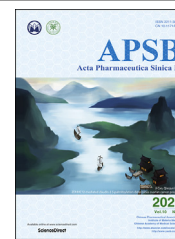




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ORIGINAL ARTICLE

# Rational drug design, synthesis, and biological evaluation of novel chiral tetrahydronaphthalene-fused spirooxindole as MDM2-CDK4 dual inhibitor against glioblastoma



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## KEY WORDS

Chiral  
tetrahydronaphthalene-fused spirooxindoles;  
Synthesis;  
MDM2-CDK4 dual inhibitors;  
Glioblastoma;  
Structure–activity

**Abstract** Simultaneous inhibition of MDM2 and CDK4 may be an effective treatment against glioblastoma. A collection of chiral spirocyclic tetrahydronaphthalene (THN)-oxindole hybrids for this purpose have been developed. Appropriate stereochemistry in THN-fused spirooxindole compounds is key to their inhibitory activity: selectivity differed by over 40-fold between the least and most potent stereoisomers in time-resolved FRET and KINOMEscan<sup>®</sup> *in vitro* assays. Studies in glioblastoma cell lines showed that the most active compound **ent-4g** induced apoptosis and cell cycle arrest by interfering with MDM2–P53 interaction and CDK4 activation. Cells treated with **ent-4g** showed up-regulation of proteins involved in P53 and cell cycle pathways. The compound showed good anti-tumor efficacy against glioblastoma xenografts in mice. These results suggested that rational design, asymmetric synthesis and

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relationship;  
Apoptosis;  
Cell cycle arrest

biological evaluation of novel tetrahydronaphthalene fused spirooxindoles could generate promising MDM2-CDK4 dual inhibitors in glioblastoma therapy.

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## 1. Introduction

Glioblastoma is a malignant disease associated with poor prognosis, with few treatment possibilities. The disease involves deregulation of P53 and cell cycle signalling pathways<sup>1–4</sup>. Our analysis of genomic alterations in glioblastoma according to data in the Cancer Genome Atlas (TCGA) identified the q13–15 region of chromosome 12 as one of the regions that most often rearranged in the disease (Fig. 1A and B)<sup>5–7</sup>. This region encodes the P53-interactor murine double minute 2 protein (MDM2) and cyclin-dependent kinase 4 (CDK4). We also verified both genes to be significantly overexpressed at the mRNA and protein levels in patients with glioblastoma, regardless of P53 mutation status (Fig. 1C–E).

Extensive efforts have already been made to develop small molecules that can disrupt the interaction between MDM2 and P53 in order to unleash the latter's anti-tumor activity. A diverse array of privileged scaffolds has been discovered, including derivatives of imidazoline, piperidinone, benzodiazepine, chromenotriazolopyrimidine, terphenyl, isoindolinone and pyrrolidine<sup>8–20</sup>. Some of these derivatives have advanced to clinical trials for the treatment of breast cancer, leukemia, lymphoma and glioblastoma. Spirocyclic oxindoles have recently been patented as a newly identified type of P53–MDM2 inhibitor (Fig. 2A)<sup>21–26</sup>. While N-, O- and S-containing heterocyclic substitutions have been extensively explored to generate novel C3-spirooxindole inhibitors of P53–MDM2 interaction, the investigation of all-carbocycle modifications at the C3 position as potent MDM2 inhibitors are underdeveloped.

CDK4, one of the main controllers of cell cycle entry, is substantially overexpressed in glioblastoma, breast and ovarian cancers, making it an attractive therapeutic target<sup>27–29</sup>. Some recent efforts have generated promising leads by targeting compounds to allosteric binding sites in CDKs<sup>30–33</sup>. The allosteric pocket varies among CDKs, in contrast to the highly conserved ATP-binding site. Planar naphthalene derivatives can dock well into the narrow allosteric binding site of CDK4, making them a privileged scaffold for generating subtype selective inhibitors (Fig. 2B)<sup>34,35</sup>.

Analysis of CDK expression and mutations in glioblastoma samples in TCGA database indicates that CDK4 is the most often overexpressed CDKs in the disease, and it is overexpressed in over half of patients with glioblastoma associated with mutations in P53 (Fig. 1D). These results suggest that simultaneous inhibition of both MDM2 and CDK4 may be effective against glioblastoma<sup>36–39</sup>.

Moreover, the co-amplification of MDM2 and CDK4 has been reported in several type of cancers including sarcoma, glioblastoma, bladder cancer, gastric cancer, etc.<sup>40–50</sup> Although the simple combination therapy of MDM2 and CDK4 inhibitors in preclinical experiments were reported recently, the two independent reports demonstrated the paradoxical results in sarcoma<sup>51,52</sup>.

In addition, Klein et al.<sup>53</sup> reported palbociclib-induced senescence resulted MDM2 downregulation in cancer cells. These results indicated that the regulation mechanisms between MDM2 and CDK4 may be more complicated than previously thought.

Therefore, we aimed to develop scaffolds for dual inhibitors of both proteins that could avoid resistance due to P53 mutation and that could bind CDK4 selectively to avoid off-target effects. After analysing the binding modes of known MDM2 inhibitors and CDK4 inhibitors, we speculated that fusion of the planar tetrahydronaphthalene (THN) ring at the C3-position of oxindole might generate a scaffold that could bind at the P53-binding site in MDM2 as well as at the allosteric site in CDK4. We started with THN<sup>54–61</sup> and spirooxindole derivatives<sup>62–72</sup> because they are privileged drug-like architectures, so the resulting THN-fused C3-spirooxindoles should possess good druglikeness (Fig. 2C).

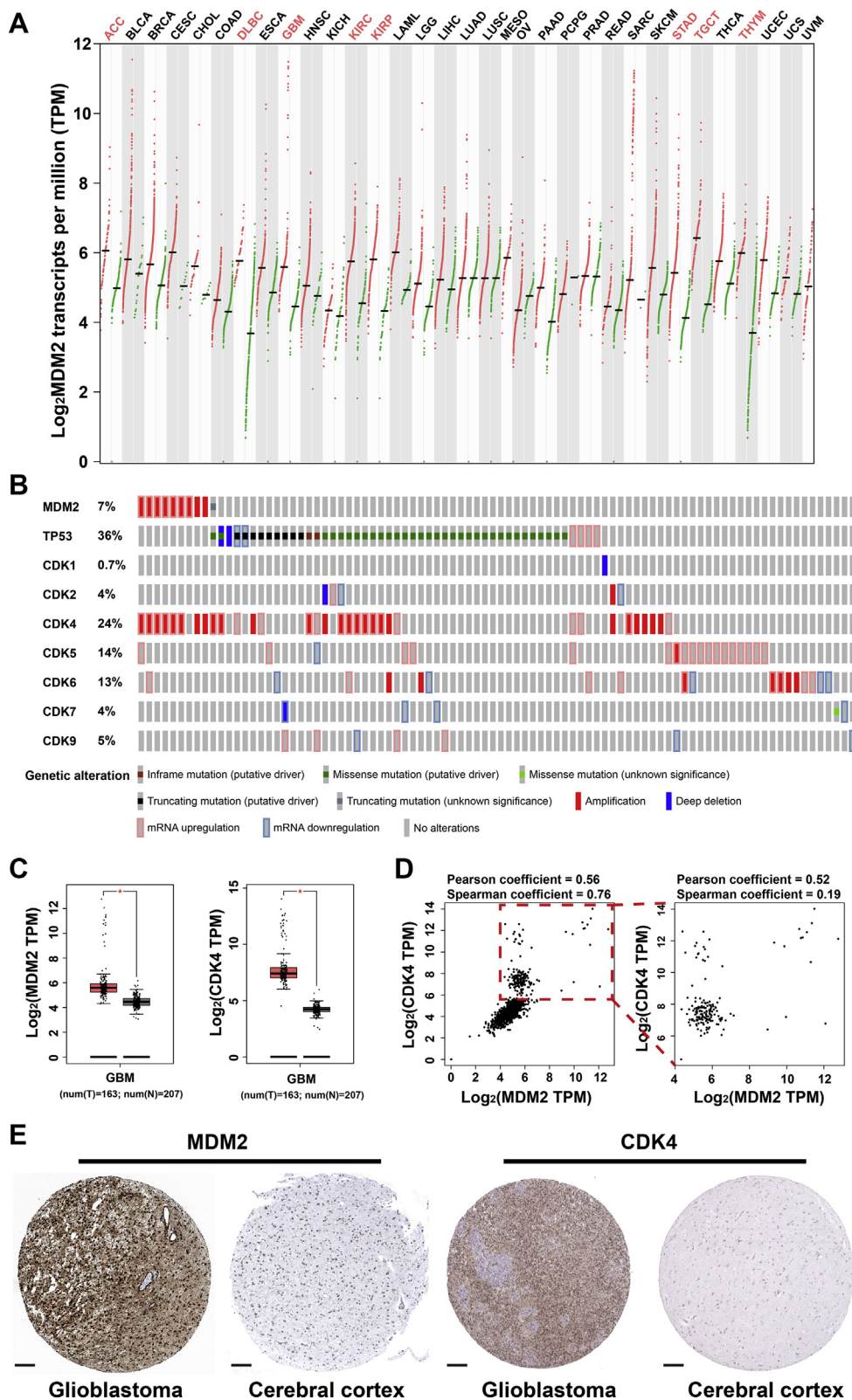
Here we rationally designed and asymmetrically synthesized a series of chiral THN-spirooxindole-based MDM2/CDK4 dual inhibitors, which showed promising anti-glioblastoma activity *in vitro* and *in vivo*. In particular, compound **ent-4g** displayed good CDK selectivity: it showed nanomolar IC<sub>50</sub> against CDK4, micromolar IC<sub>50</sub> against CDK2, and no appreciable inhibition of other CDKs or kinases. The novel compound inhibited proliferation and induced apoptosis in glioblastoma cell lines expressing wild-type or mutated P53. The novel compound inhibited the growth of glioblastoma xenografts expressing mutant P53 better than the MDM2 inhibitor nutlin-3a alone or together with palbociclib.

## 2. Results and discussion

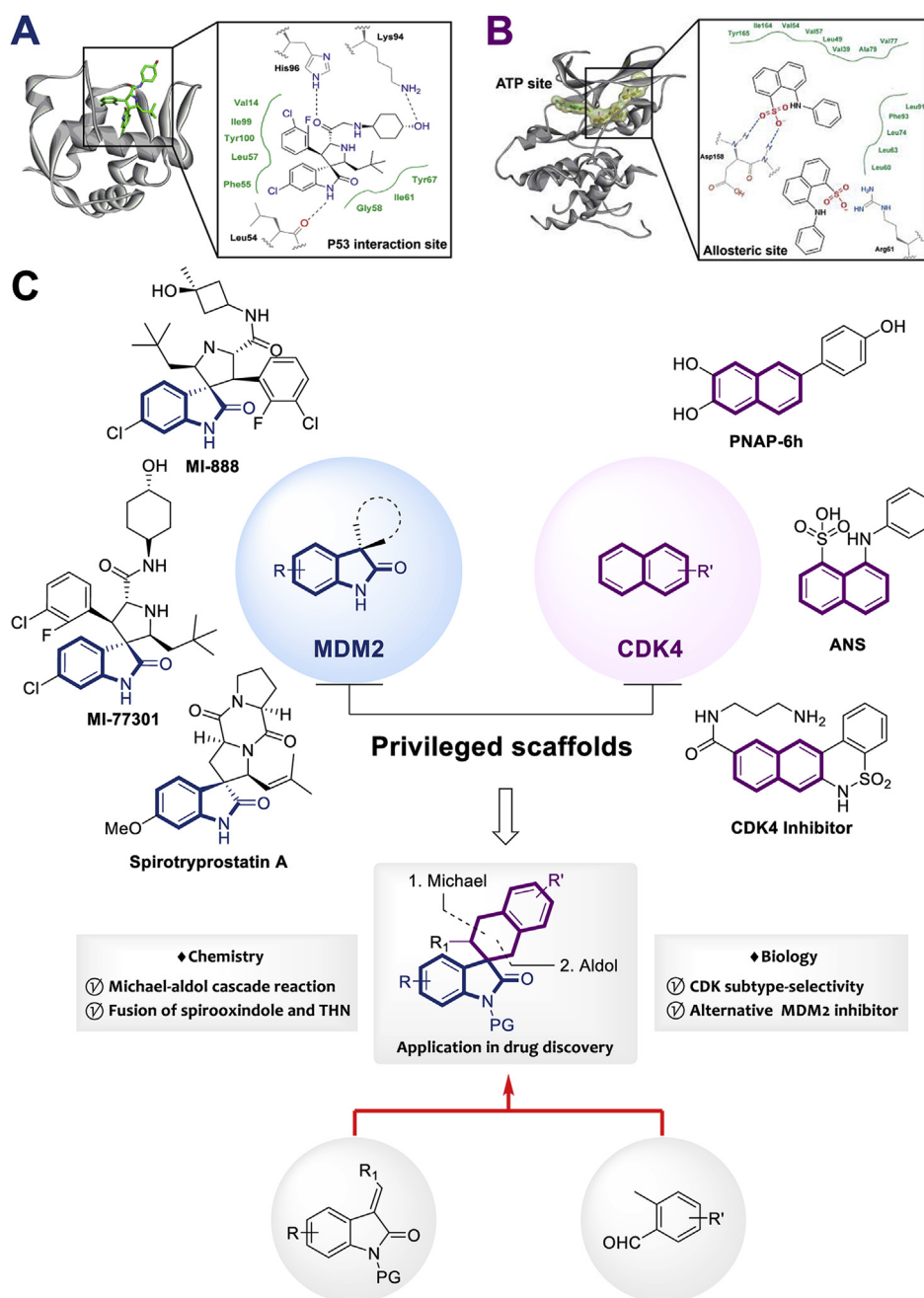
### 2.1. Rational design and synthesis of chiral THN-fused spirooxindoles as dual inhibitors of MDM2 and CDK4

In spirocyclic oxindole-based MDM2 inhibitors, the oxindole fragment occupies the Trp23-containing cleft of P53, and appropriate stereochemistry is critical for good binding affinity<sup>73,74</sup>. Therefore, we focused on asymmetric synthesis of optically pure C3-spirooxindoles<sup>75–78</sup>. We started from hydronaphthalene<sup>79–84</sup> and spirooxindole<sup>10,22,23,25,65</sup> because they are privileged frameworks occurring in many anti-tumor natural products and pharmaceuticals. Combination of privileged frameworks can facilitate molecular diversity and discovery of lead compounds<sup>85,86</sup>.

