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## A mechanistic hypothesis for the impairment of synaptic plasticity by soluble A $\beta$ oligomers from Alzheimer brain

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### Abstract

It is increasingly accepted that early cognitive impairment in Alzheimer's disease results in considerable part from synaptic dysfunction caused by the accumulation of a range of oligomeric assemblies of amyloid  $\beta$ -protein (A $\beta$ ). Most studies have used synthetic A $\beta$  peptides to explore the mechanisms of memory deficits in rodent models, but recent work suggests that A $\beta$  assemblies isolated from human (AD) brain tissue are far more potent and disease-relevant. Although reductionist experiments show A $\beta$  oligomers to impair synaptic plasticity and neuronal viability, the responsible mechanisms are only partly understood. Glutamatergic receptors, GABAergic receptors, nicotinic receptors, insulin receptors, the cellular prion protein, inflammatory mediators and diverse signaling pathways have all been suggested. Studies using AD brain-derived soluble A $\beta$  oligomers suggest that only certain bioactive forms (principally small, diffusible oligomers) can disrupt synaptic plasticity, including by binding to plasma membranes and changing excitatory-inhibitory balance, perturbing mGluR, PrP and other neuronal surface proteins, downregulating glutamate transporters, causing glutamate spillover and activating extrasynaptic GluN2B-containing NMDA receptors. We synthesize these emerging data into a mechanistic hypothesis for synaptic failure in Alzheimer's disease that can be modified as new knowledge is added and specific therapeutics are developed.

### Keywords

Alzheimer's disease; amyloid  $\beta$ -protein; synaptic plasticity; long-term potentiation; long-term depression; soluble A $\beta$  oligomers

### Introduction

Memory and cognitive deficits in the prodromal and mild cognitive impairment (MCI) stages of Alzheimer's disease may primarily result from synaptic dysfunction (Arendt, 2009; Selkoe, 2002). However, the precise molecular and cellular mechanisms for how synaptic failure occurs in AD remain unclear. Growing but not definitive evidence suggests that AD is precipitated in considerable part by the progressive cerebral accumulation of a range of

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oligomeric assemblies of amyloid  $\beta$ -protein ( $A\beta$ ) (Selkoe & Hardy, 2016). Clinical PET imaging indicates that synaptic density is significantly reduced in the hippocampus in  $A\beta$ -positive patients on the AD spectrum, especially in its early symptomatic stages (Bastin et al., 2019). The severity of cognitive deficits in AD correlates more strongly with the levels of soluble forms of  $A\beta$  than with insoluble amyloid plaque load (Lue et al., 1999; McLean et al., 1999). Similarly, impairment of hippocampal synaptic plasticity can be detected *in vivo* before the formation of insoluble  $A\beta$  plaques in APP transgenic mouse models of AD (Oddo et al., 2006). Experimentally, soluble  $A\beta$  oligomers (o $A\beta$ ) have consistently been found to block long-term hippocampal potentiation (LTP), an electrophysiological correlate of learning and memory, *in vivo* and *in vitro* (Gulisano et al., 2018; Li et al., 2018; Li, Liu, & Selkoe, 2019; Shankar et al., 2008; Walsh et al., 2002). In line with these findings,  $A\beta$  immunotherapy has been confirmed to protect against the cognitive deficits observed in amyloid precursor protein (APP) transgenic mice (Carrera et al., 2015; Hartman et al., 2005; Sevigny et al., 2016) and prevent the LTP impairment induced by  $A\beta$  oligomers (Klyubin et al., 2008; Klyubin et al., 2005; Li et al., 2018). Although there are extensive studies on the  $A\beta$ -mediated disruption of synaptic plasticity, the detailed mechanisms appear highly complex. Understanding the neurobiological basis for how  $A\beta$  impairs synaptic plasticity could help develop more efficacious therapeutics for AD.

$A\beta$  peptides are generated via proteolytic cleavages of APP by the  $\beta$ - and  $\gamma$ -secretases.  $A\beta$  monomers can apparently self-associate to form oligomers, protofibrils and fibrils. Accumulation and further aggregation of protofibrils and fibrils lead to the formation of insoluble amyloid plaques, a universal feature of AD neuropathology. The aggregation state of  $A\beta$  peptides, based in part on the specific amino acid sequences of  $A\beta$ , is a key factor in determining their cytotoxicity (Li et al., 2018; Scopes et al., 2012). Furthermore, the aggregation rate is strongly correlated with the ratio of  $A\beta_{42}$ : $A\beta_{40}$  peptides in cellular and animal models (Pauwels et al., 2012; Terrill-Usery, Colvin, Davenport, & Nichols, 2016). However, the aggregation state of a pure synthetic  $A\beta$  peptide of a single length may not closely mimic the natural aggregation states of the heterogeneous  $A\beta$  peptides *in vivo*. Furthermore, aggregated synthetic  $A\beta$  species may differ significantly from the soluble  $A\beta$  oligomers isolated from the cerebral cortex of humans dying with clinically and neuropathologically confirmed AD, because AD brain-derived  $A\beta$  contains multiple forms of various  $A\beta$  species (Brinkmalm et al., 2019). Here, we review recent findings regarding  $A\beta$  oligomer-mediated impairment of synaptic plasticity and discuss potential mechanisms as to how  $A\beta$  alters synapse form and function.

## The difference between human brain-derived $A\beta$ and other sources of $A\beta$

Most studies of  $A\beta$ -mediated neurotoxicity have used pure, synthetic  $A\beta$  peptides such as  $A\beta_{1-40}$ ,  $A\beta_{1-42}$  and  $A\beta_{25-35}$ . Two broad and not mutually exclusive types of synthetic  $A\beta$  aggregates are referred to as ADDLs ( $A\beta$ -derived diffusible ligands) (Krafft & Klein, 2010) and protofibrils (Hartley et al., 1999; Shankar & Walsh, 2009). Inserting cysteine in place of serine at residue 26 of synthetic  $A\beta_{1-40}$  can produce disulfide-crosslinked dimers ( $[A\beta_{S26C}]_2$ ) which are prone to aggregate further and mimic features of the natural human oligomers; they are more potent in inhibiting hippocampal LTP than are wild-type synthetic  $A\beta$  aggregates (Hu, Smith, Walsh, & Rowan, 2008; Li, Feig, & Hartley, 2007; Li et al.,

