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## Novel variants of *ELP2* and *PIAS1* in the interferon gamma signaling pathway are associated with non-small cell lung cancer survival

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### Abstract

**Background:** Interferon gamma (IFN $\gamma$ ) is a pleiotropic cytokine that plays critical immunomodulatory roles in intercellular communication in innate and adaptive immune responses. Despite recognition of IFN $\gamma$  signaling effects on host defense against viral infection and its utility in immunotherapy and tumor progression, the roles of genetic variants of the IFN $\gamma$  signaling pathway genes in cancer patient survival remain unknown.

**Methods:** We used a discovery genotyping dataset from the Prostate, Lung, Colorectal and Ovarian Cancer Screening Trial (n=1,185) and a replication genotyping dataset from the Harvard Lung Cancer Susceptibility Study (n=984) to evaluate associations between 14,553 genetic variants in 150 IFN $\gamma$  pathway genes and survival of non-small cell lung cancer (NSCLC).

**Results:** The combined analysis identified two independent potentially functional single-nucleotide polymorphisms (SNPs), *ELP2* rs7242481G>A and *PIAS1* rs1049493T>C, to be significantly associated with NSCLC survival, with a combined hazards ratio (HR) of 0.85 [95% CI= 0.78–0.92,  $P<0.0001$ ] and 0.87 (0.81–0.93,  $P<0.0001$ ), respectively. Expression quantitative

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trait loci analyses showed that the survival-associated *ELP2* rs7242481A allele was significantly associated with increased mRNA expression levels of *ELP2* in 373 lymphoblastoid cell lines and 369 whole blood samples. The *PIAS1* rs1049493C allele was significantly associated with decreased mRNA expression levels of *PIAS1* in 383 normal lung tissues and 369 whole blood samples.

**Conclusions:** Genetic variants of IFN $\gamma$  signaling genes are potential prognostic markers for NSCLC survival, likely through modulating the expression of key genes involved in host immune response.

**Impact:** Once validated, these variants could be useful predictors of NSCLC survival.

### Keywords

Non-small cell lung cancer; interferon gamma signaling; PD-L1; STAT3-interacting protein 1; single-nucleotide polymorphism; survival

## Introduction

Lung cancer is one of the most common malignancies both in the US and world-wide, with 228,150 new cases of lung cancer and approximately 142,670 deaths from this disease in the US in 2019 [1]. Non-small cell lung cancer, mostly adenocarcinoma and squamous cell carcinoma, are the most common histological subtypes, accounting for around 85% of all lung cancer patients [2]. Despite devoted efforts in the treatment over the past decades, lung cancer remains the cause for the highest cancer-related mortality worldwide, with an underwhelming 5-year survival rate of 18.6% between 2008 and 2014 in the US [3]. Conventional treatments for NSCLC include surgery, chemotherapy, and radiotherapy for its early stages, but the responses to these treatments are heterogeneous [4], likely due to genetic variation among the patients, such as single-nucleotide polymorphisms (SNPs), the most common genetic variants that could affect both short-term response and long-term prognosis of cancer patients; thus, identifying the role of these genetic factors for NSCLC survival may lead to a better understanding of the variability in treatment outcomes [5].

Recently, utilization of the immune system to halt cancer development and tumor progression has been widely recognized [6,7] and immunotherapy is now considered the “fourth-pillar” alongside the three conventional treatments [8]. Theoretically, immunotherapy either assists the ability of the immune system to target cancer cells directly or stimulate the immune system in a more general matter [9]. In NSCLC treatment, programmed death-ligand 1 (PD-L1) inhibitors, such as pembrolizumab, are often applied to patients with a high PD-L1 expression in tumors, in combination with chemotherapy to improve therapeutic results [10]. Despite recent advances, not all patients respond to immunotherapy [11]. Therefore, it is important to identify survival-related biomarkers for immunotherapy.

To date, genome-wide association studies (GWASs) investigating millions of SNPs at the same time have identified few SNPs that are associated with cancer survival, because a hypothesis-free GWAS focuses on the most-significant SNPs or genes with a significant *P* value after the stringent multiple testing correction. In reported GWASs on cancer survival,

most identified SNPs lack functional annotations, which limits clinical application of these results [12,13]. As a promising hypothesis-driven method in the post-GWAS era, a biological pathway-based approach has been applied to reanalyze published GWAS datasets and to evaluate the cumulative effect of SNPs across multiple genes in the same biological pathway [14]. Since much fewer SNPs in candidate genes of a particular biological pathway of interest will be included in the analysis, it avoids unnecessary multiple tests for SNPs that may have no apparent biological significance, which improves the overall study power to identify statistically significant and biologically important associations [14].

Interferons (IFNs) are a group of pleiotropic cytokines that play immunomodulatory roles during intercellular communication in innate and adaptive immune responses [15]. IFNs are divided into three types, of which the type II interferon (i.e., IFN $\gamma$ ) in humans, is the most extensively studied [15]. IFN $\gamma$  is a signaling protein released by Cytotoxic T cells and type I T helper cells in response to inflammatory or immune stimuli [16]. IFN $\gamma$  primarily modulates the activation of IFN response factor 1 through the JAK-STAT pathway, leading to the activation of a group of secondary responsive genes that up-regulate pathogen recognition, antigen presentation, and inhibit cellular proliferation [17,18]. Despite IFN $\gamma$  signaling being crucial for activating the immune system, it remains to be determined whether IFN $\gamma$  has a role in assisting tumor immune evasion, especially in the context of clinical trials [19]. Furthermore, the roles of genetic variants of candidate IFN $\gamma$  signaling genes and their biological functions in tumor growth or suppression remain unknown.

In the present study, therefore, we hypothesize that genetic variants in genes related to the IFN $\gamma$  signaling pathway, a critical cascade in the activation of both innate and adaptive immune responses, are associated with the survival of NSCLC patients. We used the existing genotyping data from two previously published GWASs to test this hypothesis.

## Materials & Methods

### Study populations

We utilized a GWAS dataset of the Prostate, Lung, Colorectal and Ovarian (PLCO) Cancer Screening Trial as the discovery; PLCO is a multi-center dataset of randomized controlled study conducted between 1993 and 2011 [20]. In addition to data on genotyping and survival, the PLCO dataset of 1,185 NSCLC patients also included blood samples and personal information about smoking status, histologic diagnosis, tumor stage, treatment method and family history collected at enrollment [21]. Blood DNA samples of the participants were genotyped with Illumina HumanHap240Sv1.0 and HumanHap550v3.0 (dbGaP accession: phs000093.v2.p2 and phs000336.v1.p1) [22, 23]. Each institutional review board of the participating institutions had approved the PLCO trial with a written informed consent from each of the participants permitting use of the collected data.

