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## Visual Function Endpoints to Enable Dry AMD Clinical Trials

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### Abstract

The slow progression of non-exudative age-related macular degeneration (dry AMD) presents challenges for drug discovery. The standard endpoint used for ophthalmic clinical trials, best-corrected visual acuity, is insensitive to the early stages and slow progression of dry AMD. Effective drug discovery for dry AMD treatments will therefore require novel applications of more effective visual function endpoints.

This review will present candidates for visual function endpoints for dry AMD clinical trials. The promising visual assessments include contrast sensitivity, reading speed, microperimetry, and dark adaptation. Their adoption as exploratory endpoints in future trials will be critical for determining their accuracy, precision, and applicability, and ultimately determine their value for drug discovery.

### Introduction

The early stage of non-exudative age-related macular degeneration (dry AMD) is marked by changes in the retinal pigment epithelium: the accumulation of *drusen*, yellowish deposits of lipid and protein, and abnormal cellular pigmentation. Progression to the advanced form of dry AMD is defined by the localized degeneration of the retinal pigment epithelium in regions defined as geographic atrophy [1]. New imaging modalities that track this progression hold promise as anatomical endpoints for dry AMD trials, but visual function endpoints remain attractive for several reasons: early-stage drusen does not always cause vision loss nor progress to geographic atrophy [2], and drusen can resolve with no apparent functional effects [3]. The therapeutic goal of preserving vision is therefore best-judged by evaluating behavioral outcomes that directly assess subjective and objective visual function [4] [5] [6].

A primary challenge for dry AMD drug discovery is presented by the *de facto* standard endpoint in ophthalmic clinical trials, best-corrected visual acuity (BCVA). Despite the

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broad range of visual behavior affected by ocular disease, (e.g., walking, reading, driving, grasping, and eye movements), the BCVA endpoint only measures fine visual resolution [6]. The typical acuity loss in early dry AMD without geographic atrophy amounts to one or two letters [7], which is not clinically meaningful, given the variability of acuity assessment, (standard deviation ~ 4–6 letters; [8]). Even in advanced dry AMD, patterns of geographic atrophy that spare the central fovea can present normal acuity despite significant visual impairment [9]. This contrasts with the vision loss in wet AMD, which is more severe (10–20 letters; [10]), progresses rapidly, and thus provides larger treatment potential for short clinical trials. Therefore, for dry AMD drug discovery using acuity endpoints, the imprecise assessment, potential insensitivity to severe visual disability, and small treatment effect result in unfeasibly large or long clinical trials. This review will present alternative visual function endpoints, which are more sensitive to visual disability than acuity, and may enable clinical trials in earlier disease stages (i.e., before geographic atrophy) that provide better therapeutic targets.

### Contrast Sensitivity

The phenomenon of contrast sensitivity is demonstrated in Figure 1A, which presents a sinusoidal pattern of black and white stripes that decrease in width (increase in spatial frequency) from left to right and increase in contrast (light-dark difference) from top to bottom. The contrast sensitivity function (CSF), reflected in the inverted ‘U’ shape boundary between the visible and invisible stripes, demonstrates that the visual system is not equally sensitive to all spatial frequencies. Relative to acuity, contrast sensitivity describes visual performance over broader conditions that better relate to real-world activities, which include mobility [11], and target and face identification [12]. Contrast sensitivity is better predictive of subtle disabilities in daily living activities such as driving, walking and reading [13].

Figure 1B shows contrast sensitivity (reciprocal threshold) as a function of spatial frequency for normal aging [14] and for patients with dry and wet AMD [15]. Consistent with examination of Figure 1A, contrast sensitivity is highest for intermediate spatial frequencies at all ages, but maximal sensitivity decreases, and the frequency corresponding to maximal sensitivity decreases to lower spatial frequencies with increasing age. Contrast sensitivity assessment is sensitive to visual changes in aging, as well as dry AMD pathology. It is correlated to perceived disability [16], reading speed [17], and OCT-derived retinal tissue parameters [18]. In dry AMD, contrast sensitivity deficits are present in AMD at low spatial frequencies [19] or at all spatial frequencies [20]. Such losses correlate with drusen density [21] and may be present even when visual acuity is near-normal in the early stages of AMD [22]. The AMD effects are large, relative to age-matched controls.

