognize and kill human HepG2 cells. (A) HLA-A2 transgenic AAD mice were primed with AFP-lv and boosted with AFP<sub>158</sub> peptide. Peripheral blood cells from the indicated mice were analyzed for CD8 and IFN $\gamma$  12 days after prime and 5 days after boost by gating on the Thy1.2<sup>+</sup> T cells after *ex vivo* stimulation with AFP<sub>158</sub> peptide. Representative dot plots and a summary of five mice are shown. (B) Splenocytes of the lv-primed and peptide-boosted mice were cocultured with AFP<sup>-</sup> or AFP<sup>+</sup> HepG2 cells for 4 hours and analyzed for IFN $\gamma$ . AFP<sub>158</sub> peptide stimulation was used as positive control. Representative plots and a summary of five mice are shown. A *t* test was used for statistical analysis. (C,D) The cytotoxicity of the immunized mouse splenocytes was studied by coculturing them with HepG2 cells. Pictures were taken after overnight coculture (C). An MTT assay was then performed to determine the remaining live HepG2 cells after splenocytes were rinsed away. The MTT data of HepG2 tumor cells cocultured with different ratios of splenocytes was compared to MTT data of HepG2 tumor cells alone to calculate the percentage of live cells. Shown is the dose-dependent killing of HepG2 cells by splenocytes. Analysis of variance was used for statistical analysis. The experiment was done three times with similar data.

Abbreviations: Lv+Pep, lv-primed and peptide-boost; Pep+Pep, peptide-prime and peptide-boost; OD, optical density.

