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# Atmospheric Pollution Research

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## What the COVID-19 lockdown revealed about photochemistry and ozone production in Quito, Ecuador

María Cazorla<sup>\*</sup>, Edgar Herrera, Emilia Palomeque, Nicolás Saud

Universidad San Francisco de Quito, Instituto de Investigaciones Atmosféricas, Diego de Robles y Av. Interoceánica, Quito, Ecuador

### ARTICLE INFO

#### Keywords:

Ozone  
Ozone production  
NO<sub>x</sub>  
Air quality  
Photochemistry  
COVID-19  
Quito  
Ecuador

### ABSTRACT

The COVID-19 lockdown presented a peculiar opportunity to study a shift in the photochemical regime of ozone production in Quito (Ecuador) before and after mobility restrictions. Primary precursors such as NO and CO dropped dramatically as early as 13 March 2020, due to school closures, but ambient ozone did not change. In this work we use a chemical box model in order to estimate regimes of ozone production before and after the lockdown. We constrain the model with observations in Quito (ozone, NO<sub>x</sub>, CO, and meteorology) and with estimations of traffic-associated VOCs that are tightly linked to CO. To this end, we use the closest observational data of VOC/CO ratios at an urban area that shares with Quito conditions of high altitude and is located in the tropics, namely Mexico City. A shift in the chemical regime after mobility restrictions was evaluated in light of the magnitude of radical losses to nitric acid and to hydrogen peroxide. With reduced NO<sub>x</sub> in the morning rush hour (lockdown conditions), ozone production rates at 08:30–10:30 increased from 4.2–17 to 9.7–23 ppbv h<sup>-1</sup>, respectively. To test further the observed shift in chemical regime, ozone production was recalculated with post-lockdown NO<sub>x</sub> levels, but setting VOCs to pre-lockdown conditions. This change tripled ozone production rates in the mid-morning and stayed higher throughout the day. In light of these findings, practical scenarios that present the potential for ozone accumulation in the ambient air are discussed.

### 1. Introduction

The COVID-19 pandemic placed the world's public health in peril since its original outbreak in China in December 2019 (Greene et al., 2020). As countries across the globe implemented locking down policies and quarantined their citizens in order to combat uncontrolled infection, reductions in surface contaminants were reported in several urban areas, to the point of being observable from space (NASA, 2020; ESA, 2020). Consistently, in Quito (Ecuador) a decrease in primary pollutants (CO and NO<sub>2</sub>) was evident as a direct result of mobility restrictions (a brief summary of events is included below), which was documented promptly in media releases (Quito Informa, 2020). However, little has been explored in regard to the effect of reduced emissions in secondary pollutants such as ozone, a highly oxidative contaminant that is health-harming of human and non-human populations (Madden and Hogsett, 2001; Ho et al., 2007). In this contribution, we analyze how mobility restrictions impacted the chemical regime of ozone production in Quito.

Quito is a city of 2.78 million inhabitants (INEC, 2017) located on the

equatorial Andes, at an altitude that varies from 2400 masl (meters above sea level) in the surrounding valleys to 2800 masl at the main urban center. To place this study within the sequence of events that led to conditions of reduced emissions, a quick chronology based on official sources follows (Servicio Nacional de Gestión de Riesgos y Emergencias, 2020). The first reported case of a person infected with COVID-19 in Ecuador occurred on 29 February 2020 in the coastside province of Guayas. As the infection began to propagate within the country, the government issued school closures at the national level on 13 March 2020. On 17 March, a decree was issued to restrict citizens' free mobility through a 21:00–05:00 national curfew. In Quito, the local government suspended public transportation (mainly composed by a fleet of diesel buses) on 17 March. The government further hardened mobility restrictions on 25 March by imposing a 14:00–05:00 curfew in the entire country. Circulation of private vehicles was limited by the last digit of their plate number. This restriction continued into April, although delivery vehicles were allowed to drive until 19:00. These measures had an impact on the levels of NO<sub>x</sub> and VOCs and on the chemistry of ozone production, which we discuss in this paper.

Peer review under responsibility of Turkish National Committee for Air Pollution Research and Control.

<sup>\*</sup> Corresponding author.

E-mail address: [mcazorla@usfq.edu.ec](mailto:mcazorla@usfq.edu.ec) (M. Cazorla).

<https://doi.org/10.1016/j.apr.2020.08.028>

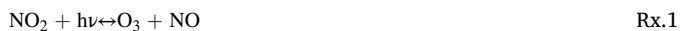
Received 27 May 2020; Received in revised form 19 August 2020; Accepted 20 August 2020

Available online 25 August 2020

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Ozone production has been studied comprehensively by many authors (i.e., Haagen-Smit et al., 1956; Finlayson-Pitts and Pitts, 1977; Thornton et al., 2002). Briefly, during daylight hours, NO<sub>2</sub> photolyzes and leads to the formation of ozone and NO in a 1:1 stoichiometric proportion. Subsequently, ozone is titrated by NO. The latter two reactions (summarized in Rx. 1) yield a null cycle in the clean background atmosphere. In the urban atmosphere, VOCs are oxidized by the hydroxyl radical (OH). As a result, hydroperoxy (HO<sub>2</sub>) and organic peroxy radicals (RO<sub>2</sub>) are formed and react with NO to produce NO<sub>2</sub>. These reactions produce secondary organic radicals (RO'), which continue to oxidize towards additional production of NO<sub>2</sub> (propagation chain compactly represented by Rx. 2 to 4). This NO<sub>2</sub>, that forms outside of the titration step of ozone with NO, rapidly undergoes photolysis and is converted into ozone.



Due to the above processes, the chemical rate of ozone production equals the rate of NO<sub>2</sub> formation from radical reactions with NO and is calculated through Eq. (1), as previously studied in several modeling and experimental work (Jaeglé et al., 2001; Shirley et al., 2006; Dusanter et al., 2009; Cazorla and Brune, 2010; Ren et al., 2013). In Eq. (1), constants *k* are temperature dependent rate coefficients for every reaction.

$$p(\text{O}_3) = k_{\text{HO}_2+\text{NO}}[\text{HO}_2][\text{NO}] + \sum k_{[\text{RO}_2]i+\text{NO}} [\text{RO}_2]_i [\text{NO}] \quad \text{Eq.1}$$

Ozone production continues to be fueled in a catalytic fashion by rapid cycling of OH into HO<sub>2</sub>, until a termination step takes place. Termination can occur due several mechanisms of the type NO<sub>x</sub>-HO<sub>x</sub> or HO<sub>x</sub>-HO<sub>x</sub>. Two of the most studied reactions (Sillman, 1995) are formation of nitric acid and hydrogen peroxide represented by Rx. 5 and 6, respectively (M in Rx. 5 is the altitude dependent abundance of background air molecules). The rate of radical losses due to these mechanisms can be quantified through Eqs. (2) and (3) and their magnitudes can be used to assess if the ozone production regime is NO<sub>x</sub>-saturated (Rx. 5 dominates) or NO<sub>x</sub>-limited (Rx. 6 dominates) (Kleinman et al., 2001; Kleinman, 2005).



$$L1 = k_{\text{OH}+\text{NO}_2}[\text{OH}][\text{NO}_2] \quad \text{Eq.2}$$

$$L2 = 2k_{\text{HO}_2+\text{HO}_2}[\text{HO}_2]^2 \quad \text{Eq.3}$$

As presented, it is necessary to count on ancillary measurements of NO<sub>x</sub> and VOCs in order to constrain chemical models for the purpose of calculating radical abundances and assessing regimes of ozone production. In the present case, direct VOCs measurements are unavailable. Thus, we took advantage of the fact that traffic-associated VOCs are tightly linked to ambient CO. Consequently, we present VOCs derived from VOC/CO ratios taken at another high altitude Latin American city (Mexico City). Furthermore, we used the dramatic change in traffic emissions during the study time period as an indicator of pre- and post-lockdown precursor conditions. With these strategies, we applied the Master Chemical Mechanism (MCM) (Jenkin et al., 1997; Saunders et al., 2003) implemented in the Framework for 0-D Atmospheric Modeling (FOAM) (Wolfe et al., 2016) to model radicals and calculate ozone production rates. The research questions we pursue in this study are the following:

- What are observed levels of precursors that lead to a shift in the chemical regime of ozone production from NO<sub>x</sub>-saturated to NO<sub>x</sub>-limited in Quito?
- What are practical scenarios under which high production rates could lead to accumulation of ozone in the ambient air?

