## Research Article



# Optimizing Auditory Brainstem Response Acquisition Using Interleaved Frequencies

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## ABSTRACT

Auditory brainstem responses (ABRs) require averaging responses to hundreds or thousands of repetitions of a stimulus (e.g., tone pip) to obtain a measurable evoked response at the scalp. Fast repetition rates lead to changes in ABR amplitude and latency due to adaptation. To minimize the effect of adaptation, stimulus rates are sometimes as low as 10 to 13.3 stimuli per second, requiring long acquisition times. The trade-off between reducing acquisition time and minimizing the effect of adaptation on ABRs is an especially important consideration for studies of cochlear synaptopathy, which use the amplitude of short latency responses (wave 1) to assess auditory nerve survival. It has been proposed that adaptation during ABR acquisition can be reduced by interleaving tones at different frequencies, rather than testing each frequency serially. With careful ordering of frequencies and levels in the stimulus train, adaptation in the auditory nerve can be minimized, thereby permitting an increase in the rate at which tone bursts are presented. However, widespread adoption of this stimulus design has been hindered by lack of available software. Here, we develop and validate an interleaved stimulus design to optimize the rate of ABR measurement while minimizing adaptation. We implement this method in an open-source data acquisition software tool that permits either serial or interleaved ABR measurements. The open-source software library, psiexperiment, is compatible with widely used ABR hardware. Consistent with previous studies, careful design of an interleaved stimulus train can reduce ABR acquisition time by more than half, with minimal effect on ABR thresholds and wave 1 latency, while improving measures of wave 1 amplitude.

Keywords: ABR, auditory brainstem response, ABR optimization, wave amplitude, tone burst

## INTRODUCTION

Auditory brainstem responses (ABRs) are an essential tool for assessing peripheral auditory function in animals and humans. In addition to diagnosing peripheral injuries, the ABR is used in newborn hearing screens and intraoperative monitoring of auditory function (Hood [1998](#page-16-0)). The ABR is measured using electrodes at the scalp and represents the farfield potential of the auditory nerve and several brainstem nuclei (Melcher and Kiang [1996](#page-16-0)). Average responses to hundreds or thousands of presentations of a tone pip or click are needed to obtain a measurable evoked response at the scalp. Because neural activity adapts during repeated sensory stimulation, sensitive ABR measurements may require presenting stimuli at rates as low as 10 per second. Faster rates lead to changes in ABR amplitude and latency due to adaptation (Mouney et al. [1976;](#page-17-0) Paludetti et al. [1983\)](#page-17-0).

The trade-off between reducing ABR acquisition time and minimizing the effect of adaptation is an important consideration for some experiments. For

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example, studies of moderate noise exposure have found that ABR wave 1 amplitude is a sensitive measure of auditory nerve survival in animals (Furman et al. [2013;](#page-16-0) Kujawa and Liberman [2006;](#page-16-0) Kujawa and Liberman [2009;](#page-16-0) Lin et al. [2011\)](#page-16-0), and this measure has been used as an indirect assessment of hidden hearing loss in humans (Bramhall et al. [2017\)](#page-16-0). Wave amplitude and latency are also of interest clinically in intraoperative monitoring as well as diagnosis of retrocochlear disorders (Hood [1998\)](#page-16-0). Although it is desirable to maximize measures of wave 1 amplitude, many studies of auditory peripheral function in animals use presentation rates that drive adaptation of auditory nerve fibers in order to limit acquisition time (Burkard and Voigt [1990;](#page-16-0) Harris and Dallos [1979](#page-16-0); Spoor and Eggermont [1971\)](#page-17-0). In human studies, slow presentation rates of 10 to 13 tones/s are used when adaptation needs to be minimized (e.g., Bramhall et al. [2017;](#page-16-0) Stamper and Johnson [2015\)](#page-17-0). This requirement limits the number of frequencies and levels that can be tested in a reasonable amount of time.

A number of studies have explored various timesaving strategies for ABR measurement. One approach is to randomize the stimulus timing, which allows for faster presentation rates since temporally overlapping responses of adjacent stimuli average out with appropriate randomization of the interstimulus interval (e.g., Eysholdt and Schreiner [1982](#page-16-0); Polonenko and Maddox [2019](#page-17-0); Millan et al. [2006](#page-17-0); Valderrama et al. [2012;](#page-17-0) Burkard et al. [1990\)](#page-16-0). However, studies using this approach have shown evidence of adaptation, manifested as a decrease in ABR amplitude or increase in ABR latency. Recognizing this issue, Mitchell et al. [\(1996](#page-17-0), [1999](#page-17-0)) designed a novel approach to minimize adaptation by interleaving different stimuli, which took advantage of the tonotopic tuning of auditory nerve fibers. Auditory nerve fibers have sharp frequency tuning, particularly at low stimulus levels and do not respond robustly to frequencies above their characteristic frequency (Fig. [1](#page-2-0)). Thus, careful ordering of the frequencies and levels in the stimulus train can minimize adaptation while increasing the presentation rate. A test of the interleaved stimulus design in normal-hearing humans demonstrated a small, but significant, increase in wave V latencies and slight decrease in wave V amplitudes (other waves were not analyzed, Henry et al. [2000](#page-16-0); Fausti et al. [1994\)](#page-16-0). Attempts to leverage this strategy in monitoring of patients with cisplatininduced ototoxicity had limited success, potentially because modifications to the interleaved protocol were not first validated in normal-hearing subjects (Dille et al. [2013](#page-16-0); Mitchell et al. [2004\)](#page-17-0).

