



Published in final edited form as:

*Vis Neurosci.* ; 37: E006. doi:10.1017/S095252382000005X.

## The effects of early diabetes on inner retinal neurons

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### Abstract

Diabetic retinopathy is now well understood as a neurovascular disease. Significant deficits early in diabetes are found in the inner retina that consists of bipolar cells that receive inputs from rod and cone photoreceptors, ganglion cells that receive inputs from bipolar cells and amacrine cells that modulate these connections. These functional deficits can be measured *in vivo* in diabetic humans and animal models using the electro-retinogram (ERG) and behavioral visual testing. Early effects of diabetes on both the human and animal model ERGs are changes to the oscillatory potentials that suggest dysfunctional communication between amacrine cells and bipolar cells as well as ERG measures that suggest ganglion cell dysfunction. These are coupled with changes in contrast sensitivity that suggest inner retinal changes. Mechanistic *in vitro* neuronal studies have suggested that these inner retinal changes are due to decreased inhibition in the retina, potentially due to decreased GABA release, increased glutamate release, and increased excitation of retinal ganglion cells. Inner retinal deficits in dopamine levels have also been observed that can be reversed to limit inner retinal damage. Inner retinal targets present a promising new avenue for therapies for early stage diabetic eye disease.

### Keywords

diabetes; inhibition; retina; amacrine cell; ganglion cell

### Introduction

Diabetic retinopathy (DR) has long been understood as both a vascular and neuronal disease of the retina. Significant evidence exists showing early neuronal deficits, potentially before any vascular dysfunction, in both diabetic patients and animal models of diabetes (Lynch & Abramoff, 2017). This neurodegeneration and neuronal dysfunction has been shown to be part of the progression of DR in diabetic human and animal models. Various potential neuronal targets have been proposed for therapeutic approaches (Barber & Baccouche, 2017). This has suggested an approach to DR as a neurovascular disease (Abcouwer & Gardner, 2014). In other areas of the nervous system diabetes causes early deficits in excitability (Morgado *et al.*, 2008; Heng *et al.*, 2011), Ca<sup>2+</sup> signaling (Liu *et al.*, 2012; Zherebitskaya *et al.*, 2012), and glutamate signaling (Gagne *et al.*, 1997; Li *et al.*, 2014) suggesting neuronal specific deficits. In the retina, some of the earliest functional deficits

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Author Contributions: Both authors surveyed literature and wrote and edited the manuscript.

found in diabetes are from *in vivo* measurements attributed to inner retinal neurons: bipolar cells that receive inputs from photoreceptors, ganglion cells that receive input from bipolar cells and amacrine cells that modulate this signaling (Juen & Kieselbach, 1990; Parisi & Uccioli, 2001; Kizawa *et al.*, 2006). Here we will review the evidence for early diabetic damage in the inner retina and show evidence for some early mechanistic changes to inner retinal neuronal function.

## Changes in *in vivo* inner retinal activity before the development of DR

The electroretinogram (ERG) has been a primary method to measure retinal activity changes in early diabetes, prior to the manifestation of clinical DR. Importantly this method can be used *in vivo* in both humans with diabetes and diabetic animal models. This means that ERG measurements can be repeated over time and could potentially be used as a screening mechanism for early retinal dysfunction. The full-field ERG has several distinct waves that have been attributed to specific cell types in the retina (Wachtmeister & Dowling, 1978; Robson & Frishman, 1998; Green & Kapousta-Bruneau, 1999). Focusing on the inner retina, the b wave primarily reflects the activity of ON bipolar cells that respond to the onset of light (Robson & Frishman, 1998; Green & Kapousta-Bruneau, 1999). The oscillatory potentials (OPs) reflect the interaction between bipolar cells and amacrine cells (Wachtmeister & Dowling, 1978; Green & Kapousta-Bruneau, 1999; McCall *et al.*, 2002). Using different light stimuli the photopic negative response (PhNR) and the scotopic threshold response (STR) reflect primarily ganglion cell activity (Robson & Frishman, 1998; Viswanathan *et al.*, 1999). Further retinal activity (multi-focal and pattern ERG) and visual behavioral measurements (visual acuity and contrast sensitivity) reflect the integration of information in the whole retina. All of these methods have been used to show changes in inner retinal function in early diabetes.

To review these results we will start with the ERG responses that have shown the earliest and most consistent alterations, the OPs. Many studies have shown that OP changes are the earliest retinal activity change present in human diabetic patients, before any DR (Coupland, 1987; Juen & Kieselbach, 1990; Yoshida *et al.*, 1991; Parisi *et al.*, 1997; Luu *et al.*, 2010) and that reduced or slowed OPs can be correlated with later DR development (Simonsen, 1980; Bresnick & Palta, 1987; Vadala *et al.*, 2002; Kizawa *et al.*, 2006). Rat and mouse models of diabetes have shown decreases in OP amplitude (Layton *et al.*, 2007; Zhang *et al.*, 2011) 3–4 weeks after diabetes induction and delayed OP timing (increased implicit time) (Shinoda *et al.*, 2007; Aung *et al.*, 2013; Sergeys *et al.*, 2019) 4–6 weeks after the induction of diabetes. Changes in OPs have been tightly correlated between rat diabetes models and human diabetic patients (Pardue *et al.*, 2014), where rod pathway responses are dysfunctional well before any cone pathway changes (Figure 1). These results strongly suggested either dysfunctional retinal amacrine cells or dysfunction in the communication between retinal amacrine cells and bipolar cells, potentially preferentially affecting the dim light rod pathway.

To determine if bipolar cell activity was specifically affected, we move to the b wave, a reflection of ON bipolar cell activity. Studies have shown that diabetic humans with no DR show no changes in b waves (Vadala *et al.*, 2002; Kizawa *et al.*, 2006). In contrast mouse and

rat diabetes models have shown a decrease in b wave amplitude in between 3–6 weeks after hyperglycemia or diabetes induction (Layton *et al.*, 2007; Zhang *et al.*, 2011; Kur *et al.*, 2016; Lee *et al.*, 2018b) or an increase in implicit time (Aung *et al.*, 2014). This suggests there could be subtle deficits in bipolar cell activity that are observed in animal models that typically have higher blood glucose levels than an average diabetic human. Another possibility, given the strong changes in amacrine cell or amacrine cell/bipolar cell interactions suggested by the OP dysfunction, is that changes in amacrine cell activity are influencing the b wave, as has been shown in previous studies (Naarendorp & Sieving, 1991; Robson *et al.*, 2004; Herrmann *et al.*, 2011; Smith *et al.*, 2015; Travis *et al.*, 2018).

