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# Characterization of Type-I IFN Subtype Autoantibodies and Activity in SLE Serum and Urine

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# Abstract

**Background/Objective**—Type-I Interferons (IFNs) contribute to pathogenesis in Systemic Lupus Erythematosus (SLE), including nephritis. The IFNs consist of a family of 16 proteins, yet are often characterized in patients without knowledge of the specific IFN subtypes involved. Different IFNs may function in kidneys, and other organs, relative to what is often measured in patient blood. Moreover, antibodies to interferons may potentially modulate systemic or organspecific IFN activity. The aim of this study was to characterize global IFN activity levels and identify autoantibodies (AAbs) to the twelve IFNa subtypes in patient serum and urine.

**Methods**—IFN activity levels in serum and urine were measured using an IFN bioassay. Anti-IFN and anti-cytokine AAbs were measured by ELISA. Serum and urine samples were also characterized for their ability to neutralize the biological activity of exogenously added IFNs.

**Results**—Serum IFN activity was increased in 62% of SLE patient samples, relative to healthy donor controls (HDC), while binding IFNa AAbs, to at least one IFNa subtype, were found in 68% of the samples evaluated. High SLEDAI scores were significantly (p = 0.001) associated with patient samples containing IFNa AAbs to three or more IFNa subtypes in their serum. IFNa AAbs that potently block IFN activity were rare (~5% of samples), but collectively bound to all 12 IFNa subtypes. Urine IFN activity and IFNa AAb profiles did not correlate with their serum counterparts, suggesting immune responses in SLE kidneys can be distinct from those measured in serum. Analysis of AAbs to fifteen additional cytokines in serum, identified higher frequencies of GMCSF and IL17A AAbs, suggesting these signaling pathways may potentially contribute, with IFNs, to SLE pathogenesis.

Declaration of conflicting interests

Author Contributions

MRW, WWC, PAG, BDH, SK, and AD planned experiments; BDH, SK, and AD performed the experiments. MRW, WWC, BDH, SK, and AD analyzed and interpreted the results of the experiments. MRW, BDH, SK, and AD drafted the manuscript. MRW, WWC, PAG, BDH, SK, and AD edited the manuscript. All authors approved the final version of the manuscript.

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**Conclusions**—The measurement of AAbs to multiple IFN subtypes in serum and urine may provide an alternative method for following IFN-mediated SLE disease activity. The results suggest AAbs might be used for patient monitoring and/or identifying additional cytokine signaling pathways that are functioning in different SLE patients.

#### Keywords

Systemic Lupus Erythematosus; interferon subtypes; cytokines; autoantibodies; serum; urinalysis

# Introduction

Type-I interferons (IFNs) play a critical role in protecting the host against microbial infection <sup>1</sup>. The type-I IFN family consists of 16 different proteins (subtypes), which include 12 IFN $\alpha$ s, IFN $\beta$ , IFN $\varepsilon$ , IFN $\kappa$ , and IFN $\omega$  that share 30%–95% amino acid sequence identity <sup>2</sup>. The IFNs adopt a common alpha-helical structure <sup>2</sup>, <sup>3</sup> and bind to the same cell surface complex consisting of IFNAR1 and IFNAR2 receptor chains <sup>3</sup>, <sup>4</sup>. IFN binding to the IFNARs induces the phosphorylation of JAK1 and TYK2 kinases, and the subsequent induction of multiple signaling programs that allow the host to combat diverse pathogens <sup>5</sup>.

Individuals with systemic lupus erythematosus (SLE) often exhibit an aberrant IFNmediated immune response. Gene profiling has revealed increased levels of IFN stimulated genes (ISGs, "IFN signature") in SLE patient blood cells and tissues <sup>6–11</sup>. Consistent with these observations, IFN bioactivity is often elevated in SLE patient serum <sup>12–14</sup>. Increased serum IFN activity has been associated with increased skin involvement <sup>13</sup> and renal disease <sup>15–17</sup>. Data from animal models suggests IFNs drive nephritis and end organ damage <sup>18, 19</sup> constituting the most severe manifestations of SLE <sup>20</sup>.

