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## HDAC10 Regulates Cancer Stem-like Cell Properties in KRAS-driven Lung Adenocarcinoma

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### Abstract

Activation of oncogenic KRAS is the most common driving event in lung adenocarcinoma development. Despite the existing rationale for targeting activated KRAS and its downstream effectors, the failure of clinical trials to date indicates that the mechanism of KRAS-driven malignancy remains poorly understood. Here we report that histone deacetylase 10 (HDAC10) might function as a putative tumor suppressor in mice carrying a spontaneously activated oncogenic *Kras* allele. *Hdac10* deletion accelerated KRAS-driven early-onset lung adenocarcinomas, increased macrophage infiltration in the tumor microenvironment, and shortened survival time in mice. Highly tumorigenic and stem-like lung adenocarcinoma (LUAD) cells were increased in *Hdac10*-deleted tumors compared to *Hdac10* wild-type tumors. HDAC10 regulated the stem-like properties of KRAS-expressing tumor cells by targeting SOX9. Expression of SOX9 was significantly increased in *Hdac10*-deleted tumor cells and depletion of SOX9 in *Hdac10* knockout (KO) LUAD cells inhibited growth of tumor spheres. The genes associated with TGF- $\beta$  pathway were enriched in *Hdac10* KO tumor cells, and activation of TGF- $\beta$  signaling contributed to SOX9 induction in *Hdac10* KO LUAD cells. Overall, our study evaluates the functions and mechanisms of action of HDAC10 in lung carcinogenesis which will inform the rationale for targeting its related regulatory signaling as an anticancer strategy.

### Introduction

Lung cancer is the leading cause of cancer-related deaths worldwide(1). Approximately 40% of human lung cancers are adenocarcinomas, of which more than half have a known

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oncogenic driver mutation. Oncogenic KRAS activation is the most common driving event in lung adenocarcinoma. Up to 30% of patients with lung adenocarcinoma have a KRAS mutation(2). Although many major downstream signaling pathways and co-effectors of KRAS have been intensely studied over the last 30 years, these discoveries have not yet been translated into an effective targeted therapy(3).

Histone deacetylases (HDACs) reversibly modulates chromatin structure and gene expression by removing acetyl groups from histone and non-histone proteins. Dysregulated HDAC expression and/or function often contribute to tumorigenesis by disrupting acetylation homeostasis in cells(4). Several preclinical studies have indicated that the combined inhibition of HDACs with Ras signaling, such as MAPK and PI3K, could produce synergistic effects in *KRAS*-mutant cancer cells(5). HDAC inhibitors (HDACi) can also restore tumor cell sensitivity to EGFR-tyrosine kinase inhibitors (TKIs) treatment, and induce cell death in *KRAS*-mutant non-small cell lung cancer (NSCLC) cells(6, 7). While broad-spectrum HDACi have been pre-clinically or clinically used for cancer treatment, the precise function of individual HDACs as a central mediator of proliferation and tumorigenic capacity remains a conundrum(4). Although HDAC knockdown (KD) or inhibition in a variety of cancer cells induces cell cycle arrest and apoptosis, in some cancers, genetic inactivation of HDACs in vivo might have tumorigenic effects(8, 9). For example, a frameshift mutation of *HDAC2* is present in many human cancer cells and the loss of HDAC2 expression confers resistance to HDACi treatment(8). Also, SIRT6 was found to be a tumor suppressor that regulates aerobic glycolysis and ribosome metabolism in cancer cells. *Sirt6* deficiency induced transformation of immortalized MEFs and promoted the tumorigenesis of colorectal cancer in ApcMin mice(9). Thus, there is an urgent need to systematically dissect the role of individual HDACs in different cancer types at different stages of tumorigenesis.

In humans, there are 18 HDACs grouped into four classes. HDAC10 is a class IIb HDAC that possesses one catalytic domain and one additional leucine-rich incomplete catalytic domain. HDAC10 deacetylates histones in vitro and represses transcription when tethered to a target promoter(10, 11). HDAC10 transcriptionally represses the expression of DUB3 deubiquitinating enzyme by forming a protein complex with nuclear receptor co-repressor 2 (NCOR2) and aberrant expression of DUB3 confers BET inhibitor resistance in cancer cells by increasing BRD4 expression(12). In addition to histone and non-histone deacetylase activities, a recent study demonstrated that HDAC10 also functions as a polyamine deacetylase (PDAC), which can remove an acetyl group from cationic polyamines(13). Defining the enzymatic activity and substrate specificity of HDAC10 will help to better understand the role of HDAC10 in human cancers.

HDAC10 has been reported to be the strongest predictor of poor prognosis for lung cancer patients. Low expression of HDAC10 is associated with short survival time of patients with non-small cell lung cancer (NSCLC)(14), indicating a potential role of HDAC10 in lung cancer development. In our previous study, we showed that the depletion of HDAC10 widely suppresses cell proliferation in a panel of human lung cancer cell lines by inhibiting mitotic entry, which is related with the loss of cyclin A2(15). In another study, it was revealed that HDAC10 knockdown can induce cell cycle arrest and apoptosis in lung cancer cells through

regulating the phosphorylation of AKT(16). To further determine the role of HDAC10 in tumorigenesis *in vivo* and further support the relevance of HDAC10 in human lung cancer development, we used *Hdac10* genetic knockout (KO) C57BL/6 mice to study the effect of HDAC10 on KRAS-driven lung cancer formation. We found that HDAC10 plays different roles in a cell context dependent manner. In established tumor cells, HDAC10 is critical for unchecked cell growth, so transient depletion or inhibition of HDAC10 suppresses tumor cell growth(15). In contrast, the deletion of *Hdac10* in mice accelerated oncogenic KRAS-driven lung tumorigenesis. HDAC10 might function as a tumor suppressor by regulating cancer stem-like cells (CSCs). Lung adenocarcinoma (LUAD) cells isolated from *Hdac10* KO tumor tissues exhibited highly tumorigenic and stem-like properties. Moreover, activation of TGF $\beta$  signaling and upregulation of SOX9 in *Hdac10* KO LUAD cells were required for HDAC10-mediated CSCs regulation.

## Materials and Methods

### Mouse strains

*Hdac10* KO mice, obtained from Taconic Biosciences (Model TF1813), were generated from ES cells using the Cre-loxP method. The *Kras*<sup>LA1</sup> mouse, which carries latent, oncogenic alleles of *Kras*, has been reported previously(17). This mouse model recapitulates spontaneous KRAS oncogenic activation *in vivo* and causes early onset multifocal lung adenocarcinoma in the mouse. To characterize the role of HDAC10 in KRAS-driven lung tumorigenesis, the *Hdac10* KO mouse was bred with the *Kras*<sup>LA</sup> mouse to obtain littermate *Hdac10* KO homozygous, heterozygous and *Hdac10* WT mice, all of which carry *Kras*<sup>LA1</sup> alleles. The mice were sacrificed at different ages, and the effect of *Hdac10* deletion on tumor cell growth was detected by examination of the number of visible nodules and histopathological analysis. All animal studies have been approved by the George Washington University Institutional Animal Care and Use Committee.

### LUAD isolation and tumor sphere assay

Primary mouse lung adenocarcinoma (LUAD) cells were isolated as previously described(18). Briefly, *Hdac10*<sup>-/-</sup>, *Kras*<sup>LA1</sup> and *Hdac10*<sup>+/+</sup>, *Kras*<sup>LA1</sup> mice bearing lung tumors were euthanized at 20–30 weeks. Dissected lung tumors from each mouse were pooled and dissociated in Collagenase (0.5  $\mu$ g/mL) and DNase solution (0.2  $\mu$ g/mL) for 50 min at 37°C. The dissociated cells were filtered through a 70  $\mu$ m strainer and grown in Dulbecco's modified Eagle medium (DMEM) with 10% fetal bovine serum (FBS) and 1% penicillin-streptomycin at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. The fibroblasts were removed by different sensitivity with trypsin digestion and the LUAD cells were authenticated by detecting the lung epithelial cell marker EpCAM, lineage marker SFTPC (surfactant associated protein C), and SCGB1A1 (secretoglobulin family 1A member 1). Moreover, the expression of the mutant *Kras* allele in LUAD cells were validated by RT-PCR as previously described(17). Additional details on LUAD and the tumor sphere assay can be found in Supplementary Materials and Methods.

### Cell lines, plasmids, antibodies and xenograft mouse models

HEK 293T and NSCLC cell lines H157, A549, H441, H23, H358, H1299, PC9, H1975, H322, H596, H522, H292 and H661 were purchased from ATCC and came with comprehensive authentication and quality controls. All cell lines were confirmed regularly to be free of mycoplasma contaminations. Cells were grown in Dulbecco's modified Eagle medium (DMEM) with 10% fetal bovine serum (FBS) and 1% penicillin-streptomycin at 37°C in a humidified atmosphere of 5% CO<sub>2</sub>. Lentivirus-transduced cells were selected for puromycin or neomycin resistance, and the stably expressing cells were used at passages P5 to P10. Details of plasmid constructions, sources of antibodies and xenograft mouse models are provided in Supplementary Materials and Methods.

### Immunoblotting, immunostaining and flow cytometry analyses

Western blotting, immunostaining, and flow cytometry analyses are described in Supplementary Materials and Methods.

### RNA sequencing and Pathway Analysis

Comparative RNA sequencing was performed by PrimBio Research Institute to detect HDAC10 downstream pathways. Pathway analysis was performed using Ingenuity Pathway Analysis (IPA) and gene set enrichment analysis (GSEA)(19, 20). See Supplementary Materials and Methods for details.

### Real-time RT-PCR

Real-time RT-PCR was performed as previously described(15). See Supplementary Methods for details of gene expression analysis. PCR primer sequences are shown in Table S1.

### Statistics

Statistical analyses were carried out using R and GraphPad Prism 8. The normality of continuous variables was analyzed by Normal probability plots and Shapiro-Wilk test. Normally distributed data were compared by un-pooled two sample t-test; non-normally distributed data were analyzed by nonparametric Wilcoxon rank-sum test. Ordinary one-way ANOVA followed by Tukey test was used for multiple comparisons. Survival data were analyzed by non-parametric methods. Survival curves were plotted by Kaplan–Meier method and log-rank test was applied to compare survival curves between groups.  $p < 0.05$  was considered statistically significant.

