



Development and Statistical Optimization of Solid Lipid Nanoparticle Formulations of Fluticasone Propionate

Flutikazon Propiyonatinın Katı Lipit Nanopartikül Formülasyonlarının Geliştirilmesi ve İstatistiksel Optimizasyonu

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ABSTRACT

Objectives: The aim of this study was to develop fluticasone propionate (FP)-loaded solid lipid nanoparticle (SLN) formulations by using factorial design approach.

Materials and Methods: Tristearin percentages (X1) (1%, 2%, and 4%) and homogenization cycles (X2) (2, 4, and 8 cycles) were selected as independent variables in the factorial design. SLN formulations were optimized by multiple linear regression (MLR) to evaluate the influence of the selected process and formulation independent variables on SLNs' characteristics, namely as encapsulation efficiency (Q1) and particle size (Q2). The polydispersity index and surface charge of the SLNs were also evaluated in this research. Moreover, transmission electron microscopy, differential scanning calorimetry, and *in vitro* drug release studies were carried out on the optimum SLN formulation.

Results: The MLR analysis indicated that as the homogenization cycle (X2) increased in the production process, the mean particle size decreased.

Conclusion: This research showed that FP-encapsulated SLNs with desired characteristics can be produced by varying the production and content variables of the formulations.

Key words: Experimental design, fluticasone propionate, nanoparticles

ÖZ

Amaç: Bu çalışmanın amacı, faktöriyel tasarım yaklaşımını kullanarak flutikazon propiyonat (FP)-yükü katı lipit nanopartikül formülasyonları (SLN) geliştirmektir.

Gereç ve Yöntemler: Faktöriyel tasarımda tristearin yüzdeleri (X1) (%1, %2 ve %4) ve homojenizasyon döngüleri (X2) (2, 4 ve 8 döngü) bağımsız değişkenler olarak seçilmiştir. SLP formülasyonlarının çoklu regresyon analizi (MLR) ile optimize edilmiş, seçilen işlemin ve formülasyonun etkisini SLN'lerin karakteristikleri üzerinde değerlendirmek için bağımsız değişkenleri olarak enkapsülasyon etkinliği (Q1) ve partikül boyutları (Q2) seçilmiştir. Bu çalışmada nanopartiküllere ait polidispersite indeksi ve yüzey yükleri de değerlendirilmiştir. Bunun yanı sıra, optimum SLN formülasyonu için transmisyon elektron mikroskopisi, diferansiyel taramalı kalorimetri ve *in vitro* etkin madde salım çalışmaları da yapılmıştır.

Bulgular: MLR analizi, üretim sürecinde homojenizasyon döngüsü (X2) arttıkça, ortalama partikül boyutunun azaldığını göstermiştir.

Sonuç: Bu araştırma, istenen özelliklere sahip FP ile enkapsüle edilmiş SLN'lerin, formülasyonların üretim ve içerik değişkenleri değiştirerek üretilebileceğini göstermiştir.

Anahtar kelimeler: Deneysel tasarım, flutikazon propiyonat, nanopartiküller

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Received: 26.03.2019, Accepted: 12.04.2019

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INTRODUCTION

Topical corticosteroids are regularly used drugs in the practice of dermatology, especially for the treatment of inflammatory skin disorders. However, the long-term application of them is restricted due to their local or systemic adverse effects. Several studies have been performed to enhance the anti-inflammatory efficiency of these active substances and to reduce their side effects.¹⁻³

Fluticasone propionate (FP), which is a potent anti-inflammatory, immunosuppressive, and antiproliferative drug, is a synthetic trifluorinated topical corticosteroid and is used for the therapy of skin conditions like atopic dermatitis and psoriasis.^{4,5} It is a highly lipophilic substance and highly glucocorticoid receptor binding and activation is its main characteristic.² FP is available in 0.005% ointment and 0.05% cream formulations for the treatment of inflammatory skin disorders that are responsive to corticosteroids.^{5,6}

