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# **FULL PAPER**

# Safety and efficacy of endovascular implantation of a portal vein stent combined with iodine-125 seed-strips followed by transcatheter arterial chemoembolization with sorafenib for the treatment of hepatocellular carcinoma with portal vein tumor thrombosis

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**Objective:** To assess the safety and efficacy of endovascular implantation of a portal vein stent combined with iodine-<sup>125</sup> seed-strips followed by transcatheter arterial chemoembolization with sorafenib (PVS-<sup>125</sup>I-TACE-S) for the treatment of hepatocellular carcinoma (HCC) with portal vein tumor thrombosis (PVTT).

**Methods:** Between January 2015 and July 2017, 18 patients with PVTT caused by HCC that were treated with PVS-<sup>125</sup>I-TACE-S were reviewed. The technical success, complications, changes in liver function from baseline values due to subsequent endovascular implantation of a portal vein stent combined with iodine-<sup>125</sup> seed-strips (PVS-<sup>125</sup>I), time-to-tumor progression (TTP) and overall survival (OS) were observed.

**Results:** The technical success rate was 100%. Adverse events (AEs) were managed successfully, with no occurrence of procedure-related deaths. Liver function test values after PVS-<sup>125</sup>I were not significantly different

#### INTRODUCTION

Hepatocellular carcinoma (HCC) is among the most common malignant tumors worldwide. This disease accounts for 5.6% of all human cancers, and its incidence has gradually increased over time.<sup>1</sup> It has a great propensity to invade the portal venous system and cause portal vein tumor thrombosis (PVTT).<sup>2</sup> PVTT can cause partial or total portal vein occlusion and lead to gastrointestinal bleeding and ascites. Finally, the prognosis of patients is affected.<sup>3–5</sup> Extant literature reports that the median survival for HCC patients with PVTT is 2.7–4.0 months if patients are not treated.<sup>6</sup> In theory, surgical resection is the standard treatment for HCC with PVTT, but the surgical indications, high surgical risk, and high postoperative recurrence are than baseline values (P > 0.05). The median TTP was 7.0 months (range: 4.2-9.9 months). In Vp3 PVTT, the TTP was 9.7 months (range: 8.8-10.5 months), and in Vp4 PVTT, the TTP was 4.2 months (range: 2.8-5.6 months). The median OS was 10.0 months (range: 7.0-13.1 months). In Vp3 PVTT, OS was 11.9 months (range: 9.2-14.5 months), and in Vp4 PVTT, OS was 7.2 months (range: 3.8-10.7 months).

**Conclusions:** PVS-<sup>125</sup>I-TACE-S is safe for patients with HCC with PVTT and may extend the TTP and survival of patients with Vp4 PVTT.

**Advances in knowledge:** PVS implantation promptly restored flow in the obstructed portal vein, which can reduce the risk of hepatic failure and upper gastrointestinal bleeding. Implantation of iodine-<sup>125</sup> seed-strips may directly expose the portal tumor thrombus to radiation and kill cancer cells. Their combined use with TACE-S has a strong scientific rationale.

important restricting factors.<sup>1,7</sup> To improve prognosis, a variety of non-surgical therapeutic techniques have been attempted in HCC with PVTT. These include percutaneous interventions (ethanol and radiofrequency ablation), transarterial interventions (embolization, chemoperfusion or chemoembolization), and therapeutic drugs, including gene and immune therapy.<sup>1</sup>

Among these, transcatheter arterial chemoembolization with sorafenib (TACE-S) is effective for Barcelona Clinic Liver Cancer (BCLC) stage C HCC with PVTT when the main portal vein is unobstructed or when substantial collateral circulation is generated, confirmed by imagological examination.<sup>8-10</sup> However, TACE is more efficacious

only when the blood supply is principally from the hepatic artery, rather than the portal vein or other vessels around the porta hepatis, in PVTT.<sup>11</sup> It has also been reported that sorafenib provides modest treatment efficacy towards PVTT, especially for type Vp3 or Vp4 with a response rate lower than 10%.<sup>12</sup> Thus, combined treatment is generally reserved as a palliative therapy.

