



REVIEW ARTICLE

Ex vivo pulsed dendritic cell vaccination against cancer

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As the most powerful antigen-presenting cell type, dendritic cells (DCs) can induce potent antigen-specific immune responses *in vivo*, hence becoming optimal cell population for vaccination purposes. DCs can be derived *ex vivo* in quantity and manipulated extensively to be endowed with adequate immune-stimulating capacity. After pulsing with cancer antigens in various ways, the matured DCs are administrated back into the patient. DCs home to lymphoid organs to present antigens to and activate specific lymphocytes that react to a given cancer. *Ex vivo* pulsed DC vaccines have been vigorously investigated for decades, registering encouraging results in relevant immunotherapeutic clinical trials, while facing some solid challenges. With more details in DC biology understood, new theory proposed, and novel technology introduced (featuring recently emerged mRNA vaccine technology), it is becoming increasingly likely that *ex vivo* pulsed DC vaccine will fulfill its potential in cancer immunotherapy.

Keywords: cancer immunotherapy; dendritic cells; cancer antigens; DC vaccination; mRNA-pulsed DC vaccines; T-cell activation; tumor microenvironment

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INTRODUCTION

Vaccination is a type of immunotherapy that is effective in the treatment of cancer. It provides the immune system with potential antigens for recognition and usually activates antigen-specific lymphocytes via presentation of antigens by dendritic cells (DCs), which are the most adept cells regarding antigen uptake and processing. Activated lymphocytes, especially T cells, assume effector functions such as cytotoxicity and cytokine production to controlling cancer progression.

Before the prevalence of dendritic cell vaccines, studies on cell-based vaccines focused on inactivated tumor cells that were engineered for enhanced immunogenicity. The so-called whole-cell cancer vaccines generally require antigen uptake by endogenous DCs, which is a process that is relatively limited in efficiency. This strategy is reasonably advantageous because the antigens are delivered directly to DCs for maximum efficacy, and this can be done either by *ex vivo* pulsing or *in vivo* targeting of DCs.

Ex vivo pulsing of DCs is a process in which DCs that are derived from autologous origins are loaded with antigens and matured under favorable *ex vivo* conditions. The resulting DCs are then administered back to the patient to initiate protective immune responses. Compared with *in vivo* targeting, *ex vivo* pulsing of DCs has a lower risk, higher efficiency, and fewer technical difficulties.

U.S. FDA approval of Sipuleucel-T, a vaccine against late-stage castration-refractory prostate cancer and notably the first therapeutic DC vaccine against cancer, ushered cancer immunotherapy into a new era. Although numerous DC-based cancer vaccines have entered clinical trials in recent years and have registered encouraging results, many issues have yet to be addressed. In this review, we discuss recent progress in and the future of vaccination

with *ex vivo* pulsed DCs against cancer, with an emphasis on emerging mRNA-pulsed DC vaccines.

BIOLOGY OF DCs

In 1973, Steinman and Cohn discovered a cell population with branching processes and named these cell DCs after the Greek word for tree (dendreon) [1]. Decades of in-depth study of this heterogeneous population finally verified that they are the most potent professional antigen-presenting cells, which bridge the gap between innate and adaptive immunity and play a key role in eliciting adaptive immune responses. Steinman was awarded the Nobel Prize in 2011 for the remarkable discovery of DCs.

DCs exist in two sequential stages, immature and mature DCs (mDCs) after. Most DCs in the body are immature, and they populate peripheral nonlymphoid tissues, specialize in antigen uptake, express high levels of phagocytosis-related receptors and low levels of costimulatory molecules, such as CD80 and CD86, as well as adhesion molecules, such as ICAM, and exhibit weak antigen-presenting capacity; hence, these cells are relatively incompetent at activating T cells [2].

Immature DCs (iDCs) migrate to peripheral lymphoid organs after ingestion of antigen and stimulation by inflammatory factors. Maturation proceeds during migration, resulting in DCs that express high levels of major histocompatibility complex (MHC) molecules and costimulatory molecules, such as CD40, CD70, CD80, and CD86, and secrete interleukin 12 (IL-12), IL-6, TNF- α , and IP-10. Furthermore, mDCs express increased levels of C-C chemokine receptor type 7, a chemokine receptor that is responsible for lymph node homing. These molecules are vital for effective T-cell activation [3]. mDCs present antigens to and

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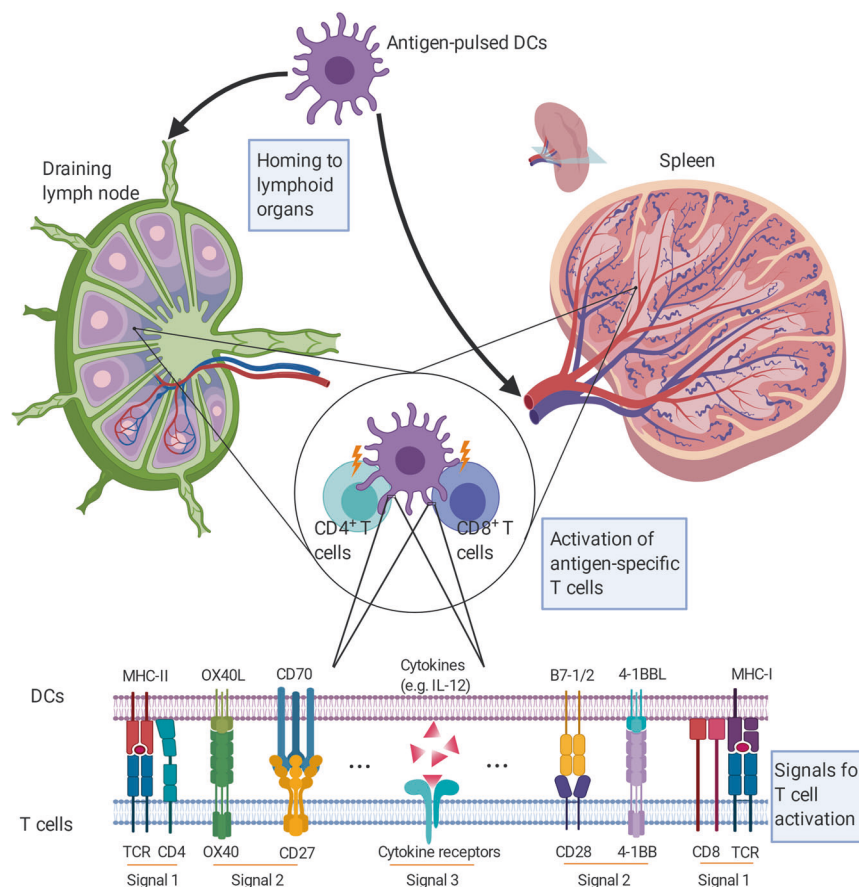