2011; Shankar et al., 2008). It well accepted that A $\beta$  monomers do not interrupt normal synaptic function, whereas small (low-n A $\beta$  oligomers) and large A $\beta$  aggregates (protofibrils) impair synaptic plasticity (Klyubin, Cullen, Hu, & Rowan, 2012). For example, the Arctic mutant A $\beta$  peptide which has a high propensity to form protofibrils, showed a high potency to inhibit LTP (Klyubin et al., 2004; Nilsberth et al., 2001). A second source of A $\beta$  species is from the culture medium of certain cells that secrete monomers and some forms of soluble A $\beta$  oligomers. For example, a Chinese hamster ovary (CHO) cell line (called 7PA2) that stably expresses human mutant APP has been shown to release biochemically-characterized monomeric and low-n oligomeric A $\beta$  species (Kittelberger, Piazza, Tesco, & Reijmers, 2012; Li et al., 2011; Podlisny et al., 1995; Walsh et al., 2002) but also certain N-terminally extended A $\beta$ -containing monomeric fragments (i.e., beyond the conventional A $\beta$  Asp1 start site) with LTP-blocking properties; these extended monomeric APP fragments arise from protease cleavages creating an N-terminus other than from classical  $\beta$ -secretase processing followed by  $\gamma$ -secretase cleavage (Welzel et al., 2014). A third general source of A $\beta$  peptides and oligomers is from highly disease-relevant human (AD) brain tissue itself. Such species can be obtained from homogenates of postmortem AD cerebral cortex made in Tris- or phosphate-buffered saline (TBS or PBS) or artificial cerebrospinal fluid (ACSF). These human brain extracts contain highly heterogeneous A $\beta$  monomers and detergent-stable dimers, trimers and higher oligomers (Hong et al., 2018; Li et al., 2018; Sebollela et al., 2017; Shankar et al., 2008; Yang, Li, Xu, Walsh, & Selkoe, 2017). Studies examining such human brain A $\beta$ -rich extracts indicate that their synaptotoxic and neurotoxic potency is perhaps ~100-fold greater than that of synthetic A $\beta$  aggregates (Jin et al., 2011; Zhang, Mably, Walsh, & Rowan, 2017). In accord, AD brain-derived A $\beta$  can induce seeding activity upon intracerebral injection into APP transgenic mice that is at least 100-fold more potent than synthetic A $\beta$  or A $\beta$  species obtained from human CSF (Meyer-Luehmann et al., 2006; Stohr et al., 2012). As regards insoluble amyloid fibrils isolated from human brain, cryo-electron microscopy (cryo-EM) has demonstrated that brain-derived amyloid fibrils are right-hand twisted  $\beta$ -sheets and their peptide fold differs sharply from in vitro formed synthetic A $\beta$  fibrils that are left-hand twisted (Kollmer et al., 2019).

We recently demonstrated that not all buffer-soluble AD brain extracts can cause neurotoxic activity in rodent brain slices or human iPSC neurons, at least not in their initial state of isolation (Li et al., 2018; Yang et al., 2017). In this context, the total A $\beta$  levels in pieces of AD brain tissue measured by ELISA do not correlate directly with induced LTP deficits. These results may help explain the long-noted inconsistency between brain amyloid plaque levels and the degree of cognitive decline in AD patients (e.g., Dubois et al., 2018; Esparza et al., 2013). Different synthetic or human AD brain extract A $\beta$  preparations (based on use of various detergents and buffers or aggregation stimulation) may yield different species or fractions of oA $\beta$  (Hayden & Teplow, 2013; Nagel-Steger, Owen, & Strodel, 2016). These and other experimental observations suggest that only certain forms of soluble A $\beta$  assemblies in the AD brain, perhaps a small minority (Hong et al., 2018), actually play an active role in A $\beta$ -mediated bioactivity.

## Bioactive human brain-derived A $\beta$ species

Several different forms of A $\beta$ , including monomers and dimers of A $\beta$ <sub>1–40</sub> and A $\beta$ <sub>1–42</sub>, low-n oligomers, and other non-fibrillar forms of water-soluble A $\beta$  have been found in CSF, blood and brain extract from AD patients (Brinkmalm et al., 2019; Lewczuk et al., 2017; Shankar et al., 2008; Yang et al., 2015). While pure synthetic A $\beta$  monomers can form low-molecular-weight oligomers, ADDLs, ‘globulomers’, protofibrils and/or ‘annular assemblies’, and plaque-like amyloid fibrils in vitro (Walsh & Selkoe, 2007), it has been difficult to confirm that analogous assemblies actually arise naturally in the human brain. The isolation and bioactivity analyses of a series of A $\beta$ -rich extracts from neuropathologically typical AD brains has suggested that ~4 kDa A $\beta$  monomers as well as highly insoluble amyloid plaque cores do not inhibit hippocampal LTP (Shankar et al., 2008), while some buffer-soluble fractions (~16–60 kDa) and small oligomers (7–16 kDa) can significantly impair LTP. Interestingly, large soluble assemblies isolated from cortical homogenates on a size exclusion chromatography (SEC) column, i.e., in the void volume, did not significantly alter LTP, but when these large A $\beta$  assemblies were dissociated in vitro incubation into smaller, readily diffusible A $\beta$  dimers and oligomers, they could now inhibit hippocampal LTP (Li et al., 2018; Shankar et al., 2008; Yang et al., 2017).

Studies that using synthetic A $\beta$  have demonstrated that oligomeric A $\beta$ <sub>1–28</sub> peptides (Lambert et al., 2007; Sebollela et al., 2014) or peptides of the A $\beta$ <sub>16–21</sub> hydrophobic core (Sebollela et al., 2017; Velasco et al., 2012) may play a key role in AD-relevant neurotoxicity, and their antibodies (NU4 or NUsc1, respectively) or other antibodies, such as A11 (Knight et al., 2016) that preferentially target soluble oA $\beta$  can neutralize A $\beta$ -mediated neurotoxicity in experimental systems. It was also reported that synthetic ADDLs (Gong et al., 2003), synthetic globulomers (Hillen et al., 2010) or the APP transgenic mouse brain-derived A $\beta$ \*56 oligomers (Reed et al., 2011) are all A $\beta$  species that can induce synaptic dysfunction and cognitive impairment.

It is well accepted that the A $\beta$ <sub>1–42</sub> fragment plays an initiating role in neurotoxic activity because of its relative hydrophobicity (i.e., having an extra alanine and isoleucine) and its consequent aggregation propensity. Other peptide lengths of A $\beta$ , such as A $\beta$ <sub>37</sub>, A $\beta$ <sub>38</sub>, A $\beta$ <sub>39</sub>, A $\beta$ <sub>40</sub>, A $\beta$ <sub>43</sub>, and heterogeneous N-terminally truncated A $\beta$ s can also be found in AD brain and human cerebrospinal fluid (Kakuda et al., 2012; Soares et al., 2016; Wirths et al., 2017). Hippocampal slice LTP experiments have demonstrated that longer A $\beta$  peptides (A $\beta$ <sub>42</sub>, A $\beta$ <sub>43</sub>) but not the shorter forms (A $\beta$ <sub>37</sub>, A $\beta$ <sub>38</sub>, A $\beta$ <sub>39</sub>) can significantly inhibit LTP. Moreover, certain N-terminal anti-A $\beta$  antibodies such as 3D6 (mouse) or bapineuzumab (humanized), but not C-terminal antibodies such as 2G3 (anti- A $\beta$ <sub>40</sub>) and 21F12 (A $\beta$ <sub>42</sub>), can fully prevent slice LTP impairment by soluble A $\beta$ -rich extracts of AD cortex (Li et al., 2018). The C-terminal region, which is abundant in hydrophobic residues, may form a hydrophobic core with a central cavity that stabilizes the oligomer structure (Kawai et al., 2020). A recent study suggested that synthetic A $\beta$  soluble oligomers containing  $\alpha$ -sheet secondary structure are strongly correlated with neurotoxicity (Shea et al., 2019). These results suggest that the long-form (>40 residue) A $\beta$  peptides preferentially aggregate into oligomers, and the N-terminus of A $\beta$  may play a role in targeting cell membranes and execute bioactivity.

