

Exceptional Response to AKT Inhibition in Patients With Breast Cancer and Germline *PTEN* Mutations

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INTRODUCTION

Cowden syndrome is an autosomal dominant genetic disease with an estimated incidence of one in 200,000. Affected individuals develop multiple systemic hamartomas and have a cumulative lifetime risk of breast cancer of 85%.¹ Approximately 80% of patients with Cowden syndrome have a germline inactivating mutation in *PTEN* (10q23.3).² *PTEN* acts as a tumor suppressor gene via numerous mechanisms,³ one of which is antagonizing the PI3K/AKT/mTOR signaling pathway by dephosphorylating phosphatidylinositol (3,4,5)-trisphosphate (PIP3). PIP3 functions as a secondary messenger in the PI3K pathway that binds and activates proteins that have a pleckstrin homology domain, such as AKT1, and triggers their activation and localization to the plasma membrane, promoting cellular proliferation and survival.⁴

Germline *PTEN* loss-of-function mutations may result in dominant AKT activation as a driving oncogenic event in Cowden-related breast tumors.⁵ Preclinical evidence suggests that cancers with AKT activation have increased sensitivity to AKT inhibition.⁶ Preliminary clinical evidence is derived from phase I and II trials in patients with breast cancers bearing somatic mutations in the PI3K/AKT/mTOR pathway.⁷⁻¹¹

Capivasertib (AZD5363, AstraZeneca) is a potent and selective oral inhibitor of all three isoforms of the serine/threonine kinase AKT (ie, AKT1, 2, 3) and has preclinical evidence of efficacy as monotherapy or in combination with cytotoxic and targeted therapies.¹²⁻¹⁵ Despite the encouraging progression-free survival observed with capivasertib monotherapy in heavily pretreated patients with *AKT1* E17K-mutant breast and gynecologic cancers,⁹ RECIST response rates in phase I studies only reached 22% (Table 1).^{8,9,16} Because *PTEN* loss activates AKT1, we hypothesized that tumors from patients with Cowden syndrome could be sensitive to this drug family.

Case 1: SAFIRO2 Trial

A 50-year-old woman with a family history of Cowden syndrome was diagnosed with a T3N3 breast cancer,

which was estrogen (ER) and progesterone receptor negative, human epidermal growth factor receptor 2 (HER2) negative, and was designated grade III invasive carcinoma of no special type (NST). The patient received six cycles of neoadjuvant cyclophosphamide 600 mg/m², epirubicin 75 mg/m², and docetaxel 100 mg/m² before a mastectomy with left axillary lymph node dissection (revealing residual disease in 10 of 18 lymph nodes) and adjuvant radiotherapy.

Eight months later, the patient experienced relapse with cutaneous disease and thoracic nodal involvement. After enrolling in SAFIRO2 (ClinicalTrials.gov identifier: NCT02299999), targeted panel sequencing (Ion Torrent PGM; Thermo Fisher Scientific, Villebon, France) of a fresh tumor biopsy sample revealed the presence of a heterozygous germline *PTEN* mutation (c.389G>A, p.R130Q, SNP rs121909229) alongside other variants (Fig 1A; Data Supplement). Immunohistochemistry revealed lack of *PTEN* expression in the tumor (Fig 1B). The patient received six cycles of paclitaxel (90 mg/m² on days 1, 8, and 15 of a 28-day cycle) with bevacizumab (10 mg/m² on days 1 and 15) and carboplatin (AUC2, days 1, 8, and 15 of a 28-day cycle, ceased after 6 weeks).

Upon completion of chemotherapy, tumor evaluation demonstrated a partial response (by RECIST, version 1.1) with 60% reduction of target lesions (Fig 1C). In the context of SAFIRO2, the patient was randomly assigned to maintenance targeted therapy and received capivasertib 480 mg twice per day, 4 days on and 3 days off. This treatment was well tolerated, with no grade 2 or greater toxicities. After 3 months of capivasertib monotherapy, a complete response was observed, which was maintained for 12 months before the patient experienced progression while on capivasertib.

Case 2: BEECH Trial

In March 2010, a 37-year-old woman with known Cowden syndrome and a history of a neck

ASSOCIATED CONTENT

Data Supplement

Author affiliations and support information (if applicable) appear at the end of this article.

