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## Leptomeningeal metastasis from systemic cancer: review and update on management.

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#### **Abstract**

Leptomeningeal metastasis is an uncommon and typically late complication of cancer with poor prognosis and limited treatment options. Diagnosis is often challenging with nonspecific presenting symptoms ranging from headache and confusion to focal neurologic deficits such as cranial nerve palsies. Standard diagnostic evaluation involves a neurologic examination, MRI of the brain and spine with gadolinium, and cytologic evaluation of the cerebral spinal fluid (CSF). Therapy entails a multimodal approach focused on palliation with surgery, radiation, and/or chemotherapy, which may be administered systemically or directly into the CSF. Limited trial data exists to guide treatment, with current regimens based primarily on expert opinion. Although newer targeted and immunotherapeutic agents are under investigation and show promise, an improved understanding of the biology of leptomeningeal metastasis and treatment resistance, as well as additional randomized controlled studies, are needed to guide optimal treatment of this devastating disease.

#### Precis:

Leptomeningeal metastasis is an uncommon complication of cancer with poor prognosis and nonspecific symptomatic presentation that often develops late in the course of disease progression. Treatment options remain limited, and improved strategies should be guided by better understanding of the biology of leptomeningeal metastasis and treatment resistance, as well as additional randomized controlled studies.

#### Keywords

repromeninge	ear metastasis, caremomatous meningtus, reptomeningear caremomatosis,
leptomeninge	eal disease; brain metastasis

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### Introduction

Incidence of leptomeningeal metastasis (LM), also known as carcinomatous meningitis or leptomeningeal carcinomatosis, typically varies by primary tumor type, occuring in approximately 5–8% of patients with solid tumors and 5–15% of patients with hematologic malignancies. While it can also be seen in hematologic malignancies and primary brain tumors such as gliomas, medulloblastomas, and ependymomas, this review will focus on involvement of the subarachnoid space and leptomeninges (arachnoid and pia mater) by solid tumors. Dural involvement can also occur; however, as the dura is not protected by the blood brain barrier (BBB), treatment is not subject to the same limitations as leptomeningeal involvement and falls outside the scope of this review. Nonetheless, it is important to note that leptomeningeal involvement is often seen concurrently with parenchymal or dural disease. LM usually confers a poor prognosis with an average survival of 2 to 4 months despite treatment, although response to treatment can vary with some patients surviving significantly longer. While treatment options remain limited, advances in the molecular and genetic understanding of systemic malignancies has yielded new opportunities for clinically effective therapies and better tools to predict therapeutic response.

## Pathogenesis and Epidemiology

Unfortunately, understanding of disease pathogenesis has not improved markedly since LM was initially described in the late 19<sup>th</sup> century.<sup>2</sup> Recent studies have started to shed light on the pathogenesis, however, with one study showing that cancer cells within the CSF upregulate production of complement component 3.<sup>3</sup> This in turn leads to disruption of the BBB and entry of plasma growth factors into CSF, promoting cancer cell growth. Cancerous involvement of the leptomeninges is thought to occur by several mechanisms, including direct extension from brain parenchyma, dura, or bone; hematologic spread, particularly through venous plexi; or perineural extension. LM involvement most commonly occurs in the basal cisterns of the brain, posterior fossa, and cauda equina.<sup>4,5</sup> Invasion of the leptomeninges can lead to local inflammation and impaired CSF resorption, which can then obstruct CSF flow and cause hydrocephalus and/or increased cranial pressure.

Although nearly every systemic tumor has been reported to metastasize to the leptomeninges, common solid tumors include lung, breast, and melanoma. Incidence varies by tumor type and ranges from 5–8% of metastatic breast cancers, 69–25% of lung cancers (higher in small cell lung cancer), 7 and 6–18% of melanomas. Overall, the incidence of LM may be increasing in the setting of improved systemic control and treatments that poorly penetrate the BBB, leading to longer survival and a reservoir of tumor cells in the central nervous system (CNS). Progressive systemic disease is also seen in 60–70% of patients at time of diagnosis. Also In a large case series of 187 patients, including 150 patients with solid malignancies (primarily breast and lung cancer), 58% had concurrent or prior parenchymal brain involvement. The median time from systemic cancer diagnosis to diagnosis of LM ranges from 1.2 to 2.0 years in solid tumors and averages 11 months in hematologic malignancies.

## **Clinical Presentation & Differential Diagnosis**

Signs and symptoms of LM depend on the location of involvement. Given the frequent multifocality, clinical presentation may be nonspecific and index of suspicion must be high. Common clinical findings are often attributable to cranial and spinal nerve dysfunction, increased intracranial pressure (ICP), or meningeal irritation (Table 1). Cranial nerves VI, VII and VIII are commonly affected, leading to diplopia, facial weakness and changes in hearing, respectively. Spinal signs include dermatomal sensory loss, radicular pain, bowel and bladder dysfunction, and limb weakness. Other general symptoms include headache, nausea, vomiting, and changes in mental status. Involvement or compression of small vessels in the subarachnoid space may also lead to ischemic infarct.

Given the broad presenting features and frequently complex treatment histories, consideration should also be given to alternative diagnoses including chronic infectious meningitis, autoimmune disorders (e.g. sarcoidosis), meningeal reaction to brain abscess, side effects of chemotherapy or radiation, paraneoplastic syndromes, and toxic-metabolic encephalopathy (Table 2). In immunocompromised cancer patients, causes of infectious meningitis or encephalitis include bacterial (e.g. tuberculosis, listeriosis), fungal (e.g. Cryptococcus, candidiasis), or viral (e.g. cytomegalovirus, varicella zoster virus, Epstein-Barr virus, herpes simplex virus, and JC virus). <sup>18</sup>

## **Diagnostic Evaluation**

The diagnosis of LM remains challenging with no test sufficiently sensitive to rule out involvement. Magnetic resonance imaging (MRI) of the brain and spine is recommended if there is clinical suspicion, and may show leptomeningeal enhancement, which is often irregular and nodular (Figure 1). Subependymal deposits and hydrocephalus may also be seen. Imaging should be interpreted with caution if a recent lumbar puncture has been performed as resulting low ICP or inflammation may lead to transient enhancement. Sensitivity of MRI with gadolinium is approximately 70% with specificity of 77–100% (higher for solid tumors than hematologic malignancies). Objective of typical clinical features, an abnormal MRI is sufficient to make the diagnosis. In the presence of typical clinical features, an abnormal MRI is sufficient to make the diagnosis. In the presence of typical clinical features, an abnormal MRI is sufficient to make the diagnosis.