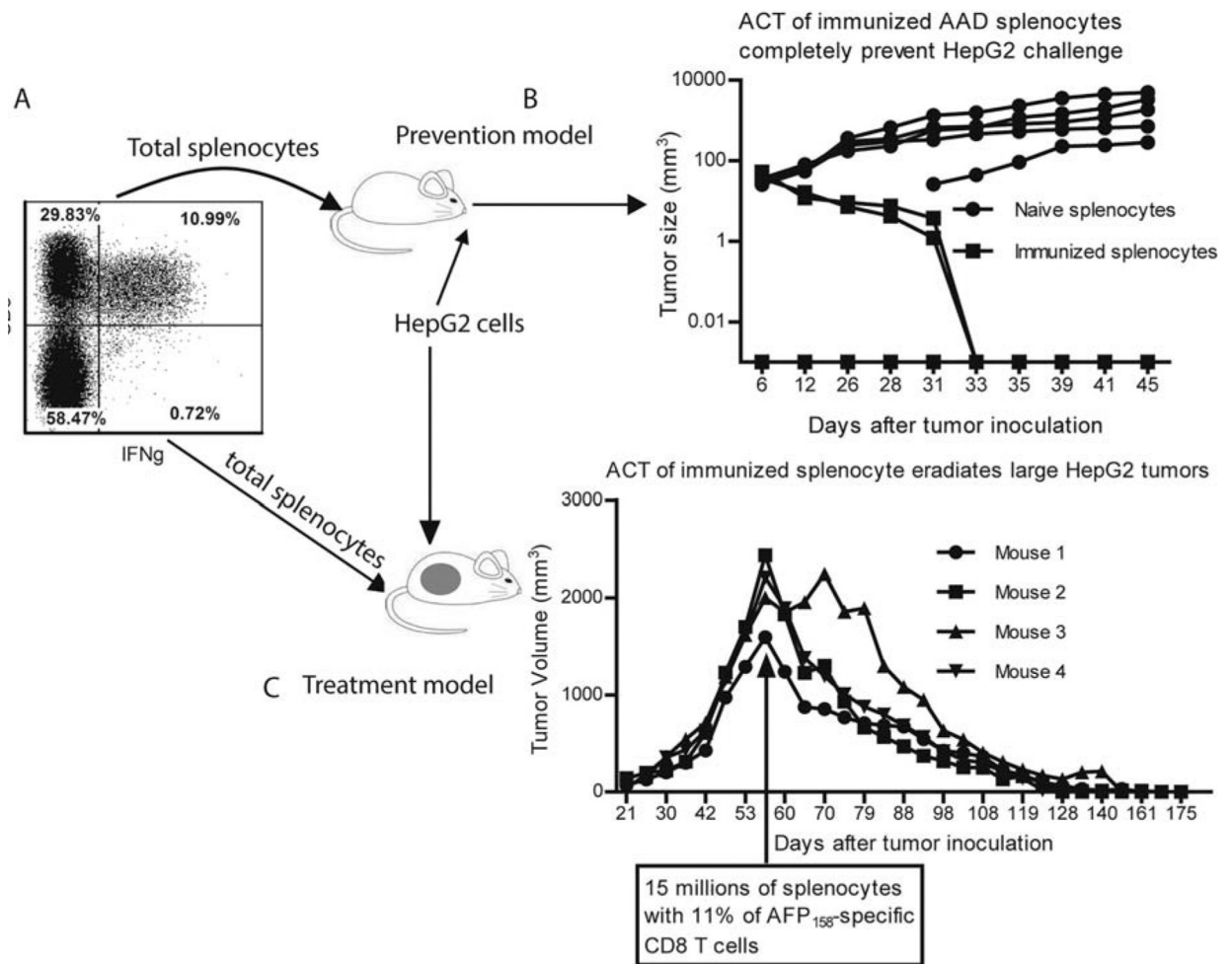
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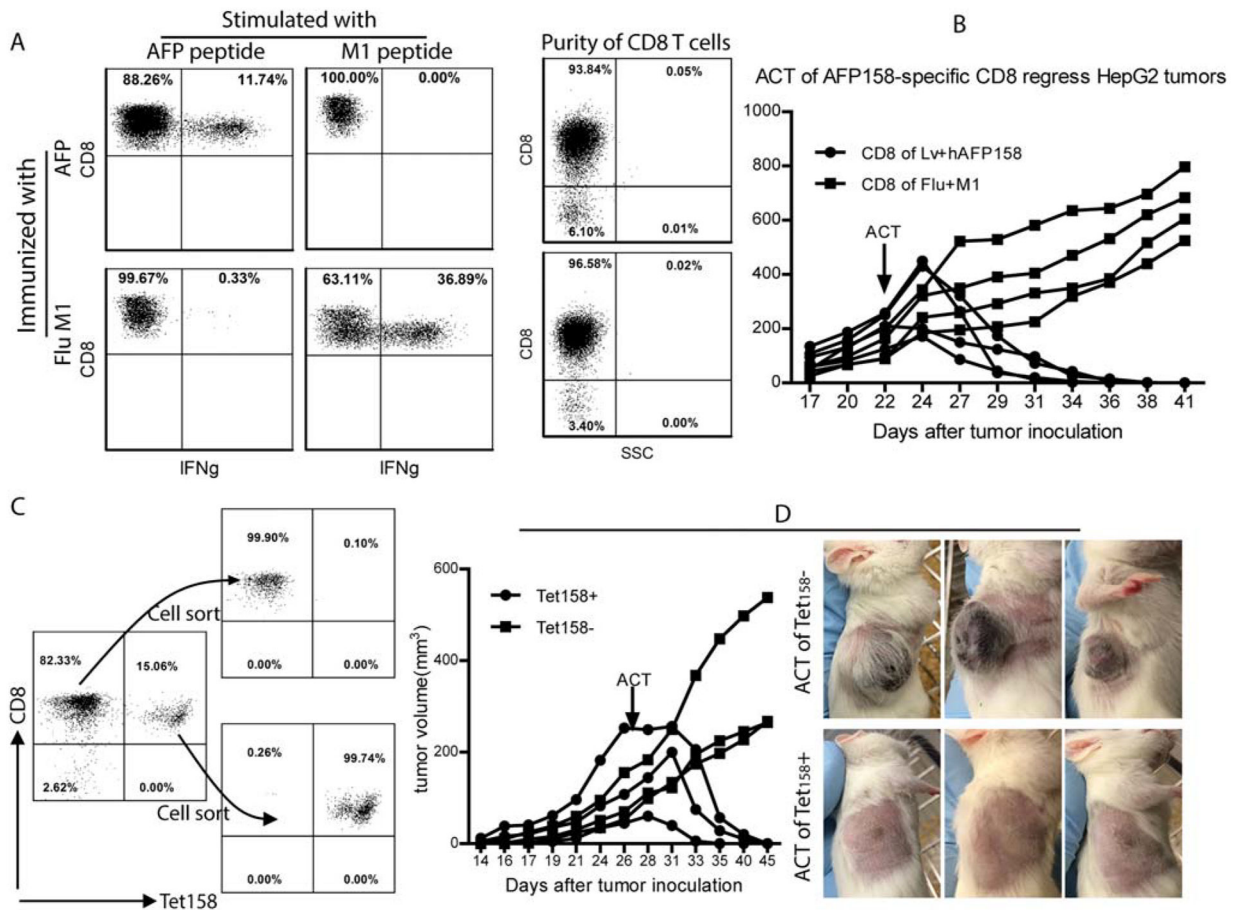