For replication, we used genotyping data extracted from another GWAS dataset of 984 histologically-confirmed Caucasian NSCLC patients from the Harvard Lung Cancer Susceptibility (HLCS) Study which began in 1991 [24], where whole blood samples and personal information were collected after diagnosis, and DNA extracted from the blood samples were genotyped using the Illumina Humanhap610-Quad array. The genotyped data

was utilized for imputation with the MACH3 software based on sequencing data from the 1000 Genomes Project [24].

The use of these two GWAS datasets were approved by the Internal Review Board of Duke University School of Medicine (#Pro00054575) and the dbGaP database administration for the PLCO dataset (#6404 with dbGaP accession: phs000093.v2.p2 and phs000336.v1.p1).

### Gene and SNP selection

The genes involved in the IFN $\gamma$  signaling pathway were selected using the Molecular Signatures Database (<http://software.broadinstitute.org/gsea/msigdb/index.jsp>) with the keyword “interferon AND gamma”. With the removal of 133 duplicated genes, two pseudogenes, one withdrawn gene and one gene located on X chromosome, 150 genes remained as candidates for further analysis (Supplementary Table 2). Imputation with IMPUTE2 and the 1000 Genomes Project data (phase 3) was performed for SNPs within  $\pm 500$ kb flanking regions of these candidate genes. SNPs within the genes and their  $\pm 2$ kb flanking regions were then extracted according to the following criteria: an imputation info score  $\geq 0.8$  (Supplementary Figure 1), a genotyping rate  $\geq 95\%$ , a minor allelic frequency (MAF)  $\geq 5\%$ , and a Hardy–Weinberg equilibrium (HWE)  $\geq 1 \times 10^{-5}$ , which resulted in 14,553 (1053 genotyped and 13,500 imputed) SNPs for further analyses.

### Statistical analyses

The endpoints for analysis included overall survival (OS) and disease-specific survival (DSS). In the single-locus analysis, we used multivariate Cox proportional hazards regression analysis to analyze the association between each of these SNPs and NSCLC survival in an additive model using the PLCO dataset, with adjustment for various clinical variables including age, sex, smoking status, histology, tumor stage, chemotherapy, radiotherapy, surgery and the first four principal components (Supplementary Table 3) by using the GenABEL package of R software [25]. We then used Bayesian false discovery probability (BFDP) with a cut-off value of 0.80 for multiple testing correction to decrease the probability of potentially false positive results as recommended for SNPs in high linkage disequilibrium (LD) as a result of imputation [26,27]. We assigned a prior probability of 0.10 and detected an upper boundary hazards ratio (HR) of 3.0 for an association with variant genotypes or minor alleles of the SNPs with  $P < 0.05$ . The chosen SNPs were then validated afterwards by using the HLCS genotyping dataset. Next, we performed an inverse variance weighted meta-analysis to combine the results of both discovery and replication datasets, followed by a multivariate stepwise Cox model to identify independent SNPs through adjustments for clinical variables, the top four principal components, demographic characteristics, as well as 15 previously published SNPs [28]. We used Manhattan Plots and Association Plots to visualize the locations and LD of the selected SNPs.

We then used the combined genotypes or alleles of the identified SNPs to evaluate their cumulative effects and the Kaplan–Meier (KM) survival curves to show their associations with survival probability, constructed the receiver operating characteristic (ROC) curve and time-dependent area under the curve (AUC) to illustrate prediction accuracy for NSCLC survival [29], and performed the expression quantitative trait loci (eQTL) analyses with the

genomic data from the 1000 Genomes Project and the genotype-tissue expression (GTEx) project [30,31]. The bioinformatics functional prediction for the tagging SNPs was then performed with SNPinfo [32] (<https://snpinfo.niehs.nih.gov>), RegulomeDB [33] (<http://www.regulomedb.org>) and HaploReg [34] (<http://archive.broadinstitute.org/mammals/haploreg/haploreg.php>). Lastly, the differences in mRNA expression levels were examined in 111 pairs of lung cancer tissues and adjacent normal tissues from the Cancer Genome Atlas (TCGA) database through a paired Student *t* test. We also assessed the differences in mRNA expression levels in a larger, but not paired, dataset from TCGA (<http://ualcan.path.uab.edu>), and the KM survival analysis was performed to evaluate the association between the mRNA expression levels and survival probability (<http://kmplot.com/analysis/index.php?p=service&cancer=lung>). All statistical analyses were performed with a statistical significance level of  $P < 0.05$  by using the SAS software (version 9.4; SAS Institute, Cary, NC, USA), unless otherwise indicated.

## Results

### Associations between SNPs in the interferon gamma signaling pathway genes and NSCLC survival

The overall flowchart of the present study is shown in Figure 1. Basic characteristics of 1,185 NSCLC patients from the PLCO trial and 984 NSCLC patients from the HLCS study have been described in Supplementary Table 1 [28]. After multiple testing correction by BFDP 0.80, we identified 340 SNPs that were significantly associated with NSCLC OS ( $P < 0.05$ ), of which 48 SNPs in two genes remained significant after further replication by the HLCS genotyping dataset. Subsequently, we performed a combined-analysis of the PLCO and HLCS datasets for these newly identified SNPs. As shown in Table 1, three representative SNPs were determined after considering the LD among the SNPs, one SNP in *ELP2* and two SNPs in *PIAS1*, were found to be associated with a better survival, without heterogeneity observed between the two datasets. Other 45 SNPs in high LD ( $>0.80$ ) with these three SNPs in the same genes are presented in Supplemental Table 5; these 45 SNPs also feature the same directionality in terms of survival (Supplementary Table 6).

### Independent SNPs associated with NSCLC survival in the PLCO dataset

When the three validated SNPs were included in the multivariate stepwise Cox model for the PLCO dataset only (because the HLCS study dataset did not have individual genotyping data), two SNPs remained independently and significantly associated with survival, even after adjustment for additional 15 previously reported SNPs significantly associated with NSCLC survival from the same PLCO GWAS dataset (Table 2). The results of selected SNPs from PLCO and HLCS are summarized in two separate Manhattan plots (Supplementary Figure 2a and Supplementary Figure 2b), respectively, and the regional association plot (<http://locuszoom.org/>) of each SNP is shown in Supplementary Figure 3 [45].