Fig. 1 Activation of antigen-specific T cells by DCs. Antigen-pulsed DCs home from peripheral tissues to lymphoid organs, where they present processed antigens to encountered T cells for specific recognition. Full activation of T cells is collectively governed by three different signals, provided by TCR recognition of peptide-MHC complex, co-stimulation, and tonic cytokines signaling.

activate T cells in the lymph nodes. Full activation of naïve T cells depends on the orchestration of three distinct signals. DCs present peptide-MHC complexes to T cells for recognition by specific T-cell receptors, and the CD3 complex transduces an antigen recognition signal (Signal 1) into T cells. Signal 2 is determined by a balance between costimulatory and coinhibitory molecules on DCs (CD80, CD86, PD-L1/2, CD40, CD70, OX40L, 4-1BBL, etc.) and T cells (CD28, CTLA-4, PD-1, CD40L, CD27, OX40, 4-1BB, etc.). Signal 3 is from the cytokine environment, which regulates the proliferation, differentiation, and immune memory of T cells (Fig. 1). For example, IL-12 secreted by DCs promotes Th1 (T helper 1) immune responses, while IL-23, IL-6, and IL-1 β stimulate Th17 immune responses [4–6]. Activated T cells, such as cancer-specific cytotoxic T lymphocytes (CTLs), leave peripheral lymphoid organs for cancer foci, where they exert their anticancer function. Moreover, DCs interact with natural killer (NK) cells and further boost anticancer effects. Recently, it was found that mDCs enhance NK cell proliferation, activation, and cytotoxicity through the interaction of DC-expressed CX3CL1 and NK cell-expressed CX3CR1, as well as through the secretion of cytokines, such as IL-12, IL-15, and IL-18 [7].

VACCINATION WITH EX VIVO PULSED DENDRITIC CELLS—THE BASICS AND THE REGULAR STORY

Preparation of DCs

Despite their general presence in most tissues, the absolute numbers of DCs are low. For example, mDCs account for only ~1% of total peripheral blood mononuclear cells (PBMCs). As ex vivo derivation of DCs improves, multiple precursor cells can be used

to prepare DCs, such as nonproliferative CD14⁺ monocytes from peripheral blood and proliferative CD34⁺ precursor cells from bone marrow and the umbilical blood [8, 9]. In addition, various cell types can be redirected to a dendritic cell fate either by direct transdifferentiation or indirect dedifferentiation followed by redifferentiation (Fig. 2).

CD14⁺ monocytes constitute ~10% of PBMCs, and DCs derived from peripheral blood monocytes (MoDCs) have been extensively studied and applied. In 1994, Sallusto and Romani established a method for the induction of DCs from monocytes by granulocyte-macrophage colony-stimulating factor (GM-CSF) and IL-4. GM-CSF sustains differentiation toward and subsequent development of DCs, while IL-4 suppresses the proliferation of macrophages and granulocytes and prevents the differentiation of monocytes toward macrophages [10, 11]. Two years later, Romani and Zhou made an improved protocol public. They obtained iDCs after induction for 6–7 days with GM-CSF and IL-4 and then developed mDCs after stimulation for 3 days with activating factors such as TNF- α . This method also registered the first successful effort to replace bovine serum with human plasma in culture, which lays the foundation for the clinical application of ex vivo-derived DCs [12, 13].

To date, more ex vivo derivation protocols of MoDCs have been explored, such as replacing IL-4 with IL-15 or interferon α (IFN- α) in the presence of GM-CSF to bolster the activation potency of DCs [14–16]. Apart from the classical 1-week protocol, researchers invented fast protocols with which DCs could be harvested after 2–3 days of culture [17].

The maturation of DCs was commonly performed by adding the gold standard cocktail (TNF- α , IL-1 β , IL-6, and prostaglandin E2) to