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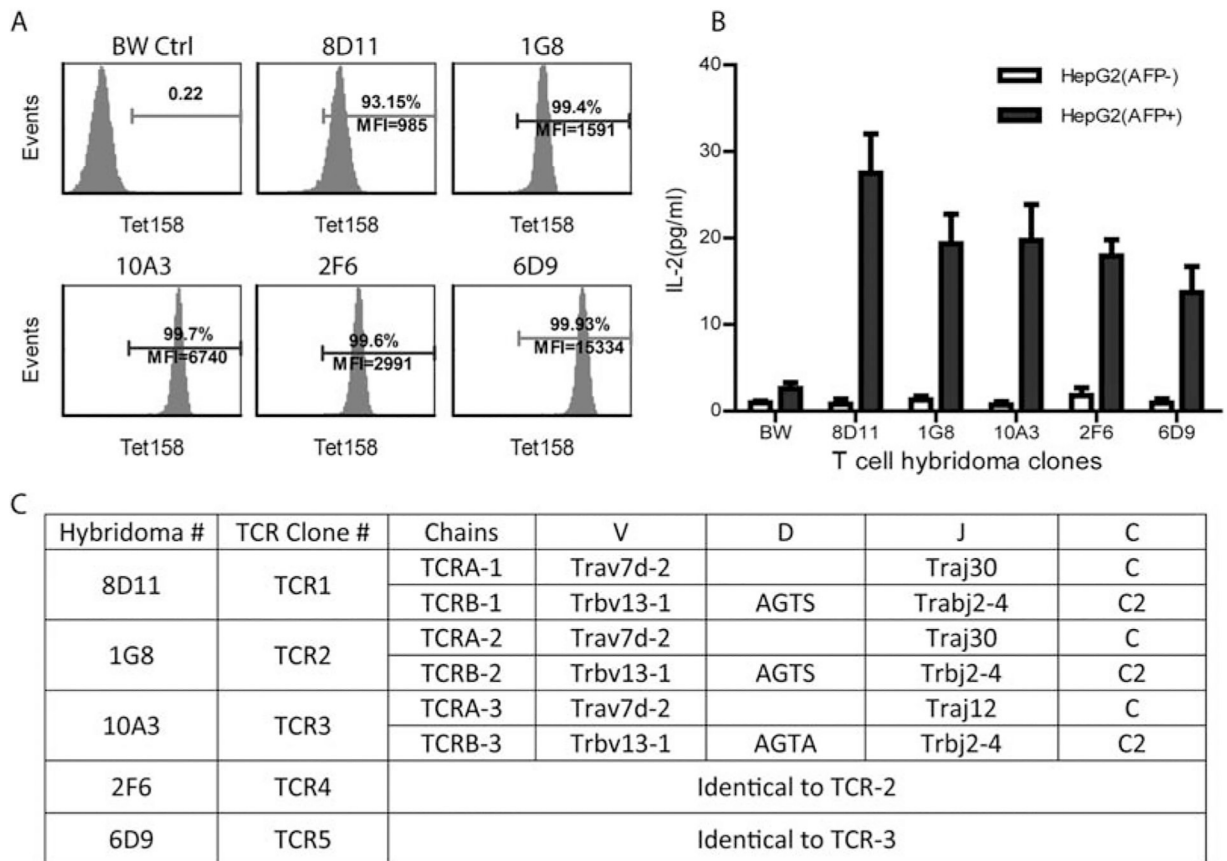


