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Cortisol as a biomarker of alcohol use in combat veterans: A literature review and framework for future research

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Abstract

Alcohol use and alcohol use disorders (AUDs) are an increasing concern among veterans, particularly those from recent conflicts in Iraq and Afghanistan. The study of biomarkers in alcohol use and AUD has moved to enhancing the understanding of the development and maintenance of AUDs, as well as investigating its association with clinical severity and potential predictors of treatment response. Cortisol, a glucocorticoid known as a stress hormone, has been linked with both stress and trauma, as well as increased alcohol suppression effects. The present review summarizes existing literature and presents suggestions for future research to evaluate whether cortisol may be a possible biomarker of alcohol use disorder risk in combat veterans. Specifically, aspects of combat deployments and high levels of PTSD, coupled with the stress of reintegration may dysregulate cortisol and increase risk to AUD. There may also be bidirectional impacts, such that alcohol is used as a coping mechanism and can dysregulate hypothalamic pituitary adrenal (HPA) axis functioning and cortisol. In the context of this framework, cortisol may serve as a biomarker for the development of AUD, as well as a biomarker of risk or relapse. This review ends with both theoretical and clinical implications, as well as directions for future research.

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Introduction

Increased alcohol use and alcohol use disorders (AUD) are more prevalent in veterans than civilians, with some estimates suggesting that combat veterans are more likely to engage in heavy and binge drinking than their civilian counterparts by 26.8% and 54.8% respectively (Teeters, Lancaster, Brown & Back, 2017). Extant theories regarding the underlying mechanisms of problematic alcohol use do not fully account for prevalence among veterans. Objective biological markers, or biomarkers, can enhance understanding of vulnerability, severity and prognosis of different disorders. Given that alcohol is often used as a coping mechanism during periods of prolonged stress, one promising specific biomarker may be cortisol, a glucocorticoid released from the adrenal gland following the activation of the hypothalamic-pituitary-adrenal (HPA) axis in response to stress (Smith & Vale, 2006). Indeed, previous studies have posited that glucocorticoids and the HPA axis may have an important role for the development and chronicity for AUDs due to their connection with limbic and prefrontal cortex circuits (Blaine & Sinha, 2017). Recent literature in civilians suggests associations between stress and both behavioral motivation to drink and past alcohol use (Blaine et al., 2019). Additionally, higher basal cortisol has been observed in heavy drinkers compared to moderate drinkers, but basal cortisol has been negatively associated with increased alcohol consumption in a laboratory consumption task (Blaine et al., 2019). Hence it is plausible that cortisol can serve as a biomarker that can enhance previous theoretical frameworks of vulnerability to AUDs (Milivojevic & Sinha, 2018). This would be particularly relevant in cases of co-occurring prolonged stress and alcohol use, like in active duty and former active duty servicemembers with combat exposure, hereafter referred to as veterans, who have unique experiences related to combat and military service (e.g., trauma and posttraumatic stress disorder [PTSD]) that may increase vulnerability. The current paper reviews recent research to propose a theoretical framework on the role of cortisol in problematic alcohol use among those with co-occurring prolonged stress.

Although problematic alcohol use can be distinguished from the formal diagnosis of AUD due to frequency, intensity, or functional impairment, research suggests that both have negative health and psychosocial consequences (Rehm et al., 2006). Further, considering that problematic alcohol use has one of the largest mental health treatment gaps suggesting that it is not often diagnosed and treated, it is likely that problematic alcohol use and AUD have shared mechanisms (Kohn et al., 2004). Vulnerability to AUDs is both genetically complex and behaviorally heterogeneous, such that multiple genes convey risk for AUD and multiple behavioral risk factors and functional uses of alcohol have been identified (i.e., delay discounting, self-soothing, executive dysfunction; Salvatore et al., 2015). Endophenotypes, or measurable components of psychological or medical morbidity that may not be physically apparent, along with neurobiological correlates may be valuable to distill specific subgroups and enhance understanding of otherwise intricate presentations, like those typical of veterans (Gottesman & Gould, 2003). Historically, biomarkers of alcohol use focused on liver

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function, limiting the information that they provided to detection or prognosis. While the utility for these markers is immense, more recently, the study of biomarkers in alcohol use and AUD has expanded to include prediction by enhancing the understanding of the development and maintenance of AUDs, as well as investigating its association with clinical severity and potential predictors of treatment response. Given the complexity of substance use vulnerability, it is likely that alternative biomarkers need to be considered to fully capture diverse domains of biological and genetic vulnerability, particularly in populations with high rates of comorbidity like veterans. With these goals in mind, the present review extends recent research on individual differences in responses to alcohol and cortisol to the emerging research on the impact of combat and other veteran - specific risk factors on AUD and provides directions for future research.