## Results

### Deletion of *Hdac10* promotes KRAS-driven lung tumorigenesis

Our previous study has revealed the role of HDAC10 in cell cycle regulation by using human non-small cell lung cancer (NSCLC) cell lines (15); however, the effect of HDAC10 on primary lung cancer initiation and progression is not yet known. In this study, we investigate whether germline deletion of *Hdac10* can regulate cancer formation in KRAS-driven lung cancer mouse model. The *Hdac10* KO mouse, which has a whole body in-frame deletion of the catalytic domain of HDAC10, was generated by the Cre-loxP method (Supplementary

Fig. S1A). The deletion of *Hdac10* exons 4–8 in the mouse genome was validated by tail-biopsy genotyping and real-time RT-PCR (Supplementary Figs. S1B and S1C). Loss of expression of the HDAC10 full length protein in different mouse tissues was also confirmed by Western blot (Supplementary Fig. S1D). Although the catalytic activity of HDAC10 is abolished, *Hdac10* KO mice are viable and fertile without any developmental defects. *Hdac10* KO mice have similar body weight to wild-type (WT) mice (Supplementary Fig. S1E). The deletion of *Hdac10* alone in mice did not cause known spontaneous carcinogenesis.

To characterize the role of HDAC10 in KRAS-induced lung cancer development, we employed the *Kras*<sup>LA1</sup> mouse model, in which the latent G12D mutant *Kras* gene can become spontaneously activated by sporadic recombination and causes 100% of animals to develop multifocal lung adenocarcinoma (17). *Hdac10* KO mice were crossed with *Kras*<sup>LA1</sup> mice to generate littermate *Hdac10* KO homozygous (*Hdac10*<sup>-/-</sup>, *Kras*<sup>LA1</sup>), heterozygous (*Hdac10*<sup>+/-</sup>, *Kras*<sup>LA1</sup>) and WT (*Hdac10*<sup>+/+</sup>, *Kras*<sup>LA1</sup>) mice. The effect of *Hdac10* deletion on lung cancer development was determined by measuring the number and size of visible lesions together with histological analysis. The results indicated that deletion of *Hdac10* can cooperate with the *Kras* mutation to accelerate tumor development in the lung (Figs. 1A–C). Compared with *Hdac10* WT littermates, both *Hdac10* KO homozygous and heterozygous mice displayed a significant increase in the total number of tumor nodules and tumor burden (Figs. 1A and 1C). The loss of HDAC10 predominantly caused an increase in the number of small nodules (<1 mm) instead of large nodules (>1 mm) at 8 weeks of age (Fig. 1B).

The malignant progression of lung tumors was graded by histopathological analysis according to the recommendation of the mouse models of human cancers consortium (21). In the mouse pulmonary pathologic criteria, hyperplasia, which is not included in the human classifications, is the initial stages of carcinogenesis and will further progress to adenoma. The proliferative lesions of the lung will develop, ranging from hyperplasia, to adenoma to adenocarcinomas (21). Representative hematoxylin and eosin staining of the lung tumors with hyperplasia, adenoma, and adenocarcinoma are indicated in Supplementary Fig. S2A. *Hdac10* KO mice had a higher incidence of adenoma at 6 weeks and exhibited increased conversion of adenoma to adenocarcinoma after 8 weeks, suggesting that the deletion of *Hdac10* promotes tumor initiation and progression through a series of morphological stages from mild hyperplasia and adenoma to overt lung adenocarcinoma (Figs. 1D–F). Furthermore, this pro-tumor effect led to a significant reduction in survival in *Hdac10* KO mice. The median survival time for *Hdac10* KO mice was 180 days, while the median survival time for *Hdac10* heterozygous and WT mice are 285.5 and 357.5 days (Fig. 1G). In addition, the decrease in survival time occurs in both male and female *Hdac10* KO mice (Supplementary Fig. S2B). Together, these data support that oncogenic driver mutations and the deletion of *Hdac10* synergistically promote tumor growth and contribute to the poor prognosis of lung adenocarcinoma.

Tumor growth can be reflected by the ability of cells to proliferate. To further investigate the effect of *Hdac10* deletion in KRAS-induced tumor growth, the cell proliferation in tumors was examined by immunohistochemistry with anti-Ki-67 antibody and EdU incorporation assay. As shown in Fig. 1H, immunohistochemical analysis of Ki-67 indicate that the

number of Ki-67 positive cells in tumor lesions was markedly increased in *Hdac10* KO mice compared with WT control mice. Furthermore, the deletion of *Hdac10* also increased the percentage of EdU-labeled cells (Fig. 1I). Consistent with the promotion of KRAS-driven tumor growth by *Hdac10* deletion, tumors in *Hdac10* KO mice exhibit increased cell proliferation compared with *Hdac10* WT tumors. Cell apoptosis in tumor tissues was detected using TUNEL assay based on the detection of DNA strand breaks. There were no significant differences in apoptosis between *Hdac10* WT and KO tumors (Supplementary Fig. S2C).

### The absence of HDAC10 induces massive recruitment of macrophages

To understand the molecular consequences of *Hdac10* deletion in vivo, tumors were micro-dissected, and *Hdac10* WT and KO *Kras<sup>LA1</sup>* mice (*Hdac10<sup>+/+</sup>*, *Kras<sup>LA1</sup>* and *Hdac10<sup>-/-</sup>*, *Kras<sup>LA1</sup>*) and a comparative gene expression analysis was performed by RNA sequencing, followed by Ingenuity Pathway Analysis (IPA). As shown in Fig. 2A, differentially expressed genes were categorized by function, and a significant increase in agranulocyte and granulocyte adhesion and diapedesis indicate that *Hdac10* deletion might modulate lung inflammation along with carcinogenesis.

The lung inflammation was investigated by standard histopathological analysis on H&E stained sections. The loss of HDAC10 in *Kras<sup>LA1</sup>* mice led to dramatically increased inflammatory response by enhancing macrophage recruitment into alveoli and within tumor foci (Fig. 2B). The high density of macrophage (CD45<sup>+</sup> F4/80<sup>+</sup> CD11b<sup>+</sup>) infiltration in tumors was validated by flow cytometry analysis (Fig. 2C). The immunohistochemical analysis of murine macrophage marker F4/80 indicated a significant increase in macrophage recruitment in *Hdac10* KO tumors, predominantly in the area adjacent to and within tumors (Fig. 2D). This massive macrophage recruitment occurred with high incidence in the lung of *Hdac10* KO mice at different ages (Supplementary Table. S2). Since *Kras<sup>G12D</sup>* activation has been reported to cause accumulation of alveolar macrophages in lung tumors(22), *Hdac10* deletion can accelerate both KRAS-driven early onset lung adenocarcinoma and macrophage infiltration in the tumor microenvironment. The high density of macrophage infiltration in the lungs of *Hdac10* KO mice can cause changes in size, color and structural rigidity of lung parenchymal tissue, resulting in lobar consolidation and decreased elastic recoil. Moreover, we found MAC-2 (Galectin 3) positive tumor-associated macrophages (TAMs) were highly infiltrated into the tumor microenvironment in *Hdac10*-deleted tumors (Supplementary Fig. S2D). MAC-2 is up-regulated in M2-type macrophages and is considered as a marker of M2 skewing (23, 24). M2 macrophages have been reported to exert a tumor-promoting activity by secreting a series of anti-inflammatory molecules (25). *Hdac10* deletion not only accelerates KRAS-driven lung tumorigenesis, but also increases tumor-associated inflammation in the tumor microenvironment.

### HDAC10 modulates stem-like properties in cancer cells

Given that the deletion of HDAC10 can enhance macrophage recruitment into the tumor microenvironment, we questioned whether HDAC10 could regulate tumor intrinsic malignancy in addition to the extrinsic regulation of the tumor microenvironment. The Ingenuity Pathway Analysis (IPA) of RNA sequencing data indicated that genes associated



with embryonic stem cell pluripotency was increased in both male and female *Hdac10* KO mice compared to WT mice (Fig. 2A). To determine whether HDAC10 can regulate the pluripotency of tumor cells, primary lung adenocarcinoma (LUAD) cells were isolated from *Hdac10* WT and KO tumor tissues, and RT-PCR was performed to validate the activation of oncogenic KRAS in the primary cultured lung cancer cells. The expression of the mutant *Kras* allele can be detected in both tumor tissues and LUAD cells, but not in normal adjacent lung tissues (Supplementary Fig. S3A). The LUAD cells expressed alveolar type II cell lineage marker SFTPC (surfactant associated protein C), but not Clara cell marker SCGB1A1 (secretoglobin family 1A member 1), indicating that type II cells are the origin of LUAD cells (Supplementary Fig. S3B).

Next, the effect of *Hdac10* deletion on tumor cell pluripotency was validated by the 3D tumor sphere assay. When the LUAD cells were cultured in an ultra-low-attachment plate at low cell density, *Hdac10* KO LUAD cells gave rise to persistently proliferating tumor spheres, compared to *Hdac10* WT LUAD cells (Figs. 3A and 3B). Overexpression of HDAC10 in the KO tumor cells reduced the sphere formation efficiency (Fig. 3C). These data indicate that HDAC10 suppresses the proliferative capacity of primary LUAD cells in 3D sphere culture. Furthermore, we established a xenograft tumor model to confirm the tumor initiating capacity (TIC) of *Hdac10* KO lung tumor cells. We chose nude mice instead of syngeneic C57BL/6 mice because the immunity in syngeneic wild-type mice will negatively influence TIC readouts. Furthermore, it is easier to identify tumors and keep track of tumor size in nude mice. The limiting diluted *Hdac10* WT and KO LUAD cells were subcutaneously transplanted into the left and right flank of athymic nude mice, respectively. *Hdac10* KO tumor cells have relatively higher tumor incidence than *Hdac10* WT tumor cells (Fig. 3D). Tumor weight measurements indicated that *Hdac10* KO tumor cells grow much faster than WT tumor cells (Fig. 3E).