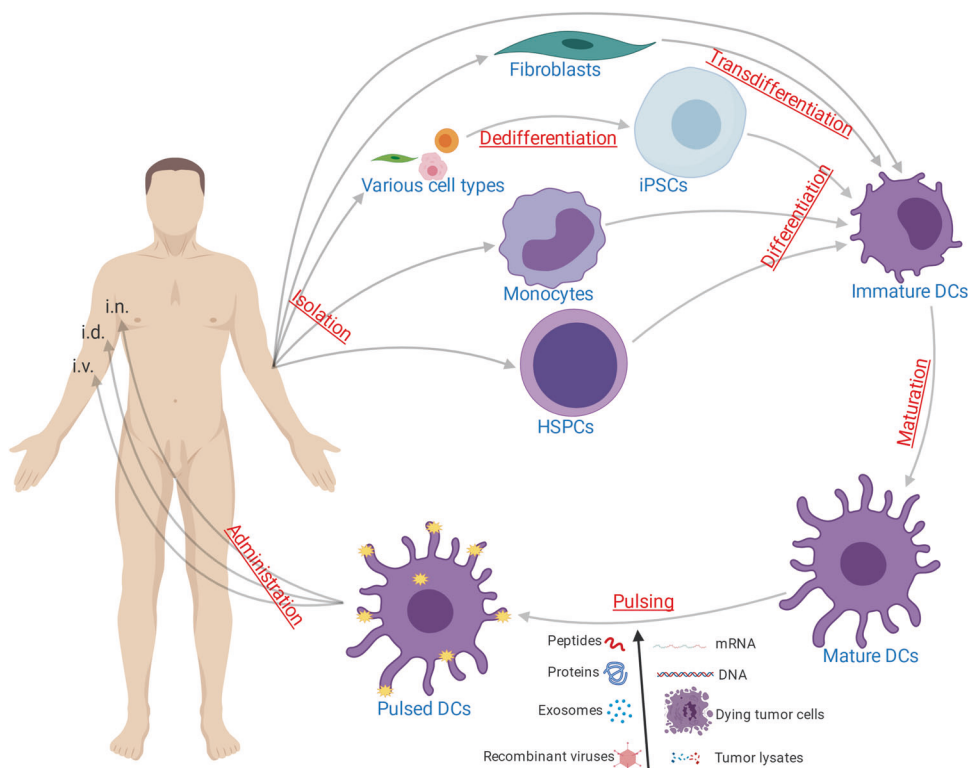


Fig. 2 Schema of vaccination with ex vivo pulsed DCs against cancer. DCs are typically generated from autologous CD14⁺ monocytes, HSPCs, and differentiated into immature DCs in the presence of various stimuli. Also, DCs can be derived from fibroblasts by transdifferentiation, and from iPSCs. In addition, existing DCs are isolated from patients. After maturation and pulsing with antigen of diverse forms, mature DCs are administered back into the patients via various routes. i.n. intranodally. i.d. intradermally. i.v. intravenously.

cultures [18]. Later, different teams developed somewhat similar modifications to the formulation (IFN- γ and LPS/MPLA) [19, 20].

MoDCs cannot be propagated ex vivo; as a result, their application is somewhat limited. CD34⁺ hematopoietic stem/progenitor cells (HSPCs) can be used to prepare DCs in large amounts ex vivo. These DCs (34DCs) are superior to MoDCs in that they elicit more potent T-cell immune responses against cancer by upregulating the expression of tumor necrosis factor-related apoptosis-inducing ligand and enhancing cytotoxicity [21, 22].

Derivation of robust 34DCs was typically achieved in a cytokine milieu that differed from that for MoDC derivation. The combination of fms-related tyrosine kinase 3 ligand (Flt3L), thrombopoietin (TPO), and stem cell factor (SCF) was one of the first documented formulations for such a purpose. Alternatively, culturing in the presence of Flt3L, SCF, IL-3, and IL-6 for 3 weeks before switching to culture in the presence of Flt3L, TPO, and SCF for 1 week worked similarly well [23]. Recently, it was reported that the inclusion of Notch ligand Delta-like 1 (DLL1) in the original formulation of GM-CSF, Flt3L, and SCF significantly improved the induction of bona fide type 1 conventional DCs (cDC1s), which specialize in priming CD8⁺ CTLs, from CD34⁺ HSPCs [24].

Efforts to dissect the hematopoietic progenitor subsets that give rise to 34DCs, especially cDC1s, revealed that, aside from the widely recognized common myeloid progenitor lineage, a large proportion of multipotent lymphoid progenitors share the same potential [25].

As the understanding of the signals that govern cell differentiation continued to deepen, fresh strategies for deriving DCs were developed. Forced coexpression of PU.1, IRF8, and BATF3 transdifferentiated fibroblasts into cDC1s that were competent for cell therapy [26]. Alternatively, various cell types can be efficiently dedifferentiated into induced pluripotent stem cells (iPSCs) before being redifferentiated into DCs [27]. This process is

a once-and-for-all solution that generates DCs in unprecedented quantities, although it is currently not time- or budget-friendly. Recently, it was demonstrated that iPSCs derived from primary DCs were a superior source of DCs for immunotherapy, as the epigenetic imprints retained after dedifferentiation helped to ensure high immunogenicity of the generated DCs [28].

Pulsing of DCs with cancer antigens

The pulsing of DCs with cancer antigens is the key step in preparing DC vaccines. DCs are usually pulsed with whole-cell antigens from ultrasonicated or repeatedly frozen and thawed cancer samples, synthetic cancer antigenic peptides, DNA or RNA from cancer cells, and exosomes derived from cancer cells [29–32] (Fig. 2). Tumor antigenic peptides are synthesized according to verified or predicted epitopes in cancer antigens and are used in many clinical trials to pulse DCs. However, there are considerable weaknesses in this approach. For example, epitopes are restricted by the HLA (human leukocyte antigen) type of the patients, most cancer antigenic epitopes are not yet elucidated, and peptides may only serve to elicit either CD4⁺ or CD8⁺ T-cell responses [33]. Furthermore, the half-life of the HLA-antigenic peptide complex is relatively short, thus limiting the duration of presentation [34]. Exosomes from cancer cells carry abundant cancer antigens, and exosome-pulsed DCs are more efficacious than tumor lysates, presumably because DNA in exosomes activates DCs through the cGAS/STING pathway and promotes DC maturation and presentation, thereby eliciting more potent immune responses against cancer [35, 36]. However, it remains difficult to obtain large quantities of highly purified exosomes.

Apart from the aforementioned method of loading, pulsing DCs ex vivo with mRNAs encoding cancer antigens has emerged conspicuously in recent years, which will be discussed later in this review.

Conventional clinical applications of DC vaccines

DC vaccines have a similar clinical objective response rate (ORR) to other conventional therapies against cancer. For example, the ORR of melanoma patients receiving DC immunotherapy is 8.5%, which is similar to the rate of those receiving the first-line drug dacarbazine. Likewise, the ORRs of patients with prostate cancer, malignant glioma, and renal cell carcinoma receiving DC immunotherapy are 7.1%, 15.6%, and 11.5%, respectively, which are similar to the rates of those receiving conventional chemotherapy [37].

