Carboxyvinyl Polymer and Guar-Borate Gelling System Containing Natamycin Loaded PEGylated Nanolipid Carriers Exhibit Improved Ocular Pharmacokinetic Parameters

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Abstract

Purpose: Natamycin (NTM) ophthalmic suspension is the only FDA-approved formulation commercially available for treating ocular fungal infections. However, precorneal residence times and losses/drainage remain the foremost challenges associated with current ocular antifungal pharmacotherapy. In our previous investigations, NTM loaded polyethylene glycol nanolipid carriers (NTM-PNLCs) showed enhanced corneal permeation, both *in vitro* and *in vivo*. To further improve the corneal retention of NTM-PNLCs, this study aimed to develop a gelling system composed of carboxyvinyl polymer, guar gum, and boric acid in which the NTM-PNLCs were loaded.

Methods: A $2³$ factorial design was employed in formulating and optimizing the gelling system for NTM-PNLCs, where the independent factors were the gelling excipients (guar gum, boric acid, and Carbopol® 940) and dependent variables were gelling time, gel depot collapse time, rheology, firmness, and work of adhesion. Optimized gel was evaluated for transcorneal permeation using rabbit cornea, *in vitro*; and tear pharmacokinetics and ocular biodistribution in male New Zealand White rabbits, *in vivo*.

Results: Optimized NTM-PNLC-GEL was found to exhibit shear thinning rheology, adequate firmness, and spreadability, and formed a depot that did not collapse immediately. In addition, the *in vitro* transcorneal evaluation studies indicated that the NTM-PNLC-GEL exhibited a lower/slower flux and rate in comparison to Natacyn[®] suspension. NTM-PNLC-GEL (0.3%), at a 16-fold lower dose, exhibited mean residence time and elimination half-life comparable to Natacyn (5%), and provided similar *in vivo* concentrations in the innermost tissues of the eye.

Conclusion: The data indicate that the NTM-PNLC-GEL formulation could serve as an alternative during ophthalmic antifungal therapy.

Keywords: fungal infections, antifungal pharmacotherapy, gelling systems, pharmacokinetic evaluations, *in vivo* evaluations

Introduction

CURRENTLY, NATAMYCIN (NTM) ophthalmic suspension

(Natacyn® topical eye drops) is the only FDA-approved, commercially available, formulation for the treatment of ocular fungal infections (OFI) ¹ NTM exhibits activity against filamentous fungal species, particularly *Fusarium* and *Aspergillus* species, common causes of OFI such as keratitis and endophthalmitis. $2-4$ NTM also exhibits better safety and tolerability profiles compared to the other polyene and azole antifungal drugs, 5 and has, thus, become a favored first-line antifungal agent in treating OFI, particularly fungal keratitis.^{1,6}

Fungal keratitis and endophthalmitis are characterized by fungal infections, primarily in the cornea and aqueous humors (AH) and vitreous humors (VH), respectively.^{2,7–9} Fungal keratitis severely affects the corneal integrity and could be localized initially, but if left untreated, could lead

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to the spread of fungal infection to the inner ocular tissues causing fungal endophthalmitis and/or deep-seated mycoses.^{2,7–9} Also, such cases of OFI are characterized by a loss of corneal integrity, which additionally facilitates quicker passage of antifungal drugs (such as NTM), thereby further achieving higher drug levels in the intraocular tissues. Despite this, the current therapy involves the need for frequent instillation/dosing of the marketed suspension owing to the precorneal losses/drainage^{5,10}

Short residence times in the conjunctival *cul de sac*, postinstillation, and low permeability across the corneal membrane, limits ocular bioavailability of NTM (\approx 2%) from the Natacyn topical eye drops. This necessitates frequent instillation of the NTM eye drops, initially given every hour or 2 h and then gradually reduced to about $6-8$ times a day.^{10,11} Also, Natacyn suspension (5%) is associated with adverse reactions/side effects such as allergic reaction, change in vision, chest pain, corneal opacity, dyspnea, eye discomfort, eye edema, eye hyperemia, eye irritation, eye pain, foreign body sensation, paresthesia, and tearing.¹² In our previous studies, we developed NTM loaded polyethylene glycol nanolipid carriers (NTM-PNLCs) that showed enhanced *in vitro* permeation across the intact cornea and improved ocular bioavailability compared to the commercial NTM suspension (Natacyn eye drops) 6 at a 16-fold lower dose (NTM-PNLC: 0.3% vs. Natacyn: 5%), thereby also potentially reducing the chances of the aforementioned adverse reactions. The goal of this research was to improve the retention of the NTM-PNLCs at a lower dose (compared to Natacyn suspension) on the ocular surface without compromising the enhanced transcorneal delivery characteristics.

Gelling systems containing nanoparticles and/or nanolipid carriers can improve precorneal and corneal residence times of therapeutic agents, reducing precorneal losses, and may improve the permeation of therapeutic agents across the corneal barriers owing to prolonged contact. In addition, the composition may provide a sustained/prolonged release profile of the therapeutic agent/s, facilitating a reduction in the frequency of application.^{13–17} Carboxyvinyl polymers (such as $\text{Carbopol}^{\circledR}$ grades) have been evaluated as gelling agents in the ocular delivery of various therapeutic agents. They have found a favor as gelling and/or viscosityenhancing agents owing to their ability to provide thickening and gelling effect (by cross-linking) at lower concentration, bioadhesive properties (at the corneal surface), clear gel appearance, compatibility with various therapeutic agents, and excellent patient compliance due to their ability to not interfere with the patients' vision.^{18–21} The borate-guar gum combination, along with carboxyvinyl polymer in the system, stabilizes the cross-linked polymer by providing additional cross-links (borate anion reacts with the galactomannan sugar contributed by guar gum to form additional cross-links).²² Therefore, this study aimed to develop and optimize a carboxyvinyl polymer-guar gum-borate gelling system containing NTM-PNLCs (NTM-PNLC-GEL) and compare its *in vivo* performance against NTM-PNLC and Natacyn.