The purpose of dermal drug delivery is to deliver the active molecules to the skin layers with minimum systemic absorption. One of the most important issues regarding the therapy of skin disorders such as atopic dermatitis, psoriasis, and skin cancer is the accumulation of the active substances in skin layers.^{7,8} In other words, drugs should reach the skin layers at a sufficient concentration and stay there for a particular duration. However, the stratum corneum, the outermost layer of the epidermis, considerably restricts the penetration of active substances into the skin.⁹ Nanosized drug delivery systems come into play at this point since they offer several advantages for dermal drug application. These advantages can be summarized as improving the skin penetration and reducing the adverse effects of active substances, achieving site-specific drug targeting into the skin, providing sustained and/or controlled drug release, and enhancing the chemical stability of molecules.⁹⁻¹¹ Dermal drug delivery by liposomes,¹² niosomes,¹³ nanoemulsions,¹⁴ polymeric nanoparticles,¹⁵ and lipid nanoparticles¹⁶⁻¹⁸ has been extensively researched by several groups.

Solid lipid nanoparticles (SLNs) were investigated at the beginning of the 90s for the elimination of the drawbacks of pre-existing colloidal systems such as nanoemulsions, liposomes, and polymeric nanoparticles.^{19,20} SLNs are produced by physiologically tolerated lipids or a mixture of lipids that are in solid form at body and room temperature. SLNs have several advantages like biocompatibility, protection of drugs against degradation, modification of the drug release rate, and the possibility of large scale production without the use of organic solvents. Moreover, the structural similarity and interactions between the epidermal lipids and the lipid matrix of SLNs could enhance the skin permeation of encapsulated drugs. The nanosize, narrow size distribution, and greater surface area of SLNs also facilitate drug penetration into the skin.²¹⁻²³ The controlled release of drugs can be achieved because of the considerably lower mobility of drug in a solid matrix than in a droplet. Several types of solid lipids including fatty acids, triglycerides, partial glycerides, waxes, and steroids can be used as the main ingredients of SLNs. The most

frequently used surfactants are nonionic triblock copolymers of polyoxypropylene and polyoxyethylene, nonionic surfactant, and emulsifiers such as polysorbates, lecithins, or polyvinyl alcohol for providing the stabilization of nanodispersions.^{24,25}

There are various methods for the production of SLNs such as high pressure homogenization, microemulsion, high shear homogenization and/or ultrasonication, solvent emulsification/evaporation, solvent emulsification/diffusion, electrospraying, solvent injection, and the use of membrane contactors and supercritical fluids. High pressure homogenization is the most desirable production technique for SLNs since it exhibits several advantages compared to the other techniques, such as suitability for large scale industrial production, the possibility of avoiding use of organic solvents, and the quite short processing time.^{26,27}

Factorial design is an approach that provides a statistical perspective to determine the effects and effect levels of input factors on the final product. The main objective in the factorial design approach is to obtain the maximum information between the minimum sample size and the cause-effect relationship for optimization of the formulation.²⁸ For this purpose, the factorial design approach makes controlled changes in input variables. The factorial design helps to scale the replies of the dependent variables based on the defined goals. Response surface methodology provides a graphical evaluation of the effects of input variables on response variables.^{29,30} The aim of the present investigation was to develop FP-loaded SLNs using the factorial design approach. A 3² full factorial design through design expert 6.0.8 software was used to optimize the various physico-chemical characteristics of SLNs. Two formulation parameters, tristearin percentages (1%, 2%, and 4%) and homogenization cycles (2, 4, and 8 cycles) were chosen as input factors. The output (response) factors selected to evaluate the particles *in vitro* were the encapsulation efficiency percentage (Q1) and particle size (Q2) of the SLNs.