Other, more complex ERG measurements are necessary to measure retinal ganglion cell and coordinated retinal activity. The multi-focal ERG (mfERG), that analyzes activity of small portions of the retina, reflects a combination of inner and outer retina activity (Hood *et al.*, 2002). Multiple studies have shown that the implicit time of mfERGs is delayed in type 1 and 2 diabetic patients with no DR (Bronson-Castain *et al.*, 2012; Dhamdhere *et al.*, 2012; Laron *et al.*, 2012). The PhNR uses specific wavelengths to target retinal ganglion cell activity (Viswanathan *et al.*, 1999). Decreases in the PhNR have been shown in human type 1 diabetes patients with no DR (McFarlane *et al.*, 2012), suggesting ganglion cell dysfunction. Additionally pattern-ERG (PERG), which uses more complicated patterned lights instead of a single flash and captures more ganglion cell activity (Luo & Frishman, 2011) has been used. There is a decrease in PERG responses in type 1 diabetes patients observed as soon as 6 months after diagnosis (Parisi & Uccioli, 2001). In rodent models of diabetes these more complex measurements are difficult, but the scotopic threshold response (STR) has been suggested to reflect ganglion cell activity (Robson & Frishman, 1998). Reduction in the STR has been shown at 4 weeks post diabetes induction (Kohzaki *et al.*, 2008; Liu *et al.*, 2020). Overall these studies strongly suggest that inner retinal activity involving amacrine cells, ganglion cells, and at least inhibitory inputs to bipolar cells are some of the earliest affected areas in the retina.

These changes in inner retinal activity are also reflected in changes in behavioral visual measures. In humans with type 1 and 2 diabetes multiple papers have shown reduced contrast sensitivity with no DR (Di Leo *et al.*, 1992; Dosso *et al.*, 1996; Harris *et al.*, 1996; Lopes de Faria *et al.*, 2001; Katz *et al.*, 2010; Safi *et al.*, 2017). In rodent models visual behavior is more difficult to assess, but many investigators use the optokinetic reflex response, where animals only respond to stimuli that have sufficient contrast or size so that they can distinguish moving bars. Using these measures in rats and mice, contrast sensitivity and visual acuity have been shown to be reduced only 4 weeks after diabetes induction (Kirwin *et al.*, 2011; Aung *et al.*, 2013; Aung *et al.*, 2014; Miller *et al.*, 2018). Together all of these studies suggest important functional changes in inner retinal activity and visual behavior early in diabetes.

## Changes in inner retinal structure before the development of DR

Due to advancements in *in vivo* spectral-domain optical coherence tomography (SD-OCT) imaging of the retina (Jaffe & Caprioli, 2004), retinal layer thickness can be measured *in vivo* in diabetic humans and animal models at similar stages of diabetes as the retinal and

visual activity measurements described above. In humans with diabetes but no DR, OCT measurements *in vivo* have shown smaller total retinal thickness (Bronson-Castain *et al.*, 2012; Chen *et al.*, 2016), retinal nerve fiber layer (RNFL) (Lopes de Faria *et al.*, 2002; Verma *et al.*, 2012; Gundogan *et al.*, 2016), ganglion cell layer (GCL) (Van Dijk *et al.*), and inner retina (Karti *et al.*, 2017). Other studies have not found retinal thinning (Park *et al.*, 2011; Dhamdhare *et al.*, 2012), suggesting that this is something that develops over the course of diabetes (Pinilla *et al.*, 2020). In animal models, OCT measurements in mice found reduced thickness 4–8 weeks post diabetes development/induction (Hombrebueno *et al.*, 2014; Sergeys *et al.*, 2019). However, *in vitro* studies measuring retinal thickness found no changes in retinal thickness in rats at 12 weeks post diabetes induction (Enzsoly *et al.*, 2014) and 10 weeks post diabetes in mice (Martin *et al.*, 2004). Although it is not yet possible to determine if neurons are in the process of dying *in vivo*, several studies have done *in vitro* TUNEL labeling, which labels cells in the process of dying, and caspase-3 labeling, which labels cells that have activated apoptotic pathways. Diabetic rat and mouse models have shown increases in TUNEL (El-Remessy *et al.*, 2006; Gastinger *et al.*, 2006; Hernandez *et al.*, 2013) and caspase-3 (Martin *et al.*, 2004; Scuderi *et al.*, 2014) labeling 2–4 weeks after the induction of diabetes.

At this point *in vivo* measurements cannot count specific cells, but *in vitro* studies have been done to determine if the loss of retinal thickness and increase in cell death markers correlates to early loss of retinal neurons. There are two ways to count cell loss – counting all of the cells in a retinal layer or labeling and counting specific cell types. In studies of early diabetes (4–6 weeks) in rat or mouse diabetes models no loss of total cells in the INL has been shown (Zhang *et al.*, 2011; Moore-Dotson *et al.*, 2016). Some rat and mouse studies have shown the loss of total cells in the GCL 4–6 weeks after diabetes induction (Zeng *et al.*, 2000; Zhang *et al.*, 2011; Yang *et al.*, 2012), but some studies have shown no loss at 6 weeks (Moore-Dotson *et al.*, 2016) or until 10 weeks (Martin *et al.*, 2004).

To clarify these general measurements several studies have labelled specific bipolar cell, amacrine cell or ganglion cell types. Mouse models of diabetes have shown no loss of rod bipolar cells, horizontal cells, GABAergic or glycinergic amacrine cells 6–12 weeks after diabetes (Hombrebueno *et al.*, 2014; Moore-Dotson *et al.*, 2016), but losses of dopaminergic and cholinergic amacrine cells have been reported 16 weeks-6 months after diabetes (Gastinger *et al.*, 2006; Liu *et al.*, 2020). Since the GCL measurements in rodents discussed above contain both ganglion cells and displaced amacrine cells (Jeon *et al.*, 1998), other studies have specifically labeled ganglion cells to measure ganglion cell loss. In diabetic mouse and rat models no loss of ganglion cells was shown after 7–24 weeks of diabetes (Hombrebueno *et al.*, 2014; Enzsoly *et al.*, 2015), but in other studies loss was shown 12–16 weeks after diabetes (Gastinger *et al.*, 2008; Liu *et al.*, 2020). These results suggest that although retinal layer thinning may be an early symptom of diabetic retinal damage, it is probably not the earliest symptom and may not correlate to actual loss of neurons.