In recent years, endovascular implantation of a portal vein stent combined with iodine-<sup>125</sup> seed-strips (PVS-<sup>125</sup>I) has been utilized for the treatment of HCC with PVTT.<sup>13</sup> A retrospective study was performed to determine whether PVS-<sup>125</sup>I followed by transcatheter arterial chemoembolization with sorafenib (PVS-<sup>125</sup>I-TACE-S) is safe and an effective treatment for HCC with PVTT.

#### METHODS AND MATERIALS

Design and patients

This retrospective study was approved by the Institutional Ethics Committee (LDYYLL2018-116). All patients provided written informed consent.

Records from the institutional database from January 2015 to July 2017 were accessed to identify all patients with HCC and PVTT who underwent PVS-<sup>125</sup>I-TACE-S. Inclusion criteria were as follows: (1) aged 18-70 years; (2) diagnosis of HCC; (3) Vp3 or Vp4 PVTT (Liver Cancer Study Group of Japan-classification); and (4) Child-Pugh Class A or B. Exclusion criteria were as follows: (1) Vp1 or Vp2 PVTT; (2) absence of baseline imaging information; (3) coagulation disorders that could not be corrected; (4) massive ascites; and (5) patients lost to follow-up. Diagnosis of HCC was confirmed in accordance with the criteria of the American Association for the Study of Liver Diseases.<sup>14</sup> Diagnosis of PVTT was confirmed following identification of a low attenuation intraluminal mass, partially or completely occluding the portal vein, or filling a defect in the portal vein, as observed using three-phase dynamic CT or MRI.<sup>13</sup> PVTT was classified according to Liver Cancer Study Group of Japan classification as follows: Vp0 = no PVTT, Vp1 = segmental portal vein invasion, Vp2 = right anterior/-posterior portal vein thrombosis, Vp3 = right/left portal vein thrombosis, and Vp4 = thrombus in the main trunk.<sup>15</sup>

After diagnosis of HCC with PVTT, patients were informed in detail and presented with the range of different treatment choices, including sorafenib, TACE-S, PVS-<sup>125</sup>I-TACE-S, *etc.* The advantages and disadvantages of all treatment methods were provided to the patients, the final option selected by each patient. A total of 25 patients were identified, although preoperatively enhanced CT/MRI was not available for five patients and two that had been lost to follow-up were excluded. Finally, the study population comprised 18 patients. Median interval from PVS-<sup>125</sup>I to the start of TACE and the start of sorafenib was 4 weeks and 1 week, respectively.

#### Materials

Iodine-<sup>125</sup> seeds (25.9 MBq with a half-life of 59.6 days, with principal photon emissions: 27.4–31.5 keV x-rays and 35.5 keV  $\gamma$ -rays) were obtained from Shanghai GMS Pharmaceutical Co. Ltd., China. Self-expandable stents (14 mm in diameter,

60–100 mm long) were acquired from ev3 Inc., Plymouth, MN, USA. Doxorubicin hydrochloride was purchased from Shanghai Hisun Pfizer Pharmaceutical Co. Ltd., China. Lipiodol was obtained from Beijing Wh-Medical Apparatus and Instruments Co. Ltd., China. Polyvinyl alcohol particles were supplied by Hangzhou ALICON Pharmaceutical Science and Technology Co. Ltd., China. Sorafenib was acquired from Nexavar<sup>®</sup>, Bayer HealthCare, Leverkusen, Germany.

## PVS-125I

After administration of local anesthesia to patients, the second-order portal branch was punctured and cannulated using a 5-Fr NEFF set (Cook, Inc.) under ultrasound guidance. A 6-Fr sheath (Terumo, Tokyo, Japan) was then exchanged, and portography performed to confirm the location and length of the thrombosis using a 4-Fr Cobra catheter (Terumo, Tokyo, Japan). Two Amplatz Super Stiff Guidewires (Boston Scientific, Marlborough, Massachusetts) were inserted into the splenic vein. A self-expandable stent was then placed along the stiff guidewire and released into the obstructed segment. The 6-Fr sheath was fed into the obstructed section again over the second stiff guidewire. The 6-Fr sheath was then withdrawn and iodine-<sup>125</sup> seed-strips released, becoming fixed firmly between the PVTT and stents, as shown in Figure 1. Finally, blocking of the intrahepatic puncture channel was accomplished using a 3-3 spring coil block. For construction of the iodine-<sup>125</sup> seedstrips, iodine-<sup>125</sup> seeds were arranged linearly and sealed into a 4-Fr sterile plastic tube (Cannula Create Medic Co., Kanagawa, Japan). The number of seeds was dependent on the length of the portal vein thrombus.

