

Immune biology of glioma-associated macrophages and microglia: functional and therapeutic implications

Jun Wei, Peiwen Chen, Pravesh Gupta, Martina Ott, Daniel Zamler, Cynthia Kassab, Krishna P. Bhat, Michael A. Curran, John F. de Groot, and Amy B. Heimberger

Departments of Neurosurgery (J.W., M.O., C.K., A.B.H.), Cancer Biology (P.C.), Translational Molecular Pathology (P.G., K.P.B.), Genomic Medicine (D.Z.), Immunology (M.A.C.), and Neuro-Oncology (J.F.D.), The University of Texas MD Anderson Cancer Center, Houston, Texas

Corresponding Author: Amy B. Heimberger, MD, Department of Neurosurgery, The University of Texas MD Anderson Cancer Center, Unit 422, P.O. Box 301402, Houston, TX 77230-1402. Phone (713) 792-2400, Fax (713) 794-4950, e-mail aheimber@mdanderson.org.

Abstract

CNS immune defenses are marshaled and dominated by brain resident macrophages and microglia, which are the innate immune sentinels and frontline host immune barriers against various pathogenic insults. These myeloid lineage cells are the predominant immune population in gliomas and can constitute up to 30–50% of the total cellular composition. Parenchymal microglial cells and recruited monocyte-derived macrophages from the periphery exhibit disease-specific phenotypic characteristics with spatial and temporal distinctions and are heterogeneous subpopulations based on their molecular signatures. A preponderance of myeloid over lymphoid lineage cells during CNS inflammation, including gliomas, is a contrasting feature of brain immunity relative to peripheral immunity. Herein we discuss glioma-associated macrophage and microglia immune biology in the context of their identity, molecular drivers of recruitment, nomenclature and functional paradoxes, therapeutic reprogramming and polarization strategies, relevant challenges, and our perspectives on therapeutic modulation.

Keywords

macrophages | microglia | immune suppression | glioma

The science of central nervous system (CNS) immunology has been enlivened in the last decade with the dismantling of the concept of “immune privilege” and the discovery of a lymphatic system within the brain^{1–3} that has triggered a new research outlook for CNS pathological states such as infections, autoimmune disorders, and brain cancer. Occasionally, resident neuronal cells of the CNS undergo mostly spontaneous malignant transformation due to failure of integrated genetic and epigenetic regulatory circuits that impact cell cycle and tumor suppressive mechanisms. This eventually leads to the formation of CNS tumors such as gliomas—a pathological and foreign entity necessitating elimination by an immune surveilling defense system. Glioma-induced immune dysregulation facilitates a reciprocal cellular and molecular crosstalk at pathologically breached physio-anatomical blood–brain barrier (BBB) interfaces. CNS immune defenses are marshaled and dominated by brain resident macrophages

and microglia, which are the innate immune sentinels and frontline host immune barriers against various pathogenic insults.^{4,5} These myeloid lineage cells are the predominant immune population in glioma patients,⁶ and can constitute up to 30–50% of the total cellular composition.^{7,8} Parenchymal microglial cells and recruited monocyte-derived macrophages⁹ from the periphery exhibit disease-specific phenotypic characteristics with spatial and temporal distinctions^{10,11} and are heterogeneous subpopulations based on their molecular signatures. Macrophages, alongside monocytes and dendritic cells (DCs), form the trinity of the mononuclear phagocyte system,¹² which are all extremely diverse subpopulations with distinct ontological origins and functional manifestations in steady state and glioma biology. However, we will largely confine our discussions to glioma-associated macrophages and microglia (GAMs). The downstream adaptive immune responses are mediated by rare CNS

effector T cells, which exist in perivascular regions as reported in healthy human corpus callosum¹³ and within gliomas.^{14,15} A preponderance of myeloid over lymphoid lineage cells during CNS inflammation, including gliomas, is a contrasting feature of brain immunity relative to peripheral immunity which needs to be carefully revisited. Therefore, herein we discuss GAM immune biology, the major constituent immune cell in the tumor microenvironment (TME) in the context of their identity, molecular drivers of recruitment, nomenclature and functional paradoxes, therapeutic reprogramming and polarization strategies, relevant challenges, and our perspectives on the pretext of current knowledge and the way forward.

Glioblastoma (GBM) is the most aggressive brain malignancy. Clinically, T cell based immunotherapies have failed to make an impact on improving patient survival in the majority of patients relative to standard of care therapies comprising surgery, and chemoradiation, thus highlighting a paradoxical approach to targeting a rare or nonexistent T-cell population¹⁶ with rejuvenation strategies such as immune checkpoint blockade regimens such as programmed cell death 1 (PD-1) and/or cytotoxic T lymphocyte antigen 4. Although T cells can gain access to GBMs in the CNS, they are rare and are probably unable to exert significant effector responses.¹⁷ The function of these T cells is impaired by multiple tumor- and/or myeloid-cell (perivascular macrophages and monocyte-derived macrophages) derived immunosuppressive factors.^{16,18–20} Genetic and proteomic based immune phenotyping has revealed that GBM is uniquely enriched with macrophages relative to other types of malignancies, although other cancers such as lung,²¹ breast,²² and pancreatic cancers²³ contain these macrophages, which are usually polarized to tumor-supportive and immunosuppressive phenotype. GAMs produce low levels of proinflammatory cytokines and lack expression of key molecules involved in T-cell costimulation (eg, CD86, CD80, CD40), indicating that they may be poor inducers of T-cell responses in glioma.⁶ Although the mere presence of macrophages within the TME is an indicator of poor outcome for GBM patients, there are possibilities for developing targeted immunotherapy due to their plasticity features, which provide opportunity for fine tuning their metabolic and transcriptional programs to a desirable phenotype.^{24,25}

Identity and Ontogeny Conundrum of GAMs

Microglia are the resident macrophages of the CNS and are distributed throughout the brain. Their origin has been debated for decades as either arising from the yolk sac during embryogenesis or from the bone marrow. More recent sophisticated analyses of chimeras have shown that microglia arise mainly from the local expansion of existing resident microglia.²⁶ Additionally, fate-mapping studies using congenic mice have identified immature yolk sac progenitors as the predominant source of brain microglia.²⁷ The consensus in the scientific community is that microglia arise during embryogenesis and are long lived with limited capacity for local self-renewal and expansion. As such, microglia represent a distinct population of innate immune cells. In the glioma TME, GAMs include both

tissue-resident microglia and the infiltrating macrophages derived from bone marrow,^{6,28} with the latter congregating in the perivascular and necrotic regions²⁹ in response to areas of ischemia.^{30,31}

