



Published in final edited form as:

Cogn Dev. 2020 ; 55: . doi:10.1016/j.cogdev.2020.100908.

## Young Children's Judgments and Reasoning about Prosocial Acts: Impermissible, Suberogatory, Obligatory, or Supererogatory?

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### Abstract

In deciding when to help, individuals reason about whether prosocial acts are impermissible, suberogatory, obligatory, or supererogatory. This research examined judgments and reasoning about prosocial actions at three to five years of age, when explicit moral judgments and reasoning are emerging. Three-to five-year-olds ( $N = 52$ ) were interviewed about prosocial actions that varied in costs/benefits to agents/recipients, agent-recipient relationship, and recipient goal valence. Children were also interviewed about their own prosocial acts. Adults ( $N = 56$ ) were interviewed for comparison. Children commonly judged prosocial actions as obligatory. Overall, children were more likely than adults to say that agents should help. Children's judgments and reasoning reflected concerns with welfare as well as agent and recipient intent. The findings indicate that 3-to 5-year-olds make distinct moral judgments about prosocial actions, and that judgments and reasoning about prosocial acts subsequently undergo major developments.

### Keywords

moral development; prosocial acts; reasoning; judgments; preschoolers

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Over development, children come to weigh the pros and cons of *prosocial acts*—acts that promote the goals or welfare of others. In the eyes of most adults, a prosocial act can be *impermissible* (i.e., wrong to do, should refrain), *suberogatory* (okay to do, should refrain), *obligatory* (wrong to refrain, should do), or *supererogatory* (okay to refrain, should do, Figure 1; see e.g., Chisholm, 1963; McNamara, 2011; Miller et al., 1990).<sup>1</sup> For instance, most adults deem it impermissible to help someone harm or steal from another (Killen,

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2016; Miller et al., 1990; Rosen, 1984). In other contexts, helping a victim is judged as obligatory, as illustrated by public outcry against bystanders who refrain from saving a human life (Eddy, 2017; Hyman, 2005; Manning et al., 2007; Weinrib, 1980). Moral judgments about right and wrong, evident by three years of age, are integral to developed decisions about helping (Dahl, in press; Turiel, 2015). The present research examined whether 3-to 5-year-olds distinguished among impermissible, suberogatory, obligatory, and supererogatory prosocial acts.

The present research builds on the proposition that children and adults construct categorical social judgments based on distinct moral, conventional, and other considerations (Dahl, Waltzer, et al., 2018; Killen & Smetana, 2015; Turiel, 2015). Several such considerations likely inform judgments about prosocial acts (Dahl, Gingo, et al., 2018; Nucci et al., 2017; Turiel, 2015). Prosocial acts can promote the *welfare of the recipient*, but also impose a cost on the *welfare of the helpful agent* (e.g., a hungry person giving away all their food to someone else); prosocial acts may be required by familial or contractual *relationships* (e.g., a child helping a parent); yet other prosocial acts may violate moral concerns by helping a recipient accomplish something of negative moral *valence* (e.g., a child helping a peer steal from another). Some complex conflicts about prosocial acts even spark debates among philosophers and legal scholars, for instance about whether refraining from a low-cost, life-saving act should be illegal (Hyman, 2005; Kamm, 2007; McIntyre, 1994; Weinrib, 1980).

The preschool years are a crucial period for the development of judgments and reasoning about prosocial acts. By age three, most children have already been helping at home for about two years (Dahl, 2015; Dahl, Waltzer, et al., 2018). Building on their prosocial tendencies, children have to decide whom and when to help based on—among other factors—judgments about prosocial acts (Dahl, in press; Dahl & Paulus, 2019; Eisenberg et al., 2016). Even though past research has demonstrated moral and social judgments and reasoning about hitting, stealing, or other social violations by age three (Dahl & Turiel, 2019; Schmidt et al., 2012; Smetana et al., 2012; Smetana, Jambon, et al., 2018), there is minimal research on young children’s judgments about prosocial acts (Dahl, Waltzer, et al., 2018; Dahl & Paulus, 2019). Young children may find it particularly challenging to form judgments about prosocial acts insofar as such judgments requires incorporation of multiple considerations about agent and recipient welfare, social relationships, and the valence of recipient goals. In early childhood, children struggle to incorporate competing considerations into a social judgment; young children often sidestep the conflict by focusing on a single consideration, such equality or welfare (Damon, 1975; Killen et al., 2018; Nucci et al., 2017).

This paper examines judgments and reasoning about hypothetical and experienced events involving prosocial acts among 3-to 5-year-olds. This research interviewed children about hypothetical prosocial events that varied in the *costs and benefits* to the agent and recipient, the *relationship* between agent and recipient, and the moral *valence* of the recipient goal

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<sup>1</sup>Philosophers have debated which terms to use for these four categories of acts, and how to define them. For lack of corresponding terms in everyday English, some philosophers have adopted the technical terms *supererogation* and *suberogation*. In this paper, our use of these two terms is based on, but not identical to, how the terms are used in philosophy (for discussions, see Heyd, 2016; McNamara, 2011).

(e.g., stealing something). To validate our assumption that adults' judgments are sensitive to these considerations, a sample of undergraduates were interviewed about the same situations. To examine whether children applied similar judgments and reasoning to events in their everyday lives, children were also asked to judge and reason about their own experiences with helping parents, teachers, and friends (Dahl, 2017; Turiel, 2008a).

## Prosocial Acts: Impermissible, Suberogatory, Obligatory, or Supererogatory

The broad category of prosociality includes actions such as aiding others in achieving practical goals, providing others with useful information, sharing valued resources, and comforting others in distress (Dahl & Paulus, 2019; Dunfield & Kuhlmeier, 2013; Padilla-Walker & Carlo, 2016). For simplicity, we use the verb "help" to mean "acting prosocially." Different prosocial behaviors may recruit different motoric, cognitive, and emotional processes, and follow different developmental trajectories (Dunfield, 2014; Paulus, 2018). Still, by definition, all prosocial acts share a function with moral, societal, and evolutionary significance: promoting the goals and welfare of others (Hastings et al., 2015; Trivers, 1971; Turiel, 2015; Warneken, 2015). Without negating the psychological differences among prosocial actions, we here focus on types of evaluations and reasoning applicable to any prosocial act.

Scholars often note that "[a]ll human societies value prosocial actions" (Padilla-Walker & Carlo, 2016, p. 3; see also Eisenberg et al., 2015; Hastings et al., 2015). The editors of a book on negative effects of *altruism* – a subset of prosociality – state that "[t]he benefits of altruism appear so obvious, and a high regard for altruism is so deeply ingrained in modern Western culture, that it seems almost heretical to suggest that altruism may have a dark side" (Oakley, Knafo, & McGrath, 2011, p. 3). Although this literature rarely distinguishes between obligatory and supererogatory prosociality, at least some scholars have argued that prosocial actions are by definition supererogatory (cf., Hawley, 2016): praiseworthy actions beyond the call of duty.