Due to the importance of IFNs in SLE pathogenesis, measurement of serum IFN activity may be useful for stratifying SLE patient disease status for guiding therapy decisions <sup>15, 21–23</sup>. However, IFN bioactivity measurements can also be influenced by patient-derived anti-IFN autoantibodies (IFNAAbs, refs. <sup>24–29</sup>). In addition to altering IFN activity measurements, SLE patient IFN AAbs have been associated with blockade of IFN signaling and lower disease activity <sup>24</sup>. Thus, endogenous IFN AAbs may have a significant impact on studies monitoring IFN activity and may influence the course of SLE patient disease. Although humans produce 16 different IFN subtypes <sup>2</sup>, SLE patient IFN AAb status is currently inferred by measuring AAbs to one, or a small subset, of the IFN subtypes <sup>24, 26, 29</sup>. These studies have reported variable frequencies of IFN AAbs (5–25%) in patient serum <sup>24, 26, 29</sup>. The extent to which IFN AAbs impact SLE disease activity, and whether measuring AAbs to a subset of IFN subtypes is sufficient to accurately define a patient's IFN AAb status, is unknown.

Variable clinical responses to IFN pathway blockade suggest that heterogeneity in IFN, and other cytokine signaling pathways may influence SLE disease pathogenesis and potentially inform therapy decisions <sup>30–33</sup>. The goal of this report was to define serum IFN activity, and IFN AAb levels against all IFNa subtypes, in a cross-sectional analysis of randomly selected SLE patients with variable disease activity. Given the reported role of IFNs in renal disease <sup>15</sup>, the relationship of IFN activity and IFNa AAbs in serum and urine was

examined for a subset of matched patient samples. To determine if IFNas are uniquely targeted for AAb generation in SLE patients, AAbs to additional interferons and cytokines that may be dysregulated in SLE, were also evaluated.

# Methods

#### Samples for study

SLE patient (n=38, randomly selected), and healthy donor control (HDC) blood and urine samples were collected at the University of Alabama at Birmingham (UAB) Kirklin Clinic, Alabama Vaccine Research Clinic (AVRC), and the UAB-CCTS biorepository under UAB IRB protocols IRB-120115004, IRB-160125005, and IRB-N150417008, respectively. Written informed consent was obtained for all human specimen collections. De-identified patient data were obtained as part of routine clinical care and were not provided for analysis until data processing was completed. Five additional serum samples were purchased from BioIVT (Westbury, NY). Serum and urine samples were aliquoted, snap frozen in liquid nitrogen, and stored at -80°C until use. Test serum samples (CTL1, CTL2) used to characterize the assay were obtained from rheumatoid arthritis patients.

#### IFN activity measurements

Serum IFN activity was measured as previously described <sup>34</sup>. Briefly, diluted serum, with or without a pan IFN antagonist IFNAR12-FC <sup>35</sup> (1nM) was incubated for 15 minutes at 25°C and then added to HL116 reporter cells for 5 hrs at 37°C. Luciferase counts were measured using a Biotek Synergy 4 Plate reader. IFN levels were determined by subtracting luciferase counts from IFNAR12-FC containing samples from those without IFNAR12-FC.

#### IFN and cytokine proteins and autoantibody measurements

Serum and urine IFN and cytokine AAb levels were determined by difference Enzyme-Linked-Immunosorbent Assay (dELISA). dELISAs were performed by incubating IFNs, cytokines, and rabbit IFNy (rIFNy) overnight at 4°C (1µg/mL) on medisorp plates (Nunc). Plates were blocked (PBS, 0.05% Tween 20, 0.05% Proclin 300, 1% BSA) for 1 hr at room temperature (RT). Serum (diluted 1:100) and urine (diluted 1:10) samples were incubated for 1 hr at RT, washed 3 times (wash buffer, PBS, 0.05% Tween 20), and incubated for 1 hr with sheep anti-human IgG-HRP (The Binding Site). Following IgG incubation, the plates were washed 3 times with wash buffer, followed by addition of TMB stop solution (Biorad) for 15 minutes. Plates were read at 450nm and 670nm (background) on a Biotech Synergy 4 plate reader. For each sample, final difference optical densities (dODs) were obtained by subtracting ODs measured in wells containing rIFN $\gamma$ , from OD values measured in wells containing the IFNs or other cytokines. rIFN $\gamma$  was used as the control protein since it has a similar molecular weight to other human cytokines, shares only 60% sequence identity and has distinct N-linked glycosylation sites compared human IFN $\gamma$ , and performed better than other proteins in test assays. All experiments were performed in duplicate. Autoantibodies to histone H2B (NEB) were measured using the same strategy. Anti-dsDNA levels were measured using a Quanta Lite dsDNA ELISA (Inova Diagnostics). The 12 IFNas, and most cytokines, were produced in the lab as previously described <sup>34, 36</sup>. IL1β, IL6, IL17A,

GMCSF, and TNFa were obtained from Preprotech. IFN $\beta$  was obtained from PBL Assay Science.

