The MIMIC Study: Prognostic Role and Cutoff Definition of Monocyte-to-Lymphocyte Ratio and Lactate Dehydrogenase Levels in Metastatic Colorectal Cancer

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Key Words. Metastatic colorectal cancer • Circulating biomarkers • LDH • MLR • Immune biomarkers

ABSTRACT

Background. Monocyte-to-lymphocyte ratio (MLR) and lactate dehydrogenase (LDH) levels are circulating biomarkers that provide information about tumor-related inflammation and immune suppression. This study aimed to evaluate the prognostic role of MLR and LDH in metastatic colorectal cancer (mCRC).

Material and Methods. This multicentric study analyzed a consecutive cohort of 528 patients with mCRC treated in 2009–2017. The whole population was randomly divided in training and validation cohort. The first was used to identify a threshold for MLR and to create the prognostic model with MLR and MLR-LDH combined (group 1: MLR-LDH low; group 2: MLR or LDH high; group 3: MLR-LDH high). The second cohort was used to validate the model.

Results. At the median follow-up of 55 months, median overall survival (OS) was 22 months. By multivariate analysis, high MLR >0.49 (hazard ratio [HR], 2.37; 95% confidence interval [C.I.], 1.39–4.04), high LDH (HR, 1.73; 95% C.I., 1.03–2.90) in the first model, group 2 (HR, 2.74; 95% C.I.; 1.62–4.66), and group 3 (HR, 3.73; 95% C.I., 1.94–7.18) in the combined model, had a worse prognosis in terms of OS. These data were confirmed both in the validation set and then in the whole cohort.

Conclusion. MLR and LDH are circulating cost-effective biomarkers, readily available in clinical practice, that can be useful for predicting the prognosis of patients with mCRC. The Oncologist 2020;25:661–668

Implications for Practice: High monocyte-to-lymphocyte ratio (MLR) and lactate dehydrogenase (LDH) levels could be a sign of a tumor's recruitment of suppressive and inflammatory cells worsening prognosis of different types of cancer, including colorectal cancer (CRC). Currently, no data are available for metastatic CRC regarding a cutoff definition for MLR or the prognostic impact of MLR and MLR-LDH combined. The present study showed in the training cohort and confirmed in the validation and whole cohort that MLR is a reliable and independent laboratory biomarker, which is easy to use, to predict clinical outcomes in patients with mCRC. Moreover, MLR and composite MLR-LDH could potentially result in an incremental improvement in the prognostic value of these biomarkers, being used as stratification tools for patients with mCRC.

INTRODUCTION _

Colorectal cancer (CRC) is the third most commonly diagnosed malignancy worldwide. Despite recent knowledge

gained through molecular biology and advances in anticancer treatments, CRC remains the fourth cause of cancer

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death, with a 5-year survival rate of 13.8% for metastatic disease [1].

In recent years, mounting evidence has established the pivotal role of the crosstalk between tumor microenvironment (TME) and immune system that has been recognized as an important hallmark of cancer spread [2, 3]. Notably, the immune system may either destroy or paradoxically promote and sustain cancer cells growth by modulating regulatory mediators to recruit immunosuppressive and inflammatory cells.

Circulating monocytes are first recruited by tumor and stromal cells through the release of chemokines and growth factors [4, 5]. In the tumor site, they are induced to differentiate with tissue resident macrophages into M2 tumor-associated macrophages (TAMs), acquiring protumor functions, including cancer growth, angiogenesis, dissemination, matrix remodeling, and suppression of adaptive immune response. Recent studies showed that TAMs expressing CD68 and CD163 markers are enriched in many tumor types including CRC and may promote tumorigenesis and cancer progression [6–9].

Conversely, circulating lymphocytes have an antitumor activity by inducing cytotoxic cell death and inhibiting tumor proliferation, hence several studies showed that the increased lymphocytes infiltration in tumor tissue predicted better survival outcomes in patients with cancer [10–12].

Therefore, changes in peripheral blood cells composition may reflect TME polarization and its role in cancer growth control [13]. Neutrophil-to-lymphocyte ratio (NLR), lymphocyte-to-monocyte ratio (LMR), and lactate dehydrogenase (LDH) have been proposed as potential prognostic factors in patients with different tumor types [14].