MATERIALS AND METHODS

Materials

FP was kindly supplied as a gift from Deva Drug Company (Istanbul, Turkey). Tristearin was obtained from Sigma Aldrich (USA). Tween 80 was purchased from Fluka (USA). All other materials were of analytical grade.

Analytical validation of the high performance liquid chromatography (HPLC) method

The FP was analyzed by HPLC and the method was validated by means of the linearity, accuracy, precision, limit of detection (LOD), and limit of quantification (LOQ).

Optimization by 3² factorial design

Multiple linear regression (MLR) was carried out to examine the variables influencing the final characteristics of SLNs.³¹ Nine SLN formulations were produced as per a 3² factorial design to investigate the effect of two input factors, namely tristearin percentages (X1) and homogenization cycle (X2), on the two output factors, namely entrapment efficiency percentage (Q1)

and mean particle size (Q2), of the FP-loaded SLNs. Three levels were determined in order to evaluate each factor: -1, 0, and 1. The fitted models' regression equation for the output variables is presented in Equation 1 below.

$$Q = b_0 + b_1X_1 + b_2X_2 + b_3X_{12} + b_4X_{22} + b_5X_1X_2 \text{ (Equation 1)}$$

In the model, Q is the output factor, b_0 is the arithmetic average value of the tests, and b_1 , b_2 , b_3 , b_4 , and b_5 are the forecasted coefficients for the factors X_1 and X_2 . Nonlinearity is analyzed through the polynomial terms (X_{12} and X_{22}). The outcomes were investigated statistically using analysis of variance (ANOVA)⁴. The variable levels and the actual values are tabulated in Table 1.

Preparation of solid lipid nanoparticle formulations

FP-loaded SLN formulations were manufactured using a high pressure homogenizer. Tristearin was melted and 50 mg of FP was added to the melted lipid. Aqueous Tween 80 (1%) solution was also heated to the same temperature. After an Ultraturrax T25 (IKA, Germany) at 13,500 rpm was used to mix the lipid phase and aqueous phase for 3 min, the hot pre-emulsion was subsequently homogenized by a Microfluidics M110L (USA) at a pressure of 18,000 Psi. Three different tristearin percentages (1%, 2%, and 4%) and three different cycle numbers (2, 4, and 8 cycles) were investigated based on two responses: encapsulation efficiency (Q1) and particle size (Q2). SLN dispersions were centrifuged using a Vivaspin (MWCO=10,000) at 4500 rpm for 30 min (Sigma 3K30, Germany) and then lyophilized.

Particle size and zeta potential analysis

Particle size measurements were obtained by a dynamic light scattering technique. For this purpose, a Malvern Zetasizer (Malvern, UK) was used to measure the mean particle size, polydispersity index, and the zeta potential values of FP-loaded SLNs. Dry powder of lipid nanoparticles was dispersed in ultrapure water before analysis.

Table 1. Actual values and variable levels designed through 3² factorial design of FP-loaded SLNs

Formulation codes	Actual values		Variable levels in coded form				
	X1	X2	X1	X2	X1 ²	X2 ²	X1X2
SLN1	1%	2	-1	-1	1	1	1
SLN2	1%	4	-1	0	1	0	0
SLN3	1%	8	-1	1	1	1	-1
SLN4	2%	2	0	-1	0	0	0
SLN5	2%	4	0	0	0	1	0
SLN6	2%	8	0	1	0	0	0
SLN7	4%	2	1	-1	1	1	-1
SLN8	4%	4	1	0	1	0	0
SLN9	4%	8	1	1	1	1	1