Contrast sensitivity can also be measured at different rates of motion and flicker (temporal frequencies), which may have more functional relevance for daily living. Several groups have demonstrated losses in sensitivity to mid temporal frequencies (4–14Hz) in AMD patients with uncompromised visual acuity [23]. The increase in metabolic demand needed to encode flicker makes this an intriguing endpoint to detect metabolic compromise of the outer retina [24].

## Reading speed

Reading is a critical daily activity that is severely affected by central vision loss [25]. A large proportion of patients (86%) referred to low vision rehabilitation cite reading difficulty as a therapy priority [26]. The prevalence of reading problems and their relation to subjective quality of life [27] make reading behavior an attractive target for a visual function endpoint.

Normal reading involves the complex coordination of pattern recognition and eye movements [28], to integrate information from foveal and non-foveal areas. Multiple studies have demonstrated that reading speed in AMD is not correlated with age or acuity, but is correlated with the estimated size of the atrophic area [29] and neovascularization in wet AMD [30]. In dry AMD, reading speed is extraordinarily sensitive to geographic atrophy that affects the fovea [25], as perceptual crowding (identity confusions among adjacent letters and words; [31]) makes non-foveal reading especially difficult. While in some cases the acuity gain provided by ranibizumab treatment may increase reading speed [32], other studies have found that reading speed remains impaired [33].

## Microperimetry (Macular or fundus-related perimetry)

Microperimetry, or macular or fundus-related perimetry, differs from typical perimetry because it implements real-time monitoring of the macula [34], which compensates for the differences in fixation behavior due to macular disease. Many patients with dry AMD can no longer fixate with their fovea, as do those with normal vision, but instead fixate with a peripheral retinal location [35]. Whereas foveal fixation is precisely focused, peripheral fixation is unstable [36] and largely invalidates the results from traditional automated perimetry. By tracking retinal features in real time, microperimetry can correct stimulus presentation to account for eye movement instability, isolate precisely prescribed retinal locations, and thereby provide accurate, fundus-oriented sensitivity maps of the central visual field (see Figure 2).

Microperimetry provides a valuable correspondence between visual function and structural endpoints. The superposition of behavioral results on fundus and OCT retinal images (see Figure 2) demonstrates that visual sensitivity is reduced over drusen, areas with pigment abnormality, and altered autofluorescence [37].

## Dark Adaptation

Adaptation is critical for the visual system to operate across the full range of day and night illumination levels. In dry AMD, dysfunctional adaptation is demonstrated by the visual impairment and subjective distress experienced during transitions from bright outdoors to dark indoors [38]. Visual deficits in low light predict later acuity losses from geographic atrophy [9] and flicker sensitivity at low light is impaired in clinically normal observers with high genetic risk for AMD [39].

A related adaptation phenomenon is the time-course of recovery following the visual insensitivity that follows an intense light flash, via inactivation (bleaching) of photoreceptor pigment. The dark adaptation curve (Figure 3) describes visual thresholds as a function of time after photopigment bleaching, and quantifies both low-light visual function and the

dynamics of the retinoid cycle that underlie visual recovery [40]. For dry AMD, the deficit in the dark adaptation function is represented by the abnormally long period needed for adaptation recovery, for both rods and cones [41]. Clinical assessment of dark adaptation functions will soon be possible with the *AdaptDx* adaptometer, ([www.maculogix.com](http://www.maculogix.com)), which has been used to demonstrate that impaired dark adaptation is correlated with macular thinning estimated from spectral OCT [42].

### Strengths and Limitations

The strengths of these candidate endpoints include their relationships to subjective visual impairment and anatomical endpoints associated with dry AMD. Limitations that include endpoint imprecision or difficulty (impracticality) of test application are typical problems of these emerging technologies, which can potentially be addressed through further research and development.

Contrast sensitivity, reading speed, and dark adaptation are related with subjective visual experience and visual quality of life. The value of the connection between objective and subjective visual assessments is reinforced by the emerging importance of Patient Reported Outcomes [43]. Ultimately, patients seek clinical interventions that improve visual quality of life, e.g. through functional outcomes that maintain driving or reading. Both patients and regulatory bodies will favorably view any therapy that provides immediately tangible benefits for vision [4] [5].