We knew that the spirocyclic oxindole inhibitor would have to fit within the flat, narrow allosteric pocket of CDK4. Preliminary docking studies and integrative molecular simulations suggested that an inhibitor bearing a planar THN would bind well to CDK4 and MDM2. In the CDK4 allosteric site, the scaffold could interact with surrounding hydrophobic residues and residues in the DFG-loop, avoiding interactions with the highly conserved ATP-binding site that might reduce selectivity for CDK4<sup>87</sup>. At the P53-binding site in MDM2, the THN-fused C3-spirooxindole could form hydrogen bonds and hydrophobic interactions mimicking



**Figure 1** The mRNA and protein expression levels of MDM2 and CDK4 in various type of cancers. (A) Transcripts per million reads of MDM2 in every type of cancers retrieved from GEPIA database. (B) The differential expression and mutation status of MDM2, TP53 and CDKs in glioblastoma cohort retrieved from cBioportal and TCGA database. (C) The boxplot of MDM2 and CDK4 expression in glioblastoma cohort retrieved from TCGA database ( $*P < 0.05$ ). (D) The expression correlation of MDM2 and CDK4 in both tumor and normal samples from TCGA and GTEx database (left panel) and only tumor samples in TCGA database (right panel). (E) The protein expression levels of MDM2 and CDK4 determined by IHC in glioblastoma and normal cerebral cortex tissues, retrieved from ProteinAtlas database, scale bar: 200  $\mu$ m.



**Figure 2** (A) Typical model of how the C3-spirooxindole framework interacts with MDM2. (B) Naphthalene derivatives are an effective framework for CDK4 inhibitors. (C) The combination of C3-spirooxindole and tetrahydronaphthalene (THN) may generate a novel dual-inhibitor of MDM2 and CDK4.

Phe19, Trp23 and Leu25 of P53. In fact, introducing a hydrogen bond acceptor and electron-withdrawing group (EWG) onto the THN would allow formation of a hydrogen bond with Thr16, which could strengthen MDM2 binding.

Hence, we used the 3-ylideneoxindoles<sup>88–90</sup> (**1** and **2**) and 2-methyl-3,5-dinitrobenzaldehyde (**3a**) as substrates, to prepare the THN-fused spirooxindole derivatives<sup>91</sup> **int-4** and **int-4'** through Michael-aldol cascade reaction, promoted by the bifunctional hydrogen-bonding catalyst (1*R*,2*R*-catalyst). Next, the protecting groups of **int-4** and **int-4'** were removed to afford the compounds **4** and the diastereoisomer **4'** (Scheme 1). The screening of reaction conditions, synthetic methods and detailed data of **int-4**, **int-**

**4'**, **4** and **4'** are contained in the Supporting Information. To explain the diastereodivergence of the organocatalytic Michael-aldol cascade, we also proposed plausible transition-state models based on the observed stereochemistry of the products (Supporting Information Scheme S4).

## 2.2. Structure–activity relationships in chiral THN-fused spirooxindoles based on cytotoxicity and enzymatic inhibition assays

We assessed the ability of **4a–4p** and **4a'–4p'** to inhibit MDM2 and CDK4 using time-resolved fluorescence resonance

energy transfer (TR-FRET). As positive control drugs, we used the MDM2 inhibitor nutlin-3a<sup>92</sup> and the CDK4/6 inhibitor palbociclib<sup>93</sup>. The inhibition rates for each compound at 1.0  $\mu\text{mol/L}$  were determined (Table 1). At concentrations below 1.0  $\mu\text{mol/L}$ , the inhibition caused by nutlin-3a, **4a–4c** and **4i–4p** dropped from about 40% to 20%, while palbociclib, **4d** and **4g** still showed inhibition of 40%–60%. The  $\text{IC}_{50}$  values of most active compounds **4a–4j** were also measured (Fig. 3A and Supporting Information Table S1). Compounds **4a–4p** worked better than compounds **4a'–4p'** at inhibiting the activity of MDM2 and CDK4 as well as the proliferation of glioblastoma cell lines. Among the more active compounds **4a–4j**, derivatives **4d** and **4g** with a halogen at the 5-position of the oxindole showed the greatest MDM2 inhibition and cytotoxicity. Although **4d** and **4g** inhibited MDM2 and CDK4 less than nutlin-3a and palbociclib, all compounds showed similar cytotoxicity against the tested glioblastoma cell lines based on the MTT assay. At high concentrations, all compounds showed good inhibition of two cell lines expressing mutated P53 (T98G and U251) and one cell line expressing wild-type P53 (U87MG, Fig. 3B, C). It was notable that the cell proliferation inhibitory potencies of compounds **4d–4h** in U87MG cells were better than that of T98G and U251 cells, which suggested that only the activation of wild-type P53 should suppress the glioblastoma cell proliferation.

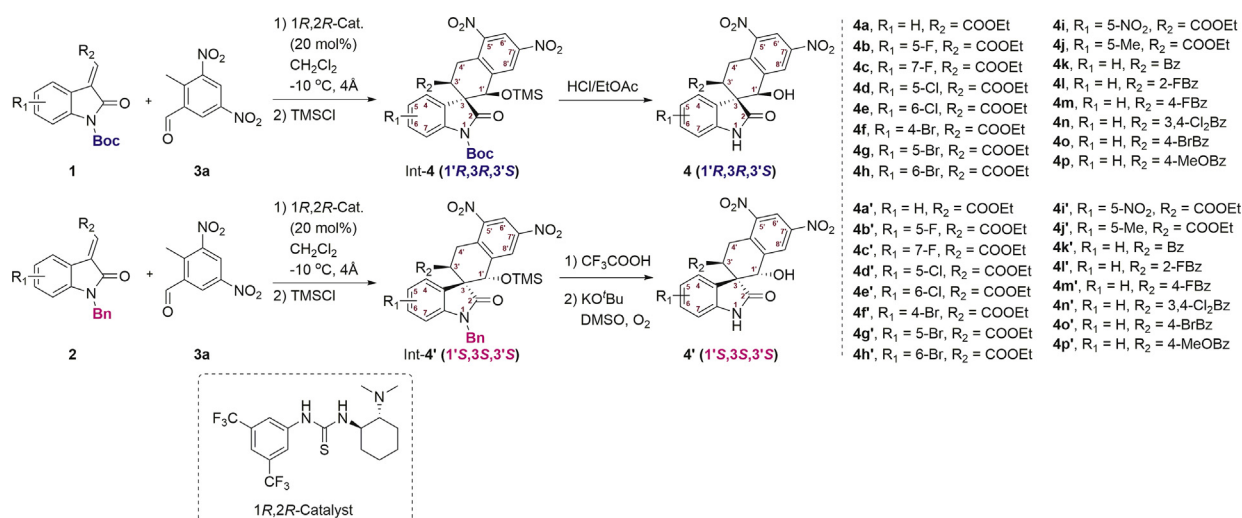
Focusing on **4d** and **4g** as the most active compounds in these bioactivity screens, we explored their structure–activity relationships and bioactive mechanisms. According to the above methodology (Scheme 1), the corresponding enantiomers *ent*-**4d**/*ent*-**4g** and *ent*-**4d'**/*ent*-**4g'** were synthesized using the 1*S*,2*S*-catalyst, and four of the eight possible stereoisomers for **4d** and **4g** were obtained with high stereoselectivities (Scheme 2). Selected isomers of these compounds were serially diluted from 50  $\mu\text{mol/L}$  to 5  $\text{nmol/L}$  and tested against MDM2 and CDK4 in TR-FRET assays (Supporting Information Fig. S1). We also tested isomers against glioblastoma cell lines expressing wild-type P53 (U87MG) or mutated P53 (U251, Table 2). The isomers *ent*-**4d** and *ent*-**4g** inhibited growth of U87MG cells to a greater extent than nutlin-3a or palbociclib, and they inhibited growth of U251 cells better than palbociclib. The strong cytotoxicity of *ent*-**4g** against glioblastoma cells expressing mutated

P53 is consistent with its low  $\text{IC}_{50}$  values against MDM2 and CDK4. Compound *ent*-**4g** was chosen for further bioassays and mechanistic studies.

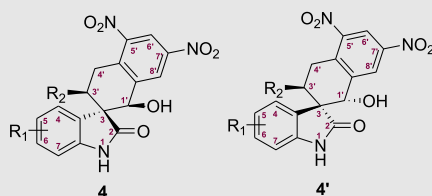
The KINOMEScan<sup>®</sup> method was used to determine the kinase selectivity of *ent*-**4g** against a panel of 99 kinases in parallel (Fig. 3D and Supporting Information Table S2)<sup>94</sup>. The compound caused negligible or minimal inhibition to most kinases other than CDK4-cyclinD1, CDK4 and CDK2. In the case of CDK2, 4% of control protein remained after competitive binding of 100  $\text{nmol/L}$  *ent*-**4g** (0.8% to CDK4-cyclinD1 and 2.2% to CDK4), which is probably because CDK2 possesses 66% of sequence identities to CDK4. These results suggest that *ent*-**4g** can be regarded as a specific MDM2/CDK4 inhibitor.

### 2.3. Structural basis of **4g** isomer binding to MDM2 and CDK4

Molecular docking and dynamics studies were conducted to gain potential insights into how **4g/4g'** and *ent*-**4g/ent**-**4g'** bind to MDM2 and CDK4 (Supporting Information Fig. S3). Molecular simulations were conducted for 100 ns, and binding free energies were calculated using the MM/GBSA method (Supporting Information Table S3)<sup>95</sup>. As references, we examined the co-crystal structure of MDM2 with SAR405838 (PDB ID: 5TRF)<sup>96</sup> and a homology model of CDK4 complexed with the allosteric inhibitor 8-anilino-1-naphthalene sulfonate (ANS), based on the crystal structure of CDK2 with ANS (PDB ID: homology model generated from 3PXZ)<sup>97</sup>. Fig. 3E reveals differences in how **4g/4g'** and *ent*-**4g/ent**-**4g'** are predicted to bind to their target sites. Binding conformation differed substantially between **4g/4g'** and *ent*-**4g/ent**-**4g'**; during the dynamic's simulation, **4g** moved to another ANS binding site, **4g'** moved to the ATP binding site and *ent*-**4g'** moved to the hydrophobic pocket. Fig. 3F compares how *ent*-**4g** is predicted to bind to the target sites with how SAR405838 and ANS bind. These analyses suggest that *ent*-**4g** mimics P53 residues Phe19, Trp23 and Leu25 in interacting with MDM2, and that the compound forms a stable hydrogen bond with MDM2 residue Thr16 (Fig. 3G), which has never been reported before. In our simulations, compound *ent*-**4g** formed hydrophobic interactions with a pocket formed by Val57, Gly160, Leu161 and Ile164, maintaining the DFG-loop in an “out” conformation<sup>98–100</sup>. Binding



Scheme 1 Preparation of **4** and **4'** for bioactivity screening. Int = intermediate.

**Table 1** *In vitro* biological evaluation of compounds **4a–4p** and **4a'–4p'**<sup>a</sup>.

Compd.	R <sub>1</sub>	R <sub>2</sub>	Inhibition rate at 1.0 μmol/L (%; <b>4/4'</b> )		Anti-proliferative activity (IC <sub>50</sub> , μmol/L, <b>4/4'</b> )		
			MDM2	CDK4	U87MG	U251	T98G
<b>4a/4a'</b>	H	COOEt	71.6 ± 3.8/47.8 ± 7.3	42.7 ± 4.3/26.9 ± 6.2	25.3 ± 2.7/64.7 ± 10.9	43.6 ± 5.5/>50	62.4 ± 7.9/>50
<b>4b/4b'</b>	5-F	COOEt	81.4 ± 10.2/55.6 ± 10.6	53.9 ± 8.3/32.6 ± 5.1	25.7 ± 1.4/>50	32.6 ± 3.5/>50	49.0 ± 6.3/>50
<b>4c/4c'</b>	7-F	COOEt	76.5 ± 13.7/45.3 ± 6.4	52.6 ± 7.7/32.6 ± 5.1	19.4 ± 2.3/59.9 ± 9.8	34.3 ± 4.0/>50	51.6 ± 6.2/>50
<b>4d/4d'</b>	5-Cl	COOEt	82.6 ± 8.4/54.2 ± 6.7	70.2 ± 7.8/45.2 ± 3.5	8.3 ± 1.5/60.4 ± 4.8	17.8 ± 3.2/>50	21.6 ± 4.5/>50
<b>4e/4e'</b>	6-Cl	COOEt	76.4 ± 6.0/48.3 ± 5.1	61.2 ± 8.2/38.6 ± 2.9	19.2 ± 4.4/>50	28.6 ± 2.9/>50	22.5 ± 4.2/>50
<b>4f/4f'</b>	4-Br	COOEt	66.9 ± 9.1/54.8 ± 7.2	45.6 ± 4.2/29.4 ± 3.6	20.7 ± 3.5/69.8 ± 6.2	25.8 ± 4.2/>50	27.2 ± 3.0/>50
<b>4g/4g'</b>	5-Br	COOEt	92.3 ± 11.2/51.9 ± 6.5	76.3 ± 8.7/34.1 ± 2.9	4.9 ± 0.5/>50	8.6 ± 0.6/>50	9.5 ± 0.7/>50
<b>4h/4h'</b>	6-Br	COOEt	76.7 ± 5.2/61.2 ± 5.2	59.0 ± 5.7/32.9 ± 2.4	20.4 ± 3.3/47.6 ± 5.7	18.3 ± 1.5/>50	14.4 ± 2.8/>50
<b>4i/4i'</b>	5-NO <sub>2</sub>	COOEt	47.7 ± 4.1/39.3 ± 2.6	42.8 ± 5.4/37.9 ± 5.7	25.6 ± 2.8/47.9 ± 8.8	36.2 ± 4.6/>50	41.2 ± 6.1/>50
<b>4j/4j'</b>	5-Me	COOEt	64.4 ± 7.2/49.2 ± 4.7	67.8 ± 6.9/27.1 ± 1.5	31.1 ± 1.76/>50	38.9 ± 4.5/>50	39.3 ± 3.6/>50
<b>4k/4k'</b>	H	Bz	46.4 ± 4.9/35.4 ± 2.4	39.5 ± 0.83/30.8 ± 5.1	38.73 ± 6.5/>50	53.8 ± 9.0/>50	43.7 ± 6.6/>50
<b>4l/4l'</b>	H	2-FBz	47.2 ± 5.71/31.6 ± 2.4	38.9 ± 6.4/29.3 ± 2.7	32.5 ± 4.7/>50	38.7 ± 6.1/>50	43.6 ± 4.8/>50
<b>4m/4m'</b>	H	4-FBz	44.6 ± 3.7/36.2 ± 2.5	48.2 ± 4.3/23.2 ± 3.0	47.2 ± 3.8/>50	39.5 ± 3.8/>50	37.1 ± 3.2/>50
<b>4n/4n'</b>	H	3,4-Cl <sub>2</sub> Bz	38.4 ± 2.7/36.3 ± 5.2	35.6 ± 3.9/18.5 ± 2.1	34.9 ± 2.62/>50	25.9 ± 3.5/>50	32.5 ± 2.9/>50
<b>4o/4o'</b>	H	4-BrBz	47.1 ± 5.8/29.0 ± 3.5	29.5 ± 2.3/18.6 ± 0.9	41.6 ± 4.3/>50	44.2 ± 4.6/>50	45.7 ± 6.7/>50
<b>4p/4p'</b>	H	4-MeOBz	35.7 ± 4.9/26.9 ± 1.1	39.3 ± 5.2/18.4 ± 2.2	39.9 ± 4.2/>50	43.2 ± 5.9/>50	45.9 ± 8.1/>50
Nutlin-3a	–	–	86.9 ± 9.3	N.D.	25.3 ± 2.5	>50	>50
Palbociclib	–	–	N.D.	95.9 ± 6.4	26.4 ± 3.8	18.9 ± 2.4	29.5 ± 4.5

<sup>a</sup>Each compound was tested in triplicate; data are mean ± SD (*n* = 3). The IC<sub>50</sub> value of anti-proliferation assays was obtained after 24-h incubation. –Not applicable.

of **ent-4g** to the CDK4 allosteric pocket is predicted to depend on  $\pi$ – $\pi$  stacking between the oxindole ring of **ent-4g** and Phe93, as well as electrostatic interactions between the nitro group of **ent-4g** and Arg61 (Fig. 3F and G)<sup>101</sup>.