## Neuronal mechanisms of diabetic inner retinal damage

The measured changes in *in vivo* retinal and visual responses in early diabetes suggest there are specific retinal neuronal deficits caused by early diabetes. General changes in synaptic

function have been suggested by a decrease in the expression of presynaptic proteins from rat retinas after 4 weeks of diabetes: (VanGuilder *et al.*, 2008). Given that the earliest *in vivo* physiological changes strongly suggest dysfunction of inhibition from amacrine cells and excitation to ganglion cells, it is important to understand the changes in both inhibitory and excitatory signaling in the retina. Using *in vitro* preparations this can be studied at a variety of levels. Because the *in vitro* retina will respond physiologically to light, the responses of individual neurons in the retina can be studied in response to light. These responses can then be broken down further to look for deficits in specific neurotransmitter signaling, receptors that mediate the neurotransmitter signaling, and modifiers that affect the synaptic signaling.

### Changes in retinal inhibition due to diabetes

The changes in OPs discussed above strongly suggest changes to retinal inhibition, as OPs can be eliminated or changed by modulating inhibitory neurotransmitter levels or receptors (Wachtmeister & Dowling, 1978; Wachtmeister, 1980; Green & Kapousta-Bruneau, 1999; McCall *et al.*, 2002; Moller & Eysteinson, 2003). Because the retina can be studied with circuits intact *in vitro*, where individual neurons are accessible for recording, several studies have shown specific changes in inhibition evoked from retinal amacrine cells. The majority of studies have investigated the inhibitory input to rod bipolar cells, that receive input from rod photoreceptors, respond to the onset of light, and represent ~50% of the bipolar cells in the human peripheral and rodent retina. Rod bipolar cells receive inputs from GABAergic and glycinergic amacrine cells onto GABA<sub>A</sub>, GABA<sub>C</sub> and glycine type neurotransmitter receptors (Eggers & Lukasiewicz, 2006a). Stimulating the retina physiologically with light, total inhibition and GABAergic inhibition mediated by both the GABA<sub>A</sub> and GABA<sub>C</sub> receptors on rod bipolar cells were reduced after 6 weeks of diabetes (Moore-Dotson *et al.*, 2016) (Figure 2A–B). A later study showed that this reduction was specific to amacrine cells, as the duration of electrically evoked inhibition and inhibition from rod bipolar cell – amacrine cell feedback was also reduced after 6 weeks of diabetes (Moore-Dotson & Eggers, 2019).

Changes in evoked inhibition could be due to changes in the function or loss of receptors for inhibitory neurotransmitter or in decreases in the release of inhibitory neurotransmitter. One way this can be studied is to determine the effect of activating or blocking inhibitory receptors on the outputs of bipolar cells, since certain circuit connections in the retina are well understood. One previous study measured the effect of inhibition by modulating inhibition while recording the spontaneous release of glutamate from rod bipolar cells onto a type of amacrine cell, the AII amacrine cell. Blocking GABA<sub>C</sub> receptors caused an increase in spontaneous release of glutamate onto AII amacrine cells, but after 2–5 weeks of diabetes this effect was decreased (Castilho *et al.*, 2015a), suggesting a decrease in expression or function of GABA<sub>C</sub> receptors. However, a different study showed increased sensitivity of rod bipolar cell GABA<sub>C</sub> receptors to GABA (Ramsey *et al.*, 2006) after 12 weeks of diabetes. After 6 weeks of diabetes no changes were shown in the amplitude of spontaneous inhibitory synaptic currents (IPSCs) mediated by GABA<sub>C</sub> receptors (Moore-Dotson *et al.*, 2016). To date no labeling of GABA<sub>C</sub> receptor protein levels has been performed so it is not clear if GABA<sub>C</sub> receptor levels are changing. After 6 weeks of diabetes no change was found in GABA<sub>A</sub> receptor protein labeling on rod bipolar cells (Moore-Dotson *et al.*, 2016),

although spontaneous IPSCs mediated by GABA<sub>A</sub> receptors were increased in amplitude, potentially due to increased frequency of GABA release that will be discussed later. Although no physiological responses of glycinergic inhibition have been measured, after 1 week of diabetes another study showed a significant decrease in glycine receptor  $\alpha 4$  subunit (Morales-Calixto *et al.*, 2019). In contrast to the GABA receptor responses measured above in bipolar cells, glycine receptor  $\alpha 4$  subunits are in retinal amacrine cells or ganglion cells (Heinze *et al.*, 2007), suggesting there may be a change in inhibition there as well. Collectively these studies suggest that there may be changes in inhibitory receptor function or expression, but the levels might change with duration of diabetes and might not be responsible for the large changes in evoked inhibition.

In contrast, several studies have shown changes in GABA release in diabetes. After two weeks of diabetes a decrease was seen in GABA release evoked by depolarizing amacrine cells with increased K<sup>+</sup> levels (Baptista *et al.*, 2011). Other studies also showed a decrease in the amount of light-evoked GABA release (Moore-Dotson *et al.*, 2016) (Figure 2C–D) and the timing of electrically evoked GABA release (Moore-Dotson & Eggers, 2019) from amacrine cells onto rod bipolar cells after 6 weeks of diabetes. Together these show a decrease in evoked GABA release from amacrine cells. This is supported by a decrease in GAD expression, the enzyme responsible for making GABA in neurons (Honda *et al.*, 1998; Ly *et al.*, 2014) and a decrease in VGAT, the transporter responsible for loading GABA into vesicles, in retinal synaptosomes (Baptista *et al.*, 2011). In contrast, spontaneously released GABA has been shown to increase. The frequency of spontaneous IPSCs mediated by both GABA<sub>A</sub> and GABA<sub>C</sub> receptors on rod bipolar cells increases after 6 weeks of diabetes (Moore-Dotson *et al.*, 2016). This is supported by other studies that have shown GABA accumulation in the inner retina (Ramsey *et al.*, 2006) and in retinal Muller glial cells (Ishikawa *et al.*, 1996) after 12 weeks of diabetes. Together these results suggest that evoked GABA release is compromised, potentially due to an increase in spontaneous GABA release that depletes amacrine cells of GABA for evoked release.