## Soluble A $\beta$ oligomers effect on short-term plasticity

Neurons communicate with each other by transmission via chemical synapses that can undergo a variety of short-lasting processes to regulate the dynamic course of synaptic transmission. Short-term plasticity (STP) is a use-dependent change in synaptic strength on the timescale of a millisecond to seconds and can be observed in almost every synapse of the central nervous system (Motanis, Seay, & Buonomano, 2018). Several forms of STP have been described, including post-tetanic potentiation (PTP), synaptic augmentation, paired-pulse facilitation (PPF), and synaptic depression, which are each distinguished by their decay kinetics. PPF is an increase in the postsynaptic response to the second presynaptic action potential over the response to the first one. It is well studied in the involvement of presynaptic neurotransmitter release.

Exogenous application of A $\beta$  oligomers onto hippocampal slices or their injection into the lateral ventricle has been found not to alter PPF or PTP (Cerpa et al., 2010; Li et al., 2009; Schmid, Freir, & Herron, 2008; Shankar et al., 2008; Talantova et al., 2013). On the other hand, soluble A $\beta$  from human (AD) brain extracts can significantly impair the burst stimulation form of STP (Wang et al., 2017) (Fig.1). Interestingly, the second pulse of bursts did not produce change in the response, as the PPF indicated. PPF may reflect the probability of neurotransmitter vesicle release, with reduced ratios implying an increased probability of synaptic vesicle release. However, the precise mechanism of burst stimulation as to its involvement in short-term plasticity remains unclear. Whether this alternation is due to the extracellular A $\beta$  oligomers regulating presynaptic function by promoting APP-APP interactions through activation of APP homodimers in hippocampus, as has been suggested (Fogel et al., 2014; Wang et al., 2017), needs further investigation.

## Human A $\beta$ inhibits hippocampal LTP

Although there is some disagreement in the literature as regards oA $\beta$  effects on short-term plasticity or long-term synaptic depression (LTD), there are highly consistent reports that exogenously applied soluble A $\beta$  oligomers or endogenous elevated A $\beta$  levels can each impair hippocampal LTP, a well-accepted electrophysiological correlate of learning and memory. Soluble oA $\beta$  can alter synaptic function, including plasticity, within minutes, much more quickly than inflammatory and other cellular responses require. More importantly, electrophysiological recording is a sensitive technique for studying synaptic transmission in real-time; therefore, it becomes a useful physiological readout for synaptic changes caused by A $\beta$  oligomers and thus the study of therapeutic interventions.

**1. AD brain-derived A $\beta$  disrupts E/I balance—**LTP in the hippocampus is commonly induced by electrical tetanic or high-frequency stimulation (HFS) of presynaptic axons, thereby causing activation of postsynaptic AMPA and NMDA receptors. Increasing activity of excitatory glutamatergic receptors mediates the LTP. Soluble A $\beta$  oligomers, including those isolated from AD brain, have been shown to induce hyperexcitability in individual neurons and neural circuits (Del Vecchio, Gold, Novick, Wong, & Hyde, 2004; Lei et al., 2016; Li et al., 2011; Zott et al., 2019). These experimental results may help explain the clinical observation of a significantly higher incidence of epilepsy in AD patients

(up to 10–22% higher) than in age-matched control subjects (<1%) (Amatniek et al., 2006; Vossel, Tartaglia, Nygaard, Zeman, & Miller, 2017).

Neuronal activity in the brain depends on the regulation of a complex equilibrium between excitatory and inhibitory neurotransmission. An imbalance of excitation/inhibition (E/I) in favor of excitation could result in epileptiform activity. Through application of AD brain-derived oA $\beta$  to brain slices, we found that neuronal excitability was increased around 3 times while inhibitory tone decreased 50%; thus, the change of the E/I balance was as much as 6-fold (Wang et al., 2017). Some studies have suggested that the loss of balance of excitatory vs. inhibitory neurotransmitter systems may underline early cognitive deficits and occasional epileptiform activity in AD (Goutagny et al., 2013; Petrache et al., 2019; Stoiljkovic, Kelley, Horvath, & Hajos, 2018; Vossel et al., 2016). The imbalance of glutamatergic and GABAergic neurotransmission may also be interrupted by oA $\beta$  (Quevenco et al., 2019). This and other evidence supports an imbalance between excitatory and inhibitory transmission that may contribute to cognitive deficits in AD patients (Selten, van Bokhoven, & Nadif Kasri, 2018; Vico Varela, Etter, & Williams, 2019).

The increased neuronal excitability by AD brain-derived oA $\beta$  further supports previous results from synthetic A $\beta$  oligomers that increase EPSPs, mEPSC, membrane depolarizations, and action potentials (Gilbert et al., 2016; Hartley et al., 1999; Kurudenkandy et al., 2014; Minkeviciene et al., 2009). Mechanistically, the levels of the AMPA receptor subunit GluA1, which is a critical mediator of LTP expression in CA1 (Terashima, Suh, & Isaac, 2019), have been shown to be increased in AD hippocampus compared to healthy controls (Marcello et al., 2012). Further studies confirmed that GluA1 phosphorylation (Megill et al., 2015) and GluA3 levels (Cantanelli et al., 2014) are both increased in young AD model mice, but GluA1 and GluA3 levels are significantly decreased in old AD model mice in comparison to age-matched WT mice. A $\beta$  might initially target GluA2-lacking AMPAR (also called calcium-permeable AMPAR, or CP-AMPA) to induce synaptic alterations (Gilbert et al., 2016; Whitcomb et al., 2015). Once the A $\beta$  oligomers activate the CP-AMPA, other subunits of AMPAR will be involved in the excess synaptic activity. In this regard, it has been demonstrated that GluA3-containing AMPARs are required for A $\beta$  oligomer-mediated LTP deficits (Reinders et al., 2016).