Despite the high scholarly regard for prosociality, people sometimes disapprove of prosocial actions (Bloom, 2016; Oakley, Knafo, Madhavan, et al., 2011; Worchel, 1984). Adolescents and adults often think it is wrong to help a thief or to save one life by sacrificing another (Dahl, Gingo, et al., 2018; Miller et al., 1990). Legal terminology even has a separate phrase, "aid and abet," for assisting "the perpetrator of the crime" (Garner, 2011, p. 41). In 2015, a prison worker in New York received a seven-year sentence for helping two inmates escape (Morgenstein, 2015). As these examples suggest, people develop distinctions among approved and disapproved prosocial actions.

Philosophers have distinguished among *impermissible*, *suberogatory*, *obligatory*, and *supererogatory* prosocial acts (Archer, 2018; Chisholm, 1963; Heyd, 2016; Kant, 1785; McNamara, 2011, 2018; Williams, 1985). In the present work, the four terms constitute an ordinal scale from most negative to most positive, but there are categorical distinctions among them. The terms are formed, and operationalized, by crossing questions about recommendations (e.g., "Should you help or refrain?") and permissions (e.g., "Is it okay or wrong to help/refrain?" see Table 1). An act A is *impermissible* if you should refrain from A

and it would be wrong to do A, *suberogatory* if you should refrain from A but it would be okay to do A, *obligatory* if you should do A and it would be wrong to refrain from A, and *supererogatory* if you should do A but also it would be okay to refrain from A (Figure 1).

Past research suggests that these four concepts organize adults' evaluations of prosocial acts. As noted, most adults deem it *impermissible* to help someone harm or steal from another (Miller et al., 1990). Less research has examined adult judgments about *suberogatory* acts, prosocial or otherwise. A recent study found that adults deemed some violations of social conventions to be suberogatory (Dahl & Waltzer, in press): For instance, participants said a protagonist should not be loud in a quiet restaurant, yet they said being loud would still be "okay." In contrast, when the recipient of help does not have an immoral goal, adults frequently indicate that protagonists should help or that helping would be good (Kahn, 1992; Killen & Turiel, 1998; Miller et al., 1990; Smetana et al., 2009). Some of these prosocial acts are deemed *obligatory*, especially when the recipient has a dire need and helping is not costly for the agent, or when the agent and recipient are family members or friends (Killen & Turiel, 1998; Miller et al., 1990). Other positively evaluated prosocial actions have been viewed as *supererogatory*, for instance when the need of the recipient is low and helping is costly to the agent, or when the agent and recipient are strangers (Kahn, 1992; Miller et al., 1990; Smetana et al., 2009).

Moral judgments and prosocial actions both emerge during the first five years of life (Brownell, 2013; Dahl, Waltzer, et al., 2018; Dahl & Killen, 2018; Smetana, Jambon, et al., 2018; Warneken, 2015). Still, these two key elements of early moral development have largely been studied separately. Much research on early moral judgments and reasoning has focused on act of harming or stealing. Hence, as young children make decisions about prosocial actions, we do not know whether children can perceive these prosocial acts as impermissible, suberogatory, obligatory, or supererogatory (Dahl, in press; Dahl & Paulus, 2019).

## The Development of Judgments and Reasoning about Prosocial Actions

Distinctions among prosocial acts are relevant for children, not just for adults. The children's poem *Helping* concludes that "some kind of help is the kind of help that helping's all about / and some kind of help is the kind of help we can all do without" (Silverstein, 2008, p. 74). From an early age, children engage in helping that sometimes impede the goals of the recipient, for instance by throwing toys back on the floor during toy clean-up (Dahl et al., 2011; Hammond & Brownell, 2018). These events sometimes lead parents to try to prevent children from helping (Rheingold, 1982). By middle childhood, children in many communities encounter expectations that they help with chores and that it would bad not to help your family (Coppens et al., 2014). In response to social signals about their helping, children must come to form notions of whether helping is impermissible, suberogatory, obligatory, or supererogatory.

The earliest acts of helping are evident by the first birthday, when children sometimes hand out-of-reach objects to others (Dahl, 2015; Sommerville et al., 2013; Warneken & Tomasello, 2007). During the second and third years, children become increasingly prone to

assist, comfort, or share with others (Dahl, 2015; Svetlova et al., 2010; Warneken & Tomasello, 2006; Zahn-Waxler et al., 1992). At these early ages, however, children do not yet provide explicit judgments and reasoning about moral issues (Dahl & Paulus, 2019).

By age three, most children express moral judgments and reasoning about many perceived violations: They think that hitting others is impermissible because it causes harm and that stealing is impermissible because it violates property rights (Dahl, Waltzer, et al., 2018; Killen & Smetana, 2015; Tomasello, 2018). Children also say harming others would be wrong even if there were no rules against hitting, or even if the agent did not care about the victim's welfare (Dahl & Kim, 2014; Dahl & Schmidt, 2018; Nucci & Weber, 1995; Smetana, 1985; Smetana et al., 2012). Hence, by 3-to 5 years of age, concerns with welfare and rights guide children's judgments about permissibility.

Judging and reasoning about prosocial acts presents children with new challenges (Eisenberg et al., 1983). First, judgments that a prosocial act is *impermissible* requires coordination of the general valuing of prosocial acts with competing considerations about costs and benefits or the impermissibility of the recipient's goal (e.g., stealing). Second, judgments that a prosocial act is *obligatory* requires children to negatively evaluate an omission (e.g., the failure to help), not a commission (e.g., an act of harm). Lastly, judging acts as *supererogatory* requires a nuanced distinction between good and obligatory acts; some philosophers have noted that there is no analogous distinction between bad and impermissible acts (for discussions, see Heyd, 2016; McNamara, 2011).

Only a handful of studies have investigated evaluations and reasoning about prosocial acts prior to seven years of age (see Dahl, in press; Dahl & Paulus, 2019). Initial investigations of judgments about prosocial acts relied on dilemmas, in which the needs of the agent were pitted against the needs of another person (Eisenberg et al., 1983, 1987). In these situations, helping usually required the agent to incur a substantial cost, for instance by giving up a valued resource. An early study of children's responses to prosocial dilemmas found increases in reasoning about the recipient's needs about prosocial acts from ages four to six (Eisenberg et al., 1983). Although this research yielded important insights, the use of dilemmas in moral development research tends to underestimate children's capabilities (Turiel, 2008b). Moreover, this research focused on classifying children's reasoning rather than their judgments of permissibility, obligation, and supererogation.