Changes in inhibition could also be occurring because of dysfunctional Ca<sup>2+</sup> signaling in amacrine cells. Retinal amacrine cells have relatively slow neurotransmitter release that depends on extended Ca<sup>2+</sup> signals after a synaptic input (Gleason *et al.*, 1994; Eggers & Lukasiewicz, 2006b; Chavez *et al.*, 2010; Eggers *et al.*, 2013). A previous study showed that after 2–5 weeks of diabetes there was a reduced Ca<sup>2+</sup> response to glutamate in A17 amacrine cells (Castilho *et al.*, 2015a) (Figure 3). This extended Ca<sup>2+</sup> signal in amacrine cells is buffered by the slow Ca<sup>2+</sup> buffer EGTA (Eggers *et al.*, 2013). After 6 weeks of diabetes, EGTA caused a much more significant block of GABAergic inhibition onto rod bipolar cells, suggesting a reduced Ca<sup>2+</sup> signal in the presynaptic amacrine cells that inhibit rod bipolar cells (Moore-Dotson & Eggers, 2019). Together these studies show specific deficits in inhibitory signaling in the diabetic retina, potentially due to reduced GABA release from amacrine cells.

### Changes in retinal excitation due to diabetes

Several studies have also shown potential changes in retinal excitation, either as a result of changing synaptic mechanisms governing excitatory synapses or due to a loss of inhibitory

input. When studying physiological activation of retinal neurons with light after 6 weeks of diabetes, no change was seen in light-evoked excitatory input from photoreceptors to rod bipolar cells, in contrast to the reduced inhibitory input (Moore-Dotson *et al.*, 2016). However, light-evoked excitation to AII amacrine cells increased, potentially as a result of decreased light-evoked inhibition to rod bipolar cells (Figure 2E). Another study found that ON, but not OFF, ganglion cells had increased spontaneous firing after 12–16 weeks of diabetes (Yu *et al.*, 2013). These changes were suggested to be due to changes in synaptic inputs, potentially due to reduced inhibitory inputs onto bipolar cells. Additionally, after 12 weeks of diabetes ON ganglion cells show increased excitability (Cui *et al.*, 2019) that could potentially increase their response to glutamatergic inputs.

There have also been reports of changes in glutamate release and packaging at the synaptic level in early diabetes. Several studies of early diabetes have shown reduced protein or mRNA expression of vesicular glutamate transporters VGLUT1 or VGLUT2 in the retina (Lau *et al.*, 2013; Ly *et al.*, 2014) or in synaptosomes made from retina (Baptista *et al.*, 2011). In contrast multiple studies have shown increased retinal glutamate levels after 7–12 weeks of diabetes (Lieth *et al.*, 1998; Kowluru *et al.*, 2001; Ali *et al.*, 2019). This could be due to changes in spontaneous release of glutamate. Studies have shown an increase in the frequency of spontaneous EPSCs onto AII and A17 amacrine cells after 2–6 weeks of diabetes (Castilho *et al.*, 2015a; Moore-Dotson *et al.*, 2016). An increase in light-evoked glutamate release from rod bipolar cells onto AII amacrine cells has also been reported (Moore-Dotson *et al.*, 2016) (Figure 2F). It is likely that reduced inhibition to rod bipolar cells contributes to this increased glutamate release, since the ability of tonic inhibition to decrease spontaneous glutamate release from rod bipolar cells is lost in diabetes (Castilho *et al.*, 2015a) and decreased rod bipolar L-IPSCs correlate with increased AII amacrine cell L-EPSCs (Moore-Dotson *et al.*, 2016). However, it is also likely that glutamate release from rod bipolar cells, which occurs by the simultaneous fusion of multiple vesicles (Singer *et al.*, 2004), is increased independent of inhibition, as increased glutamate release occurs in the presence of increased spontaneous inhibition to rod bipolar cells (Moore-Dotson *et al.*, 2016).

Other studies have shown synapse specific changes in glutamate receptors. After 2–5 weeks of diabetes, the function of GluR2 Ca<sup>2+</sup> permeable AMPA receptors was reduced in synaptic A17 amacrine cell AMPA receptors (Castilho *et al.*, 2015a) and extrasynaptic AII amacrine cell AMPA receptors (Castilho *et al.*, 2015b). In contrast, a separate study showed increased GluR2/3 protein levels in retinal inner plexiform layer (IPL) and GCLs after 2 and 6 weeks of diabetes (Semkova *et al.*, 2010). However, this may have been due to the inability to separately label GluR2 receptors, as a study that could separate them showed that 4–12 weeks after diabetes there were increased protein levels of GluR1, 2/3 and 4, but decreased GluR2 in the whole retina with no changes in mRNA levels (Santiago *et al.*, 2009). After 12 weeks of diabetes another study showed decreased mRNA levels for glutamate receptors and transporters (Lau *et al.*, 2013). NMDA receptor mRNA subunits decreased, GluR4 mRNA levels decreased and no other AMPA subunits changed, with a decrease in all Kainate mRNA subunits. Together these results suggest that glutamate receptor levels are likely changing in early diabetes, but that these changes are receptor subtype specific and could potentially be due to changing glutamate levels.

## Changes in retinal dopamine as a neuromodulator in diabetes

Although all retinal amacrine cells release either GABA or glycine (Haverkamp & Wassle, 2000) many of them also co-release other neurotransmitters such as dopamine and acetylcholine (Diamond, 2017). Dopamine is a crucial neuromodulator for the retina, as it is responsible for the process of resetting the sensitivity of the retina to match ambient light conditions through light adaptation (Witkovsky, 2004), in addition to other roles. Several studies have shown that retinal dopamine levels and machinery are lower in the diabetic retina. After 3–12 weeks of diabetes retinal dopamine levels were reduced (Nishimura & Kuriyama, 1985; Aung *et al.*, 2014; Lahouaoui *et al.*, 2016) and reduced dopamine efflux from the retina was also observed (Nishimura & Kuriyama, 1985). Levels of the vesicular monoamine transporter responsible for loading dopamine in vesicles - VMAT2 - were lower by 4 weeks of diabetes (Li *et al.*, 2019). These lowered dopamine levels seem to be important for retinal neuronal dysfunction in diabetes, as supplementation with L-DOPA during diabetes reduced the effect of diabetes on ERG parameters in rats and humans (Kim *et al.*, 2018; Motz *et al.*, 2020) and delayed the significant declines in acuity and contrast sensitivity (Aung *et al.*, 2014). Agonists of the D1 dopamine receptor increased acuity to normal levels and an agonist of the D4 dopamine receptor increased contrast sensitivity after 8 weeks of diabetes (Aung *et al.*, 2014). These reductions in acuity and contrast sensitivity fit with loss of dopamine, as similar deficits are seen in animals where tyrosine hydroxylase – the rate-limiting enzyme for dopamine production – had been knocked out specifically in the retina (Jackson *et al.*, 2012), and these THKO animals looked similar to diabetic animals in retinal and visual function after 6 weeks of diabetes (Aung *et al.*, 2014). Other studies have also shown that the incidence of Parkinson’s disease, which results from loss of dopamine in the basal ganglia, is higher in patients with DR (Lee *et al.*, 2018a) and that Parkinson’s patients have visual deficits that may be related to reduced retinal dopamine levels (Archibald *et al.*, 2009). These studies suggest that dysfunction in the inner retinal dopamine system may also be important for understanding retinal neuronal dysfunction in diabetes.