In the PLCO dataset with complete adjustment for available covariates, patients with the protective *ELP2* rs7242481 A (i.e., GA+AA) allele or *PIAS1* rs1049493 C (i.e., TC+CC) allele had a better OS and DSS ( $P_{\text{trend}}=0.004$  and  $P_{\text{trend}}=0.009$  for *ELP2* rs7242481 A,

respectively;  $P_{\text{trend}}=0.006$  and  $P_{\text{trend}}=0.023$  *PIASI* rs1049493 C, respectively) (Table 3). In comparison with the GG risk genotype, the *ELP2* rs7242481 GA genotype was associated with a decreased risk of death (HR=0.85, 95% CI=0.73–0.99,  $P=0.033$  for OS and HR=0.84 95% CI=0.72–0.99,  $P=0.033$  for DSS), and the *ELP2* rs7242481 AA genotype was also associated with a decreased risk of death (HR=0.74, 95% CI=0.59–0.94,  $P=0.012$  for OS and HR=0.76 95% CI=0.59–0.97,  $P=0.026$  for DSS). Similarly, in comparison with the TT risk genotype, the *PIASI* rs1049493 TC genotype was associated with a non-significant better OS and DSS (HR=0.92, 95% CI=0.78–1.0,  $P=0.303$  and HR=0.91 95% CI=0.76–1.08,  $P=0.285$ , respectively), and the *PIASI* rs1049493 CC genotype was associated with a significantly better OS and DSS (HR=0.75, 95% CI=0.61–0.91,  $P=0.005$  and HR=0.78 95% CI=0.63–0.97,  $P=0.022$ , respectively) (Table 3).

### Combined effects of the two independent SNPs in the PLCO dataset

We first combined the significant protective genotypes (i.e., *ELP2* rs7242481 TA+AA and *PIASI* rs1049493 CC) into a genetic score as the number of protective genotypes (NPGs). As shown in Table 3, the increased genetic score of the NPGs was associated with a better survival in the multivariate analysis in the PLCO dataset ( $P_{\text{trend}}<0.0004$  for OS and  $P_{\text{trend}}=0.002$  for DSS). When we dichotomized all the patients into genetic scores of 0–1 and 2 NPGs, the 2-score group had a significantly better survival (HR=0.64, 95% CI=0.52–0.80,  $P<0.0001$  for OS and HR=0.66, 95% CI=0.53–0.83,  $P=0.0004$  for DSS), in comparison with the 0–1 score group. As shown in Table 3, the increased genetic score of the NPAs was associated with a better survival in the multivariate analysis in the PLCO dataset ( $P_{\text{trend}}<0.0001$  for OS and  $P_{\text{trend}}=0.0006$  for DSS). When we dichotomized all the patients into genetic scores of 0–1 and 2–4 NPAs, the 2–4 score group had a significantly better survival (HR=0.77, 95% CI=0.67–0.89,  $P=0.0004$  for OS and HR=0.79, 95% CI=0.68–0.91,  $P=0.002$  for DSS), in comparison with the 0–1 score group. Because the NPAs was better than NPGs to evenly dichotomize the patients, we used NPAs to facilitate the stratification analysis. We further presented KM survival curves to depict these associations between protective alleles and NSCLC OS and DSS (Figure 2a–2d).

### Stratified analysis for associations between NPAs and NSCLC survival

In the stratified analysis by age, sex, smoking status, histology, tumor stage, chemotherapy, radiotherapy and surgery in the PLCO dataset, there were no obvious differences in survival, nor interactions, between the strata of these covariates observed in either NSCLC OS or DSS ( $P>0.05$  for all strata, Supplementary Table 4).

### The ROC curves and time dependent AUC

We assessed the predictive value of the two SNPs with time-dependent AUC and ROC curves at the 60<sup>th</sup> month (or five-year survival) in the PLCO dataset. Compared with the model of covariates including age, sex, smoking status, histology, tumor stage, chemotherapy, radiotherapy, surgery and the first four principal components, the time-dependent AUC plot with addition of the two independent SNPs did not improve prediction performance of the model at the 60<sup>th</sup> month. On the other hand, when we performed the time-dependent AUC and ROC curves at the 120<sup>th</sup> month and combined the two independent SNPs with the 15 previously published SNPs using the same dataset, the prediction

performance of the model was improved significantly for both OS and DSS. The AUCs changed from 87.42% to 89.81% ( $P=0.024$ ) for OS and from 87.95% to 90.46% ( $P=0.014$ ) for DSS (Supplementary Figure 7a and 7b).

### The eQTL analysis

In the RNA-Seq data of lymphoblastoid cell lines from 373 European descendants available from the 1000 Genomes Project, the *ELP2* rs7242481 A allele showed a significant correlation with increased mRNA expression levels of *ELP2* in all additive, dominant and recessive models ( $P=9.8\times 10^{-5}$ ,  $P=0.005$  and  $P<2\times 10^{-4}$ , respectively; Figure 3a, Supplementary Figure 8a and 8b); however, there was no significant correlation between the *PIAS1* rs1049493 C allele and mRNA expression levels of *PIAS1* in all three genetic models (Figure 3d, Supplementary Figure 8c and 8d). Then, we performed eQTL by using the data of 369 whole blood samples and 383 normal lung tissue from the GTEx project and found that the rs7242481 A allele remained significantly correlated with a higher expression level of *ELP2* in whole blood samples but not in normal lung tissues ( $P=2.63\times 10^{-15}$  and  $P=0.141$ , respectively) (Figure 3c and 3b). The rs1049493 C allele was correlated with a lower expression level of *PIAS1* in both normal lung tissues and whole blood ( $P=0.008$  and  $P=0.0002$ ) (Figure 3e and 3f). Finally, we performed functional prediction for these two independent SNPs utilizing three online bioinformatics tools: SNPinfo, RegulomeDB, and HaploReg to predict their biological functions as summarized in Supplementary Figure 4 and Supplementary Table 7.