Interestingly, high monocyte-to-lymphocyte ratio (MLR), LDH, and NLR could be a sign of a tumor's recruitment of suppressive and inflammatory cells worsening prognosis of different types of cancer, including CRC [10–12]. However, the majority of data in the CRC setting come from studies including mainly Asian patients and early stage tumors [15–18].

Based on these premises, the present study aimed to evaluate the prognostic impact of circulating immune-suppressive and inflammatory biomarkers, namely MLR and LDH, in patients with metastatic CRC (mCRC).

MATERIALS AND METHODS

This was a multicenter, observational, retrospective, cohort study conducted on 528 consecutive patients with mCRC who underwent first-line chemotherapy and for whom the results of baseline blood tests were known. The study was aimed at evaluating the prognostic impact of baseline MLR and LDH levels in patients with mCRC in terms of overall survival (OS). To define and validate a cutoff for MLR, data were divided into two cohorts, training and validation.

Furthermore, secondary objectives were to identify and validate a threshold of MLR to discriminate patients according to prognosis, evaluate the value of combining MLR and LDH parameters, and evaluate the association between both MLR and the combination of MLR and LDH with other variables (i.e., sidedness, resection of primary tumor, number, and pattern of metastatic sites).

The study was approved by the departmental review board and by the Ethics Committee (Protocol number CRO-2019–28).

Study Population

All patients had confirmed mCRC diagnosis and provided consent for the use of clinical data, rendered anonymous, for purposes of clinical research, epidemiology, training, and study of diseases.

The series consisted of consecutive patients treated at the Department of Medical Oncology, National Cancer Institute of Aviano and Pordenone (224 patients), at the Oncology Department of Udine (218 patients), and at the Oncology Department of Mauriziano Hospital of Turin (86 patients), Italy, from January 2009 to March 2018. Data concerning age, sidedness (right colon vs. left colon), resection of primary tumor, date of metastatic disease diagnosis, number of metastatic sites, pattern of metastasis, biological profile (RAS and BRAF mutational status), date of first-line chemotherapy start, type of first-line treatment, and baseline treatment blood sample values (lymphocytes, monocytes, neutrophils, LDH) have been collected. Data have been retrieved from electronic and paper-based chart review according to strict privacy standards.

Blood Sample Analysis

MLR was defined as the absolute monocyte count divided by the absolute lymphocyte count; LDH levels were classified as under and over the limits according to a cutoff established by the laboratory of each participating center. Full blood count data were eligible for analysis if performed within 1 month before the start of first-line chemotherapy.

Statistical Analysis

The data set obtained was randomly divided with a ratio of 50%/50% in a training and validation cohort.

Patients' clinical and pathological characteristics were summarized through descriptive analysis. Categorical variables were described through frequency distribution, whereas continuous variables were reported through median and range. Differences across groups were compared through the chi-square test for categorical variables. For overall survival analyses, time at risk has been calculated from the date of metastatic disease diagnosis to the date of the event of interest: death or last follow-up. For univariate survival analysis, OS probabilities were estimated using the Kaplan-Meier method and compared by log-rank test. A p value <.05 was considered as statistically significant.

Training Cohort

To identify a threshold of MLR to discriminate patients according to OS, a receiving operator curve (ROC) analysis was performed. Patients subgroups identified by MLR and by MLR and LDH combination were compared using the log-rank test. A Cox proportional-hazards regression model, also including potential confounders (e.g., age, biological profile, sidedness) was used to calculate hazard ratios (HRs) of death, with the corresponding 95% confidence intervals (C.I.s), among different subgroups of patients identified by MLR and by the combination of MLR and LDH.

The training cohort was used to create the prognostic model with the cutoff identified for MLR and then with the combination of MLR and LDH levels; we arbitrarily categorized patients into three groups according to MLR and LDH levels (group 1: MLR and LDH low; group 2: MLR or LDH high; group 3: MLR and LDH high).

The performance of the prognostic model was evaluated using the Harrell's discrimination concordance index statistic (which is defined as the probability that predictions and outcomes are concordant: the equivalent of an area under curve (AUC) in the ROC analysis for survival data).