The ability to distinguish between these 2 populations has been problematic due to the absence of a unique defining marker until recently, when transmembrane protein 119 (TMEM119) was proposed as a definitive microglia marker applicable for mouse and human.³² In contrast, CD49D/ITGA4, a marker specific to tumor infiltrating bone marrow derived macrophages but not microglia, has been identified in the context of brain malignancy of mouse and human.³³ Another microglia marker, Sall1, is a key transcriptional regulator defining microglia identity and function in human and mouse.³⁴ Membrane spanning 4-domains A3³⁵ may be a marker that distinguishes the relative contribution of blood monocytes to the tissue resident macrophage pool, but this claim needs to be validated during tumorigenesis. It should be noted that specific lineage marker claims have been made previously only to later be contested. Previously, an analysis of surface expression of CD11b and CD45^{low} for microglia and CD45^{high} for macrophages was used to define these populations from ex vivo rodent and human CNS tumors.^{6,36} Based on these markers, monocyte-derived macrophages would predominate in the glioma relative to microglia,³⁷ but this would be influenced by the glioma subtype (eg, diffuse) and the location of the analysis (ie, infiltrating edge vs tumor core). However, it should be noted that microglia can upregulate CD45 expression.³⁸ Alternatively, CX3CR1 and CCR2 have been used as markers to distinguish resident microglia and peripheral monocytes/macrophages, respectively, using CX3CR1^{GFP} and CCR2^{RFP} (knock in) lineage tracing murine models to investigate the fate of these cells in the TME.³⁹ These tracer studies indicate that in mice, the predominant innate immune cells are derived from tissue-resident microglia rather than from peripheral macrophages.³⁸ However, CX3CR1 can be expressed by peripheral monocytes and macrophages under a variety of situations.^{40–42} CCR2 can also be expressed in microglia,⁴³ especially under the scenario of activation,⁴⁴ and specifically within the context of glioma-immune cell interactions.⁴⁵ In glioma associated inflammation, there could be empty microglial niches that are being compensated for by monocyte-derived macrophages, which have the propensity to behave like microglia, further compounding the origins and identity question. Clearly there is an unmet need for (i) identification of lineage-specific markers to distinguish these cell populations especially in glioma patients, (ii) functional assessment of cells of diverse origins, and (iii) delineation as to whether ontogeny bears functional implications during inflammatory scenarios including gliomas.

Macrophage Functional Classification System That Does Not Recapitulate In Vivo Biology

Researchers inspired by the T helper cell type 1 versus T helper cell type 2 functional dichotomization of T cells have attempted to define macrophage function after in vitro stimulation strategies. The naïve monocytes acquire proinflammatory M1 polarized phenotype (antitumor) in

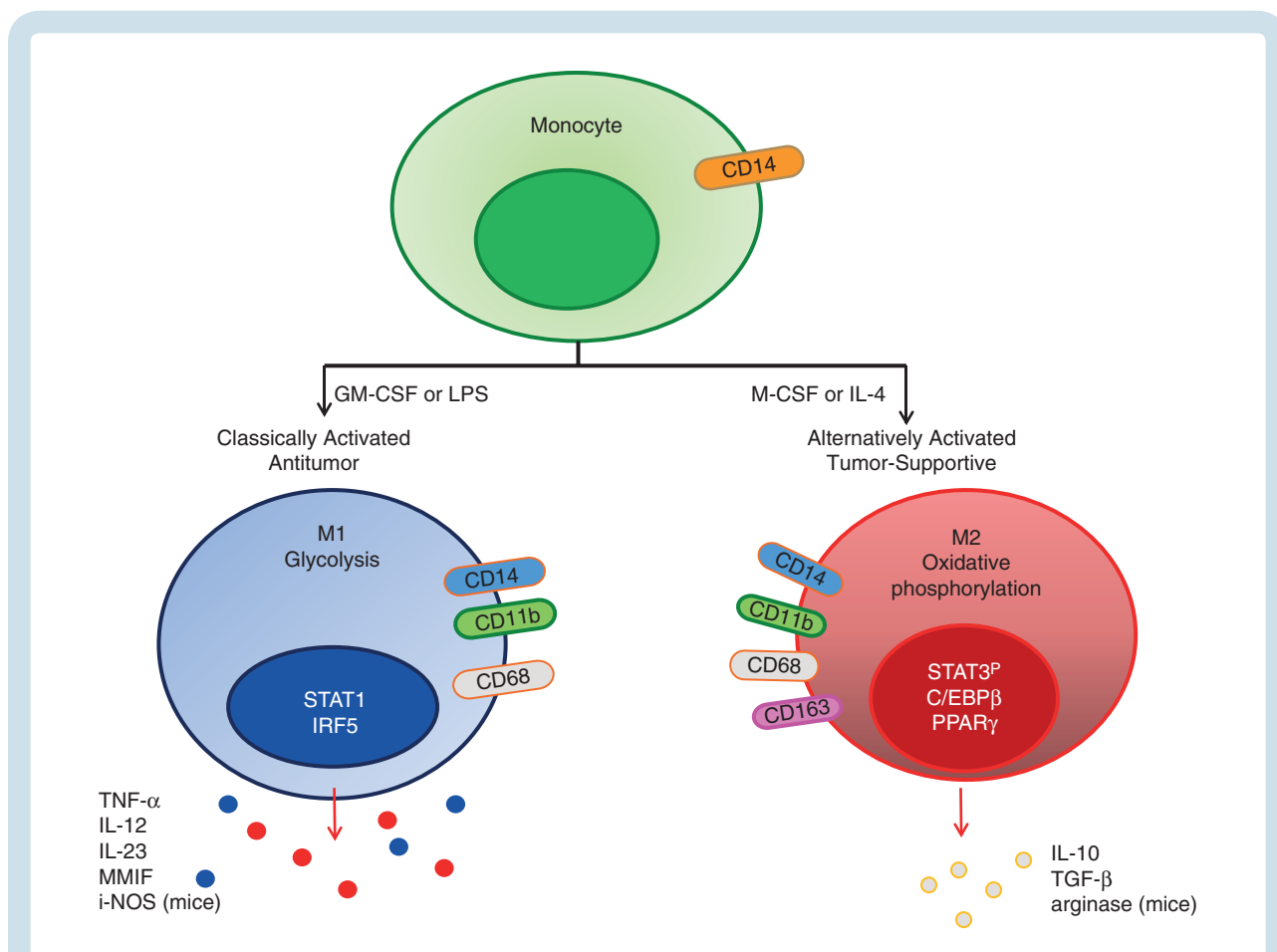


Fig. 1 Schematic demonstrating the cytokines for inducing polarized M1 versus M2 macrophages, markers used in their identification (oval), key transcriptional pathways that each uses (nucleus), metabolic preference (cytoplasm), and the elaborated immune effectors they secrete.

response to toll-like receptor 4 (TLR4) ligands and interferon (IFN)-gamma stimulation, whereas interleukin (IL)-4, IL-10, and/or IL-13 triggers polarization to alternative M2 phenotype (tumor-supportive/protumor).⁴⁶ These polarized subpopulations have differential expression of receptors, cytokines, chemokines and effector function (Fig. 1). These functional polarization states depend on the extracellular environment. However, compelling evidence suggests that a distinct partitioning of the M1/M2 macrophage subtypes does not exist.⁴⁷ Single-cell RNA-sequencing of the GAMs has shown frequent coexpression of both pro-inflammatory M1 and immune suppressive M2 genes in individual cells.²⁹ Although this schematic provides a conceptual framework to describe potential phenotypic and functional roles, in pathological conditions such as gliomas, macrophages are highly plastic, and ex vivo analysis from gliomas demonstrates that these cells exist in a continuum, including in more undifferentiated states.⁴⁸⁻⁵¹ In human GBM specimens, GAMs display a complex profile of both M1 and M2 polarization markers,^{52,53} and very likely there are yet undefined phenotypes.