The emerging importance of relating anatomical and functional endpoints [44], and the potential for anatomical endpoints as primary outcome measures [4], are important issues in clinical trials for ocular disease. Microperimetry, which can potentially relate anatomical and functional endpoints in individuals, therefore presents an especially interesting endpoint candidate. Despite microperimetry studies demonstrating that poor visual sensitivity corresponds to observable retinal atrophy, the converse demonstration, that functional sensitivity deficits emerge from apparently healthy retina, supports the regulatory viewpoint that functional endpoints provide more important assessments of visual quality of life. Until imaging technology improves the reliability for detecting dry AMD in its early stages, the greatest value of anatomical endpoints will likely come from their combination with functional endpoints, for clinical trial recruitment and patient stratification that will provide larger potential treatment effects and better sample homogeneity for clinical trials ([45]; [46]; see the next section, Impact on Clinical Trial Design).

Test precision and ease of application are critical to endpoint utility in clinical trials [6]. There is an unfortunate basic trade-off between test duration and precision: tests that are short and easy to apply tend to be imprecise, and precision requires longer testing times that are uncomfortable for patients. Long testing times and the need for pupil dilation are practical limitations of dark adaptation, which do not guarantee precise results [47]. Shortening longer protocols (from 90 to 20 min) maintains diagnostic accuracy [41], but clearer determination of the precision of dark adaptation parameter estimates will be important for clinical trial design.

Measuring the full CSF has likewise presented testing time problems. Consequently, charts measuring contrast sensitivity using either letter [48] or gratings [49] compromise by focusing on different parts of the static CSF [50] and precluding the assessment of temporal vision. These tests are easy to apply, but have demonstrable imprecision [51]. Recent advances in computerized adaptive testing -- intense computational strategies made possible by increasing computing power -- permit large testing time reductions without sacrificing much precision. For example, the quick CSF method applies an adaptive sampling algorithm, which uses knowledge of the CSF shape and a trial-to-trial information gain strategy, to reduce CSF testing times from 30 to <5 minutes [52] [53]. Importantly, despite the testing time reduction, variability of sensitivity estimates (std. dev. = .20 decimal log units) remains smaller than current contrast sensitivity charts [50], and comparable to the size of CSF deficits in dry AMD patients with normal acuity and early drusen [20][21].

Microperimetry is expensive, and though gaining ground in clinical research, it lack standards and remains underused in clinics [34]. Microperimetry lacks a testing algorithm that can reduce the testing time in a targeted way, as SITA does for visual field testing in glaucoma [54]. Expensive equipment makes it hard for the eventual incorporation of any effective endpoints into standard clinical care, which would provide a valuable connection to subjective visual health and patient monitoring and compliance. With time, wider adoption of this technology will determine the strengths and limitations of different imaging modalities, and better standards for testing protocols that ensure reliable, standardized assessment of visual function at diagnostic retinal locations, registration of different assessments and quantification and tracking of sensitivity changes over time.

### Impact on Clinical Trial Design

The challenges presented by a dry AMD clinical trial, and the potential benefits provided by development and validation of novel endpoints, are demonstrated by a hypothetical trial using an acuity endpoint. To illustrate how endpoint properties critically affect trial design, (i.e., trial length and sample size), consider a study with a parallel group design and repeated measurements. Though the acuity endpoint has historically been defined as the group proportion experiencing more than three lines of vision loss, this endpoint faces obvious ceiling effects and can even introduces biases [10]. A better endpoint is defined by the mean letter difference between acuities measured at baseline and end-of-study. Alternatively, longitudinal design with endpoint assessment at more than two time-points would evaluate the rate of progressive vision loss (letters/yr) and its potential slowing by treatment [55]. With an assumption of linear progression of vision loss, a calculation for total required sample size,  $N$ , is:

$$N = 4 \times (Z_{\alpha} + Z_{\beta})^2 \left[ \sigma_{between}^2 + \sigma_{within}^2 / \Sigma t_k^2 \right] / \Delta_{rate}^2$$