In a phase III clinical trial of a DC vaccine for the treatment of glioblastoma, all enrolled patients were randomly grouped after surgery and chemotherapy. The treatment group received the autologous DC vaccine (DCVax-L) and temozolomide, while the control group received temozolomide and a placebo. DCVax-L was prepared from autologous DCs that were activated by lysates of surgically excised tumor tissues. The results indicated that the treatment group achieved a median overall survival (mOS) of 23.1 months compared with a mOS of only 15–17 months for those who received surgery alone. In addition, 67 patients (30%) survived longer than 30 months, 44 (24.2%) survived longer than 36 months, the regimen was well tolerated, with only 2.1% (7/331) of the patients exhibiting Grade III–IV adverse events [38].

Vaccination of melanoma patients with DCs pulsed with mutated peptides of neoantigens enhanced T-cell immune

responses directed against not only dominant neoantigens but also subdominant ones, thus expanding the breadth of reaction and strengthening the potency of the vaccine [39]. Preconditioning with recall antigens such as Tetanus toxoid unilaterally before vaccination with DCs pulsed with cancer antigens stimulated bilateral DC migration to lymph nodes that drained the vaccination sites, thereby enhancing the efficacy of DC vaccination. The effects depended on both the CD4⁺ T-cell recall response to antigens used for preconditioning and the host CCL3 chemokine [40].

In a trial of 34DC immunotherapy of melanoma, 18 enlisted *HLA A*0201*⁺ patients were administered 34DCs pulsed with antigenic peptides of melanoma-associated tyrosinase, gp100, MART-1, and MAGE-3 antigens, along with those of control antigens. Sixteen patients responded to at least one melanoma antigen, and ten responded to more than two melanoma antigens. Of the ten potential good responders, only one had progressive disease, and seven experienced regression of metastases [41].

The results of these and many other studies [42–44] suggest that vaccination with ex vivo pulsed DCs is efficacious against cancer with minor, if any, side effects. The anticancer efficacy of DC vaccines can be reinforced once procedures, such as loading DCs with tumor antigens, culture of DCs ex vivo and the route of administration, are further optimized (Figs. 2, 3).

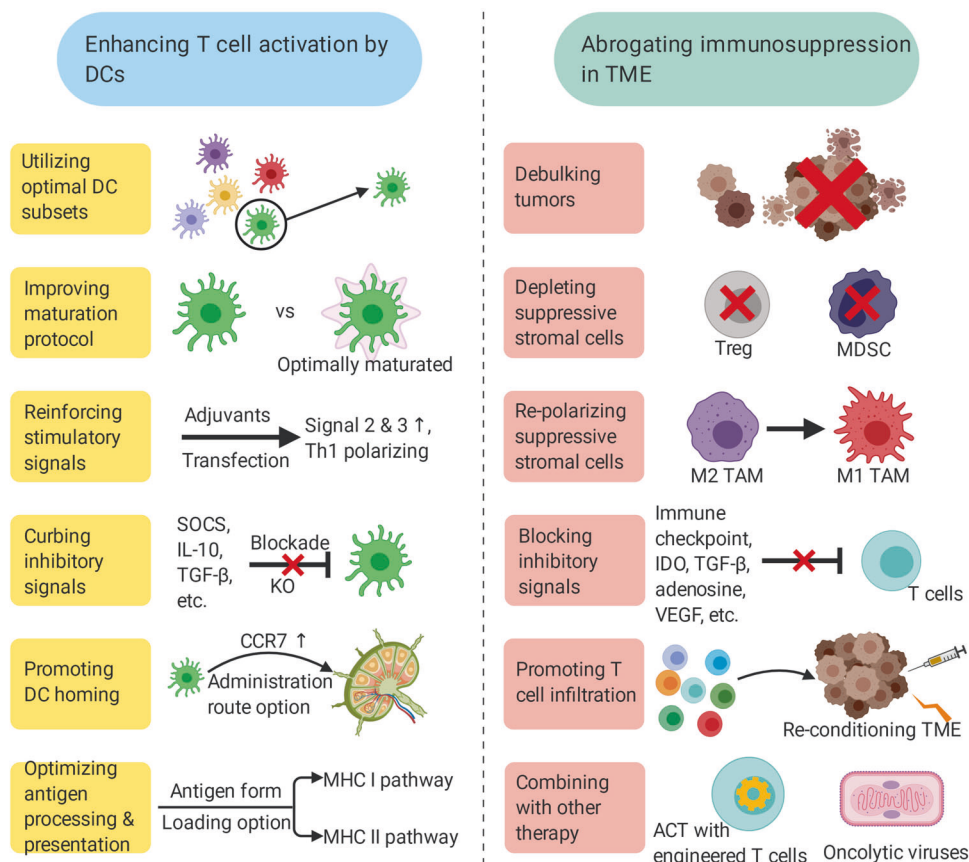


Fig. 3 Possible determinants of a successful DC vaccination. Induction of potent cancer antigen-specific T cells by DCs and improving the performance of tumor-infiltrating T cells are two processes that are relatively separate spatially, yet interconnected. The former can be done by utilizing optimal DC subsets, improving maturation protocol, reinforcing stimulatory signals, curbing inhibitory signals, promoting DC homing, optimizing antigen processing and presentation, etc. The latter can be attained by debulking tumors, depleting suppressive stromal cells, repolarizing suppressive stromal cells, blocking inhibitory signals, promoting T-cell infiltration, combining with other therapy, etc. Note that each measure listed is not an isolated case, and may have profound influence on each other. ↑ upregulation. Th1 Type 1 helper T cells. SOCS suppressor of cytokine signaling. KO knockout. CCR7 C-C chemokine receptor type 7. MHC major histocompatibility complex. TME tumor microenvironment. Treg regulatory T cell. MDSC myeloid-derived suppressor cell. TAM tumor-associated macrophage. ACT adoptive cell transfer.