The organization of the paper is as follows: in the methods section, we describe the measuring site as well as air quality and meteorology data sets. Furthermore, the strategy used to estimate VOCs and photolysis frequencies is described in detail. In addition, we describe model details and constraints. In the results section, we discuss dependencies encountered among radical abundances, NO<sub>x</sub> levels and ozone production rates, before and after the COVID-19 lockdown. We include a practical view of scenarios under which increased rates of ozone production could lead to high ozone days in Quito. In the conclusions section, we present the main lessons revealed by such dramatic change in precursors in regard to the production of ozone and its overall effect on air quality.

## 2. Methods

Sections 2.1 to 2.3 describe data sets and estimated quantities needed to constrain the chemical box model, section 2.4 describes model settings, and sections 2.5 and 2.6 describe methodology for specific analyses.

### 2.1. Measuring site and in situ data

Ozone, NO<sub>x</sub>, and meteorological observations were taken at the Atmospheric Measurement Station (EMA, Spanish acronym) on the main campus of Universidad San Francisco de Quito (USFQ). EMA is located in the valley town of Cumbayá, east of Quito's main urban center. The station coordinates are 0°11'47" S, 78°26'06" W, and 2414 masl. Cumbayá is an urban area that belongs to the Metropolitan District of Quito. Fig. 1 shows maps with EMA's location relative to Quito and to Ecuador. Additional topographic maps are presented elsewhere (Cazorla and Juncosa, 2018).

We present 10-min data for NO, NO<sub>2</sub>, and ozone from 1 January to 30 April 2020. Details relative to air quality instrumentation are described in previous work (Cazorla, 2016). Briefly, NO<sub>x</sub> measurements were taken with a Teledyne T200 instrument. The instrument is periodically calibrated at EMA using mixtures prepared with a certified NO standard and zero air. Measurements are also intercompared against those of a neighboring City station. From calibrations, 1-σ uncertainty in measurements is 5%. The low detection limit of this instrument is 0.4 ppbv. Thus, only data greater than 0.4 ppbv were used. Ozone is continuously measured with a Thermo 49i analyzer. Ozone measurements are periodically checked by intercomparing against ground station measurements taken with conditioned electrochemical concentration cells used at EMA for vertical profiling (Cazorla, 2017). Beginning February 2020, ozone measurements are also checked periodically against a new 2B Technologies sensor model 205. The limit of detection of the 49i sensor is 0.5 ppbv. From intercomparisons, 1-σ measurement uncertainty is 8%. In addition to 10-min time series, we present ozone, NO and NO<sub>2</sub> mean diurnal variations (MDV) prepared by overlapping 10-min data each month and obtaining an average every hour.

Physical meteorology measurements (10-min data) taken at EMA from 1 January to 30 April 2020 (temperature, pressure, relative humidity, solar radiation, and precipitation) are also presented. Details of the meteorological context within which this work was developed are included in the Supplementary Material (SM), Appendix S1 (Table S1, Fig. S1.1 and S1.2). Briefly, average temperature maximum from January to April was 23–24 °C, while the relative humidity at noon was 40–50%. January was the month with the greatest number of sunny days (from the 7th to the 19th) and no rain (19 days). On the other hand, April was the cloudiest month during which there were rain events at





**Fig. 1.** a) Location the Atmospheric Measurement Station (EMA, Spanish acronym) at USFQ relative to Quito (red balloon). Blue dots mark locations of three stations managed by Quito's air quality network (Cotocollao, Belisario and Los Chillos), whose CO data were averaged. b) Quito location relative to Ecuador. Maps were extracted from Google Earth.

different hours in 20 days. Total precipitation in January, February, March, and April was 74.9, 112.9, 99.8, and 145 mm, respectively. In general, at the measuring site, cooler temperatures, more cloudiness, and rain are typical from February to May and around November, while the summer months run from July to mid-September (Cazorla and Juncosa, 2018). Information about meteorological instrumentation at EMA can be found elsewhere (Cazorla and Tamayo, 2014). Instruments were calibrated against independent standards from which uncertainty in meteorological data is 5%.

Carbon monoxide measurements are unavailable at EMA. Thus, CO data were obtained as 1-h averages from Quito's air quality network and public archive (Secretaría de Ambiente, 2020). The car fleet and the traffic situation during weekday rush hours are comparable in the entire Metropolitan District for which we worked under the assumption that CO levels are similar, on average, within the urban area. Thus, we chose three stations within the city (stations marked in Fig. 1, coordinates and details in the SM, Appendix S2, Fig. S2) and obtained an average CO time series for the study time period. Mean diurnal variations before and after the lockdown are presented in the results section. The standard deviation among the three sample stations was used as a measure of uncertainty for CO, which corresponds to  $\pm 26\%$  at the  $1-\sigma$  level. Stations were chosen based on data quality and completeness. To constrain the model, CO data was linearly interpolated to match EMA's 10-min time stamp.

## 2.2. Derivation of VOCs

Direct VOCs observations are unavailable in Quito. Thus, traffic-borne VOCs, which are intimately linked to CO (Bon et al., 2011), were derived using VOC/CO ratios from a similar urban area. Hence, we

used measurements of non-methane VOCs as well as CO by Jaimes-Palomera et al. (2016) in the Mexico City Metropolitan Area from March to May 2012. Mexico City, located at 2550 masl in the tropics, shares with Quito conditions of high altitude that directly influence combustion and levels of VOCs as previous work demonstrates (Nagpure et al., 2011; Fang et al., 2019). Hence, Mexico City's measurements are the closest observational data suitable to be applied in the present case. To be precise, the work published by Jaimes-Palomera et al. presents average diurnal profiles of VOCs and CO that represent typical urban conditions. Thus, we correlated VOCs with CO to obtain VOC/CO ratios for: propane, 3-methylpentane, n-butane, n-hexane, ethene, propene, benzene, toluene, m-xylene, and ethylbenzene. Ratios range from 0.0062 (3-methylpentane) to 0.06 (propane). All factors and data are presented in the SM (Appendix S3, Table S3). Subsequently, we used Quito CO observations to derive VOCs and constrain the chemical box model, as discussed in section 2.4.