If safe to perform, lumbar puncture is recommended (Figure 2) and often reveals mild pleocytosis with elevated protein and hypoglycorrhachia. In cases of profound hypoglycorrhachia, infectious etiologies (described above) should be considered, particularly bacterial and fungal meningitis. An elevated opening pressure may be seen in 50–70% of cases depending on extent of leptomeningeal involvement.<sup>23</sup> False negative cytology results can be minimized in several ways.<sup>17</sup> First, sufficient CSF volume of at least 10 mL should be obtained for cytologic analysis. Second, the CSF specimen should be processed as soon as possible to reduce the risk of cell death. Glantz et al. found a false-negative error rate of 36% in samples refrigerated for 48 hours versus samples collected from the same patients that showed positive cytology upon immediate processing. Third, obtaining the CSF from a site of known leptomeningeal disease may increase the likelihood

of detecting abnormal cells, although this may be more relevant in untreated patients screened for LM than in patients who have received intrathecal or systemic treatment. Finally, the procedure should be repeated at least once if initial sample is negative and LM is suspected. CSF cytology is positive in over 90% of patients with suspected LM after three high volume lumbar punctures, and specificity is over 95%. <sup>15,24</sup> False positives may be seen in infectious or other inflammatory conditions with reactive lymphocytes. Flow cytometry and additional molecular studies may be valuable in select clinical scenarios. Flow cytometry has increased sensitivity compared to cytomorphologic analysis in the setting of hematologic malignancies. <sup>25</sup>

The use of CSF tumor markers has been limited by their low sensitivity and specificity as well as significant assay variability. However, they may support the diagnosis in the face of an otherwise equivocal diagnostic evaluation. Particularly, CSF levels greater than 1% of serum levels of specific tumor markers such as carcinoembryonic antigen (CEA) from adenocarcinomas,  $\alpha$ –fetoprotein from hepatocellular and testicular carcinoma, and  $\beta$ –human chorionic gonadotropin from choriocarcinoma and testicular carcinomas are relatively specific for CSF involvement.  $^{26,27}$  These markers may also have value in following response to treatment. More recently, cell-free DNA present in the CSF has been used to detect tumor-specific somatic alterations through next generation sequencing.  $^{28-30}$  Detection of tumor-specific mutations may increase sensitivity and specific of diagnostic CSF evaluation, aid in the assessment of treatment response, and shed light on mechanisms of CNS resistance to systemic therapy.

Lastly, if there is no known active systemic disease, systemic restaging should be performed as this may guide further treatment.

## **Assessing Response to Therapy**

Of the six randomized controlled trials (Table 3) conducted in leptomeningeal metastases, the majority have incorporated neurologic examination and CSF cytology to determine response to treatment. However, assessment of neurologic response was often based on subjective neurologic evaluations, MRI criteria were not used or not stated, and cytologic evaluation was not uniform. Site of CSF sampling is also important in assessing response, as negative cytology at one site such as via an Ommaya reservoir does not necessarily define cytologic response when initial diagnosis was made based on cytologic evaluation at another site, such as via lumbar puncture. Primary endpoints varied across trials, including overall survival, neurologic response rate, time to neurologic progression, and progression free survival. Secondary endpoints have included neurologic progression, neurologic response rate, safety and toxicity profile, cause of death, Karnofsky performance status (KPS) evolution over time, quality of life, LM-specific survival, and survival. Secondary endpoints such as patient-reported quality of life and neurologic progression may be important considerations in settings where disease is often advanced and overall survival is unlikely to be prolonged, but symptom palliation remains a central goal of therapy.

Standardized assessment was only recently proposed by the Response Assessment in Neuro-Oncology group in 2016 after recognition of the limitations in assessing outcomes.<sup>32</sup> The

proposed criteria include a standard neurologic examination, MRI of the brain and spine, and CSF evaluation. Therapeutic response can only be determined in the setting of negative cytologic evaluation (as well as flow cytometry in hematologic malignancies), definite improvement in CNS imaging, decreased or absent steroid dose (in hematologic malignancies only), and improved symptoms. Importantly, definitive worsening of CNS imaging is sufficient to determine progressive or refractory disease. Response based on CSF cytology is considered when cytology converts from positive to negative at all sites previously shown to be positive and is subsequently confirmed after one month. Of note, there was lack of consensus regarding response determination in a patient with persistently positive cytology in the setting of stable or improved clinical and radiographic status. Although suggested, the criteria do not include patient-reported outcomes such as the MD Anderson Cancer Center Symptom Inventory Brain Tumor Module (MDASI-BT), MD Anderson Cancer Center Symptom Inventory Spine Tumor Module (MDASI-SP), or Functional Assessment of Cancer Therapy-Brain. These experts acknowledge that the proposed criteria to standardize LM response assessment require validation and refinement, however they serve as a new standard that can be incorporated into future clinical trials to better enable comparison across trials and more rigorous assessment of therapeutic response.