NEW EMERGENCE OF MRNA-PULSED DC VACCINES AGAINST CANCER

mRNA for DC pulsing

mRNA is widely recognized as an ideal tool for the preparation of DC vaccines [45, 46] because of its unique traits. mRNA does not integrate into the genome, avoiding any potential insertional mutagenesis. mRNA can be readily produced in large amounts *in vitro* in a process that is both technologically mature and cost-efficient. mRNA can be engineered to increase immunogenicity and reduce inhibition of its translation. mRNA is degraded by physiological mechanisms, facilitating the control of effects in a timed fashion. mRNA is not subject to splicing as pre-mRNA is, eliminating any uncertainty in protein products due to alternative splicing. After the introduction of mRNA into DCs, specific T-cell responses targeting multiple epitopes can be elicited, mitigating the risk of immune evasion through antigen variation [47].

Since mRNA-pulsed DC vaccines have stepped into the research spotlight, a paradigm shift away from DC vaccines pulsed in a conventional fashion is taking place.

mRNA used for DC pulsing includes cancer-derived and *in vitro* transcribed mRNA. Cancer-derived mRNA conveys the full repertoire of epitopes of a given cancer, expanding the range of antigens to which the immune system responds, thus preventing evasion resulting from antigen downregulation or loss. However, preparing tumor-derived mRNA requires either a large number of tumor cells or amplification of isolated mRNA. In either case, the majority of all mRNAs encode unaltered self-antigens, a small portion of which (tissue-specific, mutated, and aberrantly expressed ones) are deemed as potentially appropriate targets for vaccination. The mRNAs that encode altered self-antigens and foreign antigens may become underrepresented or even lost during processing. Moreover, the process is both time-consuming and laborious and is thus unfavorable for clinical application.

mRNA encoding cancer antigens can be transcribed *in vitro* from templates of open reading frame (ORF)-containing plasmids or other DNA fragments. Rational design of cap, 5' and 3' untranslated regions (UTRs) and poly (A) tail structure of mRNAs, and even the sorting signals attached to the antigen, as well as the nucleotide sequence of the ORF itself and the introduction of modified nucleotides, strengthens mRNA stability, enhances translation, improves antigen processing and presentation, avoids vigorous recognition by innate immune sensors, and culminates in augmented antigen-specific immune responses [48–51]. It has become increasingly clear that apart from CD8⁺ T cells, CD4⁺ T cells, especially Th1 cells, are important participants in anticancer immunity [52]. mRNA-encoded proteins are synthesized in the cytosol of DCs and are readily processed to antigenic peptides that then associate with MHC class I molecules and are presented to CD8⁺ T cells. However, activation of CD4⁺ T cells depends on the MHC class II presentation pathway, which is not readily accessible to nonsecretory proteins in most cases. The usual solution to this problem is targeting these antigens to lysosomes by means of fusion to lysosomal sorting signals so that both CD4⁺ and CD8⁺ arms of T-cell responses can be generated against cancer antigens [53, 54].

The strategies discussed above are far from comprehensive, as the effects of DC vaccines are determined by multiple factors, such as the balance between costimulatory and coinhibitory molecules, as well as the balance between activating and suppressive cytokines. In light of this, there was development of the TriMix formulation, which is a mixture of constitutively activated Toll-like receptor (TLR) 4, CD40L, and CD70 mRNAs [55, 56]. The results of various preclinical and clinical studies on TriMix indicated that it boosted the immunostimulatory function of DCs. More importantly, education by TriMix-DCs reprogrammed regulatory T cells (Tregs) to function like Th1 cells. An unprecedented ORR of 27%

was recorded in Stage III/IV melanoma patients who were treated with TriMix-DCs (NCT01066390) [57].

Introduction of mRNA into DCs

The pioneering effort of pulsing DCs with mRNAs encoding cancer antigens was developed by Boczkowski et al. at Duke University in the late 1990s [58]. In that study, mRNA was engulfed by DCs by macropinocytosis, which was problematic in that exogenous mRNA triggers signal transduction by the TLR-7 pattern recognition receptor (PRR) pathway, and DCs activated by this signaling promptly curtail the ingestion of mRNA [59–61]. In addition, only a small fraction of mRNAs survived endosomal delivery, gained access to the cytosol, and were translated into proteins.

The methods of introducing mRNA into DCs have evolved significantly since then. Electroporation is probably the most widely adopted method for the introduction of mRNA into DCs. Unlike DNA, mRNA does not have to enter the nucleus to exert its function. Therefore, a relatively weak electric pulse is sufficient for the delivery of mRNA into the cytosol, greatly alleviating damage to the cells [62, 63]. In addition, since mRNA introduced by electroporation avoids the endosomal route where the mRNA-responsive PRRs reside, unnecessary and potentially harmful recognition of mRNA by the innate immune system is avoided. Sonoporation exploits ultrasound to trigger the implosion of mRNA-loaded microbubbles on target cells, thus forcing entry of mRNA into cells [64]. Nanofection delivers mRNA into cells in the form of a nanomaterial-mRNA complex, which typically travels via the endocytic pathway and ultimately releases its cargo into the cytosol [65].

Clinical trials of mRNA-pulsed DC vaccines against cancer

Numerous studies have confirmed that autologous DC vaccines prepared with mRNAs encoding cancer antigens are both safe and efficacious. The last two decades witnessed more than 40 clinical trials adopting such a strategy (Table 1).

In these trials, mRNAs produced by *in vitro* transcription, as well as those derived from autologous cancers or cancer stem cells, were used to load DCs with cancer antigens. Aside from the origins of the mRNAs, these trials also differed in activation tactics, varying from applying proinflammatory cytokines to co-delivering TriMix mRNAs. These DC vaccines were tested in the treatment of ovarian cancer, mammary cancer, late-stage melanoma, leukemia, malignant glioma, mesothelioma, pancreatic cancer, esophageal cancer, myeloma, lung cancer, etc. For most trials, DC vaccines were administered intradermally or intravenously, with some exceptions for intranodal and intratumoral administration.