## 2.3. Estimations of $\text{NO}_2$ and ozone frequencies of photolysis

Photolysis frequencies of  $\text{NO}_2$  ( $J_{\text{NO}_2}$ ), used to constrain the photochemical box model, were estimated following Trebs et al. (2009). Thus, we used 10-min station measurements of global solar radiation (Fig. S1.1) taken at EMA. However, this empirical model was proposed for sites at altitudes lower than 800 masl. Due to this caveat, an alternative estimation was performed using measurements taken in Mexico City in March 2006. This comparison is suitable for observations were performed in a city in the tropics and at high altitude. Hence,  $J_{\text{NO}_2}$  measurements in Mexico City documented by Li et al. (2011) were correlated to solar radiation measurements taken by Fast et al. (2007) and a simple scaling factor was obtained from a linear regression (details



in the SM, Appendix S4, Fig. S4). This factor was applied to the solar radiation time series taken at EMA in order to estimate  $J_{NO_2}$ . These calculations yielded upper and lower limits for  $J_{NO_2}$  (Trebs' method and Mexico City measurements, respectively). Hence, we present the average of both methods as  $J_{NO_2}$  estimates and use the difference between the boundaries as a measure of  $1-\sigma$  uncertainty ( $\pm 17\%$  at noon and  $\pm 32\%$  in the mid-morning and afternoon). Subsequently, a simple scaling factor of  $4.67 \times 10^{-3}$  (obtained from simple observation) that relates  $J_{NO_2}$  to  $J_{O_3>O_1D}$  was extracted from the work by Li et al. (2011). We applied this factor to Quito's  $J_{NO_2}$  to find ozone frequencies of photolysis. Both,  $J_{NO_2}$  and  $J_{O_3>O_1D}$ , were used to constrain the chemical box model. Additional frequencies of photolysis for other atmospheric species were calculated by the model, as explained in the following section.

#### 2.4. Model details

We used the Framework for 0-D Atmospheric Modeling (Wolfe et al., 2016), FOAM v4, which is a complete MATLAB-based software loaded with six ready-to-use atmospheric chemistry mechanisms as well as with three options for resolving photolysis frequencies. The FOAM has been extensively used and proven to be a powerful tool for photochemical simulations (i.e., Brune et al., 2019). In the present case, we used the Master Chemical Mechanism (Jenkin et al., 1997; Saunders et al., 2003), MCM v3.3.1, obtained via website (<http://mcm.leeds.ac.uk/MCM>). Thus, a subset of reactions for the 10 VOCs indicated previously were prepared directly from the MCM website and added as code to the FOAM. The chosen photolysis option in the FOAM was the MCM parametrization (Wolfe et al., 2016). The model was constrained using measurements of ozone, NO, NO<sub>2</sub>, CO, meteorological data, ten non-methane hydrocarbons (section 2.2),  $J_{NO_2}$  and  $J_{O_3>O_1D}$  (section 2.3). The code was set to correct all modeled photolysis frequencies according to the  $J_{NO_2}$  structure in order to account for the effect of clouds.

The model was run from 1 January to 30 April 2020 in 10-min time steps. Model runs were performed at EMA in a system that has a Core i7 processor with 32 GB of RAM memory and a MATLAB license owned by USFQ. The following quantities from the model output were used in analyses: radical abundance (OH, HO<sub>2</sub>, and RO<sub>2</sub>), concentrations of secondary species (formaldehyde and HONO), corresponding reaction coefficients, and frequencies of photolysis. A complete description of model output can be found elsewhere (Wolfe, 2020).

#### 2.5. Radical and ozone production rates

The chemical production of HO<sub>x</sub> radicals,  $p(\text{HO}_x)$ , was obtained considering the main mechanisms for the production of OH and HO<sub>2</sub>, namely ozone photolysis followed by reaction of O(<sup>1</sup>D) with water vapor (Levy, 1971), HONO photolysis, and formaldehyde photolysis (Dusanter et al., 2009; Ren et al., 2013). To this end, we used measurements of ozone and water vapor as well as model output concentrations of HONO, formaldehyde, and their photolysis frequencies. Consequently, Eq. (4) was used to calculate  $p(\text{HO}_x)$ , as in previous work (Thornton et al., 2002; Ren et al., 2013).

$$p(\text{HO}_x) = 2J_{O_3 \rightarrow O(1D)}[O_3][k_{O(1D)+H_2O}[H_2O]/(k_{O(1D)+H_2O}[H_2O] + k_{O(1D)+M[M]}) + 2J_{HCHO}[HCHO] + J_{HONO}[HONO] \quad \text{Eq. 4}$$

Ozone production rates were calculated using Eq. (1). To this end, we used model output radical abundances (HO<sub>2</sub> and RO<sub>2</sub>) and rate coefficients as well as station measurements of NO. For comparisons, we filtered data within similar ranges of  $J_{NO_2}$  as cloudiness and precipitation substantially increased from January to April. A discussion is included in the results section.

Ozone production regimes were evaluated by looking into the magnitude of precursor losses to nitric acid (L1, Eq. (2)) and hydrogen

peroxide (L2, Eq. (3)). Thus, the ratio  $L1/(L1+L2)$  was evaluated and discussed in light of previous work (Kleinman et al., 2001; Ren et al., 2013).

#### 2.6. Test simulation with reduced NO<sub>x</sub>

Ozone production rates, calculated with the above procedure, were also determined for the hypothetical case that NO<sub>x</sub> levels stayed low, while CO and VOCs were those of normal pre-lockdown conditions. This estimation was done to discuss more extensively potential scenarios that could lead to a more permanent shift in the chemical regime of ozone production in Quito. This test was done with NO<sub>x</sub>, ozone, and meteorological data from 14 March to April, but mimicking CO and VOCs levels from before the quarantine. Thus, the CO and VOCs levels from 14 March to April were multiplied by a factor of 1.86 to perform this simulation. A discussion is included in the results section.

### 3. Results and discussion

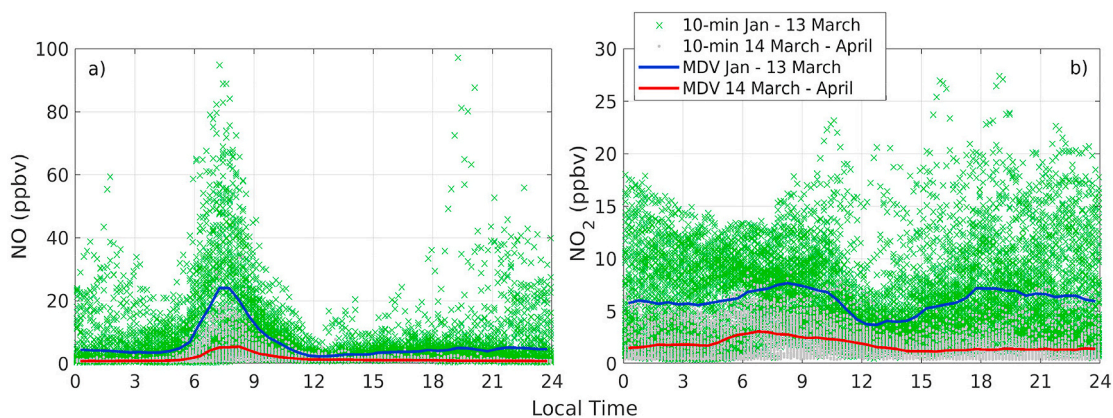
#### 3.1. Air quality observations

Under typical conditions, the study area is characterized by intense traffic, mainly during weekdays and in months when school and college classes are active. At USFQ, the spring semester runs from the second week of January to early May, while classes in the national school system (for provinces in the Ecuadorian highlands) extend up to July. Thus, rush hour emissions from private vehicles and public transportation, that become well mixed in the boundary layer, are evident in air quality measurements. NO and NO<sub>2</sub> observations taken at EMA USFQ before and after the lockdown are presented in Fig. 2a and b (the SM, Appendix S5, Fig. S5 contains time series).