### Differential mRNA expression analysis in target tissues

As shown in Supplementary Figure 5a–5c, in comparison with adjacent normal tissues, tumor tissues had a higher mRNA expression level of *ELP2* in lung adenocarcinoma (LUAD), lung squamous cell carcinoma (LUSC), and LUAD+LUSC samples combined ( $P<0.001$ ,  $P<0.001$  and  $P<0.001$ , respectively). In the UALCAN (<http://ualcan.path.uab.edu>) database, the mRNA expression levels of *ELP2* were also significantly higher in tumor tissues in LUAD ( $P<0.001$ ) and in LUSC ( $P<0.001$ ) (Supplementary Figure 5d and 5e). A higher expression level of *ELP2* was associated with improved NSCLC survival as shown by a KM survival curve of lung cancer constructed online (<http://kmplot.com/analysis/index.php?p=service&cancer=lung>). On the other hand, as shown in Supplementary Figure 6, compared with adjacent normal tissues, tumor tissues had a lower mRNA expression level of *PIAS1* in LUAD ( $P<0.0001$ ), LUSC ( $P<0.001$ ) and LUAD+LUSC combined ( $P<0.001$ ). In the UALCAN (<http://ualcan.path.uab.edu>) database, the results were also similar, in which the mRNA expression levels of *PIAS1* in tumor tissues were lower in both LUAD tissues ( $P<0.001$ ) and LUSC tissues ( $P<0.001$ ) in comparison with normal tissues. However, a higher expression level of *PIAS1* mRNA was associated with a better NSCLC survival in the TCGA database.

### Discussion

In the present study, we identified and validated two potentially functional and independent SNPs (i.e., *ELP2* rs7242481 and *PIAS1* rs1049493) that were significantly associated with the survival of NSCLC in Caucasian populations. We also found that *ELP2* rs7242481 A

allele was significantly associated with an increased mRNA expression of *ELP2* in 373 lymphoblastoid cell lines from the 1000 Genomes Project and an increased mRNA expression level in 369 whole blood samples from the GTEx project. Additionally, we also found that *PIAS1* rs1049493 C allele was significantly associated with a lower mRNA expression level of *PIAS1* in 383 normal lung tissues and 369 whole blood samples from the GTEx project. These results were consistent with those of the gene expression analysis between paired tumor and adjacent normal tissue samples and survival analysis in the TCGA database. It is worth noting that a significantly higher mRNA expression level of *ELP2* was found in tumor tissues in the TCGA database, yet a higher expression level of *ELP2* was associated with a better survival using the same data.

*ELP2*, or *STAT3*-interacting protein 1 (*STATIP1*), is located on chromosome 18 and is responsible for encoding the protein ELP2 (elongator protein 2) that makes up the core subunit of the elongator complex, a histone acetyltransferase complex that is highly associated with RNA polymerase II and various cellular activities [36,37]. In Homo sapiens, *ELP2* is mostly known as *STATIP1* or *StIP1*, a well-known *STAT3* interactor that is involved in regulating cytokine signal transduction, and an overexpression of *STATIP1* inhibits *STAT3* activation and nuclear translocation [38]. Studies in the past have also identified *STAT3* as a converging point of various oncogenic pathways, and activated *STAT3* may trigger tumor progression by directly regulating oncogenic gene expression as well as promote cancer growth via immunosuppression through the inhibition of immune mediators such as pro-inflammatory cytokines [39,40].

In the present study, we found that the rs7242481 A allele was significantly associated with an increased mRNA expression level of *ELP2* in lymphoblastoid cell lines and whole blood tissues; this finding is consistent with the results from other studies, suggesting that the novel genetic variant rs7242481 A allele may affect survival of NSCLC patients through increasing *ELP2* mRNA expression, likely inhibiting oncogenic effects of the activated *STAT3* [39,40]. Additionally, we found that mRNA expression levels of *ELP2* in tumor tissues from the TCGA database were higher than in adjacent normal tissues in both paired and non-paired samples and were associated with a better survival of NSCLC. These suggest that overexpression of *ELP2* may have occurred as part of our innate immune response to alleviate *STAT3* inhibitory effect on immune-stimulating cytokines, resulting in a more rapid activation of the innate immune system [38,39]. According to the ENCODE database, *ELP2* rs7242481G>A is located in a DNase I hypersensitive site with highly observable levels of histone modifications in H3K4Me3 and H3K27Ac acetylation, suggesting that the *ELP2* rs7242481 G>A SNP may lead to significantly enhanced transcriptional activities of *ELP2*.

*PIAS1*, or protein inhibitor of the activated *STAT1*, is located on chromosome 15 and is responsible for encoding the E3 SUMO-protein ligase enzyme, which plays a central role as a transcriptional coregulator of *STAT1* [41]. Recent studies suggest that the deregulation in SUMO (small ubiquitin-like modifier)-related pathways may lead to oncogenic transformation of tumor suppressors such as PML (promyelocytic leukemia protein) [41,42]. An interesting finding is that most of the published molecular and functional studies have proposed or identified *PIAS1* as an oncogene in NSCLC and other malignant tumors, demonstrating that *PIAS1* plays an essential role in degrading PML and that the expression



of PML and *PIAS1* are inversely correlated in NSCLC cell lines [42]. However, results obtained from the TCGA database suggest that *PIAS1* may be a potential suppressor gene in NSCLC, because its expression was higher in adjacent normal tissues than in tumor tissues in both paired and non-paired samples with additional evidence that a higher expression levels of *PIAS1* to be associated with a better survival. Therefore, additional mechanistic studies are needed to unravel these discrepancies in the association between *PIAS1* expression levels and NSCLC survival. A potential explanation to the difference in results between TCGA analysis and evidence from studies that support the oncogenic role of *PIAS1* [42] might be because that the tumor tissues outlined in TCGA also contain fibroblast cells and immune cells, which may alter the resulting observation.

In the present study, we showed that the *PIAS1* rs1049493 C allele was associated with lower mRNA expression levels of *PIAS1* in whole blood and normal lung tissues, suggesting that the novel rs1049493 C variant allele may affect survival of NSCLC by lowering *PIAS1* mRNA expression levels; however, it must be noted that the directionality of *PIAS1* rs1049493 C allele yielded mixed results between mRNA levels of *PIAS1* and NSCLC survival. Mixed observations on *PIAS1* and cancer survival were also observed in other literatures as well as in the KM Plotter for combined histology of lung cancer (Supplementary Figure 6f) [42,46]. As a result, the directionality and mechanisms of *PIAS1* in carcinogenesis and patient survival warrant additional molecular validation. According to the ENCODE database, *PIAS1* rs1049493 T>C is located in a DNase I hypersensitive site with observable levels of histone modifications in H3K4Me1 acetylation, suggesting that the *PIAS1* rs1049493 T>C SNP may lead to altered transcriptional activities of *PIAS1*.