where  $\alpha$  is the significance level ( $p = 5\%$ ),  $\beta$  is the statistical power (e.g., 90%), and  $N$  includes the total sample of treatment and control groups. In this design, the statistical power for estimating differences in disease progression is constrained by: (1) the size of treatment effect,  $\Delta_{rate}$ , (2) between-subjects variability  $\sigma_{between}$ , which reflects both population variability in the treatment effect and misfit of the linear progression assumption, (3) within-

subjects variability  $\sigma_{between}$ , which reflects measurement error (i.e., endpoint standard deviation), and (4) the endpoint assessment schedule which is comprised of (a) the total number of assessments and (b) the time between assessments (see [55] for assessment notation details). The general effects of these factors on sample size are:

$$\uparrow A_{rate} \uparrow \sum t_k \downarrow N \qquad \downarrow \sigma_{between} \downarrow \sigma_{within} \downarrow N$$

Put simply, sample sizes are reduced by either increasing the treatment effect, reducing variability, or increasing the number and spacing of endpoint assessments. These changes can be accomplished by stratifying patients using selection criteria [45], improving endpoint precision [43], or increasing trial length and adding intermediate endpoint assessments. Because Equation 1 contains squared terms for these endpoint properties, changes in these factors can rapidly and dramatically change sample size calculations (see Box 1).

For the case of a dry AMD clinical trial, consider how sample size calculations might be affected by endpoint properties. Following an approach used for other slowly progressing visual diseases [44], Azuma et al (2010) propose recruiting dry AMD patients with grade 3 and 4 disease, who exhibit greater visual acuity loss -- 8.5 letters of BCVA over 2 years (standard deviation=14 letters)-- than grade 1 and 2 patients who show none [45]. Stratifying patients using these criteria provides a sample with larger potential treatment effects over shorter, more feasible trial lengths. A baseline clinical design, with high statistical power (90%), yields a total sample size of 1000–1100 subjects to be assessed over 2 years. Box 1 quantifies the impact on this design of several feasible endpoint developments. One notable effect is the great sample size reduction that results from improving endpoint reliability and reducing variability. Another striking effect is the reduction provided by an aggressive assessment schedule (e.g., 25–50% of baseline with 24 monthly exams). Given these potential benefits, home-based self-assessment [47] provides an intriguing platform for increasing patient assessment while minimizing the practical costs of medical equipment and personnel. Patient compliance for home vision-testing can be high - 85% of dry AMD patients complied with a once-weekly mobile-phone testing vision regimen [11], and results can compare favorably to clinical lab testing [57]. It should be noted that the potential sample-size benefits described above, provided by increasing the reliability or frequency of endpoint assessment, are fundamentally constrained by other factors typically ignored in many sample size calculations [55]. These include the variability in treatment effects between individuals, variability in disease progression, deviations from the assumption of linear progression, and non-uniformity of endpoint variability across vision loss levels. Careful studies that determine which constraints are specific to disease, and which are specific to endpoints, will be critical.

For the potential visual function endpoints reviewed here, one advantage is that deficits are apparent at early disease stages, relative to the acuity losses that are not detectable until advancing disease. For example, in patients with normal acuity, multiple studies, [20][21], have reported clinically meaningful CSF deficits (.15–.20 log units across multiple spatial frequencies), comparable in size to the variability of efficient laboratory contrast sensitivity testing [51], or even testing on a consumer mobile device [58]. Though the relative size of

this treatment effect makes the CSF an intriguing prospect, likewise unknown is the variability of disease progression. For funding agencies or trial sponsors, including these functional measures as exploratory endpoints in upcoming trials, establishing how these endpoints behave in control populations, would provide valuable and critical knowledge about their potential use for future trial decisions.

Endpoints with large treatment effects, which can be easily and continuously measured in early disease stages, and which precede the anatomical endpoint provided by geographic atrophy, will provide tremendous value. The most consistent benefits in clinical trial design will likely rely on concerted efforts of functional endpoint development and patient recruitment that uses both functional and anatomical endpoints.