## DR therapeutic approaches

In this review we have described the effects of diabetes on the inner retina. Although much of the work presented here aims to investigate the early effects of diabetes at the mechanistic and functional level, it is crucial to keep in mind the severity of clinical DR. The use of SD-OCT and ERG, as previously mentioned, have assisted in evaluating damage at the morphological as well as functional level, respectively, in DR patients in the clinic setting. Identification of the type of damage is essential to deciding the treatment option. The management of blood sugar in diabetes is a major tool in improving the disease progression of DR but this does not always prevent retinal damage and patient compliance is difficult. Additionally, the slow nature of DR means that patients do not know they have it until their vision is affected at which point damage has already accrued. The ideal would be to develop treatments that target early retinal damage, such as the damage to the inner retina.



### Gold standard for current therapeutic treatments

Laser photocoagulation has remained the gold standard for DR treatment for decades. Panretinal photocoagulation (PRP) refers to the technique that involves burning of the retinal tissue at various areas to seal off leaky blood vessels and inhibit further vessel growth. This technique reduces severe vision damage caused by DR by 50%. However, this treatment option can cause sub-retinal fibrosis and peripheral-vision loss (Chakravarthy & Devanathan, 2018). In addition to this, current treatment options include anti-VEGF antibodies, commonly known as ranibizumab (Lucentis) and bevacizumab (Avastin). They work to inhibit vascular endothelial growth factor (VEGF) to improve visual acuity in DR patients (Nguyen *et al.*, 2012; Brown *et al.*, 2013; Osaadon *et al.*, 2014; Schmidt-Erfurth *et al.*, 2014). Although the functional improvements give anti-VEGF therapies great potential, the effects of long-term treatment with anti-VEGF antibodies are not yet clear. It is also important to note that only 30–40% of individuals respond to anti-VEGF antibody treatment and those that do tend to develop resistance to this treatment if given over a long duration (Gonzalez *et al.*, 2016; Yang *et al.*, 2016). Taken together it is evident that the development of new treatments for DR is needed to delay disease progression and improve overall quality of life for DR patients.

### Neuroprotection as a treatment option for DR

The increasing acceptance of DR as a neurodegenerative disease rather than just a microvascular disease has opened an avenue for improved treatment options. Some of the potential treatments that have shown neuroprotection include endogenous substances and antioxidant/anti-inflammatory substances. Glucagon-like peptide 1 (GLP-1) is an endogenous substance that has neuroprotective qualities. An increase in GLP-1 receptors has been observed in diabetic human retinas. In addition, the inhibition of vascular leakage by the increase in GLP-1R activity has been demonstrated through the application of topical administration of GLP-1R agonists in the db/db mouse model (Hernandez *et al.*, 2016a). Investigation into the role that oxidative stress plays in DR has suggested the use of antioxidant/anti-inflammatory substances as treatment options. Flavonoids and carotenoids are classes of antioxidant substances that have been shown to inhibit DR damage induced by increased oxidative stress. There are several specific agents that belong to each class that have altered various pathways in a positive way to reduce inner retinal damage (Hernandez *et al.*, 2016b). Finally, as previously mentioned, investigation into dopamine may hold a promising key to the future of DR therapies. The changes observed in the dopaminergic pathway in the inner retina and previous findings showing the improvement of visual function in diabetic rodent models with the treatment of L-DOPA support the potential of the therapeutic components in this pathway (Seki *et al.*, 2004; Gastinger *et al.*, 2006; Szabadfi *et al.*, 2012; Aung *et al.*, 2014). These substances may soon be an improvement to the current therapies for DR.

### Summary

Here we have reviewed the evidence for early inner retinal changes in diabetes and mechanistic changes in inner retinal neurons in early diabetes, summarized in Figure 4. The previous studies discussed above strongly suggest deficits in the rod pathway of the retina.

Previous studies have shown reduced inhibition to rod bipolar cells, likely through decreased GABA release from GABAergic amacrine cells. This leads to an increase in rod pathway output onto AII amacrine cells, which show increased light-evoked and spontaneous activity. These changes also lead to increases in excitatory input and excitability of retinal ganglion cells, the output neurons of the retina. Given that inner retinal neurons are sensitive to excitotoxic damage (Calvo *et al.*, 2020), excitotoxicity due to increased glutamate release could be a further avenue for retinal dysfunction (Lieth *et al.*, 1998; Pulido *et al.*, 2007; Ola *et al.*, 2013; Gu *et al.*, 2014). Although these changes to retinal neuronal signaling may be accompanied by retinal cell death or synapse loss, the studies described suggest that these may be later changes that are potentially specific to individual cell types and not due to mass loss of retinal neurons. Therapeutic strategies described above that target inner retina damage could be an important factor in understanding and reducing visual dysfunction in diabetes.

## Acknowledgements:

Disclosures: The authors declare no conflicts of interest regarding the present study.

Grants: This work was supported by the National Eye Institute Grant R01-EY-026027 and NSF-1552184 to EDE.

Acknowledgements: We thank the members of the Eggers laboratory for helpful comments.