Recent investigations suggest that, around ages three to five, children do view some prosocial acts and agents positively (Van de Vondervoort & Hamlin, 2017; Weller & Lagattuta, 2014). These studies have shown that children tend to view helpful characters as nicer than unhelpful characters (Franchin et al., 2019; Van de Vondervoort & Hamlin, 2017). Around this age, children also endorse norms about how to distribute resources fairly (Rizzo & Killen, 2016; Smith et al., 2013; Wörle & Paulus, 2018). Rakoczy, Kaufman, and Lohse (2016) found that 3-to 5-year-olds often protested against unequal resource distributions, even when children were merely observing the distribution as third parties. However, several studies have found that children at these ages struggle to coordinate competing considerations, for instance balancing equality and equity in their judgments about fair distributions (Rizzo & Killen, 2016; Wörle & Paulus, 2018; for a discussion, see Killen et

al., 2018). As noted, competing considerations about costs-benefit relations, social relationships, and the moral valence of the recipient goal are inherent to many prosocial actions.

Judgments and reasoning about impermissible, suberogatory, obligatory, and supererogatory acts can inform children's own decisions to help (Bar-Tal, 1982; Coppens et al., 2014; Dahl, in press; Eisenberg et al., 2016; Hardway & Fuligni, 2006; Hay & Cook, 2007; Recchia et al., 2015). Other things being equal, children help more often when they view helping as obligatory than when they view helping as impermissible (Bar-Tal, 1982; Martin et al., 2016; Paulus et al., 2018). Thus, studying judgments about prosocial acts will shed light on children's motivations and decisions to help (see Discussion).

Prosocial behaviors increase in frequency and change in quality during the early years (Eisenberg et al., 2015). Separate bodies of research have examined the early development of prosocial behaviors and of moral judgments, but there is virtually no research on judgments and reasoning about impermissible and obligatory prosociality prior to seven years of age (Dahl & Paulus, 2019; Smetana, Jambon, et al., 2018; Thompson, 2012; Tomasello, 2018; Warneken, 2018). Lacking research about children's judgments about obligatory and impermissible prosocial actions, scholars often attribute change and variability in children's prosocial behaviors to empathic responsiveness, perspective taking, or concerns with others' welfare (see Eisenberg et al., 2015).

### Three Considerations Expected to Inform Judgments about Prosocial Acts

The present research focused on three considerations expected to inform judgments about whether prosocial acts are impermissible, suberogatory, obligatory, or supererogatory: (1) the *costs and benefits* to agents and recipients, (2) the *relationship* between the agent and recipient, and (3) the *valence* of the recipient's goal. The hypotheses, described below, were derived from past research on judgments and reasoning about prosocial actions among school-age children, adolescents, or adults (e.g. Dahl, Gingo, et al., 2018; Killen & Turiel, 1998; Miller et al., 1990; Nucci et al., 2017; Weller & Lagattuta, 2014).

#### (1) Agent/recipient costs/benefits.

The relations between costs to the agent and benefits to the recipient are known to inform judgments about prosocial acts among older children. Prosocial acts that relieve a strong need for the recipient and that are not costly to the agent are more likely viewed as supererogatory or even obligatory (Kahn, 1992; Miller et al., 1990; Weller & Lagattuta, 2014). Thus, we hypothesized that 3-to 5-year-olds, and adults, would be more likely to say that the agent should help, and that it would be wrong not to help, when the prosocial act was more beneficial to the recipient and less costly to the agent. To maximize the effect of cost/benefit relations, and to reduce the number of situations presented to children, we contrasted situations involving low cost to the agent and high benefit to the recipient (*low cost / high benefit* events) with situations involving high cost to the agent and low benefit to the recipient (*high cost / low benefit* events).

## (2) Agent-recipient relationships.

Some relationships entail interpersonal obligations, for instance to help others. Helping a friend or family member is judged as obligatory more often than helping a stranger (Killen & Turiel, 1998; Miller et al., 1990). Correspondingly, we hypothesized that children and adults would be more likely to say that a child should help their parent than to say that a child should help someone else's parent. Moreover, we expected that children would be more likely to say the agent would be obligated to help if the interactants were friends.

## (3) Valence of recipient goal.

A third basis for evaluating prosocial acts is the valence of the recipient's goal. Most children think it is generally wrong to steal or damage the property of others (Dahl & Kim, 2014; Rossano et al., 2011; Smetana et al., 2012). Older children and adults are less likely to judge helpful acts positively if the recipient is trying to engage in a moral violation, for instance stealing or harming someone (Miller et al., 1990; Turiel, 2015). In the present study, we expected that children and adults would typically say that it would be impermissible to help a child steal another child's hat.

## The Present Research

The present research examined judgments and reasoning about prosocial acts at 3 to 5 years of age, when explicit moral judgments and reasoning are emerging (Dahl & Paulus, 2019; Tomasello, 2018). To validate our assumption that the study manipulations would influence adults' judgments, we also interviewed a sample of young adults about the same prosocial actions. For each consideration, we created a pair of situations that differed along the critical dimension. For instance, in one situation the recipient needed help stealing (retrieving someone else's hat) and in the comparison situation the recipient needed help with a non-stealing goal (retrieving their own hat). Little research has examined young children's judgments about prosocial actions: To reduce the concern that children would only make moral judgments about specific types of prosocial actions, situations represented a variety of prosocial actions (e.g., helping with practical goals, sharing food, or aiding someone in distress).

Structured interviews about each situation examined whether participants viewed the prosocial act as impermissible, suberogatory, obligatory, or supererogatory. To assess these distinctions, participants were first asked whether the agent should help. If participants said the agent should not help, they were asked whether it would be okay for the agent to help; if participants said the agent should help, they were asked whether it would be okay to refrain from helping. Participant responses could then be classified as *impermissible* (should not help, not okay to help), *suberogatory* (should not help, okay to help), *obligatory* (should help, not okay to refrain from helping), and *supererogatory* (should help, okay to refrain from helping).

A second aim of the interview was to examine children's evaluative reasoning. We expected that children's judgments about prosocial acts would primarily be based on moral concerns with rights and welfare (Kahn, 1992; Recchia et al., 2015). However, we also expected that

conventional considerations about authority commands, existing rules, and social relationships would inform children's judgments. To address the basis for their judgments, participants were asked why the agent should or should not help, allowing us to code the content of participant reasoning. Moreover, the interview assessed whether participants' judgments were sensitive to the presence of a rule (e.g., would it still be okay to help, even if there were a rule against helping). Lastly, the interview further probed the role of agent-recipient relationships by asking whether it would be okay to refrain from helping if the agent and recipient were friends (Killen & Turiel, 1998).

A final component of the interview explored children's judgments and reasoning about their own acts of helping. It was expected that children would make moral judgments not only about hypothetical prosocial actions, but also about the kinds of prosocial actions they encountered in their everyday lives. Hence, we asked children to describe, judge, and reason about their own experiences with helping parents, teachers, and friends (Dahl, 2017; Turiel, 2008a).

