



## Estimation of historical control rate for a single arm de-escalation study – Application to the POSITIVE trial

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**Background:** Although randomized controlled clinical trials are optimal to evaluate the effect of an experimental therapy, single-arm trials are required whenever randomization is unethical or not feasible, such as de-escalation studies. We propose using prospectively identified historical controls to place results of single-arm, de-escalation trials into context.

**Methods:** POSITIVE is a prospective, single-arm study in young women with hormone-receptor-positive early breast cancer to determine if temporarily interrupting adjuvant endocrine therapy in order to become pregnant increases the risk of a breast cancer event. After 272 women enrolled in POSITIVE, we identified a cohort of 1499 SOFT/TEXT patients potentially eligible to enroll in POSITIVE who did not interrupt endocrine therapy. Method I used the SOFT/TEXT cohort to calculate annualized hazard rates by a piecewise exponential model. Method II used the SOFT/TEXT cohort to group-match SOFT/TEXT patients to POSITIVE patients; sample sets of SOFT/TEXT patients were randomly drawn 5000 times to obtain sets having patient, disease, and treatment characteristics more balanced with POSITIVE participants.

**Results:** Compared with SOFT/TEXT, POSITIVE participants were younger, less likely to be overweight/obese, had fewer positive nodes, and fewer received aromatase inhibitor or chemotherapy. The estimated 3-year breast cancer free interval event rates were 9.5% (95% CI: 7.9%,11.1%) for Method I and 9.4% (95% CI: 7.8%,10.9%) for Method II, compared with 5.8% initially assumed when POSITIVE was designed.

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*Conclusion:* External control datasets should be identified before launching single-arm, de-escalation trials and methods applied during their conduct to provide context for interim monitoring and interpretation of the final analysis.

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## 1. Introduction

A randomized, controlled clinical trial with concurrent control arm is the optimal way to minimize bias when evaluating the effect of an experimental intervention. Sometimes it is infeasible or unethical to carry out a randomized study, and a single-arm trial is conducted. In addition, there is growing interest in studies designed to de-escalate therapy to reduce morbidities such as adverse effects, inconvenience and costs of standard-of-care treatments [1]. Although randomized non-inferiority studies can be conducted, these require large sample sizes, are costly to conduct, are of little interest to highly resourced funders, and are often difficult to interpret due to the arbitrary nature of the non-inferiority margin. Thus, single-arm de-escalation studies are appealing.

One setting for de-escalation, where randomization is not ethical, is to determine whether temporary interruption of adjuvant endocrine therapy for young breast cancer patients who wish to become pregnant is safe [2–4]. POSITIVE (Pregnancy Outcome and Safety of Interrupting Therapy for women with endocrine responsive breast cancer; NCT02308085) is a prospective, single-arm, international study designed to evaluate pregnancy outcomes and safety of temporarily interrupting endocrine therapy for young women with estrogen receptor positive (ER+) breast cancer who desire pregnancy. The interim and final analyses are based on assumptions regarding historical control rates of breast cancer events, and the protocol prospectively planned to reassess these assumptions. POSITIVE is led by the International Breast Cancer Study Group (IBCSG), with global participation from the Breast International Group (BIG), and United States National Clinical Trials Network (US NCTN) coordinated by the Alliance for Clinical Trials in Oncology.

In order to place the results of the POSITIVE study into context, we describe two methods that rely only on baseline characteristics of participants to estimate standard-of-care external control breast cancer event rates for the single-arm POSITIVE trial. To facilitate the Data and Safety Monitoring Committee review scheduled for November 2018, 272 POSITIVE participants enrolled prior to August 1, 2018 were included. We use outcome data for patients in the SOFT and TEXT clinical trials [5–8] who did not interrupt their adjuvant endocrine therapy.