Alcohol Use and AUD among Veterans

Problematic alcohol use (e.g., binge drinking and heavy drinking) and alcohol use disorders are a growing and highly relevant struggle among veterans (Bray et al., 2013; Teeters et al., 2017). Problematic alcohol use is associated with mental health problems and functional impairment, interpersonal violence, and risk of poor health, including chronic pancreatitis, liver dysfunction, cardiovascular damage, cancer, damage to the nervous systems, stroke and even death (Bridevaux, Bradley, Bryson, McDonell, & Fihn, 2004; National Institute on Alcohol Abuse and Alcoholism, 2000; Rehm et al., 2010). According to the Center for Disease Control and Prevention, the combined yearly cost associated with AUD in the US is over \$249 billion (CDC, 2018). Although there are no specific estimates of the yearly cost for veterans, research suggests that over 40% of US military veterans have a lifetime history of AUD (Fuehrlein et al., 2016) and approximately 40% of post-9/11 veterans serving in Iraq and Afghanistan report potentially hazardous alcohol use (Calhoun et al., 2008), which suggests that problematic alcohol use is likely associated with substantial comorbid psychiatric, and likely economic, burden among veterans

To address the high rates of alcohol use among veterans, the Department of Veterans Affairs (VA) offers behavioral evidence-based psychotherapy, like cognitive behavioral therapy for substance use disorders, behavioral couples therapy for substance use, motivational interviewing, motivational enhancement therapy, contingency management, and brief behavioral intervention, as well as pharmacological interventions, like acamprosate, disulfiram, and naltrexone, which have been approved by the Food and Drug Administration (Teeters et al., 2017; U.S. Department of Veterans Affairs, 2019). Behavioral interventions focus on helping veterans identify and modify maladaptive thoughts and behaviors associated with increased craving, use, or relapse to alcohol, or facilitate their ability to successfully manage stress without alcohol. Pharmacotherapy approaches can help reduce cravings and manage withdrawal symptoms, thus reducing the likelihood for relapse. Nonetheless, despite the diverse and comprehensive treatment options available to veterans struggling with AUD, there is variability in efficacy of these interventions (Litten et al., 2016). For instance, while behavioral couples therapy, cognitive behavioral coping skills training, and motivational enhancement therapy have received strong support for their efficacy, research does not unequivocally support any one intervention as superior, either in general or when matched by patient characteristics (Hawkins et al., 2012; Imel et al., 2008)

Furthermore, recent data from the Department of Veterans Affairs suggests that pharmacotherapy for veterans is currently underutilized, with only 7–11% of veterans with AUDs receiving medication as a treatment for AUD (Rubinsky et al., 2015).

Given the rates of underutilization in pharmacotherapy, the variability in efficacy of these interventions, and the fact that, due to limited resources, substance use specialty-care clinics are often unable to offer multiple psychological treatment options (Litten et al., 2016), using biomarkers to promptly and properly identify the treatment that would be maximally helpful could minimize the taxing cost of care, accelerate rate of treatment, and possibly increase the precision of individualized treatment. Similarly, understanding biologically why combat veterans are at a higher risk to develop alcohol use disorders, and strategizing what can be done to enhance prevention and early detection could further improve access to care and treatment outcomes. Although AUD has a strong genetic component, as evidenced by high heritability (Verhulst et al., 2015), the genetics of AUD are beyond the scope of this review and have been summarized elsewhere (Dick & Bierut, 2006; Enoch & Goldman, 2001; Tawa et al., 2016). However, it is important to note that evidence supports the idea of a geneenvironment interaction on both the consumption and misuse of alcohol (Young-Wolff et al., 2011), thus environmental stressors may be critical to the understanding of individual differences in likelihood to develop an AUD and how veterans, in particular, are at increased risk of AUD. Therefore, a biomarker that can tap stress responses could contribute to the broader efforts of developing personalized treatment programs.