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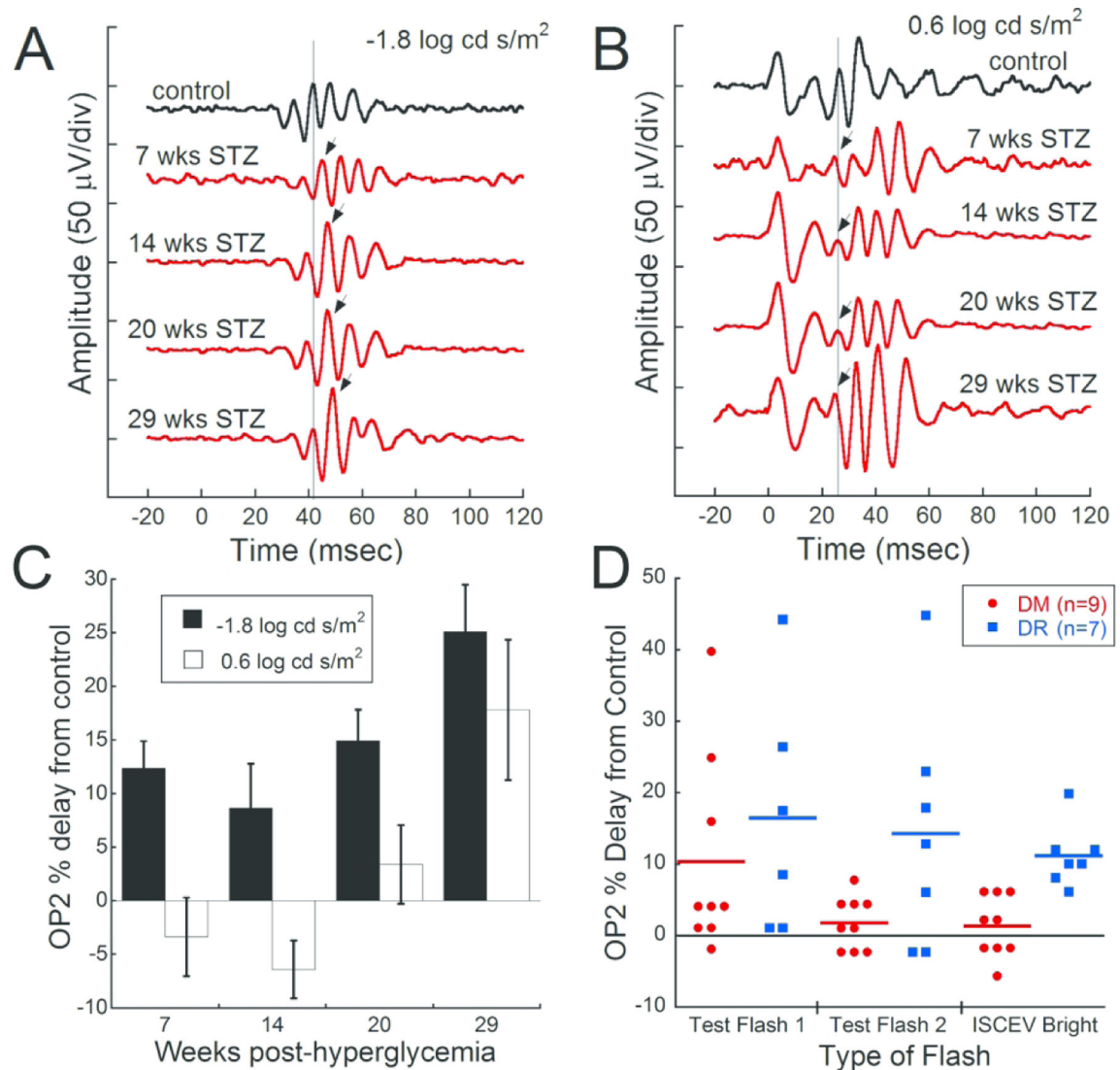
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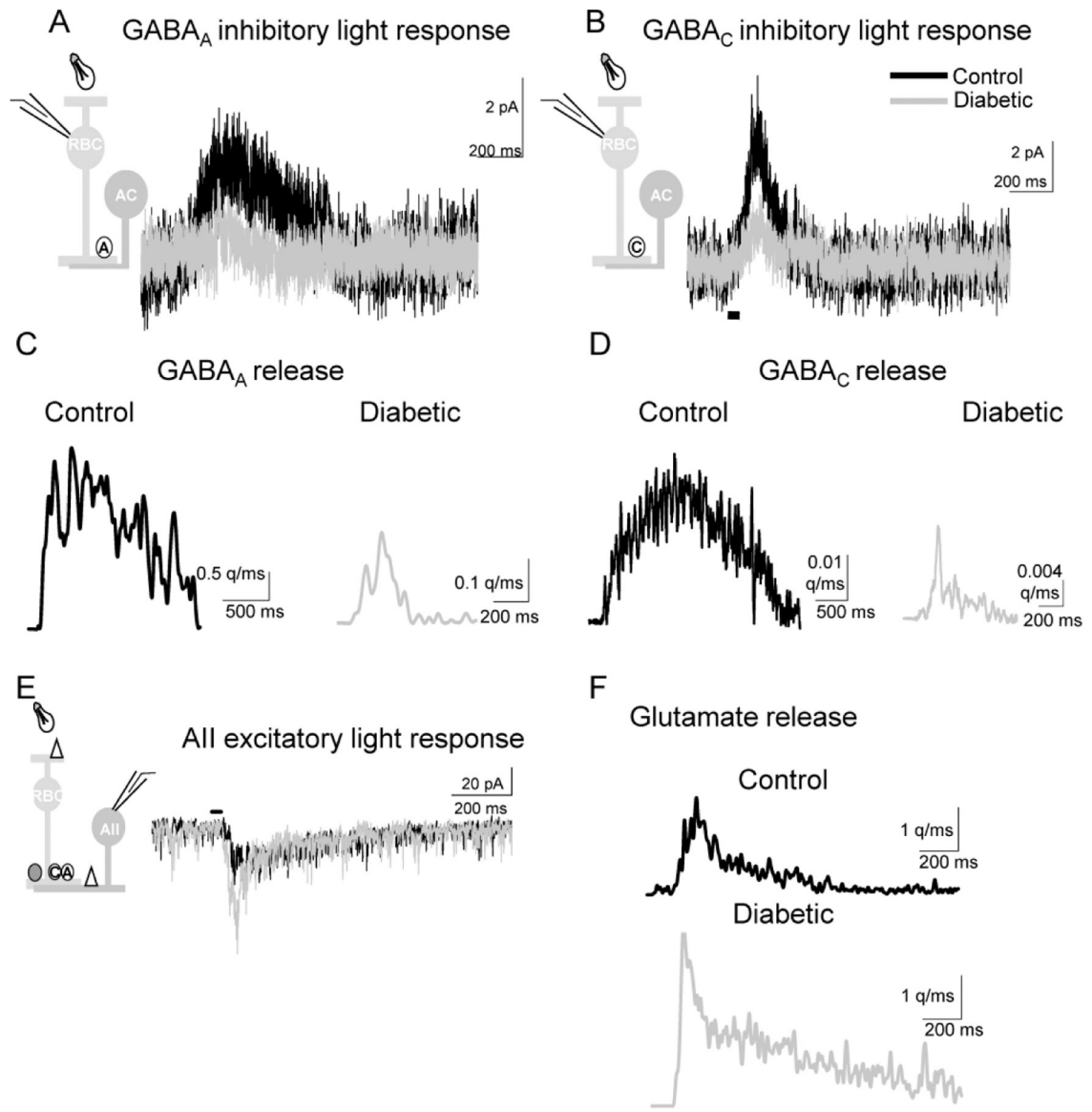
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**Figure 1.**