## Methods

### Participants

Children were recruited from preschools in the western United States ( $N = 52$ ,  $M_{\text{age}} = 4;4$  [years;months], range: 3;0–5;11, 19 three-year-olds, 25 four-year-olds, 8 five-year-olds, 23 female, 29 male). This sample size was comparable to that of prior studies of preschoolers' social judgments and reasoning (Dahl & Kim, 2014; Smetana, Ball, et al., 2018; Van de Vondervoort & Hamlin, 2017). Simulations based on expected patterns of judgments yielded statistical power of .85 for a sample size of 48. The reported race and ethnicity distribution of the sample was: 78% white non-Hispanic/Latinx, 4% Hispanic/Latinx, 2% Asian or Asian-American, 6% as other/mixed, and 10% not reported. Eight additional children were interviewed, but their data were not included due to data recording or errors, child refusal to participate, or teacher interference. Adult participants were recruited from a research participation pool at a large public university in the western United States ( $N = 56$ ,  $M_{\text{age}} = 19;2$ , range: 18;0–22;0, 42 female, 14 male). The reported race and ethnicity distribution of the sample was: 38% Hispanic/Latinx, 36% white non-Hispanic/Latinx, 25% Asian or Asian-American, and 2% as other/mixed. Three additional adults were interviewed, but were excluded from the final sample because of interviewer error. Child interviews were conducted in preschools and adult interviews were conducted in a laboratory.

### Materials and Procedures

**Interviews about hypothetical events.**—We created eight hypothetical stories in which an agent had the opportunity to help someone (see Appendix in the Supplementary Online Materials [SOM]). Situations were developed from a review of prior literature, a predecessor study with adults, and pilot interviews with eight preschool-age children. As mentioned, little was known about preschoolers' judgments about prosocial acts; hence, we sought to include a broad range of prosocial events in this initial study. The interactants were described as children, except when the recipient was a parent. Two events were *high-cost / low benefit* events, in which the agent could help at a high cost to themselves and with



limited benefit to the recipient (e.g., an agent on crutches helping another child get back on their feet after tripping). Two events were *low-cost / high benefit* events in which the agent could help at a low cost to themselves and with great benefit for the recipient (e.g., giving away food when agent was not hungry and the recipient is very hungry). One event was a *relationship* event, in which the agent had a particular relationship to the recipient and the task that could warrant helping (i.e., a child helping her mom cleaning the child's room). This was contrasted with a *no relationship* event, in which there was no such relationship between the agent and the recipient. The *stealing* event involved helping the recipient steal the hat of another person. The *no stealing* event involved helping the recipient retrieving their own hat. Each story was accompanied by a picture depicting the agent and the recipient.

To reduce the duration of the child interviews, we limited the number of hypothetical events per participant to four. Since we were the most confident that children would distinguish the *high-cost / low-benefit* events from the *low-cost / high-benefit* events, each participant was interviewed about one *high-cost / low-benefit* event and one *low-cost / high-benefit* event. In addition, each participant was interviewed about either one *relationship* event or one *no relationship* event, and either one *stealing* event or one *no stealing* event. The order of presentation was counterbalanced using a Latin square design.

For each event, children were first asked a comprehension question (e.g., “Whose hat was it?” in the stealing/no stealing events). As shown in Table 1, the interview procedure was devised to determine whether participants viewed the helping as *impermissible*, *suberogatory*, *obligatory*, or *supererogatory*. All participants were asked whether the participant *should* help. Subsequent questions depended on whether the participant had said that the agent should help. Participants who said the agent should help were asked whether it would be okay for the agent *not to help*, to assess whether they viewed helping as obligatory. They were then asked whether it would still be okay to help if there were a rule against helping, to assess whether their evaluations were sensitive to conventional considerations. Participants who said the agent should not help were asked whether it would be okay for the agent *to help*, and whether it would be okay not to help even if there were a rule saying you have to help. Lastly, all participants were asked whether it would be okay to refrain from helping if the agent and recipient were friends, to assess whether participants were more likely to view helping as obligatory in friendships. (The friendship question was not asked about the *relationship* and *no relationship* events, in which the recipient was the mother of one of the interactants.)

**Interviews about own experiences with helping.**—After interviews about hypothetical events, child participants were asked about their own experiences with helping. They were asked about helping in three contexts: helping parents at home, helping teachers at school, and helping friends. For each context, the child was asked whether they liked to help and what kinds of things they helped with. For the first example they mentioned in each context, children were then asked whether all kids should help with this, why or why not, and whether it would be okay if other children did not help in this way. We did not assess impermissibility of helping for children's own experiences, as we expected that almost no children would say that others should *not* help the way they helped (see Results). Data on

adults' descriptions, judgments, and reasoning about their own experiences with helping will be reported in a separate manuscript.

## Coding

Recordings of the children's responses were video or audio recorded, and then transcribed and coded by trained research assistants. For the purposes of graphing, participants' judgments about prosocial acts were classified as *supererogatory* (should help, okay to refrain), *obligatory* (should help, not okay to refrain), *suberogatory* (should not help, okay to help), and *impermissible* (should not help, not okay to help. See Table 1). Justifications were coded using the coding scheme shown in Table 2, which was developed from prior research on children's social reasoning and well as a review of a subset of the data (Dahl & Kim, 2014; Kahn, 1992; Killen & Turiel, 1998). Children's examples of helping at home, in school, or friends were coded as either *chores* (e.g., "helping water plants"), *clean up* (e.g., "put toys away"), *kindness* (e.g., "hug mom"), *kitchen* (e.g., "help make food"), *play* (e.g., "do puzzles with them"), *other* (e.g., "feeding my fish"), or *none* (e.g., "I don't know"). For assessment of reliability, a second coder coded 20% of the data. Agreement, as measured by Cohen's  $\kappa$ , was .80 for justification and 1.00 for helping categories.

## Data Analysis

Data were analyzed using Generalized Linear Mixed Models, which can model within-subjects design, non-normal distributions of dependent variables, and non-linear relations (Hox, 2010). Models had logistic link function and binomial error distribution since the dependent variables of interest were all dichotomous: *should* judgments (yes/no), *okay* to help (yes/no), *not okay* to help (yes/no), and presence of each *justification type* (present/absent). Models included random intercepts for participants and fixed effects of situation type, child age, and gender. Except when noted, two-way interactions were not statistically significant,  $ps > .05$ . Hypotheses were first tested using likelihood ratio tests. If the overall situation effect were significant, we carried out the three planned comparisons using McNemar tests (for *high-cost / low-benefit* vs. *low-cost high-benefit*) or Fisher tests (for *relationship* vs. *no relationship*, *stealing* vs. *no stealing*).

## Results

For clarity, only the theoretically most important analyses are reported here. For data on children's judgments about hypothetical situations, the analyses are organized around the following questions: (A) Did children think the protagonist should help? Among children who said the protagonist should help: (B1) Why should the protagonist help? (B2) Was helping supererogatory or obligatory (i.e., was it okay or not okay to refrain from helping)? Among those who said the protagonist should not help: (C1) Why should the protagonist not help? (C2) Was helping suberogatory or impermissible (i.e., was it okay or not okay to help)? Analyses of children's responses regarding rules and helping a friend, and complete analyses of justification data, are provided in the SOM. For adults, only analyses on judgments about whether the protagonist should help are reported below; the remaining analyses of adult data are reported in the SOM.