Given renewed interest in the ABR wave 1 amplitude as a measure of cochlear dysfunction, this study provides additional evidence that ABR studies in animals can benefit from an interleaved stimulus design. We test three parametric manipulations to the interleaved stimulus design that highlight the possible options (e.g., maximizing wave amplitude vs. maximizing acquisition speed). We first confirm that an interleaved stimulus design results in less adaptation as compared to the conventional stimulus design when using the same presentation rate. We then demonstrate that interleaving five frequencies at a rate of 50 tones/s results in ABR amplitudes that are equivalent to those acquired using a conventional approach at a slower rate that does not drive adaptation (10 tones/s). Finally, we demonstrate that optimizing the ordering of frequencies and levels in the interleaved stimulus train yields additional increases in wave amplitude. We tested this approach over a stimulus frequency range of 2 to 32 kHz using mouse and gerbil as our primary model systems. Corroborating data was generated in ferret and rhesus macaque.

One reason why the interleaved approach has not been widely adopted is likely due to hardware and software limitations in most ABR measurement systems. To facilitate use of the interleaved stimulus design, we have written open-source data acquisition software for auditory experiments that implements both the conventional and interleaved stimulus designs described in this paper (Buran and David [2018\)](#page-16-0). This software runs on the same National Instruments hardware used by the Eaton-Peabody Laboratories Cochlear Function Test Suite (Hancock et al. [2015](#page-16-0)) as well as the same hardware used by the Tucker-Davis Auditory Research System. Thus, this software is readily available to many research groups.

#### MATERIALS AND METHODS

#### Subjects

All procedures were performed in compliance with the Institutional Animal Care and Use Committee of Oregon Health & Science University and the Office of Laboratory Animal Welfare, Office of Extramural Research, National Institutes of Health.

The majority of auditory brainstem response (ABR) data were acquired from Mongolian gerbils (Meriones unguiculatus) and mice (Mus musculus). Data from ferret (Mustela putorius) and rhesus macaque (Macaca mulatta) are also shown for a more limited set of experimental conditions. The number of animals used is reported in the results on a per-experiment basis. Gerbils of either sex were used and spanned an age range of 8 to 16 weeks. Mice of either sex were used and spanned an age range of 4 to 20 weeks. For mouse, some data were from mice of the FVB strain, and other data were from heterozygous Ush $1C^{216GA}$ 

<span id="page-2-0"></span>

Fig. 1. Response of a single auditory nerve fiber with a characteristic frequency of 16 kHz to low-, medium-, and high-intensity tones. Regardless of intensity, auditory nerve fibers do not respond well to tones above their characteristic frequency. For this fiber, playing a 32-kHz tone will not fatigue or adapt the response of the fiber to a 16-kHz tone. Shaded area indicates the frequency range from one octave below to one octave above the fiber's characteristic frequency. Based on data from Kiang ([1965](#page-16-0)).

mice (Lentz et al. [2010](#page-16-0)). The ferret was a 3-year-old spayed and descented male. Rhesus macaques were 5 month-old females.

Animals were anesthetized (gerbil: 100 mg/kg ketamine and 10 mg/kg xylazine; mouse: 65 mg/kg ketamine, 6 mg/kg xylazine, and 1 mg/kg acepromazine; macaque: 10 mg/kg ketamine and 15 μg/kg dexmedetomidine; ferret: 5 mg/kg ketamine and 0.05 mg/kg dexmedetomidine). Three electrodes were inserted (gerbil and ferret: vertex and pinna with ground near the base of the tail; mouse: vertex and along the ipsilateral mandible with ground in the forepaw; macaque: midline halfway between the forebrow and the vertex of the skull with reference on the mandible ventral to the ear and ground in the shoulder). ABRs were evoked with tone pips. The voltage difference between pinna and vertex was amplified (gerbil and ferret: 100,000×; mouse and macaque: 10,000×) and filtered (gerbil and ferret: 0.1 to 10 kHz, mouse and macaque: 0.3 to 3 kHz), and raw traces were digitized for subsequent analysis. For mouse, gerbil, and ferret, an Astro-Med Grass P511 amplifier was used. For rhesus macaque, a Signal Recovery Model 5113 amplifier was used. Body temperature was maintained between 36 and 37 °C using a homeothermic blanket (ferret, gerbil, mouse) or chemical heat packs (macaque).

#### Stimulus Design

Acoustic stimuli were digitally generated (PXI data

acquisition system with 24-bit analog-to-digital and digital-to-analog converter PXI-4461 card, National Instruments, Austin, TX) and amplified (SA1, Tucker-Davis Technologies, Alachua, FL). Due to the small size of the mouse ear, a compact, closed-field sound system consisting of two half-inch dome tweeters and an electret microphone (Knowles FG-23329-P07) coupled to a probe tube, was used to deliver acoustic stimuli to the ear. This acoustic system was designed at Eaton-Peabody Laboratories (Hancock et al. [2015\)](#page-16-0) and is colloquially referred to as a starship due to its appearance (Fig. [2](#page-3-0)). Since the starship cavity alters the frequency response of the electret microphone, the electret microphone was calibrated between 0.1 and 100 kHz using a 1/4-inch microphone that has a flat frequency response (377B10 coupled with 426B03 preamplifier and 480 M122 signal conditioner, PCB Piezotronics, Depew, NY). Once the probe tube of the starship was positioned over the ear canal, the speakers were calibrated using the probe tube microphone immediately prior to the start of the experiment.

ABRs were generated using 5 ms tone pips with a 0.5-ms cosine-squared envelope. Levels were incremented in 5-dB steps from 10 to 80-dB SPL. The order of tone pip presentation depended on the stimulus design (Fig. [3](#page-3-0)):

- Conventional: Tone pips were repeated at a fixed frequency and level until the desired number of artifact-free trials was acquired (Fig. [3a\)](#page-3-0).
- Interleaved: A train of tone pips containing a single presentation of each level and frequency was constructed. This train was then presented repeatedly until the desired number of artifact-free trials was acquired (Fig. [3b](#page-3-0)–d).