**FIG. 2.**

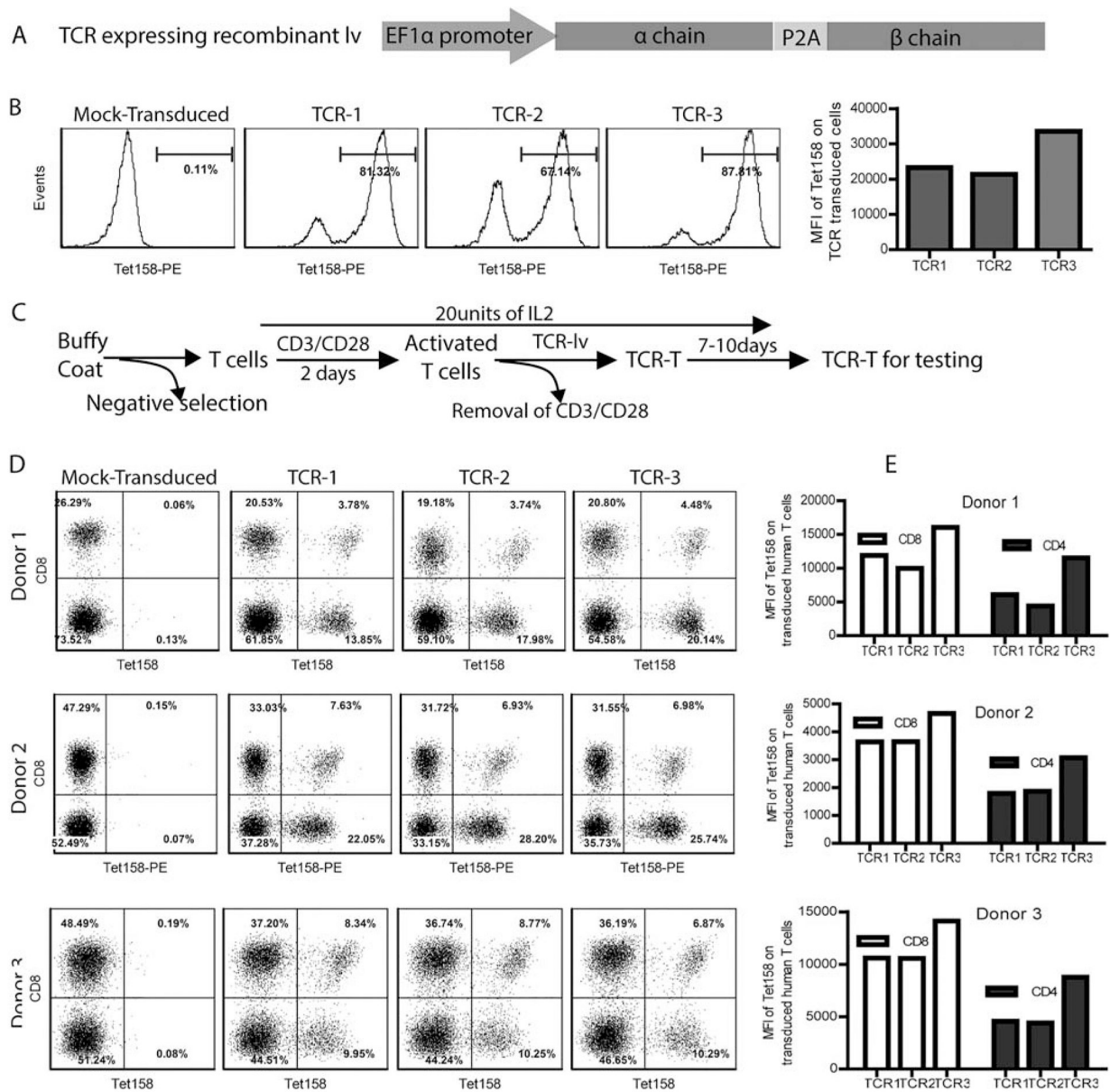
Adoptive transfer of the immunized AAD mouse splenocytes prevents and eradicates large HepG2 tumors in NSG mice. (A) Approximately 11% of the prime-boosted AAD mouse splenocytes produced IFN $\gamma$  in response to AFP<sub>158</sub> peptide. (B) In the preventive model, 15 million total splenocytes of the naive or immunized mice were injected into NSG mice, which was followed by HepG2 tumor cell challenge 2 days later. The tumor growth curve is shown. (C) In the therapeutic model, NSG mice were injected with 15 million total splenocytes of the immunized mice when HepG2 tumor size reached 2 cm in diameter. Shown is the tumor volume curve. The experiment was done twice with similar data. Abbreviation: ACT, Adoptive cell transfer.