In a trial involving 15 late-stage melanoma patients (NCT01066390) who received treatment of TriMix-DCs with mRNA encoding MAGE-A3, MAGE-C2, tyrosinase, and gp100 antigens (TriMix-DC-MEL), two achieved complete response, and another two achieved partial response. In six of twelve patients, antigen-specific skin-infiltrating lymphocytes were detected, and in four of five patients, antigen-specific CD8⁺ T cells were detected in the blood [57]. Also noteworthy is that recent trials tended to combine chemotherapy or antibody-targeted therapy with DC vaccines to strengthen efficacy (NCT00626483, NCT02649829, NCT02366728, and NCT02649582).

In a phase I trial of autologous Langerhans-type DCs pulsed with xenogeneic TRP-2 mRNA, stage IIB to IV melanoma patients who had their tumors resected were vaccinated 5 times at 2-week intervals. Six out of nine participants stayed disease-free for a median of 51.1 months. The patients developed clinical outcome-related immune responses, including activation and increased clonality of T cells and secretion of proinflammatory cytokines, and showed minimal signs of toxicity [66].

In addition, enhanced antitumor activities were also observed in combinatorial treatment with mRNA-pulsed DCs and immune checkpoint inhibitors. In 2011, a phase II trial for the treatment of

Table 1. Clinical trials of mRNA-pulsed DC vaccines.

Trial identifier	Title	Phases	Conditions	Interventions	Route	Expected completion
NCT01197625	Vaccine therapy in curative resected prostate cancer patients	1, 2	Prostate cancer	Dendritic cell vaccine	Null	2025/9/1
NCT01278914	Trial of vaccine therapy with mRNA- transfected dendritic cells in patients with androgen resistant metastatic prostate cancer	1, 2	Prostate cancer	Dendritic cells (DC) prostate	Null	Null
NCT01446731	Dendritic cell vaccination and docetaxel for patients with prostate cancer	2	Prostatic neoplasms	mRNA transfected dendritic cell/Docetaxel	id.	2015/8/1
NCT01153113	Human telomerase reverse transcriptase messenger RNA (hTERT mRNA) transfected dendritic cell vaccines	1, 2	Metastatic prostate cancer	hTERT mRNA DC	id.	2010/12/1
NCT01334047	Trial of vaccine therapy in recurrent platinum sensitive ovarian cancer patients	1, 2	Recurrent epithelial ovarian cancer	DC-006 vaccine	Null	2022/4/1
NCT00228189	Carcinoembryonic antigen-loaded dendritic cells in advanced colorectal cancer patients	1, 2	Colorectal cancer Liver metastases	CEA-loaded dendritic cell vaccine	id./iv.	2010/11/1
NCT00846456	Safe Study of dendritic cell (DC) based therapy targeting tumor stem cells in glioblastoma	1, 2	Glioblastoma Brain tumor	Dendritic cell vaccine with mRNA from tumor stem cells	id.	2013/2/1
NCT01456065	Safety of active immunotherapy in subjects with ovarian cancer	1	Ovarian epithelial cancer	Procure	Null	2013/4/1
NCT03788083	Intratumoral TriMix injections in early breast cancer patients	1	Breast cancer female Early-stage breast cancer	Trimix/Placebo	it.	2020/12/30
NCT00978913	Transfected dendritic cell-based therapy for patients with breast cancer or malignant melanoma	1	Breast cancer Malignant melanoma	DC vaccine	id.	2014/5/1
NCT01456104	Immune responses to autologous langerhans-type dendritic cells electroporated with mRNA encoding a tumor-associated antigen in patients with malignancy: a single-arm phase I trial in melanoma	1	Melanoma	Langerhans-type dendritic cells (a.k.a. Langerhans cells or LCs)	id.	2019/10/1
NCT01291420	Dendritic cell vaccination for patients with solid tumors	1, 2	Glioblastoma Renal cell carcinoma Sarcomas Breast cancers Malignant mesothelioma Colorectal tumors	Autologous dendritic cell vaccination	id.	Null
NCT00834002	Dendritic cell vaccination for patients with acute myeloid leukemia in remission	1	Acute myeloid leukemia (AML)	Injection of antigen-loaded cultured dendritic cells	id.	2008/12/1
NCT00929019	Messenger Ribonucleic Acid (mRNA) transfected dendritic cell vaccination in high risk uveal melanoma patients	1, 2	Uveal melanoma	Autologous dendritic cells electroporated with mRNA	id./iv.	2016/4/1
NCT00961844	Trial for vaccine therapy with dendritic cells in patients with metastatic malignant melanoma	1, 2	Metastatic malignant melanoma	Dendritic cells-transfected with hTERT-, survivin-, and tumor cell derived mRNA + ex vivo T-cell expansion and reinfusion/ Temozolomide	Null	2012/6/1
NCT02693236	DC vaccine combined with CIK cells in patients with esophagus cancer	1, 2	Esophagus cancer	Adenovirus-transfected autologous DC vaccine plus CIK cells	Null	2016/11/1
NCT02692976	Natural dendritic cells for immunotherapy of chemo-naive metastatic castration-resistant prostate cancer patients	2	Prostatic neoplasms Immunotherapy Dendritic Cells Vaccines	mDC vaccination/pDC vaccination/mDC and pDC vaccination	in.	2019/6/1
NCT00965224	Efficacy of dendritic cell therapy for myeloid leukemia and myeloma	2	Acute myeloid leukemia Chronic myeloid leukemia Multiple myeloma	Dendritic cell vaccination (active specific immunotherapy)	Null	Null
NCT00006430	A Safety and feasibility study of active immunotherapy in patients with metastatic prostate carcinoma using	1	Prostate cancer	Autologous dendritic cells transfected with amplified tumor RNA	id./iv.	Null