Stress and Cortisol: A brief overview

Stress is considered to be a state of threat to homeostasis, alternately described as perception of a novel, uncontrollable, unpredictable stimulus in which an organism expects negative physical or psychological outcomes (Kudielka, Hellhammer, & Kirschbaum, 2007; Smith & Vale, 2006). Stress can be triggered by a multitude of events – physical and psychological. For instance, both internal (e.g., physiological reaction such as disease and infection) and external states (e.g., exercising or physical trauma) can cause physical stress, while psychological or mental stress can often be caused by a range of experiences, including trauma, anxiety and emotional dysregulation. Critically, regardless of the type of trigger, stress can cause an increase in HPA axis activation, which can be indexed by cortisol.

During situations of stress, corticotropin-releasing factor (CRF), released from the paraventricular nucleus of the hypothalamus, initiates a biological cascade that includes the release of adrenocorticotropic hormone (ACTH) from the pituitary gland and culminates in the release of glucocorticoids, including cortisol, from the adrenal glands (Smith & Vale, 2006; Figure 1A). Rising cortisol levels block the release of CRF and ACTH creating a negative feedback loop, eventually leading to the return of HPA axis homeostasis (Guilliams & Edwards, 2010). Activation of the HPA axis improves cognition and increases awareness, cardiovascular tone, respiratory rate, and intermediate metabolism (Sapolsky, Romero & Munck, 2000). Cortisol plays a role in inhibiting HPA axis activation. While this stress response can be beneficial for acute situations, chronic elevations have been associated with cortical atrophy, changes in neuronal plasticity, and structural changes in dendritic structures

within the prefrontal cortex (Radley et al., 2004; Radley & Morrison, 2005), as well as overall health problems, including impaired immune function (McGregor, Murphy, Albano, & Ceballos, 2016; Sale, Ridding, & Nordstrom, 2008; Sapolsky, 2000; Figure 1B).

The literature examining associations between stressful and traumatic life events and cortisol and a dysregulated HPA axis have been mixed, with often inconsistent findings observed. For example, cortisol awakening response, the change in cortisol concentration that occurs in the first hour after waking from sleep, has been found to be higher in those with recent life stress and job stress in one meta-analysis (Chida & Steptoe, 2009). Burnout, fatigue and posttraumatic stress symptoms were all associated with a lower cortisol awakening response, though the latter was only observed among high quality studies, underscoring that both elevated and blunted responses can be tied to psychosocial factors. In addition, meta-analytic findings suggested adulthood trauma alone does not result in altered basal cortisol levels but may be associated with increased suppression to dexamethasone exposure tests (Klaassens et al., 2012). However, childhood trauma and maltreatment may lead to long lasting changes in the HPA axis' regulation of cortisol (Van Voorhees et al., 2014). Recent research among German military personnel found that long-term measures of cortisol (e.g., hair cortisol concentration) were blunted or decreased as the number of lifetime trauma exposures increased (Trautmann et al., 2018). These seemingly conflicting findings may be due to dysregulation of the HPA axis given the many measured and unmeasured moderators, and thus represent opportunities for future research to build upon.

Behavioral Reinforcement of Alcohol – A possible role for cortisol?

Beyond its role in stress responses, cortisol levels and dysregulation are also believed to contribute to the reinforcing effects of alcohol via both positive and negative reinforcement. Indeed, a prior review of AUD in adolescents connects HPA axis and its influence on the limbic system with problematic alcohol use due to the potentially reinforcing nature of glucocorticoids, such as cortisol (Schepis et al., 2011). The acute effects of alcohol include initial stimulation overlapping with increased autonomic nervous system and HPA axis activation, including raised cortisol levels. Alcohol is positively reinforcing when cortisol levels are increased, leading to a range of responses, such as calming feelings, increased energy, and inducing a general feeling of euphoria. This is an important consideration for those with histories of trauma and abuse, who often have low or blunted cortisol responses to acute stressors (Suzuki et al., 2014). As a result, these individuals may exhibit a lower response to alcohol and report less sedation to high doses of alcohol, which could lead to greater tolerance and consumption (Kwako et al., 2018). Taken together, the degree to which one experiences the initial stimulating effects of alcohol has been posited to be an endophenotype for alcohol use disorder (Ray et al., 2010), and may determine how positively reinforcing it is.

