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## Relationship of DNA methylation to mutational changes and transcriptional organization in fusion-positive and fusion-negative rhabdomyosarcoma

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### Abstract

Our previous study of DNA methylation in the pediatric soft tissue tumor rhabdomyosarcoma (RMS) demonstrated that fusion-positive (FP) and fusion-negative (FN) RMS tumors exhibit distinct DNA methylation patterns. To further examine the significance of DNA methylation differences in RMS, we investigated genome-wide DNA methylation profiles in discovery and validation cohorts. Unsupervised analysis of DNA methylation data identified novel distinct subsets associated with the specific fusion subtype in FP RMS and with *RAS* mutation status in FN RMS. Furthermore, the methylation pattern in normal muscle is most similar to the FN subset with wild-type *RAS* mutation status. Several biologically relevant genes were identified with methylation and expression differences between the two fusion subtypes of FP RMS or between the *RAS* wild-type and mutant subsets of FN RMS. Genomic localization studies showed that promoter and intergenic regions were hypomethylated and the 3' untranslated regions were hypermethylated in FP compared to FN tumors. There was also a significant difference in the distribution of *PAX3-FOXO1* binding sites between genes with and without differential methylation. Moreover, genes with *PAX3-FOXO1* binding sites and promoter hypomethylation exhibited the highest frequency of overexpression in FP tumors. Finally, a comparison of RMS model systems revealed that patient-derived xenografts most closely recapitulate the DNA

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methylation patterns found in human RMS tumors compared with cell lines and cell line-derived xenografts. In conclusion, these findings highlight the interaction of epigenetic changes with mutational alterations and transcriptional organization in RMS tumors, and contribute to improved molecular categorization of these tumors.

## Keywords

Rhabdomyosarcoma; fusion protein; DNA methylation; expression; xenograft

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## Introduction

Rhabdomyosarcoma (RMS) is a family of pediatric soft tissue tumors associated with the skeletal muscle lineage.<sup>1</sup> From a genetic perspective, RMS comprises two major subtypes, fusion-positive (FP) and fusion-negative (FN), which show substantial overlap with the histologic subtypes of alveolar and embryonal RMS, respectively. FP RMS is associated with 2;13 or 1;13 chromosomal translocations resulting in a *PAX3-FOXO1* or *PAX7-FOXO1* fusion, respectively, and henceforth referred to collectively as *PAX-FOXO1*.<sup>2, 3</sup> The *PAX-FOXO1* fusions encode potent transcription factors with increased activation of *PAX3/PAX7* target genes and associated oncogenic functions.<sup>4-7</sup> Genome-wide sequencing studies demonstrated that FP RMS has essentially no recurrent point mutations, while FN RMS displays numerous recurrent mutations, including frequent mutations in the *RAS* signaling pathway.<sup>8, 9</sup>

The critical role that epigenetic alterations, including DNA methylation, play in the development of cancers, such as RMS, is increasingly appreciated. DNA methylation influences the regulatory activity of the gene promoter and body as well as more distal enhancers.<sup>10-12</sup> These epigenetic mechanisms regulate gene expression and contribute to tumor suppressor inactivation and oncogene activation in cancers. In RMS, genome-wide DNA methylation studies previously reported evidence for an association of DNA methylation patterns with histological subtype and clinical outcome.<sup>13-16</sup>

Although DNA methylation, genetic alterations, and gene expression in RMS tumors have been individually characterized, the relationship between these parameters is still unclear. In this study, we undertook an integrative analysis of DNA methylation, point mutations, and gene expression in 86 human RMS tumors. Our comparative analysis of these datasets highlights how the DNA methylation pattern reflects the interactions of DNA structural changes and transcription factors, such as *PAX-FOXO1*, with chromatin organization and thereby contributes to the tumor cells' aberrant gene expression.

## Materials and Methods

A more detailed description of our methods is available in Supplementary Methods.

### Tissue, cell line and xenograft samples

A discovery cohort of 38 RMS tumors and a validation cohort of 48 RMS tumors were included in this study. The RMS tumors in the discovery cohort were received from the

Children's Oncology Group Biopathology Center, and a subset of these tumors (n=24, 63%) were previously described.<sup>15</sup> RMS tumors in the validation cohort were described in a previous comprehensive genomic analysis.<sup>9</sup> Normal de-identified fetal and neonatal skeletal muscle samples without a history or pathologic evidence of cancer were obtained post-mortem. Eleven RMS cell lines, 11 CDXs, and 14 PDXs were used in this study (Supplementary Table 1).

### **Fusion status testing**

*PAX3-FOXO1* or *PAX7-FOXO1* fusion status was determined by reverse transcriptase-PCR<sup>17, 18</sup> or fluorescence in situ hybridization for all samples without unambiguous embryonal RMS histology.<sup>19</sup> Alternative fusion genes were determined by whole-genome or transcriptome sequencing.<sup>9</sup>

### **DNA methylation assay and data analysis**

Genomic DNA extraction and bisulfite conversion were performed as previously described.<sup>9, 15</sup> Bisulfite-converted genomic DNA was analyzed using the Infinium HumanMethylation450 (HM450) BeadChip platform (Illumina). IDAT files from the HM450 array were processed using the R/Bioconductor packages minfi and methylumi. The data were normalized using the SWAN (subset-quantile within array normalization) algorithm.<sup>20</sup>

### **Mutation and gene expression analysis**

For FN RMS samples in the discovery cohort, mutation status was determined by targeted sequencing.<sup>21, 22</sup> For RMS tumors in the validation cohort, DNA mutation and RNA expression were previously determined using whole-genome or exome sequencing and RNA sequencing, respectively.<sup>9</sup>

### **Additional data analysis**

Hierarchical clustering and principal component analysis (PCA) were performed as previously described.<sup>15</sup> Fisher's exact test was used to test for associations between methylation groups and genetic alterations. Enrichment of gene sets with *PAX3-FOXO1* binding genes was also calculated using the Fisher's exact test.<sup>23</sup> An unpaired t test or one-way ANOVA was used to compare DNA methylation levels between category groups.

### **Immunohistochemistry**

We obtained RMS tissue microarrays (TMAs) from the Children's Hospital of Philadelphia and the Children's Oncology Group, containing 18 and 39 FP RMS tumors respectively. Immunohistochemistry (IHC) was performed using a mouse monoclonal anti-CDKN1C antibody (p57 Kip2 Ab-6, Thermo Scientific).<sup>24</sup>

## Results

### DNA methylation profiling identifies novel subsets within FP and FN RMS subtypes

To confirm and extend our previous findings that FP and FN RMS subtypes exhibit distinct DNA methylation profiles,<sup>15</sup> we studied genome-wide DNA methylation in a discovery cohort of 21 FP tumors (12 *PAX3-FOXO1* and 9 *PAX7-FOXO1*) and 17 FN tumors using the HM450 array. Unsupervised hierarchical analysis clearly segregated the tumors into two distinct groups according to the presence or absence of *PAX-FOXO1* (Figure 1A). A PCA confirmed this close association of methylation pattern and fusion status (Supplementary Figure 1A).