#### TACE procedure

TACE was performed under local anesthesia using 1% lidocaine. A 5-Fr RH (Terumo Corporation) catheter was introduced through the femoral artery, using a microcatheter (2.7 Fr; Progreat; Terumo) to survey tumor feeding arteries. An emulsion of 5–20 ml of lipiodol and 60–80 mg of doxorubicin hydrochloride was administered into the feeding vessels. If flow within the tumor-feeding artery remained rapid after the 20 ml upper limit was reached, polyvinyl alcohol particles were used to embolize the vessel until a sufficiently sluggish flow was observed. In patients with an arterioportal shunt, embolization with polyvinyl alcohol particles was used. TACE was performed on demand.

#### Sorafenib

Sorafenib was taken at a dose of 400 mg bd. However, the dose was adjusted according to the severity of toxicity. If adverse events (AEs) occurred, the dose was reduced to 400 mg once daily or an interruption in treatment was initiated. Patients underwent sorafenib tablets for as long as possible, even if progress was observed, or until death.

#### Follow-up

Patient follow-up was conducted 1 and 3 months after the operation and every 3 months thereafter until the patient's death. Follow-up assessment included a combination of physical examination, laboratory testing and contrast-enhanced

#### seed for portal vein tumor thrombosis

Figure 1. A 65-year-old male who had hepatocellular carcinoma (HCC) with Vp4 portal vein tumor thrombosis (PVTT). (A) Contrast-enhanced CT cross-sectional image demonstrating HCC lesions in segment 6 (arrows). (B) PVTT from the right branch of the portal vein extending to the main portal vein (arrows). (C) The left patent intrahepatic portal vein branch was punctured, portography showing an irregular filling defect at the confluence of the left portal vein and main portal trunk. The right portal vein branch is not shown. (D) Self-expandable stents and iodine-<sup>125</sup> seed-strips were placed in the obstructed main portal vein and the posterior segment of the left intrahepatic portal vein (arrows). (E) After advancing the catheter into the feed artery, injection of contrast medium revealed the tumor. (F) Occlusion of the tumor feeding arteries following TACE. (G-I) Results from a 6-month follow-up review. Iodine-<sup>125</sup> seed-strips fixed firmly between the PVTT and stent. Treated lesions were observed to have decreased in size, the stent exhibiting satisfactory patency (arrows).



abdominal CT or MRI. Success of the technique was defined as release of the stent at the target location, with iodine-<sup>125</sup> seed-strips completely irradiating the PVTT. Complications were recorded using the Common Terminology Criteria for Adverse Events v.3.0 classification. Levels of bilirubin, serum albumin, and prothrombin time were recorded before and 3 days after surgery. Time-to-tumor progression (TTP) was defined as the time from start of the PVS-<sup>125</sup>I until the date that tumor progression was radiologically confirmed. Overall survival (OS) was defined time from PVS-<sup>125</sup>I to death.

#### Data analysis

Statistical analyses were performed using SPSS v.24.0. Levels of bilirubin, serum albumin, and prothrombin time before and 3 days after surgery were analyzed using T tests. TTP and OS were calculated using Kaplan-Meier curves.

### RESULTS

#### Study population

A total of 18 patients with HCC with PVTT received PVS-<sup>125</sup>I-TACE-S. Detailed baseline patient characteristics are shown in Table 1. An average of  $18.8 \pm 1.4$  (range: 16.0-20.0) <sup>125</sup>I seeds were implanted, with mean radioactivity of 13.1 mCi  $\pm$  0.9 (range: 11.2-14.0 mCi). The estimated total radiation dose was approximately 40–50 Gy, as determined at specific dose reference points based upon calculations conducted by a computerized treatment planning system (FTT Technology Ltd. Co, Beijing, China).