CD44 has been reported as a cell surface marker for the enrichment of cancer stem cells, and it can promote oncogenic KRAS-induced adenocarcinoma(26). The level of CD44 was highly increased in *Hdac10*-deleted LUAD cells and there is a larger CD44<sup>high</sup> cell population in *Hdac10* KO LUAD cells (70–80%) compared to WT LUAD cells (less than 10%) (Fig. 3F). Overexpression of HDAC10 caused a significant decrease in CD44 expression in *Hdac10* KO LUAD cells and reduce the CD44<sup>high</sup> cell population from 65% to 34% compared to control vector (pLenti)-transduced cells (Fig. 3F). To further validate that there are more CD44-positive tumor cells in *Hdac10* KO lung tumors, the tumor tissues were microdissected from *Hdac10*<sup>-/-</sup>, *Kras*<sup>LA1</sup> and *Hdac10*<sup>+/+</sup>, *Kras*<sup>LA1</sup> mice at 28–30 weeks, and the percentage of EpCAM<sup>pos</sup>, CD44<sup>pos</sup> cells in the CD45<sup>neg</sup>, CD31<sup>neg</sup> population were detected by flow cytometry. As shown in Figure 3G, the total tumor weights are higher in *Hdac10* KO mice. Meanwhile, there are more CD44-positive cells in *Hdac10* KO lung tumors compared with *Hdac10* WT tumors.

To further validate that there are more highly tumorigenic or stem-like cells in *Hdac10*-deleted LUAD cells, the expression of known pluripotency transcription factors was assessed by real-time RT-PCR. The levels of OCT4, SOX2 and SOX9 were increased in *Hdac10* KO LUAD cells, and were even higher in the proliferating tumor spheres of *Hdac10* KO cells (Fig. 3H). Meanwhile, the levels of ALDH1A1 (aldehyde dehydrogenase 1 family

member A1) and CD133, which are expressed in various cancer stem cells, were remarkably upregulated in *Hdac10* KO cells (Fig. 3H). TGF- $\beta$  is a regulator of cancer stemness and metastasis(27). We found that genes associated with TGF- $\beta$  pathway were increased in *Hdac10* KO LUAD cells (Fig. 3H). NOG is an antagonist of bone morphogenetic protein (BMP), a TGF- $\beta$  superfamily protein. NOG has been reported to support the maintenance of pluripotency of human embryonic stem cells in vitro(28). We found that the NOG mRNA level was increased in *Hdac10* KO tumor spheres (Fig. 3H). TGF- $\beta$  is known to be a strong inducer of plasminogen activator inhibitor type-1 (PAI-1; SERPINE1)(29). SERPINE1 high expression has been linked with poor prognosis in a number of malignancies, including lung adenocarcinoma(30, 31). The mRNA level of SERPINE1 is increased in *Hdac10* KO LUAD cells (Fig. 3H). Overall, the highly tumorigenic and stem-like cells are present in primary non-small cell lung cancer (NSCLC) tissues(18). HDAC10 may regulate oncogenic KRAS-driven lung cancer development through targeting the stem-like property of tumor cells.

### HDAC10 regulates cancer cell stem-like properties by targeting SOX9

The dysregulation of SOX9, a stem cell transcriptional regulator, has been implicated in various types of cancers. Overexpression of SOX9 is found in more than 50% of lung adenocarcinomas, particularly those with *Kras* mutations, and a high level of SOX9 is associated with poor prognosis in patients(32, 33). SOX9 can promote the expansion of CSCs and epithelial-to-mesenchymal transition (EMT) by activating SLUG, and SLUG stabilizes SOX9 protein and subsequent tumorigenic function in NSCLC cells(34, 35). Given that the inhibition of HDACs in lung adenocarcinoma cell lines could elevate a SOX9-positive cell population(34), we hypothesize that HDAC10 might regulate cell pluripotency in KRAS-expressing tumors through targeting SOX9.

Western blotting analysis of SOX9 showed that the level of SOX9 was dramatically increased in *Hdac10* KO lung tumors compared with *Hdac10* WT tumors (Fig. 4A). The level of NOG and oncogenic KRAS (G12D) were also slightly increased, while FOXJ1 (Forkhead Box J1), a marker of a differentiated lineage-ciliated airway epithelial cells, was decreased in *Hdac10* KO tumors (Fig. 4A). The upregulation of SOX9 might be associated with the high proliferation rate in lung tumor tissues, as demonstrated by immunohistochemical staining of SOX9 and Ki67 (Fig. 4B). The endogenous level of SOX9 is also significantly increased in isolated *Hdac10* KO LUAD cells compared with *Hdac10* WT LUAD (Figs. 4C and 4D). The tumor sphere cultured from *Hdac10* KO LUAD cells exhibited an even higher level of SOX9 (Figs. 4E and 4F). NOG was also found to be upregulated in tumor sphere cells (Figs. 4E and 4F); however, the level of NOG is only increased in the early-passage (less than 8) *Hdac10* KO cells (Fig. 4D), indicating that the induction of NOG in *Hdac10* KO cells might be under the control of the tumor microenvironment in vivo.

Since SLUG and SOX9 could function cooperatively to induce and maintain tumor initiating capacity in breast cancer cells(36), we further evaluated the expression of SLUG in cultured LUAD cells. We found that SLUG was also upregulated in *Hdac10*-deleted LUAD cells (Figs. 4C–4E). The ectopic overexpression of HDAC10 can reduce both the protein and mRNA levels of SOX9 and SLUG in *Hdac10* KO LUAD cells (Figs. 4E and 4G). To



evaluate the effect of SOX9 on tumor cell pluripotency, endogenous SOX9 was knocked down by transducing SOX9-specific shRNA in *Hdac10* KO LUAD cells, which have a high level of SOX9. The result showed that the loss of SOX9 in *Hdac10* KO cells can cause a decrease in SLUG expression and inhibit the growth of tumor spheres (Fig. 4H). Meanwhile, knocking down of SOX9 in *Hdac10*-KO LUAD cells reduced the CD44<sup>high</sup> cell population from 80% to 43% compared to control shRNA (shNC)-transduced cells (Fig. 4I). Furthermore, depletion of SLUG also caused an inhibition of tumor sphere growth in *Hdac10*-KO LUAD cells (Fig. 4J). Collectively, these data demonstrate that SOX9 and SLUG, as downstream targets of HDAC10, may play a critical role in HDAC10-related CSC regulation and maintenance.

### HDAC10 regulates SOX9 through the TGF- $\beta$ pathway

Gene Set Enrichment Analysis (GSEA) indicates that genes associated with TGF- $\beta$ , myogenesis and hypoxia pathways were enriched in *Hdac10* KO tumors, whereas genes associated with E2F targets, G<sub>2</sub>/M checkpoint, interferon and inflammatory response, MYC targets, mitotic spindle and inflammatory response were enriched in *Hdac10* WT tumors (Figs. 5A and 5B). The changes in gene expression in *Hdac10* KO tumor tissues compared to *Hdac10* WT tumor tissues were further confirmed by real-time RT-PCR (Fig. 5C). Consistent with our previous finding that HDAC10 regulates the cell cycle via upregulating cyclin A2 (CCNA2) expression in human lung cancer cell lines(15), the mRNA level of cyclin A2 was decreased in *Hdac10* KO tumor tissues (Fig. 5C). TGF- $\beta$  signaling related genes, such as TGF $\beta$  superfamily ligand (NODAL), BMPs antagonist (NOG), SMAD members (SMAD3, SMAD7) and inducible targets of TGF $\beta$  signaling (Serpine1, NOCR2), were increased in *Hdac10* KO cells (Fig. 5C).

Given that genes associated with the TGF- $\beta$  pathway were enriched in *Hdac10* KO tumor cells and the transcription of SLUG and SOX9 have been reported to be under the control of TGF- $\beta$  pathway in many cell types(37–40), we next examined whether HDAC10 regulates SOX9 and SLUG expression through the TGF- $\beta$  pathway. The activation of SMAD2 was evaluated by Western blot using anti-p-SMAD2 antibody. The result indicated that the level of SMAD2 phosphorylation is increased in *Hdac10* KO LUAD cells compared to WT LUAD cells (Fig. 5D). TGF- $\beta$  stimulation could increase SOX9 expression by approximately 2-fold in *Hdac10* WT LUAD cells and the induction can be rescued by treatment with SB431542, a selective inhibitor of TGF- $\beta$  activin receptor-like kinases (ALK5), indicating the specific effect of TGF- $\beta$  on SOX9 induction (Figs. 5D and 5E). TGF- $\beta$  exposure can also significantly increase SLUG and SERPINE1 mRNA levels, as has been reported (Fig. 5F).

To determine which signaling events downstream of TGF- $\beta$  is involved in SOX9 expression regulation, we used SMAD and non-SMAD pathway inhibitors (ALK5 inhibitor: SB431542; MEK inhibitor: U0126; PI3K inhibitor: LY294002; JNK inhibitor: SP600125; p38 MAPK inhibitor: SB203580) to treat *Hdac10* WT and KO LUAD cells. Treatment with MEK inhibitor (U0126) resulted in a decrease in ERK phosphorylation, as well as a significant reduction in SOX9 and SLUG levels in *Hdac10* KO LUAD (Figs. 5G). Treatment with SB431542, which targets the SMAD pathway, caused a decrease in SMAD2

phosphorylation and reduced SOX9 level in *Hdac10* KO LUAD cells (Figs. 5E and 5G). To further evaluate that SOX9 induction in *Hdac10* KO cells is dependent on TGF- $\beta$  signaling, we treated *Hdac10* WT and KO LUAD cells with TGF- $\beta$  or a solvent control together with SB431542 or U0126 (Fig. 5H). In *Hdac10* WT LUAD cells, TGF- $\beta$  stimulation could significantly increase SMAD2 and ERK phosphorylation and upregulate SOX9 expression. SB431542 inhibited SMAD2 signaling induced by TGF- $\beta$  and downregulated SOX9 level. SOX9 induction by TGF- $\beta$  could also be rescued by treatment with U0126, a potent inhibitor of MEK, which has no direct effect on the SMAD pathway. U0126 treatment could also reduce SOX9 level in control-treated cells, indicating MEK/ERK signaling is involved in regulating SOX9 basal expression (Fig. 5H). SOX9 has been found to be required for the mesenchymal activation of the ERK/MAPK cascade during the development of the respiratory tract and MEK constitutive activation in mouse enhances Sox9 expression (41). In *Hdac10* KO LUAD cells, the endogenous SOX9 level was much higher than in WT LUAD cells and TGF- $\beta$  treatment could not further upregulate SOX9 expression, which might be due to elevated SOX9 already being saturated in *Hdac10* KO LUAD. SB431542 and U0126 treatment could block the activation of SMAD and MEK/ERK signaling, respectively, and reduce SOX9 expression (Fig. 5H). These results indicate that TGF- $\beta$ 1 regulates SOX9 expression via differential activation of ALK5/SMAD2 and MEK/ERK signaling. In addition, consistent with the effect of TGF- $\beta$  on SOX9 induction, TGF- $\beta$  stimulation could promote tumor sphere growth in both *Hdac10* WT and KO LUAD cells (Fig. 5I). U0126 or SB431542 treatment can inhibit the growth of tumor spheres in *Hdac10* KO LUAD cells (Fig. 5J). These findings suggest that both SMAD and non-SMAD TGF- $\beta$  pathways play a role in SOX9 expression regulation, as well as in tumor cell stemness maintenance.