FP: Fluticasone propionat, SLN: Solid lipid nanoparticle

Determination of encapsulation efficiency

For the determination of the encapsulation efficiency of SLN formulations, 10 mg of SLN was dissolved in methanol at 75°C. The solution was stirred using a magnetic stirrer in a tightly sealed vial. After that, the solution was ultrasonicated with 50% power for 5 min (Bandelin Sonoplus HD 2070, Germany) and then cooled to room temperature. It was centrifuged at 26,000 rpm for 20 min at 4°C (Sigma 3K30, Germany) and then the supernatant was filtered using a 0.22 µm cellulose acetate membrane filter. The amount of FP was determined using an HPLC system (Agilent 1260 Infinity). Separation was carried out using a NovaPak® C18 column (4 µm, 150x3.9 mm) (Waters, Ireland). The column temperature was set to 35°C. The mobile phase composition was acetonitrile:water (60:40 v/v) and the flow rate was 1 mL/min. A 10-µL sample was injected into the system and the samples were analyzed at a wavelength of 236 nm.

In vitro drug release study

The dialysis bag method was used to determine the *in vitro* release profile of FP from the SLN formulation. SLN formulation corresponding to 5 mg of FP was placed into hydrated dialysis membranes (MWCO=12-14 kDa, Spectrapor-2). A mixture of 100 mL of phosphate buffered saline pH 7.4 and ethanol (70:30) was used as dissolution medium at 37°C and under constant stirring (100 rpm). The samples were taken at particular times over 24 h. The medium was completely removed and replaced with 100 mL of fresh dissolution medium at each time point to provide a sink condition. Samples taken were filtered through 0.45 µm regenerated cellulose membrane filters and the amount of FP was determined by HPLC.

Transmission electron microscopy (TEM) analysis

The shapes of the lipid nanoparticles were examined by FEI Tecnai G2 S Twin TEM (Osaka, Japan) at an acceleration voltage of 120 kV. After dry powder of lipid nanoparticles was dispersed in ultrapure water the dispersion was dropped on a copper grid.

Differential scanning calorimetry (DSC) analysis

The thermal properties and crystallinity of pure FP, bulk lipid, and FP-loaded SLNs were determined by DSC (Shimadzu DSC-60, Japan). Five milligrams of sample was placed in hermetically sealed aluminum pans and a DSC thermogram was obtained at a scanning rate of 5°C/min while the samples were heated from room temperature to 300°C. Moreover, for the calibration of the instrument indium was used as a reference.

Statistical analysis

All results were statistically analyzed using one-way ANOVA through design expert 6.0.8 software.

RESULTS AND DISCUSSION

Analytical validation of the HPLC method

Linearity

After analyzing the eight different concentration of FP six times by HPLC, the average peak areas were plotted against

concentrations. A linear relationship between peak area and concentration was observed. The best linearity was obtained between concentrations of 0.25 and 10 µg/mL in methanol since the correlation coefficient was found to be 0.9996.

Accuracy

After FP solutions in methanol at concentrations of 0.5 µg/mL, 4 µg/mL, and 10 µg/mL were injected 6 times as a test sample, the detector responses were used to calculate the concentrations of FP. The accuracy of the analytical method was determined with the help of the variation coefficient [relative standard deviation (RSD)] of the percent recovery values. Since the RSD values obtained were close to or less than 2%, the method was assumed to be accurate (Table 2).

Table 2. The RSD % values obtained for the analytical validation parameters

	0.5 µg/mL	4 µg/mL	10 µg/mL
Accuracy (RSD %)	1.43	2.34	0.55
Repeatability (RSD %)	1.92	1.55	1.30
Intermediate precision (RSD %)	1.89	1.80	1.19

RSD: Relative standard deviation

Precision

The repeatability and intermediate precision of the method were evaluated. The repeatability of the method was determined by analysis of 6 repetitive injections of FP-methanol solutions and was shown as the RSD of measured concentrations. The RSD values were less than 2% as can be seen in Table 2. The intermediate precision of the HPLC method was defined by the RSD value of 12 injections performed on two different days and the RSD values were less than 2% (Table 2). On the other hand, there was no statistically significant difference between the means of the measured concentrations obtained on two different days for each FP solution ($p > 0.05$).