## **Prognosis**

Despite advances in care, prognosis remains poor with an overall survival of approximately 4–6 months from time of diagnosis if treated.<sup>33</sup> Untreated, death occurs from progressive neurologic deterioration in 4–6 weeks.<sup>15</sup> KPS greater than 70, chemosensitivity of primary cancer, impaired CSF flow, CSF protein less than 50 mg/dL, and active treatment have been identified as favorable prognostic factors.<sup>34,35</sup> One study of patients with solid and hematologic malignancies and cytologically confirmed LM found that those with KPS of 70 or greater had a median survival of 15.5 weeks compared to 6 weeks in patients with KPS less than 70.<sup>35</sup> The U.S. National Comprehensive Cancer Network (NCCN) identifies poor prognostic factors as KPS less than 60, severe neurologic deficits, extensive systemic disease with few treatment options, bulky CNS disease, or encephalopathy.<sup>36</sup> Primary tumor type also plays an important role. In one patient series, those with hematologic malignancies had slightly improved survival of 4.7 months compared to 2.3 months for those with solid tumors.<sup>16</sup> Within solid tumors, breast cancer LM has a superior prognosis compared to other tumor types with a median survival of 5–7 months.<sup>16,37–40</sup>

#### **Treatment**

Treatment of LM has traditionally been directed toward palliation, although new therapies show promising response rates. Systemic chemotherapies have been limited in their ability to cross the blood brain barrier but are often combined with radiation and other palliative surgical interventions with a goal of preventing neurologic deterioration, maintaining quality of life, and prolonging survival. Intrathecal chemotherapy is frequently considered, however clinical trial data is limited. Due to the paucity of prospective, randomized trials, optimum therapy is poorly defined and treatment is mostly guided by expert opinion.

#### Radiation

Radiation is typically geared toward symptom management and thus often targets bulky, symptomatic sites of disease, particularly in the spine. Frequently, whole brain radiotherapy (WBRT) at doses between 30 to 40 Gy in 2 to 3 Gy fractions is administered, although an abbreviated course of 20 Gy in 4 Gy fractions is sometimes considered in patients with a poor prognosis or who are less likely to tolerate treatment. 26,41 Radiation may also restore CSF flow and relieve hydrocephalus by reducing tumor bulk, and in doing so, facilitate the use of intrathecal chemotherapy. 42 In addition to the long-term side effects of radiotherapy alone, there may also be increased risk of late leukoencephalopathy when combined with other chemotherapeutic agents, such as intravenous or intrathecal methotrexate. 43–48 Radiation is unlikely to prolong survival based on retrospective studies in breast and lung cancer patients, but it can result in rapid symptom improvement. <sup>49,50</sup> Eradication of tumor cells from the leptomeninges would require craniospinal irradiation, which carries significant potential CNS and systemic toxicities, including myelosuppression, which may compromise future cytotoxic chemotherapy options. Additionally, it is often considered impractical in the setting of poor overall prognosis. While not standard practice, craniospinal irradiation may be used in the setting of LM from hematologic malignancies as these are frequently highly radiosensitive. 45,51,52

#### Intrathecal chemotherapy

While intrathecal (IT) delivery of chemotherapy bypasses the BBB and minimizes systemic side effects, it carries some limitations. Agents can be administered by lumbar puncture or through surgical placement of a reservoir that directly feeds into the ventricular system through a catheter (such as an Ommaya reservoir). Commonly used agents include methotrexate (a folate antagonist), thiotepa (an alkylating agent), cytarabine (a pyrimidine analogue), and sustained release liposomal cytarabine (DepoCyt®). Several retrospective studies have demonstrated survival benefit to intrathecal therapy. 40,42 Of the six randomized clinical trials conducted in LM, all focused on intrathecal therapy (Table 3). It is important to note that most trials and series excluded patients who were deemed too sick for treatment, which may constitute a significant proportion of patients at presentation. The study by Boogerd et al. 46 was the only trial to compare IT chemotherapy to standard therapy without IT treatment. In 35 breast cancer patients with 17 randomized to receive IT chemotherapy, there was no difference in survival or neurologic response, and the trial was closed prematurely due to low accrual. Another retrospective study of 104 patients with LM from any solid tumor who received systemic therapy and radiation with or without IT therapy also found no difference in median survival.<sup>47</sup> Quality of life measures were not assessed in either study, and both studies showed increased rates of treatment-related neurotoxicity in patients who received IT chemotherapy. A study of liposomal cytarabine in breast cancer LM is currently underway (NCT01645839).

Aseptic or chemical meningitis is one of the more common complications, seen in up to 43% of patients, and is characterized by sterile CSF pleocytosis as well as clinical signs and symptoms of meningitis.<sup>53,54</sup> While Chamberlain et al. found that the frequency of this complication was independent of the type of IT chemotherapy (between methotrexate, cytarabine, and thiotepa) administered via Ommaya reservoirs, the frequent occurrence of

chemical arachnoiditis with intrathecal liposomal cytarabine has led to it being standardly co-administered with dexamethasone.<sup>53</sup> Corticosteroids and intravenous hydration can be used to treat and mitigate the symptoms of this complication. However, infectious meningitis should be ruled out when aseptic meningitis is being considered and is present in 8 to 24% of patients receiving intraventricular therapy.<sup>55</sup> The most common organism is *Staphylococcus epidermidis* and treatment requires intravenous and intraventricular antibiotics; removal of the reservoir may be indicated as well.<sup>56,57</sup> Other complications include leukoencephalopathy (particularly when combined with radiation), myelopathy, seizure, and inadvertent subdural or epidural delivery if administered via lumbar puncture. Despite the method of administration, myelosuppression can also be seen in up to 18% of patients.<sup>53</sup>

The site and pattern of involvement is an important consideration when considering IT chemotherapy. Penetration is limited in areas of bulky leptomeningeal disease with penetration of approximately 2–3 mm.<sup>54</sup> If there is evidence of complete or partial obstruction of CSF flow, excessive build-up of the chemotherapy may lead to neurotoxicity and treatment failure. Radionucleotide flow studies may be helpful to evaluate CSF flow prior to therapy. However, these studies are more invasive than conventional imaging and are often technically challenging, requiring cisternograms immediately following tracer injection as well as 4 to 6, 24, 48, and sometimes even 72 hours post injection.<sup>58</sup> In the setting of ventriculoperitoneal shunts (VPS), there are also concerns about accumulation of chemotherapy leading to neurotoxocity should there be shunt malfunction or intraperitoneal toxicity from draining of the IT drug. However, a small retrospective study showed that IT chemotherapy could safely be administered through a reservoir-on/off valve-VPS.<sup>59</sup>