An impairment of GABAergic inhibition could also induce hyperexcitability and epileptiform activity, and it could alter the hippocampal theta and gamma rhythmicity (Goutagny et al., 2013). Such abnormal inhibitory circuit activity could also disrupt normal hippocampal LTP induction. GABAergic neurotransmission has long been considered relatively well-preserved in AD (Mitew, Kirkcaldie, Dickson, & Vickers, 2013; Rissman, De Blas, & Armstrong, 2007). An early study of the LTP impairment induced by A $\beta$  showed that it was independent of GABA<sub>A</sub> receptor-mediated synaptic inhibition, since the A $\beta$ -mediated LTP inhibition was not affected by application of the GABA inhibitor picrotoxin (Raymond, Ireland, & Abraham, 2003). We reported that conventional fEPSP recordings (from striatum radiatum) of CA1 did not suggest that decreased LTP induced by oA $\beta$  related to GABAergic inhibition, whereas population spike recordings (from pyramidal cell layer) demonstrated that the involvement of GABA<sub>A</sub> receptors (Lei et al., 2016). This study confirmed other findings that exogenous A $\beta$  application can cause GABAergic interneuron

loss (Villette et al., 2012) and dysfunction (Wu, Guo, Gearing, & Chen, 2014) in AD model mice. The A $\beta$ -induced GABAergic synaptic inhibition may be due to A $\beta$ -triggered GABA receptor internalization (Ulrich, 2015) and/or a suppression endocannabinoid-mediated peritetananic disinhibition (Orr et al., 2014). Therefore, an increase of glutamatergic excitatory activity and/or a decrease of GABAergic inhibitory activities could promote neuronal hyperexcitability and impair hippocampal LTP, which is in line with the known deficits in LTP after status epilepticus (Kryukov, Kim, Magazanik, & Zaitsev, 2016; Postnikova et al., 2017; Zaitsev et al., 2015)

**2. AD brain-derived A $\beta$  binds in part to cellular prion protein**—Cellular prion protein (PrP<sup>C</sup>) is a glycoprotein highly expressed in the brain. The role of PrP<sup>C</sup> in A $\beta$  oligomer-induced synaptic impairment is a matter of interest and some controversy. It has been reported that the impairment of LTP by A $\beta$  oligomers isolated from the brains of AD patients was rescued by pretreatment with an anti-PrP<sup>C</sup> antibody (Barry et al., 2011; Freir et al., 2011; Klyubin et al., 2014) and that oA $\beta$  failed to inhibit LTP in PrP null mice (Freir et al., 2011). These results suggest a role of PrP<sup>C</sup> in synaptotoxicity mediated by soluble A $\beta$ . In contrast, three independent studies did not observe the effects of PrP<sup>C</sup> in the LTP alterations and memory deficits of a different mouse model of AD (Balducci et al., 2010; Calella et al., 2010; Kessels, Nguyen, Nabavi, & Malinow, 2010). These conflicting results may come from differences in experimental conditions such as A $\beta$  species applied and AD mouse models.

Despite the disagreement over whether PrP<sup>C</sup> mediates A $\beta$  synaptotoxicity *per se*, the specific binding of A $\beta$ <sub>42</sub> oligomers to PrP<sup>C</sup> has been reported in AD brain tissue but the PrP<sup>C</sup>-interacting A $\beta$  oligomers are absent in healthy control brain tissue (Dohler et al., 2014; Kostylev et al., 2015). The soluble oA $\beta$  binding to PrP were also confirmed in mouse studies (Balducci et al., 2010; Calella et al., 2010; Lauren, Gimbel, Nygaard, Gilbert, & Strittmatter, 2009). Several studies confirmed that the so-called N1 fragment, the equivalent of that released by  $\alpha$ -cleavage of endogenous PrP<sup>C</sup>, bound synthetic A $\beta$  oligomers to a similar degree as did full-length PrP and thus blocked oA $\beta$ -mediated synaptotoxicity (Fluharty et al., 2013; Nicoll et al., 2013; Scott-McKean et al., 2016). The high-affinity binding of the N1 fragment of PrP<sup>C</sup> to A $\beta$  oligomers apparently requires both residues 23–27 at the N-terminus as well as the segment ~95–110 at the C-terminus (Fluharty et al., 2013; Zhang, Qi, et al., 2017), and this could explain that certain PrP<sup>C</sup> antibodies and the N1 fragment can prevent oA $\beta$ -induced inhibition of LTP in hippocampal slices. It is also reported that PrP<sup>C</sup> eliminates glutamate-mediated neuronal excitotoxicity caused by A $\beta$  by inhibiting NMDA receptors (Biasini, Turnbaugh, Unterberger, & Harris, 2012; Khosravani et al., 2008). Interestingly, A $\beta$  oligomers, but not monomers, were found to increase the localization of PrP<sup>C</sup> to the cell surface in several cell lines and hippocampal neurons (Caetano et al., 2011). We speculate that soluble A $\beta$  oligomers, which are highly hydrophobic, first bind to lipid membranes and interrupt membrane fluidity and thereby trigger altered trafficking of several membrane-spanning receptors (NMADR, AMPAR, insulin) and other surface proteins (PrP<sup>C</sup>).

### 3. AD brain-derived A $\beta$ increases inflammatory microglial activities.—

Although the loss of synapses is putatively an early and eventually clinically important feature of Alzheimer's disease, activated microglia and astrocytes are abundantly present in the vicinity of plaques, suggesting that glial cells also play a dynamic role in the pathogenesis of the amyloid aspect of AD. A $\beta$  oligomers are found to interact with various receptors of microglial cells and increase the levels of inflammatory cytokines like tumor necrosis factor-alpha (TNF $\alpha$ ), interleukins (IL-1, IL-6), interferon- $\gamma$  (IFN $\gamma$ ) and chemokines such as CCL2, CXCL8, CXCL10, CCL5 and CCL3 (Domingues, da Cruz, & Henriques, 2017; Lyons, Griffin, Costelloe, Clarke, & Lynch, 2007). These changes likely boost microglial activation and make microglia more susceptible to secondary stimuli. Specifically, it has been shown that Intracerebroventricular (ICV) injection of AD brain-derived oA $\beta$  significantly increases Ccl3, Ccl4, and Tnf mRNA levels in wild-type adult mice (Xu, Rajsombath, Weikop, & Selkoe, 2018). Several cytokines such as TNF $\alpha$ , IL-1 $\beta$ , IFN- $\gamma$  or IL-6 that are released from microglia and astroglia during neuroinflammation in AD have been reported to help accelerate AD progression in both AD patients and animal model of AD (Heneka et al., 2015; Heppner, Ransohoff, & Becher, 2015) and impair hippocampal LTP (Hoshino, Hasegawa, Kamiya, & Morimoto, 2017; Maggio & Vlachos, 2018; Prieto, Tong, Smith, & Cotman, 2019). The LTP deficit in transgenic mouse models of AD has also been associated with increased expression of IL-1 $\beta$  (Gallagher et al., 2012; Kelly et al., 2013). In line with these findings, inactivating microglia by minocycline prevents A $\beta$  inhibited LTP (Lyons et al., 2007; Riazi et al., 2015). These results suggest that proinflammatory cytokines help mediate the molecular pathway involved in synaptic dysfunction during A $\beta$ -driven synaptotoxicity in AD (Kinney et al., 2018; Minter, Taylor, & Crack, 2016).