Though positive reinforcement can promote early alcohol use, research has consistently noted the role of negative reinforcement in alcohol use, by reducing negative moods and facilitating avoidance of thoughts or memories. The biological mechanisms through which these uncomfortable affective states motivate alcohol use, such as dysregulation of HPA axis and structural changes in the prefrontal cortex (PFC), further highlight the plausible role of

cortisol as a biomarker. More specifically, prefrontal regulation is critical for effective coping in times of stress yet, as mentioned previously, high levels of basal cortisol have been linked to structural change within dendrites in the PFC (McEwen et al., 2016). Research suggests that PFC regulatory dysfunction can disinhibit the amygdala and aggravate severity of emotional distress (Li & Sinha, 2008), which in turn can both perpetuate trauma-related symptoms and increase alcohol craving in order suppress or avoid uncomfortable cognitions and emotions. For instance, trauma history has been linked with greater PFC activation while processing negative emotions (McLaughlin et al., 2015), therefore this may be one way that effective emotion regulation breaks down in times of stress and increases vulnerability to alcohol's negatively reinforcing aspects on mood. Critically, alcohol can stimulate the HPA axis and impact glucocorticoid receptors, including in the limbic system and PFC. This creates bidirectional problems whereby cortisol and uncomfortable affective states motivate alcohol use and then alcohol use can dysregulate HPA axis functioning. Taken together, it is plausible that cortisol can impact the risk of engaging in problematic alcohol use and/or the relapse to problematic alcohol use by facilitating alcohol motivation. Although research has not directly examined the role of cortisol and prefrontal dysfunction in combat veterans, it is important to note here that veterans with genetic risk for AUD show associations between combat exposure and behavioral indicators of prefrontal dysfunction (i.e., decreased executive functioning abilities; Sadeh et al., 2016) suggesting that those veterans with possible PFC dysregulation may be especially vulnerable to use alcohol to cope with and reduce negative emotions.

Veterans at increased risk for AUD due to cortisol dysregulation

We posit that characteristics about combat experience or military service that can prime the HPA axis and result in long-term dysregulation of cortisol place veterans at increased risk for problematic alcohol use (Figure 1C). Combat deployments can be thought of as a chronic exposure to frequent acute stressors with little opportunity for recovery and regulation of the HPA axis between events. As an example, a service member may have missions that last minutes, hours or days. During these times, the exposure to potentially traumatic events or conflict would activate the HPA axis to adaptively increase awareness and vigilance to latent threat. Repeated activation of this response can result in chronic, maladaptive elevations of basal or circulating cortisol, perhaps due to enhanced sensitivity from childhood or adulthood trauma, insufficient recovery between missions, or due to HPA dysfunction. Both childhood rearing experiences and cortisol in response to prolonged stress are associated with alcohol consumption in rhesus monkeys (Fahlke et al., 2000), suggesting that both early experiences and ongoing stress can motivate alcohol use. Further, a study of German armed forces veterans that found decreases in alcohol use from pre-deployment to one year after were less likely in individuals with histories of childhood neglect (Trautmann et al., 2015).

Although little is known of the effects of combat exposure, or long periods of adversity in young adulthood or midlife, cortisol reactivity has been shown to be primed by both childhood and adulthood trauma (Heim & Binder, 2012). This is particularly noteworthy for veterans who in addition to having increased odds of trauma during their military service also report higher rates of childhood trauma compared to the general population (Blosnich et al., 2014), which has been associated with greater HPA dysregulation in response to stress

(Heim & Binder, 2012). In addition, blunted basal and post-stress cortisol levels in women veterans, relative to civilian women, were associated with military status independent of PTSD diagnosis (Pierce & Pritchard, 2016). This may suggest that military stress may be uniquely important for cortisol responses, though other potential contributions such as childhood abuse history were not considered. Another study found that although childhood trauma was not a significant predictor of cortisol or alcohol use at baseline, there was an interaction between baseline levels of hair cortisol and combat exposure during a 12-month deployment to predict alcohol use, such that those with low hair cortisol had a positive association with alcohol use and trauma exposure but this was not found in those with high hair cortisol concentrations (Trautmann et al., 2018). Figure 1C illustrates possible ways through which the HPA axis and alcohol use can hold bidirectional relationships among veterans who have experienced trauma and combat.