For the interleaved stimulus designs, the presentation rate was defined as the rate at which individual tones appear in the train (i.e., a 5-frequency, 15-level train with a presentation rate of 50 tones/s would result in a 1.5-s long train). We tested three different rules for constructing the interleaved stimulus train:

- Ramp: Levels were swept from low to high before advancing to the next frequency (Fig. [3b](#page-3-0)).
- Plateau: All frequencies were presented at a fixed level before advancing to the next higher level (Fig. [3c](#page-3-0)).
- Random: The set of levels and frequencies was shuffled randomly on each presentation of the train (Fig. [3d](#page-3-0)).

In the conventional stimulus design, the polarity of tone pips was alternated on each presentation of the tone pip to remove frequency-following responses. In

<span id="page-3-0"></span>

FIG. 2. Acoustic system designed by Eaton-Peabody Laboratories for assessing auditory function in animals. a Photograph of the enclosure. The tip of the probe tube is placed immediately next to the ear canal to deliver sound. **b** Schematic showing a cross-section of the enclosure, which contains two speakers (blue) and a microphone (pink). Only one speaker is required for ABR experiments.

the interleaved stimulus designs, the polarity of all tone pips was alternated between each presentation of a train.

All interleaved experiments in mouse and gerbil tested a sequence of five frequencies. For the interleaved ramp and interleaved plateau designs, frequencies were arranged in decreasing order while maintaining a minimum spacing of one octave between adjacent frequencies. Since auditory nerve fibers are preferentially tuned to frequencies within half an octave of the characteristic frequency of the fiber (Kiang [1965\)](#page-16-0), tones falling outside of this range should not drive much adaptation of the fiber (Harris and Dallos [1979\)](#page-16-0). The exact order was 8, 4, 2, 5.7, and 2.8 kHz for gerbil and 32, 16, 8, 22.6, and 11.3 kHz for mouse. For ferret, we acquired 2 to 45.2 kHz in halfoctave steps using the interleaved random stimulus. In macaque, 0.5, 2, 4, 8, 16, 22.6, and 32 kHz were tested using the interleaved ramp stimulus design.

When comparing two or more stimulus designs (e.g., conventional at 10/s vs. interleaved ramp at 50/ s), all permutations were tested within a single animal during a single session (i.e., no re-positioning of the electrodes and/or acoustic system). To avoid biases introduced by variations in anesthesia depth, the ordering of the stimulus designs and presentation rates were randomized for each ear. Anesthetic booster dosing was only necessary when acquiring data from gerbil and care was taken to perform the injection without altering the position of the animal's



FIG. 3. Schematic of the conventional and interleaved ABR stimulus designs tested. a The conventional approach presents tone pips at a single frequency and level until the desired number of averages are acquired. b–d In contrast, the interleaved approach presents a stimulus train containing a single tone pip of each tested frequency and level. This train is then presented repeatedly until the

desired number of averages are acquired. For details regarding the ordering of frequencies and levels in each interleaved protocol, see text. Note: the horizontal time axis is plotted at the same scale for all four sequences, highlighting differences in tone presentation rate, 10 tones/s for conventional and 50 tones/s for interleaved. These rates were used for data shown in Fig. [6.](#page-9-0)

<span id="page-4-0"></span>head. For mouse, data were acquired without using an anesthetic booster. Although longer-lasting anesthetics (e.g., isoflurane) are available, these alternatives may have an adverse impact on auditory function (Cederholm et al. [2012](#page-16-0); Ruebhausen et al. [2012;](#page-17-0) Smith and Mills [1989](#page-17-0)).

#### Artifact Rejection

For interleaved studies, only the segment of the train containing the artifact was rejected, rather than rejecting the entire stimulus train. This means we acquired a variable number of artifact-free averages for each frequency and level tested, but every frequency and level had at least 512 averages. When generating waveforms for analysis, only the first 512 averages were included to ensure the number of averages was identical across all experiments.

#### Analysis

ABR waveforms were extracted (−1 to 10 ms re. tone pip onset) and averaged. To match the filter settings for mice, gerbil waveforms were digitally filtered (0.3 to 3 kHz) prior to averaging. Thresholds were identified via visual inspection of stacked waveforms by two trained observers, each blind to the stimulus design. Results from the two observers were compared and discrepancies of greater than 10 dB reconciled. Wave amplitude and latency were identified using a computer-assisted peak-picking program (Buran [2015](#page-16-0)). Wave amplitude was defined as the difference between the peak and the following trough.

Mixed Linear Models Differences in ABR threshold, wave amplitude, and wave latency were assessed using a general mixed linear model. In this model,  $y_i$  represents the measured value (ABR threshold, wave amplitude, or wave latency). For wave amplitude and latency, the measured value at 80 dB SPL was used. Intercept  $(\beta_i)$  allowed for a constant offset. Stimulus frequency  $(\beta_f)$ , stimulus design  $(\beta_c)$ , and repetition rate  $(\beta_r)$  were fixed effects. All two-way ( $\beta_{f_c}$ ,  $\beta_{rf}$ , and  $\beta_{rc}$ ) and three-way  $(\beta_{rfc})$  interactions between the fixed effects were included. Frequency,  $f$ , and stimulus design,  $c$ , were treated as categorical parameters and rate,  $r$ , as a continuous parameter. Dummy (i.e., treatment) coding was used for all categorical parameters. Since both mouse and gerbil were tested at 8 kHz, data from each species were coded separately at this frequency to avoid introducing an additional effect for species. Ear was treated as a random effect and coded as  $U_e$ , where *e* represents index of the ear.

$$
y_i = U_e + \beta_i + \beta_f + \beta_c + \beta_{fc} + \gamma_c \tag{1}
$$

For comparing sequential at 10 tones/s versus interleaved ramp at 50 tones/s, the rate parameters were dropped, simplifying the model to:

$$
y_i = U_e + \beta_i + \beta_f + \beta_c + \beta_{fc} \tag{2}
$$

Bayesian Regression All models were fit using Bayesian regression to maximize the Normal likelihood of free parameters using pyMC3 (Salvatier et al. [2016](#page-17-0)). In



Negative values indicate the threshold was lower than for 40/s conventional. Significance is assessed by the posterior probability that the difference in threshold from 40/s conventional is less than  $\pm 2.5$  or  $\pm 5$  dB; e.g., a probability of 97 % for  $\pm 2.5$  dB indicates a 97 % chance that the difference in ABR threshold is between −2.5 and 2.5 dB and a 3 % chance that the difference in ABR threshold is less than −2.5 dB or greater than 2.5 dB.