To gain further insight into the DNA methylation patterns in RMS, we noted that our DNA methylation analysis identified two major subsets (FP-1 and FP-2) within the FP cluster, and two major subsets (FN-1 and FN-2) within the FN cluster (Figure 1A). For tumors within the FP cluster, we reasoned that these DNA methylation-based subclasses may correspond to the specific fusion subtype, either *PAX3-FOXO1* or *PAX7-FOXO1*. Our evaluation of the association between these DNA methylation-defined subsets (FP-1 and FP-2) and the specific fusion subtype showed that the *PAX3-FOXO1* and *PAX7-FOXO1* fusions were significantly enriched in the FP-2 and FP-1 subsets, respectively (Figure 1A and Supplementary Table 2). We found that 33% of the tumors in FP-1 compared to 89% in FP-2 are *PAX3-FOXO1*-positive ( $P=0.024$ ). In contrast, 67% of tumors in FP1 and only 11% of tumors in FP2 are *PAX7-FOXO1*-positive ( $P=0.024$ ).

We next sought to investigate the significance of the methylation-defined subsets (FN-1 and FN-2) within the FN cluster. Owing to the high rate of recurrent *RAS* mutations in FN RMS, we investigated the relationship of these two FN subsets to *RAS* mutation status.<sup>8, 9</sup> Targeted sequencing was used to elucidate *HRAS*, *KRAS* and *NRAS* mutation status in the 17 FN RMS tumors in the discovery cohort. A striking finding of this analysis is that *RAS* gene mutations were differentially distributed between the FN-1 and FN-2 subsets. Whereas none of the tumors in FN-1 contains a mutation in one of the three *RAS* genes, 58% of tumors in FN-2 harbor mutant *RAS* genes ( $P=0.044$ ) (Figure 1A, Supplementary Table 2).

### Validation of genetic differences between methylation-defined RMS subsets

To validate the genetic differences between the methylation-defined RMS subsets found in our discovery cohort, we generated DNA methylation profiles in an additional cohort of 21 FP tumors (14 *PAX3-FOXO1*, 5 *PAX7-FOXO1*, and 2 alternative fusions [*PAX3-NCOA1* and *PAX3-INO80D*]) and 27 FN tumors using the HM450 array. Hierarchical clustering of the DNA methylation data in the validation cohort again yielded two distinct groups, one with all FP RMS tumors along with 2 FN tumors and the other with 25 of the 27 FN RMS tumors (Figure 1B). A PCA analysis showed that the two “discordant” FN tumors map in a region between the FP and FN clusters (Supplementary Figure 1B). This unsupervised analysis of the validation cohort also demonstrated two major subsets within the FP cluster (FP-1 and FP-2), and two major subsets within the FN cluster (FN-1 and FN-2). Our comparison of the *PAX3-FOXO1* and *PAX7-FOXO1* gene fusions in the two FP subsets confirms the significant associations between these two gene fusions and DNA methylation

pattern in this validation cohort (*PAX3-FOXO1*, 0% in FP-1 versus 88% in FP-2,  $P<0.001$ ; *PAX7-FOXO1*, 57% in FP-1 versus 6% in FP-2,  $P=0.017$ ) (Supplementary Table 2). Comparison of the two methylation-defined FN subsets in the validation cohort also confirms the statistically significant association between *RAS* mutation status and DNA methylation pattern (mutant *RAS*, 17% in FN-1 versus 62% in FN-2,  $P=0.041$ ) (Figure 1B and Supplementary Table 2).

### ***CDKN1C* methylation and expression status differs between *PAX3-FOXO1*- and *PAX7-FOXO1*-positive RMS tumors**

We further explored DNA methylation differences between fusion subtypes in FP RMS tumors. To increase the sample size, we combined the *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors in the discovery and validation cohorts. Unsupervised analysis clearly exhibited the distinct DNA methylation patterns between *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors (Supplementary Figure 2A). A subsequent supervised analysis ( $|t| > 0.25$  and adjusted  $P$ -value  $<0.05$ ) found 1688 probes that were significantly hypermethylated (corresponding to 184 promoter-hypermethylated genes) and only 97 probes that were significantly hypomethylated (corresponding to 6 promoter-hypomethylated genes) in *PAX3-FOXO1*- compared with *PAX7-FOXO1*-positive tumors (Figure 2A, Supplementary Table 3).

Examination of the differentially methylated genes between the two FP subtypes identified *CDKN1C*, a gene with potential importance in RMS tumor biology.<sup>25</sup> *CDKN1C* encodes a cyclin-dependent kinase and is located within an imprinted region at chromosomal region 11p15.5, which frequently shows loss of heterozygosity in RMS. *CDKN1C* is a putative tumor suppressor gene and aberrant promoter hypermethylation has been suggested as a mechanism for inactivation of one allele of this gene in RMS and other human cancers with 11p15.5 allelic loss. In our study, *CDKN1C* exhibited promoter hypermethylation in *PAX3-FOXO1*- compared to *PAX7-FOXO1*-positive tumors (4 probes and mean  $p$ -value=0.006, representative data for CpG site cg05090695 shown in Figure 2B). Based on our finding of differential methylation of *CDKN1C* between *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors, we examined *CDKN1C* mRNA expression levels in these FP subtypes. This analysis revealed *CDKN1C* was significantly underexpressed in *PAX3-FOXO1*- compared to *PAX7-FOXO1*-positive tumors (~6.0-fold change,  $P<0.01$ ) (Figure 2C). Furthermore, there is an inverse correlation between DNA methylation and RNA expression of *CDKN1C* in the FP RMS tumors (Spearman's  $\rho$ :  $-0.74$ ,  $P<0.001$ ) (Figure 2D).

To assess *CDKN1C* protein expression in *PAX3-FOXO1* versus *PAX7-FOXO1*-positive RMS tumors, we performed immunohistochemistry on TMAs containing FP RMS tumors (Figure 2E). In the first TMA, we found a significant difference in *CDKN1C* protein expression between *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors ( $P<0.05$ ); *CDKN1C* expression was absent or weak in 33.3%, moderate in 33.3%, and strong in 33.3% of *PAX3-FOXO1*-positive cases, whereas all *PAX7-FOXO1*-positive tumors (100%) showed strong *CDKN1C* expression. The difference in *CDKN1C* protein expression between the two fusion subtypes was further validated in a second set of RMS TMAs; *CDKN1C* staining was absent or weak in 88.9%, moderate in 7.4% and strong in 3.7% of *PAX3-FOXO1*-positive

cases compared to expression that was absent or weak in 41.7%, moderate in 41.7% and strong in 16.7% of *PAX7-FOXO1*-positive cases ( $P < 0.01$ ) (Supplementary Table 4).