We offer that when veterans transition from military status and combat missions to civilian life, there may be an increased desire to use alcohol to cope, particularly driven by a desire to numb emotions, reduce arousal, and self-medicate sleeping problems. This is supported by the positive reinforcement of euphoric effects of alcohol and negatively reinforced anxiolytic effects (Koob, 2013) as well as some research showing short-term hypnotic effects (i.e., reduced sleep latency and reduced wakefulness during the first half of the night after drinking; Feige et al., 2006). Alcohol use may then become a habitual coping response to a variety of cues, which may include trauma-related stimuli, uncomfortable situations or self-conscious emotions (Heinz et al., 2009). In support of this conceptualization, a study of United Kingdom Armed Forces found that problems at home during and after deployment are associated with heavy drinking, as were military related problems such as unit leadership, comradeship and unit characteristics (Browne et al., 2008). Notably, acute stress or high levels of stress and negative mood are associated with increased likelihood to use alcohol to cope (Dvorak et al., 2014; Serre et al., 2015). Over time, the use of alcohol to cope becomes habitual and worsens one's ability to cope with stress. In this way, dysregulated levels of cortisol may contribute to this motivational pattern through a myriad of factors, to include childhood trauma, military trauma, and daily stressors associated with reintegration, a noted stressful period of adjustment (Orazem et al., 2017; Zamorski & Britt, 2010).

Another way that combat veterans are at increased risk for problematic alcohol use is by the prevalence of comorbid mental health conditions. For example, PTSD has been named one of the invisible wounds of war from the post-9/11 wars in Iraq and Afghanistan, with some estimates of a prevalence of 23% (Fulton et al., 2015). Recent literature has synthesized the highly co-occurring relationship between alcohol use disorder and PTSD in veterans (Norman et al., 2018), with some research suggesting emotional numbing symptoms to be most associated with alcohol misuse (Jakupcak et al., 2010). We note that associations with basal cortisol and PTSD are mixed, with one meta-analysis finding no overall difference between those with and without PTSD (Meewisse et al., 2007). However, an association with PTSD diagnostic status was found when samples were assessed in the afternoon, in serum or plasma, and when samples included women or individuals with physical or sexual abuse histories, suggesting that abuse history and methodological factors may be critical in assessing this association. The limited research in associations between cortisol and heavy

combat is mixed – with some research in veterans of other eras suggesting lower morning cortisol in those with heavy combat exposure (Boscarino, 1996), while other research suggests great variability or lability of cortisol in subsets of veterans with PTSD that may indicate individual differences in vulnerability (Mason et al., 2002). Given the circadian rhythm of cortisol with levels peaking shortly after waking and decreasing throughout the day, future clarification is needed to understand the contributions of trauma and PTSD. We posit that PTSD is an additional vulnerability factor to dysregulated cortisol and subsequent AUD, but additional research is needed to elucidate the unique contributions and consider potential bidirectional associations. Moreover, depression is highly comorbid with PTSD and a common psychiatric complaint for post-9/11 Veterans (Haskell et al., 2010). Recent research suggests that cortisol insensitivity, or a resistance to glucocorticoids, is associated with flatter diurnal cortisol slopes and may be a particular vulnerability for both negative attentional bias and depression (Gaffey et al., 2019), which would could be related to an individual difference in circulating or basal cortisol levels. Another common comorbid condition that could act as a transdiagnostic vulnerability is sleep problems, which can include sleep quality, disturbed sleep, difficulty falling asleep or awakening problems. In a study of Danish civil servants, sleep problems are associated with low awakening cortisol and low evening cortisol, and that the cortisol awakening response and diurnal slope in turn predicted disturbed sleep and awakening problems (Hansen et al., 2012). Veterans often use alcohol as means of facilitating sleep (Cucciare et al., 2011) and increases in alcohol use before deployment and one year after are correlated with sleep problems (Trautmann et al., 2015). Indeed, alcohol can be hypnotic in the short-term acting as a negative reinforcer by temporarily reducing sleep latency creating an increased reliance on its use as a selfmedication. However rebound effects begin during the latter parts of sleep each night (Feige et al., 2006), and is contraindicated for those with sleep problems due to its negative impact on sleep architecture ultimately contributing to exacerbated sleep difficulties. Taken together research appears to suggest that, over and above the stressors inherently associated with combat deployments, common psychiatric symptoms may place veterans at risk for dysregulated cortisol and increased risk for problematic alcohol use.