<span id="page-5-0"></span>



FIG. 4. The interleaved ramp stimulus design yields greater wave 1 amplitudes than the conventional stimulus design, regardless of presentation rate ( $n = 17$  ears from gerbil, 8 ears from mouse). a Comparison of ABR waveforms (ensemble average across all ears) acquired using the interleaved and conventional stimulus designs. Data shown are in response to 40/s 22.6-kHz, 80-dB SPL tone pips in mouse. Numbers indicate wave. b Average ABR thresholds for each

frequency, presentation rate, and stimulus design. c Average wave 1 amplitudes vs. stimulus level for 22.6-kHz tones in mouse. d Average wave 1 amplitudes at 80 dB SPL. e Average wave 1 latencies vs. stimulus level for 22.6-kHz tones in mouse. f Average wave 1 latencies at 80 dB SPL. Shaded area and error bars in all panels indicate ±SEM.

contrast to conventional model fitting, Bayesian analysis allows for simple calculations of credible intervals on derived parameters (e.g., parameters that are mathematical functions of fitted coefficients), offers simple construction of realistic hierarchical models (Gelman et al. [2013](#page-16-0)), avoids a number of problems with conventional  *values derived from null* hypothesis significance testing (Szucs and Ioannidis [2017](#page-17-0)), determines the probability that model coefficients take on a particular value or range of values (McMillan and Cannon [2019\)](#page-16-0), and allows for accepting the null value when certainty in the estimate is high (Kruschke [2013\)](#page-16-0).

Diffuse priors were used to ensure minimal influence on the parameter estimates. The intercept,  $\beta_i$  had a Normal prior with a mean and standard deviation set to the mean and standard deviation, respectively, of the pooled data (Kruschke [2013\)](#page-16-0). All other parameters had a Normal prior with mean of 0 and standard deviation set to the standard deviation of the pooled data. Each model was fit four times for 2000 samples following a 1000-sample burn-in period using the No U-Turn Sampler (Hoffman and Gelman [2014\)](#page-16-0). Posterior samples were combined across all fits (i.e., chains) for inference. Gelman–Rubin statistics were computed to ensure that the four fits, each of which started with a random estimate for each parameter, converged to the same final estimate  $(\hat{R}$ <1.1).

On p Values Unlike conventional (i.e., frequentist) approaches, Bayesian analysis does not offer  *values.* Instead, Bayesian analysis quantifies the probability that the true value for a parameter falls between two points. These distributions can be used to calculate the probability that there is a true difference between groups, which is typically the information people incorrectly attempt to glean from  $p$  values (Nuzzo [2014\)](#page-17-0). In our analyses, we report the mean and 95  $\%$ credible interval (CI) for the difference between groups (e.g., interleaved ramp vs. conventional). The CI should be interpreted as the interval in which we are 95 % certain contains the true value. Therefore, if the 95 % CI does not bracket 0, we can assume the value is significantly different from 0. To further aid in interpretation of our results, we calculate the probability that the difference in the parameter between two groups falls within a certain range (e.g.,  $\pm 2.5$  dB) by integrating over the portion of the posterior distribution that falls within that range (see Table 1 caption for an example of interpreting this posterior probability value).

## RESULTS

We first test whether ABR data acquired using an interleaved stimulus design show less adaptation than data acquired using a conventional stimulus design at the same presentation rate. Next, we assess whether interleaving five frequencies at a rate of 50 tones/s can produce results equivalent to a conventional approach at a rate of 10 tones/s that does not drive adaptation. Finally, we test whether we can reduce adaptation even further by modifying the order of the stimuli within the interleaved stimulus design.

## Conventional vs. Interleaved Ramp at Matched Rates

We first assessed whether the interleaved ramp stimulus design offers an advantage over the conventional design



Negative values indicate the amplitude measurement was lower than for 40/s conventional. Significance is assessed by the posterior probability that the change in wave 1 amplitude from 40/s conventional is less than  $\pm 5$  or  $\pm 10$  %.

<span id="page-7-0"></span>for stimuli presented at the same rate. We compared two rates, 40/s, which is common in the animal literature, and 80/s, to assess whether doubling the presentation rate yielded additional benefits. Measurements were compared for both mouse and gerbil. A set of five frequencies in each species was assessed when using the interleaved ramp design, but time constraints from anesthesia duration limited the number of frequencies measured in the conventional protocol (2.8 and 5.7 kHz for gerbil, 11.3 and 22.6 kHz for mouse). Thus, data are shown only for the subset of frequencies common to both stimulus designs.

Both the interleaved ramp and conventional stimulus designs yielded clean ABR waveforms, with waves 1 through 5 easily identifiable (Fig.  $4a$ ). At presentation rates of 40/s and 80/s, the interleaved ramp design had ABR thresholds that were at least as low as thresholds acquired using a  $40/s$  conventional design (Fig.  $4b$ , Table 1). Regardless of presentation rate or species, ABR thresholds in the interleaved stimulus designs were within  $\pm 5$  dB of the conventional stimulus design (Table 1). Wave 1 amplitudes, defined as the difference between the first peak and following trough (Fig. [4a](#page-5-0)), were larger in both the 40/s and 80/s interleaved ramp stimulus design, as compared to 40/s conventional, for all stimulus levels tested (Fig. [4c\)](#page-5-0). In particular, wave 1 amplitudes for 40/s interleaved ramp were 22–26 % larger than 40/s conventional, and 80/s interleaved ramp were  $8-21\%$  larger than  $40/s$  conventional (Fig. [4d](#page-5-0), Table [2](#page-4-0)). In contrast, wave 1 amplitudes in 80/s conventional were 13–23  $\%$  smaller than 40/s conventional. Waves 2 through 5 had amplitudes in the 40/s and 80/s interleaved design that were at least as large as  $40/s$  conventional (Fig.  $5a,c,e,g$ ). Although there were some differences in wave latencies, they were less than  $\pm 0.2$  ms (Figs. [4e,f](#page-5-0) and  $5b,d,f,h;$  Table [3\)](#page-8-0).