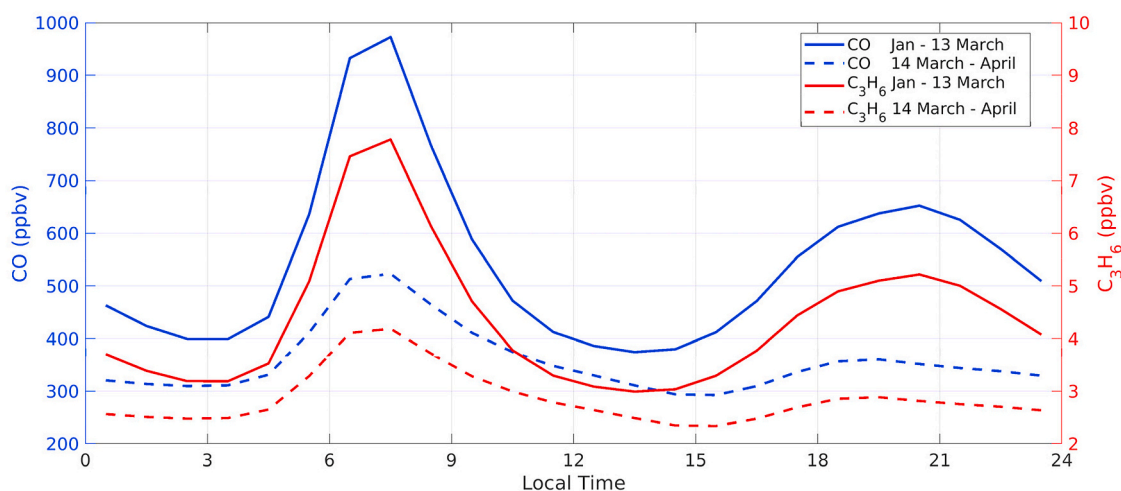
As presented, morning rush hour levels of NO approach 100 ppbv (10-min data). The local legislation does not impose NO<sub>x</sub> emission controls on vehicle exhausts, which explains rush hour NO levels of the magnitudes depicted in Fig. 2. In addition, boundary layer depths during morning rush hours are shallow (below 500 m from 07:00–09:00), as calculated from station measurements (Cazorla and Juncosa, 2018) (SM, Appendix S6, Fig. S6). This physical factor contributes to concentrating primary emissions near the ground in the morning. Under quarantine conditions, NO maxima plummeted by a factor of five from mid-March into April. In mid-March the reduction was greater, but in April permits were implemented for delivery vehicles and medical emergencies, which somewhat increased the traffic flow.

In regard to carbon monoxide (Fig. 3), average changes after the initiation of the lockdown period are evident as levels dropped by a factor of 1.86 (from about 973 to 523.5 ppbv in the morning rush hour). It is important to remark that CO was not measured at EMA station, which is a limitation. However, CO levels are similar across different stations managed by the local network as presented in their annual reports (Secretaría de Ambiente, 2018). Therefore, it is reasonable to assume that an average within Quito yields a good estimate of mean CO levels before and after the COVID-19 lockdown. Fig. 3 also contains average levels (before and after the lockdown) of propene, one of the ten VOCs derived from VOC/CO ratios. Previous work demonstrates the high correlation of traffic-related VOCs with CO, in particular at high altitude, where elevation plays a role at increasing VOCs emissions (Bon et al., 2011; Nagpure et al., 2011; Fang et al., 2019). Hence, estimating VOCs levels with observed factors from another high elevation Latin American city is suitable for the present case. In addition, pandemic-induced mobility restrictions marked a clear difference between levels of traffic-related compounds that also make reasonable scaling VOCs to CO. Consequently, Fig. 3 shows that propene dropped proportionally along with CO. The SM (Fig. S3) contains data of all VOCs derived for this work.

While primary precursors decreased considerably after 13 March, the day school closures were issued, and stayed low into April, ozone levels



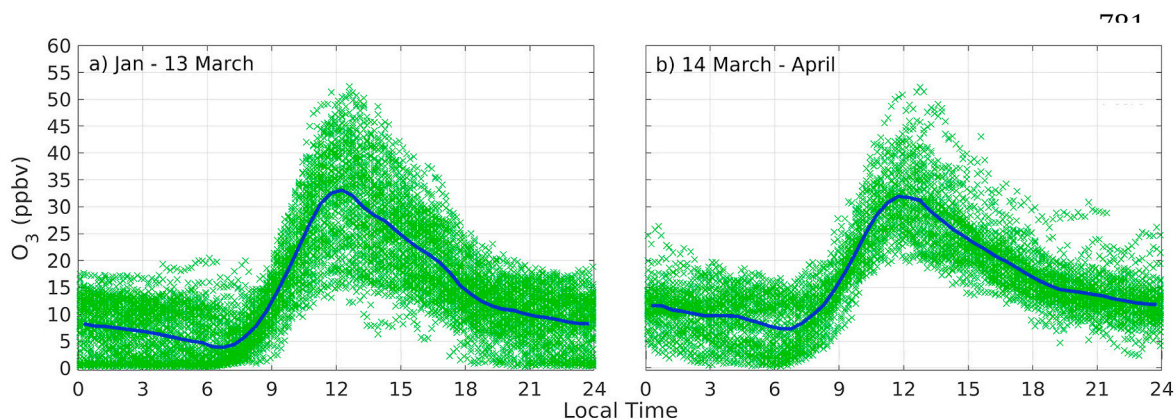
**Fig. 2.** a) NO measured at EMA USFQ before (green crosses and blue line) and after (gray dots and red line) the COVID-19 lockdown. b) The same, but for NO<sub>2</sub>. Crosses and dots are 10-min data and lines are median diurnal variations (MDV). Dates before and after the lockdown were Jan-13 March and 14 March–April, respectively.



**Fig. 3.** Mean diurnal variation of CO (blue) and C<sub>3</sub>H<sub>6</sub> (propene, red) before (solid lines) and after (dashed lines) the COVID-19 lockdown (Jan-13 March and 14 March–April, respectively). CO is the average from three City stations. Propene was derived by scaling CO (for other VOCs see Appendix S3).

did not experience evident changes. Fig. 4 shows that 10-min maxima peaked at 45–50 ppbv from January to April 2020. From diurnal variations, average peak ozone before and after 13 March was about 33 ppbv. Daily data (SM, Appendix 7, Fig. S7.1) shows that noontime maxima in January were lower, on average, than levels in February and

March, even though it was the sunniest month of the trimester. Also, titration with NO at around 06:00 (local time) was reduced during the quarantine due to reduced morning rush hour NO. A more dramatic comparison is average ozone in January and April, which are comparable (SM, Fig. S7.2), even though April was considerably more cloudy



**Fig. 4.** Ozone measured at EMA USFQ a) before and b) after the COVID-19 lockdown (Jan-13 March and 14 March–April, respectively). Crosses are 10-min data and solid lines are MDVs.

and had more precipitation. Thus, questions arise in regard to levels of ozone from January to April in connection with the drastic reduction in emission precursors after 13 March. An explanation based on photochemistry follows.