#### Soluble A $\beta$ oligomers facilitate hippocampal LTD

A principal neuropathological finding in AD patients is cortical atrophy marked by neurite degeneration, dendritic spine density decreases and frank neuronal loss (Pozueta, Lefort, & Shelanski, 2013). Morphological studies in rodents suggest that the induction of LTP is associated with increased spine volume and spine formation. In contrast, the induction of LTD results in decreased spine volume and spine elimination (Hasegawa, Sakuragi, Tominaga-Yoshino, & Ogura, 2015; Wiegert & Oertner, 2013). Thus, the phenomenon of LTD may more closely represent AD neuropathological events. Mechanistically, the induction of LTD requires activation of NMDAR and/or mGluR in the CA1 region of the hippocampus, depending on the specific stimulation protocol and recording conditions (Collingridge, Peineau, Howland, & Wang, 2010; Connor & Wang, 2016). An increase in intracellular calcium can trigger signaling cascades involved in LTD induction, including calcineurin (protein phosphatase 2B, PP2B), p38 MAPK and glycogen synthase kinase-3 (GSK3) (Connor & Wang, 2016).

In contrast with oA $\beta$  effects on hippocampal LTP, only a few studies have examined LTD induction, and they have yielded inconsistent results. Earlier studies showed synthetic A $\beta$  did not alter LTD induction and magnitude when performed by standard low-frequency stimulation (LFS, 900 pulses at 1 Hz). Interestingly, when a dose of 50  $\mu$ M AP5, which fully blocked the 900-pulse (LFS) LTD, if added to A $\beta$  oligomer-treated slices, the magnitude of



LTD does not change and this LTD requires much higher concentration of AP5, suggesting oA $\beta$  causes increased extracellular glutamate levels (Lei et al., 2016; Li et al., 2009; Talantova et al., 2013). To further explore the oA $\beta$  effect on hippocampal LTD, we first used a weak-LFS (1 Hz, 300-pulse LFS) that usually has no significant effect on synaptic transmission, but it could induce significant and stable LTD in oA $\beta$ -exposed hippocampal slices (Li et al., 2009; Shankar et al., 2008). This phenomenon has been replicated by several other groups (Hu et al., 2014; Ma et al., 2012; O’Riordan, Hu, & Rowan, 2018a; Olsen & Sheng, 2012; Salgado-Puga, Rodriguez-Colorado, Prado-Alcala, & Pena-Ortega, 2017). We conclude that soluble A $\beta$  oligomers can induction of facilitate hippocampal LTD (Fig.2).

**1. Metabotropic glutamate receptors**—Metabotropic glutamate receptors (mGluRs) are coupled to GTP-binding proteins that link the receptors to downstream signaling pathways. They have been classified into three groups by pharmacological properties, sequence similarities, and intracellular signal transduction mechanisms (Ribeiro, Vieira, Pires, Olmo, & Ferguson, 2017). Activation of the mGluR has yields a diverse range of electrophysiological effects, such as potentiation of AMPAR and NMDAR synaptic responses, inhibition or activation of potassium and calcium currents, mediation of slow excitatory postsynaptic potentials, presynaptic inhibition of transmitter release, and involvement in the generation of oscillatory and epileptiform activity (Anwyl, 1999).

From the application of AD brain-derived A $\beta$  to wild-type mouse hippocampal slices or ICV injection into intact rat brain, it has been shown that the facilitation of LTD by soluble A $\beta$  oligomers is mediated in part by activation of mGluR5 (Hu et al., 2014; Kessels, Nabavi, & Malinow, 2013; Li et al., 2009; O’Riordan, Hu, & Rowan, 2018b; Shankar et al., 2008). The A $\beta$  oligomer perturbation of synaptic function may include their binding to mGluR5, thus limiting the mobility of mGluR5 receptors, and eventually causing an aberrant increase in intracellular Ca<sup>2+</sup> and the removal of NMDAR from synapses (Renner et al., 2010). Although A $\beta$  oligomers have been shown to have high affinity of binding with PrP<sup>C</sup> *in vitro* (Lauren et al., 2009), several studies suggest that the mGluR5 is required for this and may initiate the formation of oA $\beta$ -PrP<sup>C</sup>-mGluR5 complexes that mediate multiple changes in synaptic homeostasis, leading to synaptic loss (Beraldo et al., 2016; Haas et al., 2016; Hu et al., 2014; Um et al., 2013). These findings may help explain why mGluR group I antagonists can prevent oA $\beta$ -induced enhancement of LTD (Hu et al., 2014; Li et al., 2009; Shankar et al., 2008). Similarly, inhibition of mGluR5 can prevent oA $\beta$ -induced hippocampal LTP impairment (Hu et al., 2014; Rammes, Hasenjager, Sroka-Saidi, Deussing, & Parsons, 2011; Zhang, Qi, et al., 2017), and application of expressed  $\beta$ -CTF generated A $\beta$  leads to a “mGluR-like” LTD of AMPARs (Hsieh et al., 2006). Therefore, soluble A $\beta$  oligomers may bind to or interact with mGluR or depolarize the membrane through mGluR, thereby activate subsequent cascades (including PrPc, GluN2B, Fyn, Arc/Arg3.1 signaling) which facilitate LTD induction or elicit “LTD-like” changes.

**2. Glutamate transporters**—Early AD studies focused on frank A $\beta$ -induced glutamatergic excitotoxicity. The physiological clearance of glutamate from the synaptic cleft via glutamate transporters can prevent the excitotoxicity mediated by excessive NMDA receptor activation. Alteration of glutamate transporters has been documented in postmortem

AD brain tissue (Jacob et al., 2007; Scott, Gebhardt, Mitrovic, Vandenberg, & Dodd, 2011; Woltjer et al., 2010) and APP transgenic mice (Mookherjee et al., 2011; Schallier et al., 2011). Synthetic A $\beta$  aggregates have been shown to inhibit glutamate uptake and decrease glutamatergic transporter expression in various experimental systems (Lanznaster et al., 2017; Li et al., 2009; Matos, Augusto, Oliveira, & Agostinho, 2008; Piermartiri et al., 2010; Tong et al., 2017). Using the glutamate uptake inhibitor TBOA, we and others have mimicked and even occluded the known oA $\beta$  effects of increasing extracellular glutamate levels to impair LTP and enhance LTD in the hippocampus (Lei et al., 2016; Li et al., 2009; Li et al., 2011; Varga et al., 2015; Zhang, Mably, et al., 2017). Application of extracellular glutamate scavengers to remove excessive glutamate was able to prevent A $\beta$ -mediated synaptic dysfunction (Li et al., 2009; Varga et al., 2015; Zhang, Mably, et al., 2017).