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TABLE 1. Summary of Main Phase I Clinical Trials With Capivasertib Monotherapy

Author/Trial	Phase	No. of Patients	Key Eligibility	Treatment Regimen	Results
Banerji et al, 2018 ⁸	I	Parts A and B: 90	Parts A and B: Advanced solid malignancy (Western dose finding)	Parts A and B: dose escalation and expansion at multiple dosing schedules ¹	Parts A and B: One confirmed PR was noted in <i>PIK3CA</i> -mutant cervical cancer; 27 (30%) and six (7%) patients had SD for ≥ 6 and ≥ 12 weeks, respectively.
NCT01226316		Part C: 59	Part C: ER+ or HER2+ breast cancer or gynecologic cancer with <i>PIK3CA</i> mutation	Part C: dose expansion of capivasertib at monotherapy RP2D (480 mg twice per day for 4 days on, 3 days off)	Part C: Of 54 included in the RECIST assessment, three (5.6%) had a confirmed PR, of whom one (4%) of 28 was in the ER+ breast cancer cohort and two (8%) of 26 were in the gynecologic cancer cohort.
Hyman et al, 2017 ⁹ NCT01226316	I	59 (evaluable = 58)	Part D: ER+ or HER2+ breast cancer, gynecologic cancer, all other solid tumors with <i>AKT1</i> mutation (E17K, n = 52; non-E17K, n = 5; <i>AKT1</i> mutation not detected, n = 1).	Part D: dose expansion at monotherapy RP2D 480 mg twice per day for 4 days on, 3 days off	Confirmed PR noted in 9 (15.5%) of 58, of whom four (20%) of 20 were patients in the ER+ breast cancer cohort, three (16.7%) of 18 were patients in the gynecologic cancers cohort, and two (10.0%) of 20 were patients in the other solid tumor cohorts (triple-negative breast cancer and lung adenocarcinoma, n = 1 each).
Tamura et al, 2016 ¹⁶ NCT01353781	I	41	Advanced solid malignancy (Japanese dose finding)	Dose escalation at multiple dosing schedules ²	Of 37 evaluable patients, two (5.4%) had confirmed PR, and 10 (27%) had SD for ≥ 6 weeks.

Abbreviations: ER+, estrogen receptor positive; HER2+, HER2 positive; PR, partial response; RP2D, recommended phase II dose; SD, stable disease.

arteriovenous malformation, multinodular goiter, and rectal hamartomatous polyps was diagnosed with bilateral breast cancer. On the right side, she presented with a T3, ER-positive and progesterone receptor-positive, HER2-negative, grade II invasive carcinoma NST with 20 of 23 involved lymph nodes. On the left, she presented with a 4-mm, grade II invasive carcinoma NST, strongly ER positive and HER2 negative. After a bilateral mastectomy, exome sequencing (HiSeq2500, Illumina, Cambridge, UK) of the right breast cancer and germline DNA revealed the germline *PTEN* mutation (c.T68G:p.L23X), and a second-hit somatic stop-gain *PTEN* mutation (exon 1, c.T264A:p.Y88X) with an allele frequency (AF) of 25.5%, alongside other variants (Fig 2A; Data Supplement). *PTEN* immunohistochemistry revealed reduced *PTEN* staining in the tumor (Fig 2B). Postoperative staging revealed metastatic disease with mediastinal lymph node and lung metastases. In May 2010, the patient received six cycles of chemotherapy every 3 weeks (fluorouracil 600 mg/m², epirubicin 75 mg/m², and cyclophosphamide 600 mg/m²) before starting maintenance tamoxifen. In October 2011, she underwent a bilateral salpingo-oophorectomy.

After a 28-month progression-free period, the patient had new liver metastases. In November 2012, she enrolled in the phase I/II BEECH study (ClinicalTrials.gov identifier: NCT01625286) and was assigned to receive paclitaxel plus capivasertib (part A, schedule 2). She received eight cycles of paclitaxel (90 mg/m² on days 1, 8, and 15 of a 28-day cycle) combined with capivasertib (360 mg twice per day on days 2-5, 9-12, and 16-18 of each 28-day cycle). In June 2013, a computed tomography scan

showed a complete response of the liver metastases. The patient continued maintenance capivasertib alone with no grade 2 or greater toxicities. She had a confirmed maintained response in May 2014 until progression occurred in June 2014—a progression-free survival of 19 months—and a maintained complete response for 12 months on capivasertib alone (Fig 2C). Plasma circulating tumor DNA analysis from baseline and progression time points during the BEECH study showed no major changes (Data Supplement).