Methods

Chemicals

NTM was procured from Cayman Chemicals. N-(carbonylmethoxypolyethylenglycol-2000)-1,2-distearoyl-sn-glycero-3phosphoethanolamine sodium salt (mPEG-2K-DSPE sodium salt) was bought from Lipoid (Ludwigshafen, Germany). Precirol[®] ATO 5 (glyceryl distearate) was a gift from Gattefossé. Castor oil, surfactants (Span® 80, Tween® 80, and poloxamer 188) and glycerin were all bought from Acros Organics. The gelling components—guar gum, boric acid crystals, and Carbopol 940 and all the solvents (analytical grade) were purchased from Fisher Scientific. The excipients used in the fabrication of NTM-PNLC-GEL have generally been regarded as safe status and used in FDAapproved ophthalmic products.

Methods

Preparation of NTM-PNLCs. Homogenization method was employed in the preparation of NTM-PNLCs, which has been reported earlier.⁶ Briefly, an aqueous phase consisting of surfactants—Tween 80 (0.75% w/v; primary surfactant), poloxamer 188 (0.25% w/v; secondary surfactant), and glycerin (2.25% w/v) was prepared in deionized water and heated. Separately, a molten lipid phase containing NTM (0.3% w/v), castor oil (1% w/v), Precirol ATO 5 $(1.5\% \text{ w/v})$, mPEG-2K-DSPE sodium salt $(1.5\% \text{ w/v})$, and Span 80 (0.1% w/v) was prepared. The aqueous phase was gradually added to the lipid phase under continuous stirring at 2,000 rpm for 5 min. The coarse emulsion was then emulsified (Ultra-Turrax, 16,000 rpm, 5 min) to form a fine emulsion. The fine emulsion was then subjected to high-pressure homogenization (15,000 psi) using temperature-controlled Avestin[®] Emulsiflex C5 homogenizer that resulted in the formation of NTM-PNLCs. Temperature was maintained at a constant 80° C \pm 2°C during the entire process of NTM-PNLC preparation.

Experimental design. A $2³$ factorial design [8 formulation experimental run; design generated using Design-Expert[®] software $(8.0.7.1)$] was employed to develop the gelling system for NTM-PNLCs. The independent factors were the gelling excipients (guar gum, boric acid, and Carbopol 940) and the dependent variables were gelling time, gel depot collapse time, rheology, firmness, and work of adhesion.

Preliminary studies were performed to determine the individual levels of the gelling excipients. Factorial design was then utilized to select the optimum levels of all the 3 gelling excipients to obtain a suitable optimized formulation with desired properties. Tables 1 and 2 present the details on the experimental $2³$ factorial design.

Fabrication of NTM-PNLC-GEL. Weighed quantities of guar gum, boric acid, and Carbopol 940, according to the experimental runs (Table 1), were added into the NTM-PNLCs, prepared as described above. Stirring was continued for an additional 15 min. The NTM-PNLC-GELs thus obtained (compositions as described in Table 2) were then evaluated with respect to the dependent variables as specified in Table 1.

Characterization of NTM-PNLC-GEL

Gelling time and gel depot collapse time for NTM-PNLC-GELS. Simulated tear fluid [STF; 10 mL; composition: NaCl (0.68% w/v), NaHCO₃ (0.22% w/v), CaCl₂ (0.008%) w/v), and KCl $(0.140\%$ w/v) in deionized water], pH = 7.4,

Factors	Levels			
Independent factors	Level 1	Level 2		
Guar gum $(\%$ w/v)	0.1	0.2		
Boric acid (% w/v)	0.4	0.5		
Carbopol [®] 940 (% w/v)	0.1	0.4		
Dependent variables	Constraints			
Gelling time	Minimum			
Gel depot collapse time	Maximum			
Rheology	Optimum (shear-thinning rheology)			
Firmness	Maximum			
Work of adhesion	Maximum			

Table 1. Independent Factors (at Their 2 Levels) and Dependent Variables in the Experimental 2³ Factorial Design

was taken in multiple scintillation vials. Five hundred microliters of the 8 different NTM-PNLC-GEL *in situ* gelling samples (existing as liquids) was added and observed for their *in situ* gelling in STF. Time required for the gel to form and the duration of time the depot were maintained was determined.

Rheological evaluations of NTM-PNLC-GELS. Rheological evaluations were carried out using Bohlin Visco 88 viscometer (Malvern Panalytical). A cup and bob attachment with a C14 probe was used to determine the rheological behavior of the NTM-PNLC-GEL. Six hundred microliters of the gel was placed in the cup and the rheology analyses were carried out in the ''up & down'' ramp mode, at predefined multiple shear rates (66.5–506.5 1/s), at room temperature. The rheology data analysis was accomplished using Bohlin Software (version 6.32.1.2).

Texture analyses (firmness and work of adhesion) of NTM-PNLC-GEL. Texture analyses for NTM-PNLC-GEL was performed using Texture Analyzer model TA.XT2i (Texture Technologies Corp.) along with a 1" diameter (TA-3), cylindrical, acrylic probe, and a soft matter kit $(TA-275)^{23}$ NTM-PNLC-GELs were placed in the soft matter fixture

System Containing Natamycin Loaded Polyethylene Glycol Nanolipid Carriers

	Code	Guar gum $($ % w/v)	<i>Boric</i> acid $($ % w/v)	Carbopol 940 $(\% \, w/v)$
All the codes	B1	0.2	0.4	0.1
contain	B2	0.2	0.4	0.4
NTM-	B ₃	0.1	0.4	0.1
PNLC	B4	0.1	0.4	0.4
$(0.3\%$ w/v)	B5	0.1	0.5	0.1
	B6	0.1	0.5	0.4
	B7	0.2	0.5	0.1
	B8	0.2	0.5	0.4

NTM-PNLC, Natamycin loaded polyethylene glycol nanolipid carriers.

and placed below the TA-3 probe, and the texture analyses were performed according to the parameters specified in Table 3.