### 3.2. Meteorological influence on photolysis

Photolysis reactions in the atmosphere directly depend on the amount of solar radiation available for the dissociation of molecules (Seinfeld and Pandis, 2006). In particular, ozone formation is highly sensitive to  $\text{NO}_2$  photolysis. Likewise,  $\text{HO}_x$  radicals are formed due to photolysis of ozone and other important species in the urban atmosphere, such as HONO and formaldehyde. In the present case, the pandemic lockdown took place during months that seasonally transitioned from sunny skies in January into more cloudy conditions with increased precipitation in April. This changing meteorological conditions resulted in variability of  $J_{\text{NO}_2}$  diurnal profiles across the months, before and after the lockdown, as depicted in Fig. 5a. Thus, before the quarantine, January was consistently sunny, which led to  $J_{\text{NO}_2}$  maxima at noon, while the first half of March had sunny mornings but cloudy afternoons. The second half of March was comparable to February in terms of noontime  $J_{\text{NO}_2}$ , but April was mostly cloudy. In order to compare photochemical quantities before and after the lockdown in a way that the effect of precursors can be assessed, while keeping physical factors constant, we used data that corresponds to sunny conditions in the entire time series (the complete data set was treated equally, details of data filtering in the SM, Appendix 8, Fig. S8). Hence, mean  $J_{\text{NO}_2}$  profiles from January to 13 March and from 14 March to April, that correspond to sunny conditions, are presented in Fig. 5b. Therefore, radical abundance and ozone production rates are discussed in terms of equal conditions of  $J_{\text{NO}_2}$ .

### 3.3. Radical abundance and production

Average OH radical abundance under sunny conditions in the periods before and after the COVID-19 lockdown are depicted as diurnal profiles in Fig. 6a. Under post-lockdown conditions (14 March to April), OH radicals began to rise as early as 07h30 and remained higher than under regular traffic conditions throughout the day. In the morning, between 08:30–11:30, OH ranged from 0.07 to 0.55 pptv before 14 March, while afterwards it rose to 0.23–0.68 pptv. This feature is not due to physical reasons because solar radiation conditions are kept identical. Hence, additional radical abundance is due to atmospheric chemistry as the chemical depletion of radicals due to high  $\text{NO}_x$  levels decreased during the lockdown, in particular in the morning rush hour (this feature is explained further below with magnitudes of radical losses). Likewise, the abundance of hydroperoxy and organic peroxy radicals ( $\text{HO}_2$  and  $\text{RO}_2$ ) increased (Fig. 6b and c) during the lockdown from a sum of 29 to

39 pptv at noon, on average. As in the previous case, this is a chemical effect of OH reacting with VOCs under reduced  $\text{NO}_x$  conditions as opposed to radicals being depleted by large amounts of  $\text{NO}_x$  (Seinfeld and Pandis, 2006; Ren et al., 2013). Furthermore, cycling between  $\text{HO}_2$  and OH was faster during the lockdown throughout the day as given by  $\text{HO}_2/\text{OH}$  ratios in Fig. 7a. The test simulation with pre-lockdown levels of VOCs and post-lockdown (reduced) levels of  $\text{NO}_x$  shows that in the absence of elevated  $\text{NO}_x$ , OH is comparable to observed post-lockdown conditions, but at midday cycling between  $\text{HO}_2$  and OH has the potential to almost triple with regard to regular traffic conditions (Fig. 7a). For this reason, in the test simulation noontime abundance of OH is lower, but  $\text{HO}_2$  and  $\text{RO}_2$  abundances are substantially higher.

Radicals presented in Fig. 6 come from the main known sources of OH and  $\text{HO}_2$  in the urban atmosphere: ozone photolysis followed by reaction of  $\text{O}(^1\text{D})$  with water vapor as well as formaldehyde and HONO photolysis (Dusanter et al., 2009; Ren et al., 2013). The first source was calculated with the first term of Eq. (4) and is depicted with a blue line in all panels in Fig. 8. Water vapor, ozone, and solar radiation were similar before and after the lockdown for which this source of OH radicals was about constant with peak values of 0.4–0.45 pptv  $\text{s}^{-1}$  for the study time period (including the reduced- $\text{NO}_x$  test) (Fig. 8a–c).

Formaldehyde photolysis is a major source of hydroperoxy radicals in the urban atmosphere (complete reactions can be found in Seinfeld and Pandis, 2006). Formaldehyde is formed along the oxidation chain, when VOCs react with OH. In the present case, we used the formaldehyde model output (SM, Appendix 9, Fig. S9) and its corresponding model  $J_{\text{HCHO}}$  in order to calculate this contribution to  $\text{p}(\text{HO}_x)$  (second term of Eq. (4), depicted by the red dotted line in all panels in Fig. 8). During the study time period, formaldehyde photolysis was more important after the lockdown, mainly in the morning. Thus, magnitudes were 1.8 and 1.6 times higher at 09:00 and 10:00, respectively (the SM, Appendix 10, Fig. S10 shows curves in Fig. 8 overlapped by contribution). At noon, this source of radicals was about 0.3 pptv  $\text{s}^{-1}$  throughout the study time period as NO before the lockdown decreased after the morning rush hour, while during the lockdown stayed low (Fig. 2). The test simulation with pre-lockdown levels of VOCs, but reduced (post-lockdown) levels of  $\text{NO}_x$  (Fig. 8c) further backs this chemical result as the photolysis of formaldehyde became as important as ozone photolysis in regard to producing  $\text{HO}_x$  radicals. These results are consistent with previous work that shows how in urban environments (for example in Mexico City) the contribution of formaldehyde photolysis accounts for up to 40% of  $\text{HO}_x$  radicals (Shirley et al., 2006). In the present case, we estimate that this source contributes with about 34% to the total radical production rate.

HONO photolysis is also a significant source of radicals, mainly in the morning during the traffic rush hour, as demonstrated by former studies (Dusanter et al., 2009; Ren et al., 2013). As in the previous case, this contribution was calculated with the third term of Eq. (4) and using the