In addition to SOX9, the Ingenuity Pathway Analysis (IPA) of RNA sequencing data indicates that genes associated with embryonic stem cell (ESCs) pluripotency were enriched in *Hdac10* KO tumors, including NODAL (TGF- $\beta$  superfamily ligand), NOG (antagonist of BMPs), NANOG (ESCs transcription factor) and WNT pathway members, like WNT3, WNT7B, WNT8B and WNT10B (Supplementary Fig. S4A). Upregulated expression of these pluripotency genes in *Hdac10* KO LUAD cells was validated by real-time RT-PCR (Supplementary Fig. S4B). To demonstrate whether the expression of these genes is also under the control of TGF- $\beta$  signaling, we treated *Hdac10* WT and KO LUAD cells with TGF- $\beta$ . TGF- $\beta$  stimulation could significantly increase the level of SOX9, NODAL, NOG, NANOG, WNT3, WNT7B and WNT10B in *Hdac10* WT LUAD cells, but not in *Hdac10* KO LUAD cells (Supplementary Fig. S4B). The difference in sensitivity to TGF- $\beta$  stimulation between *Hdac10* WT and KO cells could be a result of either HDAC10's requirement for TGF- $\beta$ -mediated induction of pluripotency genes or the pre-existing highly elevated expression levels of these genes in *Hdac10* KO LUAD cells. Moreover, treatment with MEK inhibitor (U0126) and ALK5 inhibitor (SB431542) can simultaneously reduce the level of SOX9 in *Hdac10* KO LUAD cells (Supplementary Fig. S4C), indicating that the elevated SOX9 transcripts in *Hdac10* KO cells is TGF- $\beta$  pathway-dependent. However, other pluripotency genes cannot consistently be reduced by U0126 and SB431542 treatment (Supplementary Fig. S4C). Together, these results demonstrate that the expression of SOX9 is under the control of TGF- $\beta$  signaling. The activation of the TGF- $\beta$  pathway in *Hdac10*

KO tumor cells upregulates SOX9 expression, and elevated SOX9 level further favors CSCs maintenance and propagation and enhances lung tumorigenesis.

### HDAC10 represses SOX9 expression in human lung cancer cells

Our results indicate that HDAC10 might function as a putative tumor suppressor in murine lung cancer development; however, the potential relevance of HDAC10 to human lung cancer is not yet clear. The genetic alterations of *HDAC10* in different human lung cancer cohort studies was obtained from cBio Cancer Genomics Portal (42, 43). *HDAC10* gene is infrequently altered in lung cancer (less than 3%) and the alteration of *HDAC10* is mainly contributed by gene deletion (2% in Lung Adenocarcinoma TCGA cohort) (Supplementary Fig. S5A). In addition, *HDAC10* is not genetically altered with *KRAS*-mutation in lung adenocarcinoma, because genomic alterations of *HDAC10* and *KRAS* are mutually exclusive (Supplementary Fig. S5B).

Then, we examined the level of HDAC10 transcript in human lung adenocarcinoma (LUAD) and normal samples using TCGA and GTEx datasets from GEPIA (44). The expression of HDAC10 is significantly reduced in lung adenocarcinoma compared to matched TCGA normal and GTEx normal lung tissues (Fig. 6A). Expression of HDAC10 in lung adenocarcinoma was further examined in *KRAS* wild-type and mutant tumors using TCGA LUAD data. The level of HDAC10 is significantly decreased in *KRAS* mutant tumors compared to *KRAS* wild-type tumors (Fig. 6B). However, there is no significant correlation between HDAC10 expression and tumor stages in LUAD (Fig. 6C). Kaplan-Meier analysis is used to study the effect of HDAC10 expression on the overall survival of lung adenocarcinoma patients. A survival curve gained from Human Protein Atlas (HPA) shows that low HDAC10 expression correlates with worse survival of lung cancer patients (Fig. 6D). The median survival time for high and low-HDAC10 are 7.178 and 3.778 years, respectively. Furthermore, high SOX9 expression associates with a poor prognosis in human lung cancer patients (Fig. 6D). The median survival time for high and low-SOX9 are 3.279 and 4.186 years, respectively. These results indicate that HDAC10 transcript is decreased in human lung adenocarcinoma and, consistent with an earlier report (14), the low expression of HDAC10 is associated with poor overall survival in human lung cancer patients.

To study the effect of HDAC10 on SOX9 expression in human lung cancer cells, the endogenous protein level of HDAC10 and SOX9 were assessed by Western analysis in a panel of human NSCLC lines (Fig. 6E). The negative correlation between HDAC10 and SOX9 in NSCLC lines did not reach statistical significance. Although HDAC10 expression was reduced in *KRAS* mutant tumors compared to *KRAS* wild-type tumors in TCGA LUAD data (Fig. 6B), the expression of HDAC10 did not show a significant association with RAS mutation status in NSCLC lines (Fig. 6E). To evaluate the effect of HDAC10 depletion on SOX9 expression, the lentiviral system was used to transduce HDAC10-specific shRNA into H322, H661, H23 and H441 cells. Western blot analysis indicates that HDAC10 knockdown significantly increased SOX9 expression in human lung cancer cell lines (Fig. 6F). Meanwhile, HDAC10 overexpression could inhibit the expression of SOX9 (Fig. 6G) and the effect of HDAC10 on SOX9 expression is not *KRAS* dependent because HDAC10 could also repress SOX9 transcription in RAS wild-type cells, such as H322 and H661 cells.

Consistent with the finding that HDAC10 regulates SOX9 transcription through TGF $\beta$  signaling pathway in mouse LUAD cells, HDAC10 knockdown increased the level of p-SMAD2 and p-ERK, while overexpression of HDAC10 decreased the level of p-SMAD2 and p-ERK in H322 cells (Fig. 6H). Moreover, ectopic expression of HDAC10 in H322 and H23 cells can inhibit sphere formation efficiency of cells (Figs. 6I and 6J). Given that CD44 is expressed in H23 cells, overexpression of HDAC10 caused a significant decrease in CD44 expression and knockdown of HDAC10 caused a significant increase in CD44 expression (Fig. 6K). Collectively, these results indicate that HDAC10 might regulate cancer stem-like cells in human lung cancer via targeting SOX9.

## Discussion

We investigated the effects of HDAC10 on tumor initiation and progression using a KRAS-driven lung cancer mouse model. A putative tumor suppressor role of HDAC10 was seen in mice carrying spontaneously activated oncogenic alleles of *Kras*. *Hdac10* deletion can accelerate KRAS-driven early onset lung adenocarcinoma and shorten survival time in mice. These results are consistent with human clinical data, in which a reduction in HDAC10 mRNA level was found in lung adenocarcinoma and low HDAC10 expression is associated with shorter survival time in human lung cancer patients(14). In our previous study, we found that transient HDAC10 knockdown in human lung cancer cell lines widely inhibits mitotic entry and suppresses cell proliferation, suggesting that HDAC10 may be required for the growth of tumor cells(15). The tumor suppressor role of HDAC10 in the *Kras<sup>LA1</sup>* mouse model therefore seems contradictory to HDAC10's effect on cell cycle regulation in established lung cancer cell lines. Conceivably, HDAC10 most likely plays another role in a different cell context. HDAC10 may function in surveillance in vivo, preventing oncogenic KRAS-induced malignant transformation and tumor development. Thus, *Hdac10* deletion promoted tumor growth in mice carrying *Kras<sup>LA1</sup>* alleles. However, for established malignant cells, HDAC10 is critical for unchecked cell growth, so transient HDAC10 depletion and inhibition suppress tumor cell growth. In addition, the phenotypic discrepancies between gene knockout and knockdown might due to a genetic compensation response or transcriptional adaptation, which are widespread phenomenon in response to gene knockout (45). Although cyclin A2 mRNA level was reduced in *Hdac10* KO tumors and LUAD cells, we did not detect a cell cycle defect or reduction of cyclin A2 protein level in *Hdac10* KO LUAD compared to WT LUAD cells. Furthermore, although HDAC10 and HDAC6 belong to the same HDAC subclass, HDAC6 is required for RAS-mediated oncogenicity by modulating KRAS acetylation(46). *Hdac6*-null MEFs are resistant to both oncogenic RAS and ErbB2-induced transformation, and *Hdac6*-null mice are also resistant to chemical carcinogen-induced skin tumors (47), suggesting that individual HDACs may play different roles in malignant transformation. Thus, a better understanding of the context-dependent effects of individual HDAC enzymes will provide a rationale for targeting HDACs in cancer therapy.