Currently, there are conflicting outcomes in the IFN $\gamma$  signaling: to increase an innate immune cell recruitment and type I T helper cell activation but to induce an increased tolerant molecule (i.e., PD-L1) expression in tumor cells to promote immune evasion [11,43, 44]. Also, further functional investigation into the mechanisms of the *ELP2* rs7242481 and *PIAS1* rs1049493 SNPs are warranted. Furthermore, since both the discovery and replication datasets were from Caucasian populations, our findings may not be generalizable to other ethnic populations. It is also worth noting that the discovery dataset and replication dataset had some differences in distribution of baseline characteristics, leading to fewer significant SNPs being validated. Although the PLCO discovery dataset had a relatively large number of patients, the number of patients in some subgroups were still relatively small, which could cause a reduced statistical power in detecting potential weak effects of other SNPs. Furthermore, genetic mutation detection and tumor mutation burden [47] have been considered important for developing targeted therapies as the first-line treatments for cancer (i.e., PD-1 or PD-L1 inhibitors) in precision medicine as well as in the prediction of primary resistance to immunotherapy, but such treatment information was not available for further analysis in the present study. For the translational significance, any novel biomarkers for prognosis, such as the SNPs identified in the present study, would be clinically informative, if their effects on tumor phenotypes of NSCLC, such as tumor microenvironment [48], could be evaluated. This suggests that future studies need to collect such important clinical information in the study design, which could establish the associations between these IFN $\gamma$ -related SNPs and immunotherapy-related NSCLC survival.

The observed directionality of mRNA levels of *PIAS1* and NSCLC survival appears to be inconsistent in the biological plausibility, likely due to the diversity of tissues and different patients used in the analysis, as observed in previously published studies [42,46]; therefore, the expression data should be obtained from appropriate and relevant tissue types of the same study populations to avoid discrepant results. Our findings may not have immediate clinical utility, because the magnitude of the HR for clinical significance needs to be much higher and well-verified compared with those from GWAS studies of survival. Once further validated, however, these findings may allow researchers to explore potentially functional SNPs in more rigorous experimental investigation for their biological plausibility and clinical utility.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

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## Abbreviations:

**IFN $\gamma$**                       interferon gamma

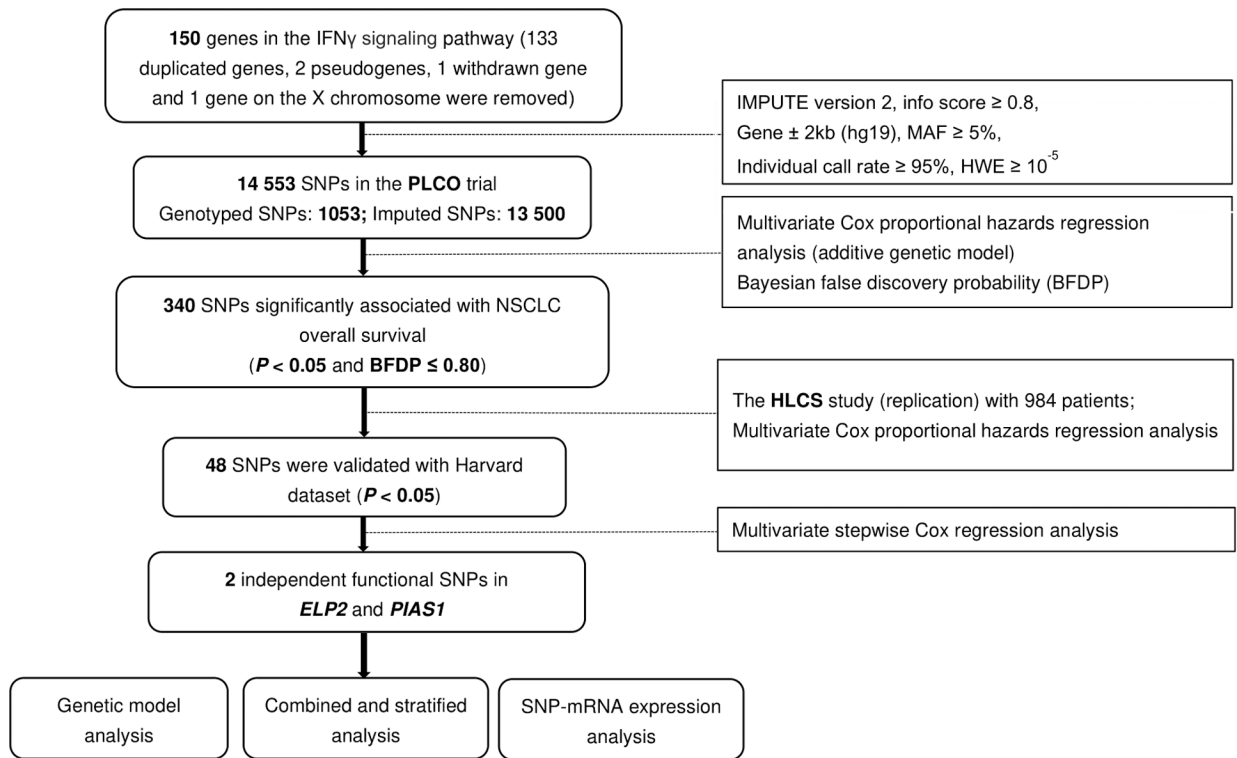
<b>NSCLC</b>	Non-small cell lung cancer
<b>PD-1</b>	Programmed cell death protein 1
<b>PD-L1</b>	Programmed death-ligand 1
<b>SNPs</b>	Single-nucleotide polymorphisms
<b>GWAS</b>	Genome-Wide Association Study
<b>PLCO</b>	the Prostate, Lung, Colorectal and Ovarian Cancer Screening Trial
<b>HLCS</b>	Harvard Lung Cancer Susceptibility Study
<b>OS</b>	Overall survival
<b>DSS</b>	Disease-specific survival
<b>LD</b>	Linkage disequilibrium
<b>BFDP</b>	Bayesian false discovery probability
<b>eQTL</b>	Expression quantitative trait loci
<b>TCGA</b>	the Cancer Genome Atlas
<b>ROC</b>	Receiver operating characteristics
<b>ELP2</b>	Elongator acetyltransferase complex subunit 2
<b>STAT1P1</b>	STAT3-interacting protein 1
<b>STAT1</b>	Signal transducer and activator of transcription 1
<b>PIAS1</b>	Protein inhibitor of activated <i>STAT1</i>
<b>PML</b>	promyelocytic leukemia protein
<b>EAF</b>	Effect allele frequency
<b>HR</b>	Hazards ratio
<b>CI</b>	Confidence interval
<b>AUC</b>	Area under the receiver operating characteristics curve