#### Systemic chemotherapy

While systemic chemotherapy is limited by the ability of agents to penetrate the blood-brain barrier (BBB), there is breakdown of the BBB in the setting of LM, and a number of chemotherapies have been shown to achieve therapeutic levels in the CSF when given systemically in patients with this disease. Systemic chemotherapy is additionally not dependent on CSF flow, is able to penetrate bulky nodular disease, concurrently addresses any systemically active disease, and avoids the potential procedural complications associated with intrathecal therapy. The type of malignancy should guide choice of systemic chemotherapy. Options include high dose methotrexate (3 to 8 g/m2),<sup>60,61</sup> high dose cytarabine (3 g/m2),<sup>62,63</sup> capecitebine (particularly for breast cancer),<sup>64–67</sup> thiotepa,<sup>68</sup> and temozolomide.<sup>69</sup> Response has also been reported with high dose etoposide in 5 patients with small cell lung cancer.<sup>70</sup> Systemic chemotherapy, particularly when combined with radiation, can lead to acute or delayed leukoencephalopathy, subacute encephalopathy, or acute cerebellar syndrome associated with high dose cytarabine.

Numerous retrospective studies have demonstrated improved survival in patients treated with systemic chemotherapy. <sup>37–39,71,72</sup> Some argue, based on the randomized trial by Boogerd et al. <sup>36</sup> and other retrospective studies, that intrathecal chemotherapy adds little value to systemic chemotherapy. <sup>46,47,60,73</sup> Conversely, however, a prospective series of patients with LM from NSCLC found no added survival benefit from systemic chemotherapy when

combined with radiotherapy and intraventricular chemotherapy.<sup>42</sup> The role of systemic versus intrathecal chemotherapy may vary based on primary tumor type, as the studies showing little added value of intrathecal therapy primarily consisted of patients with lymphoma or breast cancer.

#### **Targeted therapies**

**Melanoma**—In subsets of solid tumors, targeted therapies have demonstrated promising results. Approximately 50% of melanomas harbor an activating mutation in BRAF, most commonly BRAFV600E, which constitutively activates the MAP-kinase pathway. In LM from melanoma, there are reports of response to BRAF inhibitors such as vemurafenib<sup>74</sup> and dabrafenib.<sup>75</sup> Most mechanisms of resistance to BRAF inhibition are mediated through MEK with three randomized Phase III studies in metastatic melanoma now showing superiority of combination BRAF and MEK inhibition compared to BRAF inhibition alone. <sup>76–78</sup> This strategy has not been evaluated in patients with LM involvement to date although all three trials included patients with stable brain metastases.

Breast Cancer—Human epidermal growth factor receptor 2 (HER2) is overexpressed in approximately 30% of primary breast cancers and is associated with increased risk of CNS involvement. Human Exercise response to intrathecal trastuzumab, a humanized monoclonal antibody against HER2, in LM from HER2-positive breast cancer. HER2 positive breast cancer. Preliminary results from a phase I trial of IT trastuzumab in patients with HER2 positive breast cancer and LM showed that it was well tolerated, and several Phase II trials are ongoing (NCT01325207, NCT01373710). Combination approaches are also being studied, with a Phase I trial of lapatinib, a small molecule dual tyrosine kinase inhibitor that targets HER2 and EGFR, in combination with capecitabine, an antimetabolite chemotherapeutic, currently underway in HER2 positive patients with LM (NCT02650752). The Phase II LANDSCAPE trial of lapatinib and capecitabine in HER2-positive patients with brain metastases (not specifically LM) showed a promising CNS response rate of 65.9%, all partial responses.

Non-small cell lung cancer—In non-small cell lung cancer, first generation tyrosine receptor kinases (TKIs) such as erlotinib and gefitinib do not readily cross the BBB and may be actively removed by drug efflux proteins. <sup>88,89</sup> However, CSF concentration may reach therapeutic levels at high doses. <sup>88</sup> Although there have been no randomized trials, responses have been described to erlotinib <sup>90–98</sup> and gefitinib, <sup>99,100</sup> particularly at high doses. Several retrospective studies have shown prolonged survival in epidermal growth factor receptor (EGFR) mutant non-small cell lung cancer (NSCLC) patients with LM treated with first generation EGFR TKIs. <sup>101,102</sup> Second and third generation EGFR TKIs are thought to have better BBB penetration. A report of patients with pretreated EGFR-mutant NSCLC and brain metastases or LM who received afatinib on compassionate use basis showed a 35% response rate and CSF concentrations of up to 1 nMol. <sup>103</sup> Additional case reports support the efficacy of afatinib in patients with leptomeningeal disease who have progressed on first-generation TKIs. <sup>104,105</sup> Preliminary data for the third-generation TKI omesartinib (AZD9291) in heavily pretreated patients with EGFR-mutant NSCLC and LM showed promising response rates (7 of 12 patients with radiographic improvement, 8 of 9 patients

with EGFR mDNA copy decrease). <sup>106</sup> Importantly, EGFR mutation status in the primary tumor and metastasis may be discordant and analysis should be performed on CSF if possible. <sup>88,107,108</sup> There is an ongoing Phase II clinical trial of tesevatinib, a BBB-penetrant oral TKI, in patients with EGFR-activating mutations and brain or leptomeningeal metastases (NCT02616393).

Anaplastic lymphoma kinase (ALK) rearrangements are another important therapeutic target in NSCLC and is associated with an increased risk of CNS involvement. Presence of the rearrangement confers sensitivity to ALK tyrosine kinase inhibitors. Data suggest that second generation inhibitors have improved BBB penetration compared to the first generation inhibitor crizotinib. Several case reports document response in leptomeningeal disease with alectinib and ceritinib in patients with crizotinib-resistant disease. The efficacy of ceritinib in treating LM in ALK-rearranged NSCLC patients is being further evaluated in an ongoing Phase II clinical trial (NCT02336451).