Taken together, these results demonstrate that an interleaved ramp design that doubles presentation rate to 80 tones/s yields ABR results that are equivalent to, and often of greater amplitude than, results acquired using a conventional design at 40 tones/s with minimal effect on ABR threshold and wave latency.

#### Conventional at 10/s vs. Interleaved Ramp at 50/s

Slower presentation rates of 10 to 13/s are sometimes used in research studies (e.g., Bramhall et al. [2017](#page-16-0)) that seek to minimize effects of adaptation that occur at rates above 20/s (Fowler and Noffsinger [1983](#page-16-0); Paludetti et al. [1983](#page-17-0)). Routine measurements at these slow rates are typically not feasible in anesthetized animals since prolonged anesthesia can have adverse effects on the subjects' metabolism. Here, we assess whether the interleaved ramp design allows rapid acquisition of ABR data equivalent to that acquired using a conventional stimulus design at 10/s. Since we used five frequencies in the interleaved ramp protocol, a rate of 50/s results in an effective rate of 10/s for each frequency.

We measured ABRs at one frequency using the slower-rate conventional stimulus design (4 kHz in gerbil, 16 kHz in mouse) and compared results to those for the same tone frequency using the 50/s interleaved design (Fig.  $6a-c$  $6a-c$ ). ABR thresholds between the two stimulus designs were within  $\pm 2.5$  dB (Fig.  $6d$ , Table [4](#page-9-0)). Wave 1 amplitudes for the  $50/s$ interleaved ramp design were 5 % smaller in gerbil and 6 % greater in mouse as compared to 10/s conventional (Fig. [6e](#page-9-0), Table [5](#page-10-0)). Despite the apparent



Fig. 5. Comparison of ABR wave 2-5 amplitudes and latencies, acquired using conventional and interleaved ramp stimulus designs at all frequencies and presentation rates tested (see Fig. [4](#page-5-0) for wave 1 data;  $n = 8$  ears in mouse).  $a, c, e, g$  Average wave amplitudes at 80 dB SPL. **b,d,f,h** Average wave latencies at 80 dB SPL. Error bars in all panels indicate ±SEM.

<span id="page-8-0"></span>

Negative values indicate the latency was shorter than for 40/s conventional. Significance is assessed by the posterior probability that the difference in wave 1 latency from 40/s conventional is less than  $\pm 0.1$  or  $\pm 0.2$  ms.

decrease in wave 1 amplitudes for gerbil, the 95 % CI bracketed 0 % (i.e., no change) and there was a 90 % probability that the difference in wave 1 amplitudes were less than ±10 %. Wave latencies were similar between the designs (Fig. [6f,](#page-9-0) Table [6](#page-10-0)).

Taken together, these results demonstrate that using an interleaved ramp design with a presentation rate of 50 tones/s minimizes adaptation of auditory nerve responses and produces results nearly identical to ABR measurements using a 10 tones/s conventional design.

#### Refining the Ordering of Interleaved Stimuli

In the experiments described above, the interleaved ramp design grouped stimuli by frequency within a single stimulus train (Fig. [3b\)](#page-3-0). This grouping may still drive some adaptation since it rapidly sweeps through the sequence of levels for a single frequency before advancing to the next frequency. To test whether we can obtain further improvements, we assessed two alternative approaches to stimulus ordering: interleaved plateau and interleaved random (Fig. [3c,d](#page-3-0)). The interleaved plateau design groups stimuli by level, thereby sweeping through all frequencies at a particular level before moving to the next higher level. In this design, tones at each frequency are presented at 20 % of the overall rate. For example, a 16-kHz tone in a five-frequency, 80 tones/s interleaved plateau train would appear at a rate of only 16 tones/s. However, at the highest stimulus levels, there may be some overlap in excitation patterns along the cochlear partition (Fig. [1](#page-2-0); Kiang [1965;](#page-16-0) Robles and Ruggero [2001](#page-17-0)). The interleaved random design orders tone frequency and level randomly. It imposes no constraints on the grouping of stimuli and may offer a compromise between the interleaved ramp (grouped by frequency) and interleaved plateau (grouped by level) stimulus designs.

To emphasize possible differences between the three stimulus designs, we measured the ABR using a presentation rate of 80 tones/s (Fig.  $7a$ ). There was no difference in response thresholds between the three stimulus designs, with the exception that thresholds for interleaved random were 1.9–4.3 dB greater than ramp (Fig. [7b](#page-11-0), Table [7\)](#page-12-0). Even for the frequency with the greatest increase in threshold (4.3 dB; 11.3 kHz), the probability that the threshold exceeded ±5 dB was only 30 %. ABR wave 1 amplitudes were up to 38 % greater in random compared to ramp, although the size of the difference varied quite a bit by frequency (Fig.  $7c,d$ , Table [8](#page-12-0)). Interleaved plateau generally yielded smaller wave 1 amplitudes than ramp, but the size of the difference varied by frequency. Results for later waves were more variable (Fig. [8a](#page-13-0)–d). For latencies, all waves generally occurred slightly earlier in both random and plateau relative to ramp (Figs. [7e,f](#page-11-0) and [8e](#page-13-0)–h; Table [9](#page-14-0)).