## 2. Materials and methods

### 2.1. POSITIVE trial

Women between 18 and 42 years of age who desired to become pregnant and had completed 18–30 months of adjuvant endocrine therapy for early-stage ER + breast cancer were eligible to enroll in POSITIVE. An interruption of endocrine therapy for up to 2 years is permitted to allow pregnancy attempt (after a 3-month washout period), delivery, and breastfeeding. Resumption of endocrine therapy to complete 5–10 years of treatment is expected as soon as pregnancy and breastfeeding are finished, or after it is determined that conception is not possible. The accrual goal was 500 patients.

The primary objective is to assess the risk of breast cancer

recurrence associated with temporary interruption of endocrine therapy to permit pregnancy. The primary analysis will estimate breast cancer free interval (BCFI), defined as the time from enrollment in the study to the first invasive breast cancer event (local, regional, or distant recurrence or a new invasive contralateral breast cancer), and estimated by Kaplan-Meier method with focus on the 3-year event percentage. The protocol includes three interim monitoring time points to permit early stopping of the trial in case the observed risk of a breast cancer event is higher than anticipated, based on historical control estimates. The primary analysis is planned based on 1600 patient-years of follow-up (approximately 3 years median follow-up).

### 2.2. SOFT/TEXT trials

Prior to launching POSITIVE, data from the Suppression of Ovarian Function Trial (SOFT) and the Tamoxifen and Exemestane Trial (TEXT) [5–8] were used to estimate the historical control risk of a breast cancer event if adjuvant endocrine therapy had not been interrupted. Based on the entire cohort of 5738 premenopausal women enrolled in SOFT/TEXT between 2003 and 2011, the estimated 3-year BCFI percent was 94.2%, corresponding to a 5.8% 3-year BCFI event percent (2% annual risk of a breast cancer event). This anticipated rate was used to design the interim monitoring plans and primary analysis for the POSITIVE trial. The POSITIVE protocol prespecified that, prior to reporting trial results, SOFT/TEXT data would be used to re-estimate the external control 3-year BCFI event percent based upon characteristics of patients actually enrolled in POSITIVE. Such re-estimation is the subject of this report.

SOFT and TEXT are two international Phase III randomized clinical trials in premenopausal patients with ER + breast cancer led by the IBCSG. In SOFT, patients were randomized to receive either tamoxifen alone, or ovarian function suppression (OFS) with tamoxifen, or OFS with the aromatase inhibitor (AI) exemestane, after completion of adjuvant chemotherapy or following surgery alone. In TEXT, patients were randomized to receive OFS with exemestane or OFS with tamoxifen, after surgery and before starting any adjuvant therapy.

Estimating Historical Control using SOFT/TEXT patients.

First, we identified a cohort of SOFT/TEXT patients with POSITIVE criteria eligibility (“eligible SOFT/TEXT cohort”). Because the median duration of adjuvant endocrine therapy received by the POSITIVE participants prior to their enrollment in the study was 24 months, we identified SOFT/TEXT patients who received at least 24 months of adjuvant endocrine therapy, and were 18–42 years old and still disease-free (no invasive breast cancer recurrence, contralateral breast cancer, or second non-breast malignancy) when they reached 24 months of therapy. Patients who had undergone oophorectomy or hysterectomy by 24 months of endocrine therapy were excluded. Patients with more than 10 positive lymph nodes were excluded to reflect the cancer characteristics of POSITIVE participants. As allowed by the protocol, some SOFT patients had initiated oral endocrine therapy prior to study enrollment, and this was taken into account in assessing eligibility.

Two methods, described below, were used to estimate historical

control based on the eligible SOFT/TEXT cohort:

**Method I:** *Direct estimate based on the SOFT/TEXT cohort eligible for POSITIVE.* The annualized hazard rate of BCFI event over the first three years since eligibility was calculated using the maximum likelihood estimates from an exponential model. This estimate (reported as percent) is the number of BCFI events occurring within the first three years divided by the total years of follow-up accrued by patients at risk during that interval. The 3-year BCFI event rate was estimated based by Kaplan-Meier method.