Validation Cohort

The prognostic model was then applied in the validation set. Cox proportional-hazards regression model, was used to calculate HRs of death, with the corresponding 95% C.I.s, in the different subgroups of patients identified by MLR and by the combination of MLR and LDH.

Pooled Population

The prognostic model was evaluated in the whole cohort. Cox proportional-hazards regression model was used to calculate HRs of death, with the corresponding 95% C.I.s, among the different subgroups of patients identified by MLR and by the combination of MLR and LDH.

Associations between variables were explored in the whole cohort by using statistical tests (chi-square, Wilcoxon rank-sum test, or Kruskal-Wallis test) as statistically appropriate. Furthermore, subgroup analyses were carried out. Statistical analysis was performed with STATA (Release 14.2.; StataCorp LP, College Station, TX) and with MedCalc.

Sample Size Calculation

The sample size was estimated in order to obtain a good performance of the statistical model for the association between patient and tumor characteristics with outcome measures in the multivariate analysis. The aim of the sampling was the achievement of a good "goodness of fit" from the regression model according to Peduzzi et al. [19, 20]. Therefore, considering 20–50 events per variable (EPV) and a final model with approximately 6–7 variables, about 180 and 360 EPV are necessary to obtain an accurate estimation of the statistical model. In the present study, we observed 186 events in the training set, 195 events in the validation set, and 381 in the whole cohort. Therefore, we could define an accurate estimation for the multivariate model.

RESULTS

The MIMIC study included a cohort of 528 patients with a diagnosis of mCRC (clinical, pathological, and treatment characteristics are listed in the Table 1) who underwent first-line chemotherapy. In the whole cohort, 63% of the patients were younger than 70 years. Of note, 33% of patients had a right tumor location, and 71% received a primary tumor resection. Approximately 35% had more than one metastatic site involved.

The most frequent site of metastatic spread was liver (38%), followed by peritoneum (22%) and lungs (19%). As

Table 1. Baseline characteristics

Number of treatment lines (411 pts); median value, 2.11. monocyte-to-lymphocyte ratio (528 pts); median value, 0.40. Abbreviation: LDH, lactate dehydrogenase.

for the biologic profile, KRAS mutation was found in 40%, NRAS mutation in 3%, and BRAF mutation in 8% of patients. The median follow-up was 55 months, whereas median OS was 22 months.

No significant differences were observed among clinical and pathological features collected between the two cohorts (supplemental online Table 1).

Training Cohort

The training cohort included 264 patients. The median followup was 53 months, whereas median OS was 22 months.

At univariate analysis (supplemental online Table 2), age ≥ 70 years (HR, 1.53; 95% C.I., 1.14–2.05), metastatic pattern (lymph-nodes vs. liver: HR, 1.83; 95% C.I., 1.15–2.90; peritoneum vs. liver: HR, 1.48; 95% C.I., 1.01–2.16), BRAF mutation (HR, 1.77; 95% C.I., 1.07–2.92), NLR as continuous variable (HR, 1.01; 95% C.I., 1.01–1.02), MLR as continuous variable (HR, 2.44; 95% C.I., 1.79–3.34), and LDH above limits (HR, 2.10; 95% C.I., 1.47–2.98) were associated with worst prognosis in terms of OS. Conversely, primary tumor resection (HR, 0.37; 95% C.I., 0.27–0.51) and left sidedness (HR, 0.62; 95% C.I., 0.46–0.84) were associated with better survival.

The MLR cutoff identified with ROC analysis was 0.49 (AUC, 0.64; supplemental online Fig. 1). MLR levels higher than 0.49 (called MLR high from here on) predicted worse OS (Fig. 1). By multivariate analysis (Table 2), age \geq 70 years (HR, 1.83; 95% C.I., 1.13–2.94), BRAF mutation (HR, 2.23; 95% C.I., 1.04–4.77), MLR > 0.49 (HR, 2.37; 95% C.I., 1.39–4.04), and high LDH values (HR, 1.73; 95% C.I., 1.03–2.90) were still associated with shorter OS. Conversely, surgery of primary tumor was associated with longer OS (HR, 0.40; 95% C.I., 0.23–0.68). Similarly, left sidedness showed a trend toward good prognosis (left vs. right: HR, 0.62; 95% C.I., 0.37–1.06). Median OS for MLR high was 13.8 months versus 29.5 months for MLR low.