The disruption of synaptic plasticity by glutamate is dependent on its concentration. Exogenous application of low doses of NMDA inhibits hippocampal LTP, while high doses induce LTD (Li et al., 2011) and can eliminate synapses (Henson, Tucker, Zhao, & Dudek, 2017). An increase of glutamate levels has been reported in the CSF of AD patients (Csernansky, Bardgett, Sheline, Morris, & Olney, 1996; Jimenez-Jimenez et al., 1998; Kaiser et al., 2010) and in the hippocampus of rodent brain after oA $\beta$  administration (Lanznaster et al., 2017; Lei et al., 2016; O'Shea et al., 2008). Synthetic A $\beta$ -induced rises in extracellular glutamate levels may result from several different mechanisms, such as decreasing glutamate uptake (Lanznaster et al., 2017; Li et al., 2009; Matos et al., 2012), inducing rapid glutamate transporter mislocalization and internalization in astrocytes, thus reducing glutamate clearance (Scimemi et al., 2013), or increasing glutamate release (Hascup & Hascup, 2016; Talantova et al., 2013). The increased extracellular glutamate levels could be a key upstream factor that activates extrasynaptic GluN2B receptors and leads to LTP impairment, LTD enhancement and synapse loss. The evidence that soluble A $\beta$  oligomers may interrupt various glutamate receptors (NMADRs, AMPARs and mGluRs) has suggested that oA $\beta$  may impair their upstream regulators, including glutamate transporters. The pro-inflammatory cytokines potentially released from activated microglial/glia cells in pathological conditions have been shown to alter the ability for glial glutamate uptake (Carmen, Rothstein, & Kerr, 2009; Dumont, Goursaud, Desmet, & Hermans, 2014; Sulkowski, Dabrowska-Bouta, Kwiatkowska-Patzer, & Struzynska, 2009; Tong et al., 2017; Verma, Mishra, Sasmal, & Raghurir, 2010). Thus, soluble A $\beta$  oligomers may activate glia to release cytokines, further impairing the function of glutamate transporters, leading to changes in a series of neuronal signaling cascades.

### **Towards a unified oA $\beta$ mechanism through future studies**

Although A $\beta$  oligomer accumulation has been defined as characteristic of AD and widely recognized as probably contributing directly to gradual cognitive decline, the exact mechanism of how A $\beta$  interrupts the synaptic function in the earlier stages of AD is incompletely understood. As reviewed above, soluble A $\beta$  oligomers can apparently affect several cell-surface neuronal receptors (NMDAR, AMPAR, mGluR, GABAR) and alter numerous signaling pathways. A $\beta$  has been reported in *in vitro* experiments to potentially interact with PrP, Insulin receptors, EphB2, nAChRs, and  $\beta$ -adrenergic receptors (Ferreira, Lourenco, Oliveira, & De Felice, 2015; Mroczko, Groblewska, Litman-Zawadzka,

Kornhuber, & Lewczuk, 2018; Smith & Strittmatter, 2017), all of which could modulate NMDAR activity. Specifically, it has been reported that oA $\beta$  bound predominantly to postsynaptic dendritic arbors of excitatory neurons (Lacor et al., 2007); and a striking shrinkage of PSDs and synapse loss could be found within a halo of oligomeric A $\beta$  surrounding the plaque from AD model mice (Koffie et al., 2009). It is likely that the hydrophobic A $\beta$  oligomers actually interact with lipid binding sites on plasma membranes that act upstream of these various receptors, including dynamic A $\beta$  oligomer clusters around these receptors, thus perturbing their function secondarily.

Our previous findings suggested that soluble A $\beta$  oligomers activate extrasynaptic GluN2B-containing NMDA receptors; however, we do not have specific evidence that the soluble A $\beta$  oligomers bind directly to GluN2B or to any other partially hydrophilic cell-surface receptor. Oligomer-mediated perturbation of the fine structure of the neuronal plasma membrane could then lead to secondary biophysical effects on the structure and function of a variety of transmembrane receptors (e.g., the NMDA, AMPA, mGlu, insulin,  $\alpha$ 7AChRs and/or  $\beta$ -adrenoceptors), the cellular prion protein, and glutamate transporters. When oA $\beta$  increases or is exogenously applied to neuronal culture medium, brain slices or intact brain, the hydrophobic oligomers will stick on to the neuronal and glial lipid membrane surfaces, thereby interrupting its normal biophysical properties, activating cytokines and associated inflammatory mediators. These multifaceted actions can result in an increase of the extracellular glutamate concentration by reducing neuronal and astrocytic glutamate reuptake. Of particular concern, excessive release of glutamate by astrocytes can allow access to extrasynaptic NMDA receptors, induce neuronal excitotoxicity, impair LTP, enhance LTD (Fig.3) and influence the genesis and strength of seizure-like events (Barker-Haliski & White, 2015). Although exploring the exact binding partners of natural A $\beta$  oligomers will be labor-intensive, it would be valuable for the investigation of the mechanism of oA $\beta$ -induced neurotoxicity. If we find specific oA $\beta$  binding partners, we would have a chance to study the exact mechanisms of A $\beta$  interrupt synaptic function and develop potential compounds to treat AD.

Synaptic dysfunction induced by oA $\beta$  may represent in part a relatively acute form of A $\beta$  neurotoxicity, as it has been observed in a few minutes after application *in vitro* or *in vivo*, and the levels of heterogeneous brain proteins can then change within 3 hours, with morphological changes of neurons seen by ~16 hours after application (Li et al., 2011). Whether the alteration of synaptic plasticity contributes directly to prodromal or very early symptomatic AD is worthy of further investigation. As oA $\beta$  (including the high molecular weight fraction) but not A $\beta$  monomers or insoluble amyloid plaques from AD brain extracts significantly impair synaptic plasticity, and immunodepletion of the former bioactive species prevents the synaptic dysfunction, the development of effective small molecules and antibodies that specifically target soluble A $\beta$  oligomers (monoclonal antibodies could help prevent oA $\beta$  formation and enhance clearance of existing oA $\beta$ ) represents an attractive therapeutic strategy for the AD. Interestingly, other misfolded proteins such as  $\alpha$ -synuclein oligomers (the key pathogen in Parkinson's disease) (Ghiglieri, Calabrese, & Calabresi, 2018; van Diggelen et al., 2019) may also disrupt hippocampal and striatal synaptic plasticity, suggesting that oligomer-mediated synaptic dysfunction may be an early stage in the cytotoxicity of several protein-mediated neurodegenerative diseases.

