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## Pirfenidone inhibits cryoablation induced local macrophage infiltration along with its associated TGF $\beta$ 1 expression and serum cytokine level in a mouse model

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### Abstract

**Purpose:** To investigate the effects of pirfenidone (PFD) on post-cryoablation inflammation in a mouse model.

**Materials and methods:** In this IACUC-approved study, eighty Balb/c mice were randomly divided into four groups (20/group): sham+vehicle, sham+PFD, cryoablation+vehicle, and cryoablation+PFD. For cryoablation groups, a 20% freeze rate cryoablation (20 seconds to less than  $-100^{\circ}\text{C}$ ) was used to ablate normal muscle in the right flank. For sham groups, the cryoprobe was advanced into the flank and maintained for 20 seconds without ablation. PFD or vehicle solution was intraperitoneally injected (5 mg/kg) at days 0, 1, 2, 3, and then every other day until day 13 after cryoablation. Mice were euthanized at days 1, 3, 7, and 14. Blood samples were used for serum IL-6, IL-10, and TGF $\beta$ 1 analysis using electrochemiluminescence and ELISA assays, respectively. Immunohistochemistry-stained ablated tissues were used to analyze macrophage infiltration and local TGF $\beta$ 1 expression in the border region surrounding the cryoablation-induced coagulation zone.

**Results:** Cryoablation induced macrophage infiltration and increased TGF $\beta$ 1 expression in the border of the necrotic zone, and high levels of serum IL-6, peaking at days 7 ( $70.5\pm 8.46/\text{HPF}$ ), 14 ( $228\pm 18.36/\text{HPF}$ ), and 7 ( $298.67\pm 92.63$ ), respectively. Animals receiving PFD showed reduced macrophage infiltration ( $35.5\pm 16.93/\text{HPF}$  at day 7,  $p<0.01$ ) and cytokine levels ( $60.2\pm 7.6/\text{HPF}$  at

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day 14,  $p < 0.01$ ). PFD also significantly reduced serum IL-6 levels ( $p < 0.001$  vs. all non-PFD groups).

**Conclusions:** PFD mitigates cryoablation induced muscle tissue macrophage infiltration, increased IL-6 levels, and local TGF $\beta$ 1 expression in a small animal model.

### Keywords

cryoablation; macrophage; IL-6; TGF $\beta$ 1; pirfenidone

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## Introduction

Cryoablation is a minimally invasive technique used to treat various malignant neoplasms, including malignancies of the skin, prostate, liver, lung, bone, and the breast [20]. Tissue injury is induced by mechanical disruption of cell membranes and vascular stasis. Following tissue injury, local inflammation surrounds the area of tissue necrosis.

Gazzaniga et al demonstrated the inflammatory changes that take place after cryosurgery-induced necrosis in a human melanoma xenograft model using nude mice [9]. Neutrophils appeared during the early stage of inflammation, while macrophages became more abundant at day 3 and peaked at day 7. Stromal peritumoral fibroblasts showed increasing size and number from days 7 to 15.

Macrophages are an important part of the post-treatment wound healing response following ablation. They secrete cytokines such as tissue hepatocyte growth factor (HGF) that help to heal the tissue. However, they can also have an off-target effect on residual tumor cells, bestowing survival benefit to residual cancer cells in the treatment region [19]. In addition, several secreted cytokines such as IL-6 and TGF $\beta$ 1 may stimulate cancer regeneration and survival after thermoablation. Erinjeri et al [6] reported increased plasma levels of IL-6 and IL-10 after thermoablation especially in the case of cryoablation. While TGF $\beta$ 1 is considered a central mediator of injury repair and fibrosis, inducing extracellular matrix production and proliferation of myofibroblasts and fibroblasts. TGF $\beta$ 1 also induces epithelial-mesenchymal transition, promotion of angiogenesis and cancer cell invasion [8], and suppression of the immune system at the later stages of cancer progression [4]. Thus, its increased presence may elevate the potential for tumor recurrence and metastasis [32].

Pirfenidone [5-methyl-1-phenyl-2-(1H)-pyridone, PFD] is an anti-fibrotic and anti-inflammatory agent approved for idiopathic fibrosis of the lung. The aim of this study is to investigate the effects of pirfenidone on post-cryoablation inflammation in a normal small animal model. We hypothesize that cryoablation will lead to macrophage infiltration and increased TGF $\beta$ 1 expression at the ablated border. Pirfenidone will attenuate or even block this local environment change and change macrophage-related serum cytokine levels.

## Materials and Methods

### Animals

Experiments were conducted with approval from the institutional animal care and use committee (IACUC). Eighty female Balb/c mice 10–12 weeks old (Jackson Laboratory, Bar Harbor, ME) were bred, housed and treated according to approved institutional animal protocols. All animals were maintained on a 12-hour light-dark cycle in a specific pathogen-free animal facility with free access to food and water.

### Treatment and control groups

Two weeks before cryoablation, retro orbital blood collection was performed in 6 randomly selected mice to test for serum cytokines baseline level. Then these 6 mice were mixed with the remaining 74 mice. All 80 mice were randomly divided into 4 groups (20/each group): 1) sham + vehicle, 2) sham + PFD, 3) cryoablation (CA) +vehicle, and 4) cryoablation (CA) + PFD.