We arbitrarily categorized patients into three groups according to combined MLR and LDH levels (group 1: MLR and LDH low; group 2: MLR or LDH high; group 3: MLR and LDH high).

Patients with MLR or LDH high (HR vs. group 1, 1.98; 95% C.I., 1.38–2.86 in univariate analysis; HR, 2.74; 95% C.I., 1.62–4.66 in multivariate analysis) or both elevated (HR, 3.91 vs. group 1; 95% C.I., 2.34–6.53 in univariate; HR, 3.73; 95% C.I., 1.94–7.18 in multivariate) had a worse prognosis in terms of OS (Table 3; Fig. 1). Median OS for patients with both MLR and LDH low was 31.3 months, for patients with MLR or LDH high was 17.0 months, and for patients with both MLR and LDH high was 13.55 months. The performance established by Harrell's Cstatistic for model with known clinic-pathological variables was 0.68 and with the addition of MLR was 0.71 or LDH was 0.72. Interestingly, the C-statistic for the model with known clinical and pathological variables and the combination of MLR and LDH in the three categories was 0.74.

Validation Cohort

A total of 264 eligible patients were included in the validation cohort. Baseline clinical and pathological characteristics are reported in the Table 2. The median follow-up was 58 months, the median OS was 22 months. To validate the

Figure 1. Training cohort: (A): MLR, (B): LDH, and (C): MLR and LDH combination.

Abbreviations: C.I., confidence interval; HR, hazard ratio; LDH, lactate dehydrogenase; MLR, monocyte-to-lymphocyte ratio.

MLR cutoff and the combination of the two biomarkers found in the training cohort, we carried out a multivariate Cox model including also the variables identified as significant in the training cohort.

In this set, MLR and LDH maintained their unfavorable prognostic value both when analyzed individually (MLR high vs. low: HR, 2.31; 95% C.I., 1.27–4.19; LDH high vs. low: HR, 1.85; 95% C.I., 1.01–3.41) and when combined in the three

Table 2. Multivariate analysis

Bold values are statistically significant. Italicized text are values of reference.

Abbreviations: CI, confidence interval; HR, hazard ratio; LDH, lactate dehydrogenase; MLR, monocyte-to-lymphocyte ratio; NLR, neutrophil-to-lymphocyte ratio; WT, wild type.

Table 3. Multivariate analysis with MLR-LDH combination

Bold values are statistically significant. Italicized text are values of reference.

Abbreviations: CI, confidence interval; HR, hazard ratio; LDH, lactate dehydrogenase; MLR, monocyte-to-lymphocyte ratio; NLR, neutrophil-to-lymphocyte ratio; WT, wild type.

categories (MLR or LDH high: HR vs. MLR and LDH low, 1.86; 95% C.I., 0.99–3.47; MLR and LDH high: HR vs. MLR and LDH low, 4.46; 95% C.I., 2.09–9.52; supplemental online Fig. 2).

Pooled Population

By multivariate analysis for OS in the whole cohort ($n = 528$), MLR and LDH confirmed to be independent prognostic factors both individually (MLR high vs. low: HR, 2.15; 95% C.I., 1.47–3.14; LDH high vs. low: HR, 1.65; 95% C.I., 1.15–2.37) and when they were combined (MLR or LDH high: HR vs. MLR and LDH low, 1.98; 95% C.I., 1.38–2.86; MLR and LDH high: HR vs. MLR and LDH low, 3.42; 95% C.I., 2.16–5.41; Fig. 2).

Notably, high MLR at first-line therapy start was associated with one or more metastatic sites ($p < .001$), pattern of metastasis ($p < .001$), and primary tumor surgery not executed ($p < .001$; Fig. 3). Conversely, MLR was not associated with sidedness ($p = .202$). Interestingly, an exploratory

Figure 2. Whole population.

Abbreviations: C.I., confidence interval; HR, hazard ratio; LDH, lactate dehydrogenase; MLR, monocyte-to-lymphocyte ratio.