#### **Supportive Care**

Symptomatic management should always be pursued in addition to any disease-directed therapies. As symptoms may be caused by inflammation as well as direct tumoral involvement, steroids may play a role in symptom management, although the role of steroids is often greater in the setting of LM due to hematologic malignancies. Nausea, vomiting, and headache should also be treated with appropriate medications, and if present, seizures should be controlled with antiepileptic drugs. Fatigue related to treatment, particularly radiation, may be treated with psychostimulants. If there are clinical signs of increased ICP such as nausea, headache, or encephalopathy, a high volume lumbar puncture may be pursued. If pressure is elevated, a palliative VPS should be considered. Pain due to cranial and spinal nerve involvement can be managed with palliative focal radiation, opioids or opioid-sparing agents, but is unfortunately often refractory in the setting of poor response to treatment of the underlying disease.

#### **Novel Approaches**

Given the remarkable response to checkpoint blockers in many systemic malignancies, multiple clinical trials (Table 4) are underway to evaluate the efficacy in the setting of LM, including pembrolizumab for LM (NCT02886585) and combination ipilimumab and nivolumab for melanoma LM (NCT02939300). Immune-based approaches are often associated with inflammation, which even if transient, may contribute to significant neurotoxicity in the CNS. For example, despite responses seen with intrathecal interleukin 2 or interferon alpha, both had significant toxicity (particularly signs of meningitis, edema, and increased ICP), limiting widespread use. <sup>114,115</sup> There is so far only one case report of the anti-CTLA-4 antibody ipilimumab combined with WBRT demonstrating efficacy in a patient with melanoma LM. <sup>116</sup>

Intrathecally delivered monoclonal antibodies against tumor-specific antigens have also been studied as a means to selectively deliver radiation (also known as radioimmunotherapy) and/or therapeutic agents. Though the approach was first studied the 1980s, it has regained interest with the renewed focus on targeted and immune-based therapies. Retrospective data

and prior Phase I trials suggest therapeutic safety and efficacy in LM across several tumor types, with particular activity seen in LM from primitive neuroectodermal tumors. <sup>117,118</sup> More recently, a Phase I study of intraventricular iodine-131-labeled monoclonal antibody 3F8 targeting GD2-positive leptomeningeal disease (primarily neuroblastoma and primary CNS tumors) showed that the antibody reached therapeutic doses in the CSF and 3 of 13 assessed patients achieved objective and/or cytologic responses. <sup>119</sup> A Phase II trial of this agent is ongoing (NCT00445965). This approach, as with other intrathecal therapies, is limited by toxicities such as myelosuppression, aseptic meningitis, and increased intracranial pressure. Similar to other targeted therapies, this approach is also limited by the availability of tumor-specific antibodies. There is an ongoing Phase I clinical trial of 131-I-labeled 8H9, an antibody that targets the glycoprotein 4Ig-B7H3 present on a broad spectrum of solid tumors, in patients with refractory brain or leptomeningeal disease (NCT00089245).

Novel clinical trial designs are allowing for recruitment of patients across malignancy subtypes, often based on molecular characteristics shared across many cancers. For example, the Phase II clinical trial for the CDK inhibitor abemaciclib includes patients with leptomeningeal metastases from breast cancer, NSCLC, or melanoma, with a particular focus on hormone receptor positive patients (NCT02308020). This approach may be particularly beneficial in uncommon diseases such as LM, which has historically been excluded from clinical trials and is infrequent enough that accrual to dedicated trials in a single tumor subtype is prohibitively slow.

#### Conclusion

Leptomeningeal metastasis continues to remain one of the most challenging complications of cancer in terms of diagnostic complexity, poor prognosis, often devastating impact on quality of life, and mixed response to standard cytotoxic or targeted therapies. Treatment to date has been limited by effective drug delivery as well as toxicity, and as a result, it is clear that not all patients benefit from currently available therapies. Improved diagnostic tools and better biomarkers may allow for earlier diagnosis and treatment, thereby improving outcomes. Following diagnosis, optimum treatment continues to be based mostly on expert consensus due to a paucity of clinical trials. An improved understanding of the biological mechanisms underlying tumor metastasis and the molecular features of metastatic disease in comparison to the primary site will allow for more targeted treatment strategies to be tested in subsets of patients most likely to benefit. Improved patient-derived xenograft models of brain and leptomeningeal metastases will also assist in discovery of new therapeutic agents and mechanisms of resistance to therapy. Evaluation of the efficacy of new treatments will be facilitated by novel trial designs and molecular-based patient selection, which has led to increased recruitment of patients with LM into clinical trials. The newly proposed RANO criteria for assessing leptomeningeal disease will help standardize response evaluation across clinical trials, although the criteria will need to be prospectively validated and quality of life measures should be considered moving forward.

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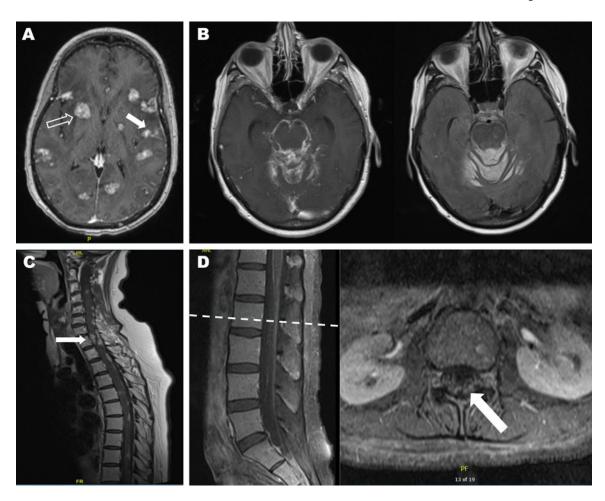
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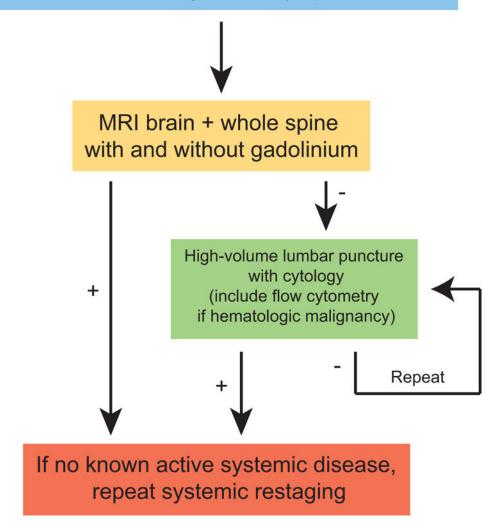
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**Figure 1:** Magnetic resonance imaging of leptomeningeal metastasis.