**Method II:** *Estimate based on the SOFT/TEXT cohort group-matched to enrolled POSITIVE participants.* First, we examined the distribution of patient, disease and treatment characteristics of POSITIVE and eligible SOFT/TEXT cohorts and identified those characteristics that differed (Table 1). The associations of each of these characteristics with BCFI over the 0- to 3-year interval were further examined in the SOFT/TEXT cohort by fitting univariate Cox models (Table 2), and if the factor was unbalanced (i.e.,  $p < 0.20$  in the univariate Cox model), then the characteristic was selected for matching. The five identified matching factors were used to divide the POSITIVE participants and the SOFT/TEXT cohort into 72 strata. Factors included age (<35, 35–39, 40–42 years), body mass index (BMI) (unknown/normal, overweight/obese), nodal status (pN0, pN+1–3, pN+4–9), prior AI received (yes, no), and prior chemotherapy received (yes, no). Of note, in some instances the number of patients in a stratum was 0. The SOFT/TEXT cohort was sampled with replacement so that the number of patients allocated to each stratum in the SOFT/TEXT cohort was proportional to the number of participants in the corresponding stratum in POSITIVE. If the matched stratum was empty, then a SOFT/TEXT patient from the stratum nearby was chosen. The random sampling of the SOFT/TEXT cohort was repeated 5000 times. For each sample, the annualized hazard rate of a BCFI event and 3-year BCFI event rate were calculated. The annualized hazard rate of BCFI event was calculated using the maximum likelihood estimate from a piecewise exponential model (for intervals 0–3 years and >3 years) and the 3-year BCFI event rate was calculated based on Kaplan-Meier method. The estimates of the true annualized hazard rate for BCFI and the true 3-year BCFI event rate were calculated by taking the mean of the 5000 estimates. Non-parametric 95% confidence intervals were derived using the 2.5th and 97.5th percentiles of the distribution of the 5000 estimates for each respective parameter.

### 3. Results

Of 5738 SOFT/TEXT patients, 1499 met the eligibility criteria for POSITIVE. Table 1 shows that the patients enrolled in POSITIVE are younger, less overweight/obese, and had fewer positive lymph nodes than the eligible SOFT/TEXT cohort. Also, as expected, fewer POSITIVE patients had prior delivery/pregnancy and fewer POSITIVE patients received prior AI, chemotherapy and OFS.

Table 2 summarizes 3-year BCFI event percentages and the  $p$ -values from the univariate Cox models for the unbalanced factors identified in Table 1. As prior pregnancy is highly correlated with prior delivery, only prior delivery was examined in Table 2. The factors associated with BCFI (with  $p$ -value <0.2) are age, BMI, nodal status, whether prior AI was received and whether prior chemotherapy was received. Those factors were used for matching in Method II. The right-hand column in Table 1 summarizes the means of the distributions of the characteristics over the 5000 random sample sets generated from Method II. After matching, as expected, the distributions of the matched characteristics are indeed very similar to those of POSITIVE. Although some unmatched characteristics remain unbalanced, they are both closer to the distribution in POSITIVE and have little influence on BCFI outcome.

Table 3 gives the results obtained from Methods I and II for BCFI. In Method I, based on the eligible SOFT/TEXT cohort with 1499 patients, the annualized hazard rate of BCFI during the first three years is 3.4% per year with 95% CI (2.8%, 4.0%). The 3-year BCFI event rate is 9.5% with 95% CI (7.9%, 11.1%).

Using Method II, based on the 5000 random sample sets to group-match SOFT/TEXT to POSITIVE patients enrolled, the mean of the annualized risk is 3.4% (95% CI, 2.8%, 4.0%). The mean of 3-year BCFI event rates is 9.4% (95% CI, 7.8%, 10.9%). Fig. 1 shows the Kaplan-Meier curve of the eligible SOFT/TEXT cohort (Method I), and the averages of the Kaplan-Meier estimates from the 5000 random sample sets (Method II). The two curves are very consistent, supporting the finding that the unmatched and group-matched methods give the same result for this analysis.