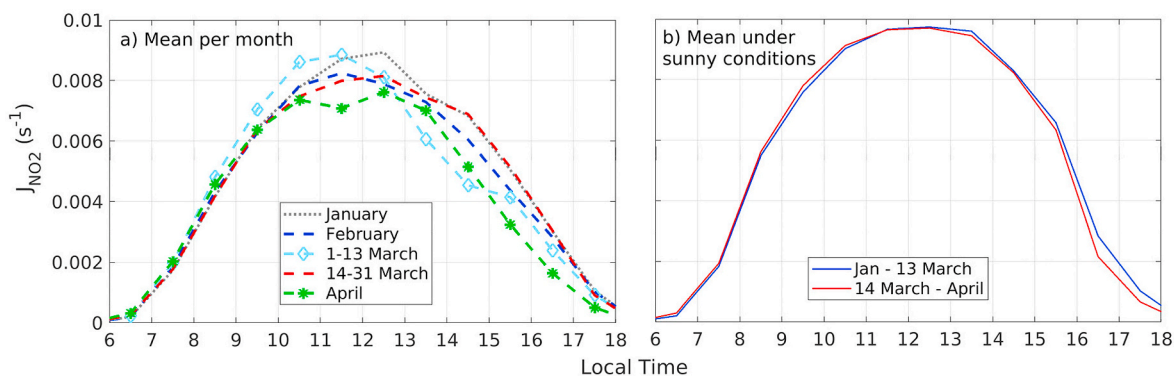
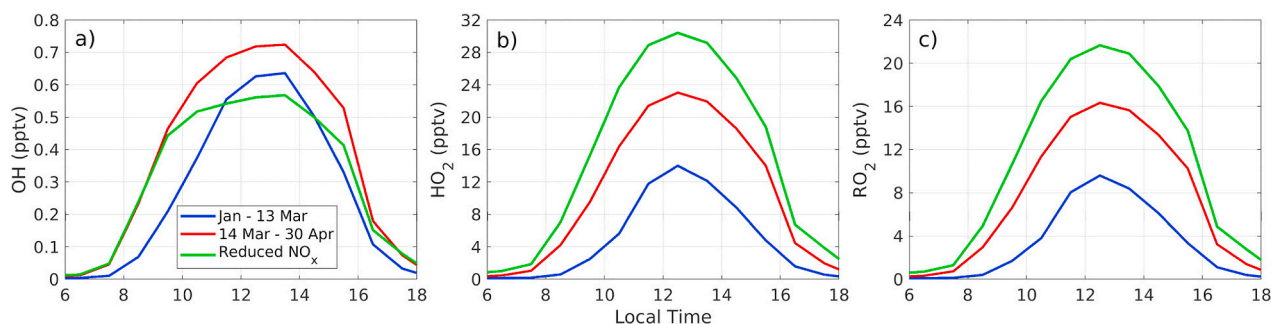
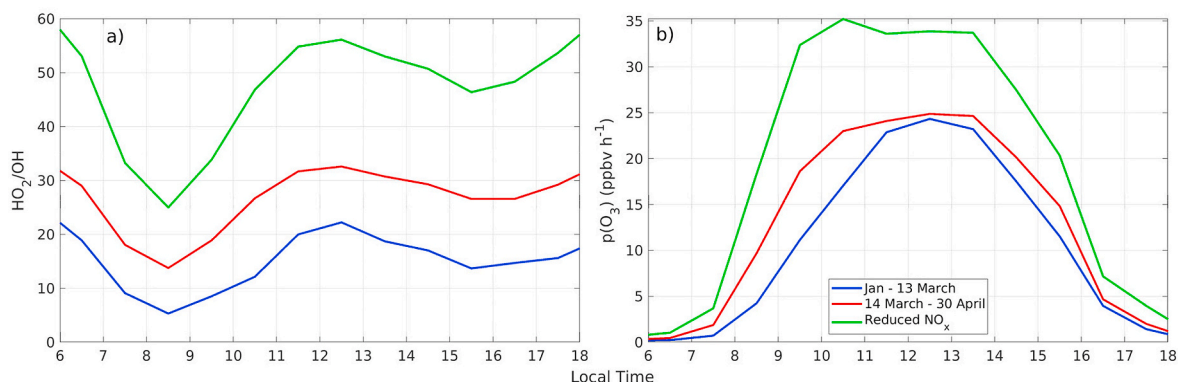


Fig. 5. a)  $J_{\text{NO}_2}$  mean diurnal variation for January (dotted gray), February (dashed blue), 1–13 March (light blue and diamonds), 14–31 March (dashed red), and April (dashed green and stars). b) Mean  $J_{\text{NO}_2}$  under sunny conditions before (Jan–13 March, blue line) and after (14 March–April, red line) the COVID-19 lockdown.

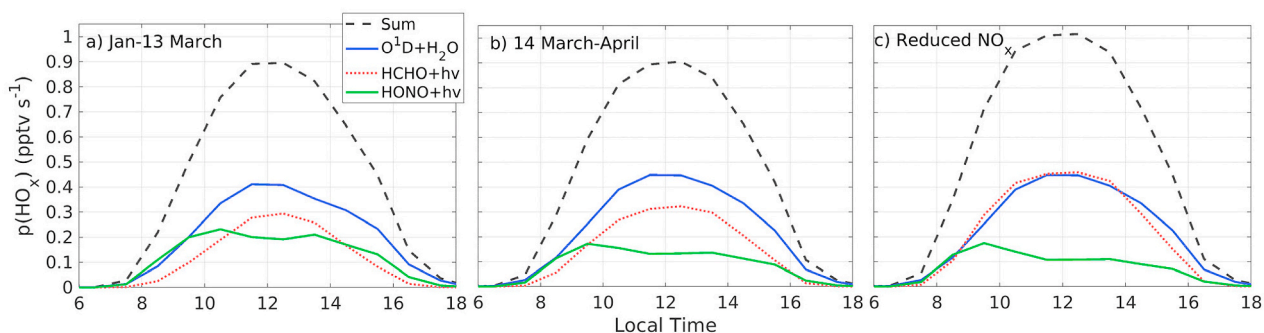




**Fig. 6.** Mean diurnal variations for a) OH, b) HO<sub>2</sub>, and c) RO<sub>2</sub> before (January–13 March, blue line) and after (14 March–April, red line) the COVID-19 lockdown. The green line corresponds to the reduced-NO<sub>x</sub> simulation with post-lockdown NO<sub>x</sub> levels and pre-lockdown VOCs.



**Fig. 7.** a) HO<sub>2</sub>/OH ratio and b) p(O<sub>3</sub>) before and after the lockdown. The blue line is the mean diurnal variation for each quantity for January - 13 March (pre-lockdown), red corresponds to 14 March–April (post-lockdown), and the green line is the test simulation with reduced-NO<sub>x</sub> (post-lockdown levels) and pre-lockdown VOCs.



**Fig. 8.** Total radical production, p(HO<sub>x</sub>), (black dashed line) and individual contributions by photolysis of ozone followed by the reaction of O<sup>1</sup>D with water vapor (blue line), formaldehyde photolysis (red dotted line), and HONO photolysis (green line) for a) January–13 March (pre-lockdown), b) 14 March–April (post-lockdown), and c) Test simulation with reduced-NO<sub>x</sub> (post-lockdown levels) and pre-lockdown VOCs levels.

MCM model output for HONO (SM, Fig. S9) and  $J_{HONO}$ . This contribution was 1.4 times higher before the lockdown as rush-hour NO<sub>x</sub> was five times higher. The test done with reduced levels of NO<sub>x</sub> is consistent, as this contribution was comparable to post-lockdown conditions.

In this study we rely in the robustness of observation-based methods to find estimates of radical production rates from the first source (O<sup>1</sup>D + H<sub>2</sub>O), while the other two sources come from modeling. Hence, from propagation of error, we estimate that uncertainty (1-σ) in the first source is 32% (considering uncertainties in  $J_{O_3 \rightarrow O^1D}$  as well as in ozone and water vapor measurements reported in the methods section). For radical production due to formaldehyde and HONO photolysis (as well as for radical abundance), at the moment we assume model uncertainty reported in the literature (i.e., Brune et al., 2019) of 35%. Likewise, we estimate that uncertainty in other photochemical quantities (radical

abundance and ozone production) is similar, but in the future these estimations need to be re-checked as measurements of physical and chemical quantities become available in the study area.