# Suspected leptomeningeal involvement based on clinical signs and symptoms



**Figure 2:** Diagnostic Algorithm.

Table 1.

## Signs and symptoms of leptomeningeal metastasis

Brain	
Headache	
Confusion	
Nausea/vomiting	
Cranial nerve palsies	Vision changes (particularly double vision)
	Tinnitus, decreased hearing
	Facial numbness, weakness
	Dysarthria
	Dysphagia
Seizure	
Ataxia	
Cognitive impairment	
Spine	
Bowel/bladder dysfund	ction
Pain (neck, back, or rac	dicular)
Paresthesias	
Focal weakness	
Nucal rigidity	
Hyporeflexia	
Clinical Syndromes	
Multiple cranial neurop	pathies
Syndrome of inappropr	riate diuretic hormone secretion (SIADH)
Rapidly progressive de	mentia

#### Table 2.

## Differential diagnoses

#### Infectious meningitis

Chemical meningitis/arachnoiditis (secondary to intrathecal chemotherapy)

Multiple brain metastases

Paraneoplastic syndrome Limbic encephalitis

Encephalomyelitis

Paraneoplastic cerebellar degeneration

Intracranial hypotension (post lumbar puncture)

Toxic metabolic encephalopathy

Metabolic or chemotherapy-induced neuropathy

Steroid myopathy

Cord compression

Wang et al. Page 22

 Table 3.

 Randomized controlled trials in leptomeningeal metastasis

Trial	N	Tumor Type	Treatment Arms	Endpoint	Significance
Hitchins et al. 1987 <sup>105</sup>	44	29% SCLC, 25% breast, 9% primary brain, 7% NSCLC, 7% lymphoma	IT MTX	RR 61% OS 12 wks	RR: P > 0.10 OS: P = 0.084
			IT MTX + Ara-C	RR 45% OS 7 wks	
Grossman et al. 1993 <sup>106</sup>	52 assessable		IT MTX	OS 15.9 wks SD 32%	RR: unknown OS: P = 0.36
			IT thiotepa	OS 14.1 wks SD 12.5%	
Glantz et al. 1999 <sup>107</sup>	28	100% lymphoma	IT DepoCyt	RR 71% TTP 78.5 OS 99.5 d	RR: P = 0.006 TTP, OS: P > 0.05
			IT Ara-C	RR 15% TTP 42 d OS 63 d	
Glantz et al. 1999 <sup>108</sup>	61	36% breast, 10% NSCLC, 23% primary brain, 8% melanoma, 7% SCLC	IT DepoCyt	RR 26% TTP 58 d OS 105 d	RR: 0.76 TTP: P = 0.007 OS: P = 0.15
			IT MTX	RR 20% TTP 30 d OS 78 d	
Boogerd et al. 2004 <sup>36</sup>	35	100% breast cancer	Systemic therapy + RT + IVT MTX	Neurologic improvement/ stabilization 59% TTP 23 wks OS 18.3 wks	Neurologic response: unknown TTP: unknown OS: P = 0.32
			Systemic therapy + RT	Neurologic improvement/ stabilization 67% TTP 24 wks OS 30.3 wks	
Shapiro et al. 2006 <sup>109</sup>	128	80% solid tumors, 20% lymphoma	Combined IT DepoCyt (solid tumor and lymphoma)	PFS 35 d	PFS: P=0.7321 HR = 0.98
			Combined IT MTX (solid tumor) + IT Ara-C (lymphoma)	PFS 43 d	
			IT DepoCyt (lymphoma)	PFS 34 d Cytologic response 33.3%	PFS: HR = 0.12 Cytologic response:
			IT Ara-C (lymphoma)	PFS 50 d Cytologic response 16.7%	P=0.3640

Abbreviations: Ara-C, cytarabine; DepoCyt, liposomal cytarabine; d, days; IT, intrathecal chemotherapy; IVT, intraventricular; MTX, methotrexate; NSCLC, non-small cell lung cancer; OS, median overall survival; PFS, progression free survival; RR, response rate; RT radiotherapy; SCLC, small cell lung cancer; TTP, time to progression; wks, weeks.

Wang et al.

Table 4.

Active Therapeutic Clinical Trials for Patients with Leptomeningeal Metastases

Key Exclusion Recruiting?	Active, known, or suspected autoimmune disease     Condition requiring systemic corticosteroid reatment     Prior systemic reatment with anti-treatment with anti-treatment with anti-treatment with anti-draft antibody     Known history of active TB	• Symptomatic BM or BM requiring WBRT • Previous CSI or IT • Previous systemic treatment with ARA-C or high- dose systemic methorexate • Contraindication to LP and ventricular • ventricular • VPS	Previously-treated     BM     BM     BM     BM     California     active treatment     active treatment     chemotherapy) that     have CNS     penetration, unless     LM developed while     CD controlled
Key Inclusion Key	Adults only     ECGG 2 or KPS     Life expectancy 3 treatment whise cytology     LM confirmed by treatment with cytology     LM confirmed by treatment with cytology     CTLA4 antibo active TB	Female adults only WBRT     ECOG 2     Life expectancy 2     New diagnosis of treament with Clinical signs and symptoms     Measurable CNS disease < 0.5 cm or > to LP and ventricular adiation therapy	HER2+ by IHC or FISH breast cancer     LM determined by MRI or cytology BM     Life expectancy 8 BM requision wks     KPS 50 BM requision wks     Willing to have Ommaya reservoir placed Ommaya reservoir placed     May continue on LM develon IV trastuzumab, on these aging thormonal agents if eCD controlling ECD and
Study Arms	Single arm: combination of nivolumab with ipilimumab followed by nivolumab monotherapy	A: Standard systemic treatment without liposomal cytarabine B: Standard systemic treatment with liposomal cytarabine	Single arm, dose escalation: twice weekly for 2 wks, then weekly for 4 wks, then every 2 wks
Secondary Outcome(s)	IC/EC RR LM RR IC/EC PFS Toxicity	Neurological, physical, cognitive, cytological, radiological improvement PFS (radiological, cytological), OS Toxicity	Response (radiological, cytological, clinical) CSF PK
Primary Outcome(s)	SO SO	Neurological PFS	Safety MTD
Drug	Nivolumab and ipilimumab	Liposomal	IT trastuzumab
Site, Sponsor	Massachusetts General Hospital, Bristol-Myers Squibb	Multiple sites in France, Centre Oscar Lambret	Multiple US sites; Northwestem University
Primary Histology	Melanoma	Breast cancer	HER2+ breast cancer
Phase	Ħ	Ħ	11/1
NCT	NCT02939300	NCT01645839	NCT01325207