#### IFN neutralization scores

The ability of SLE serum and urine to neutralize exogenous IFN activity was defined by a neutralization score (NS). Six concentrations of IFNa2 for serum, or IFNa6 for urine (0, 0.5 pM, 1 pM, 2 pM, 4 pM, and 6 pM), were added to media or SLE samples (1:10 dilution). After 15 minutes, the mixtures were added to HL116 cells in duplicate and incubated at 37°C for 5 hours. Luciferase counts were normalized to media only (no patient serum or urine) and averaged across the six IFNa2, or IFNa6, concentrations to yield an average normalized count (ANC) for each sample. The NS was calculated as 1-ANC. Thus, SLE samples that neutralize 100% of exogenous IFNa2/IFNa6 will have an NS equal 1, while samples that stimulate the cells more than IFNa2/IFNa6 in media will have negative NS scores.

#### Statistical methods

Statistical calculations were performed using Graphpad Prism version 8. Based on analysis of the distributions, Kruskal-Wallis tests, with Dunn's post testing for multiple comparisons (p=0.05) were used to test for significant differences between groups. Correlations between IFN activity levels and SLEDAI were calculated using Spearman tests with 2-tailed p values.

#### Data clustering

Unsupervised hierarchical clustering of IFN and cytokine AAb data was performed using dOD values divided by SDs, derived from the analysis of HDCs. Clustering and heatmap graphics were obtained using Morpheus <sup>37</sup>, with the Euclidian distance similarity metric and average linkage method. NS and SLEDAI data were not used for clustering.

# Results

#### Serum IFN activity and SLEDAI scores are correlated for individuals with high IFN levels

Type-I IFN serum bioactivity was measured for 42 SLE patients and 25 healthy donor controls (HDCs). Clinical information for this cohort of SLE patients is found in Table S1. HDC serum IFN levels were measured to establish a baseline of expected IFN bioactivity in healthy individuals. Based on this analysis, 26 of 42 (62%) SLE patient serum samples exhibited IFN bioactivity levels greater than HDC serum (mean HDC IFN level + 3 \* S.D.), while other patients exhibited IFN levels equivalent to HDCs (IFN<sup>low</sup> group, n=16). Hierarchical clustering further separated these 26 patients into IFN<sup>high</sup> (n=10; 24%) and IFN<sup>mid</sup> groups (n=16, 38%), while the IFN<sup>low</sup> patient group remained the same (Fig. 1).

The median SLEDAI scores for each IFN group were not statistically significant between the respective IFN groups (Table S1). However, SLEDAI was highly correlated with serum IFN levels for IFN<sup>high</sup> individuals (Fig. 1D, r = 0.92, p=0.0002). However, IFN levels and SLEDAI scores were not significantly correlated in the IFN<sup>mid</sup> (Fig. 1C, r = 0.-07, p = n.s.) and IFN<sup>low</sup> (Fig. 1B, r = 0.15, p = n.s.) groups. Thus, IFN serum bioactivity strongly reflects

disease activity for only a subset of SLE patients (24% in this cohort), which exhibit the highest IFN levels.

#### Relationship of IFN bioactivity and nuclear auto antigens

Serum samples were tested for anti-dsDNA and anti-histone H2B AAbs (Figs. 1D, 1E). Relative to HDCs, anti-dsDNA levels were significantly higher for IFN<sup>low</sup> and IFN<sup>high</sup> patient samples, but not for IFN<sup>mid</sup> samples. In contrast, H2B AAb titers were significantly higher than HDC titers for the IFN<sup>low</sup> group only. Thus, increasing AAb epitope specificities are observed within the three IFN groups, with IFN<sup>mid</sup> exhibiting no significant AAbs, IFN<sup>high</sup> individuals having significant dsDNA AAbs, and H2B and dsDNA AAbs were observed in the IFN<sup>low</sup> group.