LOD and LOQ values

The LOD and LOQ values were calculated in accordance with the equations below. The standard deviation (s) of the response and the slope (m) of the calibration curve were used. While the LOD value was 0.09 µg/mL, the LOQ value was 0.28 µg/mL.

$$\text{LOD} = 3.3 \times s/m$$

$$\text{LOQ} = 10 \times s/m$$

Formulation optimization by 3² factorial design

Tristearin percentages were varied (1%, 2%, and 4%). These three different ratios were tested at three different numbers of homogenization cycles: 2, 4, and 8. In this way, nine SLNs were produced as per the 3² factorial design. The magnitude and sign of the main influence indicate the relative effect of each factor on the response by means of polynomial equations. Table 3 gives the predicted and the observed values of responses (Q1, Q2). The predicted values were derived from the equations and the observed values were determined from experimental results.

Table 4 shows the results of model coefficients estimated by MLR and the ANOVA of the investigated model for all responses. The quality of the model developed was evaluated based on the regression coefficient values. The determination coefficient (r^2 value) for the response Q2 was nearer to 1, indicating that there was a good correlation between the observed and the response measures from the model. The negative sign in front of the coefficients indicated that the response of the nanoparticles increased when the independent factor was decreased, and the positive sign for the coefficients showed the positive effect of the independent factors on the observed replies. The model F-value of 3.87 for Q1 response implied there was a 5.30% probability that a "Model F-Value" of this magnitude could be caused by noise. On the other hand, the "Model F-value" of 57.71 for Q2 response indicated that the model was statistically meaningful. The possibility of such a large "Model F-Value" due to noise is only 0.01%,^{28,31,32}

Figure 1 shows the linearity plots between the Q1 and Q2 values. The correlation graphs that show linearity between actual and predicted response variables indicated that the fit to the model was at an excellent level for Q2 ($p < 0.05$), whereas the linear correlation plots showed a low compliance to the model for Q1 ($p > 0.05$) (Figure 1). This situation is also evidenced by the F-value calculated for the Q1 model. The F-value of the Q1 model ($F = 3.87$) is smaller than the tabulated F-value ($F_{\text{tab}} = 4.46$). This situation indicates statistical nonsignificance of the model (Figure 1 and also the p values in Table 4).

As seen from Table 3, drug entrapment efficiency of all factorial formulations was produced within a broad range of 27.07-94.65%. Drug entrapment efficiency was not affected significantly by the level of X1 or X2 ($p > 0.05$). Generally, as seen in p values that indicated the significance of the coefficients (Table 4), neither of the independent factors (X1 and X2) had a strong effect on the drug entrapment efficiency (Q1) ($p > 0.05$).

Table 3. Observed and predicted responses of FP-loaded SLNs

Formul code	Responses			
	Observed values		Predicted values	
	Q1± SD (%)	Q2± SD (nm)	Q1± SD (%)	Q2± SD (nm)
SLN1	52.02	352.9	43.51	334.3
SLN2	37.70	203.8	47.55	223.5
SLN3	33.31	130.9	31.97	112.7
SLN4	35.70	243.5	36.36	253.4
SLN5	38.11	190.7	34.68	196.8
SLN6	27.07	131.2	13.39	140.1
SLN7	71.32	177.1	79.17	172.5
SLN8	94.65	178.4	71.78	170.0
SLN9	29.74	171.6	44.76	167.5

FP: Fluticasone propionate, SLN: Solid lipid nanoparticle, SD: Standard deviation

When the average size of the SLNs was investigated depending on the variation in homogenization cycles (X2) at each tristearin percentage (X1), it was observed that as X2 increased from 2 to 8 the mean particle size decreased significantly ($p < 0.05$). The average particle size of SLNs ranged from 130.9 ± 3.30 to 352.9 ± 10.93 nm. Generally, from the p values of the coefficients presented in Table 4, it was concluded that both of the investigated variables (X1 and X2) had a major influence on the output Q2 ($p < 0.05$). The biggest average size was observed in the lowest level of X1 (1%) and the lowest level of X2 (2 cycles) in factorial formulation SLN1.