Future Research and Implications

Thus far, we have extended existing literature on stress, trauma, and individual differences in responses to alcohol and cortisol to veteran-specific risk factors of AUD. Though supported by preliminary evidence and theoretical plausibility, future research is needed to test the conceptualizations presented here and begin to examine the potential implications for veterans. Many studies examining associations between acute stress and consumption or sedation to alcohol have been conducted in civilian samples and replication in veterans is needed. Much of the literature on trauma and cortisol has focused on trauma in children and is confounded by issues of development. Veterans experience high amounts of exposure to stressful and potentially traumatic events in young adulthood through midlife and examining associations between combat exposure, cortisol and alcohol use is imperative. Longitudinal or prospective studies with military servicemembers that assessed cortisol, alcohol use and trauma prior to deployment, as well as exposure to deployment stressors would provide the strongest evidence in support of this framework. In the absence of this, laboratory or experimental studies would improve the ability to make causal claims and to delineate

whether cortisol is a mechanism that mediates between stress/trauma and AUDs. Literature has shown in acute stress paradigms that subjects with a high cortisol response, especially those at high risk for using alcohol problematically, show increased sedation to the effects of alcohol and thus put them at a higher risk for abuse due to the desirability of alcohol's calming ability (Brkic et al., 2016). Additional research is needed with veterans that utilize acute stress paradigms, both standardized, validated psychosocial stressors (e.g., Trier Social Stress Test; Kirschbaum, Pirke, & Hellhammer, 1993; Kudielka et al., 2007) as well as potential trauma paradigms (Holmes & Bourne, 2008), which would help to determine the specificity of associations with stress and trauma.

Some initial evidence on the associations between trauma exposure, PTSD, alcohol use and cortisol present mixed findings – such that elevated cortisol is a risk factor in one study, but low cortisol is connected in others (e.g., Blaine et al., 2019; Klaassens et al., 2012). Future research would benefit from using longitudinal designs and considering both basal cortisol levels and reactivity to inform the degree to which each is impacted. Additional methodological considerations include fluid of measurement (saliva, serum, plasma or urine) and time of day samples are taken (i.e., morning samples likely capture the cortisol awakening response). Further, some research has suggested that associations between cortisol and chronicity of PTSD symptoms (i.e., non-remitted symptom trajectory) are due to history of childhood trauma (Galatzer-Levy et al., 2017) suggesting group level analyses may mask important associations to emerge may be helpful to uncover associations.

One possible future direction is to examine cortisol in relation to other biomarkers. Two plausible biomarkers to examine with cortisol might be ACTH and alcohol-focused genetics. Though one of cortisol's primary functions is to inhibit HPA axis activation, it does not act alone. Rising cortisol levels block the release of CRF and ACTH (Guilliams & Edwards, 2010), which assists in returning the HPA axis to a level of homeostasis. Given the association between cortisol and ACTH, some research uses cortisol/ACTH ratios and can provide information about adrenal responsivity or functioning. Though not previously studied within AUD, this has been used in studies of health in veterans and in PTSD (de Kloet et al., 2006; Golier et al., 2007). This may be an important variable for understanding the role of cortisol within the broader physiological system.

Additionally, though beyond the scope of this paper, researchers can capitalize on the knowledge of the genetic contribution to AUD risk. Two genes most commonly associated with increased risk for developing alcoholism are associated with alcohol metabolism, alcohol dehydrogenase 1B class 1 (ADH1B) and mitochondrial aldehyde dehydrogenase 2 (ALDH2; Hurley & Edenberg, 2012). Genetic predisposition in conjunction with prolonged stress can drastically increase problematic alcohol usage. Further, cortisol sensitivity or resistance is hereditary (Lamberts, 1996), therefore considering genetics, shared environment, and their interaction in these associations could help elucidate the role of family history. Evaluating genetic predisposition as well as measures of chronic stress could give clearer results as to the role of combat exposure in the development of alcohol use disorders.

The extant literature offers tentative evidence for cortisol as a biomarker of AUD, one that may be particularly relevant for Veterans, with the potential to extend to those at high risk for repeated traumatization and sustained elevated stress, such as firefighters or first responders (Kim et al., 2018) and victims of military sexual trauma and intimate partner violence (Devries et al., 2014); populations that have been found to be at greater risk for alcohol use (Golding, 1999; Jones, 2017). Additional empirical study in veterans will help clarify its incremental contribution to vulnerability and inform clinical practice.

Clinical Implications

Providing evidence that cortisol is a biomarker of both the risk of engaging in problematic alcohol use and/or the relapse to problematic alcohol use would permit a novel treatment target for AUD among veterans that may bolster understanding of mechanisms within pharmaco- and psychotherapies (Swift & Aston, 2015).