DISCUSSION

The AKT inhibitor capivasertib has been examined in early-phase trials (Table 1), and no complete responses have been noted, yet both patients with Cowden syndrome presented here had durable complete responses to capivasertib. Such outlier sensitivity likely reflects the germline, and therefore fundamentally clonal, nature of *PTEN* alteration. In vivo mice models with *PTEN* homozygous deletion have shown dramatic regression of the Cowden phenotype features of trichilemmomas on treatment with the mTOR (downstream from AKT) inhibitor rapamycin.¹⁷ In humans, Hyman et al⁹ demonstrated that tumor response to targeted treatment with capivasertib was proportional to *AKT1* mutation clonality. Furthermore, three case reports in pediatric patients and a pilot study (n = 18) in adults with *PTEN* aberrations have shown regression of phenotypic changes associated with *PTEN* loss after treatment with mTOR inhibitor sirolimus,¹⁸⁻²¹ demonstrating sensitivity of even

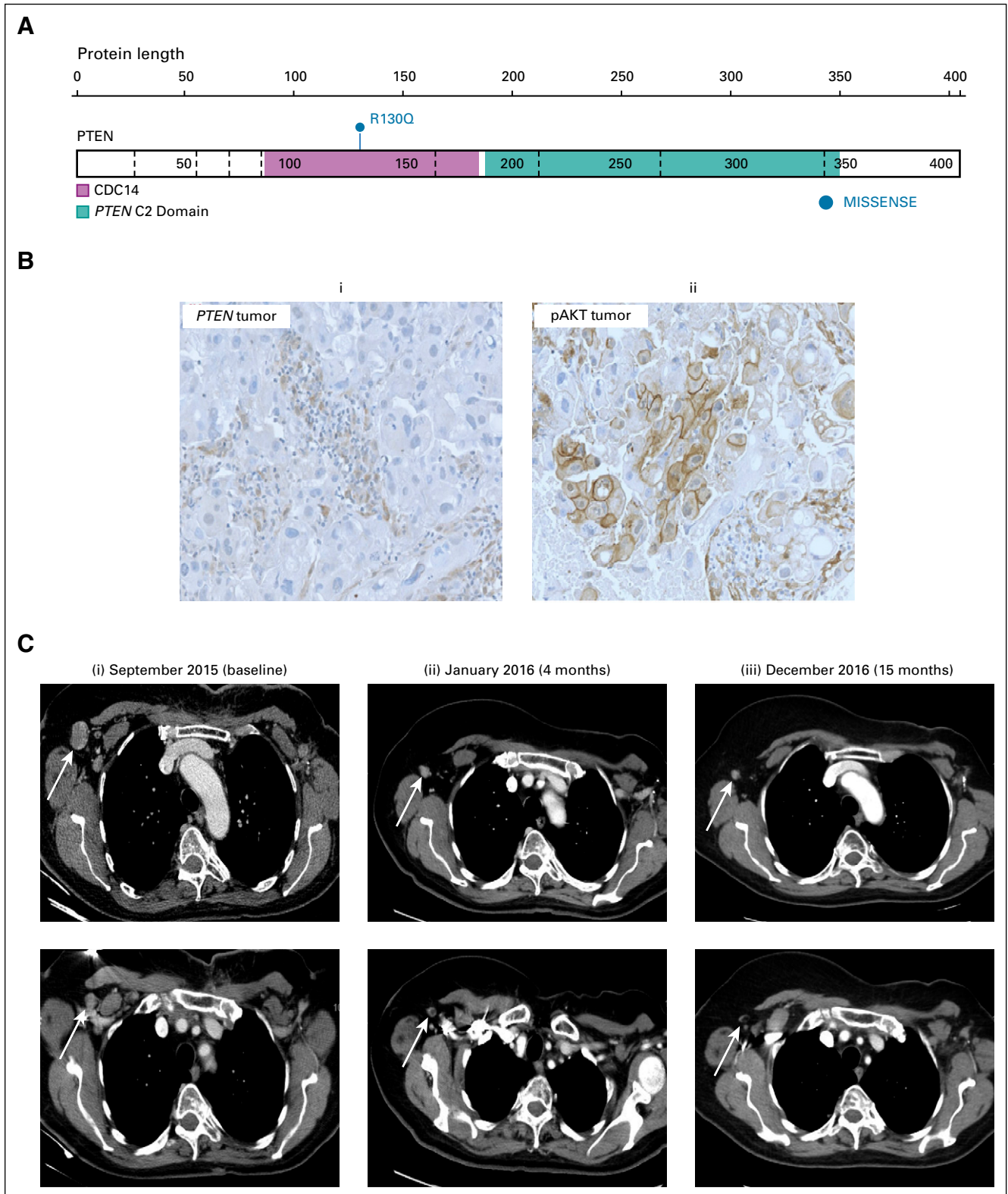


FIG 1. Exceptional response in patient with germline *PTEN*R130Q mutation. (A) Germline *PTEN* mutation c.389G>A, pR130Q. CDC14, phosphatase domain. Illustration from <https://proteinpaint.stjude.org>. (B) Immunohistochemistry demonstrating (i) absent *PTEN* staining in the tumor and (ii) cytoplasmic and membranous expression of pAKT in the 40% of tumor cells. (C) Computed tomography (CT) scans during the patient's time on capivasertib, with white arrows indicating axillary disease: (i), September 2015, baseline CT showing two areas of axillary lymphadenopathy; (ii) January 2016, CT scans demonstrating partial response following 4 months of carboplatin-paclitaxel-bevacizumab chemotherapy; and (iii) December 2016, CT scans following 11 months of capivasertib monotherapy demonstrating persistent complete response before progression in February 2017.