Comprehensive immune phenotyping, whole-genome microarray analysis, and microRNA expression profiling of human GAMs in alignment to the polarized subtypes

of human macrophages demonstrated that the ex vivo macrophages exhibited a distinct phenotype of activation more related to the nonpolarized M0 (undifferentiated) status.⁴⁹ The M0 phenotype is considered an attenuated M2 phenotype.⁵⁴ Importantly, this study⁴⁹ showed that the reliance on a single marker such as CD163 used to define the M2 state is not really reflective of the immune biology of the vast majority of GAMs. But it also has implications for the design of therapeutic modalities—if the vast majority of GAMs are not really polarized to M2, then therapeutics that are focused on either the elimination of this population or polarization to M1 will not really be effectual. Rather the field may need to redirect its focus to understanding the immune biology of M0 and more serious consideration of the therapeutic modulation of the M0 state. Currently, there is a clear need to provide a functional classification system that recapitulates diverse fluid states of GAMs in human gliomas and/or tumor biology.

Drivers of Monocyte/Macrophage Recruitment

Macrophage recruitment to the TME is driven by a variety of glioma-elaborated chemokines, including

monocyte chemoattractant protein (MCP)-1,⁵⁵ MCP-3,⁵⁶ C-X-C motif chemokine 12 (CXCL12),⁵⁷ C-X3-C motif ligand 1/fractalkine,⁵⁸ colony-stimulating factor (CSF-1),⁵⁹ lysyl oxidase (LOX),⁶⁰ and glial cell-derived neurotrophic factor (GDNF).⁶¹ Several chemokines, such as GDNF, hepatocyte growth factor, CSF-1, and granulocyte-macrophage colony-stimulating factor have been claimed to be involved in GAM recruitment.^{59,61–65} These chemokines are heterogeneously expressed among gliomas and the pivotal drivers are contextual, probably due to the distinct glioma genetics. Transcriptional subtype-based classification revealed differences in immune infiltration in GBM. The mesenchymal subtype showed a greater frequency of macrophage/microglia compared with proneural or classical subtypes.^{66,67} NF1 deficiency was identified as a probable cause of differential chemotaxis in mesenchymal GBMs. Other alterations, such as phosphatase and tensin homolog (PTEN) deletion in glioma cells, have been shown to activate the transcription factor Yes-associated protein 1, which directly upregulates LOX expression. LOX is a potent chemokine recruiting macrophages via activation of the $\beta 1$ integrin/proline-rich tyrosine kinase 2 pathway in macrophages. Inhibition of LOX suppresses macrophage infiltration and tumor progression specifically in *PTEN*-null glioma models.⁶⁰ Amplification of the epidermal growth factor receptor (*EGFR*) gene and its truncation mutant *EGFR* variant (v)III is another common genetic alteration in glioblastoma. *EGFR* and *EGFRvIII* cooperate to recruit macrophages in GBM via induction of chemokine MCP-1.⁶⁸

Cancer glioma stem cells (GSCs), in particular, may be a potent driver of macrophage infiltration.⁶⁹ Chemokines elaborated by GSCs may be specific to the recruitment of specific macrophage subsets. For example, GSC-derived periostin (POSTN) recruits M2 GAMs via the integrin $\alpha v \beta 3$ (ITG $\alpha v \beta 3$) signaling. Disrupting POSTN in GSCs or inhibition of ITG $\alpha v \beta 3$ specifically attenuates M2 GAM recruitment and inhibits glioma progression.⁷⁰ Moreover, our group has recently shown that osteopontin (OPN) is a key driver of macrophage infiltration in gliomas and that the receptor ITG $\alpha v \beta 5$ is highly expressed on macrophages that have assumed a tumor supportive M2 phenotype. In addition to acting as a chemokine, glioma-elaborated OPN maintains the M2 macrophage gene signature and phenotype. OPN knock out results in a marked reduction of M2 macrophages, elevated T-cell effector activity in the infiltrating glioma, and sensitization of the glioma cells to direct CD8 T-cell cytotoxicity.⁷¹ Despite activity in other cancers, OPN-blocking antibodies and aptamers do not exert compelling biological responses in preclinical models of gliomas, probably because of the redundancy and plasticity of chemokines exploited by gliomas to recruit macrophages into the TME, and this is supported by a data analysis by The Cancer Genome Atlas (TCGA) that shows that the chemokine expression of OPN, MCP1, LOX, CSF1, CXCL12, and POSTN in GBM significantly correlates (Fig. 2).

GAM and Glioma Cell Interactions

The macrophages and microglia immune functionality are contextual and divergent. Their tumor-supportive roles have been demonstrated in mouse models of glioma in which

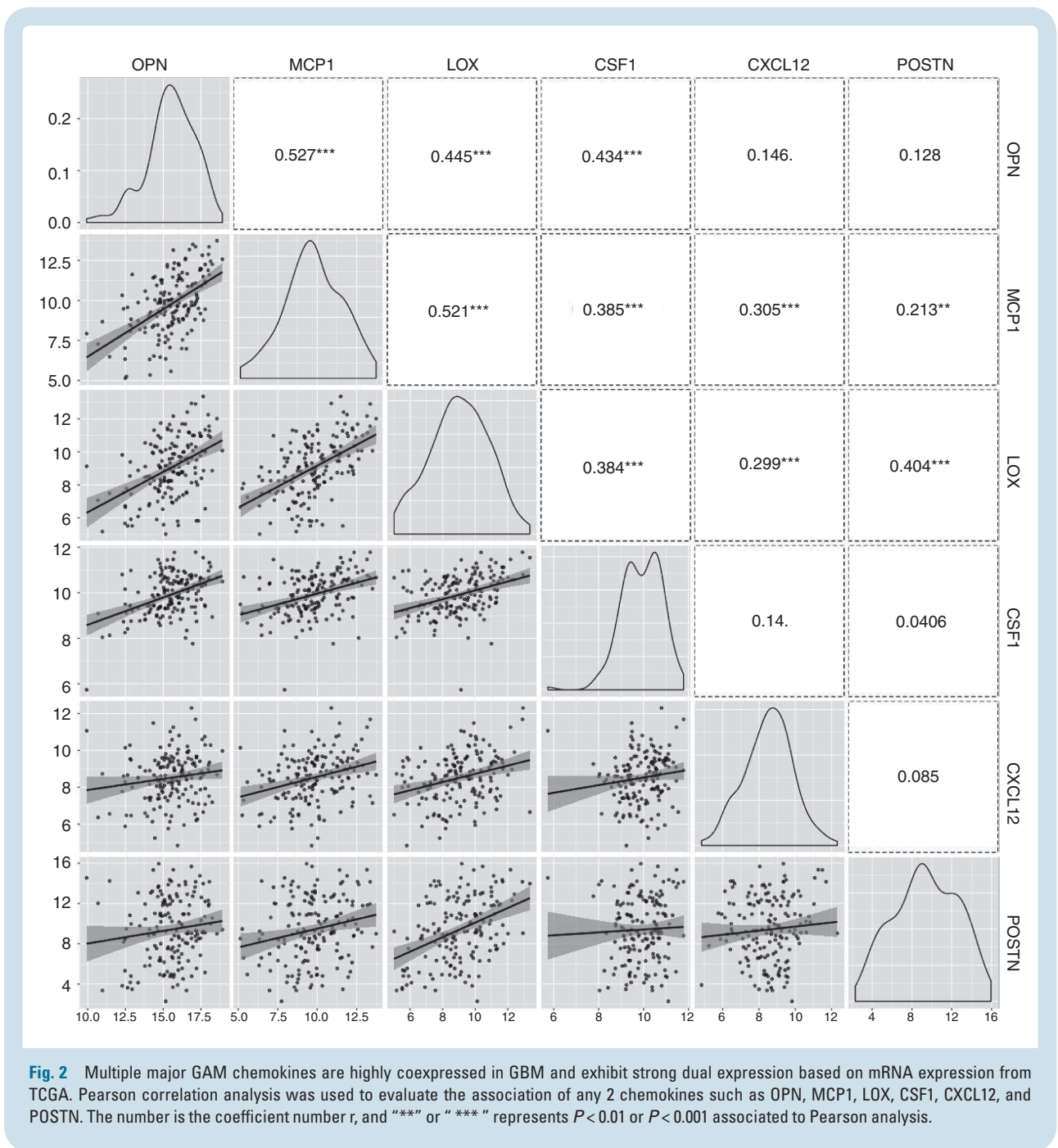
the elimination of CD11b and/or CX3CR1 immune populations was associated with reduced tumor proliferation and/or in vivo tumor formation.^{72–74} In organotypic brain tumor-slice cultures and in vivo, the depletion of microglia decreases glioma growth and invasiveness.^{75–77} A variety of microglial-secreted factors such as stress inducible protein 1,⁷⁸ epidermal growth factor,⁵⁹ transforming growth factor beta (TGF- β),^{79,80} and matrix metalloproteinase-2 (MMP-2) play roles in glioma cell invasion. Toll-like receptor signal activation of microglia is a required component for at least the generation of MMP-2,^{81,82} and gliomas can also elaborate versican, which engages TLR2 signaling, thus supporting a feed-forward mechanism.^{83,84} Another feed-forward mechanism involves the release of glioma-elaborated CCL2, which triggers the release of IL-6 from microglia,⁴⁵ which is, in turn, a ligand for the signal transducer and activator of transcription 3 (STAT3) pathway in gliomas that maintains the cancer stem-cell state and enhances invasiveness.⁸⁵ Microglia can also directly reduce the sphere-forming ability of stem cells under normal conditions, but this is blocked when the microglia are obtained from glioma patients.⁸⁶ Our group has also shown that supernatants from GSCs inhibit the phagocytic activity of macrophages and induce them to become immune suppressive as reflected by secretion of IL-10 and TGF- β .⁸⁷ These GAMs also support angiogenesis through the elaboration of vascular endothelial growth factor.⁸⁸ Intriguingly, the glioma-infiltrating macrophages and microglia may assume a proinflammatory immune function in specific scenarios such as during CD8 lymphopenia or depletion,^{89,90} which is probably an immune compensation mechanism.