In vitro transcorneal permeation of NTM. Transcorneal flux, permeability, and permeation rate of NTM from NTM-PNLC-GEL formulation were assessed across rabbit cornea, procured from Pel-Freez Biologicals, using vertical Franz diffusion cells (PermeGear, Inc.).6,24 The underlying aim of the transcorneal permeation study was to select the most optimum guar gum: boric acid ratio and compare the gelling system to Natacyn (0.3% w/v; dose: 150 mg).

Cornea was placed in between the donor and receiver compartments, with the corneal epithelial surface facing the donor cell. Five hundred microliters of the test formulations, diluted Natacyn (dose normalized; 0.3% w/v), NTM-PNLCs $(0.3\% \text{ w/v})$, or NTM-PNLC-GEL $(0.3\% \text{ w/v})$, was placed in the donor compartment. Dulbecco's phosphate-buffered saline (DPBS) containing 2.5% w/v randomly methylated- β cyclodextrin (RMBCD) was used as the receiver medium (5 mL) .^{25,26} Aliquots (600 µL) were withdrawn at predetermined time intervals over a duration of 3 h and immediately replenished with an equal volume of RMBCD in DPBS. NTM concentration in the *in vitro* aliquots/samples was quantified using an highperformance liquid chromatography (HPLC) method as described in the subsequent Analytical Methods section.

NTM content in optimized NTM-PNLC-GEL. Predetermined amount of NTM-PNLC-GEL was taken from 3 different regions (bottom, middle, and top) of the gel formulation and dissolved in methanol (extracting solvent). The gel samples were centrifuged at 13,000 rpm for 10 min and the supernatant analyzed for NTM.

pH of the optimized NTM-PNLC-GEL. pH of the optimized NTM-PNLC-GEL formulation was determined using a SevenMulti[™] Mettler Toledo pH meter.

In vitro release and release kinetics of optimized NTM-PNLC-GEL. Twenty milliliters of STF, $pH = 7.4$, was taken in 6 scintillation vials, to evaluate the release of NTM from NTM-PNLC-GEL system and NTM suspension under stink condition. STF was selected as a biorelevant medium to study the drug release at/in the precorneal region, since the gelling system was intended to be applied/instilled in the precorneal region.²⁷ The study was carried out under con-TABLE 2. 2³ FACTORIAL DESIGN FOR THE GELLING precorded region. The study was carried out under con-
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Table 3. Parameters for Texture Analyses of Gelling System Containing Natamycin Loaded Polyethylene Glycol Nanolipid Carriers

Parameter	Set value		
Test mode	Compression		
Pretest speed	0.5 mm/s		
Test speed	0.5 mm/s		
Posttest speed	0.5 mm/s		
Target mode	Distance		
Distance	$1.0 \,\mathrm{mm}$		
Trigger type	Auto (force)		
Trigger force	2.0 _g		
Temperature	Room temperature		

 $200 \mu L$ of the samples was loaded on to the dialysis cassettes (Slide-A-Lyzer[®] Mini Dialysis Devices, 10K molecular weight cut-off), which contained a cellulose membrane with diffusion area of 0.64 cm^2 . At predetermined time points $(t=0, 0.5, 1, 1.5, 2, 4, 6, 12, 24, and 48 h)$, $600 \mu L$ of the aliquot sample of STF was withdrawn from the scintillation vial and an equal amount of STF was replaced in the vial after every withdrawal. The aliquot sample of STF was then analyzed using HPLC to quantify the amount of NTM released across the active diffusion membrane. The release data were analyzed to model the release kinetics using different release models—Higuchi square root, first order, zero order, and Korsmeyer-Peppas models.

In vivo precorneal tear pharmacokinetics. *In vivo* precorneal tear kinetics of the various NTM formulations was determined in triplicates $(n=3)$ in male New Zealand White (NZW) rabbits (2–2.5 kg), purchased from Charles River Labs. All the animal studies conformed to the tenets of the Association for Research in Vision and Ophthalmology statement on the use of animals in ophthalmic vision and research and the University of Mississippi Institutional Animal Care and Use Committee-approved protocols. The rabbits were dosed (100 μ L administered as 2 drops of 50 μ L each) with NTM-PNLC-GEL, NTM-PNLC, and Natacyn (5%) formulations topically. Therefore, the amount of NTM dose received by the rabbits was 0.3 mg (for NTM-PNLC-GEL and NTM-PNLC) and 5 mg (for Natacyn). The tear samples were collected using a preweighed piece of filter paper by gently touching the filter paper at the corneal surface at every time point $(t = 0, 0.5, 1, 2, 3, 4, 5,$ and 6 h). The wet weight of the filter paper was then recorded and the difference in their dry and wet weights was used in the determination of the amount of tear fluid that was collected, which was used in the estimation of NTM from the tear biosamples.