To visually assess the extent to which the 3-year BCFI estimate is influenced by the selection of covariates used for matching, Table 4 shows results of Method II when covariates used for matching are removed one at a time. The 3-year BCFI estimates range from 8.4% to 10.0%. We note that all these estimates based on SOFT/TEXT are greater than the 5.8% assumed for the design of the POSITIVE trial, and all of the 95% confidence intervals based on the models exclude 5.8%.

### 4. Discussion

Although randomized clinical trials are the best methodology for comparing two treatment strategies, in some cases they are unethical or infeasible. In designing the POSITIVE trial, it was not reasonable to randomize women with early breast cancer who desire pregnancy to an arm that would not permit pregnancy attempt. In addition, randomized trials designed to demonstrate non-inferiority of a de-escalated therapy versus standard therapy require substantial numbers of patients, often making them infeasible [9]. Recent single-arm designs assessing de-escalation of therapy, including the APT trial [15] and the low-risk cohorts of the TAILORx and MINDACT trials [16,17], have made this an appealing and clinically valuable design option. However, these single-arm studies are often developed and conducted without pre-specifying historical control datasets that can be used to place the eventual results into clinical context. While estimating “standard-of-care” therapy outcomes for the eligible patient population might be available, estimating historical control outcomes for the cohort of patients actually enrolled is essential to assess the viability of a de-escalation strategy. The methods described in this paper achieve this clinically relevant objective.

Two methods were discussed that estimated the rates of historical controls, using the POSITIVE single-arm breast cancer trial as an example. A cohort of patients from SOFT/TEXT who met POSITIVE eligibility criteria was identified. Method I estimated breast cancer recurrence rates directly using the eligible SOFT/TEXT cohort, while Method II used stratified matching of factors shown to be prognostic. For both methods, only characteristics known at entry into the POSITIVE study were used to estimate the BCFI rates of historical controls. Therefore, in addition to the final analysis and interpretation of definitive results, the described methods have a role for better monitoring event rates during the conduct of the study.

At the later stage of the POSITIVE trial when longer follow-up is available, a traditional Cox proportional hazards modeling or propensity-score Cox proportional hazards modeling [10] controlling for important risk factors, could be performed based on the eligible SOFT/TEXT cohort and POSITIVE patients to examine the trial effect. As the eligible SOFT/TEXT cohort was selected based on eligibility criteria for the POSITIVE trial, the trial effect could be viewed as mainly due to temporarily interrupting endocrine

**Table 1**  
The distribution of patient, treatment and disease characteristics for the POSITIVE participants (N = 272), the eligible SOFT/TEXT cohort (N = 1499), and the means of the 5000 random sample sets drawn from the eligible SOFT/TEXT cohort using Method II.