### Preparation of pirfenidone and vehicle solutions

To prepare the pirfenidone solution, 500 mg pirfenidone (Selleckchem, Munich, Germany) was dissolved in 1.0 ml dimethyl sulfoxide (DMSO) (Gaylord Chemical, USA). The resulting solution was sonicated in a water bath at about 45 °C until transparent. Then 15 ml polyethylene glycol (PEG)-300 (Med Lab Supply, Miami, USA) was added. The mixture was diluted with double distilled water DDH<sub>2</sub>O to 100 ml (5 mg/ml). The intraperitoneal injection dose was 50 mg/kg. The vehicle injection was prepared as above minus pirfenidone, resulting in a DMSO+PEG-300+DDH<sub>2</sub>O mixed solution. The detailed injection protocol is presented in Fig. 1.

### Cryoablation protocol

Isoflurane inhalation anesthesia and carprofen were administered before cryoablation. The mice were surgically prepped by shaving the right flank. They were washed with alternating iodine-iodine scrub and 70% alcohol rinse. A small cut in the skin enabled introduction of the cryoprobe (Endocare 1.7mm PerCryo) into the skeletal muscle of the right flank in animals. The depth of puncture was 5 mm. Freezing was administered for 20 seconds to less than –100°C at the needle hub at 20% freeze rate. For the two sham groups, we advanced the cryoprobe into the flank where it was maintained for 20 seconds without ablation. After ablation, the site was monitored for bleeding or any other post procedural complications. If needed, carprofen was administered every 12 hours for pain relief during the first 3 days post ablation.

### Blood and tissue sample collection

After cryoablation, blood samples were drawn from a retro orbital vein from anesthetized mice before they were euthanized. After clotting and centrifugation, sera were fractionated and stored at –80°C. Mice were euthanized at day 1, day 3, day 7, and day 14 (prescribed study endpoints). Ablated tissues were harvested and fixed in 10% neutral buffered formalin. They were sectioned at 4–7 μm and stained with immunohistochemistry (IHC) (Fig.1).

## IHC

**F4/80 for macrophage**—The IHC detection of F4/80 Ab was performed by the Molecular Cytology Core Facility of Memorial Sloan Kettering Cancer Center using the Discovery XT processor (Ventana Medical Systems, Oro Valley, AZ). A rat F4/80 antibody (Abcam, Cambridge, UK, cat #ab6640) was used at 2 ug/ml concentrations. The protocol involved blocking (10% normal rabbit serum, 2% bovine serum albumin (BSA)) for 30 min, 3-hour incubation with primary antibody, followed by 60-min incubation with biotinylated rabbit anti-rat IgG (Vector Laboratories, Burlingame, CA, cat #BA-4000, 1:200 dilution). Blocker D, Streptavidin- HRP, and DAB detection kits (Ventana Medical Systems, Oro Valley, AZ) were used according to the manufacturer's instructions.

## TGFβ1 Staining

Paraffin sections were dewaxed in xylene and hydrated into graded alcohols. Endogenous peroxidase activity was blocked by immersing the slides in 1% hydrogen peroxide in phosphate-buffered saline (PBS) for 15 minutes. Pretreatment was performed in a steamer using 10 mM citrate buffer, pH 6.0, for 30 minutes. Sections were incubated overnight with the primary antibody, anti-TGFβ1 antibody (Rabbit polyclonal from Abcam, Cambridge, UK, cat. #ab92486) diluted 1:50. Sections were washed with PBS and incubated with the appropriate secondary antibody followed by avidin-biotin complexes (Vector Laboratories, Burlingame, CA, cat. #PK-6100). Antibody reactions were visualized with 3–3' diaminobenzidine (Sigma-Aldrich, St. Louis, MO, cat. #D8001) and counterstained with hematoxylin. Tissue sections were dehydrated in graded alcohols, cleared in xylene, and mounted.

Count of F4/80 and TGFβ1 positive cells were evaluated with the Image J software [23].

## Cytokines

Serum levels of IL-6 and IL-10 were measured using the mouse V-PLEX Proinflammatory Panel 1 mouse kit (Meso Scale Discovery, Rockville, MD, Cat. #K0080001) using an electrochemiluminescence detection technology following the manufacturer's instruction. Serum levels of TGFβ1 were determined using the Mouse/Rat/Porcine/Canine TGF-beta 1 Quantikine ELISA Kit (R&D Systems, Minneapolis, MN, Cat. #MB100B) according to the manufacturer's instruction.

## Statistical analysis

All quantitative data were presented as mean ± SD. The ANOVA was used to determine statistical significance. The significance level ( $\alpha$ ) on all statistical analysis was predefined at 0.05.

## Results

### Cryoablation induced macrophage infiltration and TGFβ1 expression

Compared with the sham groups, the CA groups demonstrated increased infiltration of F4/80 positive cells from day 3 to day 14 (Table 1) and TGFβ1 expression from day 1 to day 14 (Table 2), as determined by IHC staining of the geographic rim surrounding the treatment

zone. There was a significant difference between the CA groups and the sham groups ( $p < 0.001$ ). The number of macrophages peaked at day 7 following cryoablation (Fig 2a, Fig 2b) and TGF $\beta$ 1 expression peaked at day 14 (Fig 3a, Fig 3b).