### DNA methylation in *RAS* mutant and wild-type FN RMS tumors

To further investigate DNA methylation differences between *RAS* mutant and wild-type FN RMS tumors, we combined FN RMS tumors from the discovery and validation cohorts. Unsupervised analysis confirmed the presence of the distinct methylation-defined FN-1 and FN-2 subsets, corresponding to the *RAS* wild-type- and mutant-enriched categories, respectively (Supplementary Figure 2B). A supervised analysis ( $|\beta| \geq 0.25$  and  $P$ -value  $< 0.05$ ) of DNA methylation differences identified 117 hypermethylated CpG sites (corresponding to 28 promoter-hypermethylated genes) and 77 hypomethylated CpG sites (corresponding to 7 promoter-hypomethylated genes) in *RAS* mutant compared to *RAS* wild-type FN RMS tumors (Figure 3A, Supplementary Table 5).

To extend our previous finding that the DNA methylation pattern in skeletal muscle is more similar to FN than FP RMS tumors, we next compared DNA methylation patterns in these FN RMS subsets with normal fetal and neonatal muscle samples, which were also profiled on the HM450 array platform.<sup>15</sup> We performed a clustering analysis of FN tumors and muscle samples based on the differentially methylated CpG sites in *RAS* mutant versus wild-type FN tumors (Figure 3B). This analysis defined two main subgroups, which closely correspond to the *RAS* wild-type-enriched FN-1 and *RAS* mutant-enriched FN-2 subsets. This FN-1 subset contained 56% of *RAS* wild-type tumors and all 12 normal muscle samples, whereas the FN-2 subset contained all *RAS* mutant and the remaining 44% of *RAS* wild-type tumors. This analysis demonstrates that normal developing muscle has a DNA methylation pattern more similar to the *RAS* wild-type-enriched subset than the *RAS* mutant-enriched subset.

Further examination of the DNA methylation pattern within the *RAS* mutant-enriched FN-2 subset revealed two smaller subgroups. The left subgroup (FN-2L) consists of 71% of *RAS* mutant tumors and no *RAS* wild-type tumors, whereas the right subgroup (FN-2R) consists of the remaining *RAS* mutant (29%) and *RAS* wild-type tumors (44%). To investigate why *RAS* wild-type tumors in this right subgroup exhibit DNA methylation similar to *RAS* mutant tumors, we postulated that additional genetic alterations in *RAS* pathway genes may occur in these *RAS* wild-type tumors. Mutations were studied in these cases, either as part of the original genome-wide RMS sequencing study or on a targeted sequencing platform. In support of our hypothesis, we found that mutations of two additional *RAS* pathway genes (*NFI* and *SOS1*) were present at a high frequency in the *RAS* wild-type tumors in the FN-2R subgroup (42%) (Figure 3B). By considering mutations in all of these *RAS* pathway genes, the frequency of tumors harboring mutations in *RAS* pathway genes was significantly higher in the FN-2R subgroup (59%) than in the FN-1 subset (7%,  $P < 0.01$ ).

To evaluate whether differential methylation in FN tumors with mutant *RAS* pathway genes versus wild-type *RAS* pathway genes corresponds to gene expression differences, we performed differential methylation and differential expression analyses using DNA methylation data from the combined FN cohort and the available RNAseq data from our validation cohort. We identified 48 hypomethylated CpG sites (corresponding to 5 promoter-

hypomethylated genes) and only 3 hypermethylated CpG sites in mutant *RAS* pathway- compared to wild-type *RAS* pathway-FN tumors ( $|\beta| \geq 0.25$  and adjusted P-value  $< 0.05$ ) (Supplementary Table 6). Examination of these differentially methylated genes highlighted *ALDH1A3*, a gene previously reported as a potential marker for cancer stem cells in embryonal RMS.<sup>26, 27</sup> Our data showed that *ALDH1A3* was promoter-hypomethylated in *RAS* pathway mutant versus wild-type FN tumors (2 probes and mean p-value=0.026, representative data for CpG site cg23191950 shown in Figure 3C). Furthermore, *ALDH1A3* was overexpressed in *RAS* pathway mutant versus wild-type tumors (~4.6-fold change,  $P < 0.01$ ), and there was a significant negative correlation between DNA methylation and gene expression of *ALDH1A3* (Spearman's rho:  $-0.59$ ,  $P = 0.001$ ) (Figure 3D, 3E).

### Distribution of DNA methylation between FP and FN tumors across genomic features

Given that the HM450 array provides extensive genome-wide coverage of CpG sites, we next investigated the genomic distribution of DNA methylation in FP versus FN tumors, using the DNA methylation data from the validation cohort. Consistent with our previous findings, we observed that FP tumors show an overall significant decrease in global DNA methylation in comparison to FN tumors (Figure 4A, 4B).<sup>15</sup> We further compared DNA methylation in FP versus FN tumors in four annotated genomic features: promoters, gene bodies, 3' UTR regions and intergenic regions. Of interest, FP tumors show significantly lower DNA methylation in promoter and intergenic regions in comparison to FN tumors. In contrast, FP tumors display significantly higher DNA methylation in 3' UTR regions compared with FN tumors, and there is no significant DNA methylation difference in the gene bodies.

In a supervised analysis, ( $|\beta| \geq 0.25$  and adjusted P-value  $< 0.05$ ), we identified 12269 autosomal CpG sites that were differentially methylated between FP and FN RMS tumors. Further analysis of these differentially methylated CpG sites revealed that they were not evenly distributed with respect to the four annotated genomic features described above. These differentially methylated probes were enriched in intergenic regions and depleted in the promoter and 3' UTR regions (Figure 4C). In addition, these differentially methylated CpG sites can be further divided into 4747 hypermethylated and 7522 hypomethylated probes in FP compared to FN tumors (Supplementary Table 7). We next examined the distribution of these hyper- and hypomethylated probes across the genomic features. The hypermethylated probes were enriched in FP tumors in the body, 3' UTR, and intergenic regions and depleted in the promoters. In contrast, hypomethylated probes were enriched in FP tumors in the intergenic region and were depleted in the promoter, body and 3' UTR regions (Figure 4C and Supplementary Table 8).