NTM from the tear biosamples collected on filter papers was extracted with $600 \mu L$ of ice-cold methanol, mixed thoroughly using a vortex mixer, and centrifuged (13,000 rpm, 15 min) using a table-top centrifuge. The supernatant was then collected and analyzed for NTM content using a validated HPLC method that is outlined below (Analytical Methods section). The data were then analyzed using PKSolver software 2.0 to calculate the various pharmacokinetics (PK) parameters.²⁸

In vivo ocular biodistribution of NTM. NTM-PNLC-GEL, NTM-PNLC, and Natacyn (5%) were dosed *in vivo*, in conscious NZW rabbits $(n=3; 12 \text{ rabbits})$. The dosing regimen of rabbits is detailed in Table 4. At the sacrificial time points, rabbits were anesthetized using a combination of ketamine (35 mg/kg) and xylazine (3.5 mg/kg) injected intramuscularly, and subsequently euthanized using an overdose of pentobarbital. The eyes were enucleated and washed using BSS and the intraocular tissues, AH and VH, irisciliary bodies (ICB), and cornea, were separated and processed to extract the NTM. Briefly, ICB and cornea were cut into pieces, and AH and VH were taken in centrifuge tubes and ice-cold methanol $(600 \mu L)$ was added for protein precipitation. The biosamples were sonicated for 10 min in an ultrasonic bath and centrifuged (13,000 rpm).

Analytical methods

Quantification of NTM in in vitro samples. NTM was quantified using a, previously reported, validated HPLC method.^{6,29} The HPLC system consisted of a Waters 717 plus autosampler coupled with a Waters 2487 Dual λ Absorbance UV (ultraviolet) detector, a Waters 600 controller pump, and an Agilent 3395 Integrator. The mobile phase consisted of a mixture of phosphate buffer (0.2 M, pH 5.5) and acetonitrile (70:30) with flow rate of 1 mL/min. A C18 Phenomenex Luna[®] (5 µm, 250 × 4.6 mm) column was used. The injection volume was $20 \mu L$, and the UV detection wavelength was set to 304 nm at absorbance units full scale 1.00.

Quantification of NTM in ocular tissues. A Waters Xevo TQ-S triple quadrupole tandem mass spectrometer with an electrospray ionization (ESI) source, equipped with Acquity UPLC[®] I-Class System, was used (Waters Corporation, Milford, MA) in NTM quantification from biosamples. TargetLynx and MassLynx were utilized for data acquisition and data processing, respectively. Separation was achieved using a C18 column (Acquity UPLC BEH C18 100 mm \times 2.1 m, 1.7 µm particle size). Mobile phase consisted of water (A) and acetonitrile (B), both containing 0.1% formic acid with a gradient flow rate of 1.0 mL/min $(0 \text{ min}, 98\% \text{ A}/2\% \text{ B} \text{ held for } 0.2 \text{ min} \text{ and in next } 2.3 \text{ min}$ to 100% B). Each run was followed by 1-min wash with 100% B and equilibration period of 2 min with 98% A/2% B. Column and sample temperature were maintained at 50° C and 10° C, respectively. Column effluent was directed into the ESI probe. The ESI conditions were as follows: desolvation temperature 600° C, source temperature 150 $^{\circ}$ C, capillary voltage 3.0 kV, cone voltage 40 V, nebulizer gas $1,100 L \cdot h^{-1}$ N2, and nebulizer pressure 7 bar. The collision gas utilized was Argon and collision energies ranged from 10 to 15 eV. Mass spectra were acquired in positive mode and multiple reaction monitoring (MRM) mode. The MRM mode was applied to monitor the transitions of quantifier ion to qualifier ions of m/z $666.2 \rightarrow m/z$ 467.2, 485.2, and 503.2 for NTM and m/z 924.4 \rightarrow m/z 107.5, 743.2, and 761.4 for amphotericin B (internal standard).

TABLE 4. DOSING REGIMEN OF RABBITS $(N=3)$ for Ocular Biodistribution Studies

Set	No. of <i>animals</i> (n)	<i>Formulations</i>	Volume	NTM dose, mg	Dosing frequency (t) , h	Sacrificial time point (t) , h
		NTM-PNLC-GEL	$100 \mu L$ as 2 drops	0.3	0, 3, 6	
		NTM-PNLC	$50 \mu L$ each	0.3		
		Natacyn [®]				
		NTM-PNLC-GEL			V. 4	

NTM-PNLC-GEL, gelling system containing NTM-PNLC.

	Gelling components in NTM-PNLC-GEL (%)						
Code	Guar gum	Boric acid	Carbopol 940	Gelling time	Depot collapse time	<i>Firmness</i> (g)	Work of adhesion $(g-s)$
B1	0.2	0.4	0.1	$\qquad \qquad -$	NA	NΑ	ΝA
B ₂	0.2	0.4	0.4	$(+)$	Depot until >12 h	7.36 ± 2.86	21.54 ± 1.52
B ₃	0.1	0.4	0.1	$\qquad \qquad -$	NA	NΑ	NΑ
B 4	0.1	0.4	0.4	$(+)$	Depot until >12 h	10.32 ± 0.28	23.59 ± 3.04
B ₅	0.1	0.5	0.1	$\qquad \qquad -$	NΑ	ΝA	NA
B6	0.1	0.5	0.4	$(+)$	Depot until >12 h	11.30 ± 1.37	23.41 ± 4.31
B7	0.2	0.5	0.1	$-$	NΑ	NA	NA.
B ₈	0.2	0.5	0.4	$(+)$	Depot until >12 h	8.42 ± 3.79	20.87 ± 2.18

Table 5. Results from Gelling Time and Gel Depot Collapse Time Study

(-), Does not form a gel depot and instantaneously collapses in STF. (+), Forms a gel depot immediately (<1 min). STF, simulated tear fluid.

Using standard calibration curves for AH (0.4–100 ng/mL), VH (2–200 ng/mL), cornea (1.31–65.57 ng/mL), and ICB (1.31–65.57 ng/mL), NTM quantification was accomplished using the LC-MS/MS method. A coefficient of determination $r^2 \ge 0.95$ was obtained for all the standard curves. NTM extraction efficiency was >95% for cornea, ICB, and AH, whereas it was about 82% for VH; and process efficiency was $>90\%$ for all the tissues.⁶ The limit of detection for all ocular tissues corresponded to 0.13 ng/mL for all 4 intraocular tissues analyzed.⁶

Statistical analyses

For each study, a minimum of 3 independent experimental trials have been performed and the data values have been represented as mean \pm standard deviation and/or mean \pm standard error. Statistical comparison was performed using Student's *t*-test and/or 1-way analysis of variance (ANOVA). Statistically significant differences were considered at *P* value < 0.05 .