	POSITIVE enrolled (N = 272)		SOFT/TEXT eligible (N = 1499)		SOFT/TEXT group matched random samples <sup>a</sup>
	N	%	N	%	%
Age (years)					
<35	92	33.8	286	19.1	33.9
35–39	110	40.4	573	38.2	40.3
40–42	70	25.7	640	42.7	25.8
Body mass index (BMI; kg/m <sup>2</sup> )					
Normal (<25)	199	73.2	871	58.1	72.9
Overweight (25–<30)	43	15.8	337	22.5	13.2
Obese (≥30)	21	7.7	257	17.1	10.5
Unknown	9	3.3	34	2.3	3.4
Previous pregnancy					
No	160	58.8	387	25.8	33.0
Yes	112	41.2	1112	74.2	67.0
Previous delivery of a baby					
No	200	73.5	431	28.8	35.7
Yes	72	26.5	1068	71.2	64.3
No. nodes positive					
pN0	182	66.9	794	53.0	66.6
pN+ 1–3	75	27.6	523	34.9	28.1
pN+ 4–9	14	5.1	175	11.7	5.3
Unknown	1	0.4	7	0.5	
Tumor size (path.; cm)					
≤2 cm	169	62.1	847	56.5	63.5
>2 cm	97	35.7	605	40.4	33.6
Unknown	6	2.2	47	3.1	3.0
Tumor grade					
1	47	17.3	223	14.9	17.3
2	127	46.7	770	51.4	52.5
3	91	33.5	478	31.9	28.9
Unknown	7	2.6	28	1.9	1.3
HER2 status					
Negative	208	76.5	1191	79.5	77.7
Positive	61	22.4	256	17.1	17.6
Unknown	3	1.1	52	3.5	4.7
Estrogen receptor (ER ≥ 10%)					
Negative	3	1.1	42	2.8	2.1
Positive	269	98.9	1457	97.2	97.9
Progesterone receptor (PgR ≥ 10%)					
Negative	30	11.0	181	12.1	11.1
Positive	239	87.9	1300	86.7	87.8
Missing	3	1.1	18	1.2	1.1
Prior chemotherapy					
No	105	38.6	359	23.9	37.5
Yes	167	61.4	1140	76.1	62.5
Prior AI received					
No	214	78.7	893	59.6	78.5
Yes	58	21.3	606	40.4	21.5
Prior OFS received					
No	121	44.5	315	21.0	23.4
Yes	151	55.5	1184	79.0	76.6

<sup>a</sup> In Method II, 5000 random sample sets of eligible SOFT/TEXT patients were drawn with replacement matching characteristics of the POSITIVE participants. The matched characteristics used are age (<35, 35–39, 40–42), BMI (unknown/normal, overweight/obese), nodal status (pN0, pN+1–3, pN+4–9), prior AI received (yes, no), and prior chemotherapy received (yes, no).

therapy plus any effect, adverse or favorable, of pregnancy.

Other methods can be explored for evaluating the treatment effect in single-arm trials. The use of synthetic control arm was suggested for a single-arm trial where the data from Medidata's archive of 340 patients with acute myeloid leukemia were mined and matched with the 16 patients from an experimental arm for analysis [11]. Patients were matched on ≥4 of 6 baseline criteria at the individual patient level and then combined to estimate the treatment effect, as well as for other exploratory subgroup analyses. A control arm can also be constructed from historical data by pair-matching the control patient with each patient on the experimental arm via propensity scores [12,13]. Recently, Ventz et al. [14] examined designs with stringent futility early-stopping rules and

those that leverage both toxicity and efficacy endpoints, demonstrating that such designs have little impact on power, but enhanced safety.

The results presented in this paper using Methods I and II were based on one historical dataset (SOFT/TEXT) and 272 POSITIVE patients. To assess robustness of historical controls, these methods should be applied using data from multiple sources. In fact, the POSITIVE protocol specifies that the Austrian Breast & Colorectal Cancer Study Group (ABCSCG) Trial 12 [18] and ASCO's CancerLinQ may be candidate datasets.

The annualized hazard rate of BCFI event during the first 3 years and the 3-year BCFI event rate obtained from both Methods I and II were higher than those assumed in the POSITIVE protocol (Table 3).



**Table 2**

The estimates of 3-year BCFI rates in the eligible SOFT/TEXT cohort (N = 1499) according to unbalanced characteristics (p-values from univariate Cox models<sup>a</sup>).

	3-year BCFI %	P-value
Age (years)		
<35	87.7	0.12
35–39	90.6	
40–42	91.8	
Body mass index (BMI; kg/m <sup>2</sup> )		
Normal (<25)	91.2	0.17
Overweight (25–<30)	87.6	
Obese (≥30)	91.8	
Previous delivery of a baby		
No	90.3	0.81
Yes	90.6	
No. nodes positive		
pN0	93.4	<.0001
pN+ 1–3	89.1	
pN+ 4–9	82.1	
Prior chemotherapy		
No	95.0	0.003
Yes	89.2	
Prior AI received		
No	88.4	0.002
Yes	93.7	
Prior OFS received		
No	89.4	0.40
Yes	90.9	

<sup>a</sup> In the Cox models, BCFI was censored at 3 years; the p-values reported are from the univariate Cox model with only the characteristic of interest included.