## CONCLUSION

Dry AMD presents significant ocular insult and consequently affects many different visual functions. This review considers functions that may serve as potential endpoints for dry AMD clinical trials. The ideal endpoint would provide a measure of both subjective and objective vision, which can flexibly describe the range of functional disability, and be measured in a rapid and relatively precise way. Further research, which evaluates potential endpoints on these criteria, will evaluate how new endpoints contribute to smaller, faster and more effective clinical trials and thereby improve drug discovery. This knowledge will be useful for endpoint development for other slowly progressing vision diseases.

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**Box 1.****Impact of endpoint properties on clinical trial design.**

When evaluating potential treatments for slowly progressing eye disease, the challenge is designing trials that are short in length and small in sample size. Consider a two-year dry AMD trial with two endpoint assessments (baseline and end-of-study), which applies selection criteria to recruit patients with relatively rapid progression (8.5 letters over 2 years; standard deviation = 14 letters; [44]). The assumption of a 50% treatment effect ( $4.25 \times .50 = 2.1$  letters/yr), with within- and between- subjects standard deviations of 14 and 2 letters, yields a total sample size estimate of 1093, given an assumed statistical power of  $\beta = 90\%$  and one-tailed significance level of  $\alpha = 5\%$ .

To demonstrate how endpoint and clinical trial factors interact, Figure 4 presents how sample size can be reduced by a) increasing treatment effect increase, presented for three levels of standard deviation reduction, (b) reducing standard deviation, presented for three levels of treatment effect increase, (c) increasing trial length, presented for three levels of total assessments, and (d) increasing total assessments, presented for three levels of trial length. This presentation helps quantify intuition about clinical trial design. For example, increasing the clinical treatment effect by 10% – by using selection criteria to choose patients more likely to progress-- reduces sample size by 17%. Reducing the endpoint standard deviation by 10% —e.g., by applying longer or more rigorous assessments at each clinical visit--reduces sample size by 14%. The effects of reducing standard deviation are smaller because of the constraints of between-subjects variability. The effects are synergistic: endpoint development that concurrently increases the treatment effect by 10% and reduces standard deviation by 10% yields a sample size reduction of 29%. Panels (c) and (d) demonstrate the dramatic effects of changing the endpoint assessment schedule. Extending trial length from 2 to 4 years or adding 24 assessment points reduces sample size to 40% of baseline. These gains are not without potentially prohibitive practical costs. Longer trials do not accelerate the drug discovery cycle, and monthly visits to clinical sites increase patient burden and costs of specialized staff and equipment.

Home self-assessment may resolve the practical problems associated with aggressive endpoint assessment schedules, while still providing the sample size reduction benefits. For the reviewed endpoints, incorporation in future clinical trials as exploratory endpoints will be critical for determining their treatment effects and precision, and thus their ultimate impact on clinical trial designs for dry AMD.