GAMs and Outcome

Almost all gliomas contain some level of GAMs.⁹¹ The number of CD68+ macrophages increases with glioma grade⁹² and is generally a negative prognostic factor for survival.⁹³ A Kaplan–Meier survival analysis linked to immune gene signatures extracted from the Gliovis web platform⁹⁴ that subsumes TCGA and other glioma datasets reveals that the presence of the pan-microglia marker TMEM119 (Fig. 3A, left), the pan-macrophage marker CD68 (Fig. 3A, right), and the M2 macrophage signatures CD163 and SOCS2 (Fig. 3C) predicts poor survival in all gliomas inclusive of GBM. Notably, even the M1 macrophage signatures TREM1 (triggering receptor expressed on myeloid cells) and NOS2 (nitric oxide synthase 2), which are considered to be proinflammatory and antitumor, are negatively correlated with glioma patient survival (Fig. 3B). Therefore, we opine that such in silico analysis with M1 and M2 being defined as anti- and protumoral macrophages needs to be carefully interpreted and further validated.

Proinflammatory Immune Functions of Glioblastoma-Associated Macrophages

Conventionally, antigen presentation and immune activation are triggered in peripheral lymphatics. The activated T cell subsequently exits and travels to the TME, guided by a gradient of tumor-elaborated chemokines, to exert



tumor destruction. In 2014, Klaus Ley put forth the *second-touch hypothesis* stating that full T-cell activation requires a second interaction with an antigen-presenting cell in the non-lymphoid, antigen-expressing target tissue. This initially marginalized concept has now been gaining increasing acceptance, in part supported by our work showing that DCs are present in the TME and are essential for T-cell effector responses.⁹⁵ After encountering CD11c+ DCs, T cells are capable of undergoing proliferation—a hallmark of productive engagement with an antigen-presenting cell. Microglia under resting conditions typically do not express major histocompatibility complex (MHC) II or costimulatory molecules, but

during inflammatory conditions they are capable of these functions.⁹⁶ Macrophages can act as antigen-presenting cells but are considered to be generally less efficient at this process. However, Siglec-1+ macrophages⁹⁷ can cross present tumor antigens to CD8+ T cells that probably repositions this specialized macrophage subset as antigen-presenting cells. In the bone marrow, hematopoietic stem cells produce myeloid- and lymphoid-committed precursors. The myeloid precursors give rise to monocyte, macrophage, and DC precursors. The distinction between DCs and macrophages is also confounded by marker lineage specificity. For example, CD11c is commonly used as a marker on DCs but