Results

Characterization of NTM-PNLC-GEL

Gelling time and gel depot collapse time for NTM-PNLC-GELS. The results observed from gelling time and gel depot collapse time are presented in Table 5. Out of 8 (B1– B8) factorial runs, B1, B3, B5, and B7 did not undergo gelation to form a gel depot. Therefore, these formulations from the experimental design could not be considered for statistical analyses (ANOVA). On the basis of the results, NTM-PNLC-GEL formulation codes (B2, B4, B6, and B8) were selected for further evaluation with respect to the other aforementioned dependent variables with the intent to optimize and select the most suitable NTM-PNLC-GEL formulation.

Rheological evaluations of NTM-PNLC-GELS. The rheological data for the selected NTM-PNLC-GEL formulations (B2, B4, B6, and B8) are depicted in Fig. 1. All 4 selected gel formulations exhibited shear thinning rheology with a viscosity of 394.64 ± 18.12 cP for B2, 287.30 ± 4.23 cP for B4, 243.17 ± 2.03 cP for B6, and 428.12 ± 8.25 cP for B8 at the highest shear rate (\approx 500 1/s).

Texture analyses (firmness and work of adhesion) of NTM-PNLC-GELS. The results obtained for firmness and work of adhesion are presented in Table 5 for the selected formulation codes—B2, B4, B6, and B8.

In vitro transcorneal permeation of NTM. From the *in vitro* transcorneal permeation study, it was observed that (guar gum:boric acid) should be used in their lowest ratio (1:2) for effective transcorneal NTM permeation (higher amounts of boric acid in NTM-PNLC-GEL, in contrast, did not show any NTM permeation at the end of 3 h). Hence, gel formulation B2 with a ratio of guar gum to boric acid (1:2) was chosen to be the most suitable gel formulation in comparison to the gel formulation B6, which had a higher amount of boric acid (1:5). Transcorneal permeability (cm/s) of NTM-PNLC-GEL-B2 $[(0.010 \pm 0.007) \times 10^{-5}]$ was significantly lower than NTM-PNLCs $[(0.050 \pm 0.010) \times$ 10^{-5}], and Natacyn $[(0.030 \pm 0.005) \times 10^{-5}]$) (*N*=3). The trend for transcorneal flux and rate was NTM-PNLC-GEL < Natacyn < NTM-PNLCs (Fig. 2).

NTM content in optimized NTM-PNLC-GEL. The mean NTM content in the optimized and most suitable NTM-PNLC-GEL (formulation B2) from the 3 different regions was found to be $95.53\% \pm 0.61\%$.

pH of the optimized NTM-PNLC-GEL. The pH of the selected optimized NTM-PNLC-GEL formulation (B2) was 5.5 ± 0.1 .

FIG. 1. Plot of viscosity versus shear rate for selected NTM-PNLC-GEL formulations (B2, B4, B6, and B8) exhibiting shear-thinning rheology. NTM-PNLC-GEL, gelling system containing Natamycin loaded polyethylene glycol nanolipid carriers.

FIG. 2. Plot of rate (µg/min), flux (µg/min/cm²), and permeability ($\times 10^{-5}$ cm/s) for NTM permeation across the isolated cornea from NTM-PNLC-GEL-B2, NTM-PNLC-GEL-B6, NTM-PNLC, and Natacyn[®] (dose normalized: diluted to 0.3% w/v) over 3 h, $(n=3)$; data represented as mean \pm standard error of mean. *Denotes statistically significant difference at $P < 0.05$.

In vitro release and release kinetics of optimized NTM-PNLC-GEL. From Fig. 3, it is evident that NTM-PNLC-GEL system provided a significantly slower/sustained release in comparison to the control—NTM suspension $(\approx 3$ -fold lower)—over a period of 48 h and exhibited a better fit for Higuchi model for drug release kinetics $(r^2 > 0.96)$ compared to the other release models (first order, zero order, and Korsmeyer-Peppas; r^2 < 0.94).

In vivo precorneal tear PK. Instantaneous gelation was observed upon the instillation of NTM-PNLC-GEL and results obtained from the precorneal tear PK parameters [area under curve from time $t = 0$ to $t = 6$ h (AUC_{0-t}), half-life $(T_{0.5})$, maximum concentration (C_{max}) , and mean residence time ($MRT_{0-\infty}$)] are summarized in Table 6 and represented in Fig. 4.

In vivo ocular biodistribution of NTM. Figure 5 illustrates that all the NTM formulations [NTM-PNLC-GEL, NTM-PNLC, and Natacyn (5%)] could deliver NTM to AH, VH, ICB, and cornea in both study sets.

Moreover, it is evident from Fig. 5 that, there is no statistical difference observed between NTM levels obtained from NTM-PNLC-GEL following 2 different dosing regimens (Table 4) in AH, VH, ICB, and cornea $(P > 0.05)$.

In the cornea (Fig. 5), NTM concentration from NTM-PNLC-GEL (for both dosing regimens detailed in Table 4) was significantly higher than NTM concentration obtained from NTM-PNLC formulation (*P* < 0.05). The NTM concentration from Natacyn (5%), however, was significantly higher than that obtained from NTM-PNLC-GEL (in both study sets) $(P<0.05)$.

NTM concentration from NTM-PNLC-GEL (for both dosing regimens) (Table 4) was found to be significantly lower than Natacyn (5%) in ICB (*P* < 0.05). In ICB, a statistical difference was found for NTM concentrations obtained from NTM-PNLC-GEL (for both dosing regimens) (Table 4) and NTM-PNLC $(P<0.05)$ (Fig. 5).