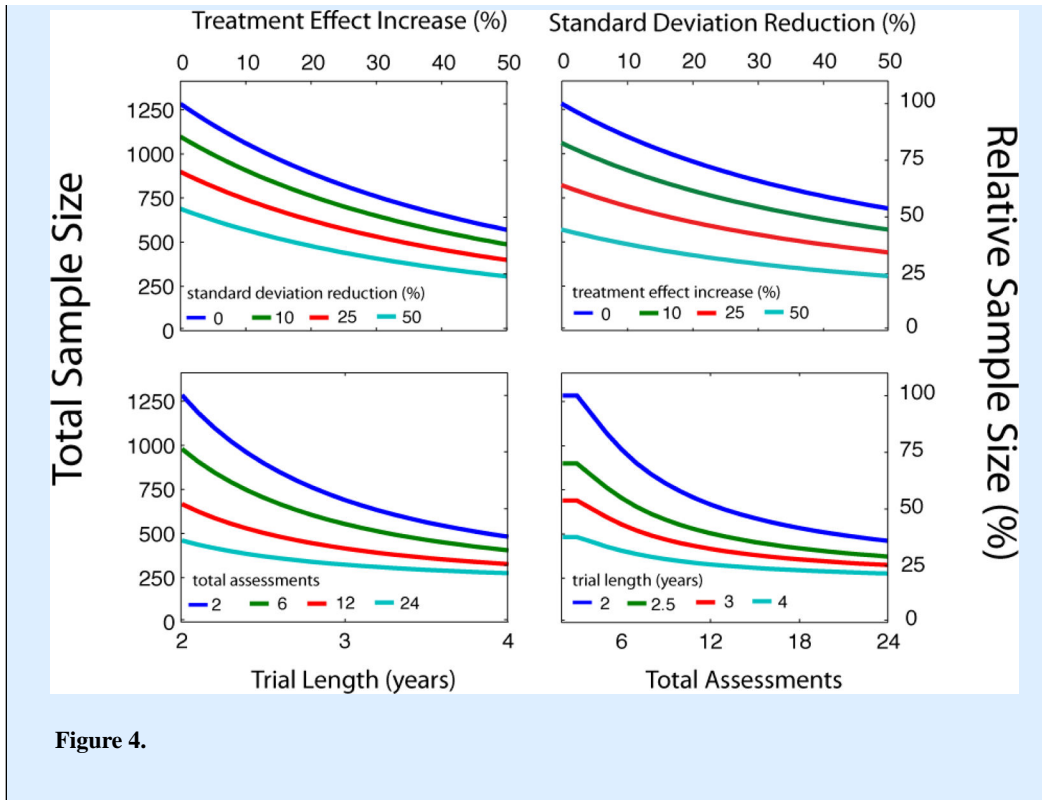
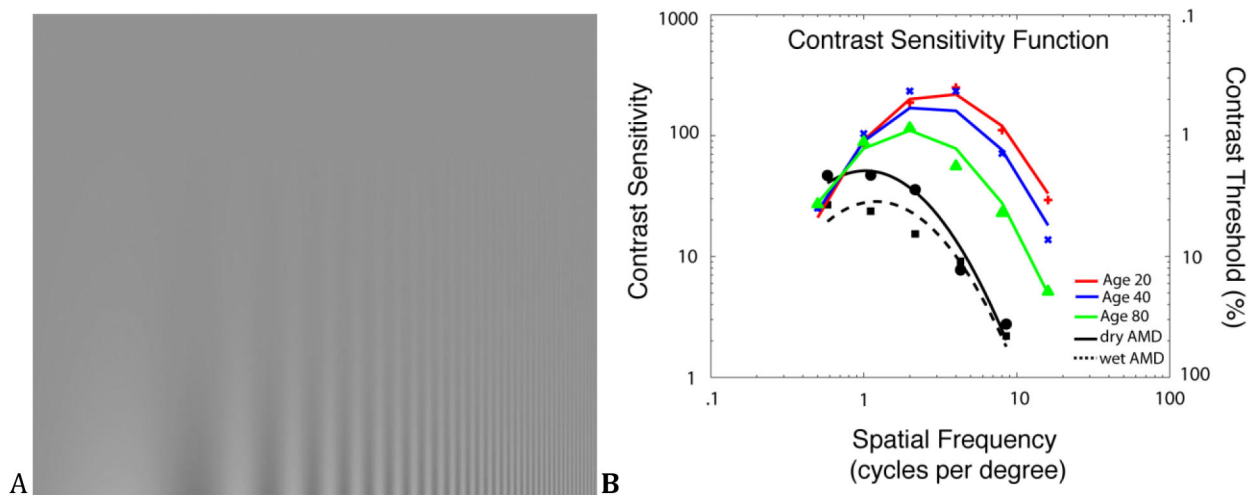
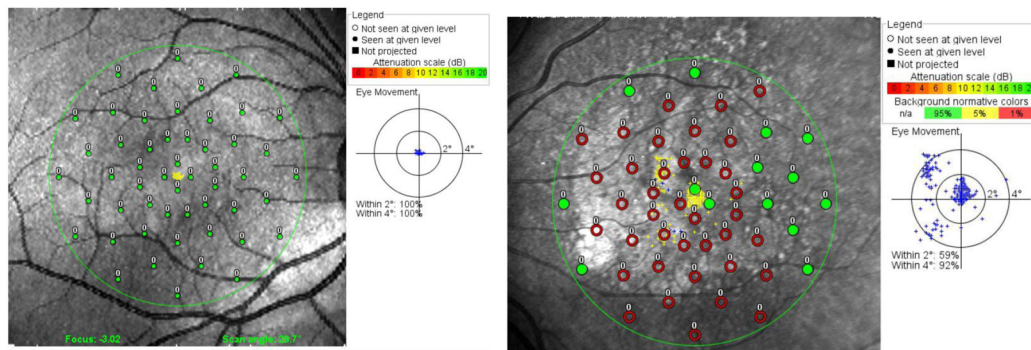


Figure 4.