### **Pirfenidone reduces infiltration of macrophages into region of cryoablation**

When comparing both CA groups, the CA + vehicle group had higher macrophage infiltration in normal muscle at day 7 and day 14 compared with the CA + PFD group (Table 1). There was a significant difference between the two groups for the count of F4/80 positive cells at day 7 ( $70.5 \pm 8.46$ /high-power field (HPF) vs  $35.5 \pm 16.93$ /HPF,  $p = 0.001$ ) and day 14 ( $55.5 \pm 9.07$ /HPF vs  $18.2 \pm 3.66$ /HPF,  $p < 0.001$ ) (Fig 2a, 2b).

### **Pirfenidone reduces local expression of TGF $\beta$ 1 in region of cryoablation**

When comparing both CA groups, the CA + vehicle group had higher local TGF $\beta$ 1 expression in normal muscle (Table 2) at day 7 and day 14 compared with the CA + PFD group. There was a significant difference between the two groups for the count of the TGF $\beta$ 1 expression positive cells at day 7 ( $183 \pm 9.59$ /HPF vs  $60.2 \pm 7.6$ /HPF,  $p < 0.001$ ) and day 14 ( $228 \pm 18.36$ /HPF vs  $65.6 \pm 8.73$ /HPF,  $p < 0.001$ ) (Fig 3a, 3b).

### **Pirfenidone reduces systemic levels of IL-6 following cryoablation**

Compared with baseline level cytokine levels, all groups had a transient elevation of serum IL-6 at day 1 due to puncture or CA damage (Table 3). IL-6 levels peaked on day 7 for the CA + vehicle group, showing a significant rise from 7.33 at baseline to 298.67 pg/ml ( $p < 0.001$ ). IL-6 levels for the CA + vehicle group were significantly higher compared with the CA + PFD group at day 7 (16.28 vs 298.67 pg/ml,  $p < 0.001$ ) as well as at day 14 (7.78 vs 121.33 pg/ml,  $p < 0.001$ ) (Fig 4). IL-10 and TGF $\beta$ 1 showed no significant changes in serum levels at day 14 of cryoablation in all groups.

## **Discussion**

Cryoablation is a commonly used method for treating a variety of cancers with benefits with respect to surgery. As a minimally invasive, image-guidable, and repeatable procedure, it is generally associated with improved patient comfort, lower complication risk, and reduced cost. Compared with surgery, another potential benefit of cryoablation is the ability to freeze tumors and a margin of adjacent normal tissue, which remain in situ with the potential to stimulate an immunologic response.

Three days after cryoablation, five histological zones extending radially outward from the cryoablation probe can be found, including central necrosis, inflammation, thrombosis/ischemic necrosis, granulation, and viable tumor [15]. Viable tumor is not always observed after cryoablation if the procedure is completely effective. These zones are time-dependent not only for cryoablation but also following heat-based modalities such as radiofrequency ablation [15, 19]. In the present study, F4/80 immunohistochemistry staining revealed that macrophages began to accumulate at the border zone starting at day 3 and peaking at day 7 and then decreased gradually. Local TGF $\beta$ 1 expression enhanced gradually from day 1 to day 14 and its peak occurred at day 14, the latest endpoint in this study. Our results are

consistent with published studies [9, 15, 19] showing that peri-ablation infiltration zones changes are also time-dependent. We observed a monotonous rise in TGF $\beta$ 1 expression till day 14 after cryoablation in the group of mice receiving cryoablation without post-treatment PFD, but as that was the endpoint of our study, we do not know whether local TGF $\beta$ 1 expression will continue to rise after this timepoint. We were able to determine that peak time differs from time to peak macrophages infiltration. Our observations suggest that there must be other sources of local TGF $\beta$ 1 expression.

Macrophages, as vital immune cells, play an important role in immunomodulation after cryoablation. They not only clean necrotic cells but also secrete various cytokines that will activate other immune related cells such as IL-6, a key secreted cytokine. In non-infectious inflammations, such as cryoablation injury, damage-associated molecular patterns (DAMPs) from damaged or dying cells stimulate toll-like receptors of macrophages to produce IL-6 [18]. In our study, both the number of local infiltrated macrophages and serum IL-6 levels peaked at day 7 and decreased at day 14 after cryoablation. With intraperitoneal PFD injection, however, they decreased conformably, compared with CA alone group. This shows that post-ablation serum IL-6 is mainly secreted by local infiltrated macrophages.

Our study found that PFD can decrease post-cryoablation induced macrophages infiltration and serum IL-6 levels, with several potential ramifications for tumor cryoablation. IL-6 is reported to help liver recovery after injury by activating the NF- $\kappa$ B (Nuclear Factor Kappa-light-chain-enhancer of activated B cells) and STAT3 (Signal Transducer and Activator of Transcription 3) signaling pathways [5]. IL-6 also supports cancer cell survival and promotes the growth of lung, colon, liver cancers [11–13, 31]. Many studies have revealed that patients with high plasma levels of IL-6 had shorter hepatocellular carcinoma-free survival than those with low IL-6 levels [14, 30]. After incomplete cryoablation, viable or sub-lethal tumor cells that persist in the margin may be stimulated to proliferate by elevated serum IL-6. Thus, IL-6 is an attractive potential target in decreasing the rate of local recurrence and/or distant metastasis after cryoablation. Decreasing the secretion level of IL-6 or affecting its downstream targets can be a useful combined therapy. To limit increased IL-6 levels, decreasing macrophage infiltration may be a good strategy. Cryoablation or other thermoablation modality plus PFD may prove very useful for future research and eventual clinical translation.