Further studies using dual recordings (Lei et al., 2016; Zhao et al., 2018) could provide more details of  $\alpha\text{A}\beta$  effects on synaptic plasticity and neural networks. Clinically, using noninvasive brain stimulation techniques, such as visual evoked potentials (Spriggs, Cadwallader, Hamm, Tippett, & Kirk, 2017), transcranial direct current stimulation (tDCS) and repetitive transcranial magnetic stimulation (rTMS) (Cirillo et al., 2017) could induce LTP-like and LTD-like phenomena in the human subjects and AD patients. Such recordings at different stages of AD patients could show that LTP/LTD-like changes are significantly related to cognitive behavior, thus providing an earlier biomarker for diagnosis and therapeutic approaches.

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## Alphabetical list of abbreviations

<b>ACSF</b>	artificial cerebrospinal fluid
<b>AD</b>	Alzheimer's disease
<b>ADDL</b>	$\text{A}\beta$ -derived diffusible ligand
<b>APP</b>	$\beta$ -amyloid precursor protein
<b><math>\text{A}\beta</math></b>	amyloid $\beta$ -protein
<b>CHO</b>	Chinese Hamster Ovary
<b>CP-AMPA</b>	calcium-permeable AMPAR
<b>CSF</b>	cerebrospinal fluid
<b>E/I</b>	excitation/inhibition
<b>fEPSP</b>	field excitatory postsynaptic potential
<b>GSK3</b>	glycogen synthase kinase-3
<b>HFS</b>	high-frequency stimulation
<b>ICV</b>	intracerebroventricular
<b><math>\text{IFN}\gamma</math></b>	interferon- $\gamma$
<b>IL-1</b>	interleukin-1
<b>LFS</b>	low-frequency stimulation
<b>LTD</b>	long-term depression
<b>LTP</b>	long-term potentiation
<b>MCI</b>	mild cognitive impairment

<b>mGluRs</b>	metabotropic glutamate receptors
<b>oA<math>\beta</math></b>	soluble A $\beta$ oligomers
<b>PBS</b>	phosphate-buffered saline
<b>PP2B</b>	protein phosphatase 2B
<b>PPF</b>	paired-pulse facilitation
<b>PrP<sup>C</sup></b>	Cellular prion protein
<b>PTP</b>	post-tetanic potentiation
<b>rTMS</b>	repetitive transcranial magnetic stimulation
<b>SEC</b>	size exclusion chromatography
<b>STP</b>	Short-term plasticity
<b>TBS</b>	Tris-buffered saline
<b>tDCS</b>	transcranial direct current stimulation
<b>TNF<math>\alpha</math></b>	tumor necrosis factor-alpha