### 3.4. Ozone production rates and regimes

The mean diurnal variation of ozone production rates before and after the lockdown are depicted in Fig. 7b. During the quarantine, ozone production rates at 08:30–10:30 increased from previous 4.2–17 to 9.7–23 ppbv h<sup>-1</sup>. These results are consistent with the former section in the sense that under regular traffic conditions high morning NO<sub>x</sub> levels constrain ozone production due to a lack of sufficient radicals to react with NO and produce ozone. Quarantine conditions induced a chemical shift that led to increased availability of HO<sub>2</sub> and RO<sub>2</sub> radicals that

combined with reduced morning  $\text{NO}_x$  led to higher ozone production rates. Radical abundance being at the core of the observed shift in regimes of ozone production can be assessed by looking into the magnitude of paths for radical losses to nitric acid (L1) and to hydrogen peroxide (L2). Fig. 9 depicts the ratio of L1 to the total loss  $\text{L1}+\text{L2}$ . When the radical loss to hydrogen peroxide is as important as the radical loss to nitric acid, the ratio becomes 0.5. A ratio much greater than 0.5 has been shown to indicate a VOC-limited ( $\text{NO}_x$ -saturated) regime (Kleinman et al., 2001; Kleinman, 2005; Ren et al., 2013). As presented, L2 was more significant under quarantine conditions, while this term had little effect from January to 13 March. Consequently, the time period from January to the first half of March was strongly  $\text{NO}_x$ -saturated. In contrast, after 13 March, losses to hydrogen peroxide were of increasing importance, which confirms a shift in the chemical regime of ozone production. The test calculation done with reduced  $\text{NO}_x$  at post-lockdown levels, but mimicking pre-lockdown VOCs, pushed even further this shift towards the  $\text{NO}_x$ -limited zone as ozone production tripled in the mid-morning (Fig. 7b) and stayed high, while  $\text{L1}/(\text{L1}+\text{L2})$  became even lower (Fig. 9). The SM (Appendix 11, Fig. S11) shows individual magnitudes of L1 and L2 for all cases.

The dependence of  $p(\text{O}_3)$  with  $\text{NO}$ , before and after the lockdown, as well as for the reduced  $\text{NO}_x$  scenario, is depicted in Fig. 10 with indication of  $p(\text{HO}_x)$  magnitudes. As presented, under regular conditions ozone production is strongly suppressed under a  $\text{NO}_x$ -saturated regime (Fig. 10a). In this regime,  $p(\text{O}_3)$  decreases with increasing  $\text{NO}$ , whose levels approach 100 ppbv. After 13 March (Fig. 10b), the drop in  $\text{NO}$  emissions augmented the rate of ozone production, which steadily grows at low  $\text{NO}$  and at the higher  $p(\text{HO}_x)$  range, and then decreases as  $\text{NO}$  continues to increase. With reduced  $\text{NO}$ , but “normal” VOCs, this same dependence occurs (Fig. 10c), although at higher magnitudes of  $p(\text{O}_3)$  that reached values higher than  $40 \text{ ppbv h}^{-1}$  (10-min data). In all cases,  $p(\text{O}_3)$  is higher at higher levels of  $p(\text{HO}_x)$  and at the low  $\text{NO}$  boundary. A transition in the regime occurs at 2–3 ppbv of  $\text{NO}$ , when  $p(\text{O}_3)$  decreases (describing a curve) with increasing  $\text{NO}$  (Fig. 10b and c). This final analysis is consistent with seminal work on ozone production (Thornton et al., 2012) that demonstrates the high non-linearity of ozone production rates with  $\text{NO}$  when data is sorted by  $p(\text{HO}_x)$  levels.

### 3.5. Final remarks

In spite of limitations and uncertainties, the above results point towards relevant aspects in regard to photochemical regimes of ozone production in Quito in connection to air quality. First, ozone production rates under typical conditions of intense traffic and solar radiation are

constrained by high  $\text{NO}_x$  levels. For example, 10–18 January was a warm and sunny time period with abundant traffic emissions, but ozone levels were the lowest of the trimester (maxima at about 30 ppbv, SM, Fig. S7.1). Ambient ozone is the result of several contributions in a mass balance, namely chemical production and loss, dry and wet deposition, and horizontal advection. Although further modeling is needed to unveil the contribution of advection, observations indicate that average ozone production rates reported in this work, under the meteorological conditions from January to mid-March, do not lead to ambient ozone accumulation. Furthermore, the presence of traffic emissions poses the question of the chemical fate of precursors in Quito’s ambient air. Future work needs to focus on quantifying other fractions of photochemical smog such as peroxyacetyl nitrate (PAN), nitric acid, and nitrate particles in Quito.

A second important lesson is that a reversal in emission precursors in Quito is capable of shifting the chemical regime to the  $\text{NO}_x$ -limited zone, which results in higher ozone production rates. Quarantine conditions, a current reality and one that could repeat itself in the near future, supply higher ozone production rates. Average morning magnitudes, that doubled those before the quarantine, were capable of sustaining the same levels of ozone in Quito, even in months that are seasonally cloudier and with more abundant precipitation. Thus, meteorological conditions played a role in the ozone mass balance providing less sunny days and cleaning the atmosphere through wet deposition. However, if confinement orders took effect in the hot and dry summer months of August and September, higher ozone production rates at low  $\text{NO}_x$  levels could contribute to the accumulation of ozone in the boundary layer, in particular under conditions of stagnant air. This is a realistic scenario that needs to be watched closely under the current reality of a pandemic that poses the need of periodical quarantines to control the spread of the disease.

Thirdly, results obtained from calculations with reversed levels of precursors shed light to investigating the chemical reasons that underlie few observed episodes of high ozone in Quito. These events have been associated to wildfires (for example on 1 October 2018), as it was recently presented in a preliminary study (Cadena et al., 2019). However, the true chemical nature of such events needs yet to be scrutinized through studies that involve measurements and modeling. Although the complex effect of advection of air masses from biomass burning regions is beyond the scope of this work, it is important to document that the current study advances the topic of potential scenarios that cause a shift in the chemical regime of ozone production due to drastic changes in the proportion of organic compounds with respect to  $\text{NO}_x$ .