The contributions of single amino acid residues in MDM2 substrate binding pocket were decomposed by using a computational alanine-scanning which was dependent on the assumption that local changes of the protein do not influence the whole conformation of the complex significantly. The 14 residues covering the walls of MDM2 substrate binding pocket were alternatively mutated to alanine from the simulation trajectory of the wild-type MDM2–inhibitor complex and results were shown in Fig. S3. As was expected, the mutation of key binding residues resulted significant increase of binding free energies, which suggested the disrupted inhibitor–residue interactions. The highest binding free energy changes were the mutation of Leu54 to alanine in both **ent-4g** and SAR405838 complexed to MDM2, the Thr16 in **ent-4g** complex and Lys94 in SAR405838 complex were also stronger than the other residues (>4.0 kcal/mol). The computational alanine scanning results also confirmed that binding modes of **ent-4g** suggested by molecular docking and MD simulation.

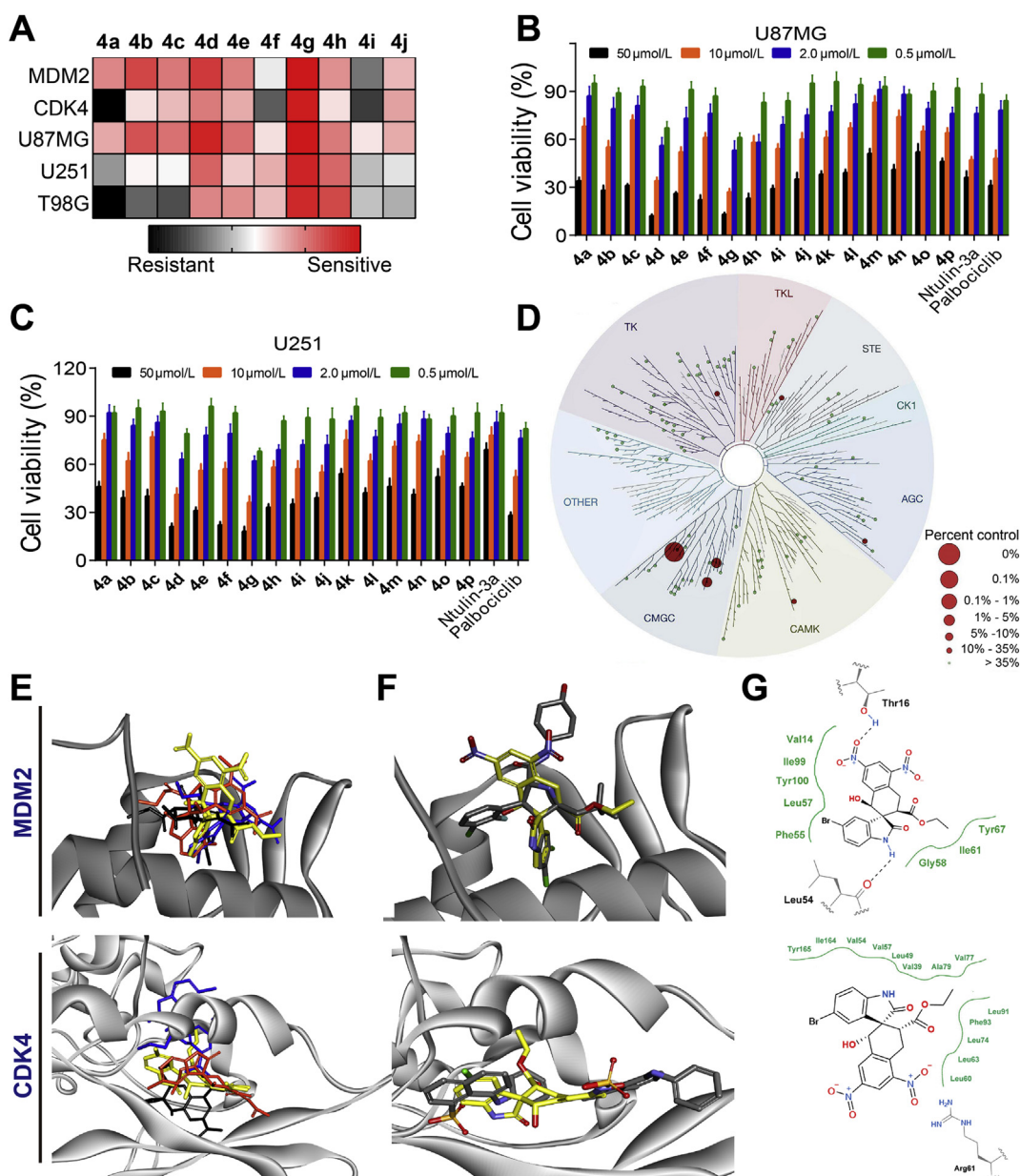
#### 2.4. **Ent-4g** inhibits U251 glioblastoma cell proliferation by altering cell cycle progression and P53 signalling

To further elucidate the molecular mechanism of **ent-4g**, U251 glioblastoma cells were incubated with the compound, and then

changes in gene expression were analysed globally using an Illumina HiSeq4000 platform (Novogene Co., Ltd., Beijing, China, Fig. 4A and Supporting Information Fig. S4)<sup>102</sup>. Enrichment analysis using integrated GO<sup>103</sup>, KEGG<sup>104</sup> and Biocarta<sup>105</sup> revealed significant alteration in the cell cycle and P53 signalling pathways, as shown in the KEGG pathway enrichment results (Fig. 4B). To identify the subroutine of programmed cell death induced by **ent-4g**, we treated the two glioblastoma cell lines with the compound, then assessed their cell cycle distribution *via* propidium iodide staining with flow cytometry, as well as apoptotic levels using Annexin V-FITC/PI dual staining (Keygen, Nanjing, China). The compound induced significant apoptosis and cell cycle arrest in G1 phase in both cell lines (Fig. 4C and D). In addition, **ent-4g** increased the proportion of glioblastoma cells showing hyper-condensed, apoptotic nuclei based on Hoechst 33,342 staining (Beyotime, Shanghai, China, Supporting Information Fig. S5).

The compound treatment triggered an increase in MDM2, P53 and P21 levels (Fig. 4E and F). Like palbociclib, **ent-4g** inhibited autophosphorylation of CDK4 and phosphorylation of retinoblastoma (RB) in U251 cells (Fig. 4E). In fact, the compound stimulated BAX to a greater extent than nutlin-3a did, and it activated more cleavage of caspase-3 than palbociclib did.

To complement these *in vitro* assays, we treated U251 glioblastoma xenografts in mice with **ent-4g**. Animals were analysed at 21 days after oral administration of **ent-4g**, nutlin-3a or palbociclib (Fig. 5A–C). All treatments potently inhibited tumor

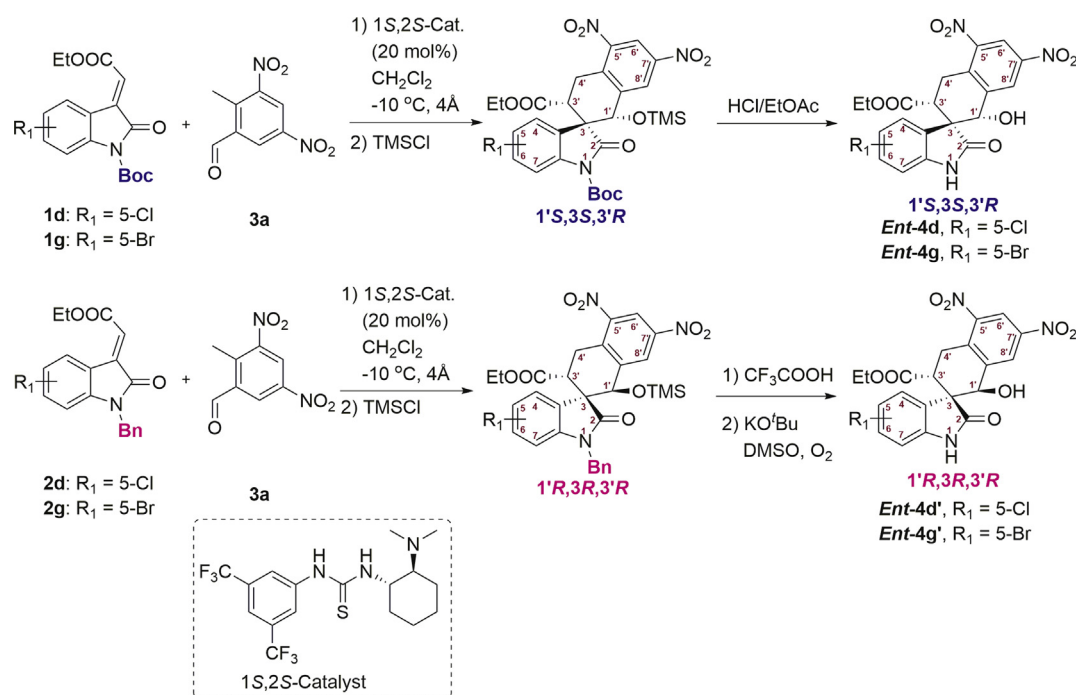


**Figure 3** Inhibitory activity of **4a–4p**. (A)  $IC_{50}$  values against MDM2 and CDK4 in TR-FRET assays. Values are mean  $\pm$  SD ( $n = 3$ ). Cytotoxicity against glioblastoma cell lines U87MG (B) and U251 (C) based on the MTT method. (D) Selectivity of **ent-4g** as a kinase inhibitor against a panel of 99 kinases at 100 nmol/L. The size of colored circles reflects relative inhibitory potency of **ent-4g** to corresponding protein. (E) The superposition of average conformations of compound **4g** (black), **4g'** (blue), **ent-4g** (yellow) and **ent-4g'** (orange) to the P53-binding site in MDM2 and the allosteric site in CDK4. Analysis of the average conformations of compound **4g/4g'** and **ent-4g/ent-4g'** binding to MDM2 and CDK4 after 100-ns molecular dynamics simulations. (F) Comparison of the average conformation of compound **ent-4g** (yellow) with the experimental conformation of SAR405838 (grey) bound to MDM2 or of ANS (grey) bound to CDK4. (G) Schematic depiction of the potential interaction modes of compound **ent-4g** in the P53-binding in MDM2 and allosteric site in CDK4.

growth, with **ent-4g** showing significantly greater effects than the reference drugs. This anti-tumor activity was associated with the up-regulated expression of MDM2, P53 and P21, as well as phosphorylation inhibition of CDK4 and RB (Fig. 5D and E). Treatment with **ent-4g** was also associated with significantly reduced Ki-67, which serves as a proliferation marker with prognostic and predictive potential in glioblastoma, and a significantly higher number of TUNEL-positive apoptotic nuclei. Despite these anti-tumor effects of **ent-4g**, hematoxylin and eosin

staining of tissue sections from main organs after treatment indicated no severe toxic effects (Supporting Information Fig. S6).

Moreover, compound **ent-4g** displayed good stability in human liver microsomes assay, with over 90% of **ent-4g** remained after 10 min incubation of 1 mg/mL proteins at 37 °C, and its half-life period in human liver microsomes assay was 46.5 min. The tumor and plasma concentrations of compound **ent-4g** in mice xenograft models were measured after four daily dosage of i.p. administra-



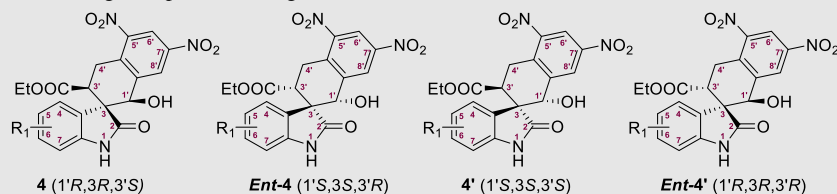
**Scheme 2** The preparation of compounds *ent-4d*, *ent-4g*, *ent-4d'*, and *ent-4g'*. Ent = enantiomer.

tion (30 mg/kg per day). The results in [Supporting Information Fig. S7](#) reveal the enhanced tumor exposure of *ent-4g* compared to plasma. The low plasma concentration of *ent-4g* (lower than 100 nmol/L after 1-h administration) suggested a potential low toxicity profile of *ent-4g* *in vivo*. The pharmacokinetic studies of *p.o.* administration *ent-4g* in rats ([Table 3](#)) indicated that *ent-4g* distributed well into tissues (apparent  $V_{ss}$  of 7.36 L/kg) with a moderate plasma clearance rate (1.21 L·kg/h) after *i.v.* injection of 7.5 mg/kg dosage, and the absolute oral bioavailability of *ent-4g* was around 30%.