It is reassuring that estimates obtained by the two methods are so similar. These findings are very important for safety monitoring of the POSITIVE trial by the Data and Safety Monitoring Committee, as adjustments to interim monitoring boundaries are appropriate. External control datasets should be identified before launching single-arm, de-escalation trials. Methods, such as those described in this paper, should be applied to provide context for interim monitoring and clinical interpretation of the final results.

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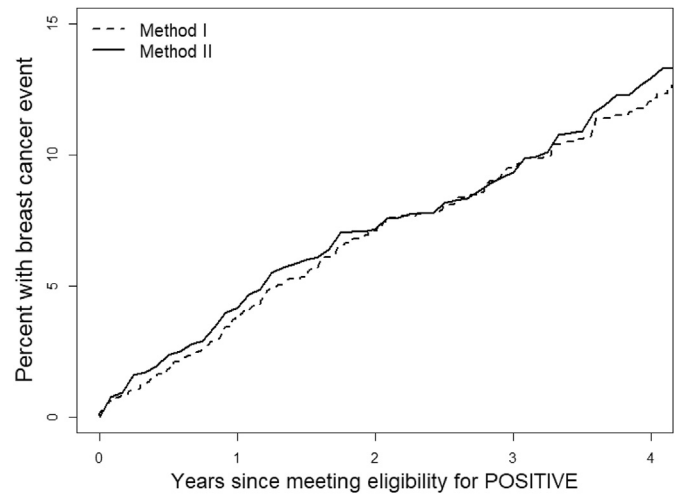
**Table 3**

BCFI estimates\* from Methods I and II.

	Annualized hazard rate over the first 3 years	95% CI	3-year BCFI event rate	95% CI
Rate assumed in the original POSITIVE design	2%		5.8%	
Method I	3.4%	(2.8%, 4.0%)	9.5%	(7.9%, 11.1%)
Method II <sup>a</sup>	3.4%	(2.8%, 4.0%)	9.4%	(7.8%, 10.9%)

\*There were 168 BCFI events in SOFT/TEXT eligible cohort; among them, 126 occurred within 3 years after time 0.

<sup>a</sup> In Method II, 5000 random sample sets of SOFT/TEXT patients were drawn with replacement matching the characteristics of the POSITIVE participants. The matched characteristics used are age (<35, 35–39, 40–42), BMI (unknown/normal, overweight/obese), nodal status (pN0, pN+1–3, pN+4–9), prior AI received (yes, no), and prior chemotherapy received (yes, no).



**Fig. 1.** Kaplan-Meier curves of BCFI for the identified SOFT/TEXT cohort (N = 1499; Method I; 3-year event rate: 9.5%) and the average of 5000 random samples (Method II; 3-year event rate: 9.4%).

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**Table 4**  
BCFI estimates from Method II eliminating one factor at a time from the Final Model<sup>a</sup>.

	Annualized hazard rate over the first 3 years	95% CI	3-year BCFI event rate	95% CI
Rate assumed in the original POSITIVE design	2.0%		5.8%	
Method I	3.4%	(2.8%, 4.0%)	9.5%	(7.9%, 11.1%)
Method II: Final Model <sup>a</sup>	3.4%	(2.8%, 4.0%)	9.4%	(7.8%, 10.9%)
Method II: eliminating age	3.2%	(2.6%, 3.8%)	8.9%	(7.4%, 10.5%)
Method II: eliminating BMI	3.4%	(2.9%, 4.0%)	9.5%	(8.0%, 11.1%)
Method II: eliminating nodal status	3.6%	(3.0%, 4.2%)	10.0%	(8.4%, 11.6%)
Method II: eliminating prior AI	3.0%	(2.4%, 3.6%)	8.4%	(6.9%, 9.9%)
Method II: eliminating prior chemotherapy	3.5%	(2.9%, 4.1%)	9.7%	(8.2%, 11.3%)

<sup>a</sup> In the final model, the matched characteristics used are age (<35, 35–39, 40–42), BMI (unknown/normal, overweight/obese), nodal status (pN0, pN+1–3, pN+4–9), prior AI received (yes, no), and prior chemotherapy received (yes, no).