#### IFN neutralizing activity of SLE serum

The ability of SLE serum samples to neutralize the bioactivity of exogenously added recombinant IFNa.2 protein was characterized (Fig. 2). The data were used to calculate a neutralization score (NS) for each sample, where an NS of one corresponds to 100% neutralization, 0 equals no neutralization, and negative values define serum that induces greater IFN activity than media alone. For the SLE samples that could be tested (n=36), IFN<sup>high</sup> NS scores were significantly different from IFN<sup>mid</sup> and IFN<sup>low</sup> groups (Fig. 2), with the NS correlating inversely with endogenous IFN activity levels (Fig. 1A). IFN<sup>high</sup> samples induced greater IFN activity than media alone for 7 of 10 samples tested, with NS scores ranging from 0.36 to -1.66 (mean score = -0.39); IFN<sup>mid</sup> samples exhibited minimal stimulatory, or inhibitory activity (n=12, score range -0.09 to 0.13, mean = 0.11), while IFN<sup>low</sup> samples had the greatest inhibitory activity (n=14, score range -0.24 to 0.98, mean 0.30).

#### Relationship of IFN activity with anti-IFNa subtype auto antibodies and SLEDAI

Anti type-I IFN auto antibodies (IFN AAbs) may influence the correlations between serum IFN activity and SLEDAI scores (Fig. 1). To address this hypothesis, a difference ELISA (dELISA) was established to measure anti-IFN $\alpha$  IgG AAbs (IFN $\alpha$  AAbs) against each of the twelve IFN $\alpha$  subtypes (Fig. 3). The dELISA subtracts IgG binding signals to a non-human control protein (rabbit IFN $\gamma$ ), from the IgG signal obtained for each IFN $\alpha$  subtype. Using test serum, specific IFN $\alpha$  AAbs signals were observed at dOD values as low as 0.05 (Fig. 3B). Furthermore, IFN $\alpha$  AAbs identified in the test serum, could neutralize IFN $\alpha$ 2a biological activity (Fig. 3C).

Using the dELISA, patient serum samples were defined as positive for an IFNa subtype AAb (IFNa AAb+) if the dELISA signal was greater than the mean dOD plus 3\*SD, defined from the analysis of 25 HDC serum samples. Using this criteria, 28 of 41 (68%) SLE patient samples contained IFNa AAbs to at least one IFNa subtype, with IFNa AAbs to three or more different IFNa subtypes identified in 13 of the 41 (32%) samples tested. IFNa AAbs against IFNa2a were most prevalent, being detected in 20 of 28 (71%) IFNaAAb+ serum samples. The second most observed IFNaAAb specificity was against IFNa21 (11 of 28, 39%), while IFNa AAbs to five IFNas (IFNa5, IFNa8, IFNa10,

IFNa16, and IFNa17) were found in nine samples (9 of 28, 32%). IFNa AAbs to IFNa1 (2 of 28, 7%) and IFNa4 (3 of 28,11%) were rarely observed (Table S2).

To further estimate the influence of IFNa AAb status on IFN activity, serum IFN activity was plotted according to the number of IFNa AAbs identified in the samples (e.g. 0, 1–2, or 3, Fig. 4A). Although not significant, the median levels of IFN activity were lowest in the samples containing IFNa AAbs to three or more IFNa subtypes, slightly higher in samples with no IFNa AAbs, and the highest in samples containing IFNa AAbs to one or two IFN subtypes. In fact, 8 of 10 (80%) IFN<sup>high</sup> samples had IFNa AAbs to just one or two subtypes (Fig. 4A). To evaluate the impact of IFNa and IFNa AAbs on disease activity, SLEDAI scores were plotted according to their IFNa AAb profiles (e.g. 0, 1–2, or 3 IFNa subtypes bound by IFNa AAbs). Despite having the lowest IFN activity levels, SLE patient serum samples containing IFNa AAbs to three or more IFNas exhibited significantly higher SLEDAI scores than samples with AAbs that bound 2 or fewer IFNa subtypes (Fig. 4B). Three SLE serum samples exhibited low IFN activity and no IFNa AAbs yet exhibited high disease activity (SLEDAI scores 8–11). This suggests IFN may play a limited role in disease pathogenesis in some patients.