### Association of promoter hypomethylation with expression of PAX3-FOXO1 targets

Although the PAX-FOXO1 transcription factor and DNA methylation both contribute to gene expression in FP tumors, it remains unclear whether the fusion protein and DNA methylation changes collaborate with each other, either directly or indirectly, to regulate gene expression. To investigate whether PAX-FOXO1 binding is associated with a distinct promoter methylation pattern in FP tumors, we used the list of PAX3-FOXO1 binding sites elucidated in a chromatin immunoprecipitation sequencing study<sup>23</sup> to identify PAX3-

FOXO1 targets among the genes that are differentially methylated between FP and FN tumors (Supplementary Table 9). We found that differentially methylated genes more often contained PAX3-FOXO1 binding sites, (7.8%) and thus were more often PAX3-FOXO1 targets than genes without differential methylation (4.9%) ( $P<0.01$ ). Further, we found that genes with either promoter hypermethylation (10.1%) or hypomethylation (6.7%) were more often PAX3-FOXO1 targets than genes without differential methylation. Though the proportion of PAX3-FOXO1 target genes among genes with promoter hypomethylation is less compared to genes with promoter hypermethylation, there are overall more PAX3-FOXO1 target genes in the group with promoter hypomethylation (60 genes) than in the group with promoter hypermethylation (45 genes).

To investigate whether altered promoter methylation impacts on the expression of genes with PAX3-FOXO1 binding sites, we performed differential expression analysis between FP and FN tumors, and compared the presence of PAX3-FOXO1 binding sites with the expression status of genes with promoter hypomethylation or hypermethylation. We identified 1063 overexpressed genes and 1155 underexpressed genes in FP tumors compared to FN tumors (absolute fold-change  $>2$  and adjusted P value  $<0.05$ ) (Supplementary Table 10). Among the genes with promoter hypomethylation, PAX3-FOXO1 binding sites are significantly overrepresented in overexpressed genes (29.4%) compared to non-differentially expressed genes (5.0%) ( $P<0.01$ ), whereas there is no significant difference in the distribution of PAX3-FOXO1 binding sites between underexpressed and non-differentially expressed genes (Supplementary Table 11).

To understand how promoter hypomethylation contributes to overexpression of genes with PAX3-FOXO1 binding sites, we compared the frequency of overexpression among genes categorized according to the presence or absence of PAX3-FOXO1 binding sites and the presence or absence of promoter hypomethylation. We observed that genes with PAX3-FOXO1 binding sites display a significantly higher frequency of overexpression compared with genes without PAX3-FOXO1 binding sites, regardless of the presence or absence of promoter hypomethylation ( $P<0.01$ ) (Table 1). Further, genes with promoter hypomethylation displayed a significantly higher frequency of overexpression compared with genes without promoter hypomethylation, regardless of the presence or absence of PAX3-FOXO1 binding sites ( $P<0.01$ ). In a comparison of the four groups categorized according to the PAX3-FOXO1 binding site and promoter hypomethylation status, the group of genes with both PAX3-FOXO1 binding sites and promoter hypomethylation exhibited the highest frequency of overexpression (54.3%). Although this combination is associated with the highest frequency of overexpression, the number of overexpressed genes in this category (25 genes) is relatively small. In contrast, the number of overexpressed genes in the category with PAX3-FOXO1 binding sites but without hypomethylation (178 genes) is 7-fold higher. Therefore, there still is a large number of genes for which the presence of PAX3-FOXO1 binding sites is sufficient to drive overexpression without accompanying promoter hypomethylation.



### RMS PDXs display DNA methylation pattern similar to RMS tumors.

It is currently unknown whether any RMS model systems faithfully recapitulate DNA methylation patterns found in RMS primary tumors. To address this issue, methylation patterns in 11 RMS cell lines, 11 cell line-derived xenografts (CDXs) and 14 patient-derived xenografts (PDXs) were compared to patterns in primary tumors (Supplementary Table 1). We performed a hierarchical clustering analysis of RMS primary tumors, cell lines, CDXs, and PDXs. This analysis identified a FP cluster and a FN cluster, which grouped all the samples based on fusion status, with the exception of two FN tumors described above (Figure 5A). Further examination of the FP and FN clusters showed that all cell lines and CDXs form one subset in each cluster whereas nearly all PDXs and primary tumors form a second subset. Of note, there is generally no difference in DNA methylation pattern between pairs of subcutaneous and intramuscular CDXs. Moreover, all pairs of cell line and corresponding CDX cluster together at the terminal branch of the dendrogram. The finding of two PDXs (RH41 and RH36) clustering with cell lines and CDXs suggests that PDXs may lose the native methylation pattern under some unknown circumstances. PCA analysis of the DNA methylation data corroborates the divergence between FP and FN RMS samples across the sample types and confirms that PDXs cluster with tumor samples whereas CDXs cluster with cell lines in either the FP or FN groups (Figure 5B).

Studies from other tumor types indicated that cancer cell lines are typically hypermethylated compared to tumor tissue.<sup>28, 29</sup> An examination of the heat maps in our clustering analysis confirms this finding for RMS samples. In particular, the vast majority of the analyzed CpG sites are hypermethylated in the cell lines and CDXs in contrast to the wider distribution of hypo- and hypermethylation found in primary tumors and PDXs (Figure 5A).

Finally, we focused separately on the FP or FN groups and compared overall DNA methylation levels in the four sample types. We found that overall methylation levels in FP cell lines and CDXs are significantly higher than levels in primary tumors (adjusted P value < 0.01); in contrast, there is no statistical differences in overall methylation levels between PDXs and primary tumors (Figure 5C). A similar pattern is found in the FN samples.

## Discussion

In this study, we used high-density arrays to investigate genome-wide DNA methylation in FP and FN RMS tumors, and identified patterns associated with genetically and biologically distinct subsets of RMS tumors. One important observation is that the *PAX3-FOXO1*- and *PAX7-FOXO1*-positive subsets are molecularly distinguished by DNA methylation. In contrast, a recent study indicated that all tumors positive for *PAX3-FOXO1* or *PAX7-FOXO1* fusions were grouped into two clusters (A1/A2), yet the two clusters (A1 and A2) did not coincide with the two different fusion subtypes.<sup>14</sup> Several studies revealed different clinical features between patients with *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors, including age, site, and outcome.<sup>18</sup> Molecular differences that may support these clinical differences have been reported; the *PAX7-FOXO1* fusion gene is usually amplified while the *PAX3-FOXO1* fusion gene is rarely amplified, and amplification of the 12q13-q14 (CDK4) and 13q31 (MIR17HG) chromosomal regions are enriched in *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors, respectively.<sup>30–32</sup> However, transcriptomic classification has not

been able to reveal robust gene expression differences in unsupervised analysis of *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors.<sup>33</sup> The different methylation patterns between *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors shown in this study suggest that epigenetic differences may contribute to the distinct biology and clinical features in these two FP subsets, and may provide novel surrogate markers.