Table 1. continued

Trial identifier	Title	Phases	Conditions	Interventions	Route	Expected completion
NCT02808416	autologous dendritic cells pulsed with antigen encoded in amplified autologous tumor RNA	1	Brain cancer Neoplasm metastases	Personalized cellular vaccine	Null	2020/9/1
NCT02688686	Personalized cellular vaccine for brain metastases (PERCELLVAC3)	1, 2	Non-small-cell lung cancer with bone metastases	Genetically modified dendritic cells + cytokine-induced killer	Null	Null
NCT03548571	Safety and efficacy of DC-CIK in patients with advanced non-small-cell lung cancer with bone metastases	2, 3	Glioblastoma	Dendritic cell immunization/Adjuvant temozolomide	id.	2023/5/1
NCT00243529	Dendritic cell immunotherapy against cancer stem cells in glioblastoma patients receiving standard therapy	1, 2	Melanoma stage III or IV	Autologous dendritic cell vaccine	Null	Null
NCT01995708	Peptide-pulsed vs. RNA-transfected dendritic cell vaccines in melanoma patients	1	Multiple myeloma	CT7, MAGE-A3, and WT1 mRNA-electroporated autologous langerhans-type dendritic cells as consolidation for multiple myeloma patients undergoing autologous stem cell transplantation	id.	2019/11/1
NCT01686334	Efficacy study of dendritic cell vaccination in patients with acute myeloid leukemia in remission	2	Acute myeloid leukemia	DC vaccine	Null	Null
NCT00890032	Vaccine therapy in treating patients undergoing surgery for recurrent glioblastoma multiforme	1	Recurrent central nervous system neoplasm	BTSC mRNA-loaded DCs	id.	2016/2/1
NCT00514189	Feasibility study of acute myelogenous leukemia mRNA plus lysate loaded dendritic cell vaccines	1	Leukemia	Autologous dendritic cells	id.	2009/12/1
NCT00639639	Vaccine therapy in treating patients with newly-diagnosed glioblastoma multiforme	1	Malignant neoplasms of brain	Tetanus toxoid/therapeutic autologous dendritic cells/therapeutic autologous lymphocytes	id.	2019/12/1
NCT01885702	Dendritic cell vaccination in patients with lynch syndrome or colorectal cancer with MSI	1, 2	Colorectal cancer	DC vaccination	Null	2019/6/1
NCT00626483	Basiliximab in treating patients with newly-diagnosed glioblastoma multiforme undergoing targeted immunotherapy and temozolomide-caused lymphopenia	1	Malignant neoplasms brain	RNA-loaded dendritic cell vaccine Drug: basiliximab	id.	2019/6/1
NCT02649829	Autologous dendritic cell vaccination in mesothelioma	1, 2	Malignant pleural mesothelioma	Dendritic cell vaccination plus chemotherapy	id.	2021/11/1
NCT00510133	A study of active immunotherapy with GRNVAC1 in patients with acute myelogenous leukemia (AML)	2	Acute myelogenous leukemia	GRNVAC1	Null	2014/8/1
NCT01973322	Vaccination with autologous dendritic cells loaded with autologous tumor lysate or homogenate combined with immunomodulating radiotherapy and/or preleukapheresis IFN-alfa in patients with metastatic melanoma: a randomized "proof-of-principle" phase II study	2	Malignant melanoma of skin stage III Malignant melanoma of skin stage IV	DC Vaccine + RT Other/DC Vaccine + IFN-alfa/ both arm 1 and 2 + RT/DC vaccine	Null	2019/7/1
NCT02528682	MiHA-loaded PD-L-silenced DC vaccination after allogeneic SCT	1, 2	Hematological malignancies	MiHA-loaded PD-L-silenced DC vaccination	iv.	2019/7/1
NCT01676779	mRNA-electroporated autologous dendritic cells for stage III/IV melanoma	2	Malignant melanoma stage III Malignant melanoma stage IV	Dendritic cell therapy	id./v.	2016/9/1
NCT01530698	Single-step antigen loading and TLR activation of dendritic cells in melanoma patients	1, 2	Melanoma	Autologous dendritic cell vaccine	in.	2014/11/1
NCT01734304	DC vaccination for postremission therapy in AML	1, 2	Acute myeloid leukemia	DC vaccination for postremission therapy in AML	id.	2018/9/30

Trial identifier	Title	Phases	Conditions	Interventions	Route	Expected completion
NCT01278940	Trial of vaccine therapy With mRNA- transfected dendritic cells in patients with advanced malignant melanoma	1, 2	Malignant melanoma	Dendritic cells (DC) malignant melanoma Procedure: IL-2	id.in.	2015/12/1
NCT03927222	Immunotherapy targeted against cytomegalovirus in patients with newly-diagnosed WHO Grade IV unmethylated glioma	2	Glioblastoma	Human CMV pp65-LAMP mRNA-pulsed autologous DCs containing GM-CSF/ Temozolomide/Tetanus-Diphtheria Toxoid (Td)/ GM-CSF/111-Indium-labeling of cells for in vivo trafficking studies	id.	2023/12/1
NCT00940004	Toll-like receptor (TLR) ligand matured dendritic cell vaccination in melanoma patients	1, 2	Melanoma	Autologous dendritic cell vaccination	id./iv.	2014/11/1
NCT03083054	Cellular immunotherapy for patients with high risk myelodysplastic syndromes and acute myeloid leukemia	1, 2	Myelodysplastic syndromes Acute myeloid leukemia	Autologous dendritic cells electroporated with WT1 mRNA	Null	2020/7/1
NCT02709616	Personalized cellular vaccine for glioblastoma (PERCELLVAC)	1	Glioblastoma	Personalized cellular vaccine	id./iv.	2020/6/1
NCT01066390	A study on the safety and immunogenicity of combined intradermal and intravenous administration of an autologous mRNA-electroporated dendritic cell vaccine in patients with previously treated unresectable stage III or IV melanoma	1	Melanoma	TriMix-DC	id./iv.	2014/5/1
NCT02366728	DC migration study for newly-diagnosed GBM	2	Glioblastoma Astrocytoma, Grade IV Giant cell Glioblastoma Glioblastoma multiforme	Unpulsed DCs/Td/Human CMV pp65-LAMP mRNA-pulsed autologous DCs/111In-labeled DCs/Temozolomide/Saline/Basiliximab	id.	2020/6/1
NCT02285413	Platin-based chemotherapeutics to enhance dendritic cell vaccine efficacy in melanoma patients	2	Melanoma	DC vaccination DC vaccination with cisplatinium	id.	2016/4/1
NCT02649582	Adjuvant dendritic cell-immunotherapy plus temozolomide in glioblastoma patients	1, 2	Glioblastoma multiforme of brain	Dendritic cell vaccine plus temozolomide chemotherapy	id.	2022/12/1
NCT02465268	Vaccine therapy for the treatment of newly-diagnosed glioblastoma multiforme	2	Glioblastoma multiforme Glioblastoma Malignant glioma Astrocytoma, Grade IV GBM	pp65-shLAMP DC with GM-CSF/unpulsed PBMC and saline/Td/Saline/pp65-flLAMP DC with GM-CSF	id.	2024/6/1
NCT03688178	DC migration study to evaluate TReg depletion in GBM patients with and without varlilumab	2	Glioblastoma	Human CMV pp65-LAMP mRNA-pulsed autologous DCs/Temozolomide/Varlilumab/Td/ 111In-labeled DCs/Unpulsed DCs/HIV-Gag mRNA-pulsed autologous DCs	id.	2025/3/1