Finally, a situation that could potentially cause increased ozone is

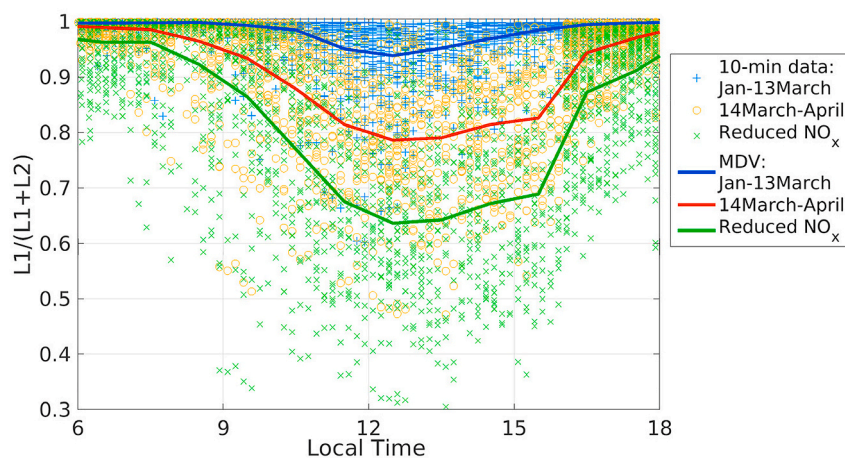
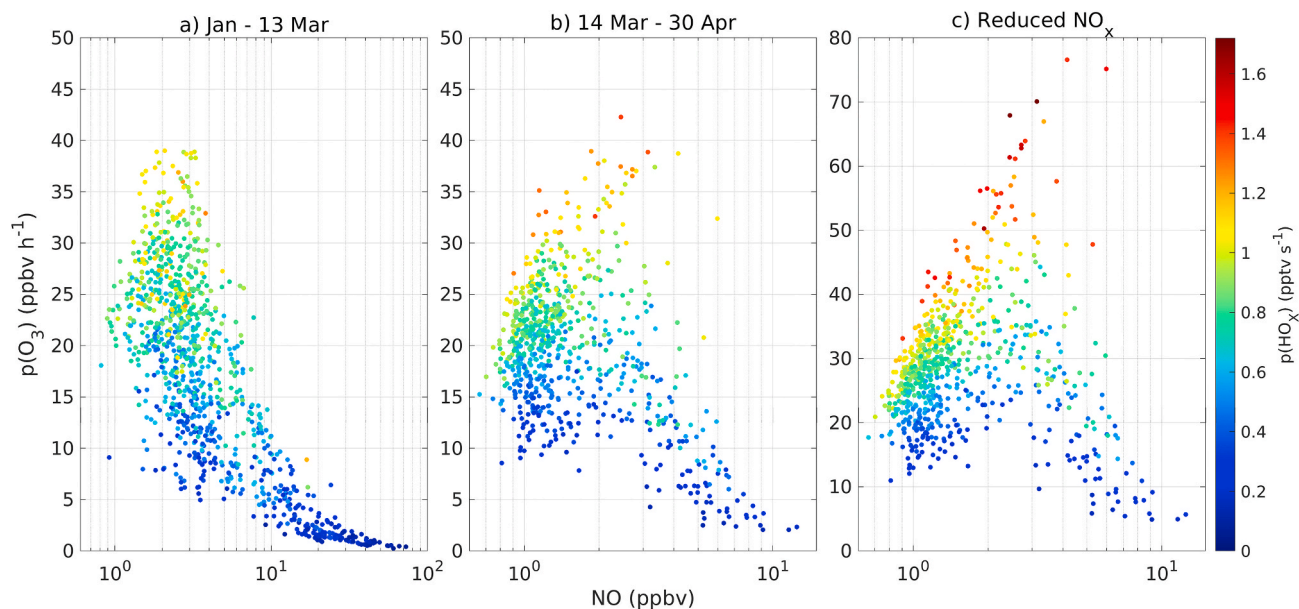


Fig. 9. Ratio of radical losses to nitric acid (L1) to total losses ( $\text{L1}+\text{L2}$ ), where L2 is the loss to hydrogen peroxide. 10-minute data are represented by blue crosses (January to 13 March, pre-lockdown), orange circles (14 March–April, post-lockdown), and green crosses (simulation with reduced- $\text{NO}_x$  at post-lockdown levels and pre-lockdown VOCs). Solid lines (blue, red, and green) are corresponding mean diurnal variations for each case.



**Fig. 10.** Ozone production rates,  $p(\text{O}_3)$  (10-min data), in NO space sorted by magnitudes of  $p(\text{HO}_x)$  for: **a)** January to 13 March (pre-lockdown); **b)** 14 March to April (post-lockdown), and **c)** Reduced- $\text{NO}_x$  simulation with post-lockdown  $\text{NO}_x$  and pre-lockdown VOCs.

the hypothetical case that  $\text{NO}_x$  emission controls in vehicles were to be imposed. Such scenario would cause a shift of the sort mimicked by the reduced- $\text{NO}_x$  calculation, in which normal levels or organic compounds combined with permanently low  $\text{NO}_x$  lead to higher ozone production rates. This scenario emphasizes on the fact that environmental practices, that have to do with emission controls, need to carefully take into account that the regime of ozone production depends on the local makeup of pollutants in the ambient air and is non-linear with respect to precursor levels.

Even though intensive work that incorporates measurement campaigns to constrain chemical and transport models still needs to be developed, the present contribution points out for the first time to specific conditions and identifies practical scenarios under which the chemical regime shifts towards higher rates of ozone production.

#### 4. Conclusions

The COVID-19 mobility restrictions and lockdown, that initiated with school closures on 13 March in Quito (Ecuador), marked a shift in primary emissions that was used to reveal the chemical nature of ozone production under regular conditions as well as under reduced levels of precursors. First, low ozone production rates, in spite of abundant urban emissions and equatorial solar radiation, are the rule as radicals are quickly depleted by loss mechanisms of the type  $\text{NO}_x\text{-HO}_x$ . Results indicate that, under normal traffic conditions, radical loss to nitric acid dominates, in particular in the morning rush hour, when NO spikes (10-min data) approach 100 ppbv. In contrast, post-lockdown  $\text{NO}_x$  levels decreased by a factor of five during daytime. This shift led to less radical losses to nitric acid, while the loss to hydrogen peroxide became increasingly important. Thus, the abundance of  $\text{HO}_2$  and  $\text{RO}_2$  increased from a total of 4.2 pptv in the mid-morning before the lockdown to 16.1 pptv during the quarantine, while at noon it increased from previous 23.6 to 39 pptv. Consistently, OH in the morning at 08:30–10:30 increased from 0.07–0.37 pptv before the lockdown to 0.23–0.61 pptv afterwards, while  $p(\text{O}_3)$  increased from 4.2–17 to 9.7–23  $\text{ppbv h}^{-1}$ , respectively. From observations, magnitudes of pre-lockdown ozone production rates factored with dilution within the boundary layer and advection explain generally low ambient ozone in Quito, but further work needs to be developed to better understand transport effects. As per ozone production during the lockdown, there was seasonally more

cloudiness and precipitation during mid-March and April, which helped clean the atmosphere through wet deposition. Hence, meteorological conditions were favorable at preventing ozone accumulation during this period. However, if a quarantine were to take effect during the warmer summer months of August to mid-September, especially if conditions of stagnant air and temporary drought developed, increased rates of ozone production would pose a threat of accumulation in the ambient air. To test further the effect of a shift in emissions that could lead to even higher ozone production rates, a simulation with post-lockdown  $\text{NO}_x$  levels, but pre-lockdown VOCs and sunny conditions yielded a total of 52 pptv of  $\text{HO}_2$  and  $\text{RO}_2$  at noon and  $p(\text{O}_3)$  of 33  $\text{ppbv h}^{-1}$ , on average (higher than 40  $\text{ppbv h}^{-1}$  as 10-min data). A scenario that would cause a permanent shift towards this regime would be if  $\text{NO}_x$  emission controls on vehicle exhausts, currently not applied, were to be enforced. Although such scenario is not a current risk, from a scientific and academic standpoint it is prudent to remark that such situation would have an impact on air quality as it would cause a shift in the chemical regime towards producing ozone at higher rates. In spite of many limitations, the change in emissions due to the COVID-19 lockdown was substantial enough to reveal critical information in regard of precursor levels that cause a shift in the regime of ozone production. This contribution advances our understanding of the underlying chemistry of photochemical smog in Quito, Ecuador.

#### CRediT authorship contribution statement

**María Cazorla:** Funding acquisition, Conceptualization, Methodology, Formal analysis, Writing - review & editing. **Edgar Herrera:** Software, Validation, Data curation. **Emilia Palomeque:** Data curation. **Nicolás Saud:** Data curation.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Acknowledgements

This study was funded by USFQ PoliGrant 2020. Sponsorship of the



Vienna Convention Trust Fund, through agreement No. 224581 with the World Meteorological Organization, considerably augmented ozone research capacity at EMA USFQ. We thank Secretaría de Ambiente de Quito for making available air quality data in the public archive.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.apr.2020.08.028>.

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