TGF $\beta$ 1 is a frequently studied cytokine in the fields of cancer, auto-immune disease, and infectious disease. TGF $\beta$ 1 causes immune suppression and angiogenesis, which makes the cancer more invasive [1]. One of the pivotal mechanisms of active immune suppression is mediated by cytokine TGF $\beta$ 1 or CD4+Foxp3+Tregcells [17, 22]. Interleukin-1 and interleukin 2 dependent proliferation of activated T cells [26, 28], and the activation of quiescent helper T cells and cytotoxic T cells are prevented by the activation of TGF $\beta$ 1 [10, 29]. Thus, local excessive TGF $\beta$ 1 expression is a negative factor in tumor microenvironment. Our results reveal that cryoablation can induce high expression of TGF $\beta$ 1 at the local peri-ablation zone and PFD can attenuate TGF $\beta$ 1 expression after cryoablation, which may have benefits for promotion of local immune status around ablated tumor, especially for killing the sub-lethal survival cancer cells. Although local TGF $\beta$ 1 expression increased gradually in 14 days in the cryoablation groups, there is no significant

elevation in serum TGF $\beta$ 1 level compared with the sham groups. This shows that local serum cytokine levels could vary greatly from those in plasma.

Cells of monocytic lineage, such as macrophages and dendritic cells, play an important role in mediating post-cryoablation immunologic response, with implications for abscopal control of distant tumors. While not conclusive, Sable et al. [20] report that macrophages infiltrating cryoablation typically suppress the immunologic response, while dendritic cells promote priming of the adaptive immune system through cross presentation of antigens from the region of cryoablation. The suppressive action of macrophages could be largely derived from IL-6 and TGF $\beta$ 1 secretion, and our results demonstrate that such effects can be overcome with adjuvant administration of PFD. The specific impact of PFD on treatment on dendritic cells, and priming of the immune system was not studied as part of our work, however, we hypothesize that cryoablation with adjuvant PFD should augment cancer control.

PFD is an anti-fibrotic drug for the treatment of idiopathic pulmonary fibrosis, via the regulation of TGF- $\beta$  and procollagen I and II production [16, 25, 27]. Most studies involving PFD focus on its anti-fibrotic effect [2, 3, 24]. Therefore, we were interested in whether PFD has any active effect on cryoablation induced local and systemic immunomodulation according to its pharmaceutical principal. Our data indicate that PFD can also reduce cryoablation induced macrophage infiltration, IL-6 secretion, and local TGF $\beta$ 1 expression.

This study has several limitations. Firstly, it was a preliminary study and the cryoablation protocol was performed in normal muscle tissue in a small animal model. Results from a tumor xenograft animal model would be more reliable. In the future we will use a tumor xenograft animal model to repeat this study. Secondly, we tested only one cryoablation parameter. Different ablation modes may have different immunomodulation effects. Sabel et al reported that the high freeze rate of cryoablation led to fewer lung metastases and longer survival in a breast cancer mice model [21]. Thus, future studies should be performed to validate these results with different parameters. Finally, we did not test different PFD injection protocol and dosage, and its latent toxicity. PFD can increase hepatic enzyme levels, especially those of aspartate transaminase (AST), alanine transaminase (ALT), and gamma-glutamyltranspeptidase (GGT) and is contraindicated in patients who have severe hepatic impairment [7].

In conclusion, cryoablation can induce macrophage infiltration, high serum IL-6 levels, and local TGF $\beta$ 1 expression in normal muscle tissue in a small animal model. PFD can attenuate these changes.

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## Abbreviations:

<b>BSA</b>	bovine serum albumin
<b>CA</b>	Cryoablation
<b>DMSO</b>	dimethyl sulfoxide
<b>ELISA</b>	enzyme-linked immunosorbent assay
<b>HGF</b>	hepatocyte growth factor
<b>HPF</b>	high-power field
<b>IACUC</b>	institutional animal care and use committee
<b>IHC</b>	immunohistochemistry
<b>PEG</b>	polyethylene glycol
<b>PFD</b>	pirfenidone