Overall, we observe relatively small differences between the different interleaved configurations. Wave 1 amplitude is slightly larger for random compared to plateau and ramp, and threshold is slightly higher for random compared to plateau and ramp. Thus, among the three options, there is a trade-off between optimizing for threshold and wave amplitude.

#### ABR Measurements in Larger Species

Experiments in larger species are often timeconstrained to minimize the physiological and behavioral effects of prolonged anesthesia (Gottlieb et al. [2013](#page-16-0); Martin et al. [2014;](#page-16-0) Sun et al. [2014\)](#page-17-0). Thus, efficient ABR protocols may be especially beneficial for work with these animals.

<span id="page-9-0"></span>

FIG. 6. ABR metrics acquired using a five-frequency 50/s interleaved ramp protocol are comparable to a 10/s conventional protocol for both low (4 kHz) and high (16 kHz) frequencies  $(n = 11$  ears from gerbil,  $n = 11$  ears from mouse). a ABR waveforms (ensemble average across all ears) in response to 80-dB SPL tone pips at 16 kHz in mouse. b Average wave 1 amplitudes vs. stimulus level

To test feasibility of the interleaved design in other species, we collected ABR data from ferret  $(n=2)$ ears, Fig.  $9a$ ) and rhesus macaque ( $n = 4$  ears, Fig.



Negative values indicate the threshold for 50/s ramp was lower than for 10/s conventional. Significance is assessed by the posterior probability that the difference in threshold from 10/s conventional is less than  $\pm 2.5$  or  $\pm 5$  dB.

for 16-kHz tones in mouse. c Average wave 1 latencies vs. stimulus level for 16-kHz tones in mouse. d Average ABR thresholds. e Average wave amplitudes at 80 dB SPL. f Average wave latencies at 80 dB SPL. Shaded area and error bars in all panels indicate  $\pm$ SEM. Error bars in (f) are too small to be visible.

[9b\)](#page-15-0). Average waveforms were clearly defined and permitted straightforward threshold measurement in both species. For macaque, data collected using a 60/s interleaved ramp design were compared to data collected using a 30/s conventional design (Fig. [9b\)](#page-15-0). Due to time constraints in the macaque, we assessed a limited number of stimulus levels using the conventional design. In the ranges tested with both designs in macaque, results were comparable (Fig.  $9c-e$  $9c-e$ ). Wave 1 amplitudes and latencies showed some variability across tone frequency (Fig. [9d,e\)](#page-15-0). This variability likely results from the low number of ears and, because of limited data, the comparison of responses at 20 dB sensation level (SL), rather than more standard 80 dB SPL. Aside from these relatively small differences, the more

<span id="page-10-0"></span>

Negative values indicate the amplitude for 50/s ramp was lower than for 10/s conventional. Significance is assessed by the posterior probability that the change in wave 1 amplitude from 10/s conventional is less than  $\pm 5$  or  $\pm 10$  %.

rapid interleaved protocol produced results consistent with the slower conventional design.

## **DISCUSSION**

We demonstrate that interleaving stimulus frequencies provides substantially more efficient auditory brainstem response (ABR) measurements than conventional designs. Interleaved stimuli reduce adaptation effects that can affect response amplitude measurements. The benefits are greatest when ABRs are required for multiple frequencies.

## Advantages of Interleaved over Conventional Stimulus Configurations

Interleaving tones of different frequencies reduces the effective rate at which individual frequencies are presented, thereby reducing adaptation to repeating tones. Thus, interleaved tones can be presented at faster rates without the same amount of adaptation as would be encountered with a conventional design. Specifically, we found:

- Interleaving five frequencies at 40 tones/s results in wave 1 amplitudes 25 % larger than a conventional approach at 40 tones/s with no difference in acquisition time.
- Interleaving five frequencies at 80 tones/s produces wave 1 amplitudes comparable to a conventional



Negative values indicate the latency for 50/s ramp was shorter than for 10/s conventional. Significance is assessed by the posterior probability that the difference in wave 1 latency from 10/s conventional is less than ±0.1 and  $±0.2$  ms.

approach at 40 tones/s while cutting acquisition time by 50 % (i.e., when interleaving, two times as many frequencies can be acquired in the same amount of time as the conventional design).

- Interleaving five frequencies at 50 tones/s produces wave 1 amplitudes equivalent to a conventional approach at 10 tones/s while cutting acquisition time by 80 % (i.e., when interleaving, five times as many frequencies can be acquired in the same amount of time as the conventional design).
- The interleaved stimulus design allows us to assess a larger range of frequencies and levels in timesensitive experiments in rhesus macaque and ferret.

Although the reported differences in wave latency are considered clinically insignificant in humans (e.g., less than 0.2 ms; Hwang et al. [2008;](#page-16-0) Musiek et al. [1989;](#page-17-0) Bauch et al. [1982](#page-16-0)), such differences may be meaningful in rodents and should be considered in experimental protocols leveraging the interleaved stimulus design.

While our initial focus was on comparing the interleaved ramp to the conventional design, we found that the interleaved random design produces wave 1 amplitudes up to 38 % larger than the interleaved ramp (Fig. [7d,](#page-11-0) Table [8\)](#page-12-0). We did not directly compare interleaved random with conventional, but comparing Fig. [4d](#page-5-0) with Fig. [7d](#page-11-0) suggests we should see larger wave 1 amplitudes using an 80/s interleaved random stimulus design relative to 40/s conventional. However, the increased response amplitude for the interleaved random design comes with the trade-off of a small threshold elevation (1.9– 4.3 dB) compared to the interleaved ramp design.