#### Technical success and complications

The technical success rate was 100%, with no treatment-related deaths. Grades 1/2 AEs included fever (88.9%), abdominal pain

Table 1. Baseline	e characteristics	of study	patients	(n	= 18)
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Characteristic	Value
Sex	
Male	17 (94.4%)
Female	1 (5.6%)
Age (years)	$55.2 \pm 7.4$
Child-Pugh score	
А	17 (94.4%)
В	1 (5.6%)
ECOG performance	
0	5 (27.8%)
1	13 (72.2%)
2	0
Etiology	
HBV	12 (66.7%)
HCV	1 (5.6%)
Alcohol and other	5 (27.8%)
Classifications of PVTT	
Vp3	12 (66.7%)
Vp4	6 (33.3%)
a-Fetoprotein level (ng/mL)	
>400	9 (50.0%)
≤400	9 (50.0%)
Maximum diameter	
>5 cm	9 (50.0%)
≤5 cm	9 (50.0%)
Ascites	
Present	4 (22.2%)
Absent	14 (77.8%)
TACE times	2.4 ± 0.9
Distant metastasis	
Present	1 (5.6%)
Absent	17 (94.4%)

Mean  $\pm$  standard deviation or percentage is given. HBV: hepatitis B virus, HCV: hepatitis C virus.

(77.8%), nausea and vomiting (61.1%), fatigue (55.6%), diarrhea (38.9%), hypertension (33.3%), and hand-foot syndrome (27.8%). Grades 3/4 AEs included diarrhea (16.7%), hypertension (11.1%), and hand-foot syndrome (5.6%). No iodine-<sup>125</sup> seed shedding or displacement occurred during follow-up. The complication rates are shown in Table 2. Liver function test values were not significantly different compared with baseline values and after PVS-<sup>125</sup>I (P > 0.05), as shown in Table 3.

#### Survival analyses

Median follow-up duration was 10.0 months (range: 3.0-19.3 months). At the time of writing, all patients had died. The

Table 2. Postoperative complications (n = 18)

Parameter	Value	
Fever		
Grade 1–2	16 (88.9%)	
Grade 3-4	0	
Abdominal pain		
Grade 1–2	14 (77.8%)	
Grade 3-4	0	
Nausea and vomiting		
Grade 1–2	11 (61.1%)	
Grade 3-4	0	
Fatigue		
Grade 1–2	10 (55.6%)	
Grade 3-4	0	
Diarrhea		
Grade 1–2	7 (38.9%)	
Grade 3–4	3 (16.7%)	
Hypertension		
Grade 1–2	6 (33.3%)	
Grade 3–4	2 (11.1%)	
Hand-foot syndrome		
Grade 1–2	5 (27.8%)	
Grade 3–4	1 (5.6%)	

Percentage is given.

median TTP was 7.0 months (range: 4.2–9.9 months). In Vp3 PVTT, the TTP was 9.7 months (range: 8.8–10.5 months), and in Vp4 PVTT, the TTP was 4.2 months (range: 2.8–5.6 months). The median OS was 10.0 months (range: 7.0–13.1 months). In Vp3 PVTT, OS was 11.9 months (range: 9.2–14.5 months), and in Vp4 PVTT, OS was 7.2 months (range: 3.8–10.7 months), as shown in Figure 2.

#### DISCUSSION

Macroscopic PVTT has been found to be present in 10–40% of patients at the time HCC is first diagnosed.<sup>2</sup> PVTT usually worsens the prognosis of HCC, as characterized by aggressive progression of the disease, impaired liver function, and tolerance to treatment.<sup>4</sup> Thus, such thrombosis represents a typical difficult yet common situation that must be treated in patients with HCC.<sup>16</sup>

Currently, non-surgical treatment strategies for HCC with PVTT are available, such asendovascular radiofrequency ablation (RFA), which kills tumor cells through heating, forming a cylindrical necrotic area. Because PVTT forms close to the bile duct, this approach is associated with a relatively high risk of injury to both the duct and the portal vein itself.<sup>17,18</sup> Hepatic artery infusion of chemotherapy (HAIC) can deliver high concentrations of anticancer agents directly to the tumor through the hepatic artery, which minimizes systemic toxicity due to first-pass effects.