Page 23

Recruiting?	Yes	Yes	Yes
Key Exclusion Criteria	• Symptomatic untreated BM • Symptomatic BM, unless surgery and/or RT were performed 3 wks before treatment initiation and lesion(s) accessible to IT or IVent treatment • Obstructive hydrocephalus • Obstructive hydrocephalus • On lapatinib, unless wash out >2 wks before first dose of IT study daug • VPS or atrial shunt, unless can be turned off during treatment	Phior capecitabine therapy allowed if 6 mo since last dose     Everolimus therapy     Craniotomy, other major surgery, open major surgery, open biopsy, or significant traumatic injury 4 wks of enrollment     HIV infection or chronic hepatitis B or C     Concurrent chemotherapy, radiation therapy, radiation therapy, surgery, immunotherapy, immunotherapy, surgery, immunotherapy, or biologic therapy, except for trastuzumab or hormonal therapy, except for trastuzumab or hormonal therapy.	• Chemotherapy or SRS within 2 wks, WBRT within 6 mo
Key Inclusion Criteria	Adults only     Life expectancy     Mo     HEC2+ by IHC     and/or FISH     LM diagnosis by     cytology and/or     clinical signs and     symptoms of LM     with abnormal MRI	• Female adults only • HER2+ by IHC or FISH • Life expectancy 12 wks • ECOG 2 • Non-escalating corticosteroid dose (16 mg dexamethasone daily) for 5 d • Radiological evidence of new and/or progressive BMLM or CSF cytological evidence of LM	• Adults only • ECOG 0–1 • Triple negative, HER2+, or HR+
Study Arms	Single arm, dose escalation: 1 injection/wk during 8 wks by lumbar puncture or Ommaya Reservoir, 4 dose levels expected from 30 mg to 150 mg	Single arm: weekly weekly treatment cycle consisting of lapatinib 3 d on/11 d off + on/7 d off: Both drugs administered orally with dose escalation.	Single arm: high dose methotrexate (8
Secondary Outcome(s)	CNS TTP QoL OS PFS PK PK Radiological, CSF response	Not specified	l yr OS PFS Tolerability Cost
Primary Outcome(s)	MTD	MTD	3 mo OS
Drug	IT/IVent trastuzumab	High-dose lapatinib + capecitabine	High-dose methotrexate
Site, Sponsor	Multiple sites in France, Institut Curie	3 US sites, Memorial Sloan Kettering Cancer Center	Wake Forest University and Sidney Kimmel Comprehensive
Primary Histology	HER2+ breast cancer	HER2+ breast cancer	Breast cancer
Phase	IVI	-	п
NCT	NCT01373710	NCT02650752	NCT02422641

Wang et al.

Recruiting?		Yes	Yes
Key Exclusion Criteria	Heart failure     PNYHA Class 3)     Prior treatment     with any     methodrexate-     containing systemic     regimen within 1 yr     (excluding IT     methodrexate)     Concurrent or     planned systemic     chemotherapy,     radiotherapy, or new     hormonal/anti-     HER2 directed     therapy	with tesevatinib with tesevatinib a < 2 wks from the last treatment of cytotoxic chemotherapy, biological therapy, or immunotherapy, of immunotherapy, of wks for nitrosoureas and mitrosoureas and mitrosoureas and mitrosoureas and mitrosoureas and mitrosoure C a < 4 wks since surgical procedure o < 2 wks since surgical procedure o < 4 wks since last CNS-direct RT o < 3 d since discontinuing erlotinib, afatinib, or TKI o Any concurrent BM (Cohorts A and C), LM (Cohort B) therapy other than study treatment	Prior ALK inhibitor other than crizotinib     BM requiring WBRT     Previously-treated BM, unless progressive or new since WBRT     Unstable or increasing dosage of corticosteroids
Key Inclusion Criteria	hormone refractory breast cancer • Cytologic or radiographic confirmation of LM with/without BM	Adults only     EGFR mutation that has clinical response to erlotinib, adiatinib, or gefitinib     BM occurrence or progression while receiving erlotinib, adiatinib, or gefitinib     Measurable BM     (10 mm)     ECOG 2     No clinically     Significant progression outside of the CNS on most recent EGFR inhibitor therapy	I measurable EC lesion     ECOG 2     Life expectancy 6 wks
Study Arms	gm/m2 IV every 2 wks)	Dosing the same among all arms: 300 mg orally once daily A: NSCLC who have progressed with BM B: NSCLC who have progressed with LM C: NSCLC with BM at initial presentation	Dosing the same among all arms: 750 mg orally once daily • ALK+ NSCLC with BM, without LM, with previous exposure to errizotinib • ALK+ NSCLC with BM, with BM, with BM, without LM, with BM, without LM,
Secondary Outcome(s)	Cytologic	QoL Median PFS CNS TTP Median OS PK	TTIR and TTR IC/EC DOR IC/EC ORR IC/EC DCR PFS Safety PK
Primary Outcome(s)		Clinical activity using RECIST 1.1 (Cohorts A, C), symptom resolution (Cohort B)	ORR
Drug		Tesevatinib	Ceritinib
Site, Sponsor	Cancer Center, Wake Forest University Health Sciences	Multiple US sites, Kadmon Corp. LLC	Multiple US and international sites, Novartis
Primary Histology		EGFR-mutant NSCLC	NSCLC with ALK rearrangement
Phase		Ħ	Ħ
NCT		NCT02616393	NCT02336451

Page 25

Wang et al.