To dissect the mechanism by which HDAC10 regulates tumor initiation, we found that HDAC10 regulates stem-like properties of cancer cells. Cancer stem-like cells (CSCs) have gained increasing attention recently in cancer research. These cells can generate new tumors through their stem cell properties, essentially self-renewal potential and differentiation into

multiple cell lineages(48). Highly tumorigenic and stem-like cells have been reported in primary non-small cell lung cancer (NSCLC) tissues(18, 48, 49). CSCs of lung cancer are well recognized by their specific markers, such as CD133, CD44, ABCG2, and ALDH1A1, and exhibits biological characteristics associated with both cancer and stem cells, including spheroid formation, ability to initiate tumor growth and multi-drug resistance(48). Our studies indicate that HDAC10 plays a role in CSCs regulation. First, comparative RNA sequencing data showed that the genes associated with embryonic stem cell pluripotency are enriched in *Hdac10* KO tumors. Second, primary cultured LUAD cells isolated from *Hdac10* KO tumors exhibited stem-like cell properties. *Hdac10* KO LUAD cells could give rise to persistently proliferating tumor spheres and exhibit a greater tumor-initiating capacity in recipient mice. Furthermore, the number of CD44<sup>POS</sup> cancer cells was significantly upregulated in *Hdac10* KO lung tumors versus *Hdac10* WT tumors. Lastly, SOX9 and SLUG, stem cell transcriptional regulators, are markedly increased in *Hdac10* KO LUAD cells, and knockdown of either SOX9 or SLUG in *Hdac10*-KO LUAD cells can inhibit its sphere formation efficiency. These results convincingly argue that HDAC10 might regulate cancer stem-like cells in KRAS-expressing tumors via the pluripotency pathway.

SRY (sex determining region Y)-box 9 (SOX9) is a member of the SOX family of transcription factors which possesses the homologous high mobility group (HMG)-box domain. SOX9 function has been widely studied as a key regulator of cartilage, male gonad development as well as lung branching morphogenesis(20). Aberrant expression of SOX9 has been found in many tumor types, including lung cancer(32, 50–52). SOX9 is highly expressed in more than 50% of human lung adenocarcinomas and is associated with poor patient survival(32). SOX9, together with other transcription factors such as SLUG, a member of the Snail family, is involved in regulating CSCs and tumor metastasis(35, 36, 53). Overexpression of SOX9 and SLUG in breast cancer cells promotes the formation of tumor-initiating cells (TICs)(36). SLUG and SOX9 are also co-upregulated in advanced lung cancer and co-expression of SLUG and SOX9 regulates CSCs properties and lung metastasis(35). In our study, the expression of SOX9 is consistently upregulated in *Hdac10* KO tumor tissues as well as isolated LUAD cells. Depletion of SOX9 can reduce SLUG expression, inhibit the proliferation of tumor sphere and decrease CD44 expression in *Hdac10*-KO LUAD cells, indicating that SOX9 unequivocally plays an important role in HDAC10-mediated CSCs regulation.

Given the pivotal role of SOX9 in CSCs regulation, the expression of SOX9 is targeted or modulated by multiple pathways during cancer development. TGF- $\beta$ , an essential regulator of cell polarity, growth, differentiation, and lineage specificity in multiple cell types, plays an important role in modulating the cancer stem cell niche(54, 55). We found that TGF- $\beta$  signaling is activated in *Hdac10* KO tumor cells. Compromising TGF- $\beta$  signaling with either SMAD or non-SMAD pathway inhibitors (SB431542 or U0126) can decrease SOX9 and SLUG levels and inhibit the growth of tumor spheres in *Hdac10* KO LUAD cells, indicating the important role of TGF- $\beta$  pathway in HDAC10-mediated SOX9 and CSCs regulation.

Like HDAC10, other HDAC family members have been reported to regulate the TGF- $\beta$  pathway in different cell contexts. Several studies have shown that HDAC inhibitor treatment can suppress TGF- $\beta$  signaling either by directly modulating expression and/or



activating TGF- $\beta$  pathway components, such as SMAD2/3 and TGF- $\beta$  type II receptor, or by indirectly silencing the expression of TGF- $\beta$  downstream targets via histone deacetylation (56–59). Although HDAC inhibitors suppress TGF- $\beta$  signaling, genetic deletion of some HDAC members might enhance the TGF- $\beta$  pathway in a cell context-dependent manner. Conditional genetic deletion of HDAC1 and/or HDAC2 in ESC lines reduced endothelial-to-hematopoietic transition (EHT) through modulating TGF- $\beta$  signaling and this defect could be rescued by treatment of SB431542, a selective inhibitor of the receptor ALK5 (60). HDAC3 was reported to repress the TGF- $\beta$  signaling pathway during early cardiogenesis (61). HDAC3 epigenetically silences TGF- $\beta$ 1 transcription within mesenchymal cells in a deacetylase-independent manner. Deletion of HDAC3 caused cardiac defects by increasing TGF- $\beta$ 1 expression and activating SMAD2/3 phosphorylation. SIRT1 can also inhibit TGF- $\beta$  pathway and ameliorate renal fibrosis by directly interacting with SMAD3 and modulating SMAD3 deacetylation (62). In our studies, we found that the deletion of *Hdac10* activates TGF- $\beta$  pathway in *Kras*-mutant tumor cells. However, how the TGF- $\beta$  pathway is activated in *Hdac10* KO tumors remains unclear. One potential mechanism is that the loss of HDAC10 upregulates the expression of NODAL, a TGF $\beta$  superfamily ligand, which further activates SMAD and non-SMAD TGF- $\beta$  pathways in LUAD cells.

*Hdac10* deletion not only accelerates KRAS-driven early onset lung adenocarcinoma, but also increases tumor-associated inflammation in the tumor microenvironment, which is characterized by a high density of macrophages in the tumor microenvironment. The predominant macrophage subtypes are MAC-2 positive cells which skew to M2-like macrophages. Macrophages can be polarized into different phenotypes: pro-inflammatory M1 macrophages or anti-inflammatory M2 macrophages. High infiltration of M2 macrophages in the tumor is associated with poor overall survival in NSCLC patients(63). TGF- $\beta$  is one of the major cytokines secreted by M2 macrophages and M2 polarization has been observed in oncogenic KRAS-induced lung tumorigenesis in mice (64, 65). A recent study showed that TGF $\beta$  secreted by tumor-associated macrophages (TAMs) increases SOX9 expression and promotes the epithelial-to-mesenchymal transition of lung cancer cells(64). However, whether the increase of TAMs infiltration in *Hdac10*-deleted tumors plays a role in the paracrine activation of the TGF $\beta$  pathway still needs further investigation.

In summary, we showed that HDAC10 acts as a tumor suppressor in lung cancer development. *Hdac10* deficiency in the presence of oncogenic KRAS activation accelerates lung tumorigenesis in mice (Fig. 7). The loss of HDAC10 increased early adenocarcinoma formation and lung macrophage infiltration, and further reduced survival time in mice. LUAD cells isolated from *Hdac10* KO tumors exhibited highly tumorigenic and stem-like properties. The expression of CSCs surface marker CD44 and genes associated with stem cell pluripotency are increased in *Hdac10* KO tumor cells. The high level of SOX9 in *Hdac10* KO LUAD cells is required for the expansion and maintenance of CSCs. Given that the activation of TGF- $\beta$  signaling contributes to SOX9 induction in *Hdac10* KO LUAD cells, HDAC10 might regulate stem-like properties of KRAS-expressing tumor cells through targeting the TGF- $\beta$ /SOX9 pathway. HDAC inhibitors have been pre-clinically or clinically used to treat cancers; however, inhibition of HDACs' function might change the expression of specific genes that are beneficial for tumor development. In our study, we elucidate that the loss of HDAC10 promotes KRAS-driven lung tumorigenesis through enhancing CSC



properties by SOX9. This finding provides the preclinical rationale for combination treatment of lung cancer by targeting both HDACs activity and pluripotency pathways.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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**Significance**

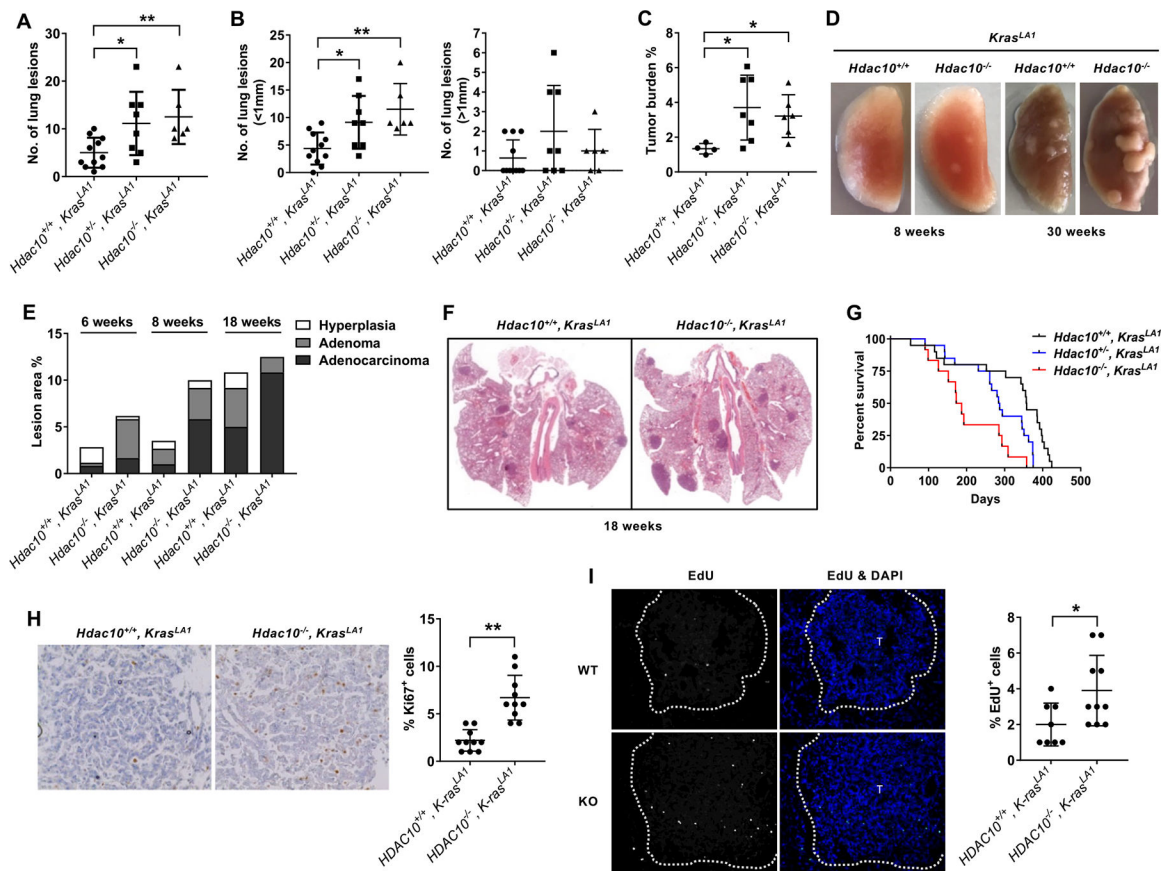
These findings linking HDAC10 and lung tumorigenesis identify potential novel strategies for targeting HDAC10 as a treatment for lung cancer.