### 3. Conclusions

In summary, we have discovered THN-fused spirooxindole derivative *ent-4g* as a potent inhibitor through rational drug design and asymmetric synthesis of the designed compounds. The compound *ent-4g* showed strong ability to inhibit both MDM2 and CDK4 in glioblastoma cells expressing wild-type or mutant P53. Molecular dynamics simulations indicate that the compound *ent-4g* tightly binds to MDM2 and CDK4. *Ent-4g* could induce significant apoptosis and cell cycle arrest in G1 phase by

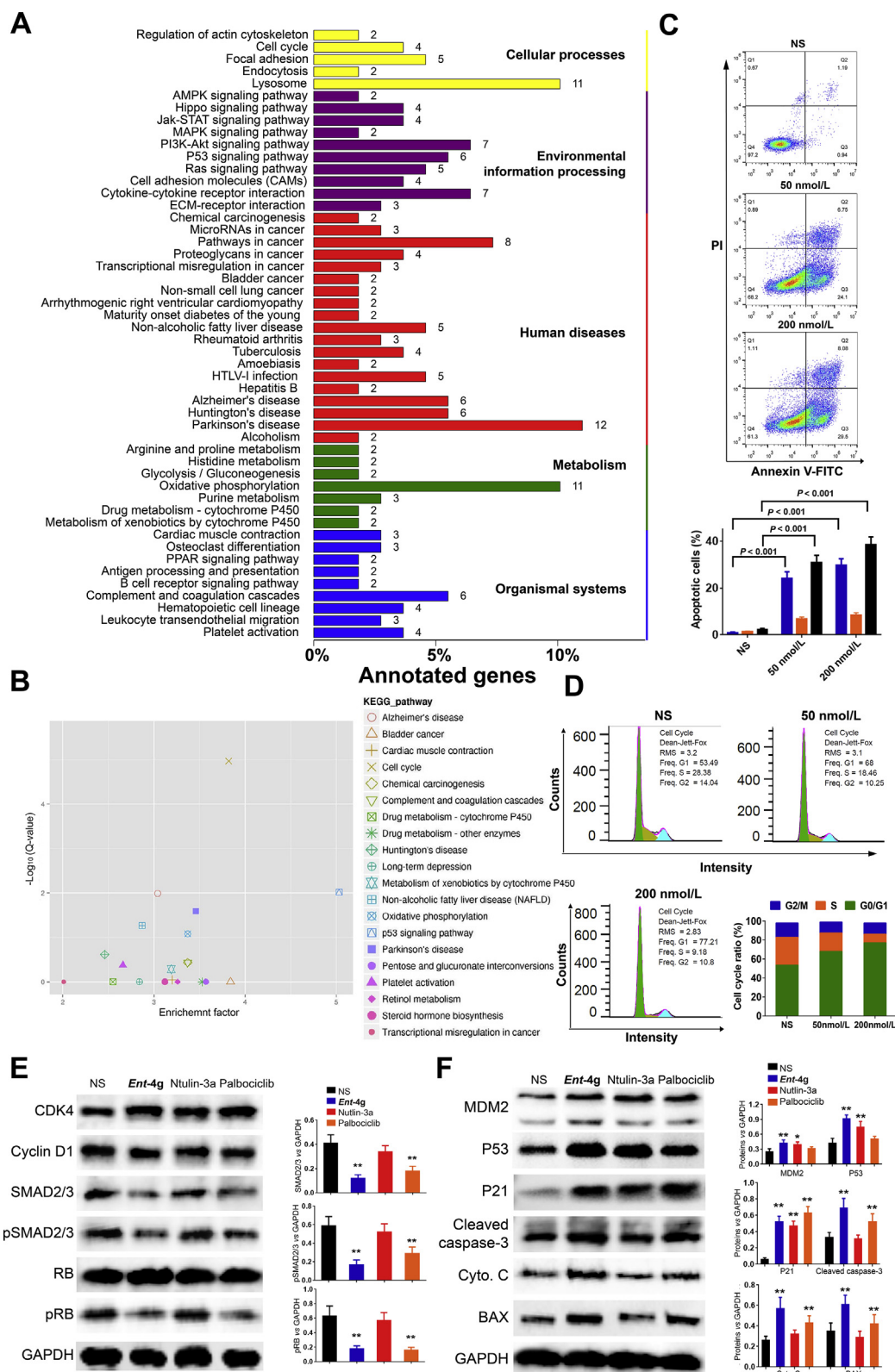
**Table 2** IC<sub>50</sub> of stereoisomers against proteins and glioblastoma cell lines<sup>a</sup>.



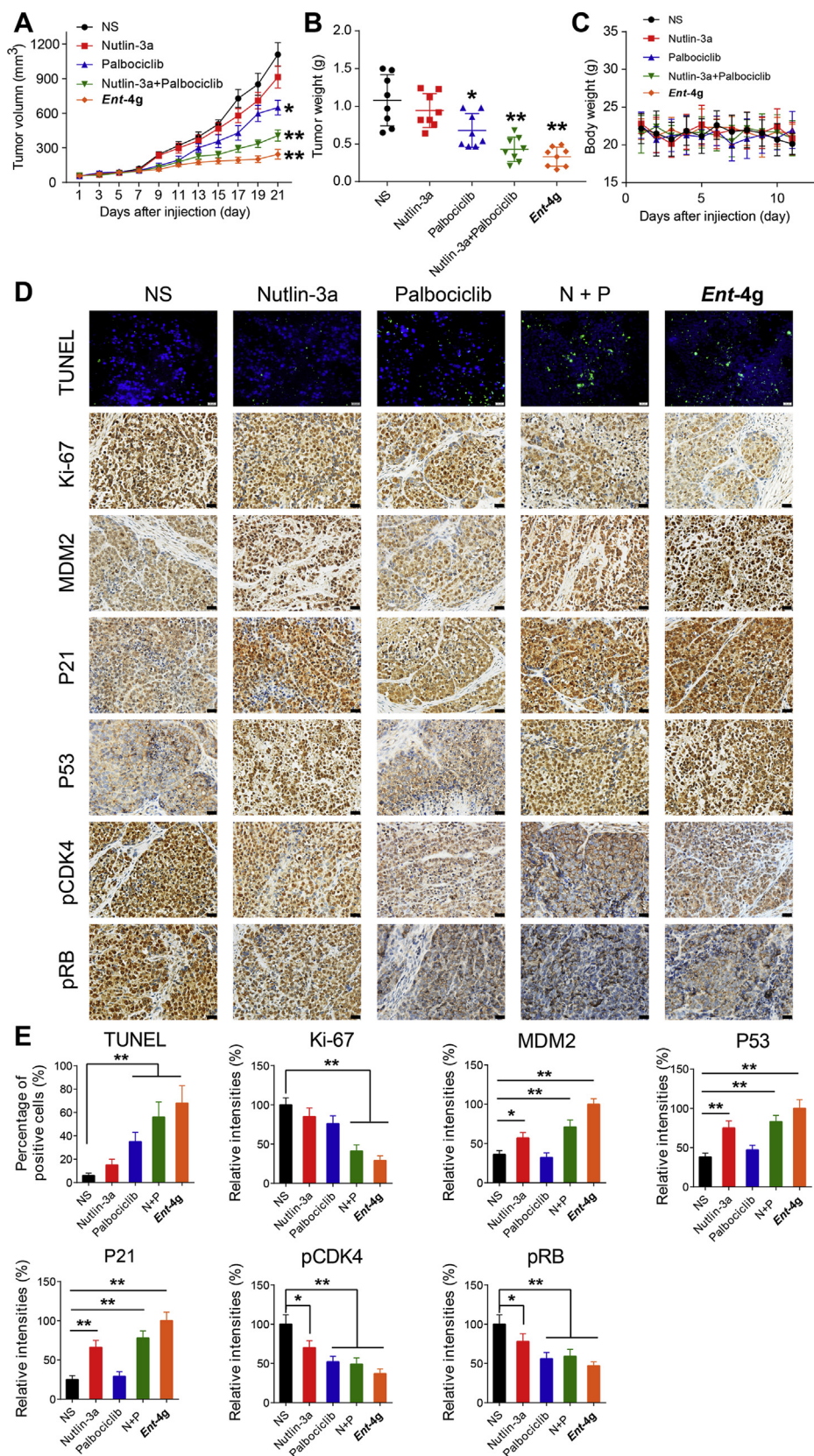
Cell line	IC <sub>50</sub> (μmol/L)							
	R <sub>1</sub> = 5-Cl				R <sub>1</sub> = 5-Br			
	4d	Ent-4d	4d'	Ent-4d'	4g	Ent-4g	4g'	Ent-4g'
MDM2	0.52 ± 0.07	0.13 ± 0.04	1.8 ± 0.12	1.5 ± 0.20	0.28 ± 0.06	0.08 ± 0.02	2.5 ± 0.3	1.3 ± 0.4
CDK4	0.78 ± 0.11	0.49 ± 0.13	3.9 ± 0.42	2.6 ± 0.37	0.32 ± 0.05	0.25 ± 0.08	10.6 ± 0.9	3.7 ± 0.6
U87MG	8.3 ± 1.5	6.1 ± 1.3	60.4 ± 4.8	41.6 ± 3.9	4.9 ± 0.5	3.6 ± 0.7	>50	28.6 ± 2.5
U251	17.8 ± 3.2	12.6 ± 2.3	>50	>50	8.6 ± 0.8	5.8 ± 1.1	>50	>50

<sup>a</sup>Each compound was tested in triplicate; data are mean ± SD ( $n = 3$ ). The IC<sub>50</sub> value of anti-proliferation assays was obtained after 24-h incubation.





**Figure 4** (A) List of enriched KEGG terms of differentially expressed genes in U251 cells after incubation with *ent-4g*. (B) Analysis of enriched KEGG terms based on statistical significance (logarithmic Q-value) and enrichment factors. (C) Apoptosis in U251 cells treated with *ent-4g* based on Annexin V/PI dual staining. (D) Cell cycle analysis of U251 cells treated with *ent-4g*. All values are the average from three independent experiments. (E) Western blotting and analysis of CDK4-cyclin D1 and downstream proteins in U251 cells after 24-h incubation with *ent-4g*. (F) Western blotting and analysis of MDM2, P53 and apoptosis-related proteins in U251 cells after 24-h incubation with *ent-4g*. All values are the average of three experiments. NS, normal saline.



**Figure 5** *In vivo* anti-cancer effect of *ent-4g* on U251 xenografts in mice. (A) Tumor volume after administration of *ent-4g* (30 mg/kg), nutlin-3a (30 mg/kg) or palbociclib (30 mg/kg). (B) Tumor weight at the end of therapy. (C) Body weight at different times after treatment. (D) Representative images of immunohistochemical analysis of MDM2, P53, P21, pCDK4, pRB, and Ki-67, as well as of immunofluorescence analysis of TUNEL staining for apoptosis. (E) Percentages of cells staining positively for target proteins or TUNEL stain. NS, normal saline; N + P, nutlin-3a + palbociclib. Data were expressed as mean  $\pm$  SD, \* $P$  < 0.05, \*\* $P$  < 0.01.

**Table 3** Pharmacokinetic properties of *ent-4g* in rats<sup>a</sup>.

i.v. (rat, 7.5 mg/kg)				p.o. (rat, 15 mg/kg)			
CL (L·kg/h)	<i>t</i> <sub>1/2</sub> (h)	AUC <sub>0–<i>t</i></sub> (μg/h·L)	<i>V</i> <sub>ss</sub> (L/kg)	<i>T</i> <sub>max</sub> (h)	<i>C</i> <sub>max</sub> (μg/L)	AUC <sub>0–<i>t</i></sub> (μg/h·L)	<i>F</i>
1.21	1.85	21,092.4	7.36	4.03	5897.5	12,463.8	29.5%

<sup>a</sup>Male SD rats (6–8 weeks old, 3 animals per group) were used for pharmacokinetics study.

up-regulating MDM2, P53 and P21 levels, reduced Ki-67, phosphorylation inhibition of CDK4 and RB, as well as higher number of TUNEL-positive apoptotic nuclei. The compound also strongly inhibited the growth of glioblastoma xenografts in mice. The approach presented here may be useful for discovering novel MDM2/CDK4 dual inhibitors and generating leads for the treatment of glioblastoma and many other cancers.

## 4. Experimental

### 4.1. Chemistry

Nuclear Magnetic Resonance (NMR, Bruker-400 MHz, Bruker Corporation, Karlsruhe, Germany and JEOL-600 MHz, JEOL, Tokyo, Japan) data were obtained for <sup>1</sup>H at 400 MHz and for <sup>13</sup>C at 100 MHz or for <sup>1</sup>H at 600 MHz and for <sup>13</sup>C at 150 MHz. Chemical shifts were reported in parts per million (ppm) with tetramethylsilane resonance as the internal standard in CDCl<sub>3</sub> or DMSO-*d*<sub>6</sub> solution. Data are reported as follows: chemical shift [multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet, br s = broad singlet), coupling constant(s) (Hz), integration]. ESI high resolution mass spectra (HR-MS) were recorded using electrospray ionization on a Waters SYNAPT G2 (Q-TOF) instrument (Milford, MA, USA). The enantiomeric ratio was determined by High Performance Liquid Chromatography (HPLC, Dionex, Sunnyvale, CA, USA, and Shimadzu, Kyoto, Japan) analysis on chiral column in comparison with authentic racemates, using the Daicel Chiralpak AD/OD/IE (250 mm × 4.6 mm). UV detection was monitored at 254 nm. Purity of compounds **4** and **4'** was determined by reverse-phase HPLC analysis to be >95% at 254 nm. HPLC instrument: Dionex Summit HPLC (column: Thermo Scientific Technologies, Hypersil GOLD™, 5 μm, 250 mm × 4.6 mm), detector: PDA-100 photodiode array, injector: ASI-100 auto sample injector, pump: p-680A LPG-4. A flow rate of 1.0 mL/min was used with mobile phase of MeOH in H<sub>2</sub>O. Optical rotation data were examined in CH<sub>2</sub>Cl<sub>2</sub> solution at 25 °C and λ = 589 nm. Column chromatography was performed on a silica gel (200–300 mesh) using an eluent of ethyl acetate and petroleum ether. TLC was performed on glass backed silica plates; products were visualized using UV light. Melting points were determined on a Mel-Temp apparatus (Shanghai, China).

#### 4.1.1. General procedure for the asymmetric synthesis of **4a**

The reaction was carried out with 3-ylideneoxidole (**1a**, 95.2 mg, 0.3 mmol), 2-methyl-3,5-dinitrobenzaldehyde (**3a**, 75.7 mg, 0.36 mmol) and cat. (24.8 mg, 0.06 mmol) with 4 Å MS in

anhydrous CH<sub>2</sub>Cl<sub>2</sub> (4.0 mL) at –10 °C for 48 h under N<sub>2</sub>. The reaction mixture was direct purified by flash chromatography on a silica gel to afford the unprotected intermediate.

The protection hydroxyl group of the intermediate gave the corresponding easily separable THN-fused spirooxindole derivative **int-4a**. To a solution of the unprotected intermediate in CH<sub>2</sub>Cl<sub>2</sub> (4 mL) was added TMSCl (25.9 μL, 0.3 mmol) and imidazole (45.8 mg, 0.6 mmol). The mixture was stirred at 0 °C until the reaction was completed based on TLC. The reaction was quenched with aqueous NaHCO<sub>3</sub> (aq.) and CH<sub>2</sub>Cl<sub>2</sub>. The organic layer was dried by Na<sub>2</sub>SO<sub>4</sub> and concentrated. The residue was purified by chromatography on silica gel to give the major isomer product **int-4a**.