Our study demonstrates that FN RMS tumors can be readily classified into *RAS* mutant and wild-type-enriched subsets based on DNA methylation, pointing to a novel framework for molecular classification of FN RMS tumors. In contrast to a recent study suggesting that a methylation-defined subset of the embryonal RMS subtype is characterized by a high frequency of *FGFR4/RAS/AKT* pathway mutations, our study specifically highlights the enrichment of *RAS* mutations in a DNA methylation-defined subset of FN RMS tumors.<sup>14</sup> Associations between *RAS* mutations and DNA methylation have been found in several cancer categories, such as lung and colorectal cancer.<sup>34,35</sup> Our finding of different methylation patterns between *RAS* mutant and wild-type FN RMS tumors suggests several possible mechanisms. One possibility is that *RAS* mutations induce DNA methylation changes during RMS development. This explanation is consistent with our finding that *RAS* wild-type FN RMS is more similar than mutant *RAS* tumors to normal developing muscle. It is possible that mutant *RAS*-mediated regulation of *EZH2* expression may be a prerequisite to subsequent DNA methylation changes.<sup>36</sup> A second possibility is that these DNA methylation events are selected and interact with *RAS* mutations in a collaborative model of FN RMS tumorigenesis. Based on the findings that *DNMT3A* mutations cooperate with *NRAS* mutations in acute leukemia development,<sup>37</sup> DNA methylation changes may result from synergistic interactions between a DNA methyltransferase and *RAS* mutations during RMS development.<sup>38, 39</sup> A third possibility is that these DNA methylation patterns reflect the molecular differences between progenitor cells that give rise to these FN RMS subtypes.

Our study highlights that promoter DNA hypomethylation contributes to transcriptional activation of a subset of *PAX-FOXO1* target genes. Genome-wide mapping studies of *PAX3-FOXO1* binding sites revealed that only a small fraction of binding sites occur in promoter elements whereas most binding sites are within distal enhancers.<sup>23</sup> It is proposed that the *PAX-FOXO1* proteins mediate their transcriptional impact by recruiting other transcription factors and chromatin-binding proteins, such as *BRD4*, to form super-enhancers. Moreover, transcription may be driven by three-dimensional looping that brings *PAX-FOXO1*-associated super-enhancers to promoters and enables physical interaction of super-enhancer-bound proteins with promoters.<sup>40</sup> As the lack of DNA methylation is fundamental to open chromatin states, we hypothesize that promoter DNA hypomethylation may coordinate with *PAX-FOXO1* to drive target gene expression. In accord with this concept, we observed a significant depletion of DNA methylation in the promoter region in FP compared with FN RMS tumors. It should be noted that the association between *PAX-FOXO1* binding sites and genes with differential promoter methylation reported in the current study was not identified in our previous study.<sup>15</sup> This discrepancy is most likely due to use in the current study of the HM450 array, which has much higher promoter CpG site coverage than the HM27 DNA methylation array used in our previous study.

There is also a large number of genes with PAX3-FOXO1 binding sites that are overexpressed without accompanying promoter hypomethylation in FP compared to FN cases. This group of genes includes a small subset with promoter hypermethylation in FP cases (6%) and a larger subset in which there is no differential promoter methylation between FP and FN cases (~94%). For those overexpressed genes with promoter hypermethylation, it is postulated that 1) DNA hypermethylation may inhibit binding of repressive factors in the promoter region, or 2) overexpression may result from hypomethylation of alternative promoters. For those overexpressed genes without differential promoter methylation, these loci may be transcriptionally active in both FP and FN RMS and thus have the same DNA methylation status. In this scenario, the increased expression found in FP cases may be programmed by PAX3-FOXO1-induced chromatin changes that are independent of promoter methylation changes. Finally, though the present study focused on the relationship between PAX-FOXO1 and promoter methylation, we also speculate possible links between PAX-FOXO1 and DNA methylation in other regions including 5' UTR, 3' UTR and intergenic regions, as suggested by our finding of differing DNA methylation between FP and FN RMS tumors.

Our study shows that RMS PDXs have a global DNA methylation pattern that is highly representative of primary tumor samples, and that RMS cell lines and CDXs have a distinctly different DNA methylation signature. These results are concordant with reports in head and neck cancer and osteosarcoma.<sup>29, 41</sup> This finding indicates that RMS PDXs are the most suitable models for investigating issues related to DNA methylation in RMS. As only a small fraction of DNA methylation events in cultured cell lines or CDXs mirror that in primary tumors, we caution using cell line models for dissecting the role of DNA methylation in RMS. In this regard, we attempted to further investigate DNA methylation changes associated with PAX3-FOXO1 expression by using a CRISPR/Cas9 editing strategy to inactivate PAX3-FOXO1 in a FP RMS cell line. Our preliminary data identified DNA methylation differences in subclones with PAX3-FOXO1 inactivation relative to control subclones. However, only a very small subset of the methylation changes in this cell line-based study were concordant with methylation differences between FP versus FN RMS tumors (unpublished data). This finding is consistent with the divergence of the methylation pattern in RMS cell lines from that in tumors, and suggests that further investigation of these issues should be performed in PDXs.

These findings highlight that systematic identification of DNA methylation events is revealing important epigenetic mechanisms involved in the molecular pathogenesis of cancers associated with gene fusions, such as *PAX-FOXO1*, as well as cancers associated with other oncogenic events, such as *RAS* mutations. Future studies of DNA methylation alterations in cancers such as RMS will therefore contribute to improved molecular classification of these tumors, and will provide a foundation for improved understanding of epigenetic regulation and the possible application of epigenetic-directed therapeutics in these cancers.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