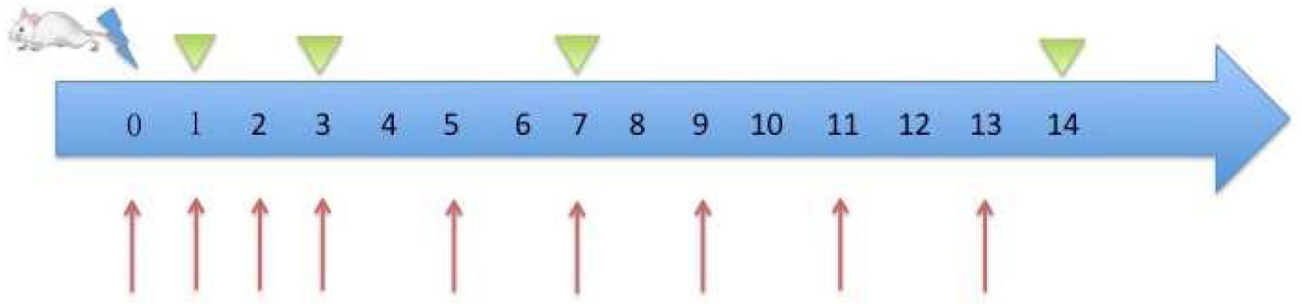
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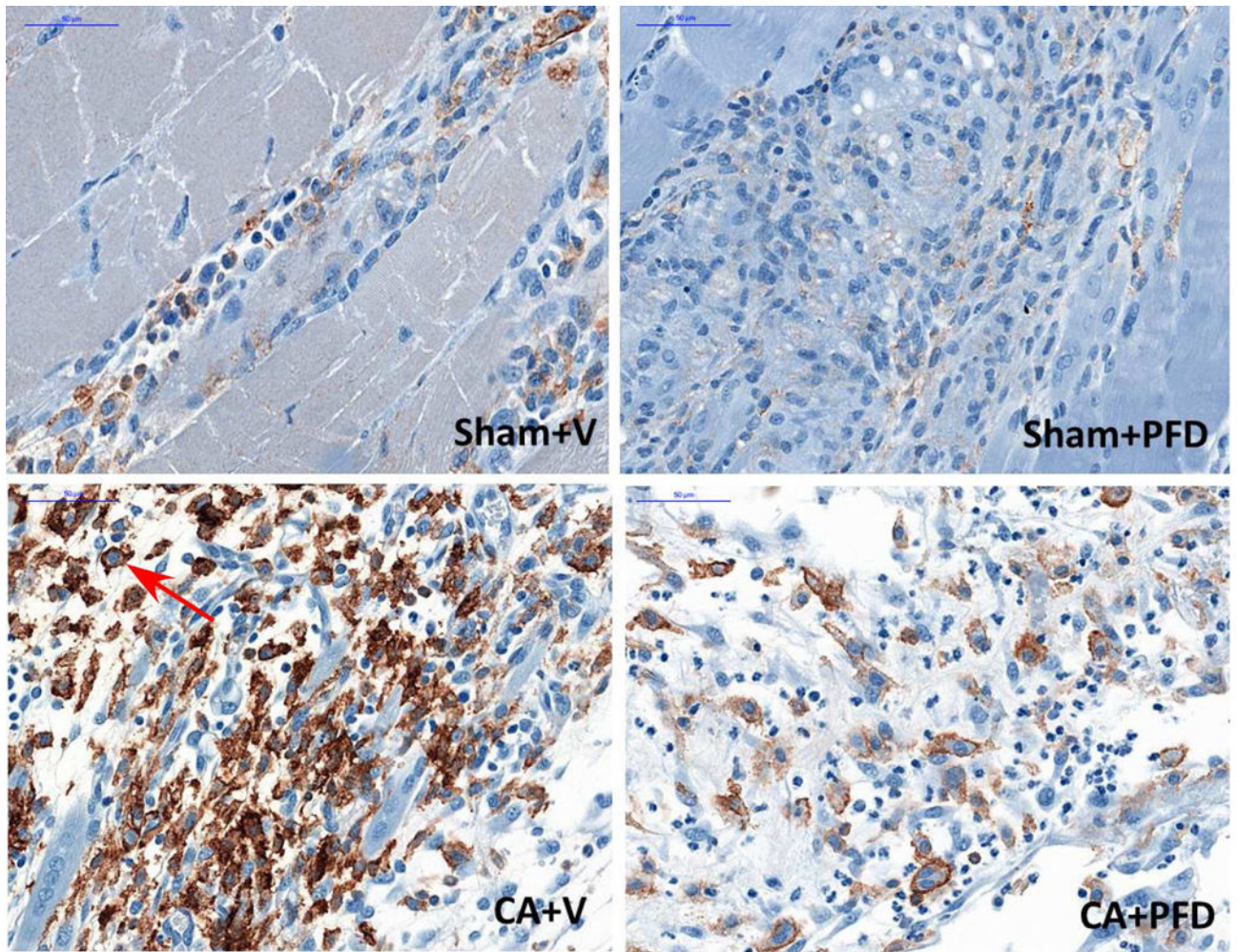
**Fig 1. Study protocol**

80 mice were randomly divided into four groups: 1) sham + vehicle, 2) sham + PFD, 3) CA + vehicle, and 4) CA + PFD