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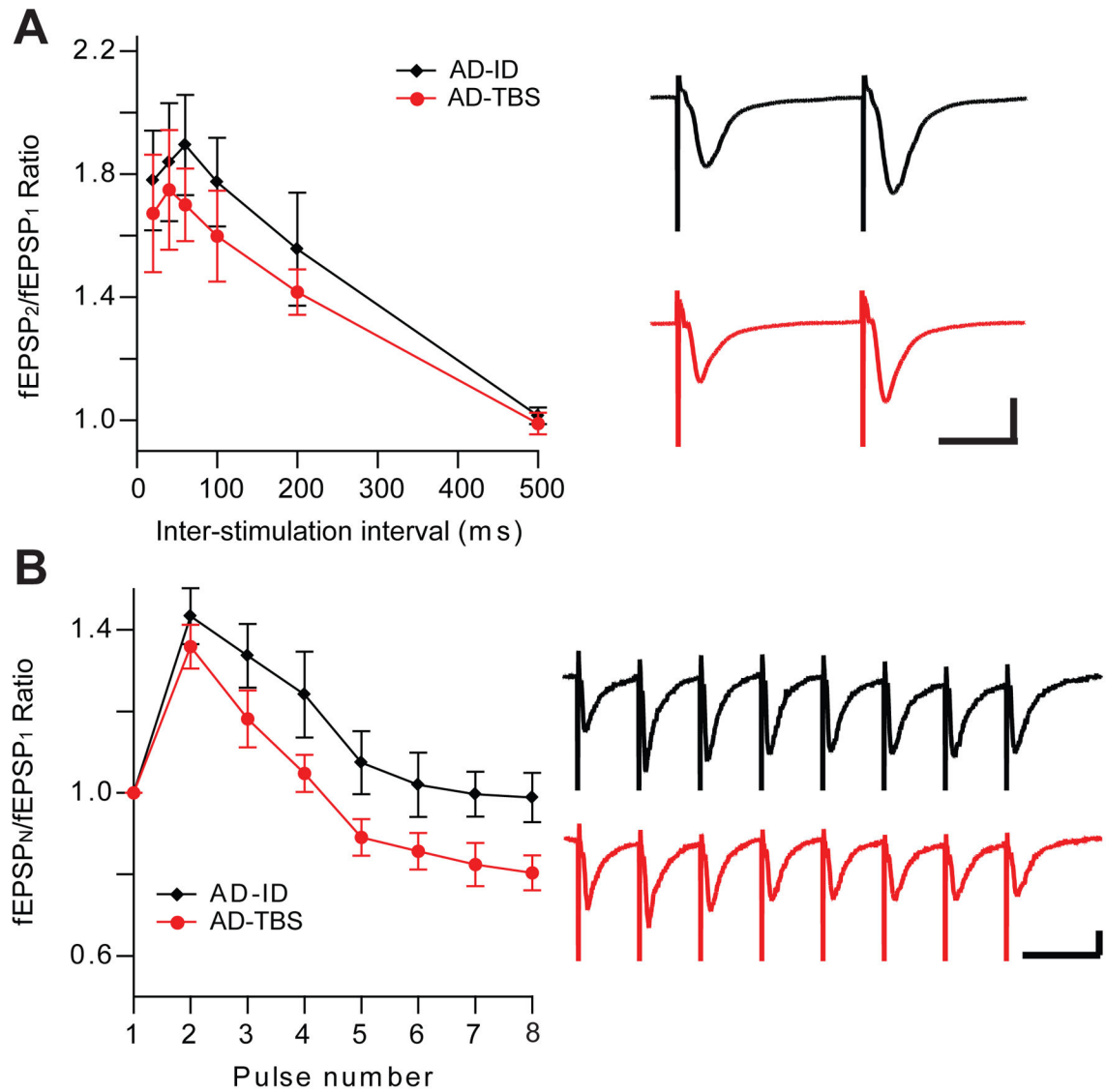
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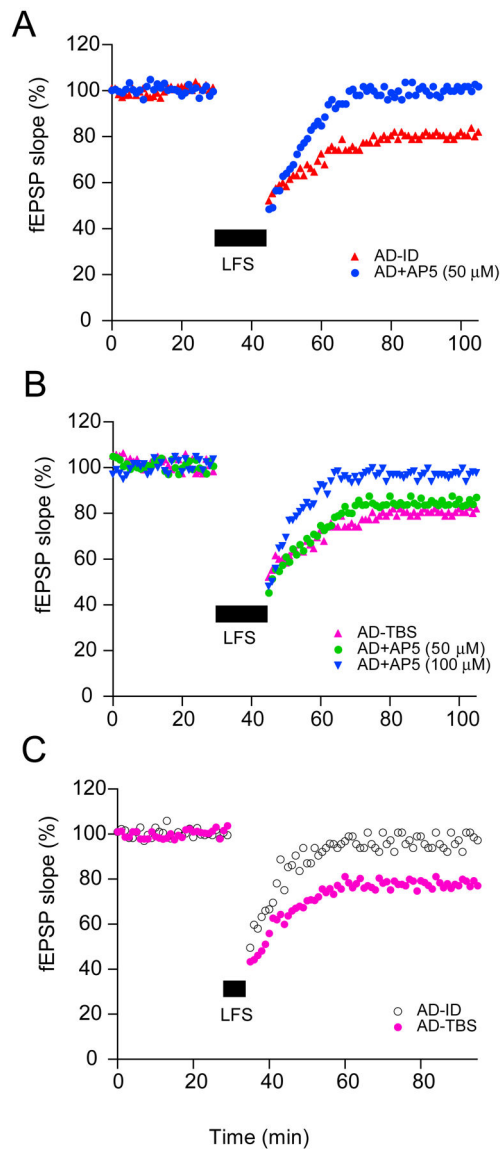
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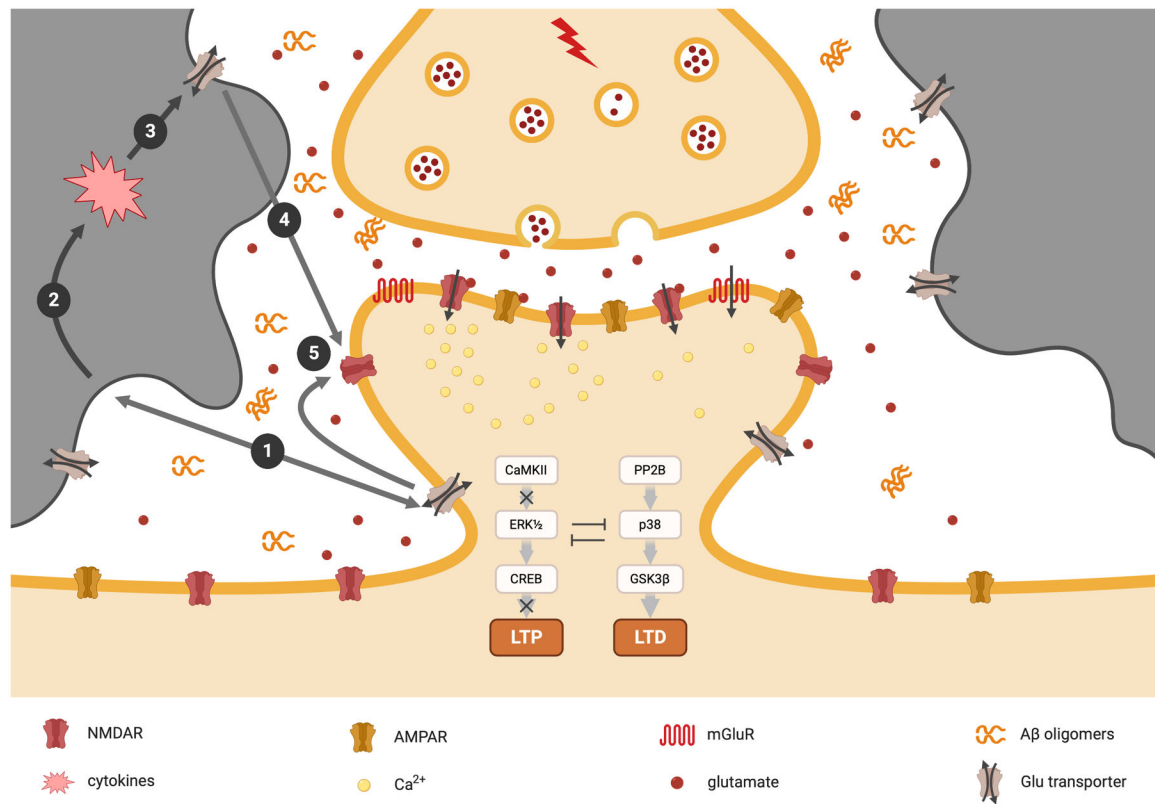


**Figure 1. Human (AD) brain-derived A $\beta$  oligomers impair short-term plasticity.**

(A) AD brain oA $\beta$  effect on the paired-pulse facilitation (PPF), Horizontal bars: 20 ms; vertical bars: 0.5 mV; (B) AD brain oA $\beta$  effect on the burst stimulation form of short-term plasticity, Horizontal bars: 40 ms; vertical bars: 0.25 mV



**Figure 2. Soluble A $\beta$  facilitates long-term depression in the CA1 region of hippocampal slices.** (A) The LTD induced by standard 900-pulse (1 Hz, 15 min, black bar) in hippocampal slices after control (A $\beta$ -immunodepleted AD extracts) treatment (red triangles) was blocked by co-administering the NMDAR antagonist, AP5 (50  $\mu$ M, blue circles). (B) The 900-pulse LTD in AD brain extracts-treated slices (pink triangles) was not blocked upon coprefusing AP5 (50  $\mu$ M, green circles), whereas the LTD in AD brain extracts-treated slices was blocked by treatment with D-AP5 at 100  $\mu$ M (blue triangles). (C) A train of 300 single pulses at 1 Hz (5 min; small black bar) did not induce LTD in acute mouse hippocampal slices in the presence of (A $\beta$ -immunodepleted AD extracts (open circles) but induced a significant LTD in the presence of AD brain extract (solid pink circles).



**Figure 3. Schematic hypothesis of how Aβ may interrupt synaptic plasticity based on current evidence reviewed herein.**

When oAβ increases or is exogenously applied to the culture medium, brain slices, or intact brain, the hydrophobic oligomers will likely stick on the neuronal or glial lipid membrane surface ①, therefore interrupting its normal functions, stimulating glial cells to release cytokines and associated inflammatory mediators ②, reducing astrocytic and/or neuronal glutamate reuptake ③; therefore increasing the extracellular glutamate concentration ④; the high concentrations of extracellular glutamates further activate the extrasynaptic GluN2B-containing NMDA receptors ⑤; therefore inducing LTP impairment and LTD enhancement and other neuronal harmful effects.