PDI, which is the indicator of homogeneity of the size distribution in colloidal drug delivery systems, is generally expressed as less than 0.3 for narrow size distribution.^{28,32} The PDI values of all factorial formulations were between 0.181 and 0.497 (Figure 2). It was observed that the factorial formulations that contain tristearin with a percentage of 1 or 2 showed a wide size distribution ($PDI > 0.2$) based on the homogenization cycles investigated except in the formulations that contained 2% tristearin at homogenization cycle 8 (SLN6 coded formulation). As tristearin percentage increased from 1% or 2% to 4%, the PDI values were less than 0.3, indicating a uniform size distribution.

The surface charge of nanosized particles is the potential at the

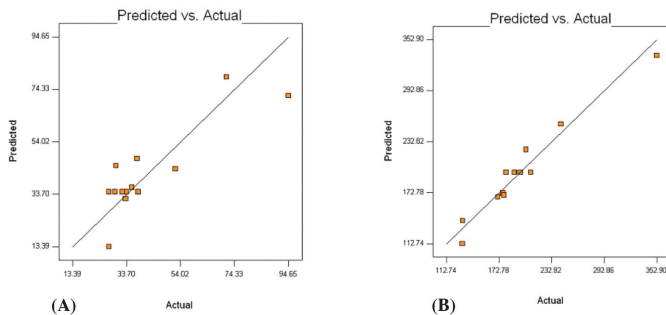


Figure 1. Linearity correlation graphs between actual and predicted values of (A) Q1, (B) Q2

hydrodynamic shear plane and indicates the particle stability in dispersions.³¹ All of the SLNs exhibited negative surface charge between -19.5 and -29.7 mV. The surface charge of SLNs was not affected significantly by the variation in tristearin percentages or the homogenization cycles (Figure 3).

Simplified models were also utilized to draw contour plots for analyzing the effect of independent variables. The contour plots give a diagrammatical demonstration of the values of

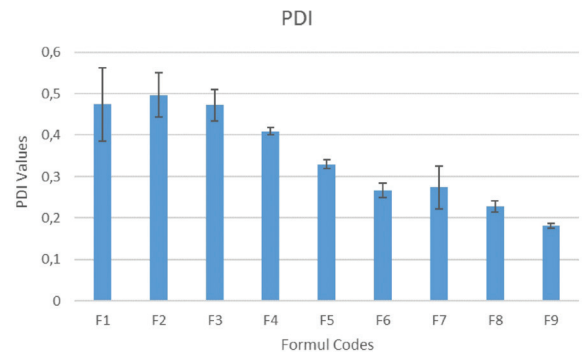


Figure 2. PDI values of the FP-loaded SLN formulations
PDI: Polydispersity index, FP: Fluticasone propiyonat, SLN: Solid lipid nanoparticle

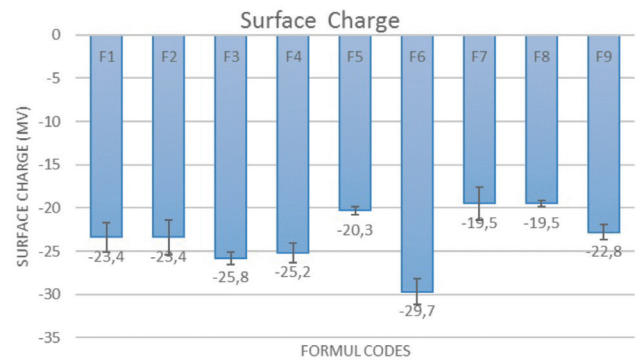


Figure 3. Surface charge of the FP-encapsulated SLN formulations
FP: Fluticasone propiyonat, SLN: Solid lipid nanoparticle