Similar ERG OP deficits in diabetic human and animal models. Representative OP waveforms from control (*black lines*) and diabetic (*red lines*) rats at each time point in response to dim (A) and bright (B) flash stimuli. The *vertical line* indicates the implicit time of OP2 in the control trace. The *arrows* indicated OP2 in each diabetic waveform. (A) The OPs are progressively more delayed within weeks after STZ exposure in response to a rod-stimulating dim flash. (B) No obvious differences in OP implicit time are detected in response to a bright flash in diabetic rats when compared with controls. ERG OP implicit time delays in response to dim and bright flash stimuli in diabetic rats (C) and diabetic subjects (D). (C) OP2 percent difference in implicit times (diabetic-control) across weeks of hyperglycemia in response to representative dim (1.8 log cd s/m<sup>2</sup>) and bright (0.6 log cd s/m<sup>2</sup>) flash stimuli in STZ-treated rats. Dim stimuli OP2 implicit times became significantly and progressively delayed compared with bright stimuli across all time points, as indicated by the significant main effect of flash stimuli (two-way ANOVA,  $F[1,63] = 20.41$ ,  $P < 0.001$ ). (D) OP2 percent difference in implicit time (diabetic-control) in DR or DM subjects,

plotted as individual points with the mean indicated by the horizontal line in each column. Test Flash 1 ( $1.4 \log \text{ cd s/m}^2$ ) revealed a 10% increase in OP2 implicit time in DM subjects, but not in response to the brighter Test Flash 2 ( $0.82 \text{ cd s/m}^2$ ) or ISCEV Bright stimulus ( $0.39 \log \text{ cd s/m}^2$ ). In DR subjects, all flash intensities elicited an OP2 delay of  $> 12\%$ . Note that one DR subject had no OPs at Test Flash 1.

Figure modified with permission from Pardue et al, 2014, Figs 2, 6.



**Figure 2.**

GABAergic inhibition from amacrine cells to rod bipolar cells is reduced and rod bipolar cell output to AII amacrine cells is increased after 6 weeks in a mouse model of diabetes. A. GABA<sub>A</sub> receptor light-evoked inhibitory synaptic currents (L-IPSCs) are reduced in diabetic rod bipolar cells (30 ms light stimulus,  $4.75 \times 10^5$  Rh\*/rod/sec, black bar). B. L-IPSCs mediated by GABA<sub>C</sub> receptors are reduced in diabetic rod bipolar cells (30 ms light stimulus,  $4.75 \times 10^5$  Rh\*/rod/sec). C-D. GABA release onto rod bipolar cell GABA<sub>A</sub> (C) and GABA<sub>C</sub> (D) receptors (estimated from deconvolution analysis of GABA receptor-mediated spontaneous (s)IPSCs and L-IPSCs) is reduced in diabetes. E. Light-evoked excitatory post-synaptic currents (L-EPSCs, 30 ms light stimulus,  $4.75 \times 10^3$  Rh\*/rod/sec) recorded from AII amacrine cells are increased in diabetes. F. Glutamate release (estimated by deconvolution of L-EPSCs and miniature EPSCs) from rod bipolar cells to AII amacrine

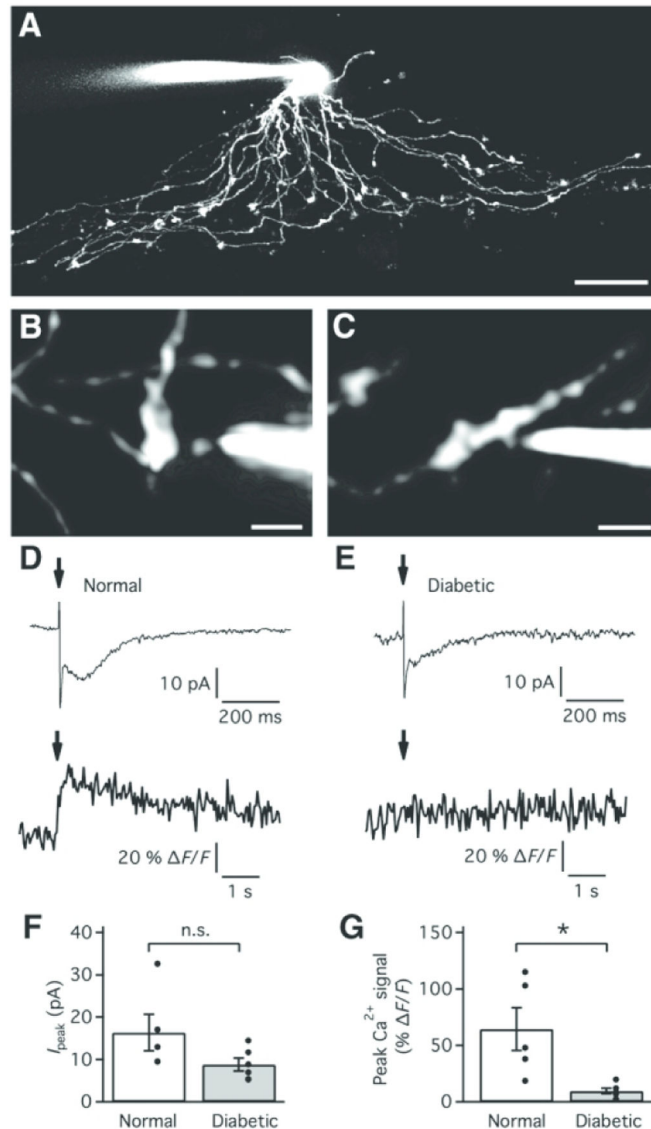
cells is increased in diabetes. Figure modified with permission from Moore-Dotson et al, 2016, Figs. 2, 4, 5