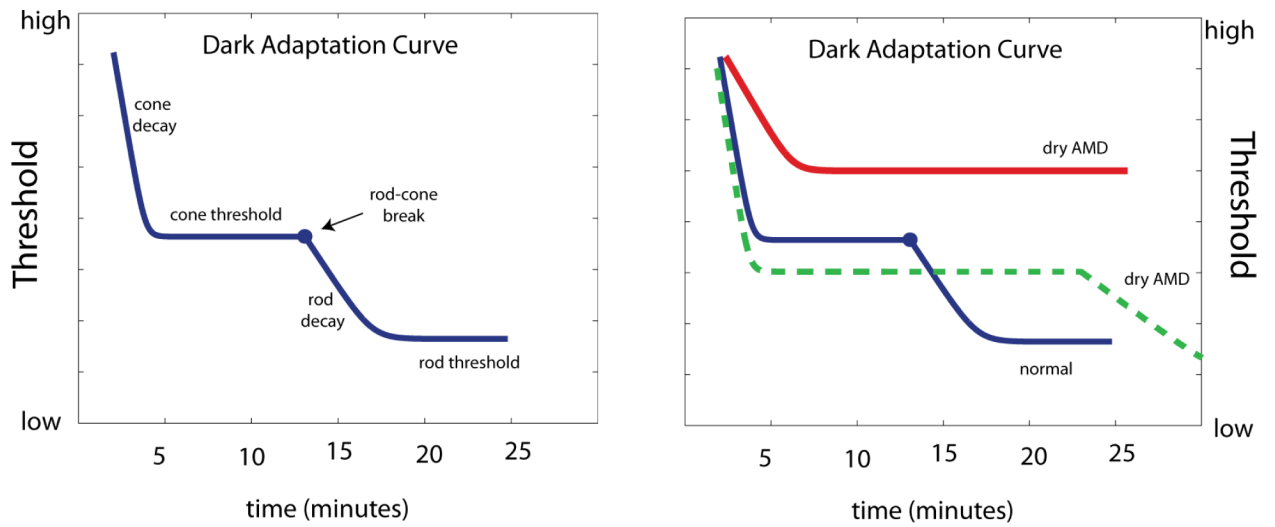
**Figure 1. Contrast sensitivity Function.**

(A) This image demonstrates that the contrast at which stripes vanish depends on their width and therefore the visual system is not equally sensitive to contrast at all spatial frequencies. (B) Spatial Contrast sensitivity functions change systematically during normal aging; both maximal sensitivity and the frequency corresponding to maximal sensitivity decrease over time (after Owsley, Sekuler, & Siemsen, 1983). Deficits for dry (filled circles) and wet AMD (filled squares) are apparent (Mei and Leat, 2007). Curves show the best-fitting log Parabola.



**Figure 2. Microperimetry.**

Suprathreshold testing results are presented for a healthy retina (left) and a dry AMD patient with a foveal-sparing central vision loss. Targets are presented in retinal co-ordinates - green points signify sighted areas, in which the patient detects even the faintest (-20dB) targets; red points signify areas of absolute vision loss where even the most intense targets were missed. The inset figures show the distribution of eye fixations (blue dots). With dry AMD, the fixation distribution demonstrates scattering and clustering that is not present in normal fixations.



**Figure 3. Dark Adaptation.**

For one subject with normal vision, and three with AMD, visual sensitivity thresholds are measured for prolonged periods (40–90 min) following photopigment bleaching. The AMD patients show longer recovery times, which are attributed to rod dysfunction specific to AMD (after Dimitrov et al, 2008).