Consistent with the idea that neutralizing IFNa AAbs can contribute to the IFN<sup>low</sup> serum phenotype <sup>24</sup>, IFN<sup>low</sup> samples contained the greatest number of IFNa AAbs (50% of the total), relative to the IFN<sup>mid</sup> and IFN<sup>high</sup> groups (Table S2). However, the increased number of IFNa AAbs in the IFN<sup>low</sup> group were almost entirely due to two samples that contained high titer IFN AAbs (1.3 - 2.8 dOD values) to all 12 IFNa subtypes (Fig. 5) and potently inhibited (~100%) IFNa bioactivity (Fig. 2). Thus, high titer IFNa neutralizing AAbs can be responsible for the IFN<sup>low</sup> phenotype, but high IFNa AAb titers were only observed in 2 of the 41 (~5%) samples evaluated. In contrast to these high titer samples, the other IFNa AAb+ samples (n=26) exhibited lower titers (0.07–0.45 dOD units), bound varying numbers (1 to 11) of IFNa subtypes, and exhibited variable IFN-neutralizing activity (Fig. 5). Despite this variability, AAbs to three or more IFNa subtypes was significantly associated with increased disease activity.

# Relationship of IFNa AAbs and AAbs to other cytokines

To determine if IFNs are uniquely targeted for AAb generation, the serum samples were screened for AAbs against four additional interferons (IFN $\omega$ , IFN $\beta$ , IFN $\gamma$ , and IFN $\lambda$ 1) and eleven cytokines that may be involved in SLE pathology (Table S3). With the exception of IFN $\alpha$ 2a (49%), anti-cytokine AAbs were found at similar frequencies (2–27%) in patient serum samples compared to IFN $\alpha$  AAbs (5–27%). Of the 41 SLE serum samples screened, AAbs against GMCSF were identified most often (11 of 41 samples, 27%), followed by IL17A (8 of 41 samples, 20%), while AAbs against TNF $\alpha$ , and IL24 were found in 7 of 41 (17%) samples (Table S3). Anti-GMCSF AAbs were observed most often in IFN<sup>low</sup> samples, while anti-IL17A and anti-TNF $\alpha$  were observed most often in IFN<sup>mid</sup> and IFN<sup>high</sup> samples, respectively. Similar to IFN $\alpha$ 1 AAbs and IFN $\alpha$ 4 AAbs, IFN $\beta$  AAbs were rarely observed (5% of samples). AAbs to other IFN species (IFN $\omega$ , IFN $\gamma$ , and IFN $\lambda$ 1) were observed more often (12% of samples) than IFN $\alpha$ 1, IFN $\alpha$ 4, and IFN $\beta$  AAbs, but at

frequencies lower than AAbs to nine of the twelve IFNas (IFNa2, IFNa5, IFNa7, IFNa8, IFNa10, IFNa14, IFNa16, IFNa17, and IFNa21).

#### Global analysis of IFNa AAbs and anti-cytokine AAbs

To characterize the entire distribution of IFNa AAbs and anti-cytokine AAbs found in HDC and SLE patients, dOD values were divided by their SDs, determined from the HDC samples, and subjected to unsupervised hierarchical clustering (Fig. 5). IFNa AAb+ SLE samples (e.g. samples with AAbs to at least one IFNa subtype, defined by mean+3SD) clustered into two groups, separate from most IFNa AAb- and HDC samples. Group A contained five IFNa AAb+ samples, of which three were classified as IFN<sup>high</sup> and two were IFNmid samples. Although these samples contained IFNa AAbs, the NS scores ranged from 0.04 to -1.23, implying the samples do not inhibit IFN bioactivity. The larger IFNa AAb+ group B, contained 26 SLE samples that exhibited higher numbers of IFNa AAbs, higher NS scores (e.g. samples are IFN inhibitory), as well as higher numbers of anti-cytokine AAbs. Although IFNa AAbs appear to be driving the clustering of most samples, three SLE samples in group B are miss-classified as IFNa AAb+, presumably due to anti-GMCSF AAbs, and other anti-cytokine AAbs, that are observed in these samples (Fig. 5). Two of the three miss-classified samples do not exhibit serum IFN activity and are negative for IFNa. AAbs (Fig. 4B). However, closer inspection shows one of the samples (L-SLE-111) is positive for AAbs to IFN $\omega$  and IFN $\beta$  (Fig. 5), suggesting IFN-mediated pathogenesis might be induced by distinct IFN subtypes in some patients. Also notable, Group B contains two HDC samples that mimic aspects of SLE patient AAb profiles. Overall, Figure 5 highlights the spectrum of IFNa AAbs and anti-cytokine AAbs found in SLE patient samples.