**FIG. 3.**

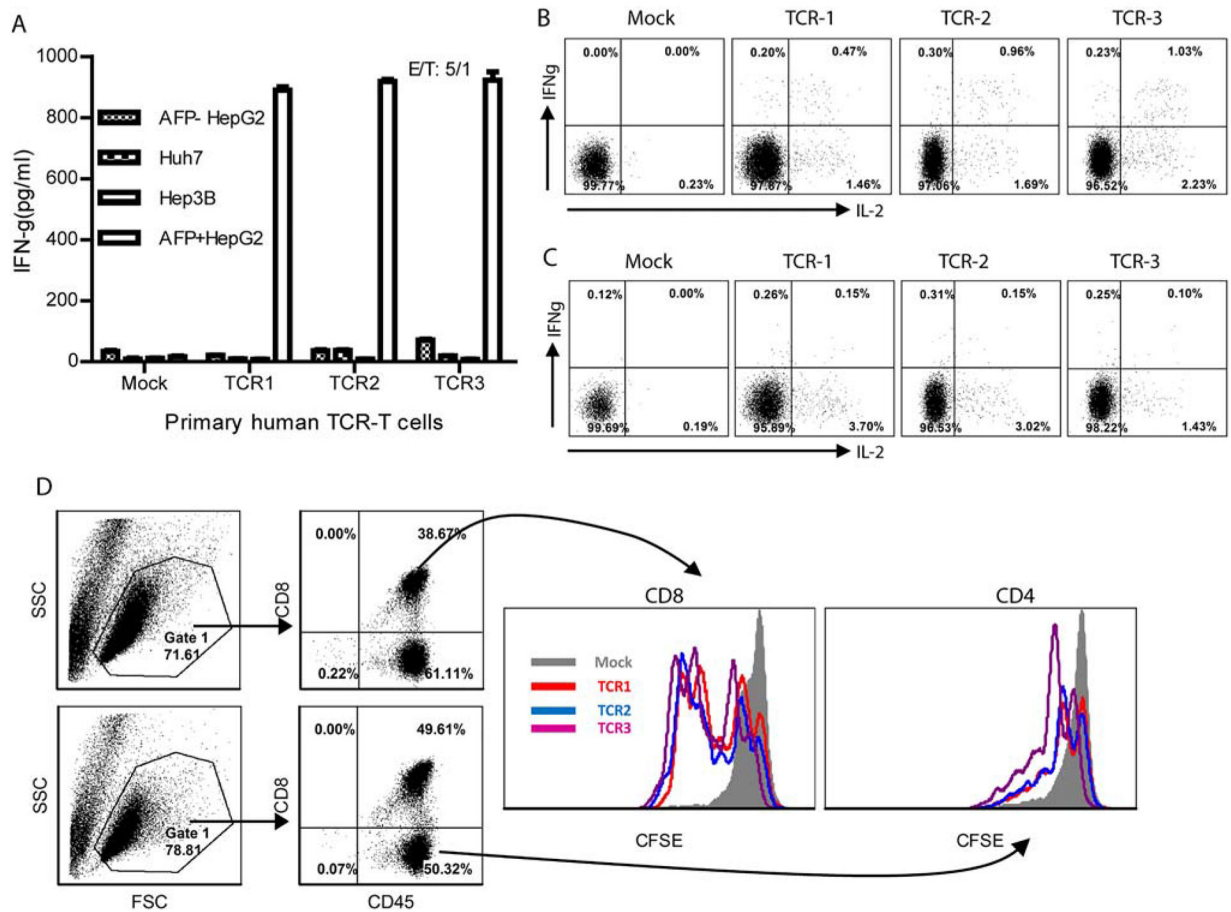
Adoptive transfer of AFP<sub>158</sub>-specific CD8 T cells eradicates HepG2 tumors in NSG mice. (A) CD8 T cells from AFP-immunized mice were isolated by magnetic beads. CD8 T cells from mice immunized with influenza virus M1 antigen peptide were used as control. The purity of CD8 T cells and the percentage of IFN  $\gamma$ -producing cells are shown. (B) NSG mice bearing HepG2 tumors were injected with 5 million CD8 T cells from AFP-immunized or M1-immunized mice (~0.5 million AFP-specific and 1.5 million M1-specific CD8 T cells, respectively). Tumor growth curve is shown. (C) Magnetic bead-purified CD8 T cells from AFP immunized mice were further separated into Tet<sub>158</sub><sup>+</sup> and Tet<sub>158</sub><sup>-</sup> cells by cell sorting after Tet<sub>158</sub> tetramer staining. The purity of Tet<sub>158</sub><sup>+</sup> and Tet<sub>158</sub><sup>-</sup> CD8 cells before and after sorting is presented. (D) NSG mice bearing HepG2 tumors were injected with 0.5 million Tet<sub>158</sub><sup>+</sup> or Tet<sub>158</sub><sup>-</sup> CD8 T cells. Tumor growth curve and pictures at the end of the experiment are presented. Abbreviations: ACT, Adoptive cell transfer; SSC, side scatter.