Later waves in the ABR are used to assess central auditory processing (Melcher and Kiang [1996;](#page-16-0) Melcher et al. [1996\)](#page-16-0). Amplitudes for the later waves were significantly enhanced by the interleaved stimulus design as compared to conventional when matched for rate (Fig. [5\)](#page-7-0). This suggests that reduced adaptation of auditory nerve responses leads to greater activation of central auditory nuclei. Thus, experiments assessing later waves may also benefit from the interleaved stimulus design.

#### Parallels with Other Studies

A study comparing a 9 tones/s conventional stimulus design with an 83 tones/s four-frequency interleaved ramp stimulus design (1 octave frequency spacing, 10 dB level spacing) found no significant difference in ABR thresholds, wave amplitudes, or latencies (Mitchell et al. [1996](#page-17-0)). A follow-up study with a 100 tones/s seven-frequency interleaved ramp stimulus design (5 dB level spacing) found no difference in

<span id="page-11-0"></span>

FIG. 7. Comparison of interleaved stimulus designs  $(n = 8)$  ears from gerbil,  $n = 10$  ears from mouse). a ABR waveforms (ensemble average across all ears) for different stimulus designs in response to 80/s 16-kHz, 80-dB SPL tone pips in mouse. b Average ABR thresholds. c Average wave 1 amplitudes vs. stimulus level for 80/s

16-kHz tones in mouse. d Average wave 1 amplitudes at 80 dB SPL. e Average wave 1 latencies vs. stimulus level for 80/s 16-kHz tones in mouse. f Average wave 1 latencies at 80 dB SPL. Shaded area and error bars in all panels indicate ±SEM.

ABR thresholds but showed slightly reduced wave 1 amplitudes in the interleaved ramp compared to conventional (Mitchell et al. [1999](#page-17-0)). Although their data suggest that one can go up to 83 tones/s without adaptation, they introduced an inter-train interval, reducing the average presentation rate from 83 to 59 tones/s (108 ms interval) and 100 to 88 tones/s (88 ms interval), respectively. In our study, there was no inter-train interval, thus these studies are consistent with our data (Fig. [6\)](#page-9-0). Taken together, 50 to 59

## TABLE 7

<span id="page-12-0"></span>Change in ABR thresholds for 80/s interleaved plateau and interleaved random stimulus designs in decibel (dB) relative to 80/s interleaved ramp



Negative values indicate the threshold was lower than for 80/s interleaved ramp. Significance is assessed by the posterior probability that the difference in threshold from interleaved ramp is less than  $\pm 2.5$  or  $\pm 5$  dB.

tones/s likely represents the upper limit at which one can acquire data using an interleaved stimulus design while minimizing adaptation.

Traditionally, the maximum presentation rate for any ABR design is set by the analysis window used to measure evoked responses. If the analysis window is 10 ms, the

TABLE 8 Percent change in wave 1 amplitudes for 80/s interleaved plateau and interleaved random stimulus designs relative to 80/s interleaved ramp



Negative values indicate the amplitude measurement was lower than for 80/s interleaved ramp. Significance is assessed by the posterior probability that change in wave 1 amplitude from 80/s interleaved ramp is less than  $\pm 5$  or  $\pm 10$  %.

<span id="page-13-0"></span>

FIG. 8. Comparison of ABR wave 2-5 amplitudes and latencies acquired using different interleaved stimulus designs for all frequencies tested (see Fig. [7](#page-11-0) for wave 1 data;  $n = 8$  ears in mouse).  $a-d$ . Average wave amplitudes at 80 dB SPL.  $e-h$ . Average wave latencies at 80 dB SPL. Error bars in all panels indicate ±SEM.

maximum presentation rate is 100 tones/s (10 ms between tone onsets). Faster tone presentations result in multiple tones falling in the analysis window. Recently, a study tested an approach in which tone pips of five frequencies are presented in parallel to each ear (Polonenko and Maddox [2019\)](#page-17-0). The interval between tone pips was randomized using a Poisson process with an average presentation rate of 200 tones/s across all frequencies. Since this study was performed in humans, only wave V was analyzed. These high presentation rates resulted in a reduction of up to 50 % in wave V amplitudes and an increase in wave V latencies compared to an approach where a single frequency was presented at an average rate of 40 tones/s. Thus, there may be faster approaches to acquiring ABRs, but they come with the trade-off of reduced wave amplitudes and increases in latencies.

The 5 ms tone burst with 0.5 ms rise–fall ramp used in this study is widely used in rodent studies (e.g., Kujawa and Liberman [2006](#page-16-0); Buran [2010\)](#page-16-0); however, different stimulus durations and rise–fall ramps are used in human studies (e.g., Polonenko and Maddox [2019](#page-17-0); Bramhall et al. [2017;](#page-16-0) Hood [1998](#page-16-0)) which may alter the frequency specificity of the ABR stimuli. Although we did not assess how stimuli used in human ABRs might alter our conclusions, similar work in humans suggests that there is still a benefit of the interleaved stimulus design (Henry et al. [2000](#page-16-0)).

## Interleaved Configurations and Mechanisms of Adaptation

The phenomenon of forward masking limits the rate at which the ABR can be measured without affecting response amplitude (Burkard and Voigt [1990;](#page-16-0) Harris and Dallos [1979;](#page-16-0) Spoor and Eggermont [1971\)](#page-17-0). Here, the response to a tone pip can be partially masked by preceding tone pips. The different interleaved configurations determine which specific tone frequencies and levels form the masker for each response.

Based on the forward masking recovery equation developed by Harris and Dallos ([1979](#page-16-0)), repetition rates of 10, 40, 50, and 80 tones/s suppress auditory nerve fiber activity by 0, 6, 9, and 15 %, respectively. However, the actual suppression will be greater due to the cumulative effect of multiple tone pips acting as the masker. The reduced adaptation in the interleaved stimulus designs, compared to conventional at the same presentation rate, is likely due to the increased recovery time between tones with the same frequency and level. For example, at 40 tones/s using 5 frequencies and 12 levels, an 80-dB SPL, 4-kHz tone is presented only once every 1.5 s.