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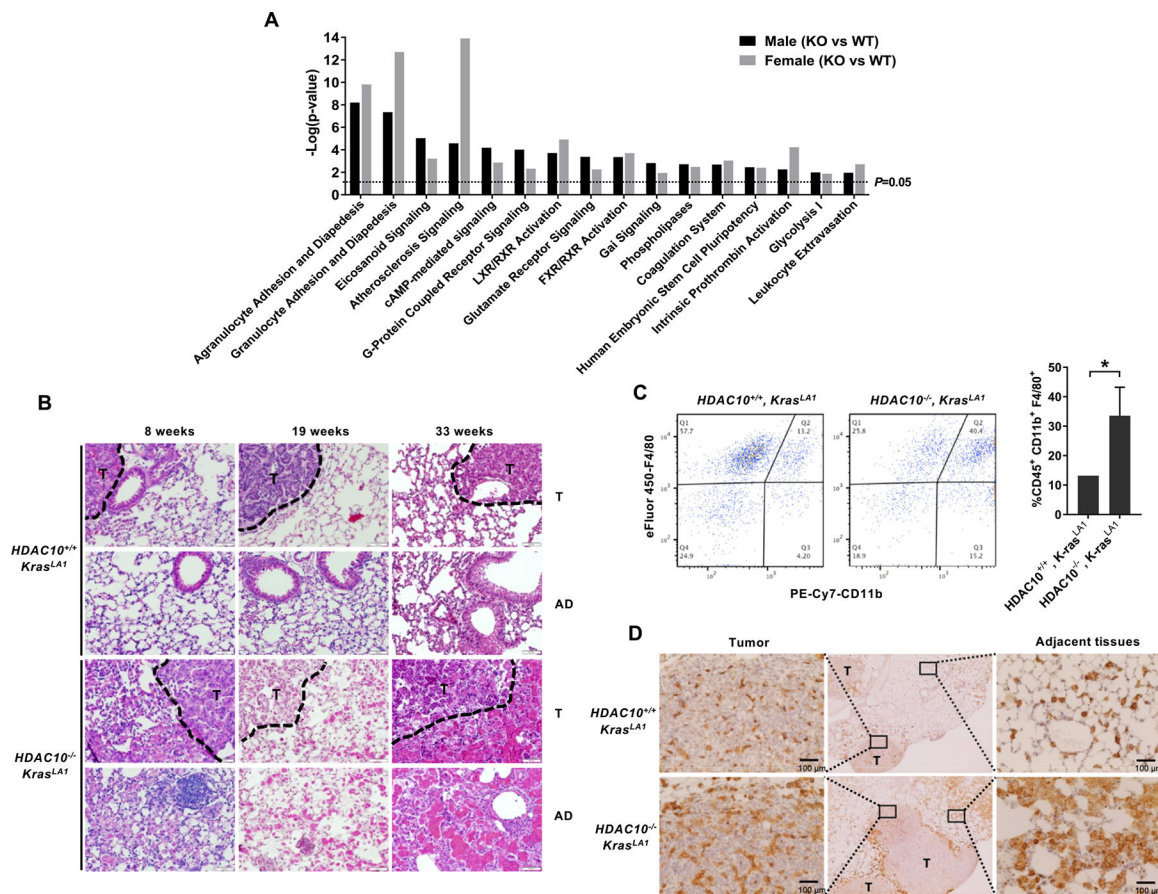
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**Figure 1. The role of *Hdac10* deletion in *Kras*<sup>G12D</sup>-driven lung tumorigenesis.**

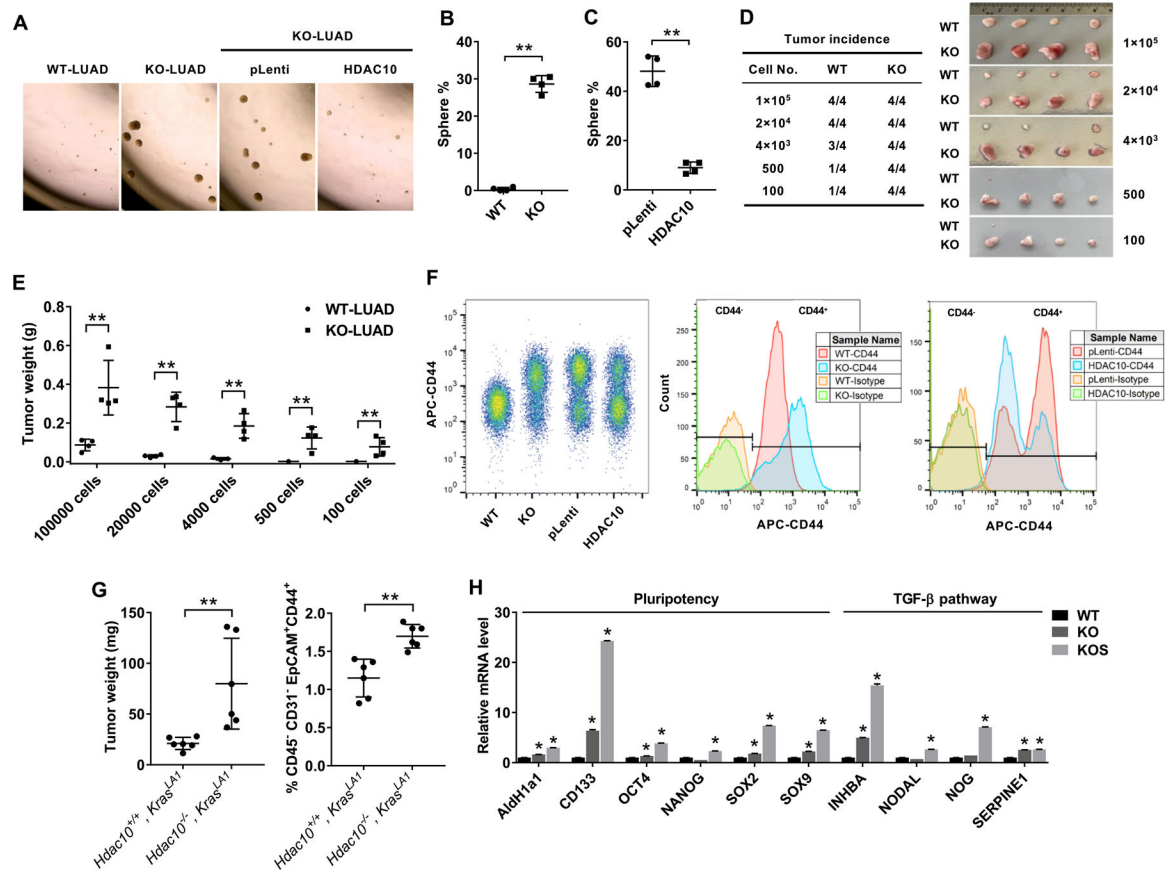
(A-B) The number of tumor nodules was counted on the lung surface of mice at 8 weeks of age. (A) The total number of tumor nodules on the lungs of mice with different genotypes. (B) The number of tumor nodules with a diameter less than 1 mm (left panel) or greater than 1 mm (right panel). (C) Tumor burden of mice at 8 weeks of age is quantified on the lung H&E cross-section as the ratio of total tumor area to total lung area. (D) Gross morphology of the left lobes of the lungs isolated from littermate *Hdac10* WT and KO *Kras*<sup>LA1</sup> mice. (E) Histopathological analysis of tumors in the lungs of *Hdac10*<sup>+/+</sup>, *Kras*<sup>LA1</sup> and *Hdac10*<sup>-/-</sup>, *Kras*<sup>LA1</sup> mice at 6, 8 and 18 weeks of age. (F) Hematoxylin and eosin staining of the lungs of *Hdac10*<sup>+/+</sup>, *Kras*<sup>LA1</sup> and *Hdac10*<sup>-/-</sup>, *Kras*<sup>LA1</sup> mice at 18 weeks of age. (G) The Kaplan-Meier survival of mice with different genotypes. (H) Immunohistochemistry of Ki67, counterstained with hematoxylin (nucleus), is illustrated (left panel). Ki67 positive cells were counted and graphed (right panel). (I) Representative images showing that *Hdac10* KO mice exhibited more EdU positive cells than control mice (left). The right panel is the quantification of EdU-positive cell percentage. \*, *P* < 0.05 or \*\*, *P* < 0.01.