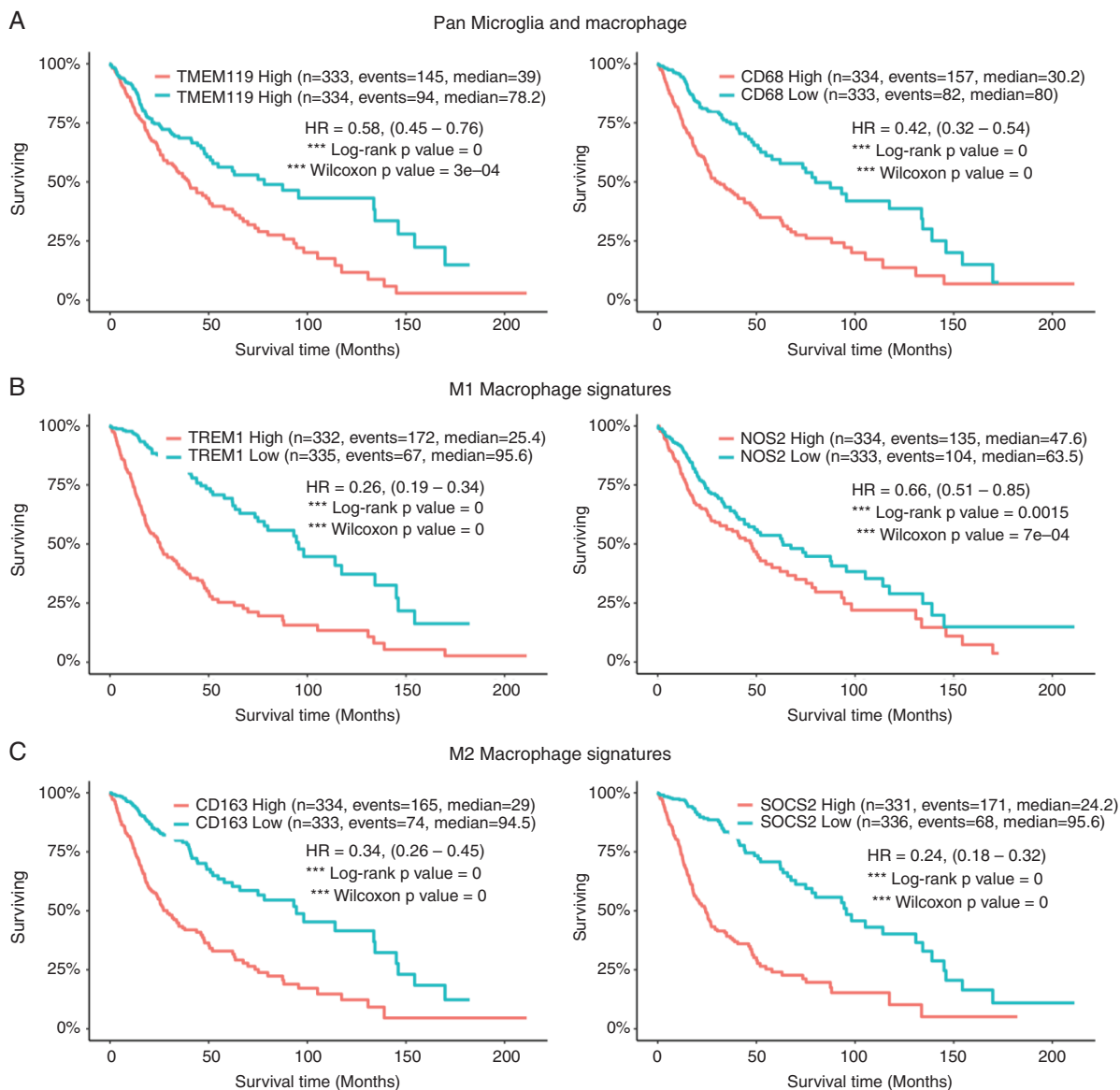


Fig. 3 Kaplan–Meier survival analysis of glioma associated macrophage gene signatures performed at Gliovis data portal. The Gliovis analysis predicts prognostic outcomes in patients with low-grade glioma and GBM based on the differential gene expression and corresponding Kaplan–Meier survival analysis for (A) pan-microglia (TMEM119-left) and pan macrophage (CD68-right) markers; (B) M1 macrophage signatures: Trem1 (left) and NOS2 (right); and (C) M2 macrophage signatures; CD163 (left) and SOCS2 (right). Kaplan–Meier estimates of survival time of patients (x-axis) is plotted against the percentage of patients surviving (y-axis) from glioma datasets of TCGA. The data for survival have been directly extracted from the Gliovis Portal (without any computation on our side), available as a freeware accessible at <http://gliovis.bioinfo.cnio.es/>. Designations for high and low expression used in the survival analysis are based on the median of the target gene expression in all samples. Similar outcome results are obtained when patients are stratified based on glioma grade.

can also be present on macrophages and microglia. In many instances, transcriptional profiling is necessary to distinguish these populations.⁹⁸ Until the glioma immunologists clarify the nomenclature for these immune populations in both mouse and man in the context of phenotypes and functions, we are likely misidentifying populations and as a result present confounding interpretation. Currently, it is not clear whether macrophages and/or microglia within the TME are conducting antigen-presentation activities in gliomas.

Macrophages and microglia can mediate direct tumor killing through secreted products such as nitric oxide, and reactive oxygen species (ROS) may have limited cytolytic activity through antibody-dependent cellular cytotoxicity and can eliminate antibody-bound cells through antibody-dependent cellular phagocytosis. In addition, myeloid cells can facilitate tumor elimination indirectly through recruitment of cytotoxic immune cells. Notably, macrophage antitumor activity is not merely a function of phagocytosis,

particularly in the absence of tumor-specific antibodies, and the implied connection to tumor cytotoxicity is not merited. Certainly, the presence of the macrophages and microglia as detected by markers such as IBA-1 (ionized calcium binding adaptor molecule 1) or CD68 does not directly imply either an immune suppressive or a proinflammatory function, which is often a misguided interpretation. Therapeutically, the macrophage population could be depleted using clodronate-filled liposomes,⁹⁹ but this is not a scalable or practical strategy for human subjects and would not be specific to their immune functional role, including the elimination of proinflammatory cells supporting antitumor immunity.

Targeting GAM Polarization and Macrophage Subsets

Theoretically, an M2 immune suppressive population could be eliminated or depleted for a therapeutic effect based on the expression of CD163. Anti-CD163 antibody-conjugated lipid nanoparticles demonstrated a therapeutic response in mice with subcutaneous melanoma.¹⁰⁰ However, there are several caveats to these data being extrapolated to glioma: (i) it is unknown if this strategy would impact the GAM population within the CNS, especially given the disassociation of CD163 expression with immune suppression function in GAMs; (ii) melanoma is enriched in T-cell responses, whereas gliomas are not; and (iii) it is unknown if this melanoma model recapitulates the degree of macrophage immune heterogeneity found in GAMs. As such, this strategy will require additional preclinical evaluation in immune competent models of gliomas before being more fully considered in human gliomas.

Although macrophages most commonly adopt a phenotype that supports tumor growth, theoretically their biology may be pliable and dependent on niche signals. However, repolarization claims of M2 to M1 need to be interpreted with caution unless there was comprehensive profiling,¹⁰¹ as these claims in the literature usually rely on a limited number of markers such as expression of MHC and costimulatory molecules. Bearing this caveat in mind, perhaps under the appropriate conditions, macrophages could be redirected to possess antitumor activity. One such therapeutic strategy that has been advanced into clinical trials is the use of inhibitors of CSF-1.¹⁰²

BBB-permeable small molecular weight CSF-1R inhibitors including BLZ945 and PLX3397 significantly increased survival time in different models of GBM by reducing GAM accumulation within the tumor, inhibiting expression of M2 alternatively activated markers, and increasing phagocytic activity.¹⁰² A phase II study investigating the use of PLX3397 in patients with recurrent GBM has been completed, showing safety but no efficacy.¹⁰³ Future studies are planned with the goal of exploring CSF-1R blockade in combination with other types of immunotherapies in hopes of improving therapeutic outcomes. Preclinical studies revealed that resistance is acquired by expression of macrophage-derived insulin-like growth factor 1 (IGF-1), and high IGF-1 receptor on tumor cells. The resulting IGF receptor activation induces the phosphatidylinositol-3 kinase pathway to enhance glioma cell survival and

invasiveness,¹⁰⁴ which may have been the case for these patients. Alternatively, the M2 macrophage phenotype may represent only a small minority of diverse macrophage functional states in the continuum as the M0 state predominates, and the result could be only a modest therapeutic response. Additionally, the role of CSF-1 inhibitors on the M0 state has thus far not been evaluated.

Other strategies that could impact polarization have included use of polyinosinic-polycytidylic acid [poly(I:C)]¹⁰⁵ and IL-12¹⁰⁶ to change the behavior of microglia from a tumor-supporting role to a tumor-suppressing function. Poly(I:C), a TLR3 agonist, has been shown to induce a strong proinflammatory response in primary human GAMs that leads to the inhibition of tumor growth and invasion.¹⁰⁵ However, poly IC has not demonstrated therapeutic effects in clinical trials. IL-12 has complex immune stimulatory functions spanning both innate and adaptive immune components, and teasing out the contribution of inhibiting M2 polarization will be a challenge. Mammalian target of rapamycin inhibitors such as rapamycin can restrict the magnitude of M2 activation and polarize glioma-activated microglia to an M1 profile, conferring cytotoxic functions,¹⁰⁷ but the contribution of the direct tumor effects versus the immune-modulatory properties is also not clear.

Macrophages downregulate miR-142-3p as they polarize from M1 toward M2, a critical step in prevention of apoptosis when acquiring M2 features. Delivery of this specific miRNA to peripheral monocyte-derived macrophages blocks TGF- β receptor 1 signaling, which triggers apoptosis in M2 macrophages as they acquire this phenotype. Systemic administration of miR142-3p demonstrated glioma growth inhibition and extended survival, with an associated decrease in glioma-infiltrating macrophages.¹⁰⁸ However, clinical implementation of miR142-3p has thus far been limited by the development of an acceptable nanoparticle formulation of it.