unresectable stage III/IV melanoma with TriMix-DCs and the anti-CTLA-4 monoclonal antibody ipilimumab (NCT01302496) was performed, in which the 6-month disease control rate was 51%, the overall response rate was 38% (eight complete responses and seven partial responses), and there were still seven complete responses and one partial tumor response after a median follow-up time of 36 months [67].

OUTLOOK

DCs play pivotal roles in initiating adaptive immune responses, and exploiting DCs for anticancer therapy is a promising strategy. However, it still faces challenges that call for imperative improvements.

Functional impairments were observed in endogenous DCs in peripheral blood and the tumor-draining lymph nodes of cancer patients [68], as well as DCs derived ex vivo from monocytes of cancer patients [69]. These dysfunctions were only partially reverted by tumor resection [68] or transforming growth factor β (TGF- β) blockade [69], suggesting that further countermeasures must be taken to erase adverse imprints left by tumors on DCs and DC precursors. Culture of DCs ex vivo offers a unique window of time in which extensive direct interventions can be implemented in DCs to correct their functional defects.

It was estimated that as few as 85 DCs are sufficient for stimulating a T-cell immune response [70]. The recruitment of naive T cells is highly efficient [71]. It might be slightly counter-intuitive that DC vaccination involving the administration of a large amount of pulsed DCs has resulted in relatively limited success. DC subsets, derivation protocols, activation status, antigen loading, route of administration, vaccination schedules, mitigation of immunosuppression by cancer, etc. are all factors that determine the outcome of DC vaccination (Fig. 3).

DCs are heterogeneous cell populations that share similar characteristics while varying in origins and detailed functions. For most preclinical studies of DC vaccination, the subjects are bone marrow-derived DCs (BMDCs), and for most clinical trials, MoDCs are frequently used. These subsets, while from different species, are both believed to be counterparts of inflammatory DCs that exist in vivo rather than resident DCs [72, 73]. Inflammatory DCs act as replacements or complements of migratory cDC1s during inflammation [74] and can present antigens by themselves or transfer antigens to lymphoid tissue-resident DCs for efficient presentation. The latter process is important, as not all migratory DCs are well equipped for T-cell priming by themselves [75, 76]. Therefore, it might be worth attempting to derive DCs that resemble lymphoid-tissue resident DCs for vaccination purposes [77] and further discern which DC subset is most appealing to use in vaccinations.

In fact, the notion of the MoDC vaccine was challenged from inside by a recent study asserting that vaccines based on undifferentiated monocytes were superior to DC vaccines in terms of anticancer efficacy in multiple murine tumor models. The anticancer efficacy depended on peptide transfer from monocytes to CD8⁺ splenic DCs (murine equivalents of human CD141⁺ DCs in terms of cross-presentation activity [78]) through connexin 43 gap junctions for presentation. However, connexin 43 gap junctions were permeable to peptides of no more than 11 amino acid residues; therefore, trafficking of only MHC class I-restricted rather than MHC class II-restricted peptides through such junctions was possible [79]. The extent of and the mechanisms by which CD4⁺ T-cell responses are elicited by monocyte-based cellular vaccines have to be fully elucidated before this new vaccine formulation can impact cancer immunotherapy.

Current designs place elements from α and/or β globin genes in the 5' and/or 3' UTR of mRNAs to eventually increase protein productivity [60, 80, 81]; however, by exploring the repertoire of

cellular and viral elements and with the help of computer-aided design, more powerful factors might be discovered for this purpose. In addition, many genes exert their influence on cancer immunity, and so it is expected that modulation of their expression and function by introduction of mRNAs other than those components of TriMix might trigger even more effective anticancer immune responses.

One cannot expect cancers to be easily controlled or even eradicated solely by a single approach. Combinatorial regimens have been and will always be a topic of heated investigation. As illustrated in Fig. 3, induction of stronger immune responses against cancer antigens and amelioration of the tumor micro-environment to facilitate infiltration and functioning of immune cells (activated T included) act in synergy to eventually attain pronounced regression of tumor nodules and elimination of micrometastases. Recent studies of combinatorial therapy marked the latest efforts toward that ultimate goal [82–86].

The CRISPR base editing system guides deaminases to specific genomic locations and changes DNA sequences, thus abrogating the expression of specific genes without causing double-stranded breaks [87]. With this powerful genetic tool, DCs can be liberated from suppression by various negative regulators, such as the SOCS family, TGF- β receptor, and IL-10 receptor. This can be done ex vivo by introduction of relevant ribonucleoprotein or the combination of relevant mRNA and sgRNA (single guide RNA) into DCs. Hopefully, increasingly potent DC vaccines can be generated through this modification process.

Ex vivo pulsed DC vaccines are an important platform into which new ideas and technologies have been continuously introduced. Hopes are high that, by bringing in these impetuses, DC vaccines will be properly armed to become a regular option for cancer immunotherapy.

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ADDITIONAL INFORMATION

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