Figure 3. MLR association. Notably, high MLR at first-line therapy start was associated with one or more metastatic sites ($p <$.001), pattern of metastasis ($p < .001$), and primary tumor surgery not executed ($p < .001$; Fig. 3). Conversely, MLR was not associated with sidedness ($p = .202$). Interestingly, an exploratory analysis conducted on a subgroup of patients with the availability of the precise information about sidedness (according to right, left, and rectum) showed an association with MLR (Fig. 3).

Abbreviation: MLR, monocyte-to-lymphocyte ratio.

analysis conducted on a subgroup of patients with the availability of the precise information about sidedness (according to right, left, and rectum) showed an association with MLR (Fig. 3).

MLR-LDH combination high was associated with number of metastatic sites ≥1 (p < .001), pattern of metastasis ($p = .047$), and primary tumor surgery not executed ($p < .001$).

In the subgroup analysis, no interaction was observed among subgroups stratified for MLR status (high vs. low; supplemental online Fig. 3) and for MLR and LDH status (supplemental online Fig. 4).

DISCUSSION

Increasing evidence shows that cancer-related inflammation and immune suppression favor tumor initiation and progression in CRC, becoming a critical player in the oncogenic process. Inflammatory and immune-suppressive indexes, namely NLR, LMR, and LDH, are gaining momentum as prognostic indicators.

However, published studies evaluating the role of inflammatory indexes are heterogeneous, mostly with variable cutoff values and were conducted in patients with resectable CRC [21–26].

The present study, conducted on 528 patients, aimed to evaluate the prognostic role of such circulating biomarkers in mCRC. Moreover, although data for NLR and LDH about the optimal cutoff are defined, for MLR there are still few and conflicting data. Therefore, the data set was randomly divided in two balanced cohorts to establish and validate the statistically optimal MLR cutoff value.

Interestingly, MLR high (cutoff point identified as >0.49) at the start of first-line therapy negatively impacted on survival. To assess the prognostic role of the MLR cutoff value established in the training cohort, the multivariate model was tested in a validation set: MLR >0.49 and high LDH levels were confirmed to be associated with worse prognosis.

Of note, MLR indirectly reflects the complex interplay between TME, with its degree of progression, and the host immune system, with its ability to govern clinical behavior of CRC to determine survival outcome. Chemokine-ligand (CCL) 2, CCL21, and vascular endothelial growth factor (VEGF) are responsible for the recruitment of circulating monocytes into primary tumors and the metastatic niche, where local chemokines (including interleukin [IL]-10 and TGF-β) promote their differentiation into M2 phenotype TAMs. Hence, they induce tumor growth promotion, tissue remodeling, angiogenesis, adaptive immunity suppression, and invasion [27–29]. Conversely, lymphocytes are crucial for the adaptive immune response that inhibits tumor cell proliferation and migration and determines cytotoxic cell death. A decrease in lymphocytes absolute count potentially reflects an insufficient response of the host immune system to the tumor, consequently enhancing tumor progression and metastatic processes [22, 30, 31].

Moreover, evidence is emerging about the role of inflammation in the tumorigenesis and progression of many malignancies, which negatively influences survival outcomes. In particular, recent studies show that baseline LDH and its dynamic change have an established independent prognostic impact [32–35]. Lactate produced by cancer cells (a product of LDH-A gene) has a pivotal role in the TME, by activating the recruitment of circulating monocytes and resident macrophages to tumor site and then influencing the polarization of TAMs into M2-like subsets [36–38].

Remarkably, LDH plays a key role in cancer maintenance and progression inducing several key features in TME through several proinflammatory cytokines such as TNF-α, IL-1, IL-6, reactive oxygen and nitrogen species, prostaglandins, nuclear factor-κB, and microRNAs. Namely, it modulates the activity of arginase I and inhibits the innate and adaptive immune response by promoting immune-suppressive cytokines.

Therefore, a combined composite stratification that included MLR and LDH levels was developed (group 1: MLR and LDH low; group 2: MLR or LDH high; group 3: MLR and LDH high). An association with worse prognosis in terms of OS was observed with both MLR or LDH over the limits and both elevated. Data were confirmed also in the validation set.