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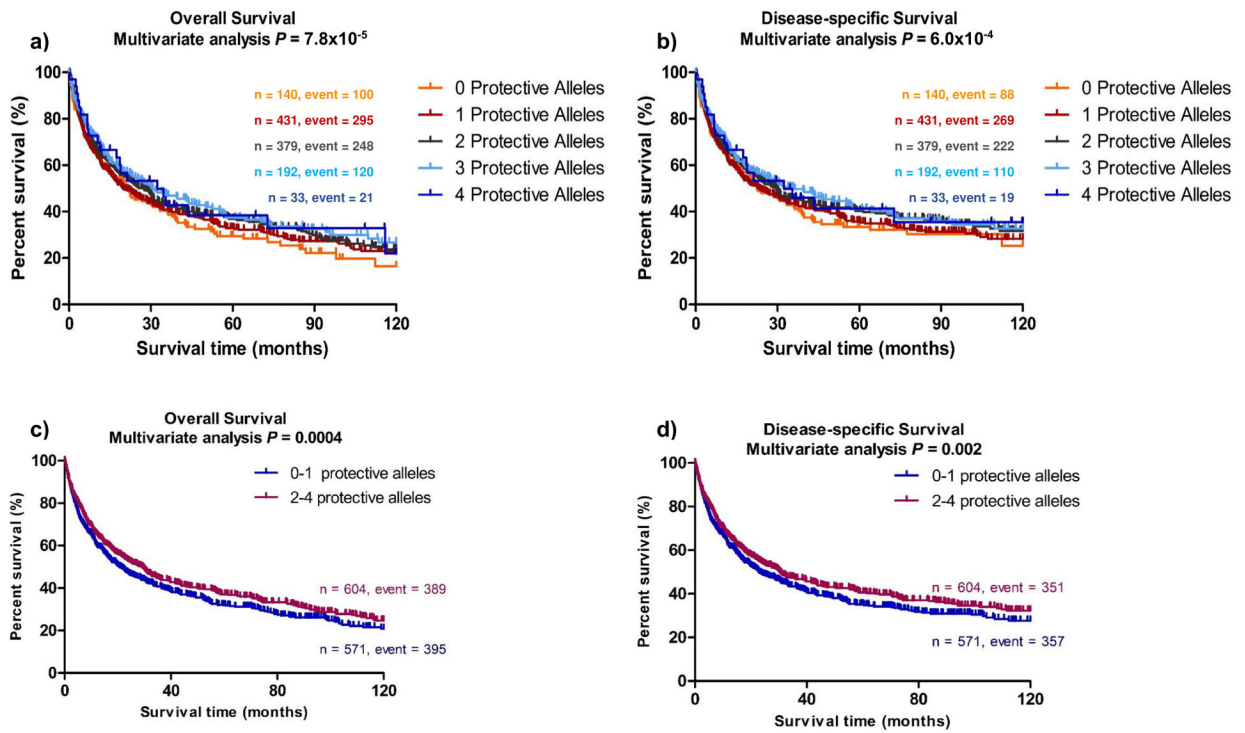
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**Figure 1: Study flowchart: The overall procedures of the present study.**

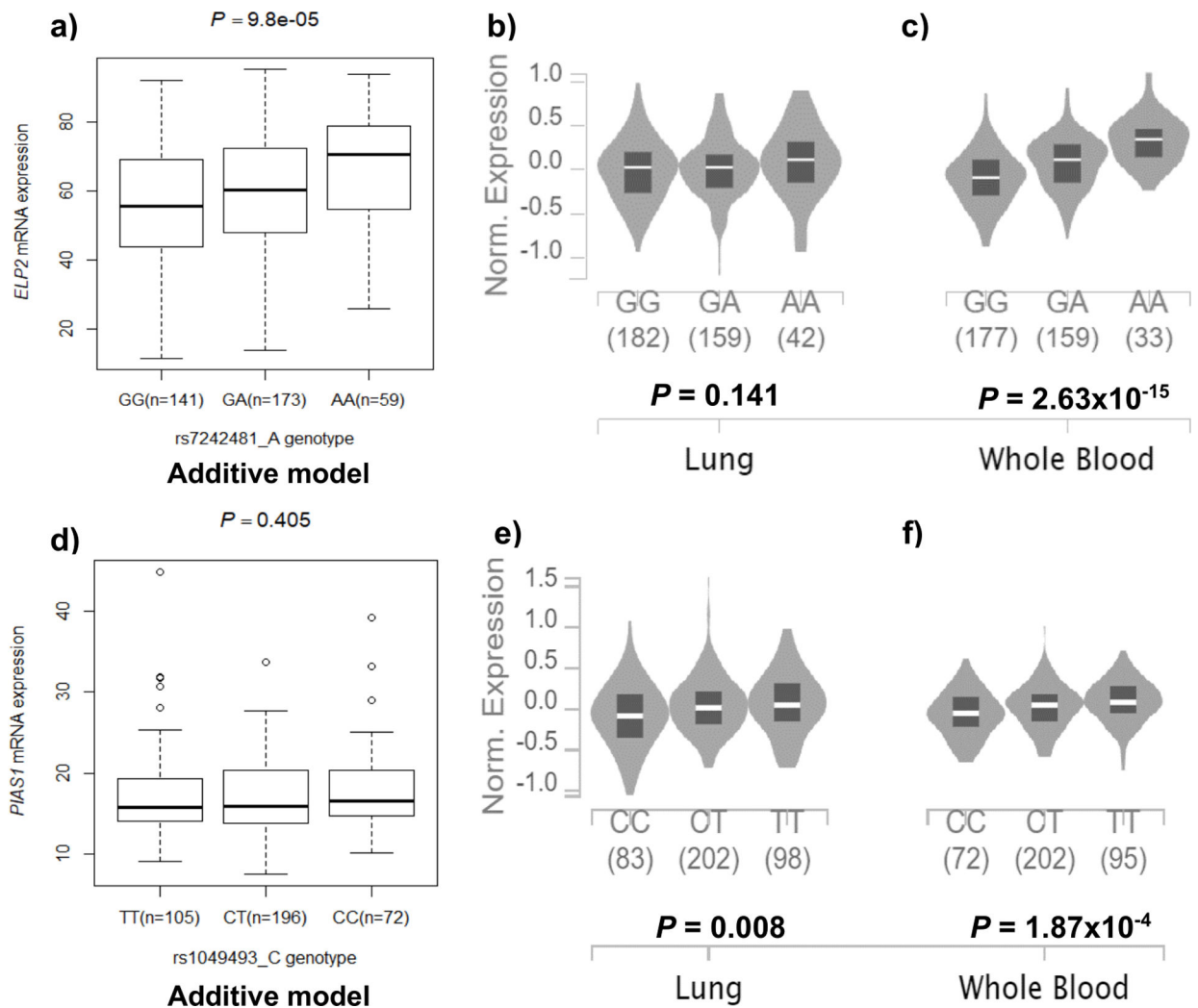
Abbreviations: SNPs, single-nucleotide polymorphisms; MAF: minor allelic frequency; HWE: Hardy-Weinberg Equilibrium; PLCO, The Prostate, Lung, Colorectal and Ovarian Cancer Screening Trial; HLCS, the Harvard Lung Cancer Susceptibility Study; NSCLC, non-small cell lung cancer; *ELP2*, elongator acetyltransferase complex subunit 2; *PIAS1*, protein inhibitor of activated *STAT1*.