#### IFN activity and IFNa AAbs in matched urine samples

For a subset (22 of 41) of SLE patients, urine was collected at the same time as their blood donation, to compare IFN activity and IFNa AAb levels in two distinct body fluids. As observed in SLE serum, IFN activity in SLE urine was significantly higher than in HDC urine (Fig. 6A). However, serum and urine bioactivity did not significantly correlate with one another (Fig. 6B). The urine samples were screened for IFNa AAbs to determine if this could, at least partially, explain the lack of correspondence between IFN activity in urine and blood. The analysis revealed the number of IFNa AAb+ urine and serum samples were identical (16 of 22, 73%) for the matched group (Table S4). However, only three of the 22 samples (14%) exhibited the same IFNa AAb profile in both blood and urine. One contained high titer IFNa AAbs to all 12 IFNas in both their serum and urine, and the other two patients did not have IFNa AAbs to any IFNa subtype in either their serum or urine (Fig. 6C). The remaining SLE patient samples contained different numbers and/or specificities of the IFNa AAbs in their urine, relative to their serum. For example, six urine samples (22%) contained IFNa AAbs to three or more different IFNas, while their serum samples contained IFNa AAbs to one or fewer IFNas (Figs. 6C, 6E). In contrast to serum, where IFNa2 AAbs were the most prevalent AAbs (59.1% serum vs. 31.8% in urine, Table S4), IFNa.6 AAbs were most prevalent in the urine samples (50% vs. 9.1% in serum samples). In addition, IFNa1 AAbs were observed at much higher frequency in SLE urine samples (31.8%) than in serum (4.6%) Table S4). Due to the prevalence of IFNa6 AAbs in urine, NS scores were determined using IFNa6, instead of IFNa2 that was used for the

serum analysis. Urine samples that contained the greatest numbers of IFNa AAbs generally exhibited the largest NS scores. Together, these results suggest SLE patient immune responses may be different within the kidney and associated tissues, represented by the analysis of urine, compared to those measured in serum.

# Discussion

The goal of this study was to provide a more granular analysis of serum IFN levels in SLE patients, characterize the impact of IFN AAbs on serum IFN bioactivity and disease activity, and evaluate anti-cytokine AAbs to identify other signaling pathways operating in our patient population. Towards these goals, the analysis reaffirmed that high serum IFN activity levels are observed in a majority (62% in this study) of SLE patients, relative to HDCs <sup>13, 14</sup>. African American (AA) patients were over-represented in the IFN<sup>high</sup> (70% AA) group compared to Caucasian (CA) SLE patients (30%). High IFN levels were previously associated with AAbs to nucleic acid, including anti RNA and dsDNA <sup>38</sup>. Consistent with these findings, serum IFN (r = 0.91, p = 0.002) and dsDNA AAb (r = 0.72, p = 0.02) levels strongly correlated with disease activity (SLEDAI) in the IFN<sup>high</sup> group, but robust correlations were not found in the IFN<sup>mid</sup> and IFN<sup>low</sup> groups. Despite the lack of correlations with IFN levels, dsDNA AAbs were also significantly increased in the IFN<sup>low</sup> group. In contrast, significant levels of anti-H2B AAbs were only observed in the IFN<sup>low</sup> group. Thus, the highest overall AAb levels are found in IFN<sup>low</sup> patients, while dsDNA levels, but not H2B AAbs, strongly correlate with serum IFN activity and SLEDAI for the IFN<sup>high</sup> patients.

IFN<sup>low</sup> patients may not produce excessive IFN, or their detectable IFN levels might be reduced by neutralizing IFN AAbs. To address this question, we first focused on IFN AAbs to all IFNa subtypes. The analysis revealed IFNa AAbs are found in SLE sera at higher frequencies (68%) than previously reported <sup>24</sup>. More importantly, 32% of the samples with IFNa AAbs to three or more subtypes exhibited lower serum IFN activity levels and significantly higher disease activity than those with two or less. These data confirm that endogenous IFNa AAbs can be responsible for reduced serum IFN activity in SLE patient samples. However, in contrast to the work of Morimoto et al., who suggested endogenous IFNa AAb-mediated blockade of IFN activity is responsible for lower disease activity <sup>24</sup>, we found potent neutralizing IFN AAbs to be associated with higher SLEDAI scores. We did identify serum from some patients with lower SLEDAI scores that partially neutralized exogenous IFNa2a activity, based on NS scores. However, the IFNa AAb titers in these samples, were not consistent with the extent of IFN neutralization observed. In fact, we observed two samples that strongly neutralized IFNa2 activity, but did not contain any IFNa AAbs by dELISA. A possible explanation is that IgM and/or IgA IFN AAbs are responsible for the observed IFN neutralization, which were not measured in our study.