Stimulator of interferon genes (STING) agonists can trigger a flood of T-cell infiltration into otherwise immunologically “cold” tumors through proinflammatory activation of suppressive tumor stroma. STING is a widely expressed sensor of cellular stress, specifically the presence of DNA in the cytoplasm that bridges the innate and adaptive immune systems, both by triggering interferon release and by *cis*-activation of myeloid cells. Distinct from most other innate immune agonists, STING activation can reeducate tumor-supportive M2 macrophages toward a proinflammatory M1 phenotype and can reverse the suppressive phenotype of myeloid-derived suppressor cells (MDSCs).¹⁰⁹ STING is activated by cyclic dinucleotides, either originating directly from invading bacteria or generated by the protein cGAS (cyclic GMP-AMP synthase) upon binding to cytoplasmic DNA—a hallmark of viral infection. Furthermore, mouse gliomas grow faster in STING-knockout mice, demonstrating the critical role of this pathway in limiting tumor progression.¹¹⁰ Early clinical trials of viral therapy have achieved sporadic clinical responses in GBM patients, but these therapeutics are complex to manufacture, challenging to administer, and often limited to a single, direct intratumoral (ie, surgical) treatment. STING agonists activate many of the same innate pathways as oncolytic viruses but in a vastly more potent and focused fashion, free from the complexities

and high costs of viral therapy. STING agonists are particularly compelling in diseases such as GBM, which have few infiltrating T cells and a preponderance of macrophages, because they (i) can simulate a foreign body reaction, thus providing a “target”; (ii) induce IFN, thereby providing potent T-cell effector action; (iii) induce chemokine production and thus T-cell trafficking to the tumor; and (iv) are scalable, inexpensive, and easy to generate as a Good Manufacturing Practices product. We have demonstrated a remarkable capacity for intratumorally injected STING agonists to eliminate not only the treated tumor but also distant, untreated sites of disease.¹¹¹ Multiple STING agonists are being tested in canines with spontaneously arising gliomas at Texas A&M University in an effort to refine the logistics of delivery directly to the glioma TME as a prelude to trials in human subjects.

Enhancing Macrophage Function

Glioma cells can upregulate an antiphagocytic surface protein, CD47, which binds to its cognate receptor SIRP α (signal regulatory protein alpha) on phagocytic cells, thereby inhibiting their phagocytic activity.¹¹² Blockade of the CD47- SIRP α myeloid checkpoint has been shown to effectively enhance tumor phagocytosis and hence reduce tumor burden.^{113,114} CD47 blockade can also elicit a therapeutic effect in preclinical models of GBM¹¹⁵ via macrophage-triggered cytotoxicity and phagocytosis.¹¹⁶ Cytosine-phosphate-guanine oligodeoxynucleotide, a TLR9 agonist, can also potentiate clearance of cancer cells and overcome the tumor-expressed CD47-mediated “don’t-eat-me” signal through metabolic rewiring.¹¹⁷ Chimeric antigen receptor (CAR) strategies could also be used to trigger phagocytosis.¹¹⁸ More specifically, macrophages can be engineered with a CAR construct that incorporates the cytosolic domains from Megf10 and FcR γ , which are independent of extracellular signaling, to robustly engulf cancer cells based on a specific antigen. However, a key limitation for any type of CAR strategy in gliomas has been the identification of ubiquitously and homogeneously expressed antigens. Phagocytosis is more robust in M1-polarized macrophages relative to M2 macrophages,¹¹⁹ but we have shown that phagocytic function is profoundly impaired upon exposure to GSC supernatants and GBM-elaborated MIC-1.⁸⁷ As such, therapeutic strategies that rely on phagocytosis may be problematic in subsets of glioma patients. More specifically, GBM patients who have tumor Quaking (Qki) deficiency, which is present in over 60% of GBM,¹²⁰ may demonstrate therapeutic resistance to such strategies because Qki loss impairs migration of monocytes and differentiation into macrophages,¹²¹ and it probably also impedes phagocytic functions. Moving forward, clinical trials of modulators that rely on phagocytosis function may need to consider stratification based on Qki expression.

Metabolic and Transcription Reprogramming of Macrophages

The STAT3 pathway is a potent regulator of glioma tumorigenesis¹²² and tumor-mediated immune suppression.^{87,123–125} A wide variety of growth factors and

cytokines, including IL-6 produced by reactive astrocytes, can activate the STAT3 pathway. Upon activation, STAT3 translocates into the nucleus and induces the expression of a variety of target genes. STAT3 expression in the glioma cell propagates tumorigenesis by preventing apoptosis and enhancing proliferation, angiogenesis, and invasion,¹²⁶ along with maintaining GBM stem cells⁸⁵ and driving glioma-mediated immune suppression.¹²⁴ STAT3 upregulation is linked with the mesenchymal GBM subtype,¹²⁷ which is preferentially enriched with macrophages and microglia.⁶⁷ STAT3 is also a key hub of tumor-mediated immune suppression. As there are multiple redundant mechanisms of glioma-mediated immune suppression, key molecular hubs such as STAT3, which control tumor-mediated immune suppression in a comprehensive manner, are particularly appealing targets.

The STAT3 pathway becomes activated in diverse immune cells when they encounter either the glioma microenvironment or glioma-secreted products, resulting in profound global immune suppression.^{128–130} Specifically, STAT3 expression in macrophages has been shown to limit their activation,^{131–133} and we have shown that STAT3 inhibitors can reverse this state and restore proinflammatory potential.¹²³ CD163-expressing macrophages line the GBM tumor vasculature, and as the T cells gain entry to the tumor from the peripheral circulation, they encounter these immune suppressive macrophages and make direct contact. These macrophages induce STAT3 activation in the infiltrating T cells, triggering a cascade of suppressive signaling which compromises their antitumor potential (Fig. 4).

Ablating STAT3 in *only* the hematopoietic cells in tumor-bearing mice results in marked enhancement of activated and functional T cells, natural killer (NK) cells, and DCs, and this in turn yields marked antitumor effects *in vivo*, indicating that STAT3 expression in immune cells restrains antitumor immune eradication.¹²⁸ More recently, STAT3 has been shown to play a key role in modulating members of the immune checkpoint family. First, STAT3 has a well-established role in upregulating PD-1 ligand (PD-L1) expression and in inducing tolerogenic innate antigen-presenting cells.¹³⁴ Furthermore, M2 polarization and B7-H3 have been shown to be induced by STAT3.¹³⁵ Finally, B7-H4, which is associated with a poor prognosis in GBM and is expressed on both GBM stem cells and macrophages/microglia, is transcriptionally regulated by STAT3.¹³⁶ STAT3 expression is also associated with M2 skewing.^{137,138} Specifically, STAT3 is a key transcriptional programmer that drives tumor-supportive macrophages.¹³⁹ STAT3 inhibition activates antitumor M1-like GAMs, resulting in glioma growth inhibition.⁸⁷ In addition to the regulatory role for immune checkpoint inhibitors on innate immune cells described above, we found that STAT3-activated macrophages become directly tumor supportive to gliomas. Specifically, we have shown that GBM-resident macrophages and microglia become polarized to the M0 and M2 phenotypes, which inhibits their phagocytosis and induces them to secrete IL-10 and TGF- β 1. In autologous T-cell assays, glioma-conditioned macrophages directly inhibited T-cell proliferation; this macrophage dysfunction can be reversed by inhibiting phosphorylated (p-) STAT3.⁸⁷