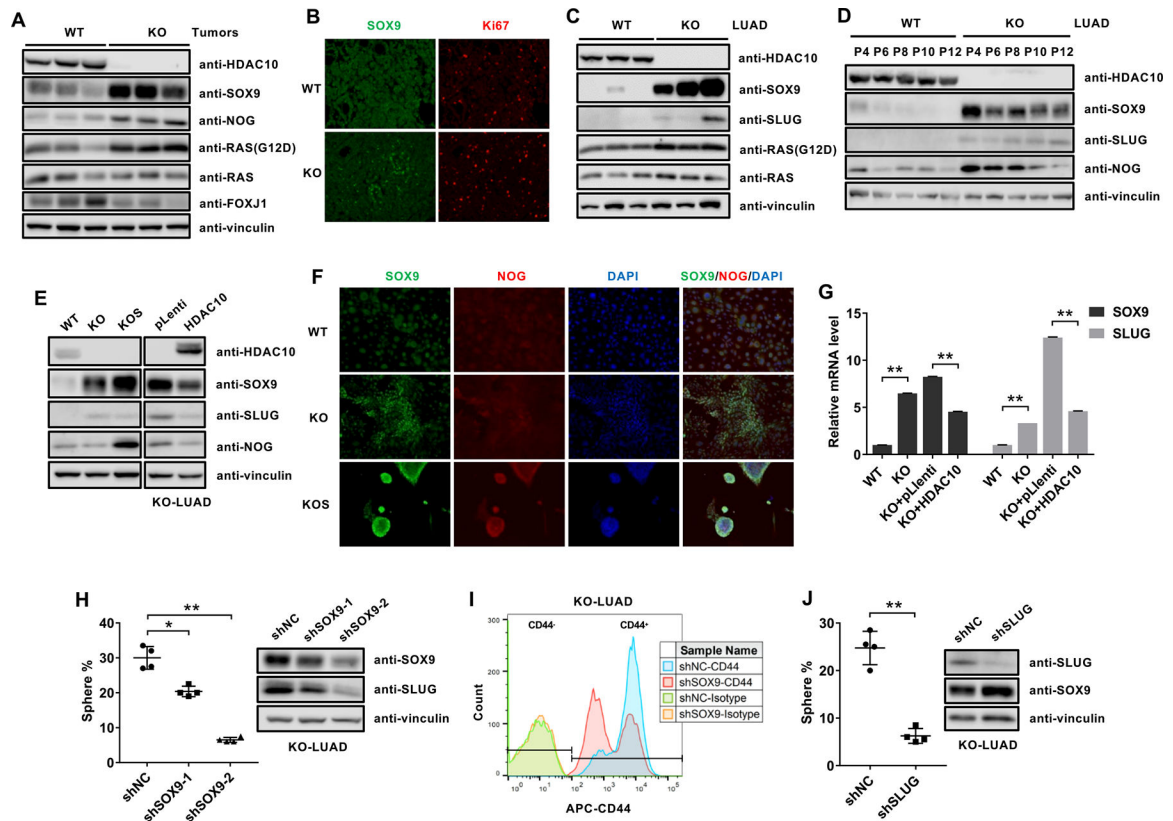
**Figure 2. The absence of HDAC10 induces massive macrophage infiltration into the tumor.**

(A) Ingenuity Pathway Analysis of RNA sequencing data. Notable gene sets are listed with normalized enrichment scores for each group according to RNA sequencing data. (B) Representative H&E histology of lung sections from *Hdac10* KO and WT *Kras<sup>LA1</sup>* mice. Tumor adjacent (AD) and tumor (T) areas are indicated. (C) Representative flow cytometric analysis of macrophage populations from *Hdac10<sup>+/+</sup>, Kras<sup>LA1</sup>* and *Hdac10<sup>-/-</sup>, Kras<sup>LA1</sup>* mice (left panel) and the quantification of macrophage population among two groups (n=3). The cells were gated on live CD45<sup>+</sup> single cells and subsequently CD11b<sup>+</sup>, F4/80<sup>+</sup> macrophages were selected. (D) Immunohistochemistry of F4/80<sup>+</sup> macrophages in lung sections. Tumor (T) and tumor adjacent areas are indicated.



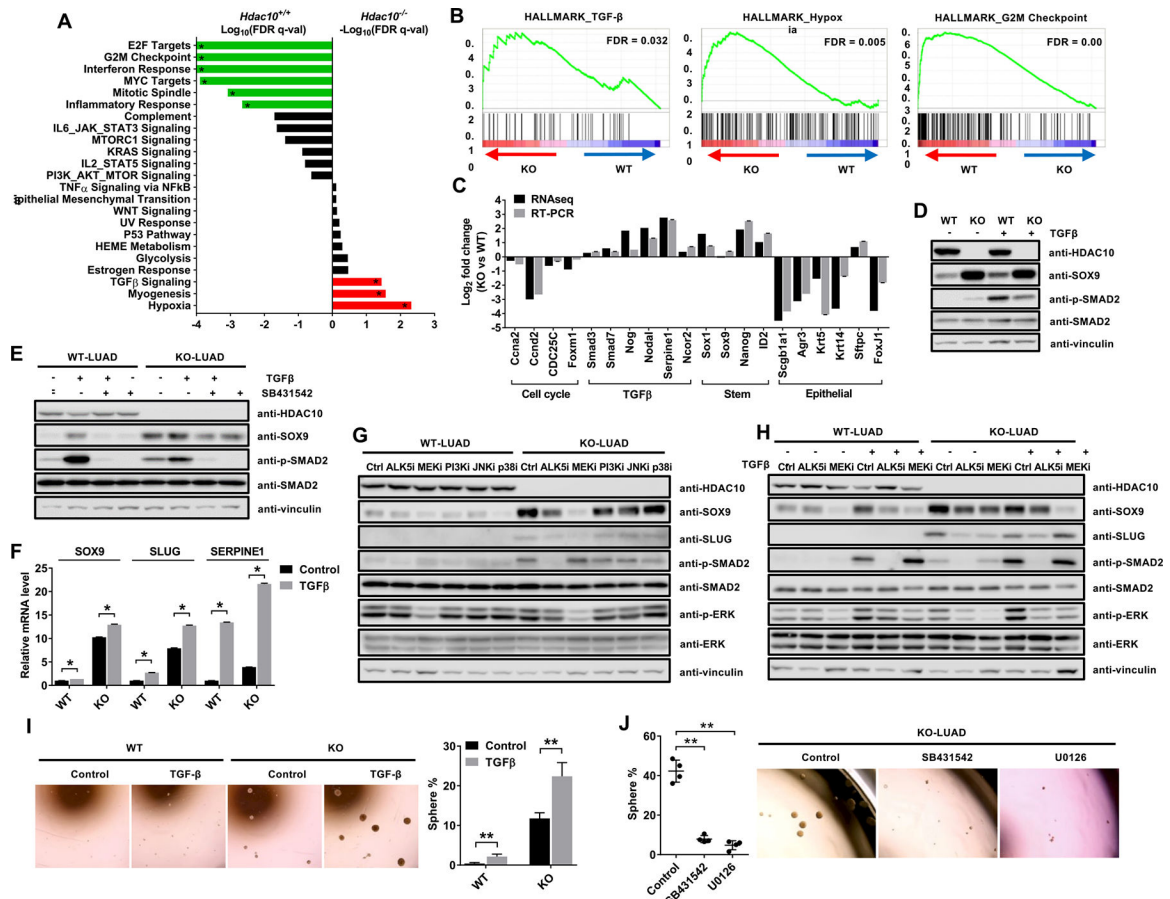
**Figure 3. HDAC10 regulates tumor pluripotency.**

(A) Tumor sphere cultures of mouse LUAD cells 1 week after plating in a 24-well ultra-low attachment plate. (B) Quantification of the percentage of tumor spheres from *Hdac10* WT and KO LUAD cells. (C) Quantification of tumor spheres from *Hdac10* KO LUAD cells transduced with lentiviral control (pLenti) or *Hdac10* expression vectors (HDAC10). (D) Tumor incidence of the *in vivo* limiting dilution assay. Representative image of tumors (right panel) and tumor incidence (left panel) at 3 weeks were indicated. (E) The tumors of the indicated groups from (D) were weighed. (F) Flow cytometric analysis of CD44 expression in LUAD cells. CD44 expression was increased in *Hdac10* KO LUAD cells, whereas overexpression of HDAC10 in *Hdac10* KO LUAD cells caused a decrease of CD44<sup>high</sup> population. (G) Flow cytometry showed a markedly increased CD45<sup>+</sup>CD31<sup>+</sup>EpcAM<sup>+</sup>CD44<sup>+</sup> population in lung tumors from *Hdac10*<sup>-/-</sup>, *Kras*<sup>LA1</sup> mice at 30 weeks (right panel). (Left panel) The weights of total lung tumors from mice were indicated. (H) Results of real-time RT-PCR analysis of the expression of pluripotency transcription factors and TGF- $\beta$  pathway in *Hdac10* WT and KO LUAD, as well as KO LUAD spheres (KOS). \*,  $P < 0.05$  or \*\*,  $P < 0.01$ .



**Figure 4. SOX9 is upregulated in *Hdac10*-deleted tumor cells.**

(A) Western analysis of the indicated proteins in *Hdac10* WT and KO tumor tissues from mice at 30 weeks. (B) Immunostaining of SOX9 (green) and Ki67 (red) from mice at 30 weeks. (C) Western analysis of the indicated proteins in *Hdac10* WT and KO LUAD cells isolated from different mice. (D) Western blot analysis of SOX9, SLUG and NOG expression in *Hdac10* WT and KO LUAD cells with indicated passage number. (E) Western blot analysis results for the indicated proteins in *Hdac10* WT, KO and KO spheres (KOS) LUAD cells (left panel), or *Hdac10* KO LUAD cells transduced with the control vector or vector encoding HDAC10 (right panel). (F) Immunostaining of SOX9 (green), NOG (red) and DAPI (blue) in LUAD cells. (G) Results of real-time RT-PCR analysis of the expression of SOX9 and SLUG. (H) The effect of *Sox9* depletion on the growth of tumor spheres in *Hdac10* KO LUAD cells. Quantification of tumor spheres (left panel) and Western analysis (right panel) of SOX9 and SLUG in *Hdac10* KO LUAD cells transduced with control (shNC) or SOX9 shRNA lentiviral vector (shSOX9-1 and shSOX9-2). (I) Flow cytometric analysis of the effect of SOX9 depletion on CD44 expression in *Hdac10* KO LUAD cells. (J) The effect of SLUG depletion on the growth of tumor spheres in *Hdac10* KO LUAD cells. \*,  $P < 0.05$  or \*\*,  $P < 0.01$ .