Next, to a solution of **int-4a** (50 mg) in CH<sub>2</sub>Cl<sub>2</sub> was added HCl/EtOAc (5–10 mL) at room temperature until the reaction was completed based on TLC. The reaction was quenched by EtOAc and water. The organic layer was dry by Na<sub>2</sub>SO<sub>4</sub> and concentrated, which was purified by chromatography on silica gel to give the deprotected spiro-oxindole derivative **4a** as a white solid in 84% yield (30.1 mg, 0.07 mmol) after flash chromatography, [α]<sub>D</sub><sup>25</sup> = +58.26 (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>): δ 10.43 (s, 1H, NH), 8.68 (s, 1H, ArH), 8.46 (s, 1H, ArH), 7.31 (d, *J* = 7.6 Hz, 1H, ArH), 7.22 (t, *J* = 7.6 Hz, 1H, ArH), 6.95 (t, *J* = 7.6 Hz, 1H, ArH), 6.84 (d, *J* = 7.6 Hz, 1H, ArH), 6.40 (d, *J* = 6.4 Hz, 1H, CHOH), 5.11 (d, *J* = 6.0 Hz, 1H, CHOH), 3.64–3.55 (m, 3H, CH<sub>3</sub>CH<sub>2</sub>, COCH), 3.40 (dd, *J* = 16.4, 12.0 Hz, 1H, H of CH<sub>2</sub>), 3.06 (dd, *J* = 11.6, 4.4 Hz, 1H, H of CH<sub>2</sub>), 0.66 (t, *J* = 7.2 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>): δ 178.4, 171.2, 148.3, 146.0, 145.5, 144.1, 138.9, 131.3, 128.9, 124.2, 123.2, 121.6, 118.3, 109.5, 71.6, 60.5, 55.0, 46.4, 25.7, 13.7; HR-MS (ESI): *m/z* Calcd. for C<sub>20</sub>H<sub>17</sub>N<sub>3</sub>O<sub>8</sub>Na [M+Na]<sup>+</sup>: 450.0913, Found 450.0916. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 11.27 min, HPLC purity 99.7%.

The compounds **4b–4q** were prepared according to the synthetic method of **4a**.

4.1.1.1. Ethyl (1'*R*,3*R*,3'*S*)-5-fluoro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'*H*-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4b**). White solid, 85% yield (30.8 mg, 0.07 mmol), [α]<sub>D</sub><sup>25</sup> = –18.61 (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.60 (s, 1H, NH), 8.72 (s, 1H, ArH), 8.43 (s, 1H, ArH), 7.07 (td, *J* = 9.6, 2.4 Hz, 1H, ArH), 6.94 (dd, *J* = 9.0, 3.0 Hz, 1H, ArH), 6.83 (dd, *J* = 8.4, 4.2 Hz, 1H, ArH), 6.54 (d, *J* = 6.6 Hz, 1H, CHOH), 4.78 (d, *J* = 6.6 Hz, 1H, CHOH), 3.91–3.84 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 3.61–3.56 (m, 2H, COCH, H of CH<sub>2</sub>), 3.48–3.42 (m, 1H, H of CH<sub>2</sub>), 0.87 (t, *J* = 7.2 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 176.4, 171.3, 157.3 (d, *J*<sub>CF</sub> = 234.2 Hz), 148.0, 145.4, 142.1, 138.6, 137.3, 131.2 (d,

$J_{CF} = 8.3$  Hz), 127.6, 118.7, 114.6 (d,  $J_{CF} = 23.0$  Hz), 113.7 (d,  $J_{CF} = 24.8$  Hz), 109.6 (d,  $J_{CF} = 7.7$  Hz), 68.7, 60.3, 52.2, 41.2, 25.5, 13.3; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8FNa$   $[M+Na]^+$ : 468.0819, Found 468.0821. HPLC analysis: MeOH/ $H_2O$  (60:40), 12.80 min, HPLC purity 97.5%.

**4.1.1.2. Ethyl (1'R,3R,3'S)-7-fluoro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4c).** White solid, 82% yield (29.5 mg, 0.07 mmol),  $[\alpha]_D^{25} = +18.87$  (c 0.10 in  $CH_2Cl_2$ ), m.p. >220 °C.  $^1H$  NMR (600 MHz, DMSO- $d_6$ ):  $\delta$  11.23 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.38 (s, 1H, ArH), 8.19 (s, 1H, CHOH), 7.09 (t,  $J = 7.8$  Hz, 1H, ArH), 6.87 (d,  $J = 6.6$  Hz, 1H, ArH), 6.67 (td,  $J = 7.8, 4.8$  Hz, 1H, ArH), 6.25 (d,  $J = 7.8$  Hz, 1H, CHOH), 5.35 (d,  $J = 7.2$  Hz, 1H, COCH), 4.15–4.10 (m, 2H,  $CH_3CH_2$ ), 3.40–3.30 (m, 2H,  $CH_2$ ), 1.16 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (150 MHz, DMSO- $d_6$ ):  $\delta$  178.8, 163.8, 147.6, 146.7, 146.3 (d,  $J_{CF} = 240.8$  Hz), 143.1, 136.8, 130.3, 129.9 (d,  $J_{CF} = 12.3$  Hz), 129.7, 128.5 (d,  $J_{CF} = 2.1$  Hz), 123.4, 121.7 (d,  $J_{CF} = 5.1$  Hz), 119.5, 119.2, 116.0 (d,  $J_{CF} = 17.1$  Hz), 72.0, 61.5, 56.1, 13.5; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8FNa$   $[M+Na]^+$ : 468.0819, Found 468.0822. HPLC analysis: MeOH/ $H_2O$  (60:40), 14.20 min, HPLC purity 99.3%.

**4.1.1.3. Ethyl (1'R,3R,3'S)-5-chloro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4d).** White solid, 87% yield (31.6 mg, 0.07 mmol), ee 99%,  $[\alpha]_D^{25} = -75.99$  (c 0.10 in  $CH_2Cl_2$ ), **ent-4d**: +67.89, m.p. >220 °C.  $^1H$  NMR (400 MHz, DMSO- $d_6$ ):  $\delta$  10.48 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.53 (s, 1H, ArH), 7.60 (d,  $J = 2.0$  Hz, 1H, ArH), 7.26 (dd,  $J = 8.4, 2.0$  Hz, 1H, ArH), 6.79 (d,  $J = 8.0$  Hz, 1H, ArH), 6.62 (d,  $J = 6.8$  Hz, 1H, CHOH), 5.25 (d,  $J = 6.8$  Hz, 1H, CHOH), 3.90–3.72 (m, 4H,  $CH_3CH_2$ , COCH, H of  $CH_2$ ), 3.33 (dd,  $J = 15.2, 2.4$  Hz, 1H, H of  $CH_2$ ), 0.83 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (100 MHz, DMSO- $d_6$ ):  $\delta$  176.3, 171.1, 148.3, 146.2, 144.3, 142.9, 137.4, 133.2, 128.6, 125.7, 125.4, 124.2, 118.6, 110.7, 72.1, 60.8, 52.8, 44.6, 26.3, 13.9; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8ClNa$   $[M+Na]^+$ : 484.0524, Found 484.0526. HPLC analysis: MeOH/ $H_2O$  (60:40), 9.80 min, HPLC purity 99.7%. (**ent-4d**, 9.67 min, HPLC purity 98.3%).

**4.1.1.4. Ethyl (1'R,3R,3'S)-6-chloro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4e).** White solid, 86% yield (31.2 mg, 0.07 mmol),  $[\alpha]_D^{25} = -76.28$  (c 0.10 in  $CH_2Cl_2$ ), m.p. >220 °C.  $^1H$  NMR (600 MHz, DMSO- $d_6$ ):  $\delta$  10.73 (s, 1H, NH), 8.72 (s, 1H, ArH), 8.42 (s, 1H, ArH), 7.08 (d,  $J = 7.8$  Hz, 1H, ArH), 6.99 (dd,  $J = 7.8, 1.8$  Hz, 1H, ArH), 6.85 (d,  $J = 1.8$  Hz, 1H, ArH), 6.52 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.78 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.92–3.85 (m, 2H,  $CH_3CH_2$ ), 3.60–3.53 (m, 2H, COCH, H of  $CH_2$ ), 3.45 (dd,  $J = 15.0, 3.0$  Hz, 1H, H of  $CH_2$ ), 0.90 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (150 MHz, DMSO- $d_6$ ):  $\delta$  176.7, 171.4, 148.1, 145.5, 144.0, 142.3, 137.4, 132.8, 128.6, 127.6, 127.4, 120.7, 118.9, 109.1, 68.8, 60.5, 51.7, 41.5, 25.7, 13.5; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8ClNa$   $[M+Na]^+$ : 484.0524, Found 484.0523. HPLC analysis: MeOH/ $H_2O$  (60:40), 14.07 min, HPLC purity 99.4%.

**4.1.1.5. Ethyl (1'R,3R,3'S)-4-bromo-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-**

**carboxylate (4f).** White solid, 89% yield (33.2 mg, 0.07 mmol),  $[\alpha]_D^{25} = +42.31$  (c 0.10 in  $CH_2Cl_2$ ), m.p. >220 °C.  $^1H$  NMR (600 MHz, DMSO- $d_6$ ):  $\delta$  10.65 (s, 1H, NH), 8.66 (s, 1H, ArH), 8.54 (s, 1H, ArH), 7.20–7.15 (m, 2H, ArH), 6.81 (t,  $J = 6.6$  Hz, 2H, ArH, CHOH), 5.61 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.13 (dd,  $J = 11.4, 6.0$  Hz, 1H, COCH), 3.87–3.76 (m, 3H,  $CH_3CH_2$ , H of  $CH_2$ ), 3.31 (dd,  $J = 18.0, 6.0$  Hz, 1H, H of  $CH_2$ ), 0.83 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (150 MHz, DMSO- $d_6$ ):  $\delta$  174.9, 170.4, 147.6, 145.8, 145.5, 143.4, 137.2, 130.6, 127.0, 125.3, 124.9, 118.0, 117.9, 108.5, 67.9, 60.3, 54.3, 41.6, 25.3, 13.2; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8BrNa$   $[M+Na]^+$ : 528.0018, Found 528.0020. HPLC analysis: MeOH/ $H_2O$  (60:40), 16.87 min, HPLC purity 98.6%.

**4.1.1.6. Ethyl (1'R,3R,3'S)-5-bromo-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4g).** White solid, 88% yield (32.8 mg, 0.06 mmol), ee 95%,  $[\alpha]_D^{25} = -99.97$  (c 0.10 in  $CH_2Cl_2$ ), **ent-4g**: +109.98, m.p. >220 °C.  $^1H$  NMR (400 MHz, DMSO- $d_6$ ):  $\delta$  10.48 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.52 (s, 1H, ArH), 7.72 (d,  $J = 2.0$  Hz, 1H, ArH), 7.39 (dd,  $J = 8.4, 2.0$  Hz, 1H, ArH), 6.75 (d,  $J = 8.4$  Hz, 1H, ArH), 6.63 (d,  $J = 6.8$  Hz, 1H, CHOH), 5.25 (d,  $J = 6.8$  Hz, 1H, CHOH), 3.90–3.71 (m, 4H,  $CH_3CH_2$ , COCH, H of  $CH_2$ ), 3.32 (dd,  $J = 16.0, 3.2$  Hz, 1H, H of  $CH_2$ ), 0.83 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (100 MHz, DMSO- $d_6$ ):  $\delta$  176.2, 171.1, 148.3, 146.2, 144.3, 143.2, 137.4, 133.5, 131.4, 126.9, 125.4, 118.5, 113.3, 111.2, 72.1, 60.8, 52.8, 44.6, 26.2, 13.9; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8BrNa$   $[M+Na]^+$ : 528.0018, Found 528.0019. HPLC analysis: MeOH/ $H_2O$  (60:40), 10.73 min, HPLC purity 98.5%. (**ent-4g**, 10.73 min, HPLC purity 98.4%).

**4.1.1.7. Ethyl (1'R,3R,3'S)-6-bromo-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4h).** White solid, 86% yield (32.1 mg, 0.06 mmol),  $[\alpha]_D^{25} = -50.22$  (c 0.10 in  $CH_2Cl_2$ ), m.p. >220 °C.  $^1H$  NMR (600 MHz, DMSO- $d_6$ ):  $\delta$  10.87 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.38 (s, 1H, ArH), 8.20 (s, 1H, CHOH), 6.98 (d,  $J = 1.8$  Hz, 1H, ArH), 6.84–6.82 (m, 2H, ArH), 6.34 (d,  $J = 7.8$  Hz, 1H, CHOH), 5.33 (d,  $J = 7.2$  Hz, 1H, COCH), 4.16–4.09 (m, 2H,  $CH_3CH_2$ ), 3.41–3.31 (m, 2H,  $CH_2$ ), 1.17 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (150 MHz, DMSO- $d_6$ ):  $\delta$  178.8, 163.8, 147.5, 146.8, 144.7, 143.0, 136.7, 130.3, 129.8, 124.9, 123.4, 121.6, 119.5, 112.3, 71.8, 61.5, 55.6, 30.9, 22.0, 13.6; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_3O_8BrNa$   $[M+Na]^+$ : 528.0018, Found 528.0020. HPLC analysis: MeOH/ $H_2O$  (60:40), 32.13 min, HPLC purity 98.9%.

**4.1.1.8. Ethyl (1'R,3R,3'S)-1'-hydroxy-5',7'-trinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4i).** White solid, 84% yield (30.8 mg, 0.06 mmol),  $[\alpha]_D^{25} = -24.57$  (c 0.10 in  $CH_2Cl_2$ ), m.p. >220 °C.  $^1H$  NMR (600 MHz, DMSO- $d_6$ ):  $\delta$  11.15 (s, 1H, NH), 8.69 (s, 1H, ArH), 8.55 (d,  $J = 2.4$  Hz, 1H, ArH), 8.53 (s, 1H, ArH), 8.24 (dd,  $J = 8.4, 2.4$  Hz, 1H, ArH), 7.00 (d,  $J = 8.4$  Hz, 1H, ArH), 6.72 (d,  $J = 6.6$  Hz, 1H, CHOH), 5.40 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.03 (dd,  $J = 12.0, 6.0$  Hz, 1H, COCH), 3.87–3.82 (m, 2H,  $CH_3CH_2$ ), 3.74 (dd,  $J = 18.0, 12.0$  Hz, 1H, H of  $CH_2$ ), 3.42 (dd,  $J = 18.6, 6.0$  Hz, 1H, H of  $CH_2$ ), 0.82 (t,  $J = 7.2$  Hz, 3H,  $CH_3CH_2$ );  $^{13}C$  NMR (150 MHz, DMSO- $d_6$ ):  $\delta$  176.5, 170.5, 150.0, 147.6, 145.6, 143.1, 141.7, 136.5, 131.6, 125.8, 124.7,

119.3, 118.1, 108.8, 71.3, 60.4, 52.1, 43.5, 25.5, 13.2; HR-MS (ESI):  $m/z$  Calcd. for  $C_{20}H_{16}N_4O_{10}Na$   $[M+Na]^+$ : 495.0764, Found 495.0763. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 6.80 min, HPLC purity 97.9%.