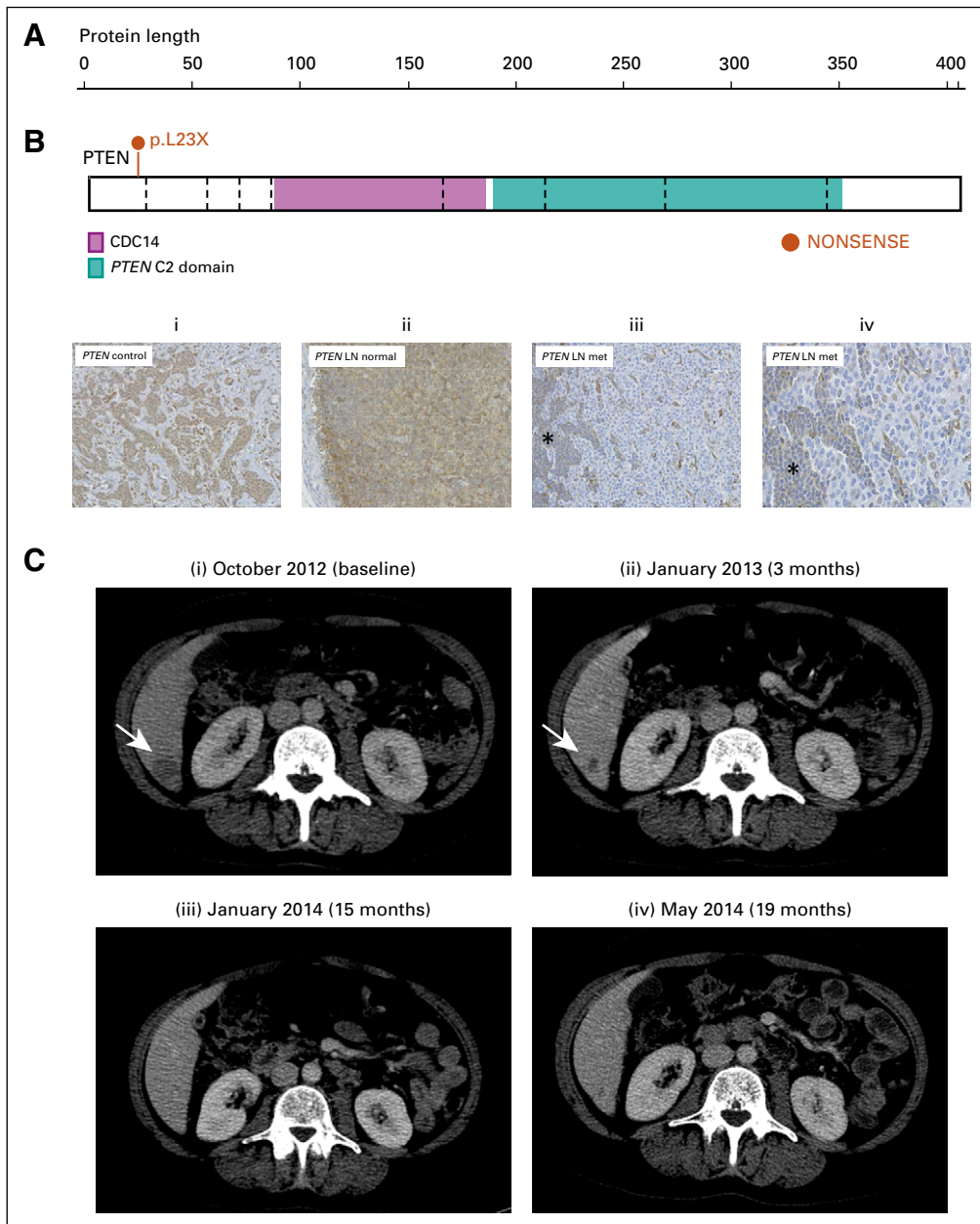


FIG 2. Exceptional response in patient with germline *PTEN* L23X mutation. (A) Germline *PTEN* mutation c.T68G: p.L23X. CDC14, phosphatase domain. Illustration from <https://proteinpaint.stjude.org>. (B) *PTEN* immunohistochemistry from (i) control tissue and (ii) noncancerous and (iii and iv) tumor-containing lymph node. The control tissue shows mainly cytoplasmic expression of *PTEN*. The noncancerous lymph node and the residual lymphatic tissue in the lymph node metastasis also show a *PTEN* expression comparable with the control. The tumor cells in the lymph node metastasis display weaker *PTEN* staining, which is mainly nucleolar. (C) Computed tomography (CT) scans during the patient's time on capivasertib, with white arrows indicating disease in the liver: (i) October 2012, baseline CT demonstrating a liver deposit; (ii) January 2013, CT following two cycles of paclitaxel and capivasertib; (iii) CT demonstrating continued complete response on maintenance capivasertib 7 months after cessation of paclitaxel; and (iv) May 2014, final CT before progression June 2014.

nonmalignant cells carrying a germline *PTEN* mutation to PI3K-pathway inhibition. Similar to the cases presented here, no severe toxicity was noted in the pilot study,²¹ with just one patient experiencing a grade 3 adverse event (hypophosphatemia/hypercholesterolemia).

In recent years, drugs targeting the PI3K/AKT/mTOR pathway have been developed. Trials have attempted to identify molecular predictors of response by identifying alterations in the PI3K/AKT/mTOR pathway (Data Supplement). Tumor *PIK3CA* mutations are not clear predictors

of response to mTOR inhibition. In contrast, AKT inhibitors in combination with paclitaxel have been shown to be more active in patients with triple-negative breast cancers harboring a *PIK3CA/PTEN/AKT1* pathway alteration.^{7,10} Similarly, PI3K inhibitors have demonstrated activity in patients with *PIK3CA* mutations.^{22,23}

Of note in case 2 is the presence of the second-hit *PTEN* stop-gain mutation Y88X, present with an AF of 25.5%. However, the presence of a *TP53* mutation at an AF of 51.9% indicates that this second-hit mutation is subclonal rather than a truncal driver mutation. Conversely, the patient in case 1 does not have a *PTEN* second-hit mutation or loss of heterozygosity (LOH) yet demonstrates lack of *PTEN* expression. Low tumor purity can make LOH difficult to detect, and, although the estimated purity was 40%, the true purity may have been lower. Explanations for the *PTEN* phenotype in case 1 include undetected LOH, *PTEN* promoter hypermethylation,^{24,25} complex *PTEN* genomic rearrangements,²⁶ and post-translational modification.²⁷