**Figure 5. Activation of TGF- $\beta$  pathway contributes to SOX9 and SLUG induction in *Hdac10* KO LUAD cells.**

(A) The result of Gene set enrichment analysis (GSEA) of Hallmark Gene Sets. Notable gene sets, which are enriched in either *Hdac10* WT (green) or KO (red) tumors, are listed with FDR q-value on a logarithmic scale. (B) GSEA plots showing that genes in TGF- $\beta$  and hypoxia pathways were upregulated in *Hdac10* KO tumors (left and middle panel), and genes associated with the G2M checkpoint were upregulated in *Hdac10* WT tumors (right panel). (C) Results of comparative RNA sequence and real-time RT-PCR analysis of gene expression profiles of *Hdac10* KO tumor compared with *Hdac10* WT tumor. (D) Western blot analysis results for the indicated proteins in *Hdac10* WT and KO LUAD cells after treatment with 5 ng/ml TGF- $\beta$  or solvent control for 3 days. (E) Western analysis of the indicated proteins in LUAD cells treated with 5 ng/ml TGF- $\beta$  or solvent control together with 10  $\mu$ M SB431542 for 3 days. (F) Results of real-time RT-PCR analysis of the expression of SOX9, SLUG and SERPINE1 in *Hdac10* WT and KO LUAD cells treated with TGF- $\beta$  or solvent control. (G) Western analysis of the indicated proteins in *Hdac10* WT and KO LUAD cells treated with 10  $\mu$ M SB431542 (ALK5i), 10  $\mu$ M U0126 (MEKi), 10  $\mu$ M LY294002 (PI3Ki), 10  $\mu$ M SP600125 (JNKi), 5  $\mu$ M SB203580 (p38i) or solvent control for 3 days. (H) Western analysis of the indicated proteins in LUAD cells treated with 5 ng/ml TGF- $\beta$  or solvent control together with 10  $\mu$ M SB431542 (ALK5i) or 10  $\mu$ M U0126 (MEKi) for 3 days. (I) Tumor sphere cultures of LUAD cells treated with TGF- $\beta$  or solvent control

(left panel). Quantification of the percentage of tumor spheres is indicated in the right panel. (J) Tumor sphere assay result of *Hdac10* KO LUAD cells treated with SB431542 (ALK5i), U0126 (MEKi) or solvent control. (Left) Quantified percentage of spheres in tumor sphere assay. \*,  $P < 0.05$  or \*\*,  $P < 0.01$ .

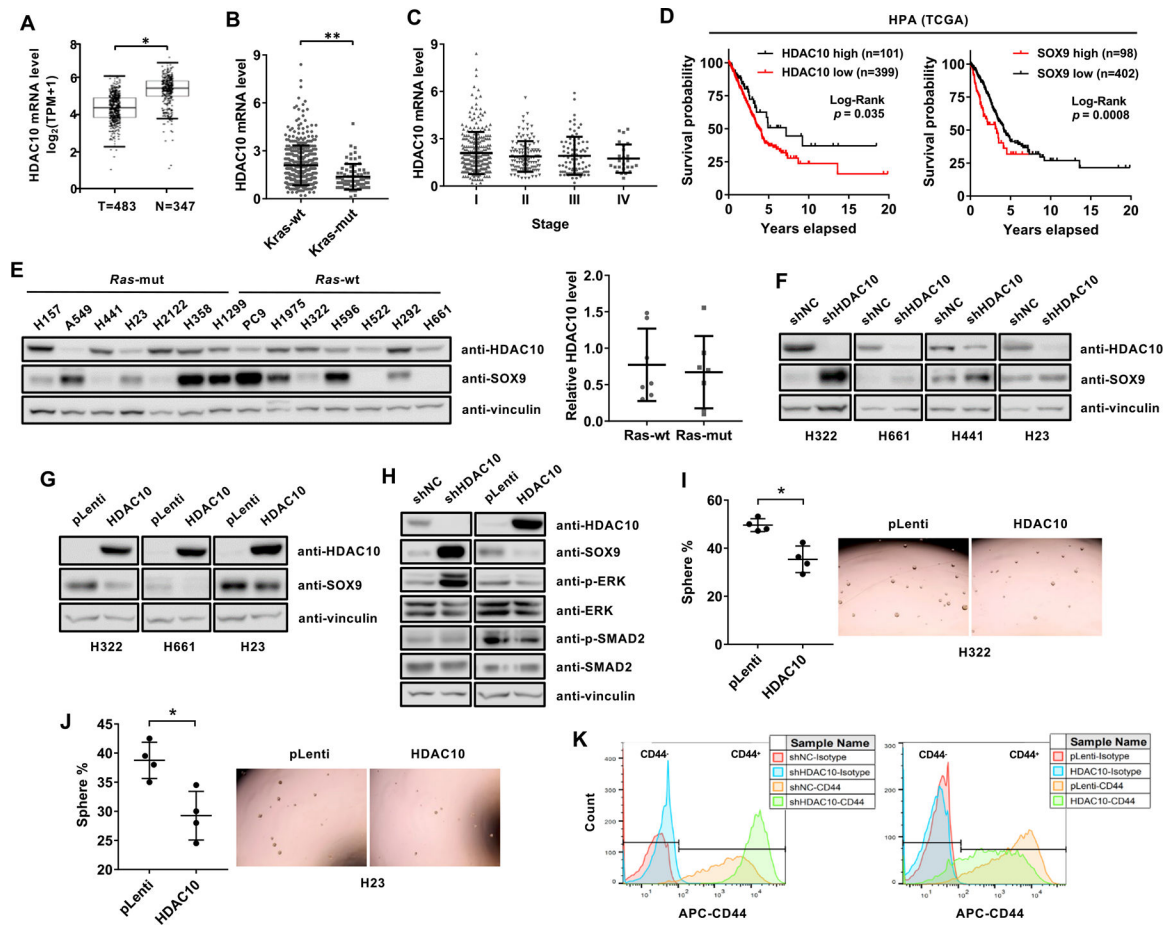
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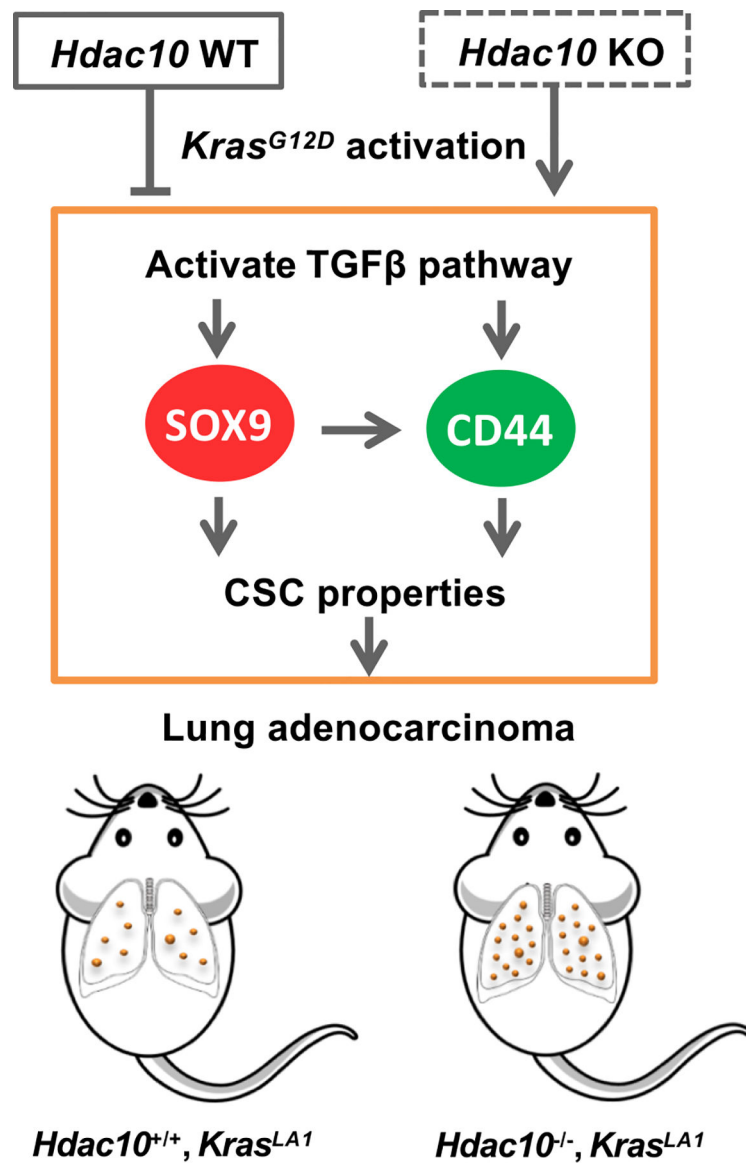


**Figure 6. HDAC10 expression in human lung adenocarcinoma and their correlation to patient survival.**

(A) The  $\log_2(\text{TPM}+1)$  transformed expression of HDAC10 mRNA in human lung adenocarcinoma and normal tissues from GEPIA. (B) HDAC10 mRNA level in *KRAS* wild-type (wt) and mutant (mut) tumor tissues using TCGA LUAD dataset. HDAC10 transcript level is indicated as FPKM (Fragments Per Kilobase Million) downloaded from the HPA database. (C) HDAC10 mRNA in different tumor stages from TCGA LUAD data. (D) Kaplan-Meier curves from the HPA database showing the correlation between the expression of HDAC10 (left panel) and SOX9 (right panel) with overall survival of lung adenocarcinoma patients. The cutoff FPKM value of HDAC10 and SOX9 expression are 2.8 and 17, respectively. (E) Western analysis of HDAC10 and SOX9 protein level in a panel of human lung cancer cell lines (left panel) and the semi-quantitative expression of HDAC10 in *RAS* wild-type (wt) and mutant (mut) cell lines (right panel). (F) Western blot analysis of HDAC10 and SOX9 expression in the indicated cell types expressing control shRNA (shNC) or shRNA targeting HDAC10 (shHDAC10). (G) Western blot analysis of HDAC10 and SOX9 expression in the indicated cell types expressing control vector (pLenti) or HDAC10 expression vector (HDAC10). (H) Western analysis of the indicated proteins in HDAC10 knockdown or HDAC10 overexpressed H322 cells (I) Tumor sphere cultures of H322 cells transduced with control (pLenti) or HDAC10 expression vectors (HDAC10) (right panel) and quantification of tumor spheres (left panel). (J) Tumor sphere cultures of H23 cells



(right panel) and quantification of tumor spheres (left panel). (K) Flow cytometric analysis of CD44 expression in HDAC10 knockdown (left panel) or HDAC10 overexpressed H23 cells (right panel). \*,  $P < 0.05$  or \*\*,  $P < 0.01$ .



**Figure 7. A schematic proposed model of HDAC10 in KRAS-driven lung cancer development.** *Hdac10* deficiency in the presence of oncogenic KRAS accelerates lung tumorigenesis in mice. The loss of HDAC10 induces SOX9 transcription and upregulates CD44 expression in LUAD cells by activating TGF-β signaling. HDAC10 regulates the stem-like properties of tumor cells through the TGF-β/SOX9 pathway.