Reporting on children's judgments and reasoning about their own helping events mirrored reporting on children's judgments and reasoning about hypothetical events, addressing the following questions: (A) Did children think another child should help in this situation? (B1) If yes, why should the child help? (B2) Was helping supererogatory or obligatory? (C1) If no, why should the child not help? Finally, we calculated relations between children's judgments about hypothetical helping events and children's judgments about their own helping events.

### Child Responses to Hypothetical Events

Children correctly answered the comprehension question in 98% of cases. Data for scenarios in which children answered the comprehension question incorrectly were removed before analysis.

**(A) Should agent help?:** In 85% of cases, children said the agent should help. Judgments varied significantly by situation type,  $D(5) = 21.45$ ,  $p < .001$ . Two of the planned comparisons were significant: Children were more likely to say that the agent should help in the low-cost / high-benefit situations (92%) than in the high-cost / low-benefit situations (75%), McNemar test:  $p = .035$ . Moreover, children were more likely to say that the agent should help in the no stealing (96%) than in the stealing (64%) condition, Fisher test:  $p = .007$ . The difference between the relationship (96%) and no relationship (89%) conditions was not significant,  $p = .61$ . Girls were more likely to say that the agent should help (92%) than were boys (79%),  $D(1) = 6.15$ ,  $p = .013$ . There was no significant effect of child age,  $D(1) = 0.06$ ,  $p = .80$ .

Figure 2 combines the judgment data to show the proportions of children and adults who indicated whether helping was impermissible (should not help and not okay to help), suberogatory (should not help but okay to help), obligatory (should help and not okay to refrain), or supererogatory (should help but okay to refrain).

### Judgments and reasoning when children thought the agent should help (176 cases).

**(B1) Why should the agent help?:** Next, we analyzed children's justifications for why the agent should help (Table 3). The most common justifications were references to *others' interest/welfare* (43% of cases), *evaluations* (27%), and *agent's interest/welfare* (11%). Children provided no codeable justifications in 15% of cases. The remaining justifications were used in less than 10% of cases, and where not analyzed (*material order*: 6%, *rule/role*: 1%, *autonomy*: 0%).

The use of *evaluations* (e.g., "it's nice to help") did not vary significantly by situation type,  $D(5) = 3.25$ ,  $p = .66$ , child age,  $D(1) = 0.96$ ,  $p = .33$ , or gender,  $D(1) = 0.59$ ,  $p = .44$ .

References to *others' interests/needs* (e.g., "She's hungry") varied significantly by situation type,  $D(5) = 20.59$ ,  $p < .001$ . These justifications were used in 62% of low-cost / high benefit situations, 38% of high-cost / low-benefit situations, 56% of stealing situations, 48% of no stealing situations, and 21% in relationship and no relationship situations (none of the

planned comparisons were significant,  $p = .064$ ). There were no significant effects of child age,  $D(1) = 0.66, p = .42$ , or gender,  $D(1) = .078, p = .78$ .

The frequency of *agent interest/welfare* (e.g., “if he doesn’t help he’ll get in trouble”) justifications varied by situation type,  $D(5) = 14.52, p = .013$ . These justifications were more common in the no stealing situation (26%) than in the stealing situation (0%),  $p = .027$ . The difference was not significant between the relationship and no relationship events,  $p = .097$ , or between high-cost / low benefit and low-cost / high-benefit events,  $p = 1.00$ .

Lastly, younger children were more likely than older children to provide no justification,  $D(1) = 9.49, p = .002$ . There was also a significant effect of situation type,  $D(5) = 12.58, p = .028$ . Children were less likely to provide justifications in the stealing situation (67% provided justification) than in the no stealing situation (100% provided justification),  $p = .004$ . Differences were not significant between relationship (92%) and no relationship (83%) situations,  $p = .67$ , and between high-cost / low-benefit (78%) and low-cost / high-benefit (89%) situations,  $p = .34$ .

**(B2) Obligatory vs. supererogatory helping.:** When children said the agent should help, they judged helping as obligatory (not okay to refrain) in 82% cases and supererogatory in 18% of cases. There were no significant effects of situation type, age, or gender on judgments about obligation,  $p > .30$ .

**Judgments and reasoning when children thought the agent should not help (52 cases).**—Because judgments that the agent should not help were so rare, no statistical hypothesis tests were performed. Below, we report descriptive statistics for these cases.

**(C1) Why should the agent not help?:** When asked why the agent should not help, children referenced *others’ interest/welfare* (34% of cases), *agent interest/welfare* (25%), *evaluations* (19%), or *autonomy* (6%), and provided *no justification* in 19% of cases.

**(C2) Suberogatory vs. impermissible helping.:** When children said the agent should not help, they judged helping as suberogatory in 32% of cases and impermissible in 68% of cases.

### Adult Responses to Hypothetical Events

**(A) Should agent help?:** Adults said the agent should help in 58% of situations (Figure 2). The propensity to say the agent should help depended on situation type,  $D(5) = 123.46, p < .001$ . Adults were more likely to say that the agent should help in the low-cost / high-benefit (81%) than high-cost / low-benefit (45%) situations,  $p < .001$ , no stealing (100%) than stealing (7%) situation,  $p < .001$ , and the relationship (100%) than no relationship (18%) situation,  $p < .001$ . There was no significant effect of gender,  $D(1) = 1.65, p = .20$ . For analyses of adults’ reasoning and judgments about impermissible, suberogatory, obligatory, and supererogatory helping, see SOM.

**Comparison of child and adult judgments.**—To compare children’s and adults’ judgments about prosocial acts, we fitted a model with data from both samples. This model

revealed a significant interaction between study and situation type,  $D(5) = 16.89, p = .005$ . Children were significantly more likely than adults to say that the agent should help in the high-cost / low-benefit situation (children: 75%, adults: 45%), Fisher's exact test:  $p = .002$ , stealing (children: 65%, adults: 7%),  $p < .001$ , and no relationship (children: 89%, adults: 17%),  $p < .001$ , situations. The differences for the low-cost, no stealing, and relationship situations were not significant,  $ps > .09$ .

### Children's Own Helping Events

Complete analyses of children's reports and judgments about their own helping situations are reported in the SOM.

Most children could provide an example of helping at home (88%), in school (73%), or with friends (79%). The situation types were distributed as follows: *clean-up* (45% of examples), *play* (14%), *kitchen* (14%), *other* (13%), *kindness* (9%), and *chores* (6%).

#### Judgments and reasoning about own helping.

**(A) Should others help?:** In 83% of cases, children said someone else should also help in the activity they described, even if they did not want to. There were no significant effects of context,  $D(1) = 0.46, p = .80$ , age,  $D(1) = 0.11, p = .74$ , or gender,  $D(1) = 1.43, p = .23$ .