Characteristic	Before operation	3 days after operation	Т	Р
Total bilirubin level(µmol/L)	$26.4 \pm 8.0$	$27.2 \pm 8.0$	-0.50	0.63
Serum albumin level (g/L)	39.1 ± 4.5	$38.4 \pm 3.4$	1.22	0.24
Prothrombin time (sec)	$12.4 \pm 1.3$	$12.6 \pm 1.0$	-0.99	0.34

Table 3. Change in liver function, baseline values and 3 days after PVS- $^{125}$ I (*n* = 18)

Mean ± standard deviation is given.

PVS-<sup>125</sup>I,endovascular implantation of a portal vein stent combined with iodine-<sup>125</sup> seed-strips.

There was no statistically significant difference in prothrombin time and total bilirubin and serum albumin levels before and 3 days after surgery, p > 0.05.

However, it is not recommended as a standard treatment because clinical data of the technique are mostly limited to Japanese patients, without any randomized controlled trials.<sup>19</sup> For stereo-tactic body radiotherapy (SBRT), the benefit is that tumors can directly receive higher radiation doses and organs are protected from substantial radiation. The size of lesion represents the limiting factor, for which the rate of control is 74% for tumors larger than 5 cm in diameter and 91% for those less than 5 cm.<sup>20</sup> In addition, where transarterial radioembolization (TARE) has been used, a lower risk of hepatic ischemia and infarction has been observed. However, it is unavailable for a number of markets at this time, such as mainland China.<sup>2</sup>

In recent years, PVS combined with iodine-125 seeds has achieved significant results for the treatment of portal vein tumor thrombus. PVS can relieve portal vein obstruction, improve liver function, and reduce the risk of esophageal bleeding or the occurrence of stomach varices. Iodine-125 seeds release continuous low doses of x- and y-rays (half-life of 59.6 d with a radiation diameter of 1.7 cm). Such a continuous high dose of radiation causes damage to the cancer cells, preventing PVTT progression and prolonging stent patency. The principle of the treatment is the emission of ionized water molecules by y-rays that causes ionization and DNA damage, resulting in single- and double-stranded DNA breaks, while supplying a sharply reduced dose to the healthy tissues, leading to a reduced incidence of complications.<sup>21,22</sup> Combined with TACE-S, providing a synergistic effect, the result is a greater probability of survival for HCC patients with PVTT.

In the present study, no treatment-related deaths occurred. AEs such as fever, abdominal pain, nausea and vomiting, fatigue, diarrhea and hypertension were managed successfully with spontaneous or conservative management. Complications such as iodine-<sup>125</sup> seed shedding or displacement did not occur during the follow-up period because the iodine-<sup>125</sup> seed-strips were fixed to the site stent placement and tumor thrombus. These results confirm that PVS-<sup>125</sup>I-TACE-S is a safe procedure tolerated by patients.

It has also been reported that bilirubin levels are significantly increased after PVS-<sup>125</sup>I due to damage to the bile duct close to the portal vein.<sup>23</sup> However, in this study, liver function test values were not significantly different than baseline values after PVS-<sup>125</sup>I (P > 0.05). This indicates that PVS-<sup>125</sup>I does not reduce liver function in patients with HCC combined with PVTT. This was principally because portal vein puncture was conducted under the guidance of ultrasound, a relatively effective image guidance method which avoids damage to the bile ducts or blood vessels. In addition, PVS-<sup>125</sup>I prevented obstruction of the portal vein, guaranteeing blood supply to the liver and further improving liver function.<sup>24</sup>

The present study demonstrated that TTP was 9.7 months (range: 8.8–10.5 months) in Vp3 PVTT, and 4.2 months (range: 2.8–5.6 months) in Vp4 PVTT, a promising outcome compared with the durations reported in the literature using conventional TACE-S.<sup>8</sup> TACE combined with sorafenib is an appropriate treatment option considering their complementary effects, which may prolong the TTP of HCC with PVTT.<sup>25</sup> Embolization of the hepatic artery by



Figure 2. Time-to-tumor progression (TTP) and Kaplan-Meier overall survival curves. (A) Median TTP was 7.0 months (range: 4.2–9.9 months). (B) Median survival was 10.0 months (range: 7.0–13.1 months).