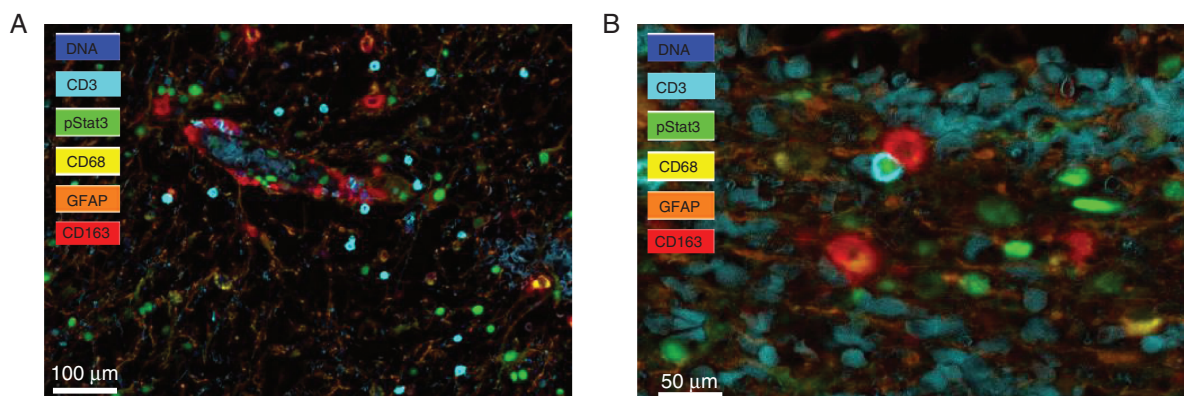


Fig. 4 (A) Multiplex immunohistochemical analysis of a GBM showing p-STAT3 expression (green) in CD3 T cells (blue) as they exit from the tumor vasculature that is lined with CD163 M2 macrophages (red). Glial fibrillary acidic protein (GFAP)+ glioma cells are shown in orange, and the nuclei are counterstained with 4',6'-diamidino-2-phenylindole in blue (100 μ m scale bar). (B) M2 macrophage (denoted in red) is directly interacting with a CD3 T cell (blue) that has p-STAT3 in its nucleus within the GBM microenvironment (50 μ m scale bar).

STAT3 pharmacological inhibitors such as WP1066 are capable of reversing the immune-tolerant microenvironment by activating microglia cells through production of lymphocyte-stimulating cytokines and upregulation of costimulatory molecules.^{87,123} Currently, there is a registered phase I trial investigating use of WP1066 against recurrent glioblastoma (NCT01904123). An alternative agent for targeting the STAT3 pathway is miR-124, which has been shown to be capable of regulating macrophage polarization.¹⁴⁰ We have shown that this agent has robust preclinical efficacy in multiple models of glioma. STAT3 inhibition in combination with radiation therapy in preclinical models of other malignancies, but not as a single agent, improved tumor growth delay, decreased levels of regulatory T cells and M2 macrophages, and enhanced levels of effector T cells and M1 macrophages. Similar experiments conducted in nude mice negated this benefit of STAT3 inhibition and radiation therapy.¹⁴¹ We have found that the combination of WP1066 in combination with radiation reprograms the immune responses in the glioma microenvironment specifically affecting antigen presentation and T-cell effector functions (Ott, Neuro-Oncology, NOD19-00518).

Another potential metabolic pathway that could be exploited for targeting GAMs involves arginase 1 (Arg1), the final enzyme of the urea cycle that converts L-arginine to urea and L-ornithine.¹⁴² During infection, macrophages initiate ROS production to clear pathogens and dead cells through upregulation of inducible nitric oxide synthase (iNOS) and NO production. After 3 to 5 days, Arg1 is upregulated by poorly understood mechanisms. This upregulation of arginase has three effects: (i) increasing L-ornithine, which is shunted into polyamine and collagen synthesis pathways and may support a growth-conducive microenvironment for tumors; (ii) overcompetition with iNOS for the shared substrate of arginine, which yields uncoupling of iNOS, producing superoxide molecules and elaboration of peroxynitrite, which is immunosuppressive to both myeloid cells and T cells; and (iii) reduction of extracellular arginine concentration below the minimum

necessary to support effector T-cell persistence.¹⁴³ An extensive library of compounds targeting Arg1 exists, including natural or derived α -amino acids, as well as boronohexanoic acid and its derivatives.¹⁴⁴ Therapeutic implementation limitations for systemic administration to patients include off-target inhibition of Arg1 in the liver, which leads to hyperammonemia in patients, although such side effects can be ameliorated with compounds such as sodium benzoate and inhibition of Arg2—a structurally very similar enzyme with different tissue distribution, cellular localization, and function. Compounds have been synthesized with Arg1 selectivity and are being evaluated in other cancer types in a phase I clinical trial (NCT02903914).

There is metabolic polarization associated with skewed M1 versus M2 macrophages that provides several potential therapeutic opportunities. M1 macrophages predominantly use aerobic glycolysis upon activation, which is associated with increased glucose uptake and the conversion of pyruvate to lactate. At the same time, the activities of the respiratory chain are attenuated, allowing for ROS production^{145,146} needed for cytotoxic activity. In contrast, M2 macrophages obtain their energy from fatty acid oxidation and oxidative metabolism, and blocking oxidative metabolism. Blockade of oxidative metabolism may not only block polarization to the M2 phenotype, but also drive the macrophage back into an M1 state. Similarly, forcing oxidative metabolism in an M1 macrophage could potentiate the M2 phenotype.^{147,148} There are 2 oxidative phosphorylation inhibitors currently being evaluated in human subjects, but they also possess direct antitumor effects, meaning that teasing out the mechanism of activity will be a challenge.

Immune Checkpoint Regulation of GAMs

The use of immune checkpoint inhibitors is an area of ongoing therapeutic modulation for macrophages. PD-L1 expression has been shown to be associated with M2 macrophages.¹⁴⁹ We have shown that GBM cancer stem

cells induce M2 macrophages and PD-L1 expression in human monocytes through transfer of exosomes.¹⁵⁰ However, as we have previously indicated, most of the macrophages/microglia characterized immediately ex vivo from GBMs are more aligned with an M0 phenotype. To clarify whether there are specific therapeutic opportunities from the available therapeutic compendium for targeting the M0- and/or M2-polarized macrophages, we obtained peripheral blood mononuclear cells from normal donors ($n = 7$), isolated their CD14+ monocytes, and then performed a standard skewing procedure.⁴⁹ Notably, there are no M0- or M2-exclusive targets that do not also target M1 skewed macrophages. Some, such as PD-L1, are in fact preferentially enriched in the M1 macrophage (Fig. 5). Notably, many of the immune checkpoint ligands such as PD-L1, PD-L2, B7-H3, and B7-H4 are heterogeneously expressed on CD11b+ macrophages isolated directly ex vivo from glioma patients and in some instances not at all expressed.