In light of discontinued and ongoing clinical trials of IFN blockade therapies <sup>39</sup>, our IFN AAb data suggests endogenous IFN AAbs cannot be used to support or explain anti-IFNa therapies, or their apparent lower responses, relative to anti-IFNAR1 (Anifrolumab) therapy<sup>40</sup>. One reason is that most endogenous IFN AAbs, relative to anti-IFNa drug therapy, exhibit weak IFN neutralizing potential and appear to be generated over longer time

periods, compared to bolus injections of drugs. It is possible that some endogenous nonneutralizing IFN AAbs might compete with anti-IFN drugs, limiting their efficacy, but this is highly speculative and many other mechanistic arguments for why receptor targeting may be more appropriate than targeting the 16-member type-I IFN family have been previously outlined <sup>41</sup>. Our data also emphasize that IFNa. AAbs may render traditional serum IFNa bioactivity assays unreliable in identifying patients in whom (multiple) type-1 IFNs may be playing a significant role in lupus disease activity.

Although IFNa AAbs rarely potently inhibited IFN biological activity, our data suggests measuring IFNa AAbs may provide an additional marker of patient disease activity. In addition, they may help us better understand the IFN<sup>low</sup> patient phenotype. For example, IFN<sup>low</sup> patients with multiple IFN AAbs in their serum have clearly been exposed to IFNs. Thus, in a variety of patient studies and clinical trial designs, analysis of IFN AAbs may provide a unique "history" of past IFN exposures. Thus, our data suggests many IFN<sup>low</sup> patients may have experienced repeated IFN exposures that are pathogenic and associated with IFNa AAb development. In addition, we also find IFN<sup>low</sup> patients with no IFN AAbs and yet they exhibit high SLEDAI scores. We anticipate that through further gene expression and serological studies we will be able to further improve our understanding of IFN-independent and IFN-dependent disease to accurately identify SLE patients that will optimally respond to anti-IFNAR1 therapy.

The high frequency of IFNa2a AAbs we observe in SLE patients has previously been observed (41-44% IFNa2a AAb+) in patients treated with IFNa2a as an anti-cancer therapeutic  $^{42, 43}$ . In these studies, the high incidence of IFNa2a AAbs was linked to aggregated protein in the drug preparations. In autoimmune settings, such as SLE, it is possible that aggregated endogenous IFNa2a could be released from apoptotic cells. Interestingly, the highest incidence of IFNa2a AAbs (44%) were found in renal cell carcinoma patients, compared to 4% in leukemia patients <sup>42</sup>. Since IFN activity is associated with kidney damage in SLE<sup>44</sup>, we evaluated IFN activity and IFNa AAbs in urine, which is a body fluid that could potentially report on local kidney immune responses. Although IFN activity was elevated in SLE patient urine, relative to HDCs, urine and serum IFN activity did not correlate with one another. It was equally surprising that when IFNa AAb levels were high in serum they were low in urine and vice versa. In addition to differences in the overall frequency of IFNa AAbs in serum and urine samples, the frequency of AAbs to specific IFNa subtypes were different. In particular, AAbs to IFNa1, which were rarely observed in patient serum (5% of samples), were observed in 32% of SLE patient urine samples. Likewise, AAbs to IFNa6 are observed in 9% of matched serum samples, but are observed in 50% of SLE urine samples. Overall, the differences in IFN and IFNa AAbs in urine versus serum, suggest that local immune responses in the kidney may be distinct from serum. However, this serum/urine distinction may be lost in patients with extreme glomerular damage, such as observed for one patient with lupus nephritis (L-SLE-116) that exhibited high-titer neutralizing IFNa AAbs to all IFNa subtypes in both their serum and urine.