When considering the spacing of same-frequency masking tones, there is a caveat to consider with the interleaved ramp design. In this design, tones are grouped by frequency (Fig. [3b](#page-3-0)). Tones at the same frequency are presented in rapid succession from low to high intensity. Considering an 80-dB SPL tone is immediately preceded by a 75-dB SPL tone in the interleaved ramp, it might be surprising that we saw an increase in wave 1 amplitudes relative to the conventional stimulus design at the same presentation rate. However, lower level maskers produce less suppression than high level maskers (Harris and Dallos [1979;](#page-16-0) Spoor and Eggermont [1971\)](#page-17-0), thereby resulting in a relatively small cumulative masking

<span id="page-14-0"></span>

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Negative values indicate the latency was shorter than for 80/s interleaved ramp. Significance is assessed by the posterior probability that the difference in wave 1 latency from 80/s interleaved ramp is less than  $\pm 0.1$  or  $\pm 0.2$  ms.

effect. The interleaved plateau design groups tones by level (Fig. [3c](#page-3-0)), increasing the interval between tones of the same frequency. The interval is proportional in size to the number of frequencies tested; e.g., in a fivefrequency 80 tones/s interleaved plateau design, each frequency appears at a rate of 16 tones/s for an interstimulus interval of 62.5 ms. Despite this modified ordering, there was no difference in wave 1 amplitude between the ramp and plateau designs, potentially due to the spread of excitation at high stimulus levels resulting in a moderate level of masking comparable to that seen in the interleaved ramp design (Delgutte [1996\)](#page-16-0).

The interleaved random design had wave 1 amplitudes that were larger than for the interleaved ramp; however, there was a small increase in ABR threshold of approximately 1.9–4.3 dB (Table [7](#page-12-0)). This is likely due to high-intensity tones occasionally preceding low-intensity tones in the random sequence, in which case, forward masking may suppress the neural response to the low-intensity tone and increase threshold. The lack of a commensurate reduction in wave 1 amplitude, despite the increase in threshold, is likely due to forward masking having a greater effect on low intensities than high intensities (Spoor and Eggermont [1971](#page-17-0)).

All the interleaved stimulus designs offer an additional advantage over the conventional stimulus

design. An animal's anesthetic plane can fluctuate during an experiment, and this fluctuation may adversely affect a subset of frequencies and levels in the conventional stimulus design. In contrast, interleaved stimulus designs average out these fluctuations since all frequencies and levels are interrogated throughout the duration of the experiment.

## Limitations and Alternatives

Shortcuts are sometimes taken when acquiring data using a conventional design. For example, the stimulus can be advanced in 5-dB increments from low to high level. Once threshold is identified, the experiment can either be halted or, if ABR amplitude is also desired, immediately advanced to the highest stimulus level. If shortcuts are routinely taken during conventional acquisition, the time savings of switching to an interleaved design may not be as dramatic as reported here.

Similar shortcuts may be available for the interleaved stimulus design, but the efficacy of such approaches would need to be validated. The small differences in threshold, response amplitude, and response latency between the three interleaved stimulus designs (Fig. [7\)](#page-11-0) indicate that the ABR is sensitive to details of the stimulus train. Thus, time-saving shortcuts that alter the stimulus train (e.g., by

<span id="page-15-0"></span>

FIG. 9. ABR data acquired using the interleaved stimulus design in other species. a ABR waveforms from a single ferret ear using a 10 frequency (2 to 45.2 kHz), 15-level (10 to 80 dB SPL) 80/s interleaved random stimulus design. b ABR waveforms from a single rhesus macaque run on a 7-frequency (0.5 to 32 kHz), 14-level (20 to 85 dB SPL) 60/s interleaved ramp stimulus design. Overlaid are waveforms acquired using a single-frequency 30/s conventional

approach. Data from 16 kHz are shown for both ferret and rhesus macaque. c–e Average ABR thresholds, wave 1 amplitudes and wave 1 latencies from rhesus macaque ( $n = 4$  ears), comparing 60/s interleaved ramp with 30/s conventional. Wave 1 amplitudes and latencies are assessed at 20 dB re. ABR threshold (i.e., sensation level, dB SL). Error bars in all panels indicate ±SEM.

dropping levels once certain criteria are met) may alter the response parameters of interest.

Although we used a fixed number of averages as the stopping criterion in our study, ABRs in humans are sometimes acquired until a particular residual noise or signal-to-noise (SNR) criterion is reached, particularly in clinical assessments (Norrix and Velenovsky [2018](#page-17-0); The Joint Committee on Infant Hearing [2019](#page-17-0)). Audiologists typically perform a threshold search in which they rapidly iterate through different stimulus levels and frequencies to characterize the patient's hearing loss. Under such scenarios, they are not interested in wave amplitude (although greater wave amplitude can enhance SNR). Since the interleaved stimulus design is constructed to maximize wave amplitude while minimizing changes in threshold, other approaches which focus on maximizing SNR may be more appropriate for assessment of auditory thresholds (e.g., Polonenko and Maddox [2019](#page-17-0); Valderrama et al. [2012\)](#page-17-0).

Since presenting ABR stimuli at high rates can be used to detect some neuropathologies (Hood [1998\)](#page-16-0), any modification of the conventional approach, regardless of whether it is a different averaging method, stimulus order, or inter-trial interval, must be validated in both normal and hearing-impaired subjects (The Joint Committee on Infant Hearing [2019](#page-17-0)).

We have demonstrated that the interleaved stimulus design offers a number of advantages over conventional approaches. However, the optimal set of parameters for the interleaved stimulus design (e.g., presentation rate) will likely depend on the animal species and hearing loss model as well as the stimulus duration, rise–fall ramp, levels, and frequencies used. Validation of results acquired using the

<span id="page-16-0"></span>interleaved stimulus design are required when testing new species and forms of hearing loss.

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