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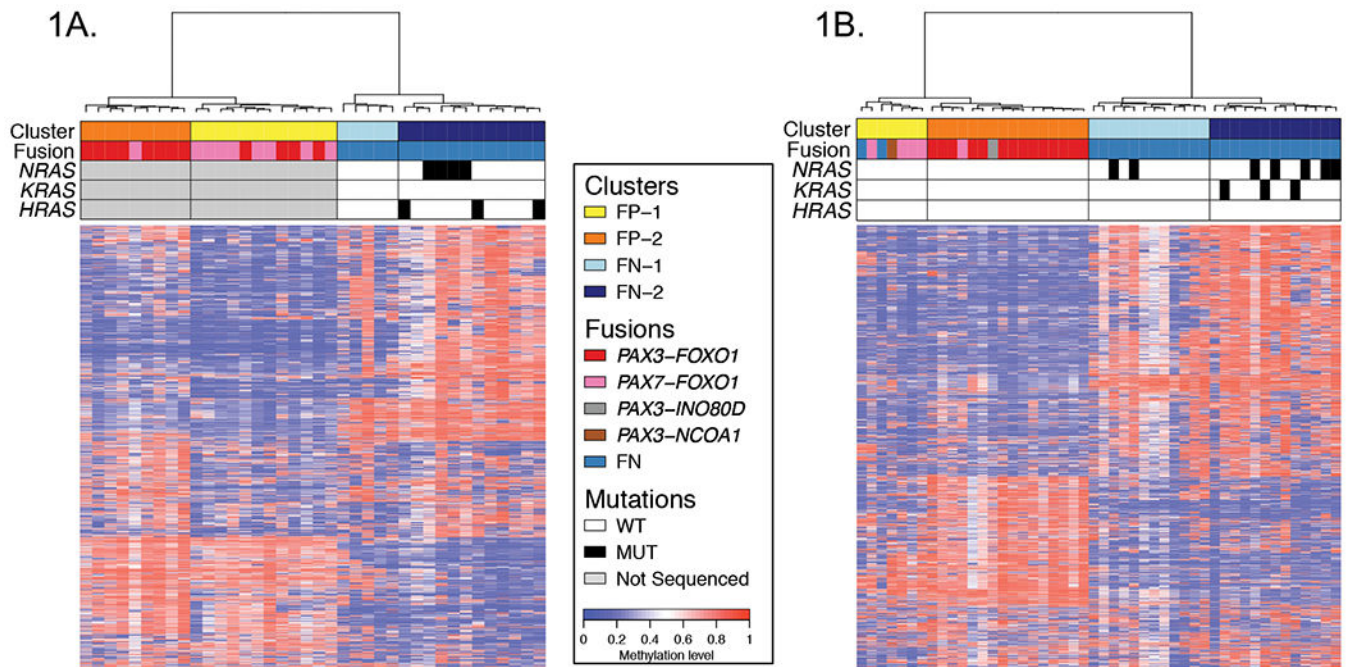
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**Novelty and Impact:**

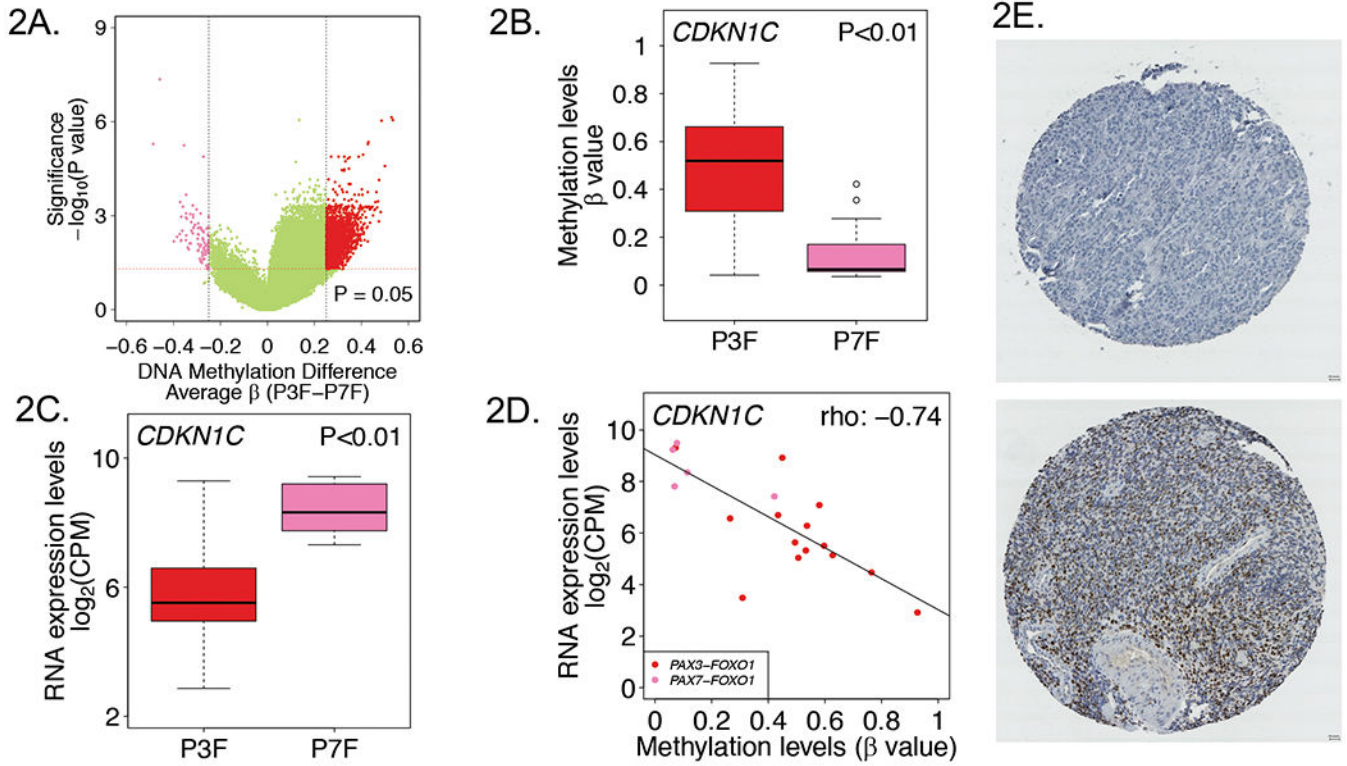
Our comprehensive integrative analysis of DNA methylation, genetic and gene expression alterations in the pediatric soft tissue cancer rhabdomyosarcoma revealed biologically distinct subsets in fusion-positive and fusion-negative rhabdomyosarcoma defined by DNA methylation pattern. Our analysis also demonstrates collaboration between the *PAX3-FOXO1* fusion oncoprotein and promoter hypomethylation to regulate a subset of *PAX3-FOXO1* transcriptional targets. These findings contribute to an improved molecular classification and better understanding of epigenetic regulation in rhabdomyosarcoma.