In AH (Fig. 5), concentration of NTM from NTM-PNLC-GEL (for both dosing regimens) (Table 4) was significantly higher than the NTM concentrations obtained from the NTM-PNLC and Natacyn (5%) formulations (*P* < 0.05).

Test formulations		NTM-PNLC-GEL	NTM-PNLC	Natacyn
Dose		0.3 mg	0.3 mg	5 _{mg}
PK parameter AUC_{0-1} Dose normalized AUC_{0-1} $T_{0.5}$ C _{max} Dose normalized C_{max} $MRT_{0-\infty}$	Units μ g/ μ L \times h $(\mu g/\mu L \times h)/mg$ μ g/ μ L $(\mu g/\mu L)/mg$	1.15 ± 0.02 3.83 ± 0.06 2.82 ± 0.23 2.57 ± 0.09 8.57 ± 0.3 2.16 ± 0.26	0.58 ± 0.01^a $1.93 \pm 0.03^{\text{a}}$ 0.93 ± 0.14^a 1.37 ± 0.06^a $4.56 \pm 0.2^{\rm a}$ 1.09 ± 0.21 ^a	2.72 ± 0.17^b 0.54 ± 0.03^b 2.78 ± 0.85 3.00 ± 0.18^b 0.6 ± 0.03^{b} 1.56 ± 0.32

Table 6. Precorneal Tear Pharmacokinetics Parameters Obtained for Gelling System Containing Natamycin Loaded Polyethylene Glycol Nanolipid Carriers and Natacyn (5%)

^aStatistically significant difference between NTM-PNLC-GEL and NTM-PNLC at $P < 0.05$.
^bStatistically significant difference between NTM PNLC GEL and Natacyn (5%) at $P < 0.02$

^bStatistically significant difference between NTM-PNLC-GEL and Natacyn (5%) at *P* < 0.05.

AUC, area under curve; C_{max} , maximum concentration; MRT, mean residence time; PK, pharmacokinetics; T_{0.5}, half-life.

In case of VH (Fig. 5), significantly lower concentration was observed in NTM concentrations obtained from the NTM-PNLC-GEL (for both dosing regimens) (Table 4) compared to Natacyn (5%) $(P < 0.05)$. However, a nonsignificant difference was observed between NTM levels obtained from NTM-PNLC-GEL and NTM-PNLC $(P > 0.05)$.

Discussion

NTM has been a mainstay in the pharmacotherapy of fungal keratitis and endophthalmitis.1,3,5,10 The current therapeutic regimen comprises an ophthalmic suspension of NTM—Natacyn (5%); however, ''lower retention'' at precorneal/corneal sites has been one of the foremost challenges that has been associated with the current Natacyn therapy.^{1,5,10,30} In our previous work,⁶ NTM-PNLCs showed better permeation across the intact corneal barrier owing to PEGylation. However, the initial formulations were simple eye drops, without any viscosity/mucoadhesive modifiers, and thus had lower retention at the ocular surface. Hence, to enhance the residence at the precorneal/corneal sites, we sought to develop and optimize a suitable gelling

FIG. 4. Plot of dose-normalized NTM concentrations $(\mu g/\mu L)$ versus time (hours) profile for NTM-PNLC-GEL, NTM-PNLC, and Natacyn. *,**Denotes statistically significant difference between (NTM-PNLC-GEL and NTM-PNLC) and (NTM-PNLC-GEL and Natacyn) at *P* < 0.05, respectively; $(n=3, \text{ data represented as mean} \pm \text{standard})$ error).

system to incorporate the NTM carriers—NTM-PNLCs $($ developed and optimized in our previous study⁶ $)$ —for topical ocular administration.

A couple of studies have reported on the design and performance of NTM gelling systems for ocular application.^{31,32} The study by Janga et al. reports on the design and evaluation of NTM loaded bilosome hydrogel system intended for the back-of-the-eye delivery. In their study, the developed and optimized system showed enhancement in transcorneal flux *in vitro* and *in vivo*. In another study by Paradkar and Parmar, NTM loaded niosomal gel also exhibited improved transcorneal permeation, *in vitro*. The fabrication processes for the formulations in both the publications involves the use of organic solvents [dimethyl sulfoxide (DMSO), chloroform, ethanol, and methanol], which may be toxic even in residual amounts. So also, use of organic solvents makes the scale-up of the process challenging. The amount of surfactant (Span 60) used in the formulation of NTM loaded niosomes reported by Janga et al. and Paradkar and Parmar, is more than \sim 5 times the amount of Span used in the fabrication of NTM-PNLC-GEL investigated in this study. Use of such high amount of surfactant could also lead to potential irritations/toxicities. The formulation investigated in this study does not use any organic solvent (potentially scalable formulation) and has a significantly lower amount of Span (\sim 5 times lower), thereby circumventing any potential toxicities/irritations in the aggravated diseased conditions. Also, to the best of our knowledge, ours is the first study that assesses the effectiveness of a carboxyvinyl polymer-based gelling system for ocular applications by evaluating, both, precorneal tear PK (front-of-the-eye delivery) and ocular biodistribution (backof-the-eye delivery), *in vivo*.

In this study, different gel formulations were evaluated for their critical quality attributes (CQAs); first, being the gelling time and gel depot collapse time. From Table 5, it is evident that the gel formulations (B1, B3, B5, and B7) having low Carbopol 940 concentration (<0.4% w/v) did not form a gel depot upon addition in STF. The formulations containing higher amounts of Carbopol 940 (0.4% w/v) formed the gel depot in STF that persisted for more than 12 h. It is evident that the presence of Carbopol 940 in higher amounts $(>0.1\%$ w/v) is essential for maintaining the gel characteristics of the NTM-PNLC-GEL formulation. Therefore, formulations B2, B4, B6, and B8, having higher concentration of Carbopol 940 (0.4% w/v), were selected for further evaluation of their CQA.