**FIG. 4.**

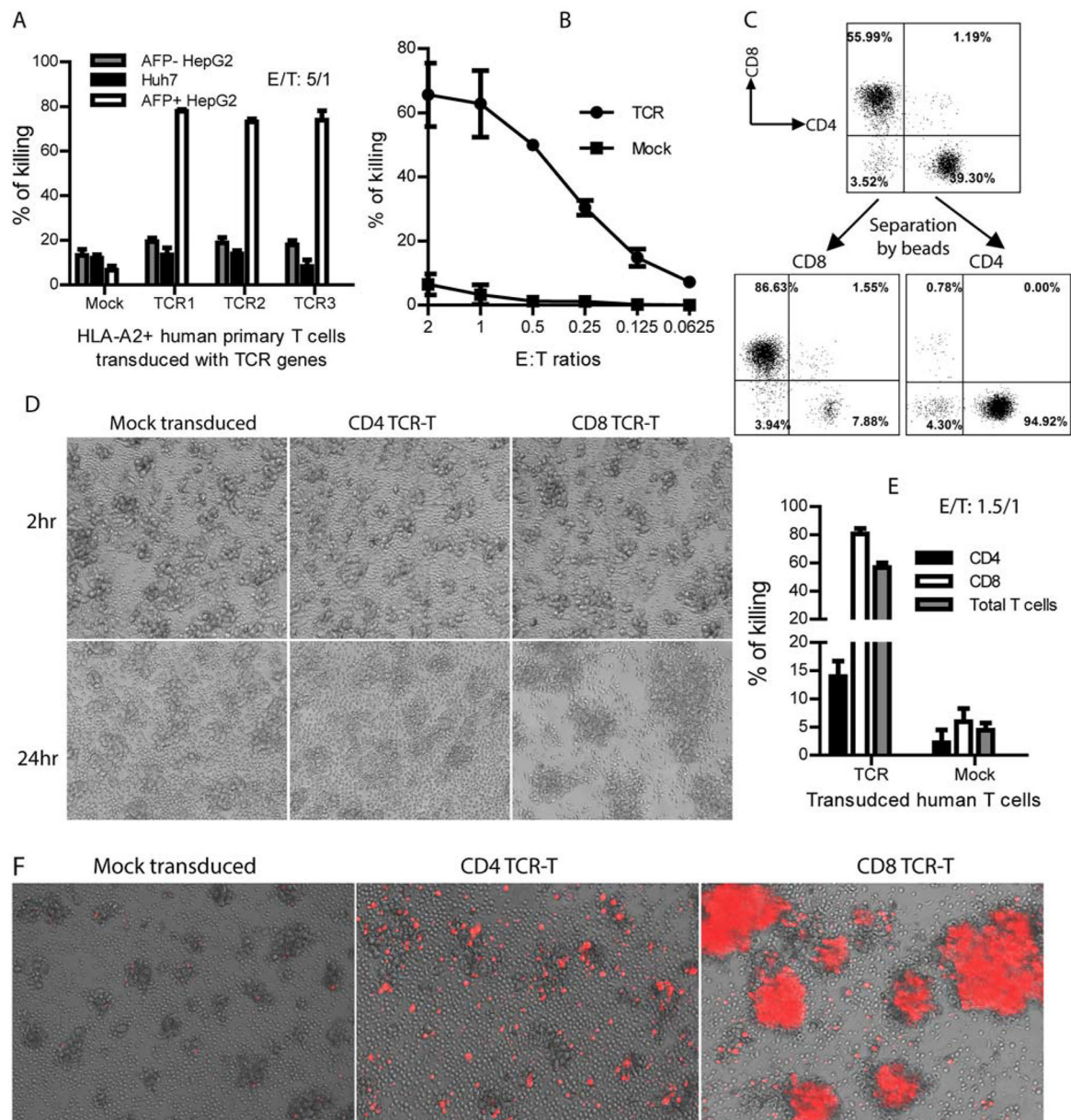
Paired TCR $\alpha$  and  $\beta$  chains are identified from the T-cell hybridomas that bind to Tet<sub>158</sub> tetramer. (A) T-cell hybridomas were established from sorted Tet<sub>158</sub><sup>+</sup> cells. Representative tetramer staining of five hybridomas is shown. BW-Lyt2.4 partner cells were negative control. (B) T-cell hybridomas produced IL-2 in response to AFP<sup>+</sup>, but not AFP<sup>-</sup>, HepG2 tumor cells. (C) Paired TCR $\alpha$  and  $\beta$  chains were identified from five hybridomas and their TCR genes are summarized. TCR4 and TCR2 are identical, as are TCR5 and TCR3. The protein sequences of TCR $\alpha$  chains have a two-AA difference in the V-J junction, whereas the TCR-3  $\alpha$  chain has a 10-AA difference from TCR-1 and TCR-2 in the CDR3 region. The protein sequences of TCR $\beta$  chain are identical except that TCR-3 has a one-AA difference from TCR-1 and TCR-2 in the D-J junction. Abbreviation: BW, BW-Lyt2.4 cell.