4.1.1.9. *Ethyl (1'R,3R,3'S)-1'-hydroxy-5-methyl-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (4j)*. White solid, 83% yield (29.8 mg, 0.07 mmol),  $[\alpha]_D^{25} = -62.96$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.25 (s, 1H, NH), 8.66 (s, 1H, ArH), 8.52 (s, 1H, ArH), 7.24 (s, 1H, ArH), 7.01 (d, *J* = 7.8 Hz, 1H, ArH), 6.67 (d, *J* = 7.8 Hz, 1H, ArH), 6.53 (d, *J* = 7.2 Hz, 1H, ArH), 5.18 (d, *J* = 7.2 Hz, 1H, CHOH), 3.82–3.75 (m, 3H, CH<sub>3</sub>CH<sub>2</sub>, COCH), 3.70 (dd, *J* = 11.4, 4.8 Hz, 1H, H of CH<sub>2</sub>), 3.27 (dd, *J* = 17.4, 4.8 Hz, 1H, H of CH<sub>2</sub>), 2.28 (s, 3H, CH<sub>3</sub>), 0.78 (t, *J* = 7.2 Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 179.1, 164.0, 147.5, 146.7, 143.5, 140.6, 137.7, 130.0, 129.9, 129.7, 129.3, 125.7, 123.7, 123.5, 119.6, 109.4, 72.0, 61.4, 56.0, 20.5, 13.6; HR-MS (ESI):  $m/z$  Calcd. for  $C_{21}H_{19}N_3O_8Na$   $[M+Na]^+$ : 464.1070, Found 464.1071. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 8.73 min, HPLC purity 98.1%.

4.1.1.10. *(1'R,3R,3'S)-3'-Benzoyl-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (4k)*. White solid, 87% yield (31.2 mg, 0.07 mmol),  $[\alpha]_D^{25} = +35.53$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.52 (s, 1H, NH), 8.72 (s, 1H, ArH), 8.48 (s, 1H, ArH), 7.86 (d, *J* = 7.2 Hz, 2H, ArH), 7.63 (t, *J* = 7.2 Hz, 1H, ArH), 7.49 (t, *J* = 7.2 Hz, 2H, ArH), 7.14–7.11 (m, 1H, ArH), 7.09 (d, *J* = 7.2 Hz, 1H, ArH), 6.79 (d, *J* = 7.8 Hz, 1H, ArH), 6.76 (t, *J* = 7.2 Hz, 1H, ArH), 6.49 (s, 1H, CHOH), 4.90 (dd, *J* = 11.4, 6.0 Hz, 1H, COCH), 4.71 (s, 1H, CHOH), 3.55 (dd, *J* = 18.0, 12.0 Hz, 1H, H of CH<sub>2</sub>), 3.48 (dd, *J* = 18.0, 5.4 Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 199.7, 177.1, 147.9, 145.4, 142.5, 142.4, 137.8, 135.6, 133.7, 129.7, 128.8 (2C), 128.2 (2C), 128.0, 125.5, 120.6, 118.6, 108.9, 69.2, 51.3, 41.8, 26.7; HR-MS (ESI):  $m/z$  Calcd. for  $C_{24}H_{17}N_3O_7Na$   $[M+Na]^+$ : 482.0964, Found 482.0966. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 13.53 min, HPLC purity 98.7%.

4.1.1.11. *(1'R,3R,3'S)-3'-(2-Fluorobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (4l)*. White solid, 83% yield (30.5 mg, 0.06 mmol),  $[\alpha]_D^{25} = +40.28$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.39 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.57 (s, 1H, ArH), 7.61–7.57 (m, 1H, ArH), 7.53 (td, *J* = 7.8, 1.2 Hz, 1H, ArH), 7.29–7.21 (m, 3H, ArH), 7.10 (td, *J* = 7.8, 1.2 Hz, 1H, ArH), 6.78–6.73 (m, 2H, ArH), 6.54 (d, *J* = 7.2 Hz, 1H, CHOH), 5.33 (d, *J* = 7.2 Hz, 1H, CHOH), 4.78 (dd, *J* = 12.0, 5.4 Hz, 1H, COCH), 3.77 (dd, *J* = 18.6, 12.2 Hz, 1H, H of CH<sub>2</sub>), 3.36 (dd, *J* = 18.0, 5.4 Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 197.8, 176.5, 160.1 (d, *J*<sub>CF</sub> = 253.1 Hz), 147.9, 145.7, 144.5, 143.5, 137.3, 135.1 (d, *J*<sub>CF</sub> = 8.7 Hz), 130.4, 130.2, 128.1, 125.7 (d, *J*<sub>CF</sub> = 11.1 Hz), 125.1, 124.7, 122.6, 120.8, 118.0, 116.7 (d, *J*<sub>CF</sub> = 21.9 Hz), 108.8, 72.1, 51.9, 48.9, 26.0; HR-MS (ESI):  $m/z$  Calcd. for  $C_{24}H_{16}N_3O_7FNa$   $[M+Na]^+$ : 500.0870, Found 500.0868. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 10.07 min, HPLC purity 99.7%.

4.1.1.12. *(1'R,3R,3'S)-3'-(4-Fluorobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one*

*(4m)*. White solid, 85% yield (31.4 mg, 0.07 mmol),  $[\alpha]_D^{25} = +29.87$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.36 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.60 (s, 1H, ArH), 8.04–8.01 (m, 2H, ArH), 7.37 (d, *J* = 7.2 Hz, 1H, ArH), 7.31 (t, *J* = 8.4 Hz, 2H, ArH), 7.07 (t, *J* = 7.8 Hz, 1H, ArH), 6.78 (t, *J* = 7.8 Hz, 1H, ArH), 6.73 (d, *J* = 7.2 Hz, 1H, ArH), 5.34 (s, 1H, CHOH), 5.09 (dd, *J* = 12.0, 4.8 Hz, 1H, COCH), 3.69 (dd, *J* = 17.4, 12.6 Hz, 1H, H of CH<sub>2</sub>), 3.51 (s, 1H, CHOH), 3.33 (dd, *J* = 18.0, 4.8 Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 197.6, 176.9, 165.4 (d, *J*<sub>CF</sub> = 251.4 Hz), 147.8, 145.7, 144.6, 143.7, 137.7, 132.3, 131.7 (d, *J*<sub>CF</sub> = 9.3 Hz, 2C), 131.0, 128.0, 125.2, 122.4, 120.8, 118.0, 115.9 (d, *J*<sub>CF</sub> = 21.6 Hz, 2C), 108.8, 72.3, 51.6, 45.0, 26.9; HR-MS (ESI):  $m/z$  Calcd. for  $C_{24}H_{16}N_3O_7FNa$   $[M+Na]^+$ : 500.0870, Found 500.0869. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 8.40 min, HPLC purity 99.2%.

4.1.1.13. *(1'R,3R,3'S)-3'-(3,4-Dichlorobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (4n)*. White solid, 87% yield (32.8 mg, 0.06 mmol),  $[\alpha]_D^{25} = +21.42$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.39 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.59 (s, 1H, ArH), 8.15 (d, *J* = 1.8 Hz, 1H, ArH), 7.79 (dd, *J* = 8.4, 1.8 Hz, 1H, ArH), 7.74 (d, *J* = 8.4 Hz, 1H, ArH), 7.38 (d, *J* = 7.2 Hz, 1H, ArH), 7.08 (t, *J* = 7.2 Hz, 1H, ArH), 6.79 (t, *J* = 7.8 Hz, 1H, ArH), 6.73 (d, *J* = 7.8 Hz, 1H, ArH), 6.55 (d, *J* = 6.6 Hz, 1H, CHOH), 5.34 (d, *J* = 7.2 Hz, 1H, CHOH), 5.07 (dd, *J* = 12.0, 4.8 Hz, 1H, COCH), 3.70 (dd, *J* = 18.0, 12.0 Hz, 1H, H of CH<sub>2</sub>), 3.38 (dd, *J* = 18.0, 6.0 Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 197.4, 176.6, 147.8, 145.7, 144.5, 143.5, 137.6, 136.7, 135.9, 132.0, 131.1, 130.6, 130.5, 128.3, 128.2, 125.1, 122.6, 120.9, 118.0, 108.8, 72.1, 51.7, 45.2, 26.5; HR-MS (ESI):  $m/z$  Calcd. for  $C_{24}H_{15}N_3O_7Cl_2Na$   $[M+Na]^+$ : 550.0185, Found 550.0186. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 26.93 min, HPLC purity 99.1%.

4.1.1.14. *(1'R,3R,3'S)-3'-(4-Bromobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (4o)*. White solid, 88% yield (33.2 mg, 0.06 mmol),  $[\alpha]_D^{25} = +27.73$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.37 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.59 (s, 1H, ArH), 7.85 (d, *J* = 8.4 Hz, 2H, ArH), 7.69 (d, *J* = 8.4 Hz, 2H, ArH), 7.36 (d, *J* = 7.2 Hz, 1H, ArH), 7.08 (t, *J* = 7.8 Hz, 1H, ArH), 6.78 (t, *J* = 7.8 Hz, 1H, ArH), 6.73 (d, *J* = 7.2 Hz, 1H, ArH), 6.54 (d, *J* = 7.2 Hz, 1H, CHOH), 5.33 (d, *J* = 7.2 Hz, 1H, CHOH), 5.07 (dd, *J* = 12.0, 4.8 Hz, 1H, COCH), 3.69 (dd, *J* = 18.0, 12.0 Hz, 1H, H of CH<sub>2</sub>), 3.34 (dd, *J* = 18.0, 5.4 Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>): δ 198.2, 176.6, 147.6, 145.3, 144.4, 143.5, 137.5, 134.4, 131.8, 130.7, 130.4, 128.0, 127.9, 125.0, 122.2, 120.7, 117.8, 108.6, 72.1, 51.4, 44.9, 26.6; HR-MS (ESI):  $m/z$  Calcd. for  $C_{24}H_{16}N_3O_7BrNa$   $[M+Na]^+$ : 560.0069, Found 560.0067. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 15.60 min, HPLC purity 99.9%.

4.1.1.15. *(1'R,3R,3'S)-1'-Hydroxy-3'-(4-methoxybenzoyl)-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (4p)*. White solid, 86% yield (31.8 mg, 0.06 mmol),  $[\alpha]_D^{25} = +30.99$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>): δ 10.32 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.59 (s, 1H, ArH), 7.94 (d, *J* = 9.0 Hz, 2H, ArH), 7.34 (d, *J* = 7.2 Hz, 1H, ArH), 7.07 (t, *J* = 7.8 Hz, 1H, ArH), 6.99 (d,

$J = 9.0$  Hz, 2H, ArH), 6.79 (t,  $J = 7.8$  Hz, 1H, ArH), 6.72 (d,  $J = 7.8$  Hz, 1H, ArH), 6.51 (d,  $J = 6.6$  Hz, 1H, CHOH), 5.32 (d,  $J = 7.2$  Hz, 1H, CHOH), 5.03 (dd,  $J = 12.0, 5.4$  Hz, 1H, COCH), 3.82 (s, 3H, OCH<sub>3</sub>), 3.67 (dd,  $J = 18.0, 12.6$  Hz, 1H, H of CH<sub>2</sub>), 3.28 (dd,  $J = 18.0, 5.4$  Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  197.1, 177.1, 163.7, 147.8, 145.7, 144.7, 143.8, 137.9, 131.3, 131.1 (2C), 128.3, 127.9, 125.1, 122.2, 120.7, 118.0, 114.1 (2C), 108.7, 72.4, 55.7, 51.5, 44.7, 27.3; HR-MS (ESI):  $m/z$  Calcd. for C<sub>25</sub>H<sub>19</sub>N<sub>3</sub>O<sub>8</sub>Na [M+Na]<sup>+</sup>: 512.1070, Found 512.1068. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 7.67 min, HPLC purity 99.0%.

#### 4.1.2. General procedure for the asymmetric synthesis of **4a'**<sup>106</sup>

The reaction was carried out with 3-ylideneoxidole (**2a**, 92.2 mg, 0.3 mmol), 2-methylbenzaldehyde (**3a**, 75.7 mg, 0.36 mmol) and cat. (24.8 mg, 0.06 mmol) with 4 Å MS in anhydrous CH<sub>2</sub>Cl<sub>2</sub> (4.0 mL) at -10 °C for 7 days under N<sub>2</sub>. The reaction mixture was direct purified by flash chromatography on a silica gel to afford the unprotected intermediate.

The protection hydroxyl group of the unprotected intermediate gave the corresponding easily separable THN-fused spirooxindole derivative **int-4a'**. To a solution of the unprotected intermediate in CH<sub>2</sub>Cl<sub>2</sub> (4 mL) was added TMSCl (25.9  $\mu$ L, 0.3 mmol) and imidazole (45.8 mg, 0.6 mmol). The mixture was stirred at 0 °C until the reaction was completed based on TLC. The reaction was quenched with aqueous NaHCO<sub>3</sub> (aq) and CH<sub>2</sub>Cl<sub>2</sub>. The organic layer was dried by Na<sub>2</sub>SO<sub>4</sub> and concentrated. The residue was purified by chromatography on silica gel to give the major isomer product **int-4a'**.

Next, To a solution of **int-4a'** (50 mg) in CH<sub>2</sub>Cl<sub>2</sub> was added CF<sub>3</sub>COOH (5 eq.) at room temperature until the reaction was completed based on TLC. The reaction was quenched by EtOAc and water. The organic layer was dry by Na<sub>2</sub>SO<sub>4</sub> and concentrated, which was purified by chromatography on silica gel to give the deprotection TMS intermediate product.

Further, potassium *tert*-butoxide (1.03 mL of a 1 mol/L solution in THF) was added to an aerated solution of the Bn-protecting THN-fused spirooxindole derivatives intermediate product, in DMSO (3.0 mL) at room temperature. After 20 min, 1 mol/L HCl was added and further followed by sodium hydrogen carbonate solution to give a pH neutral solution. The solution was then diluted with brine, extracted with ethyl acetate (4  $\times$  20 mL) and evaporated under reduced pressure<sup>105</sup>. The residue was purified by flash chromatography to give the deprotected spiro-oxindole derivative **4a'** as a white solid in 76% yield (27.7 mg, 0.06 mmol) after flash chromatography, [ $\alpha$ ]<sub>D</sub><sup>25</sup> = +70.25 (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  10.60 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.44 (s, 1H, ArH), 7.11 (t,  $J = 8.4$  Hz, 1H, ArH), 6.81 (d,  $J = 7.8$  Hz, 1H, ArH), 6.65–6.62 (m, 2H, ArH), 6.06 (d,  $J = 7.2$  Hz, 1H, CHOH), 5.06 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.77 (q,  $J = 7.2$  Hz, 2H, CH<sub>3</sub>CH<sub>2</sub>), 3.70–3.62 (m, 2H, COCH, H of CH<sub>2</sub>), 3.55 (dd,  $J = 18.8, 7.2$  Hz, 1H, H of CH<sub>2</sub>), 0.85 (t,  $J = 7.2$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  178.6, 170.3, 148.2, 145.8, 144.5, 143.9, 136.6, 128.2, 126.7, 124.2, 124.0, 120.8, 118.6, 109.2, 71.3, 60.5, 53.9, 43.1, 25.3, 13.4; HR-MS (ESI):  $m/z$  Calcd. for C<sub>20</sub>H<sub>17</sub>N<sub>3</sub>O<sub>8</sub>Na [M+Na]<sup>+</sup>: 450.0913, Found 450.0914. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 11.80 min, HPLC purity 99.0%.