The data argue that testing SLE serum for AAbs to multiple cytokines can potentially identify signaling pathways involved in SLE disease pathogenesis. Interestingly, the

cytokines most often targeted by AAbs in our patient samples are often produced by pathogenic Th17 cells, including IL17A, GMCSF, and TNFa.<sup>45</sup>. IL17 has been found to be increased in SLE patient serum and could reflect alterations in Th1, Th2, Th17 cell numbers and function <sup>46, 47</sup>. Additional studies show IL17 promotes AAb production through B-cell differentiation, class switch recombination, and synergizes with IFNa to produce BLyS <sup>48, 49</sup> GMCSF is known to activate monocytes and DC, resulting in enhanced antigen presentation, phagocytosis, and inflammatory cytokine production <sup>50</sup>. In mice, T-cell and renal cell produced GMCSF has been associated nephritis, <sup>51</sup>. These observations suggest sensitive measurement of AAbs against IFNs and cytokines may be useful in understanding SLE heterogeneity and ultimately aide in therapy decisions.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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# Figure 1.

IFN serum activity levels and correlation with disease activity. **A**, IFN activity in SLE samples measured by luciferase induction shown in IFN<sup>low</sup>, IFN<sup>mid</sup>, and IFN<sup>high</sup> groups. Correlation of IFN activity and SLEDAI scores for (**B**) IFN<sup>low</sup> (r = 0.15, p = n.s.), (**C**) IFN<sup>mid</sup> (r=-0.07, p = n.s.), and (**D**) IFN<sup>high</sup> (r = 0.92, p = 0.0002) groups. Levels of anti-dsDNA (**E**) and anti-H2B (**F**) AAbs in each IFN group are also shown.

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#### Figure 2.

Assignment of a neutralizing score (NS) for SLE Serum samples. **A**, Examples of activating (e.g. SLE-115) or inhibitory (e.g. SLE-146) curves. **B**, NSs for SLE serum samples. Calculation of NS is described in the methods section.

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#### Figure 3.

The dELISA used to measure IFNaAAbs. **A**, Analysis of test serum samples for IFNaAAbs using the dELISA. SLE serum (1:100 dilution) was incubated in duplicate with each IFNa subtype and rIFN $\gamma$ . Data are displayed as mean dOD values and SD from the duplicate wells. **B**, The sensitivity and specificity of the signal observed in the dELISA was characterized and validated by diluting the CTL1 test serum and by blocking the signal with recombinant IFNa2a (5  $\mu$ M), or all IFNa subtypes (5  $\mu$ M of each), except IFNa2. The results show the serum exhibits the greatest affinity for IFNa2a, but can also bind to high concentrations (11x of IFNa2 alone) of the other IFNa subtypes, which prevents interaction with plate bound IFNa2a. **C**, CTL1 serum, but not CTL2 serum partially blocks IFNa2a biological activity.

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#### Figure 4.

Relationship of IFNaAAbs to IFN serum activity and disease activity. **A**, SLE serum samples were binned based on the number of IFNa subtype AAbs / sample and serum IFN activity was plotted on the y axis. **B**, SLE serum samples are binned as in Fig. 5A, and SLEDAI values are plotted for each sample. The IFN status of each sample is defined by the coloring of the data points, where open points correspond to IFN<sup>low</sup>; half filled, IFN<sup>mid</sup>; and fully filled, IFN<sup>high</sup>.

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# Figure 5.

Diverse SLE immune responses identified by serum IFNaAAbs, anti-cytokine AAbs, and NS values. Unsupervised hierarchical clustering of dOD<sup>SLE</sup>/SD<sup>HDC</sup> values for SLE serum samples was performed and then NS values (circles) were added to the clustered matrix. SLE sample labels include their IFN status L-, M-, H- for IFN<sup>low</sup>, IFN<sup>mid</sup> and IFN<sup>high</sup>, respectively. SLEDAI scores of 0 are colored white, scores of 1–5 colored light to dark blue, and scores 6 are colored red.

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#### Figure 6.

Matched SLE patient serum and urine exhibit distinct levels of IFN activity and IFNaAAbs. **A**, IFN activity in SLE urine compared with HDC. **B**, IFN activity levels measured in serum and urine do not correlate with one another (r = 0.15, p = n.s.). **C**, For matched SLE serum and urine samples, the number of IFNa subtype AAbs in each sample was plotted on the y axis. **D**, Percentage of IFNaAAbs to each IFNa subtype in matched serum and urine samples (n=22). **E**, Hierarchical clustering of dOD<sup>SLE</sup>/SD<sup>HDC</sup> values for SLE patient and HDC urine samples, and matched serum samples, performed as described in Figure 5.