**FIG. 5.**

Expression of the paired TCR  $\alpha$  and  $\beta$  chains forms TCRs that bind Tet<sub>158</sub> tetramer. (A) Sketch of a recombinant lv-expressing TCR gene is shown. Paired TCR  $\alpha$  and  $\beta$  chain genes were expressed as a single molecule under the control of the EF1 $\alpha$  promoter. A P2A sequence was inserted in between to generate equal numbers of TCR  $\alpha$  and  $\beta$  chains. (B) Tet<sub>158</sub> tetramer staining of the Jurkat cells after transduction with TCR-lv was shown. Histogram and MFI are presented. (C) The process of generating human TCR-T cells is presented. Mock transduced T cells had undergone same CD3/CD28 treatment without lv transduction. (D,E) Primary human T cells from 3 donors were transduced with TCR-lvs and examined 7–10 days after lv transduction. Both the percent (D) and MFI (E) of Tet<sub>158</sub><sup>+</sup> CD8 and CD4 T cells are presented.

**FIG. 6.**

Human TCR-T cells can specifically recognize HLA-A2 presented AFP<sub>158</sub> peptide and AFP<sup>+</sup> HepG2 tumor cells. (A) One hundred thousand human TCR-T cells were cocultured overnight with HLA-A2<sup>+</sup>AFP<sup>+</sup> HepG2 cells, HLA-A2<sup>+</sup>AFP<sup>-</sup> HepG2 cells, or HLA-A2<sup>-</sup>AFP<sup>+</sup> Huh7 and Hep3B tumor cells; and the IFN $\gamma$  level in the medium was measured by ELISA. (B,C) To measure IFN $\gamma$  and IL-2 by intracellular staining, TCR-T cells were stimulated with HLA-A2<sup>+</sup>AFP<sup>+</sup> HepG2 cells for 6 hours in the presence of GolgiStop. Only CD8 or CD4 T cells were gated and are shown in the plots. (D) Induction of CD8 and CD4 TCR-T cell proliferation was examined after 2-day coculture with AFP<sup>+</sup> HepG2 tumor cells. The experiment was repeated twice with similar data. Abbreviations: CFSE, carboxyfluorescein succinimidyl ester; FSC, forward scatter; SSC, side scatter.



**FIG. 7.** Human TCR-T cells have potent and specific cytotoxicity against HepG2 tumor cells. (A) One hundred thousand TCR-T cells were cocultured overnight in triplicate with the indicated tumor cells at E/T ratios. The killing efficacy of HepG2 tumor cells by human TCR-T (both CD8 and CD4) cells was measured by LDH assay. (B) Dose-dependent killing of AFP<sup>+</sup>HepG2 tumor cells was shown by TCR-T cells. (C) Donor CD8 and CD4 TCR-T cells were separated by magnetic beads after TCR transduction. (D) Coculture of the mock-transduced, CD4, or CD8 TCR-T cells with HepG2 tumor cells. Pictures were taken at 2 and 24 hours after coculture. (E) LDH assay was conducted at 24 hours after coculture to measure the killing effect. Statistical analysis was done with *t* test. (F) PI staining of TCR-T