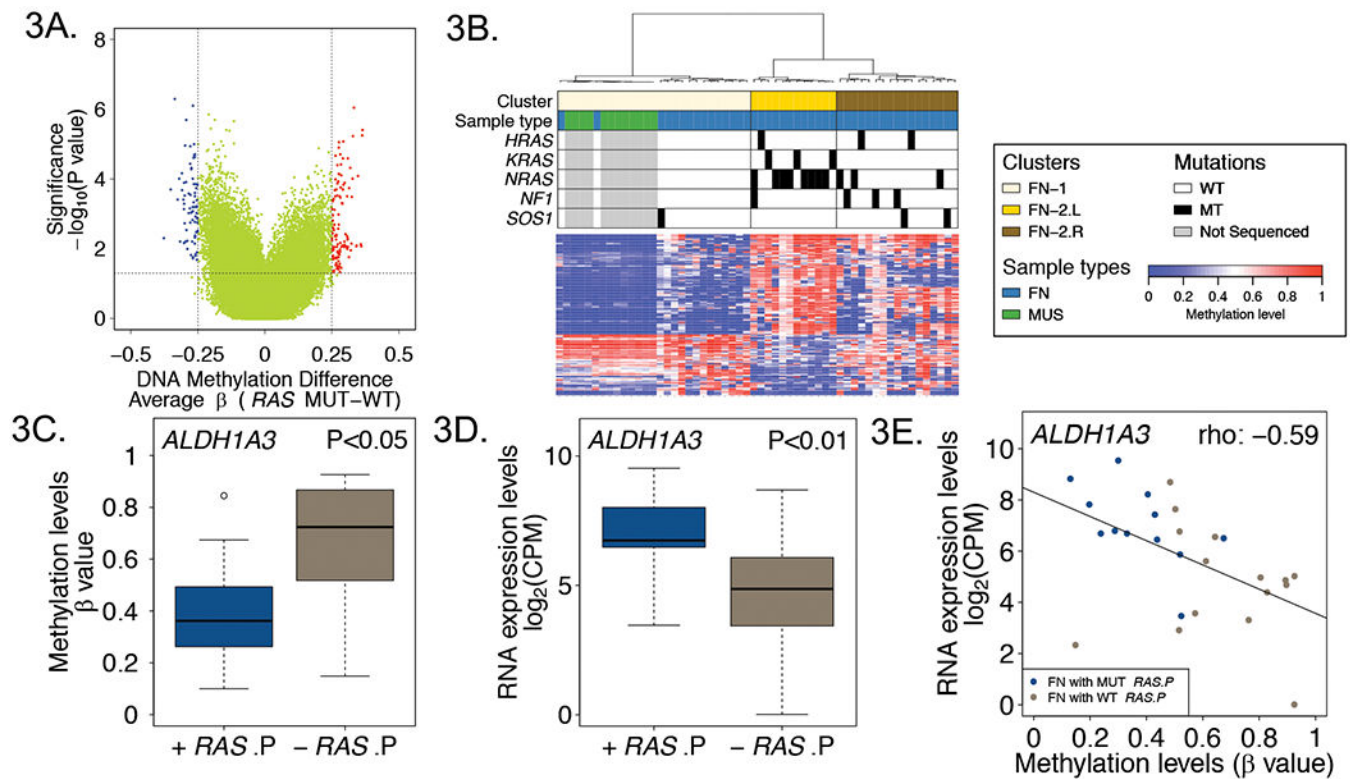
**Figure 1. DNA methylation profiling in discovery and validation cohorts identifies molecular subsets in FP and FN RMS.**

Heat maps for discovery (**A**) and validation (**B**) cohorts displaying the subsets of FP and FN RMS defined by DNA methylation. These displays are based on the top 1% most varied DNA probes across RMS tumors. Differences in the top 1% most varied DNA probes between the discovery and validation cohorts may contribute to the small differences in methylation patterns displayed for the RMS subsets. Fusion status and *RAS* mutation status are shown in the upper panel in addition to the methylation-defined subsets. Abbreviations: FN, fusion-negative; FP, fusion-positive; WT, wild-type; MUT, mutant-type.



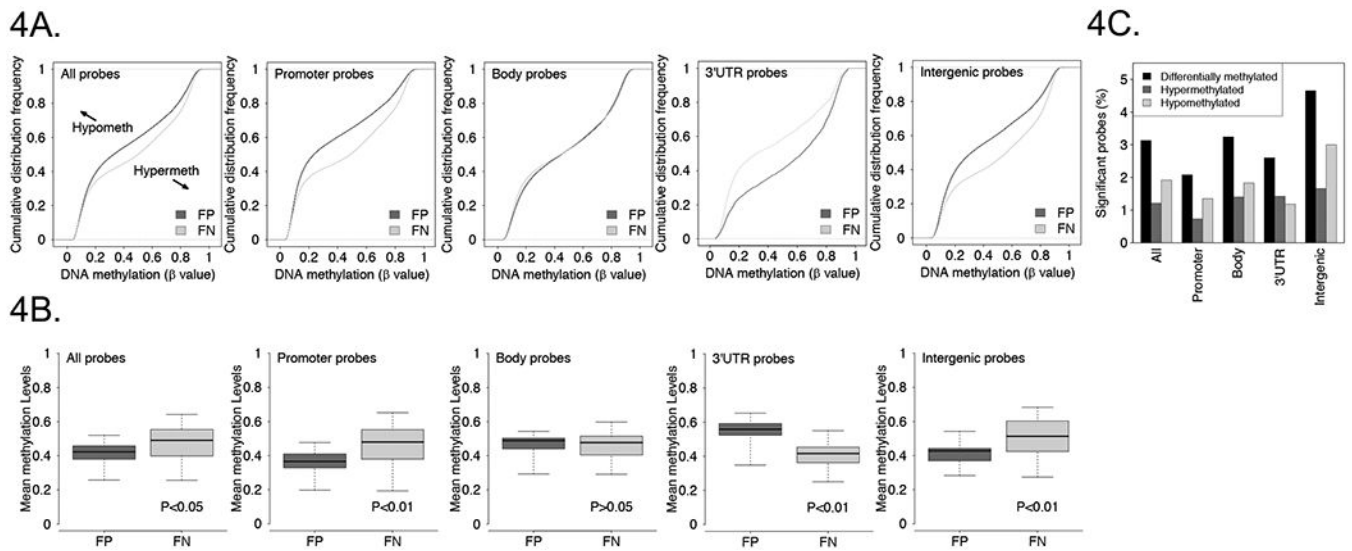


**Figure 2. DNA methylation characteristics associated with *PAX3-FOXO1*- and *PAX7-FOXO1*-positive RMS tumors.**  
**A**, Volcano plot displaying differentially methylated probes between *PAX3-FOXO1*- and *PAX7-FOXO1*-positive RMS tumors. Red and pink dots denote hypermethylated and hypomethylated probes in *PAX3-FOXO1*- versus *PAX7-FOXO1*-positive tumors, respectively. **B**, *CDKN1C* promoter methylation and RNA expression (**C**) levels in *PAX3-FOXO1*- and *PAX7-FOXO1*-positive RMS tumors. **D**, Correlation plot of DNA methylation versus RNA expression levels for *CDKN1C* in *PAX3-FOXO1*- and *PAX7-FOXO1*-positive RMS tumors. **E**, Representative *CDKN1C* immunohistochemical staining results in *PAX3-FOXO1*- and *PAX7-FOXO1*-positive tumors. Upper, *CDKN1C* negative staining in a *PAX3-FOXO1*-positive tumor; Lower, strong staining in a *PAX7-FOXO1*-positive tumor.  
 Abbreviations: P3F, *PAX3-FOXO1*; P7F, *PAX7-FOXO1*.