As mentioned previously, pharmacotherapy is still underutilized for AUD within veterans (Rubinsky et al., 2015), and there are varying data on the efficacy of these treatments (Litten et al., 2016). One such contributor to the variability on treatment efficacy may be the impact that these medications have on glucocorticoids, such as cortisol. Naltrexone is an approved medication for AUDs that targets alcohol craving and has been shown to help with reducing drinking for some individuals (O'Malley et al., 1996). Research suggests that naltrexone activates the HPA axis and stimulates ACTH and cortisol responses compared to a placebo, as well as basal cortisol levels, and is associated with decreases in craving and alcohol selfadministration (O'Malley et al., 2002). This suggests that naltrexone could be helpful for individuals by reducing the positively reinforcing aspects, however, it may be less helpful for individuals with withdrawal pathophysiology. Prazosin is a noradrenergic compound typically used for high blood pressure that has some impact on HPA axis and has been found to reduce stress-related alcohol cravings, as well as reducing basal cortisol responses and increasing stress-induced cortisol responses (Fox et al., 2012). Randomized controlled trials of prazosin for alcohol use disorder show promising efficacy as compared to placebo, particularly for individuals consuming high numbers of drinks per week (Simpson et al., 2018; Wilcox et al., 2018). Additionally, some preliminary evidence suggests that targeting progesterone, which increases the brain neurosteroid allopregnanolone, may have some efficacy for modifying cortisol and alcohol cravings in individuals with substance dependence (Milivojevic et al., 2016). Taken together, the literature suggests that these medications may uniquely impact alcohol craving and its reinforcing qualities based on an individual's cortisol profile (i.e., higher basal/tonic levels or blunted reactivity). Therefore, it is possible that integrating stress and cortisol profile may help inform for whom and which intervention would be most helpful. Future research should examine how pharmacotherapies that target corticosteroids or other HPA-related factors may help with alcohol use and relapse by targeting stress-related physiology.

Considering the relevance of this research to psychotherapeutic interventions, some research has posited that the cognitive and affective mechanisms of alcohol use and alcohol use disorder, could be targeted with interventions, such as those that focus on purposeful attention (i.e., mindfulness; Garland et al., 2011). Given the role of stress and cortisol in

problematic alcohol use, it is important to consider that some evidence suggests that cortisol levels across sessions differ between veterans who respond to PTSD treatment and those who do not (Rauch et al., 2017). This may be one group that could benefit from addressing dysregulated cortisol and PTSD to reduce AUD. However, it is also important to consider how reducing alcohol use among those with PTSD may lead to short-term increases in emotional distress and dysregulate the HPA axis, which could increase symptoms and thereby increase craving due to the negatively reinforcing qualities of alcohol. This is highlighted in recent research supporting both self-medication (i.e., alcohol is used to cope with PTSD symptoms and sleep) and mutual maintenance theories for the relationship between alcohol use and PTSD (Possemato et al., 2015). Thus, addressing alcohol use in those with PTSD may require a targeted and dual focus. Some research on treatment of those who are dually diagnosed has found efficacy for behavioral interventions reducing PTSD symptoms, alcohol consumption or both. Two treatments addressing both substance use and PTSD, one focused on exposure therapy (Integrated Prolonged Exposure; COPE) and the other focused on coping (Integrated Coping Skills; Seeking Safety) demonstrated comparable declines in heavy drinking in veterans with PTSD and alcohol use disorder, though greater declines in PTSD symptoms were observed in integrated prolonged exposure compared to integrated coping skills (Norman et al., 2019). Similarly, Cognitive Processing Therapy has also been successful in reducing PTSD symptoms among veterans with a dual diagnosis although the effects on drinking outcomes were not measured (Kaysen et al., 2014). Pharmacotherapy also has some support for reducing alcohol use among veterans and civilians with these dual diagnoses (Petrakis et al., 2016; Ralevski et al., 2014), particularly when combined with behavioral treatment (Foa et al., 2013). Foa and colleagues compared the effects of prolonged exposure (PE; a behavioral intervention) versus supportive counseling when combined with naltrexone versus placebo in treating PTSD and AUD symptoms in a dual diagnosis sample of veterans and civilians. All four groups (i.e., PE + placebo, PE + naltrexone, Supportive + placebo, and Supportive + naltrexone) showed declines in both alcohol use and PTSD symptoms, with naltrexone showing superior effects over placebo for less days drinking (Foa et al., 2013). Taken together, this may suggest that treating both PTSD symptoms and AUD in individuals with comorbidity is crucial but also feasible and effective. These outcomes are consistent with recent research supporting a bidirectional and mutual maintenance model of PTSD and AUD among treatment seeking veterans with a dual diagnosis (Tripp et al., 2020), with slightly larger effects for PTSD symptoms predicting alcohol use. This may indicate that treatment of PTSD among those with dual diagnosis is critically important for addressing problematic alcohol use. Consideration of cortisol may further elucidate a subgroup of veterans for which certain therapies may be more beneficial.