**Figure 2: Prediction of survival with combined protective alleles**

Abbreviations: OS, overall survival; DSS, disease-specific survival; NPA: number of protective alleles; PLCO, The Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial.

Kaplan-Meier (KM) survival curves for NSCLC patients of two validated SNPs and combined protective alleles in the PLCO trial. (a) by 0, 1 and 2 protective alleles in OS, (b) by 0, 1 and 2 protective alleles in DSS, (c) Dichotomized groups of the NPA into 0–1 and 2–4 in OS, and (d) Dichotomized groups of the NPA into 0–1 and 2–4 in DSS from the PLCO trial.



**Figure 3: Correlation of genotypes with the mRNA expression levels of the corresponding genes**  
 Abbreviations: eQTL, expression quantitative trait loci; GTEx, Genotype-Tissue Expression project; *ELP2*, elongator acetyltransferase complex subunit 2; *PIAS1*, protein inhibitor of activated *STAT1*.

eQTL analysis of the independent and significant SNPs associated with NSCLC survival. rs7242481 A allele is associated with a higher mRNA expression of *ELP2* (a) in 373 European samples from the 1000 Genomes Project and (c) in 369 genotyped whole blood samples, but not in (b) 383 genotyped normal lung tissues from the GTEx project; rs1049493 C allele was not significantly associated with an altered mRNA expression of *PIAS1* (d) in 373 European samples from the 1000 Genomes Project, but is significantly associated with a decreased mRNA expression of *PIAS1* (e) in 383 normal lung tissue and (f) 369 whole blood samples from the GTEx project.



**Table 1.**

Associations of three significant SNPs with overall survival of patients with NSCLC in both discovery and validation datasets from two previously published GWASs

SNPs*	Allele <sup>a</sup>	Gene	PLCO (n=1185)			HR (95% CI) <sup>b</sup>	P <sup>b</sup>	HLCS (n=984)			Combined-analysis			
			FDR	BFDP	EAF			EAF	HR (95% CI) <sup>c</sup>	P <sup>c</sup>	P <sub>het</sub> <sup>d</sup>	I <sub>2</sub>	HR (95% CI) <sup>e</sup>	P <sup>e</sup>
rs7242481	G>A	<i>ELP2</i>	0.50	0.56	0.35	0.86 (0.77– 0.95)	0.004	0.36	0.83 (0.74– 0.93)	0.002	0.658	0	0.85 (0.78– 0.92)	3.02×10 <sup>-5</sup>
rs11071978	T>A	<i>PIAS1</i>	0.50	0.72	0.36	0.86 (0.78– 0.96)	0.006	0.34	0.82 (0.72– 0.92)	0.001	0.529	0	0.84 (0.77– 0.91)	2.34×10 <sup>-5</sup>
rs1049493	T>C	<i>PIAS1</i>	0.50	0.69	0.45	0.87 (0.79– 0.96)	0.006	0.45	0.87 (0.78– 0.96)	0.009	0.989	0	0.87 (0.81– 0.93)	1.24×10 <sup>-4</sup>

\*The other 45 SNPs in high LD ( $r^2 > 0.8$ ) with these three SNPs are presented in Supplementary Table 5 and Supplementary Table 6.

Abbreviations: SNPs, single-nucleotide polymorphisms; NSCLC, non-small cell lung cancer; GWAS, genome-wide association study; PLCO, the Prostate, Lung, Colorectal and Ovarian cancer screening trial; HLCS, Harvard Lung Cancer Susceptibility Study; EAF, effect allele frequency; HR, hazards ratio; CI, confidence interval; FDR, false discovery rate; BFDP, Bayesian false discovery probability; LD, linkage disequilibrium;

<sup>a</sup>Effect/reference allele;

<sup>b</sup>Adjusted for age, sex, stage, histology, smoking status, chemotherapy, radiotherapy, surgery, PC1, PC2, PC3 and PC4;

<sup>c</sup>Adjusted for age, sex, stage, histology, smoking status, chemotherapy, radiotherapy, surgery, PC1, PC2 and PC3;

<sup>d</sup> $P_{\text{het}}$ : P value for heterogeneity by Cochran's Q test;

<sup>e</sup>Meta-analysis in the fix-effects model.

**Table 2.**

Two independent SNPs in a multivariate Cox proportional hazards regression analysis with adjustment for other covariates and 15 previously published SNPs for NSCLC in the PLCO Trial