Both mouse and human tumor-associated macrophages (TAMs) have been shown to express PD-1. The expression of PD-1 on the TAMs increases over time in murine models of malignancy and with disease stage in human cancers. The PD-1 expression on the TAMs correlates negatively with phagocytic activity against tumor cells, and blockade of PD-1/PD-L1 in vivo reduces tumor growth and increases survival in mouse models of cancer. These data indicate that PD-1/PD-L1 therapies may function through a direct effect on macrophages.¹⁵¹ Anti-PD-1 therapy has also been suggested to impact the polarization of M2 to M1 macrophages.¹⁵² The use of currently available immune checkpoint ligand therapeutics on modulation of macrophages/microglia is an underdeveloped area of opportunity.

GAMs as an Unappreciated Confounder of Response in Glioma Immunotherapy Clinical Trials

Although a phase III clinical study employing immune checkpoint inhibitors failed to demonstrate an

improvement in the median survival time of GBM patients, certain groups of patients may respond secondary to the unique genetic features of their malignancy such as POLE (polymerase epsilon) mutations or in a neoadjuvant setting.^{18,153} In addition to the immune checkpoint inhibitors not being able to reverse immune exhaustion in GBM patients,¹⁷ and the relative paucity of these cells in the TME owing to their sequestration in the bone marrow,¹⁶ we have also shown in a clinical trial of anti-PD-1 in GBM patients with mass cytometry time-of-flight analysis that 72.6% of the leukocytes in the TME were macrophages.¹⁵⁴ Although MHC is expressed on most of the CD68+ macrophages that would indicate they are capable of antigen presentation, many subpopulations expressed multiple markers of immune suppression such as VISTA (V-domain immunoglobulin suppressor of T cell activation) and B7-H3. These macrophages line the walls of the vasculature of the GBM, where they probably serve an immunological role in suppressing antitumor immune effector activity as the T cell migrates into the TME from the systemic circulation. These data would indicate that immune suppressive macrophages likely are a key confounder for attenuating the T-cell response, and it seems unlikely that activated effector T cells could really persist in this immune environment. Although the aforementioned clinical trial was for an anti-PD-1 therapeutic strategy, GAMs will likely serve as a hindrance for other immune therapeutic strategies and immune checkpoint inhibitors that rely on the T cell to exert an antitumor effector response. Patients who have low levels of GAM infiltration may be more responsive to immunotherapy, but a companion biomarker would need to be developed that incorporates features of immune function.

The profound influence of the GAM in the TME also provides compelling rationale for the therapeutic modulation of the macrophage population for optimizing T-cell effector responses. To date, most clinical trials of immune checkpoint inhibitors are focused on analysis of T-cell responses; however, other immune cells such as NK and macrophages could mediate antitumor immune responses and probably

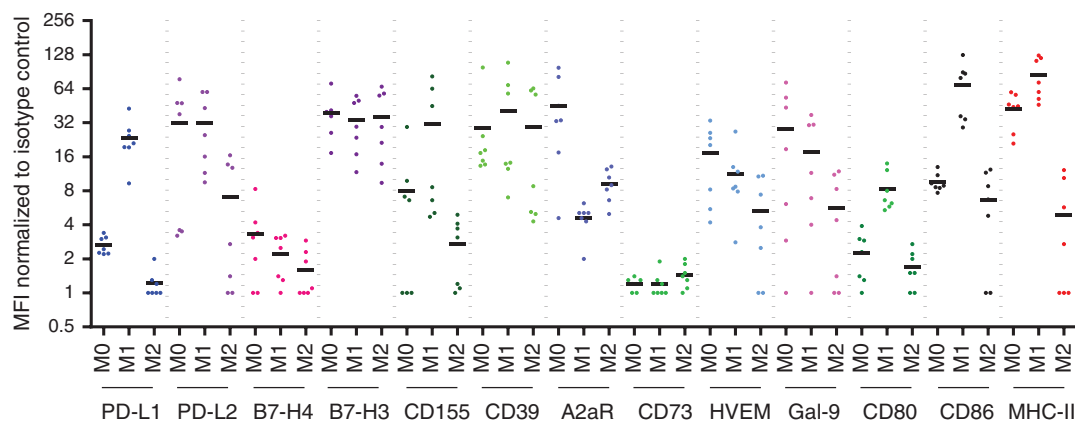


Fig. 5 Summarized flow cytometry data of human monocytes that are polarized to the M0, M1, or M2 ($n = 7$) states and then profiled for expression of immune markers for which therapeutic modalities are available. The mean fluorescence intensity (MFI) of each designated immune marker was normalized to the associated isotype control. The mean is denoted by bold horizontal bars.

need to be further considered. Future studies are being directed at determining if the presence of macrophages, including their distinct immune phenotypes, would render gliomas more sensitive or resistant to treatment with immune checkpoint inhibitors. It is possible that anti-PD-1 therapy may be triggering a proinflammatory macrophage phenotype in TME and therefore may be contributing to the therapeutic effect in GBM patients.¹⁵⁴ Clarification will require comprehensive immune profiling to ascertain their specific role in this context.

Microglia-Directed Therapeutics

In contrast to targeting the macrophage population that originates from the peripherally derived monocyte, targeting the microglia population needs to be considered by manipulating the BBB with permeable druggable agents. Therapeutics directed specifically at microglia are not as clinically advanced as those for macrophages. Minocycline, a semisynthetic broad-spectrum tetracycline antibiotic has the capacity to counteract microglial activation and can reduce tumor growth by inhibiting microglial membrane type 1–matrix metalloprotease (MT1-MMP) expression.¹⁵⁵ Propentofylline, an atypical synthetic methylxanthine, is capable of targeting microglial cells via inhibition of TNFRSF19 (tumor necrosis factor receptor superfamily member 19).¹⁵⁶ Amphoterin B (a polyene antifungal drug) stimulates glioma-associated microglia through TLR signaling, and daily treatment of glioma-bearing mice with this drug substantially prolongs their survival.^{14,15,86} At this junction, it is unclear relative to the macrophage population how impactful specific immune modulation of microglia would be relative to the macrophage population.

Perspectives

Despite the abundance of preclinical trials conducted to identify novel, effective therapies for gliomas inclusive of GBM, translation into actual clinical benefit has been rare. In vitro and in vivo investigations have contributed substantially to our understanding of GAM biology, but it is still unclear whether GAM-directed therapies would yield desirable therapeutic effects in glioma patients in the purview of limited understanding of diverse fluidic and functional states linked to bona fide brain-resident and infiltrating macrophages. Hence, dissecting the conserved and differential functions of remarkably heterogeneous resident microglial and peripherally recruited macrophage subpopulations in the context of compartmentalized anatomic niches of the normal and malignant brain would be critical before embarking onto tailored macrophage-centric therapeutics development. In addition, a deeper insight to uncover spatiotemporal dynamics that imprint pro- and antitumoral macrophage phenotypes is required to enable rationale design for GAM-directed therapies and their potential for incorporation into current treatment regimens. Furthermore, deep immunophenotyping of immune cell infiltrates from molecularly stratified patients to define the phenotypic, spatial, and functional contexts of pro- and antitumoral resident and recruited cell populations in the glioma microenvironment

and circulation would facilitate our understanding of the co-existence of molecular and immune correlates as indicators of prognosis and as informed proxies for therapeutically aligned clinical trials. Concomitantly, glioma animal models need to be improvised and validated to mimic human glioma immunopathology for head-to-head comparison and alignment of tumor immune repertoire in gliomas for an immediate translation from in vivo findings to investigative clinical trial studies. Ultimately, efforts to reinvigorate compromised adaptive effector responses will need to be used in combination with strategies that modulate innate immunity to control brain tumor growth but will require extensive further development of the next generation of immune therapeutics.

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