FIG. 5. NTM concentrations (mg/g) in cornea, ICB, AH, and VH from NTM-PNLC-GEL (dose: 0.3 mg; instillation volume: $100 \mu L$), NTM-PNLC (dose: 0.3 mg; instillation volume: $100 \mu L$), and Natacyn (dose: 5 mg; instillation volume: $100 \mu L$) obtained after instillation every 3 and 4 h for a 9- and an 8-h study, respectively. *Denotes statistically significant difference at $P < 0.05$ ($n = 3$, data represented as mean \pm standard error). AH, aqueous humors; ICB, iris-ciliary bodies; VH, vitreous humors.

The second CQA was rheology and viscosity. It is recommended that the ocular gelling systems exhibit pseudoplastic flow patterns, which implies that the gelling systems should undergo a reduction in their viscosity upon the application of shear, that is, exhibit a shear-thinning rheology.³³ The mechanism of blinking generates shear that ranges between 10,000 and 40,000 $1/\sqrt{5}^{33-35}$ Therefore, if the gelling formulation exhibits shear-thinning rheology, the process of blinking is not affected, avoiding any discomfort to the eye, thereby enhancing patient compliance.³⁶ Also, it is reported that ocular gelling systems having viscosity between 5 and 1,000 cP exhibit ease of application without causing any ocular discomfort or interfering with the blinking process.36,37 The NTM-PNLC-GELS exhibit a viscosity of \sim 500 cP at 500 1/s shear rate (20 times lower shear than the shear generated by blinking), indicating that the viscosity would be even lower at the blinking shear rates. Therefore, the application of a gelling system with shear-thinning rheology leads to a reduction in the viscosity of the gelling formulation, owing to the shearing force associated with blinking. Accordingly, all the selected gel-forming formulations (B2, B4, B6, and B8) exhibited shear-thinning rheology and a marked decrease in viscosity with increasing shear rates (Fig. 1).

Gel texture analysis parameters such as firmness and work of adhesion (Table 5) are the CQAs that provide information on the gel characteristics. Firmness describes the ability of the gel to maintain its cohesivity and work of adhesion describes the extent of ability of spreading of the gel as a thin film upon the application of shear.³⁸ The firmness and work of adhesion values for gel formulations B2, B4, B6, and B8, indicate that they would maintain the cohesivity of the gel film and help stabilize it as a thin film over the ocular surface. In addition, a statistically nonsignificant difference was observed in the values for viscosity, rheological patterns, firmness, and work of adhesion of these formulations. The concentration of Carbopol 940 in these formulations was the same $(0.4\% \text{ w/v})$, which suggests that Carbopol 940 is primarily responsible for affecting these 2 CQA in these formulations. This is consistent with reports that Carbopol (all grades) is known to have a dominant effect on the texture properties of the formulations containing it.23,39–44 The shear-thinning rheology of the gels coupled with favorable firmness and adhesivity values would aid in the stabilization of the gel film over the ocular surface without affecting the corneal tear film.^{34,38,45}

Since all the gelling formulations (B2, B4, B6, and B8) exhibited similar rheological and textural properties, the most optimum gelling system was selected on the basis of guar gum-to-boric acid ratio. In all the abovementioned formulations, guar gum: boric acid ratio ranged from 1:2 (B2), 1:2.5 (B8), 1:4 (B4), to 1:5 (B6). Although the texture characteristics of B2, B4, B6, and B8 were not significantly different, the unstressed gel viscosity of formulation B2 was the highest, whereas that of B6 was the lowest. Thus, the ratio of guar gum and boric acid had an effect on the viscosity of the gel formed on contact of the formulation with the STF, B2 (1:2) demonstrating higher gel viscosity than any of the others (1:2.5, 1:4, or 1:5).

Formulations B2 and B6 were further evaluated for their transcorneal permeation characteristics to understand if guar gum: boric acid ratio had any impact on the permeation of NTM-PNLCs from the gelling system. From Fig. 2, it is observed that the gelling formulation B6 (guar gum:boric acid::1:5) did not show any transcorneal NTM permeation at the end of the 3-h study, whereas gelling formulation B2 (guar gum:boric acid::1:2) showed transcorneal NTM permeation. This could be attributed to the presence of a higher amount of boric acid (borate anion), which could have led to the formation of a borate-NTM (a polyene antifungal) complex, which would have potentially reduced the solubility and dissolution rate, and reduced/controlled the transcorneal NTM permeation.⁴⁶ Thus, the gelling formulation B2 was chosen as the most suitable and optimized formulation for further evaluations.

The trend for transcorneal rate of permeation, flux, and permeability followed the order—NTM-PNLC-GEL < Natacyn < NTM-PNLCs. The trend was the highest for NTM-PNLCs, which is consistent with the reported literature (PEGylation facilitates improved penetration across the corneal mucosa and epithelium). $6,47-49$ However, in case of NTM-PNLC-GEL, the trend was lowest, which could be ascribed to the entrapment of the NTM-PNLCs in the gelling system. 32 The gelling system manifested as a primary barrier for NTM release from the embedded NTM-PNLCs.³

The low standard deviation for the mean NTM content (95.53 ± 0.61) % w/v obtained from 3 different regions of the formulation indicates a homogenous formulation. The pH of an ophthalmic formulation plays a critical role in assessing it potential utility, since extreme pH ranges could lead to ocular discomfort, irritation, and redness.³⁶ pH of the FDA-approved marketed suspension (Natacyn) ranges between pH 5.0 and 7.5; therefore, the optimized gelling system reported in this study, having a pH value (5.5 ± 0.1) , would also be well tolerated.⁵⁰