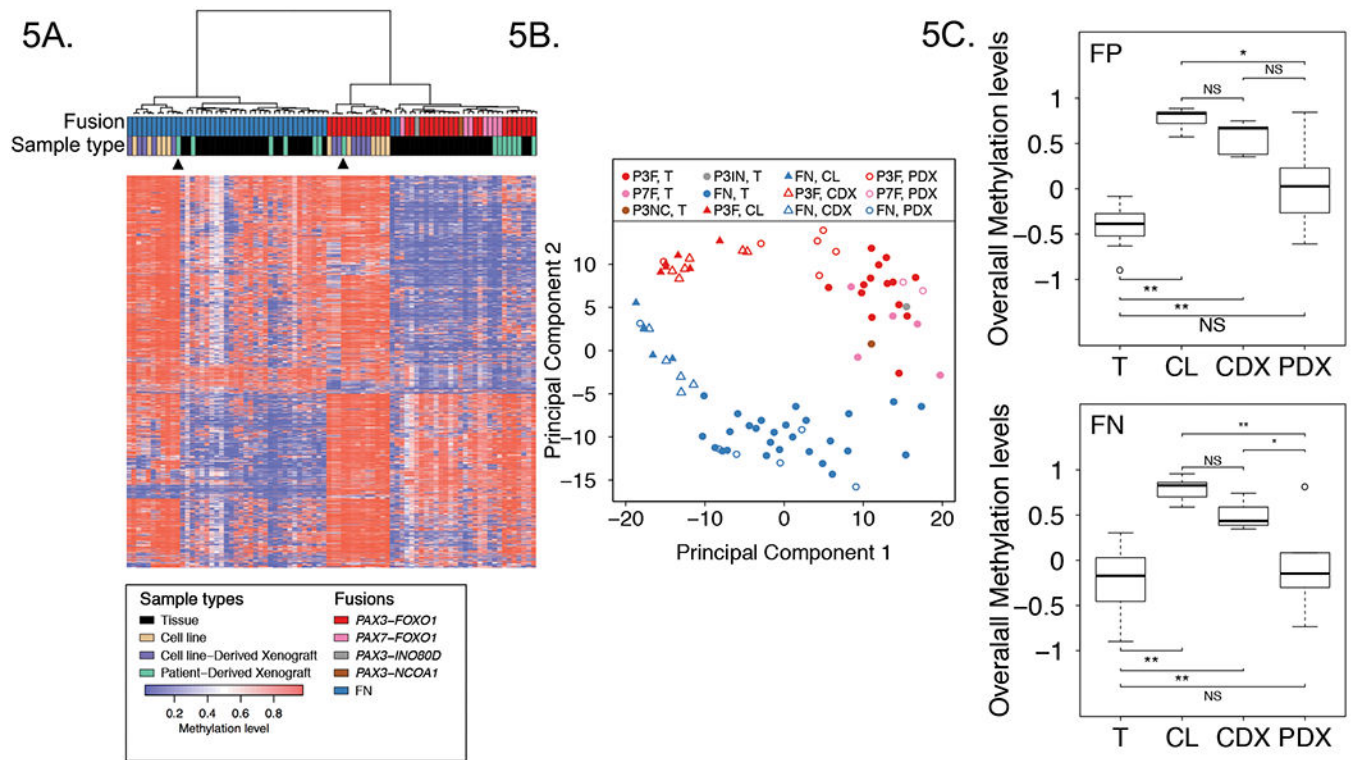
**Figure 3. DNA methylation characteristics associated with  $RAS$  mutant and wild-type FN RMS tumors.**

**A**, Volcano plot displaying differentially methylated probes between  $RAS$  mutant and wild-type FN RMS tumors. Red and blue dots denote hypermethylated and hypomethylated probes in  $RAS$  mutant versus wild-type tumors, respectively. **B**, Heat map comparing DNA methylation of normal fetal and neonatal skeletal muscle with  $RAS$  mutant and wild-type FN tumors based on differentially methylated probes between  $RAS$  mutant and wild-type FN tumors. Mutation events are represented by black rectangles in the upper panel. Promoter DNA methylation (**C**) and RNA expression (**D**) levels of *ALDH1A3* in  $RAS$  pathway mutant and wild-type FN RMS tumors. **E**, Correlation plot of DNA methylation versus RNA expression levels for *ALDH1A3* in  $RAS$  pathway mutant and wild-type FN RMS tumors. Abbreviations: WT, wild-type; MUT, mutant-type; + $RAS.P$ , FN tumors with  $RAS$  pathway gene mutations; - $RAS.P$ , FN tumors without  $RAS$  pathway gene mutations.



**Figure 4. Distribution of DNA methylation differences between FP and FN tumors across genomic features.**

**A,** Cumulative distribution frequency plots of CpG methylation levels in FP and FN tumors based on genomic features. **B,** Overall DNA methylation level (mean  $\beta$  value) of FP and FN tumors based on genomic features. **C,** Frequency of differentially methylated CpG probes in FP versus FN tumors based on genomic features.



**Figure 5. Comparison of methylation profiles of RMS cell lines, CDXs, PDXs and patient tumors.** Heat map (A) and PCA (B) analyses comparing DNA methylation profiles of 11 RMS cell lines, 11 CDXs, 14 PDXs and 48 patient tumors in the discovery cohort based on top 1% most varied DNA methylation probes across patient tumors. Arrowheads from left to right denote RH36 PDX and RH41 PDX. C, Overall DNA methylation levels in RMS cell lines, CDXs, PDXs and patient tumors. Data for FP samples are shown above, and data for FN samples are shown below. The plots summarize the distribution of standardized average DNA methylation levels in each sample type based on the top 1% most varied probes across RMS tumors. Adjusted P values in FP samples were calculated using one way ANOVA with Games-Howell test, and adjusted P values in FN samples were calculated using one way ANOVA with Tukey-Kramer multiple comparison post hoc test. Abbreviations: P3F, *PAX3-FOXO1*; P7F, *PAX7-FOXO1*; P3IN, *PAX3-INO80D*; P3NC, *PAX3-NCOA1*; T, tumor; CL, cell line. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; NS, not significant.

**Table 1.**

Frequency of overexpression in subsets defined by PAX3-FOXO1-binding sites and DNA hypomethylation.

	Frequency of overexpressed genes <sup>a</sup>		
	With PAX3-FOXO1 binding sites	Without PAX3-FOXO1 Binding sites	
+ Hypomethylation	25 / 46 (54.3%)	60 / 455 (13.1%)	P<0.01 <sup>b</sup>
- Hypomethylation	178 / 804 (22.1%)	660 / 11846 (5.6%)	P<0.01 <sup>b</sup>
	P<0.01 <sup>c</sup>	P<0.01 <sup>c</sup>	

<sup>a</sup>Data is presented in the format of "No. of overexpressed genes / No. of total genes, %".

<sup>b, c</sup>Fisher's exact test was performed by using non-differentially methylated genes as reference group.