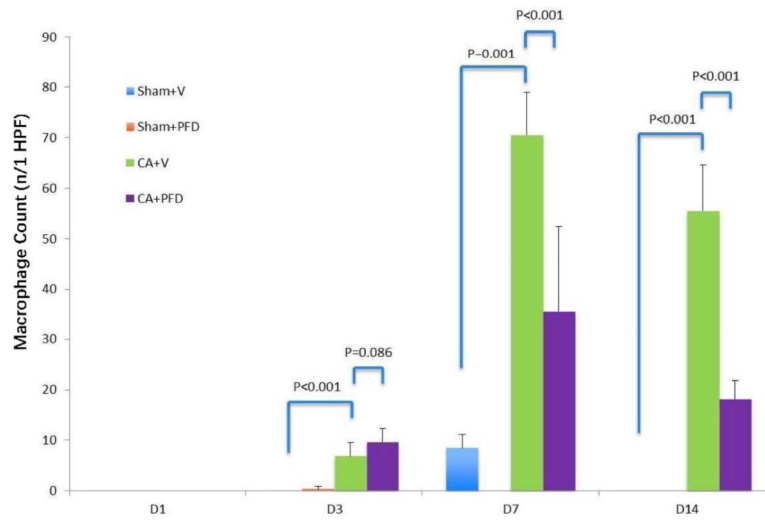
↙ cryoablation

▼ blood and tissue sample collection

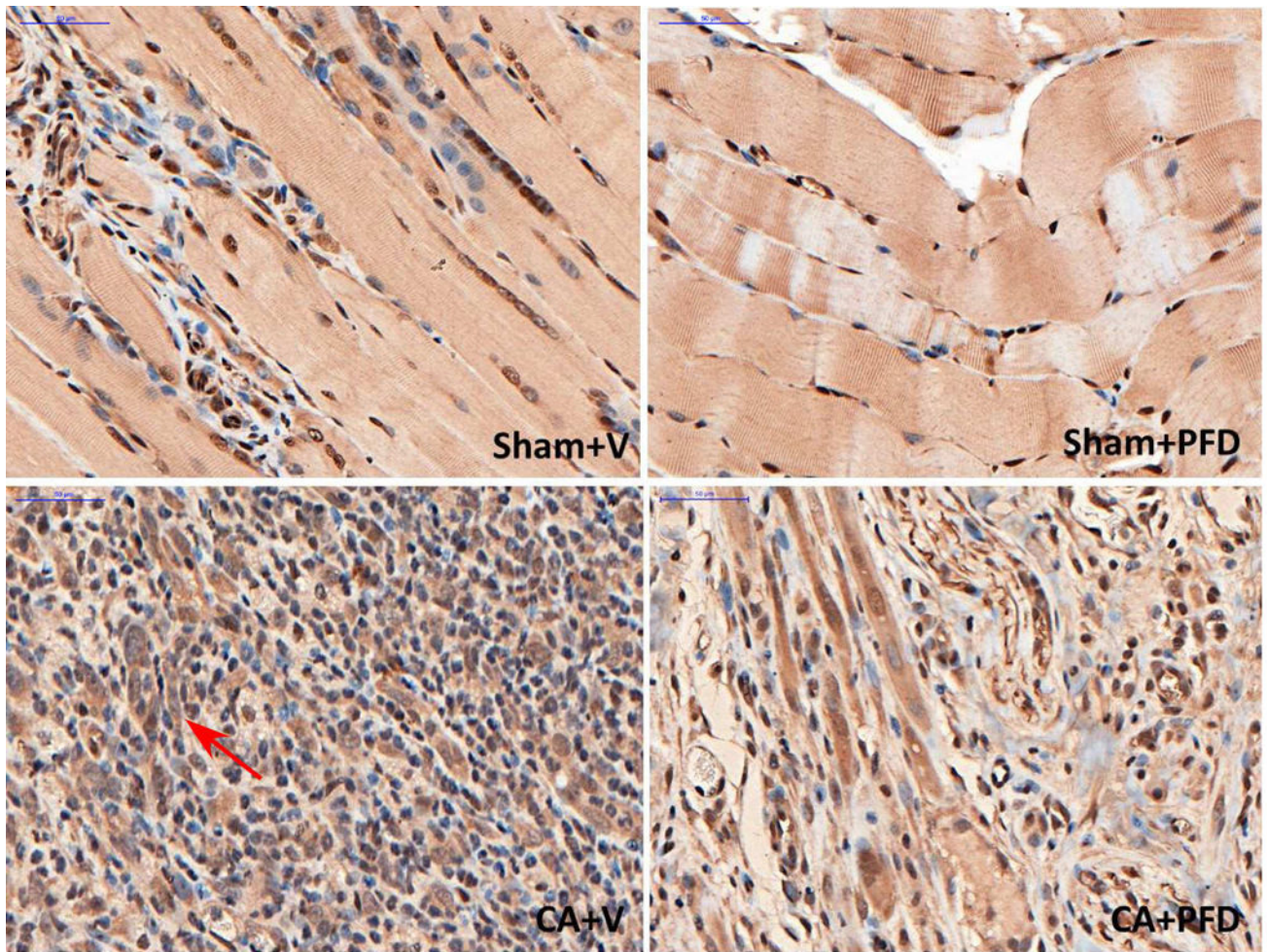
↑ PFD intraperitoneal injection or vehicle injection