**(B1) Why should others help (129 cases)?:** The most common justification categories were *evaluations* (38% of cases), *others' interest/welfare* (19%), and *material order* (13%) justifications. In addition, 13% provided no codeable justification. *Agent interest/welfare* (7%), *other* (3%), *rule/role* (2%), and *autonomy* (0%) were used too rarely to be further analyzed (Table 3).

**(B2) Obligatory vs. supererogatory helping.:** In 79% of cases, children indicated that helping was obligatory. There were no significant effects of context,  $D(2) = 0.34, p = .84$ , age,  $D(1) = 1.22, p = .27$ , or gender,  $D(1) = 1.76, p = .18$ . (As expected, very few children said that others should not help the way they help, so impermissibility of helping was not assessed.)

**(C1) Why should others not help (27 cases)?:** When children said the agent should not help, they provided *no justification* (22%), or used *agent interest/welfare* (19%), *other* (4%), or *others' interest/welfare* (4%) justifications.

**Relation between judgments about obligation in hypothetical and own examples.—**To assess whether children's judgments about their own helping events related to judgments about hypothetical helping events related to, we correlated judgments about whether it would be okay *not* to help (i.e., whether helping was obligatory). For own events, we averaged across the home, school, and friends events (1 = not okay not to help, 0 = okay not to help). For hypothetical judgments, we averaged across the high-cost and low-cost events, since both children received one of each (for other helping events, only half of the children received each story).

There was a significant positive correlation between obligation judgments in hypothetical events (high-and low-cost) and own events, *Spearman*  $r = .41, p = .003$ . That is, children who were more likely to say it would be wrong not to help in their own examples of helping were more likely to say it would be wrong not to help in the hypothetical high-and low-cost events.

## Discussion

Decisions about when to help rest on distinctions among impermissible, suberogatory, obligatory, and supererogatory prosocial acts. Developing these distinctions involves reasoning about welfare and rights in the contexts of costs and benefits to the agent and recipient, social relationships, and the moral valence of the recipient's goal (e.g., Dahl, Gingo, et al., 2018; Killen & Turiel, 1998; Miller et al., 1990; Nucci et al., 2017; Turiel, 2015; Weller & Lagattuta, 2014). The present research investigated whether children make these distinctions by three to five years of age, when explicit moral judgments are emerging and children sometimes struggle to incorporate multiple considerations into social judgments (Dahl & Paulus, 2019; Killen et al., 2018; Tomasello, 2018).

Across a variety of situations, children commonly judged prosocial acts as obligatory. For both hypothetical situations and their own helping events, children said that that it would be wrong to refrain from helping in most cases. Children rarely deemed helping as supererogatory (agent should help, but it would be okay not to help). In contrast, adults viewed helping as obligatory in less than half of cases, and frequently viewed helping as supererogatory in response to the low-cost / high-benefit, relationship, and no stealing events.

Children were surprisingly likely to say the agent should help, and that helping was obligatory, even in the *stealing* scenario: About half of children said that it would be wrong not to help the recipient by retrieving a hat that belonged to another child. There was no indication that the finding simply reflected a lack of scenario comprehension, for instance about who owned the hat. In response to the comprehension questions (e.g., "Whose hat is this?"), virtually all children responded correctly; data for children who responded incorrectly (2%) were removed before analysis. A more likely explanation for why children so often judged helping as obligatory—more often than adults—is that young children struggle to balance competing consideration when they form social judgments (Killen et al., in press; Nucci et al., 2017; Turiel, 2008b). When young children encounter a situation that pertains to multiple principles (e.g., helping is good vs. stealing is bad), they sometimes focus on one principle (e.g., recipient welfare) and disregard others (e.g., agent welfare or property rights). In a study with even older children, Nucci and colleagues (2017) found that 8-year-olds were more likely than 11- and 14-year-olds to view helping as obligatory. Thus, children's difficulties with coordinating competing considerations about right and wrong are evident during preschool age and beyond (Killen et al., 2018; Kohlberg, 1971; Turiel, 2008b).

Nevertheless, children's judgments and reasoning about hypothetical and actual prosocial acts revealed several socio-moral considerations (Dahl, Gingo, et al., 2018; Nucci et al.,

2017; Turiel, 2015). First, children were responsive to the welfare of the agent and recipient. Children were significantly more likely to say the agent should help when the cost to the agent was low and benefit to recipient was high, than when cost to the agent was high and benefit to the recipient was low (Nucci et al., 2017; Weller & Lagattuta, 2014). In explaining why an agent should help, children frequently referenced the welfare of the recipient or, less commonly, the agent (Killen & Turiel, 1998; Recchia et al., 2015). Second, children's judgments were sensitive to the perceived valence of the agent and recipient intentions. Children were less likely to say that the agent should help the recipient steal a hat (vs. retrieving recipient's own hat), and frequently explained why the agent should help using moral evaluative concepts such as "nice" or "kind." They also invoked non-social, pragmatic considerations about how helping would create material order (Dahl & Kim, 2014). In contrast, the presence of rules did not appear to be a primary source of children's judgments about prosocial acts. Children rarely referenced the existence of rules when explaining why the agent should help, and typically thought helping would be okay even if there were a rule against helping. This suggests that young children tend to think about prosocial acts in moral, rather than conventional, terms (Turiel, 2015).

In contrast, relationship considerations had limited impact on children's judgments about prosocial acts. Children typically said that the agent was obligated to clean up, irrespective of whether the agent was helping their own parent or another child's parent. If the agent and recipient were friends, children were significantly more likely to say that the agent was obligated to help in the high cost / low benefit situations, but not in the other situations (the latter null findings may have been due to ceiling effects). The limited effects of relationship on children's judgments were surprising, both given the present findings with adults and past findings with older children (Killen & Turiel, 1998; Miller et al., 1990).

One limitation of the present study was the number of situations examined. A larger study investigating children's judgments about a wider variety of hypothetical situations would be important for documenting additional contributors to children's judgments about impermissible, suberogatory, obligatory, and supererogatory helping. For instance, it would be useful to manipulate agent cost and recipient benefit separately. Next, research is needed on a broader range of roles and relationships, as different roles and relationships may entail different obligations. For instance, if a child is assigned to help clean up after a mealtime in preschool, this role may entail specific obligations about cleaning up after mealtime, but not about cleaning up after playtime. Lastly, it is possible that children would have been even less likely to judge helping as obligatory in even simpler situations involving recipient goals with negative valence.

A second set of questions pertain to cultural variability. By middle childhood, if not before, there are substantial differences in children's contributions to household work (Alcalá et al., 2014; Coppens et al., 2014; Rogoff et al., 1993; Telzer & Fuligni, 2009). Correspondingly, children and adults from different communities sometimes perceive different obligations to help (Miller et al., 1990). In the United States, Latinx youth from working-class communities often express responsibilities to provide financial or practical help to their families not expressed by their European American, middle-class peers (Covarrubias et al., in press; Hardway & Fuligni, 2006). The developmental sources of cultural variability

judgments and decisions about prosocial actions toward family members constitute an exciting topic for future research.