Table 4. Results of model coefficients estimated by MLR and the ANOVA of the fitted model for all responses

Responses	Model coefficients			Regression analysis of variance			
	Factor	Coefficients	p value	F	p value	R ²	Adjusted R ²
Q1	Intercept	34,6844		3.87	0.0530	0.7345	0.5449
	X1	+12,1133	0.0638				
	X2	-11,4867	0.0755				
	X1 ²	+24,9931	0.0179				
	X2 ²	-9,81070	0.2662				
	X1X2	-5,71750	0.4248				
Q2	Intercept	+196,7462		57.71	<0.0001	0.9506	0.9341
	X1	-26,7500	0.0013				
	X2	-56,6333	<0.0001				
	X1X2	+54,1250	<0.0001				

MLR: Multiple linear regression, ANOVA: Analysis of variance

the response. Since the contour plot of Q1 (Figure 4A) was nonlinear, it demonstrates a nonlinear relationship between input factors. As can be seen from the contour plot of Q2 (Figure 4B), the indicator of the linear relationship between X1 and X2 input factors is the linearity of the graph.

According to the release profile study of FP-loaded SLNs as shown in Figure 5, prolonged release was obtained without any initial burst effect. The nature of the lipid matrix affects the release profile of the active substance. It is thought that FP-loaded SLNs formed in a core-shell model with a drug-enriched core. This may be responsible for the slow release.

TEM micrographs of FP-loaded SLNs are shown in Figure 6. TEM analysis confirmed the colloidal sizes of the FP-loaded SLNs with spherical shapes.

Differential scanning calorimetry analysis

The DSC thermograms given in Figure 7 show that pure FP is decomposed by a small exothermic peak at 271.72°C. This outcome is in agreement with previous research by El-Gendy et al.³³ and Dai et al.³⁴ The peak of the active agent thus observed also indicated that the FP was a crystal structure. When the thermogram of the pure form of tristearin was evaluated, it was seen that tristearin produced a small exothermic shoulder peak at 49.89°C at first and then it gave a large endothermic peak at 60.73°C, which indicated the presence of a crystal structure in tristearin.³⁵ When the thermogram of the optimum SLN was examined, it was seen that the exothermic peak of FP disappeared. This indicated that FP's crystal structure was turned into an amorphous structure within the SLN matrix.

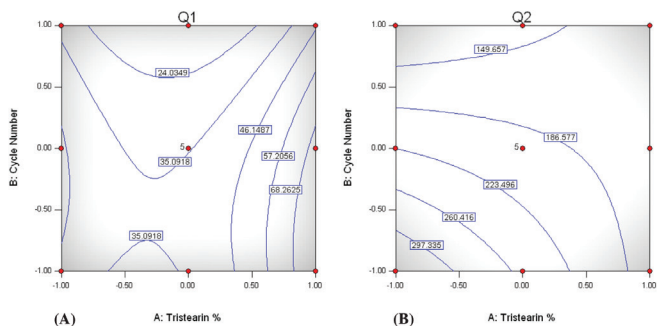


Figure 4. Contour plots of FP-loaded SLNs showing the influence of X1 and X2 on A) Q1, B) Q2

FP: Fluticasone propionat, SLN: Solid lipid nanoparticle

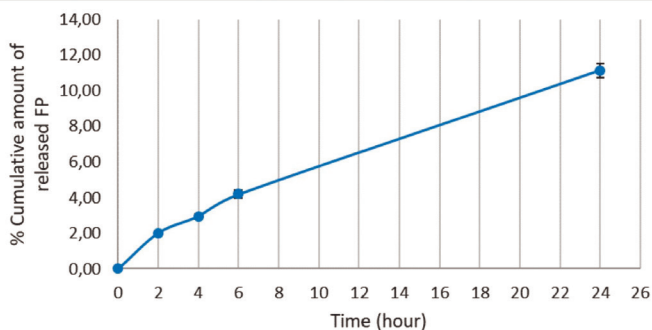


Figure 5. *In vitro* drug release profile of FP-loaded SLNs
FP: Fluticasone propionat, SLN: Solid lipid nanoparticle

When the optimum formulation's thermogram was examined for tristearin peaks, it was seen that the exothermic shoulder peak of tristearin disappeared where the main endothermic main peak at 57.02°C remained the same shape with the same sharpness. This situation was interpreted as showing that tristearin in the SLN formulation preserved a large proportion of its crystal structure.