TACE reduces blood supply to the HCC with PVTT.<sup>3</sup> Additionally, sorafenib can directly inhibit tumor cell proliferation by blocking the cell signal transduction pathway mediated by RAF/MEK/ERK and indirectly inhibit tumor cell growth by inhibiting VEGFR and platelet-derived growth factor (PDGF) receptor on HCC with PVTT.<sup>9</sup> However, the effect of TACE-S is still limited. The principal reason is due to the inability to achieve complete embolization of the portal vein thrombus in blood vessels when using TACE, especially with an arterio-portal fistula.<sup>26</sup> In addition, it has been reported in the literature that the availability of sorafenib is also limited in PVTT.<sup>27</sup> Therefore, a PVTT might continue to grow or from metastases. However, PVS implantation can open the portal vein, with implantation of iodine-125 seed-strips directly exposing the portal tumor thrombus to radiation, killing the cancer cells and preventing occlusion, resulting in a promising outcome regarding TTP in the PVS-<sup>125</sup>I-TACE-S group.<sup>24</sup>

In addition, the survival of patients with Vp4 PVTT was promising, compared with conventional TACE-S, as reported in the literature,<sup>8</sup> with OS of 7.2 months (range: 3.8–10.7 months). PVTT is a critical factor that can worsen the prognosis of patients with HCC, especially in Vp4 PVTT, due to invasion of the main portal vein causing marked obstruction to portal vein blood flow, impairment of liver function, finally resulting in liver failure or death, and potentially putting a stop to the required treatment. Additionally, increased portal venous pressure leads to intractable ascites and rupture of gastric/esophageal varices. In addition, Vp4 PVTT is more susceptible to invasion than Vp3 PVTT, leading to the spread of tumors.<sup>13,21,29,30</sup> However, neither TACE nor sorafenib can immediately remove portal obstruction, with the restriction of PVTT somewhat limited.<sup>24</sup> The PVS can be placed for malignant portal vein stenosis/occlusion. In particular, for Vp4 PVTT, the stent can quickly restore blood flow to the liver, improve liver function, intractable ascites, and prevent fatal gastric/esophageal variceal hemorrhage. Implantation of iodine-<sup>125</sup> seed-strips that releases more uniform radiation energy along the PVTT not only eliminates tumor cells by maintaining them in a resting state and changing their immune-phenotype, it additionally inhibits intimal hyperplasia. This thereby decreases metastasis and prolongs stent patency.<sup>13,24,31</sup> Therefore, promising outcome survival can be achieved in HCC patients with Vp4 PVTT.

This study has several limitations. Firstly, as the total number of patients included was relatively small, it is difficult to draw powerful statistical conclusions. In addition, this study was retrospective in nature and despite our efforts to control potential confounding factors a future multicenter randomized-controlled trial is required to validate these results. Finally, measurement using a dosemeter at the surface of the skin after iodine-<sup>125</sup> seed implantation was not performed. Therefore, penetration by the radiation is unclear. However, prudent precautions are taken to reduce exposure to other individuals.

In conclusion, PVS-<sup>125</sup>I-TACE-S represents a safe therapeutic strategy for the treatment of HCC with PVTT and can prolong the TTP and survival in patients with Vp4 PVTT. It is a promising technique for combining recanalization of an occluded portal vein and TACE-S for HCC with PVTT. However, further studies are required to clarify the therapeutic power of the technique.

#### **CONFLICTS OF INTEREST**

No conflicts of interest, including specific financial interests, relationships or affiliations relevant to the subject matter or materials discussed in the manuscript are disclosed.

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