An overarching question is how children gradually form more nuanced judgments and reasoning about prosocial acts. Children's judgments and reasoning differed in many respects from adults' judgments and reasoning, and also from the patterns of judgments and reasoning observed in past studies with older children (Kahn, 1992; Killen & Turiel, 1998; Miller et al., 1990). In particular, how do children come to view many acts of helping as supererogatory: good actions that are not obligatory (Kahn, 1992; Kant, 1785; Williams, 1985)? And how do children come to coordinate distinct considerations about helping situations so as to think it is wrong to help a recipient steal from or harm others? These developments are likely guided by advances in children's socio-cognitive abilities as well as social experiences with helping and being helped (Dahl, Waltzer, et al., 2018; Hastings et al., 2015; Recchia et al., 2015).

It will also be important to investigate relations between children's own prosocial acts and children's judgments about when individuals should help, when helping is obligatory, and when helping is wrong. Such relations between judgments and actions have been hypothesized by many authors (Blake et al., 2014; Dahl & Paulus, 2019; Hay & Cook, 2007; Paulus et al., 2018; Turiel, 2015). The present study provided preliminary evidence that children's judgments about hypothetical events relate to their judgments about their own prosocial acts. Research is now needed to examine whether young children are more likely to help when they view helping as obligatory. As part of this research, it will be crucial to examine how children's evaluative judgments and reasoning align with or conflict with other motives (Ball et al., 2016; Bloom, 2016). In recent years, researchers have made theoretical and methodological progress on studying children's many different motivations to help, including from empathy and intrinsic desires to see others' helped, concerns with punishments and rewards, and social affiliation (for overviews, see e.g., Eisenberg et al., 2016; Hepach et al., 2012; Paulus, 2014; Warneken, 2015). When children face an opportunity to help, they may experience little empathy yet perceive an obligation to help; or they may perceive a reward to helping someone steal, yet deem helping to be wrong. How children navigate such dilemmas of prosociality, integrating judgments with other motives, will be a key topic for future inquiry.

This research evidences that young children can judge prosocial acts as obligatory, impermissible, and – less commonly – supererogatory or suberogatory. Children's judgments were primarily based on moral concerns with welfare and the intent of the persons involved. Comparisons with responses of adults in the present study and older children in past research indicate that judgments about prosocial acts undergo major transformations beyond five years of age. Most strikingly, in many situations children were more likely than adults to view the prosocial acts as obligatory. By contrast, adult participants made clear and hypothesized distinctions among different prosocial acts based on considerations about welfare, relationships, and valence of recipient goal. The subsequent transformations in judgments and reasoning about prosocial acts, implied by the present data, likely contribute to the development of individual and cultural differences in when and how to help.



## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

This research was supported by a grant from the National Institute of Child Health and Human Development (R03HD087590) to AD. We thank members of the Early Social Interaction Laboratory at the University of California, Santa Cruz, for comments on an earlier version of this manuscript.

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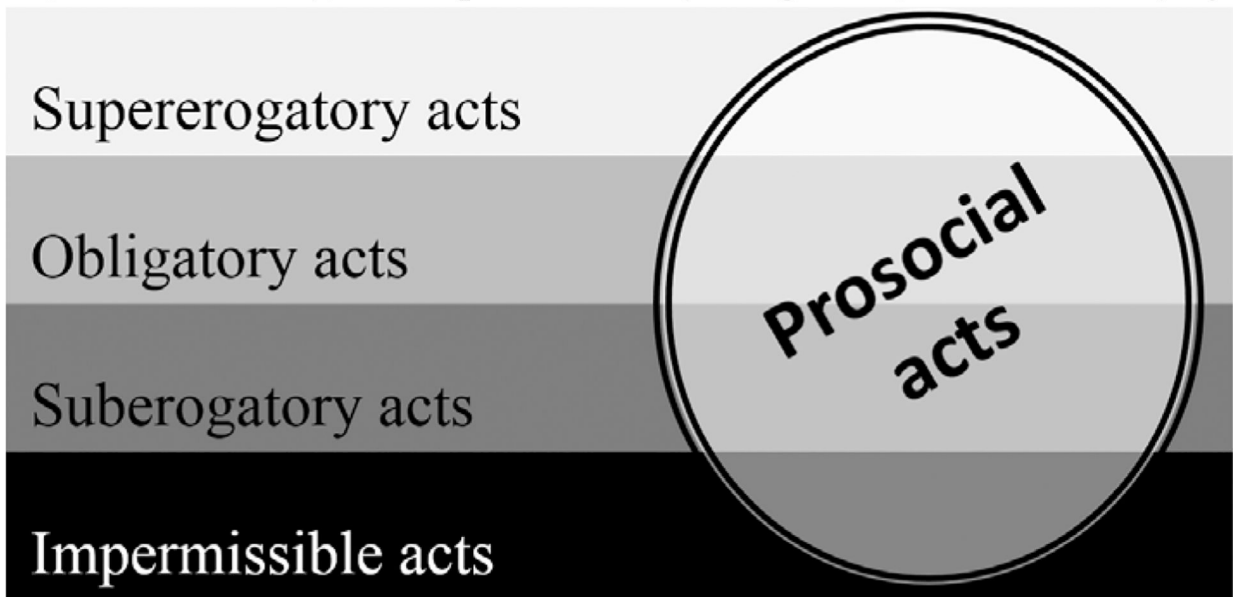
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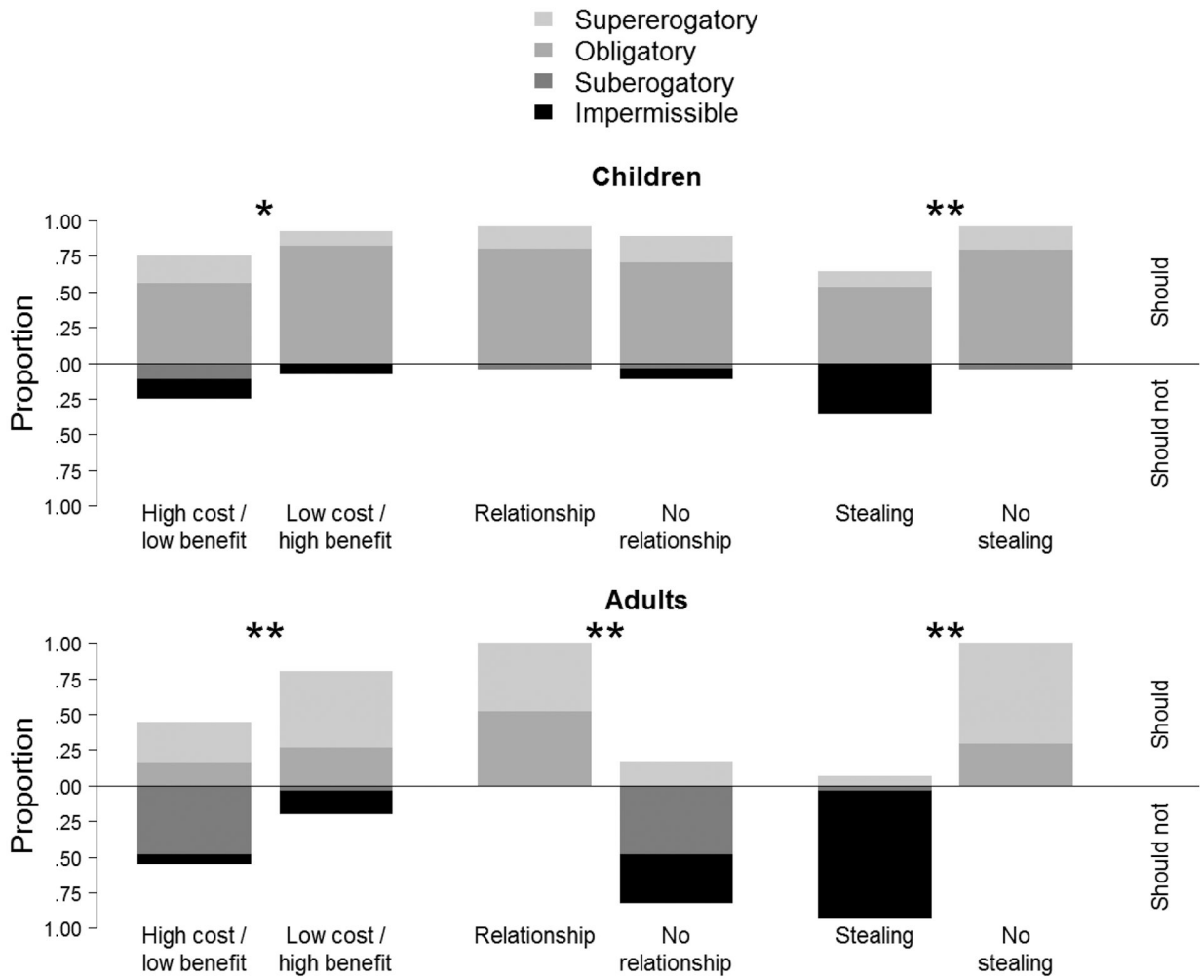
### Highlights