Of note, LDH is a product of aerobic and anaerobic glycolysis. Therefore, as a byproduct of the first one, it can provide information about cell proliferation, whereas as a byproduct of the second one, it is strongly linked to hypoxia and angiogenesis with overexpression of VEGF-A and VEGFR-1 and macrophage polarization [39–41]. Preclinical and clinical studies has already shown that serum LDH levels could be a circulating

biomarker of angiogenesis and could predict benefit to anti-VEGF in mCRC [42].

Because both a high monocyte count and a low lymphocyte count reflect a suppressed immune activity, a high MLR may be a sign of elevated tumor burden [43]. In fact, in the present study, MLR and MLR-LDH combined were associated with the number of metastatic sites: high levels were observed in patients with more than one site involved. Moreover, MLR and MLR-LDH combined were associated with pattern of metastasis and primary tumor surgery. Conversely, they were not associated with sidedness (right vs. left). Interestingly, an exploratory analysis according to sidedness as right, left, and rectum showed an association with MLR: right and rectum tumors have high MLR levels.

CRC is a heterogeneous disease whose complexity results from multiple genetic and epigenetic alterations that interfere with tumor biology and disease characteristics [44]. Interestingly, in the present study, no interaction was observed between MLR and RAS or BRAF molecular mutations. These results are consistent with scientific evidence available, suggesting that a high MLR denote an aggressive biology in a way that is independent of common molecular alterations [21]. Nevertheless, they may be influenced by the small number of patients with molecular mutations detected. Larger cohorts are needed to eventually undertake association with molecular characteristics that associate with worse clinical outcomes.

The results of this study confirm and highlight the clinical utility of MLR and LDH as biomarkers of systemic immune and inflammatory response and independent predictors of survival in patients receiving a first-line treatment for mCRC. Notably, the study evaluated a heterogeneous cohort of unselected, real-world patients, hence these results might hold a considerable clinical utility to identify mCRC patients with worst survival. Indeed, they are consistent with the evidence available and support the prospective use of the cutoff identified in larger cohorts of patients with mCRC candidate to receive a first-line therapy.

A strength of this study is the large sample size of the whole cohort and the randomly division in training and validation cohort. Furthermore, the results of this study can be considered consistent with the role of monocytederived TAMs in promoting tumor cell migration, invasion,

CONCLUSION

MLR is a reliable and independent laboratory biomarker, which is easy to use, to predict clinical outcomes in patients with mCRC. Moreover, MLR and composite MLR-LDH could potentially result in an incremental improvement in the prognostic value of these biomarkers, being used as stratification tools for patients with mCRC. Their evaluation is accessible and inexpensive, with a considerable extent of reproducibility. Future studies with a multicenter and prospective design in larger cohorts of patients are crucial to validate our results.

AUTHOR CONTRIBUTIONS

- Conception/design: Debora Basile, Marcella Montico, Giuseppe Aprile, Fabio Puglisi
- Provision of study material or patients: Luisa Foltran, Nicoletta Pella, Angela Buonadonna, Massimo Di Maio, Gianpiero Fasola, Fabio Puglisi
- Collection and/or assembly of data: Debora Basile, Silvio Ken Garattini, Carla Corvaja, Francesco Cortiula, Giacomo Pelizzari, Marco Audisio, Camilla Lisanti
- Data analysis and interpretation: Debora Basile, Marcella Montico, Lorenzo Gerratana, Elena Ongaro, Donatella Iacono, Giovanni Gerardo Cardellino, Giuseppe Aprile, Fabio Puglisi
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DISCLOSURES

Massimo Di Maio: Bristol-Myers Squibb, Merck Sharp & Dohme, Pfizer, Eisai, Takeda, AstraZeneca, Millennium Pharmceuticals (C/A), Tesaro (RF); Fabio Puglisi: AstraZeneca, Roche (RF), Amgen, Eli Lilly & Co, Novartis, Pfizer, Roche (SAB). The other authors indicated no financial relationships.

(C/A) Consulting/advisory relationship; (RF) Research funding; (E) Employment; (ET) Expert testimony; (H) Honoraria received; (OI) Ownership interests; (IP) Intellectual property rights/ inventor/patent holder; (SAB) Scientific advisory board.

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