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### Ethical approval

All three clinical trials discussed in this paper - POSITIVE, SOFT and TEXT - were conducted following Ethics Committees Review and all patients signed committee approved informed consent documents prior to participating in their respective trial.

### Conflicts of interest

Zhuoxin Sun<sup>a</sup>, No conflicts  
 Samuel M. Niman<sup>b</sup>, No conflicts  
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### APPENDIX. POSITIVE Trial Steering Committee, International Breast Cancer Study Group (IBCSG) PParticipants and International Networks

**POSITIVE Trial Steering Committee:** O Pagani (International Chair), A Partridge (North American Chair), H Azim (Translational Research Co-Chair), F Peccatori (Translational Research Co-Chair), K Ribi (Psycho-oncological Companion Study Chair), C Abi-Khattar, F Amant, A Barbro Sætersdal, L Blacher, V Bjelic-Radisic, V Borges, MR Borrego, S Borstnar, F Cardoso, M Colleoni, I Demeestere, A Di Leo, J Eon Lee, T Ferreira, R Gelber, K Gelmon, A Hiltbrunner, S Hultsch, M Ignatiadis, P Jani, R Kammler, C Kelly, J Kroep, A Mailliez, D Mavroudis, H Moore, M Naughton, S Niman, S Paluch-Shimon, M Rabaglio, M Regan, H Roschitzki, K Ruddy, B Ruepp, M Ruggeri, C Saunders, C Saura, K Scott, H Shaw, C Shimizu, K Smith, T Španić, S Šušnjar, F Symmans, G Viale.

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**IBCSG Scientific Executive Committee:** M Colleoni, A Di Leo, F Boyle, G Jerusalem, S Loi, M Regan, G Viale.

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**Bordet, Université Libre de Bruxelles, Belgium:** M Ignatiadis, F Rothé, M Maetens.

#### NETWORKS.

The POSITIVE trial resulted from the collaboration of the Endocrine Working Group of the BIG-NABCG: Co-chairs: A. Goldhirsch† (BIG), L. Korde (NABCG).

**Breast International Group (BIG):** M Piccart-Gebhart, S Hultsch, S Schmitz.

**North American Breast Cancer Group (NABCG):** L Korde, M Mooney.

#### Cooperative Groups:

Breast International Group.

Sponsor and lead Group: IBCSG – International Breast Cancer Study Group.

ABCSG - Austrian Breast and Colorectal Cancer Study Group.

BOOG - Dutch Breast Cancer Research Group.

CTI - Cancer Trials Ireland.

GEICAM - Spanish Breast Cancer Group.

HORG - Hellenic Oncology Research Group.

JBCRG Japan Breast Cancer Research Group.

NBCG - Norwegian Breast Cancer Group.

SAKK - Swiss Group for Clinical Cancer Research.

SOLTI - Breast Cancer Research Group.

Coordinating Centers in countries with more than one participating center and no Cooperative Groups involved:

Center Oscar Lambret, Lille (Coordinating Center for French Centers).

IEO - European Institute of Oncology, Milan (Coordinating Center for Italian Centers).

SMC - Samsung Medical Center, Seoul (Coordinating Centers for South Korean Centers).

UZ Leuven (Coordinating Center for Belgian Centers).

North American Breast Cancer Group.

Sponsor and lead Group: Alliance for Clinical Trials in Oncology.

SWOG Cancer Research Network.

ECOG-ACRIN Cancer Research Group.

NRG Oncology.

CCTG Canadian Cancer Trial Group.

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