Variables	Category	Frequency	HR (95% CI) <sup>a</sup>	P <sup>a</sup>	HR (95% CI) <sup>b</sup>	P <sup>b</sup>
Age	Continuous	1185	1.03 (1.02–1.05)	<0.0001	1.04 (1.02–1.05)	<0.0001
Sex	Male	698	1.00		1.00	
	Female	487	0.80 (0.69–0.93)	0.004	0.78 (0.67–0.91)	0.002
Smoking status	Never	115	1.00		1.00	
	Current	423	1.67 (1.26–2.26)	0.0004	1.94 (1.44–2.62)	<0.0001
	Former	647	1.64 (1.25–2.16)	0.0004	1.90 (1.42–2.52)	<0.0001
Histology	Adenocarcinoma	577	1.00		1.00	
	Squamous cell	285	1.20 (0.99–1.45)	0.057	1.25 (1.03–1.51)	0.025
	others	323	1.32 (1.11–1.56)	0.002	1.37 (1.15–1.63)	0.0006
Tumor stage	I-III A	655	1.00		1.00	
	IIIB-IV	528	2.94 (2.42–3.58)	<0.0001	3.11 (2.55–3.79)	<0.0001
Chemotherapy	No	639	1.00		1.00	
	Yes	538	0.58 (0.48–0.69)	<0.0001	0.58 (0.48–0.70)	<0.0001
Radiotherapy	No	762	1.00		1.00	
	Yes	415	0.95 (0.81–1.12)	0.526	0.94 (0.80–1.12)	0.497
Surgery	No	637	1.00		1.00	
	Yes	540	0.21 (0.17–0.28)	<0.0001	0.20 (0.15–0.26)	<0.0001
<b>ELP2 rs7242481 G&gt;A</b>	<b>GG/GA/AA</b>	<b>495/544/146</b>	<b>0.86 (0.78–0.96)</b>	<b>0.007</b>	<b>0.86 (0.77–0.96)</b>	<b>0.007</b>
<b>PIASI rs1049493 T&gt;C</b>	<b>TT/TC/CC</b>	<b>368/579/248</b>	<b>0.87 (0.79–0.97)</b>	<b>0.009</b>	<b>0.89 (0.80–0.99)</b>	<b>0.024</b>

Abbreviations: SNP: single-nucleotide polymorphisms; NSCLC, non-small cell lung cancer; PLCO, the Prostate, Lung, Colorectal and Ovarian cancer screening trial; HLCS, Harvard Lung Cancer Susceptibility Study; HR: hazards ratio; CI: confidence interval.

<sup>a</sup>Adjusted for age, sex, tumor stage, histology, smoking status, chemotherapy, radiotherapy, surgery, and PC1, PC2, PC3, PC4;

<sup>b</sup>Other 15 published SNPs were included for further adjustment: five SNPs in PMID: 27557513; one SNP in PMID: 29978465; two SNPs in PMID: 30259978; two SNPs in PMID: 26757251; three SNPs in PMID: 30650190; and two SNPs in PMID: 30989732.

**Table 3.**

Associations between the number of protective alleles of two independent SNPs with OS and DSS of NSCLC in the PLCO Trial

Genotypes/Alleles	Frequency <sup>a</sup>	OS <sup>b</sup>			DSS <sup>b</sup>		
		Death (%)	HR (95% CI)	P	Death (%)	HR (95% CI)	P
<b>ELP2 rs7242481 G&gt;A</b>							
GG	491	336 (68.43)	1.00		306 (62.32)	1.00	
GA	538	361 (67.10)	0.85 (0.73–0.99)	0.033	318 (59.11)	0.84 (0.72–0.99)	0.033
AA	146	92 (63.01)	0.74 (0.59–0.94)	0.012	85 (58.22)	0.76 (0.59–0.97)	0.026
Trend test				0.004			0.009
<b>Dominant</b>							
GG	491	336 (68.43)	1.00		306 (62.32)	1.00	
GA+AA	684	453 (66.23)	0.82 (0.71–0.95)	0.008	403 (58.92)	0.82 (0.81–0.96)	0.011
<b>PIAS1 rs1049493 T&gt;C</b>							
TT	356	250 (70.22)	1.00		225 (63.20)	1.00	
TC	571	377 (66.02)	0.92 (0.78–1.08)	0.303	334 (58.49)	0.91 (0.76–1.08)	0.285
CC	248	162 (65.32)	0.75 (0.61–0.91)	0.005	150 (60.48)	0.78 (0.63–0.97)	0.022
Trend test				0.006			0.023
<b>Dominant</b>							
TT	356	250 (70.22)	1.00		225 (63.20)	1.00	
TC+CC	819	539 (65.81)	0.86 (0.74–1.00)	0.053	484 (59.10)	0.87 (0.74–1.02)	0.082
<b>NPG<sup>c</sup></b>							
0	398	274 (68.84)	1.00		249 (62.06)	1.00	
1	622	415 (66.72)	0.94 (0.81–1.10)	0.424	371 (59.65)	0.95 (0.81–1.12)	0.566
2	155	100 (64.52)	0.62 (0.49–0.79)	<0.0001	91 (58.71)	0.64 (0.50–0.83)	0.001
Trend test				0.0004			0.002
<b>Dichotomized NPG</b>							
0–1	1020	689 (67.6)	1.00		618 (60.59)	1.00	
2	155	100 (64.5)	0.64 (0.52–0.80)	<0.0001	91 (58.71)	0.66 (0.53–0.83)	0.0004
<b>NPA<sup>d</sup></b>							
0	140	100 (71.43)	1.00		88 (62.86)	1.00	
1	431	295 (68.45)	1.03 (0.82–1.30)	0.796	269 (62.41)	1.08 (0.84–1.37)	0.561
2	379	248 (65.44)	0.85 (0.68–1.08)	0.189	222 (58.58)	0.89 (0.69–1.14)	0.355
3	192	120 (62.50)	0.74 (0.56–0.97)	0.028	110 (57.29)	0.79 (0.59–1.05)	0.103
4	33	21 (63.64)	0.51 (0.31–0.82)	0.005	19 (57.58)	0.53 (0.32–0.81)	0.013
Trend test				<0.0001			0.0006
<b>Dichotomized NPA</b>							
0–1	571	395 (69.18)	1.00		357 (62.52)	1.00	
2–4	604	389 (64.40)	0.77 (0.67–0.89)	0.0004	351 (58.11)	0.79 (0.68–0.91)	0.002

Abbreviations: SNP, single nucleotide polymorphism; NSCLC, non-small cell lung cancer; PLCO, Prostate, Lung, Colorectal and Ovarian cancer screening trial; HR, hazards ratio; CI, confidence interval; OS, overall survival; DSS, disease-specific survival. NPG, number of protective genotypes; NPA: number of protective alleles.

<sup>a</sup>10 missing data were excluded;

<sup>b</sup>Adjusted for age, sex, smoking status, histology, tumor stage, chemotherapy, surgery, radiotherapy and principal components;

<sup>c</sup>Protective genotypes were *ELP2* rs7242481 GA+AA and *PIAS1* rs1049493 TC+CC;

<sup>d</sup>Protective alleles were *ELP2* rs7242481\_A and *PIAS1* rs1049493\_C.

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