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**Figure 3.**

Reduced Ca<sup>2+</sup> influx through non-NMDA receptors on A17 amacrine cell varicosities in diabetic animals. A. A17 amacrine cell filled with Alexa Fluor 594 via patch pipette. Maximum intensity projection (along z-axis) generated from two-photon fluorescence image stack after deconvolution (A–C). Scale bar, 20  $\mu$ m. B, C. Varicosities from two A17 amacrine cells (B, normal, maximum intensity projection of image stack; C, diabetic, single focal plane) filled with Alexa Fluor 594 and the Ca<sup>2+</sup> indicator dye Oregon Green 488 BAPTA-1 and targeted by fine-tipped pipettes (right) filled with glutamate and Alexa Fluor 594. Scale bars, 2  $\mu$ m. D, E. Current (top) and Ca<sup>2+</sup> (bottom) signals in response to brief microiontophoretic pulses of glutamate (D, –400 nA for 1 ms; E, –600 nA for 1 ms; vertical arrows) applied to varicosity in B (from normal animal) or C (from diabetic animal). NMDA receptors blocked by CPP added to the extracellular solution. Each trace, average of five trials. F, G. Peak amplitude of current (F) and Ca<sup>2+</sup> signals (G) in response to brief

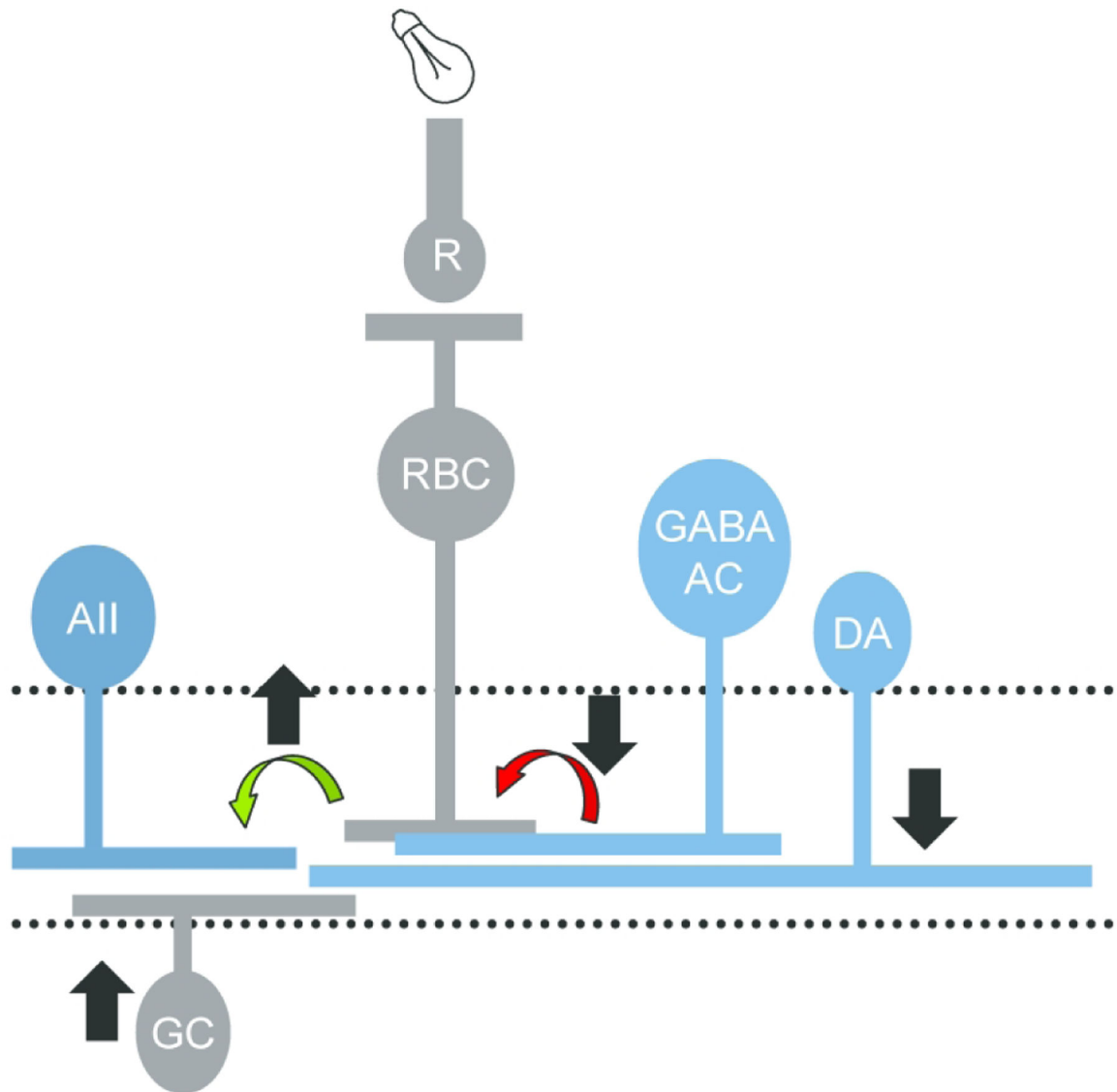
microiontophoretic pulses of glutamate to varicosities from A17 amacrine cells in normal (n = 5 varicosities) and diabetic animals (n = 6 varicosities). Figure modified with permission from Castilho et al, 2015a, Fig. 6

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**Figure 4.**

Changes in inner retinal signaling in early diabetes. The strongest early deficits in diabetes have been shown in the rod pathway that starts with the rod photoreceptor (R) that releases glutamate onto the rod bipolar cell (RBC). Diabetes causes reductions in GABAergic inhibition (GABA AC, red arrow) onto the rod bipolar cell. This also causes an increase in the glutamatergic output from rod bipolar cells onto their output neurons AII (AII) and A17 (not shown) amacrine cells. Ganglion cell (GC) spontaneous activity and excitability is also increased, likely through inputs from the rod pathway. Additionally, dopamine levels from dopaminergic amacrine cells (DA) are decreased in the diabetic retina, leading to functional deficits.