CONCLUSION

FP-loaded SLNs were successfully fabricated using high pressure homogenization. A 3² experimental design and contour plot analysis were used with software to set up the best formulation conditions with a limited number of experiments. This study showed that tristearin percentages and the number of homogenization cycles used in the SLN formulations significantly affected the physico-chemical characteristics

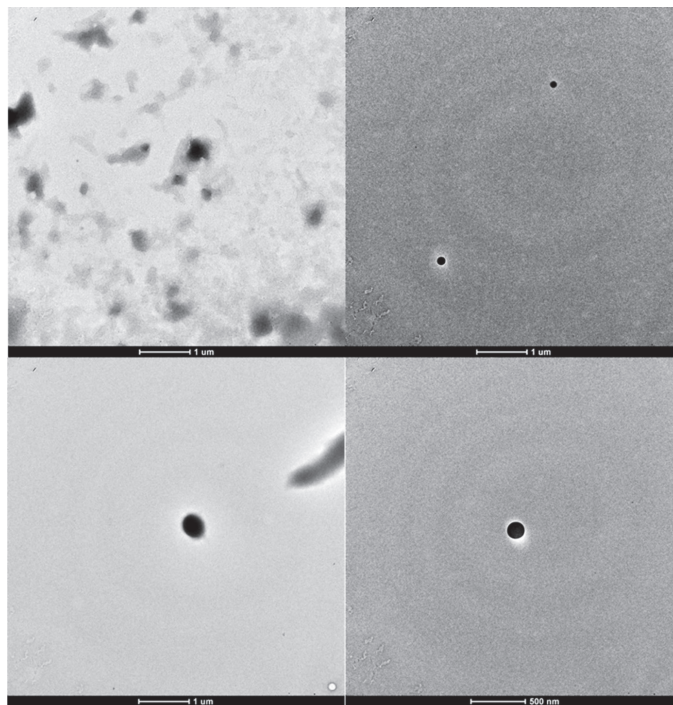


Figure 6. TEM micrograph of the optimal formulation
TEM: Transmission electron microscopy

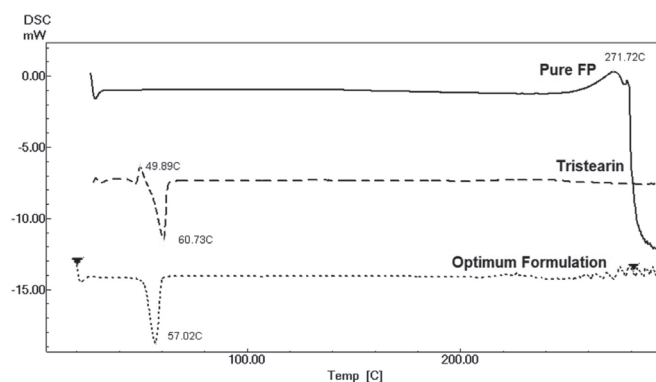


Figure 7. DSC thermograms of pure FP, tristearin, and optimum formulation
DSC: Differential scanning calorimetry, FP: Fluticasone propionat

of FP-loaded SLNs. According to the factorial design study performed in this research, the optimum formulation could be achieved with the content of 4% tristearin and 4 homogenization cycles.

Conflicts of interest: No conflict of interest was declared by the authors. The authors alone are responsible for the content and writing of the paper.

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