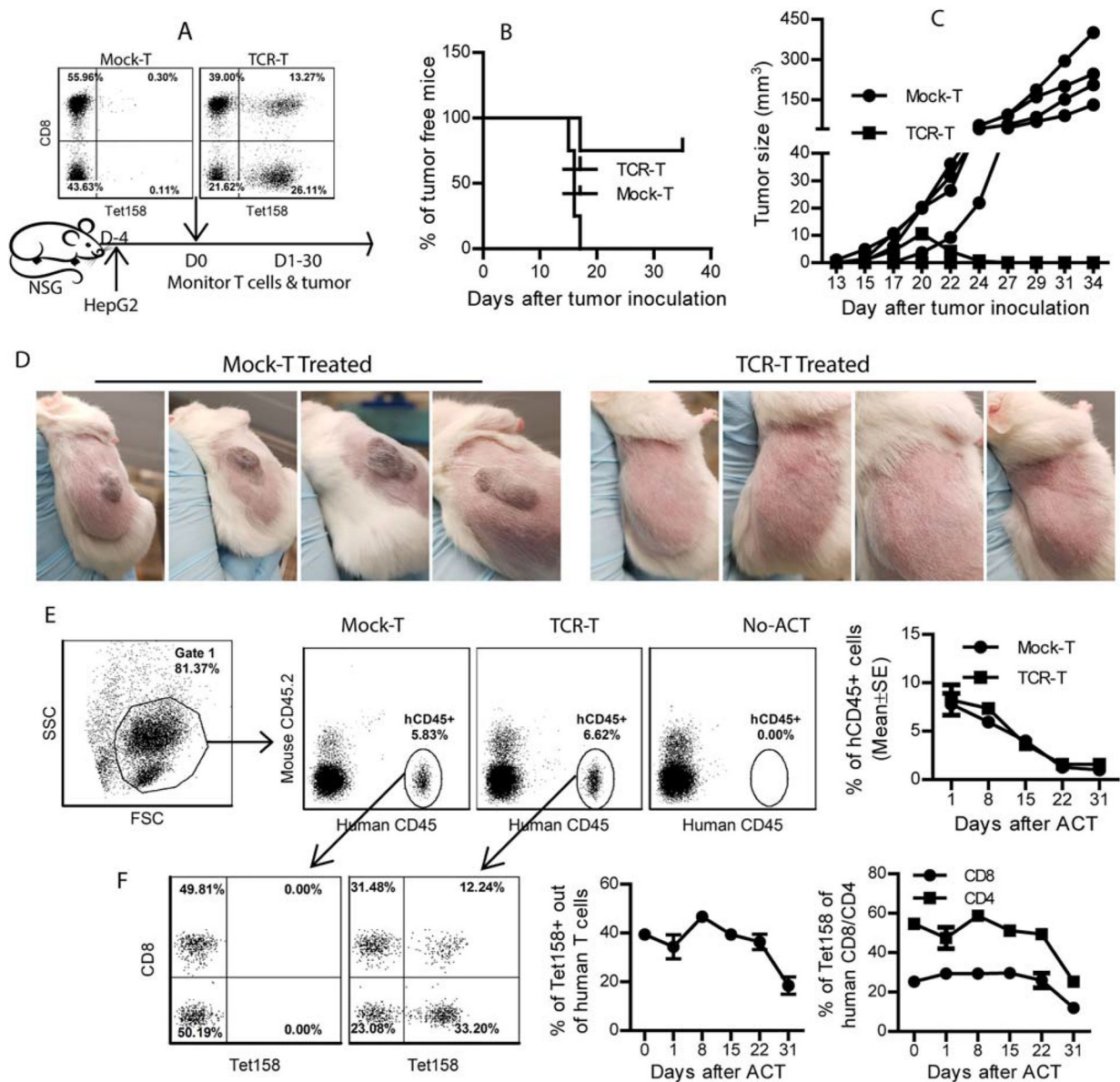
and HepG2 tumor cell cocultures after 24 hours to reveal dead cells. The experiment was repeated trice with similar data.

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**FIG. 8.** Adoptive transfer of TCR-T prevents and regresses HepG2 tumors in NSG mice. (A) NSG mice bearing 4-day HepG2 tumors were adoptively transferred with 20 million TCR-T cells. Percentage of Tet<sub>158</sub><sup>+</sup> cells in the TCR-T cells is shown. Tumor outgrowth and TCR-T cells in the mice were monitored. (B,C) The outgrowth and volume of each tumor are presented. (D) Pictures of HepG2 tumors at day 34 after inoculation. (E) Representative dot plots showed the percentage of hCD45<sup>+</sup> cells among mouse blood cells. The kinetics of hCD45<sup>+</sup> cells in NSG mice is summarized from four mice. (F) Percentage of Tet<sub>158</sub><sup>+</sup> out of the transferred human T cells in NSG mice is presented. Kinetics of Tet<sub>158</sub><sup>+</sup> cells among total transferred total human T cells, CD8 cells, and CD4 T cells is summarized from four mice.



The experiment was repeated twice with similar data. Abbreviations: ACT, Adoptive cell transfer; FSC, forward scatter; SSC, side scatter.

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