The compounds **4b'–4q'** was prepared according to the synthetic method of **4a'**.

4.1.2.1. Ethyl (1'*S*,3*S*,3'*S*)-5-fluoro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'*H*-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4b'**). White solid, 74% yield (27.2 mg, 0.06 mmol), [ $\alpha$ ]<sub>D</sub><sup>25</sup> = +104.87 (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  10.38 (s, 1H, NH), 8.67 (s, 1H, ArH), 8.53 (s, 1H, ArH), 7.42 (dd,  $J = 9.0, 3.0$  Hz, 1H, ArH), 7.06–7.01 (m, 1H, ArH), 6.76 (dd,  $J = 8.4, 4.8$  Hz, 1H, ArH), 6.60 (d,  $J = 6.6$  Hz, 1H, CHOH), 5.24 (d,  $J = 7.2$  Hz, 1H, CHOH), 3.86–3.81 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 3.79–3.74 (m, 2H, COCH, H of CH<sub>2</sub>), 3.33–3.30 (m, 1H, H of CH<sub>2</sub>), 0.82 (t,  $J = 7.2$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  176.0, 170.6, 157.9 (d,  $J_{CF} = 234.3$  Hz), 147.8, 145.7, 143.9, 139.7, 137.0, 132.4 (d,  $J_{CF} = 8.4$  Hz), 124.9, 118.1, 114.4 (d,  $J_{CF} = 23.0$  Hz), 111.4 (d,  $J_{CF} = 24.6$  Hz), 109.5 (d,  $J_{CF} = 7.8$  Hz), 71.7, 60.3, 52.5, 44.2, 25.8, 13.4; HR-MS (ESI):  $m/z$  Calcd. for C<sub>20</sub>H<sub>16</sub>N<sub>3</sub>O<sub>8</sub>FNa [M+Na]<sup>+</sup>: 468.0819, Found 468.0822. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 8.40 min, HPLC purity 99.8%.

4.1.2.2. Ethyl (1'*S*,3*S*,3'*S*)-7-fluoro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'*H*-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4c'**). White solid, 72% yield (26.4 mg, 0.06 mmol), [ $\alpha$ ]<sub>D</sub><sup>25</sup> = +68.26 (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  11.14 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.44 (s, 1H, ArH), 7.06 (t,  $J = 9.6$  Hz, 1H, ArH), 6.75 (d,  $J = 6.6$  Hz, 1H, ArH), 6.69–6.66 (m, 1H, ArH), 5.97 (d,  $J = 7.8$  Hz, 1H, CHOH), 5.08 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.86–3.78 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 3.73 (t,  $J = 8.4$  Hz, 1H, COCH), 3.62 (d,  $J = 8.4$  Hz, 2H, CH<sub>2</sub>), 0.88 (t,  $J = 7.2$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  178.4, 170.0, 148.1, 146.3 (d,  $J_{CF} = 240.0$  Hz), 145.7, 144.1, 136.3, 130.9 (d,  $J_{CF} = 12.3$  Hz), 129.8 (d,  $J_{CF} = 3.5$  Hz), 124.2, 121.6 (d,  $J_{CF} = 5.6$  Hz), 120.0, 118.6, 115.3 (d,  $J_{CF} = 16.8$  Hz), 71.3, 60.5, 54.2, 42.9, 25.2, 13.3; HR-MS (ESI):  $m/z$  Calcd. for C<sub>20</sub>H<sub>16</sub>N<sub>3</sub>O<sub>8</sub>FNa [M+Na]<sup>+</sup>: 468.0819, Found 468.0820. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 10.27 min, HPLC purity 98.8%.

4.1.2.3. Ethyl (1'*S*,3*S*,3'*S*)-5-chloro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'*H*-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4d'**). White solid, 76% yield (28.1 mg, 0.06 mmol), ee 95%, [ $\alpha$ ]<sub>D</sub><sup>25</sup> = +48.72 (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), **ent-4d'**: -37.99, m.p. >220 °C. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  9.05 (s, 1H, NH), 8.82 (s, 1H, ArH), 8.67 (s, 1H, ArH), 7.15 (dd,  $J = 8.4, 2.0$  Hz, 1H, ArH), 6.84 (d,  $J = 8.4$  Hz, 1H, ArH), 6.33 (d,  $J = 2.0$  Hz, 1H, ArH), 5.14 (d,  $J = 7.6$  Hz, 1H, CHOH), 4.79 (d,  $J = 7.6$  Hz, 1H, CHOH), 4.09–3.97 (m, 2H, CH<sub>3</sub>CH<sub>2</sub>), 3.79–3.77 (m, 2H, COCH, H of CH<sub>2</sub>), 3.61 (dd,  $J = 10.4, 8.0$  Hz, 1H, H of CH<sub>2</sub>), 1.12 (t,  $J = 7.2$  Hz, 3H, CH<sub>3</sub>CH<sub>2</sub>); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  178.4, 170.1, 148.3, 145.9, 143.9, 143.0, 136.2, 128.9, 128.3, 124.8, 124.5, 123.9, 118.8, 110.6, 71.3, 60.7, 54.1, 42.9, 25.3, 13.5; HR-MS (ESI):  $m/z$  Calcd. for C<sub>20</sub>H<sub>16</sub>N<sub>3</sub>O<sub>8</sub>ClNa [M+Na]<sup>+</sup>: 484.0524, Found 484.0523. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 16.33 min, HPLC purity 98.8%. (**ent-4d'**), 16.27 min, HPLC purity 99.2%.

4.1.2.4. Ethyl (1'*S*,3*S*,3'*S*)-6-chloro-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'*H*-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4e'**). White solid, 75% yield (27.9 mg, 0.06 mmol),

$[\alpha]_{\text{D}}^{25} = +71.44$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.78 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.44 (s, 1H, ArH), 6.83 (d,  $J = 1.8$  Hz, 1H, ArH), 6.71–6.69 (m, 2H, ArH), 6.11 (d,  $J = 7.8$  Hz, 1H, CHOH), 5.06 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.85–3.80 (m, 2H,  $\text{CH}_3\text{CH}_2$ ), 3.71 (dd,  $J = 9.6$ , 7.8 Hz, 1H, COCH), 3.64–3.58 (m, 2H,  $\text{CH}_2$ ), 0.91 (t,  $J = 7.2$  Hz, 3H,  $\text{CH}_3\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  178.7, 170.2, 148.2, 145.9, 145.6, 144.1, 136.5, 132.7, 125.8, 125.4, 124.4, 120.5, 118.8, 109.3, 71.3, 60.7, 53.7, 42.9, 25.4, 13.5; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{20}\text{H}_{16}\text{N}_3\text{O}_8\text{ClNa}$   $[\text{M}+\text{Na}]^+$ : 484.0524, Found 484.0522. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 18.53 min, HPLC purity 99.9%.

4.1.2.5. Ethyl (*1'S,3S,3'S*)-4-bromo-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4f'**). White solid, 79% yield (30.0 mg, 0.06 mmol),  $[\alpha]_{\text{D}}^{25} = -69.97$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.65 (s, 1H, NH), 8.66 (s, 1H, ArH), 8.54 (s, 1H, ArH), 7.20–7.16 (m, 2H, ArH), 6.82–6.80 (m, 2H, ArH, CHOH), 5.61 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.13 (q,  $J = 5.4$  Hz, 1H, COCH), 3.87–3.76 (m, 3H,  $\text{CH}_3\text{CH}_2$ , H of  $\text{CH}_2$ ), 3.31 (dd,  $J = 18.0$ , 5.4 Hz, 1H, H of  $\text{CH}_2$ ), 0.83 (t,  $J = 7.2$  Hz, 3H,  $\text{CH}_3\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  174.9, 170.4, 147.6, 145.8, 145.5, 143.3, 137.2, 130.6, 127.0, 125.3, 124.9, 118.0, 117.9, 108.5, 67.9, 60.3, 54.2, 41.6, 25.3, 13.2; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{20}\text{H}_{16}\text{N}_3\text{O}_8\text{BrNa}$   $[\text{M}+\text{Na}]^+$ : 528.0018, Found 528.0020. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 16.40 min, HPLC purity 99.1%.

4.1.2.6. Ethyl (*1'S,3S,3'S*)-5-bromo-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4g'**). White solid, 78% yield (29.5 mg, 0.06 mmol), ee 95%,  $[\alpha]_{\text{D}}^{25} = +38.53$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), *ent*-**4g'**:  $-31.00$ , m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.78 (s, 1H, NH), 8.78 (s, 1H, ArH), 8.46 (s, 1H, ArH), 7.33 (dd,  $J = 8.4$ , 1.8 Hz, 1H, ArH), 6.79 (d,  $J = 8.4$  Hz, 1H, ArH), 6.74 (d,  $J = 7.2$  Hz, 1H, CHOH), 6.21 (d,  $J = 1.8$  Hz, 1H, ArH), 5.06 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.87–3.81 (m, 2H,  $\text{CH}_3\text{CH}_2$ ), 3.69 (dd,  $J = 9.6$ , 7.8 Hz, 1H, COCH), 3.61–3.59 (m, 2H,  $\text{CH}_2$ ), 0.91 (t,  $J = 7.2$  Hz, 3H,  $\text{CH}_3\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  178.2, 170.1, 148.3, 145.9, 143.9, 143.4, 136.1, 131.2, 129.4, 126.5, 124.5, 118.8, 112.5, 111.2, 71.3, 60.7, 54.2, 42.9, 25.2, 13.5; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{20}\text{H}_{16}\text{N}_3\text{O}_8\text{BrNa}$   $[\text{M}+\text{Na}]^+$ : 528.0018, Found 528.0019. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 18.03 min, HPLC purity 99.7%. (*ent*-**4g'**, 18.07 min, HPLC purity 98.1%)

4.1.2.7. Ethyl (*1'S,3S,3'S*)-6-bromo-1'-hydroxy-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4h'**). White solid, 77% yield (29.1 mg, 0.06 mmol),  $[\alpha]_{\text{D}}^{25} = +50.85$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.77 (s, 1H, NH), 8.78 (s, 1H, ArH), 8.44 (s, 1H, ArH), 6.95 (d,  $J = 1.8$  Hz, 1H, ArH), 6.83 (dd,  $J = 8.4$ , 1.8 Hz, 1H, ArH), 6.70 (d,  $J = 6.6$  Hz, 1H, CHOH), 6.06 (d,  $J = 7.8$  Hz, 1H, ArH), 5.05 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.86–3.80 (m, 2H,  $\text{CH}_3\text{CH}_2$ ), 3.71 (dd,  $J = 9.6$ , 7.8 Hz, 1H, COCH), 3.61–3.59 (m, 2H,  $\text{CH}_2$ ), 0.91 (t,  $J = 7.2$  Hz, 3H,  $\text{CH}_3\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  178.6, 170.2, 148.2, 145.9, 145.7, 144.1, 136.5, 126.2, 125.8, 124.3, 123.5, 121.1, 118.8, 112.0, 71.2, 60.7, 53.7, 42.9, 25.4, 13.5; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{20}\text{H}_{16}\text{N}_3\text{O}_8\text{BrNa}$   $[\text{M}+\text{Na}]^+$ : 528.0018,

Found 528.0016. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 21.53 min, HPLC purity 99.8%.

4.1.2.8. Ethyl (*1'S,3S,3'S*)-1'-hydroxy-5,5',7'-trinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4i'**). White solid, 73% yield (27.2 mg, 0.06 mmol),  $[\alpha]_{\text{D}}^{25} = -35.26$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  11.43 (s, 1H, NH), 8.83 (s, 1H, ArH), 8.46 (s, 1H, ArH), 8.14 (dd,  $J = 9.0$ , 2.4 Hz, 1H, ArH), 7.05 (d,  $J = 9.0$  Hz, 1H, ArH), 6.86–6.85 (m, 2H, ArH, CHOH), 5.14 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.87–3.81 (m, 2H,  $\text{CH}_3\text{CH}_2$ ), 3.80 (d,  $J = 9.0$  Hz, 1H, COCH), 3.64 (d,  $J = 8.4$  Hz, 2H,  $\text{CH}_2$ ), 0.91 (t,  $J = 7.2$  Hz, 3H,  $\text{CH}_3\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  179.3, 170.0, 150.8, 148.5, 146.1, 143.7, 141.4, 135.7, 127.9, 126.1, 124.5, 119.2, 118.8, 109.4, 71.4, 61.0, 54.1, 43.0, 25.2, 13.5; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{20}\text{H}_{16}\text{N}_4\text{O}_{10}\text{Na}$   $[\text{M}+\text{Na}]^+$ : 495.0764, Found 495.0766. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 9.33 min, HPLC purity 99.2%.

4.1.2.9. Ethyl (*1'S,3S,3'S*)-1'-hydroxy-5-methyl-5',7'-dinitro-2-oxo-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalene]-3'-carboxylate (**4j'**). White solid, 70% yield (25.7 mg, 0.06 mmol),  $[\alpha]_{\text{D}}^{25} = +68.52$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.47 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.46 (s, 1H, ArH), 6.92 (d,  $J = 7.8$  Hz, 1H, ArH), 6.70 (d,  $J = 7.8$  Hz, 1H, ArH), 6.58 (d,  $J = 6.6$  Hz, 1H, CHOH), 5.90 (s, 1H, ArH), 5.04 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.81–3.76 (m, 2H,  $\text{CH}_3\text{CH}_2$ ), 3.66–3.61 (m, 2H, COCH, H of  $\text{CH}_2$ ), 3.57–3.52 (m, 1H, H of  $\text{CH}_2$ ), 1.98 (s, 3H,  $\text{CH}_3$ ), 0.87 (t,  $J = 7.2$  Hz, 3H,  $\text{CH}_3\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  178.5, 170.2, 148.2, 145.8, 144.5, 141.5, 136.5, 129.3, 128.5, 126.8, 124.7, 124.3, 118.5, 108.9, 71.3, 60.4, 53.9, 43.0, 25.3, 20.7, 13.4; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{21}\text{H}_{19}\text{N}_3\text{O}_8\text{Na}$   $[\text{M}+\text{Na}]^+$ : 464.1070, Found 464.1071. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 12.67 min, HPLC purity 98.5%.