Contrary to the two-hit model by Knudson et al²⁸ of tumorigenesis in tumor suppressor genes, *PTEN* aberrations appear to be protumorigenic in the absence of a second hit. In 2010, Alimonti et al²⁹ demonstrated that *PTEN* hypomorphic mice (with 80% of the normal *PTEN* protein level) had a greater propensity to tumorigenesis than mice with two functional alleles but were less tumorigenic than *PTEN* heterozygous mice, supporting a haploinsufficiency model of tumorigenesis in *PTEN* aberrations.

A later in vivo study of *PTEN* knock-in mouse models suggested that the conformation of *PTEN* underlies the

dominant-negative behavior of *PTEN* heterozygous mutants. Papa et al³⁰ demonstrated that *PTEN* is catalytically active in PIP3 dephosphorylation and subsequent downstream PI3K/AKT/mTOR pathway regulation, after dimerization. Significantly, mutant *PTEN* protein was able to dimerize with wild-type *PTEN*, but the resultant heterodimers were less able to hydrolyze PIP3. Moreover, mutant *PTEN* outcompeted and displaced wild-type *PTEN* protein in dimerization and membrane localization. This supports the rationale that *PTEN* heterozygous mutants act in a dominant-negative manner to promote tumorigenesis.

The patients in the cases presented here were treated with combination chemotherapy and capivasertib followed by capivasertib monotherapy. The patient in case 1 had previously demonstrated tumor resistance to taxane therapy, with residual disease after neoadjuvant chemotherapy and a short progression-free survival after treatment of primary breast cancer. The second patient achieved a complete response with the combination of paclitaxel and capivasertib and maintained this complete response for a period of 12 months on capivasertib alone, suggesting that capivasertib was highly active in this patient.

In summary, these two patients with breast cancer and different germline *PTEN* mutations both showed a dramatic response to capivasertib superior to that seen in early trials of the drug. The excellent response in these two patients, despite their differing histology, is a promising indication that targeted AKT therapy is an effective approach in patients with germline *PTEN* mutations.

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