The rate of release of NTM was sustained and slower from the NTM-PNLC-GEL in comparison to the NTM suspension (control) (Fig. 3A). A 3-fold decrease was found in the NTM release from the gelling system in comparison to the control, indicating that the entrapment of NTM in NLCs and further entrapment of the NTM-PNLCs in the cross-linked gelling system sustained/slowed the release of NTM from NTM-PNLC-GEL. The modeling of release data for release kinetics revealed that the NTM release from NTM-PNLC-GEL showed a better fit to Higuchi release kinetics compared to the other release models (higher r^2 value) (Fig. $3B$).⁵¹ This fit implies that assumptions of Higuchi kinetics hold true.^{36,52,53}

The tear kinetics data show that the MRT_{0– ∞} and T_{0.5} with the NTM-PNLC-GEL was significantly greater than NTM-PNLCs, indicating that the gelling system prolongs the MRT of NTM by decreasing its precorneal loss (Table 6). The dose-normalized C_{max} and AUC_{0-t} values associated with the NTM-PNLC-GEL was \approx 2-fold higher than NTM-PNLCs. NTM was detectable in the tear until the end of 5 h from the gelling system, in contrast to NTM-PNLCs, wherein NTM was detected until the end of 3h only. Interestingly, a 16-fold higher dose of NTM with the Natacyn suspension formulation exhibited similar $T_{0.5}$ and MRT values (statistically nonsignificant). The dosenormalized C_{max} (Fig. 4) and AUC_{0-t} of NTM from Natacyn were, thus, disproportionately \approx 14-fold and 7-fold lower than that from NTM-PNLC-GEL. The NTM-PNLC-GEL system at a lower dose (1/16th of Natacyn) achieves significantly better NTM PK parameters compared to Natacyn, suggesting that NTM-PNLC-GEL could be a potential alternative to Natacyn in case of superficial fungal infections.

In our previous investigations, NTM-PNLCs (0.3%) showed enhanced NTM permeation across the intact corneal barrier, and the NTM levels from NTM-PNLCs were similar to the marketed suspension (Natacyn, 5%) in inner ocular tissues (AH, VH, and ICB), *in vivo*. ⁶ Therefore, to assess the effectiveness of the gelling formulation in NTM delivery in the current ocular biodistribution study, dosing specifications (amount and volume of dose) were kept similar to the previously published study. NTM formulations containing 0.3 mg NTM were chosen, since they were highest NTMloaded formulations that were optimized and stabilized, which provided enhanced transcorneal permeation.⁶ One hundred microliters of the formulations was instilled to provide the highest NTM dose (0.3 mg) from the formulations and was instilled as 2 drops of $50 \mu L$ to prevent spillage from the *cul de sac*. A high dose of NTM was chosen, since the *in vivo* evaluations were to be conducted across intact and uninflamed cornea, which would pose a significant barrier for NTM permeation than a fungal infected and inflamed corneal barrier. Since Natacyn is clinically administered at 5% dose, in these *in vivo* investigations, its clinical dose was followed to compare its profile to the NTM formulations at lower dose. The current therapeutic regimen calls for the administration of Natacyn (5%) every 1 or $2 h^{1,6,10}$ Therefore, to evaluate if the optimized gelling system could potentially reduce the dosing frequency, every 3- and 4-h instillation was investigated.

In cornea, AH, and ICB (Fig. 5), NTM concentration from NTM-PNLC-GEL was significantly higher than NTM concentration obtained from NTM-PNLC, consistent with the higher MRT and $T_{0.5}$ values in the tear fluid. Higher MRT and $T_{0.5}$ values suggested a higher retention and lower elimination of NTM from the gelling system compared to NTM-PNLCs. The NTM concentration in cornea from Natacyn (5%) was \approx 2.5- and 3.5-fold higher (every 3- and 4-h dosing, respectively), \approx 2-fold higher in ICB and \approx 3fold higher in VH compared to NTM levels obtained from NTM-PNLC-GEL. However, in case of all the abovementioned observations, it should be noted that Natacyn dose, which was 16-fold higher, caused only a disproportionate increase in the corneal, ICB, and VH concentrations compared to NTM-PNLC-GEL. In contrast to the other tissues, AH NTM concentrations from the NTM-PNLC-GEL formulation were 3- and 2-fold higher (for every 3 and 4-h instillation, respectively) than Natacyn (5%). High MRT and $T_{0.5}$ for NTM-PNLC-GEL coupled with the quicker diffusion of NTM-PNLCs from the gelling system across the corneal and ocular barriers, owing to PEGylation, could have led to higher NTM concentrations in AH from NTM-PNLC-GEL in comparison to Natacyn (5%).

Conclusion

The NTM-PNLC-GEL—composed of carboxyvinyl polymer guar gum-borate system reported in this study could serve as a potential substitute to the Natacyn suspension in cases of OFI. The optimized NTM-PNLC-GEL system exhibited the formation of a gel depot that did not collapse immediately $(>12 h)$, shear-thinning rheology, adequate firmness, and spreadability (evaluated as work of adhesion). In addition, the transcorneal permeation and release studies indicated that the NTM-PNLC-GEL exhibited a lower/slower flux, rate, and sustained release of NTM in comparison to the control/s. The NTM-PNLC-GEL demonstrated superior precorneal PK parameters and ocular biodistribution in comparison to NTM-PNLCs (without the gelling components), which indicated that incorporation of the gelling agents improves the residence and lowers the precorneal losses/drainage, thereby improving its effectiveness. The NTM-PNLC-GEL (0.3%) attained comparable MRT and $T_{0.5}$ and higher dose-normalized C_{max} and AUC_{0-1} at the precorneal/corneal sites, despite a 16-fold lower dose for NTM-PNLC-GEL compared to Natacyn (5%). Thus, NTM-PNLC-GEL could be a potential alternative platform to the conventional marketed suspension during the ocular antifungal regimen.

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