4.1.2.10. (*1'S,3S,3'S*)-3'-Benzoyl-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (**4k'**). White solid, 77% yield (28.4 mg, 0.06 mmol),  $[\alpha]_{\text{D}}^{25} = +101.84$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.51 (s, 1H, NH), 8.77 (s, 1H, ArH), 8.48 (s, 1H, ArH), 7.77 (d,  $J = 7.8$  Hz, 2H, ArH), 7.60 (t,  $J = 7.8$  Hz, 1H, ArH), 7.44 (t,  $J = 7.8$  Hz, 2H, ArH), 7.05 (dd,  $J = 8.4$ , 7.2 Hz, 1H, ArH), 6.69 (d,  $J = 7.8$  Hz, 1H, ArH), 6.63 (dd,  $J = 15.6$ , 7.2 Hz, 2H, ArH), 6.06 (d,  $J = 7.2$  Hz, 1H, CHOH), 5.21 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.77 (dd,  $J = 9.0$ , 7.2 Hz, 1H, COCH), 3.60–3.52 (m, 2H,  $\text{CH}_2$ );  $^{13}\text{C}$  NMR (150 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  198.6, 178.8, 148.2, 145.9, 145.1, 143.7, 137.5, 135.8, 133.5, 128.7 (2C), 128.4 (2C), 127.8, 127.5, 124.6, 124.2, 121.0, 118.7, 109.3, 71.8, 54.1, 45.8, 27.0; HR-MS (ESI):  $m/z$  Calcd. for  $\text{C}_{24}\text{H}_{17}\text{N}_3\text{O}_7\text{Na}$   $[\text{M}+\text{Na}]^+$ : 482.0964, Found 482.0967. HPLC analysis: MeOH/ $\text{H}_2\text{O}$  (60:40), 18.00 min, HPLC purity 99.8%.

4.1.2.11. (*1'S,3S,3'S*)-3'-(2-Fluorobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (**4l'**). White solid, 71% yield (26.5 mg, 0.06 mmol),  $[\alpha]_{\text{D}}^{25} = +87.99$  (c 0.10 in  $\text{CH}_2\text{Cl}_2$ ), m.p.  $>220$  °C.  $^1\text{H}$  NMR (600 MHz,  $\text{DMSO}-d_6$ ):  $\delta$  10.57 (s, 1H, NH), 8.73 (s, 1H, ArH), 8.47 (s, 1H, ArH), 7.61 (dd,  $J = 13.8$ , 7.2 Hz, 1H, ArH), 7.50 (t,  $J = 7.8$  Hz, 1H, ArH), 7.28 (dd,  $J = 11.4$ , 8.4 Hz, 1H, ArH), 7.23 (t,  $J = 7.8$  Hz, 1H, ArH), 7.14 (t,  $J = 7.8$  Hz, 1H, ArH), 7.05 (d,

$J = 7.8$  Hz, 1H, ArH), 6.80 (d,  $J = 7.8$  Hz, 1H, ArH), 6.75 (t,  $J = 7.8$  Hz, 1H, ArH), 6.55 (d,  $J = 6.0$  Hz, 1H, CHOH), 4.75 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.69 (dd,  $J = 10.8, 6.0$  Hz, 1H, COCH), 3.60 (dd,  $J = 18.6, 11.4$  Hz, 1H, H of CH<sub>2</sub>), 3.47 (dd,  $J = 18.6, 5.4$  Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  198.7, 176.8, 160.4 (d,  $J_{CF} = 252.6$  Hz), 148.2, 145.5, 142.7, 142.5, 137.7, 135.3 (d,  $J_{CF} = 9.3$  Hz), 130.3, 129.3, 128.3, 128.1, 125.8, 125.7 (d,  $J_{CF} = 11.3$  Hz), 124.8 (d,  $J_{CF} = 2.0$  Hz), 120.8, 118.8, 116.8 (d,  $J_{CF} = 22.5$  Hz), 109.2, 69.1, 51.8, 45.9, 25.9; HR-MS (ESI):  $m/z$  Calcd. for C<sub>24</sub>H<sub>16</sub>N<sub>3</sub>O<sub>7</sub>FNa [M+Na]<sup>+</sup>: 500.0870, Found 500.0872. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 13.80 min, HPLC purity 99.3%.

4.1.2.12. (*1'S,3S,3'S*)-3'-(4-Fluorobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (**4m'**). White solid, 75% yield (27.8 mg, 0.06 mmol),  $[\alpha]_D^{25} = -129.98$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  10.53 (s, 1H, NH), 8.72 (s, 1H, ArH), 8.48 (s, 1H, ArH), 7.94 (dd,  $J = 9.0, 6.0$  Hz, 2H, ArH), 7.31 (t,  $J = 9.0$  Hz, 2H, ArH), 7.13 (t,  $J = 7.8$  Hz, 1H, ArH), 7.08 (d,  $J = 7.2$  Hz, 1H, ArH), 6.79 (d,  $J = 7.2$  Hz, 1H, ArH), 6.76 (t,  $J = 7.8$  Hz, 1H, ArH), 6.50 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.87 (dd,  $J = 12.0, 6.0$  Hz, 1H, COCH), 4.72 (d,  $J = 6.6$  Hz, 1H, CHOH), 3.55 (dd,  $J = 18.0, 11.4$  Hz, 1H, H of CH<sub>2</sub>), 3.47 (dd,  $J = 18.0, 6.0$  Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  198.2, 176.9, 165.1 (d,  $J_{CF} = 251.3$  Hz), 147.9, 145.3, 142.4, 142.3, 137.7, 132.4, 131.2 (d,  $J_{CF} = 9.5$  Hz, 2C), 129.5, 128.1, 128.0, 125.4, 120.5, 118.5, 115.7 (d,  $J_{CF} = 21.8$  Hz, 2C), 108.8, 69.0, 51.3, 41.8, 26.5; HR-MS (ESI):  $m/z$  Calcd. for C<sub>24</sub>H<sub>16</sub>N<sub>3</sub>O<sub>7</sub>FNa [M+Na]<sup>+</sup>: 500.0870, Found 500.0868. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 12.60 min, HPLC purity 99.3%.

4.1.2.13. (*1'S,3S,3'S*)-3'-(3,4-Dichlorobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (**4n'**). White solid, 77% yield (29.3 mg, 0.06 mmol),  $[\alpha]_D^{25} = -157.34$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  10.55 (s, 1H, NH), 8.74 (s, 1H, ArH), 8.48 (s, 1H, ArH), 7.96 (s, 1H, ArH), 7.74 (br s, 2H, ArH), 7.14 (t,  $J = 7.8$  Hz, 1H, ArH), 7.00 (d,  $J = 7.2$  Hz, 1H, ArH), 6.77 (dd,  $J = 13.8, 7.8$  Hz, 2H, ArH), 6.52 (d,  $J = 7.2$  Hz, 1H, CHOH), 4.80–4.76 (m, 2H, CHOH, COCH), 3.54–3.49 (m, 2H, CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  198.0, 176.8, 147.9, 145.3, 142.6, 142.3, 137.6, 136.4, 136.0, 131.7, 131.0, 129.9, 129.3, 128.1, 128.0, 127.6, 125.4, 120.7, 118.5, 108.9, 69.0, 51.8, 42.6, 26.1; HR-MS (ESI):  $m/z$  Calcd. for C<sub>24</sub>H<sub>15</sub>N<sub>3</sub>O<sub>7</sub>Cl<sub>2</sub>Na [M+Na]<sup>+</sup>: 550.0185, Found 550.0187. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 14.87 min, HPLC purity 99.8%.

4.1.2.14. (*1'S,3S,3'S*)-3'-(4-Bromobenzoyl)-1'-hydroxy-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (**4o'**). White solid, 78% yield (29.9 mg, 0.06 mmol),  $[\alpha]_D^{25} = -139.98$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  10.53 (s, 1H, NH), 8.78 (s, 1H, ArH), 8.47 (s, 1H, ArH), 7.66 (br s, 4H, ArH), 7.06 (t,  $J = 7.8$  Hz, 1H, ArH), 6.69 (d,  $J = 7.8$  Hz, 1H, ArH), 6.64 (t,  $J = 7.8$  Hz, 2H, ArH), 6.02 (d,  $J = 7.8$  Hz, 1H, CHOH), 5.21 (d,  $J = 6.6$  Hz, 1H, CHOH), 4.76 (dd,  $J = 9.0, 6.6$  Hz, 1H, COCH), 3.59 (dd,  $J = 18.6, 9.0$  Hz, 1H, H of CH<sub>2</sub>), 3.53 (dd,  $J = 18.6, 6.6$  Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  197.6, 178.3, 148.0, 145.6, 144.7, 143.3, 137.1, 134.6, 131.4 (2C), 130.1 (2C), 127.6, 127.4, 127.0, 124.2, 124.0, 120.7, 118.4, 109.0, 71.4, 53.9, 45.5, 26.3; HR-MS (ESI):  $m/z$  Calcd. for C<sub>24</sub>H<sub>16</sub>N<sub>3</sub>O<sub>7</sub>BrNa

[M+Na]<sup>+</sup>: 560.0069, Found 560.0070. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 30.73 min, HPLC purity 99.5%.

4.1.2.15. (*1'S,3S,3'S*)-1'-Hydroxy-3'-(4-methoxybenzoyl)-5',7'-dinitro-3',4'-dihydro-1'H-spiro[indoline-3,2'-naphthalen]-2-one (**4p'**). White solid, 75% yield (28.2 mg, 0.06 mmol),  $[\alpha]_D^{25} = -108.68$  (c 0.10 in CH<sub>2</sub>Cl<sub>2</sub>), m.p. >220 °C. <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  10.55 (s, 1H, NH), 8.78 (s, 1H, ArH), 8.54 (s, 1H, ArH), 7.93 (d,  $J = 9.0$  Hz, 2H, ArH), 7.17 (dd,  $J = 7.8, 3.6$  Hz, 2H, ArH), 7.05 (d,  $J = 9.0$  Hz, 2H, ArH), 6.83 (dd,  $J = 18.0, 7.8$  Hz, 2H, ArH), 6.53 (d,  $J = 7.2$  Hz, 1H, CHOH), 4.92 (dd,  $J = 12.0, 6.0$  Hz, 1H, COCH), 4.73 (d,  $J = 7.2$  Hz, 1H, CHOH), 3.88 (s, 3H, OCH<sub>3</sub>), 3.59 (dd,  $J = 18.0, 12.0$  Hz, 1H, H of CH<sub>2</sub>), 3.48 (dd,  $J = 18.6, 6.0$  Hz, 1H, H of CH<sub>2</sub>); <sup>13</sup>C NMR (150 MHz, DMSO-*d*<sub>6</sub>):  $\delta$  197.6, 177.1, 163.4, 147.8, 145.2, 142.4, 142.4, 137.9, 130.6 (2C), 129.8, 128.3, 128.3, 127.8, 125.3, 120.4, 118.5, 113.9 (2C), 108.8, 69.1, 55.4, 51.1, 41.2, 26.9; HR-MS (ESI):  $m/z$  Calcd. for C<sub>25</sub>H<sub>19</sub>N<sub>3</sub>O<sub>8</sub>Na [M+Na]<sup>+</sup>: 512.1070, Found 512.1069. HPLC analysis: MeOH/H<sub>2</sub>O (60:40), 14.20 min, HPLC purity 97.9%.

## 4.2. Biological assays

### 4.2.1. Biochemical assays

The *in vitro* MDM2 and CDK4 assays were performed based on the HTRF method according to the previous reports and manufacturer's protocols. The protein kinase selectivity of compound **ent-4g** (100 nmol/L) was detected in a high-throughput binding assay (KINOMEScan, DiscoverX, Fremont, CA, USA) against a panel of 99 kinases. The experimental procedures were described in [Supporting Information](#).

### 4.2.2. Computational

The Accelrys Discovery Studio (DS3.5, Accelrys, San Diego, CA, USA) was utilized for the homology modeling of CDK4 binds to allosteric inhibitors. Then optimization of initial model, equilibration, interaction free energy calculation (MM-GBSA) and computational alanine scanning were optimized by the standard molecular dynamics protocol in AMBER12 package with the MMFF94 force field. The detailed computational procedures and parameters were provided in [Supporting Information](#).

### 4.2.3. Cell proliferation, apoptosis and Western blotting assays

The glioblastoma cell lines U87MG, U251 and T98G were obtained from the ATCC (American Type Culture Collection, Virginia, VA, USA) and cultured in the state key laboratory of biotherapy, west china hospital, Sichuan University, China. The cell proliferation and apoptosis assay were measured by using the MTT method and Annexin V/PI staining kit (Keygen, Nanjing, China), respectively. The Western blot (WB) analysis was performed according to the previous reports and manufacturer's protocols. The experimental procedures were described in [Supporting Information](#).

### 4.2.4. RNA sequencing and bioinformatics analysis

Total RNA from U251 cells with or without **ent-4g** incubation were extracted using the Trizol reagent (Life Technologies, Carlsbad, CA, USA) according to the manufacturer's protocol. Only the samples with RNA integrity values of >8.0 were used for mRNA sequencing on the Illumina HiSeq4000 platform by



Novogene Co., Ltd. (Beijing, China). The experimental procedures were described in [Supporting Information](#).

#### 4.2.5. Xenograft models and in vivo evaluations

The *in vivo* antitumor activity and preliminary safety of **ent-4g** were carried out according to the Guidelines for the Care and Use of Laboratory Animals that were approved by the Committee of Ethics of Animal Experimentation of Sichuan University, Chengdu, China. The six to eight weeks old SPF (specific pathogen-free) nude mice were purchased from Beijing Huafukang Biotechnology Co., Ltd. The *in vivo* antitumor activity preliminary safety of **ent-4g** were performed on the U251 subcutaneous xenograft models, and the pharmacokinetics evaluation of **ent-4g** were assessed in Sprague–Dawley rats (350–400 g). The detailed experimental procedures were provided in the supplementary materials.

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#### Author contributions

Gu He and Bo Han conceived and designed the study. Biao Wang, Fu Peng, Jin Zhou and Nan Zhang performed the experiments. Biao Wang, Wei Huang, Jia Sheng, Phensinee Haruehanroengra, Gu He and Bo Han analysed all the data. Biao Wang, Fu Peng, Gu He and Bo Han wrote the manuscript. All the authors approved the final version of the manuscript.

#### Conflicts of interest

The authors have no conflicts of interest to declare.

#### Appendix A. Supporting information

Supporting data to this article can be found online at <https://doi.org/10.1016/j.apsb.2019.12.013>.

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