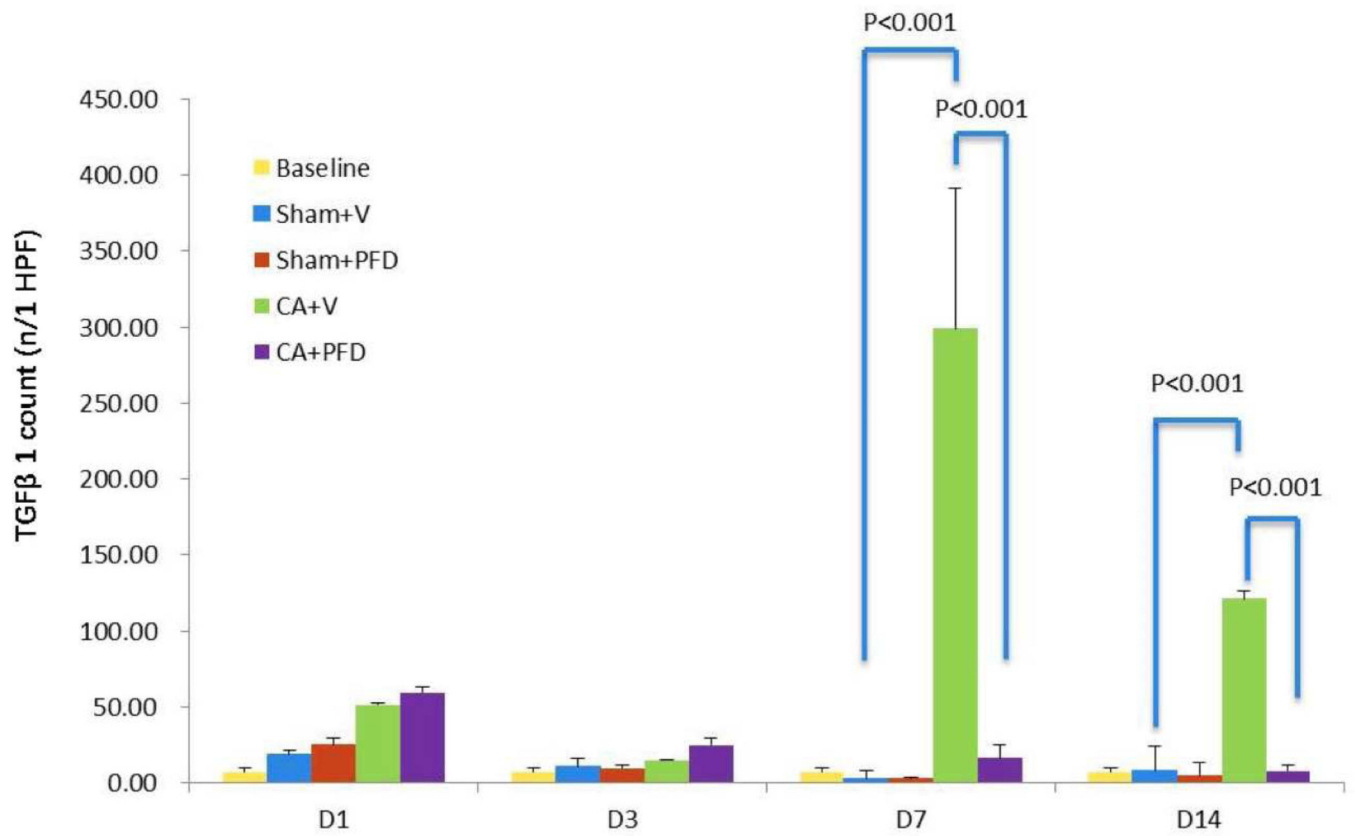
**Fig 2a.**  
F4/80 staining (original magnification,  $\times 40$ ) shows macrophage accumulation at border zone 7 days after cryoablation in different groups (five mice per group, scale bar = 200  $\mu\text{m}$ ). Marked macrophage accumulation (arrow) is noted.



**Fig 2b.** Bar chart shows quantification of macrophages at the border zone. Macrophages peaked at 7 days after cryoablation. PFD decreased cryoablation-induced macrophage infiltration ( $p<0.001$ ).