Recruiting?		Ongoing, not recruiting	Yes	Yes
Key Exclusion Criteria	Planning to receive local treatment to BM (e.g. surgery, SRS, WBRT, IT chemotherapy)	Rapidly progressing or deteriorating neurologic examination     Obstructive or symptomatic communicating hydrocephalus     CSI or systemic chemotherapy <3 wks prior to start of protocol     >>72 Gy CSI or >>72 Gy focal brain radiation	Rapidly progressing or deteriorating neurologic examination     Obstructive or symptomatic communicating hydrocephalus     CSI or systemic chemotherapy <3 wks prior to start of protocol	Require immediate local therapy (including WBRT, SRS, surgical resection)     Concurrent EIAED use     Evidence of symptomatic intracranial hemorrhage     La seizures within 4 wks prior to study initiation
Key Inclusion Criteria		Children and adults     Histologically- confirmed diagnosis of a malignancy known to express GD2	• Children and adults • LMD refractory to conventional therapies or recurrent brain tumors with predilection for LM dissemination (medulloblastoma, PNET, rhabdoid tumors)	Adults only     KPS 70     Life expectancy 12 wks     Completed local Herapy (surgical resection, WBRT, or SRS) 14 d prior to initiating study drug
Study Arms	without previous exposure to crizotinib e ALK+ NSCLC with LM, with DM, with previous exposure to crizotinib	Single arm: 10 mCi injected IT weekly for up to 4 courses as tolerated	Single arm, dose escalation with patients entering in cohorts of. 3 patients at each dose level from 10–60 mCi 6 patients at each dose level from 70–100 mCi mCi	Same treatment for all arms, UOS: 200mg study drug Q 12 hr, days 1–21 of each 21 d cycle • A: HER2+ breast cancer • B: HER2- breast cancer • C: Surgical resection indicated for intracranial
Secondary Outcome(s)		Toxicity	Not specified	BOIR IC DOR DCR IC DCR ICBR OS OR PFS PFS
Primary Outcome(s)		6mo OS RR (alive at 6 mo)	MTD over 2 yrs	IC ORR
Drug		Went 131-I- labeled monoclonal antibody 3F8	131-I-labeled 8H9	Abemaciclib
Site, Sponsor		Memorial Sloan Kettering Cancer Center, Same	Memorial Sloan Kettering Cancer Center, Same	Multiple US sites, Eli Lilly and Co.
Primary Histology		GD2-positive LMD (primarily neuroblastoma, primary CNS tumors)	Malignancy known to be 8H9 reactive, confirmed by IHC or bone marrow IF	HR+ breast cancer, NSCLC, or melanoma
Phase		Ħ	H	п
NCT		NCT00445965	NCT00089245	NCT02308020

Page 26

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Recruiting?	Yes
Key Exclusion R	Arm A: excludes HER2+ breast cancer, SCLC; NSCLC with targetable genomic tumor aberrations (e.g. EGFR, ALK) set Known history of active TB Immunodeficient HIV-positive participants on combination antiretroviral therapy Prior treatment with an anti-PD-1, anti-PD-L1, or anti- PD-L2 agent
Key Inclusion Criteria	Adults only Progressive systemic disease from any histologically or cytologically or cytol
Study Arms	lesions, drug days 5-14 prior to surgical resection and resumed dosing after wound healing - D. NSCLC, 150 mg drug if receiving concurrent gemeitabine or pemetrexed - E. Melanoma - F. HR+ Breast cancer, NSCLC, or melanoma  - F. HR+ Breast cancer, NSCLC, or melanoma - F. HR+ Breast continued - Marter prior - G. LM with - Dostive CSF - Cytology - C. LM with - Corolimed - Confirmed - Confi
Secondary Outcome(s)	Toxicity OS rate IC/EC RR EC PFS
Primary Outcome(s)	ORR OS EC ORR
Drug	Pembrolizumab
Site, Sponsor	Massachusetts General Hospital, Merck Sharp & Dohme Corp.
Primary Histology	Multiple
Phase	Ħ
NCT	NCT02886585

All information obtained from clinicaltrials.gov

Abbreviations:

Cytotoxic T-Lymphocyte Associated Protein 4, DCR – disease control rate, DOR – duration of response, EC – extracranial, ECD – extracranial disease, ECOG – Eastern Cooperative Oncology Group Performance Status, EGFR – epidermal growth factor receptor, EIAED – enzyme-inducing antiepileptic drugs, FISH – fluorescence in situ hybridization, HER2 – human epidermal growth factor receptor 2, ALK - anaplastic lymphoma kinase, BM - brain metastasis(es), BOIR - best overall intracranial response, CNS - central nervous system, CR - complete response, CSI - craniospinal irradiation, CTLA4 -

New York Heart Association, ORR - objective response rate, OS - overall survival, PD - progressive disease, PD/PD-L - Programmed Death/Programmed Death-Ligand, PFS - progression-free survival, HIV - human immunodeficiency virus, HR - hormone receptor, IC - intracranial, ICBR - intracranial clinical benefit rate, IF - immunofluorescence, IHC - immunohistochemistry, IT - intrathecal, IV intravenous, IVent - intraventricular, KPS - Karnofsky Performance Status, LMD - leptomeningeal metastasis/disease, MTD - maximum tolerated dose, NSCLC - non-small cell lung cancer, NYHA -(intracranial) tumor response, TKI - tyrosine kinase inhibitor, TTP - time to progression, UOS - unless otherwise specified, VPS - ventriculoperitoneal shunt, WBRT - whole-brain radiation therapy PK - pharmacokinetics, QoL - quality of life, RR - response rate, RT - radiotherapy, SCLC - small cell lung cancer, SRS - stereotactic radiosurgery, TB - Bacillus Tuberculosis, TT(1)R - time to