- Judgments about prosocial acts guide decisions about when to help
- Such judgments incorporate several moral and non-moral considerations
- Three- to five-year-olds and adults were interviewed about prosocial actions
- Children judged prosocial acts as obligatory more often than adults
- Children's judgments were sensitive to the welfare and intent of interactants



**Figure 1.**

Graphical representation of intersection among prosocial acts and acts judged as supererogatory, obligatory, suberogatory, or impermissible. The subset of actions that are prosocial are expected to intersect with the subsets of actions that are deemed *supererogatory* (i.e., okay to refrain, should do), *obligatory* (wrong to refrain, should do), *suberogatory* (okay to do, should refrain), and *impermissible* (wrong to do, should refrain) by most or all people.



**Figure 2.** Children’s and adults’ judgments about helping. The bars show proportions of participants indicating that helping was impermissible, suberogatory, obligatory, or supererogatory. (The same data are represented in Table S1.) The horizontal line separates judgments that the agent should help from judgments that the agent should not help. The horizontal axis indicates proportions of all participants responding to a given situation. Pairwise comparisons for propensities to say that the agent should help: \* $p < .01$ , \*\* $p < .001$



Table 1

Overview of Interview	
Initial evaluation	
Should A help?	
Yes	No
↙	↘
<p><b>Reasoning</b></p> <p><b>Obligation/permission</b></p> <p>Why should A help?</p> <p>Would it be okay if A did not help?</p> <p>Yes</p> <p>No</p>	<p>Why should A not help?</p> <p>Would it be okay if A did help?</p> <p>Yes</p> <p>No</p>
<p><b>Classification</b></p> <p><b>Permission to act against rule</b></p> <p><b>Obligation to help a friend</b></p>	<p><u>Supererogatory</u></p> <p><u>Obligatory</u></p> <p><u>Suberogatory</u></p> <p><u>Impermissible</u></p>
<p>If there was a rule against helping, would it be okay for A to help then?      If there was a rule requiring helping, would it be okay not to help then?</p> <p>If A and R were friends, would it be okay not to help then?</p>	

Note. A = Agent; R = Recipient. Italicized words indicate participant responses. The question about obligation to help a friend was not asked for the relationship/no relationship events.

**Table 2**

Coding Scheme for Justifications

<b>Code</b>	<b>Definition</b>	<b>Justifications</b>
Autonomy	References to what a persons' rights and responsibilities for taking care of themselves and their own things	„She should clean her own room”
Evaluation	Valenced statement about action or outcome	„It's kind” „That's a nice thing to do”
Material order	Reference to consequences of act for physical order/disorder	„The room was messy”
Others' interest/welfare	Reference to what benefits the recipient or others	„She's hungry” „She needs help”
Agent interest/welfare	Reference to what benefits the agent	„She needs to eat the food herself” „If he doesn't help he'll get in trouble”
Social organization	References to rules, roles, or authority	„You're supposed to help your parents”
Other	Statement not fitting into above categories	„It's cleaning time”
None	No justification provided	„I don't know”

**Table 3**

Justifications for Judgments that Agent Should Help

Situation	Justification category							
	Autonomy	Evaluation	Material	Others' interest	Agent interest	Organization	Other	None
<b>Children: Hypothetical events</b>								
High cost / low benefit	.00	.28	.00	.38	.08	.03	.00	.23
Low cost / high benefit	.00	.23	.00	.62	.06	.00	.00	.11
Relationship	.00	.29	.13	.21	.25	.04	.04	.08
No relationship	.00	.29	.29	.21	.04	.00	.00	.17
Stealing	.00	.17	.00	.56	.00	.00	.00	.33
No stealing	.00	.35	.00	.48	.26	.00	.00	.00
<b>Adults: Hypothetical events</b>								
High cost / low benefit	.04	.25	.00	.67	.63	.04	.00	.00
Low cost / high benefit	.02	.23	.00	.72	.56	.02	.00	.00
Relationship	.48	.04	.04	.16	.68	.04	.00	.00
No relationship	.20	.40	.00	.20	.20	.20	.00	.00
Stealing	.00	.00	.00	.50	.50	.00	.00	.00
No stealing	.00	.24	.00	.52	.84	.00	.00	.00
<b>Children: Own events</b>								
Home	.00	.32	.23	.27	.07	.00	.00	.11
Friends	.00	.48	.05	.10	.07	.07	.02	.17
School	.00	.35	.12	.21	.07	.00	.07	.12

Note. Cells show proportions of participants saying the agent should act who used each justification category.