Stress is a robust factor that prompts motivation for alcohol, with cortisol as a common stress biomarker. As such, targeting stress may be an important area of focus. Stress reactivity, measured by changes in cortisol, has also been shown to be modifiable with behavioral treatment for PTSD (i.e., expressive writing; Smyth, Hockemeyer, & Tulloch, 2008). This lends credence to the targeting of symptoms to reduce cortisol, though this has notably not yet been extended to alcohol use and AUD. If support is found, there may also be potential for prevention prior to stress or trauma exposure. Cognitive-behavioral stress

management training in two, 7-hour sessions has been shown to reduce the magnitude of cortisol responses to acute stress paradigms (Gaab et al., 2003). It is possible that trainings such as these could be adapted for pre-deployment or post-deployment to prepare members to cope with oncoming stress and perhaps modulate the degree of change in baseline cortisol level.

Conclusion

In summary, alcohol use and AUDs are heterogeneous with an increased prevalence in veterans and variable treatment effectiveness that are likely multifactorial. Thus, uncovering different endophenotypes may be helpful in better understanding differences in vulnerability, severity, and treatment response. Though future research is needed to inform the clinical implications of this framework, a long-term goal may be to help clarify effectiveness of different treatments for different subgroups of individuals. As future research elucidates the neurobiology of associations proposed here by integrating biomarkers into behavioral and genetic understandings of AUD, targeted pharmacological, psychological and behavioral interventions could be used to address dysregulation and reduce vulnerability to problematic alcohol use (Litten et al., 2015).

Given the multiple interconnected pieces of the model proposed here, targeting PFC function, improving HPA axis functioning or targeting cortisol more directly may all help improve resilience to developing AUD and the treatment or relapse prevention of AUDs. An important consideration is that individual differences in alcohol tolerance and sedation predict vulnerability and may therefore be an important factor in treatment implications. Additionally, pharmacotherapy for AUD may impact veterans differently based on their cortisol profiles and may inform the variability in efficacy. Furthermore, considering how individual differences in comorbid health presentations, including insomnia, depression and PTSD, could impact the function and reinforcement of alcohol motivation and thus modulate responses to treatment. Baine and Sinha (2017) propose that a personalized medicine approach that may help to build more effective intervention tailored to the individual risk factors of the person.

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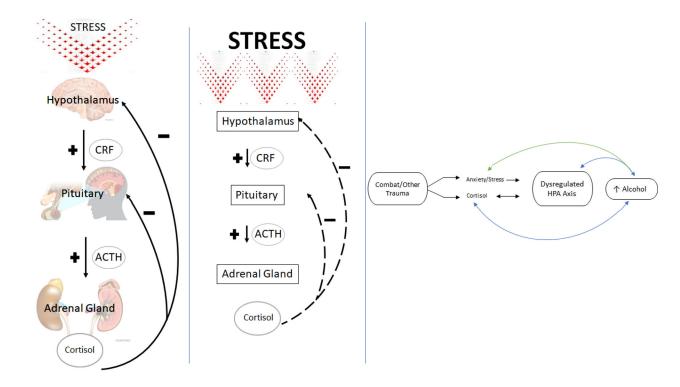


Figure 1.

Conceptual Model. A. Overview of HPA axis in times of acute stress in healthy functioning. Cortisol serves as a negative feedback mechanism. B. With repeated or chronic stressors, a stunted negative feedback loop occurs, resulting in potentially higher circulating basal cortisol. C. Conceptual framework. Combat Veterans often experience dysregulated cortisol and increases in negative emotion in response to combat trauma. Over time, this results in a dysregulated HPA axis and increased alcohol craving. Proposed bi-directional positive reinforcement pathway (blue) and bi-directional negative reinforcement pathway (green) are highlighted.