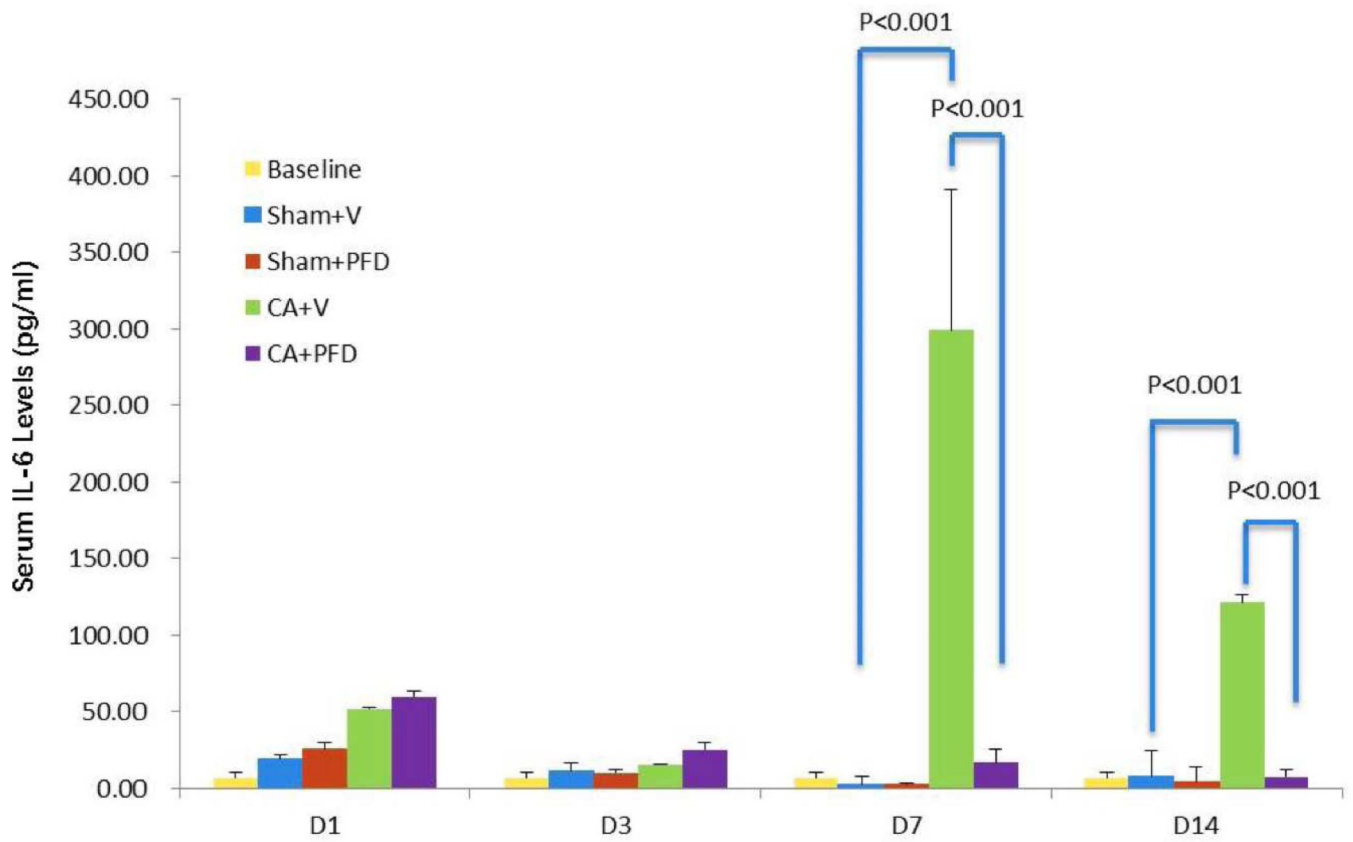


**Fig 3a.**  
TGFβ1 staining (original magnification,  $\times 40$ ) shows TGFβ1 expression at the border zone 14 days after cryoablation in different groups (five mice per group, scale bar = 200  $\mu\text{m}$ ). Marked local TGFβ1 staining (arrow) is noted.



**Fig 3b.**

Bar chart shows quantification of TGFβ1 expression at the border zone. TGFβ1 expression peaks at 14 days after cryoablation. PFD decreases cryoablation-induced TGFβ1 expression ( $p<0.001$ ).



**Fig 4.**

Bar chart shows serum IL-6 levels at different timepoints after cryoablation. IL-6 level peaks at 7 days after cryoablation. PFD decreases cryoablation-induced serum IL-6 level elevation ( $p < 0.001$ ).



**Table 1**

Post-CA macrophage infiltration in a geographic rim surrounding the CA zone at different time points

Timepoint	Sham+V	Sham+PFD	CA+V	CA+PFD
D1	0±0	0±0	0±0	0±0
D3	0±0	0.33±0.52	6.86±2.61	9.57±2.82
D7	8.5±2.59	0±0	70.5±8.46	35.5±16.93
D14	0±0	0±0	55.5±9.07	18.2±3.66

Note: D=day, V=vehicle, PFD=pirfenidone, CA=cryoablation

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**Table 2**Post-CA local TGF $\beta$ 1 expression in a geographic rim surrounding the CA zone at different time points

<b>Timepoint</b>	<b>Sham+V</b>	<b>Sham+PFD</b>	<b>CA+V</b>	<b>CA+PFD</b>
D1	11.8 $\pm$ 2.77	10.2 $\pm$ 2.39	36.8 $\pm$ 5.02	33.6 $\pm$ 2.51
D3	14.6 $\pm$ 4.56	13 $\pm$ 4.3	104.2 $\pm$ 16.3	92 $\pm$ 12.66
D7	34 $\pm$ 9.67	3 $\pm$ 0.71	183 $\pm$ 9.59	60.2 $\pm$ 7.6
D14	41.4 $\pm$ 4.61	5.4 $\pm$ 1.82	228 $\pm$ 18.36	65.6 $\pm$ 8.73

Note: D=day, V=vehicle, PFD=pirfenidone, CA=cryoablation

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**Table 3**

Post-CA serum cytokine levels at different time points

Cytokine (pg/ml)	Baseline (pg/ml)	Time point	Group			
			Sham+V	Sham+PFD	CA+V	CA+PFD
IL-6		D1	18.33±2.66	25.15±6.01	51.25±5.09	59.38±15.85
		D3	10.41±3.9	9.62±1.99	14.9±0.6	24.9±8.55
	7.33±2.09	D7	2.71±1.08	3.04±0.03	298.67±92.63 <sup>*,#</sup>	16.28±4.76
		D14	7.93±4.22	4.91±4.13	121.33±8.62 <sup>*,#</sup>	7.78±3.84
IL-10		D1	9.4±1.74	5.74±0.52	6.74±0.94	8.53±2
		D3	6.62±1.2	8.82±1.98	8.13±2.18	9.19±1.72
	9.56±1.04	D7	8.46±1.63	6.82±0.33	9.96±1.43	9.06±1.07
		D14	6.7±2.13	11.24±1.85	12.04±3.75	9.5±1.23
TGFB1		D1	15013.69±8676.46	10879.05±4768.49	11746.09±4059.83	6976.26±1503.01
		D3	8141.17±2988.41	5694.94±602.08	9741.66±2867.82	5823.13±481.15
	11020.86±6982.82	D7	6807.76±1109.73	7095.07±1355.21	7822.44±2453.08	10447.42±3252.91
		D14	4048.39±242.97	4344.87±1012.34	13196.18±14347.3	8497.5±10993.84

Note: D=day, V=vehicle, PFD=pirfenidone, CA=cryoablation

\* p<0.001 compared to Sham + Vehicle group

# p<0.001 compared to CA + PFD group