

BEDSIDE-TO-BENCH REPORT



Significant response to apatinib monotherapy in heavily pretreated advanced HER2-positive breast cancer: a case report and literature review

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ABSTRACT

Although HER2-targeted therapy has been shown to prolong the survival of patients with HER2-positive breast cancer, most patients eventually progress due to drug resistance. Novel treatment options are urgently needed to overcome resistance to HER2-targeted therapy. The VEGF/VEGFR (Vascular endothelial growth factor and its receptors) pathway is essential in tumor angiogenesis, which may be a promising target in HER2-positive breast cancer providing a rationale for the use of tyrosine kinase inhibitors (TKIs) targeting VEGFR. Here, we present a case of a heavily pretreated advanced breast cancer patient who did not respond to HER2-targeted therapy and developed resistance to multiple lines of HER2-targeted treatment. The patient was treated with apatinib at a dose of 500 mg daily, and obtained partial remission (PR) with a progression-free-stage (PFS) of 6 months. Our case indicates that apatinib might have anti-tumor activity in patients with HER2-positive breast cancer with HER2-targeted resistance. This case is of value which may provide new insights into strategies for HER2-targeted therapy resistance options in the clinic.

ARTICLE HISTORY

Received 4 July 2019
Revised 27 February 2020
Accepted 11 March 2020

KEYWORDS

Apatinib; case report; breast cancer; HER2; angiogenesis; VEGFR2

Introduction

Breast cancer is the most common malignancy worldwide, and the leading cause of cancer-related death amongst females.¹ HER2-positive breast cancer accounts for about 15–20% of all cases, with a more aggressive phenotype and a worse prognosis.² Despite effective therapy targeting the HER2 pathway, the majority of the patients ultimately progress due to drug resistance and therapeutic options remain limited.^{3,4} There is an urgent need to explore novel treatments to overcome resistance to HER2-targeted therapies which have potential to translate to the clinic.

Angiogenesis is a crucial hallmark of cancer.⁵ It is well known that VEGF and VEGFRs serve as central mediators in neoangiogenesis of numerous cancer types including breast cancer.⁶ Tissue VEGF level is negatively correlated with survival of breast cancer patients.⁷ Therefore, blocking the VEGF/VEGFR axis may be a promising therapeutic option in breast cancer.⁸ Several antiangiogenic monoclonal antibodies, including bevacizumab and ramucirumab, have shown anti-tumor activity in metastatic breast cancer (MBC) when combined with chemotherapy.^{9–16} In addition, TKIs targeting VEGFR including sorafenib, sunitinib and pazopanib in the treatment of MBC have been investigated.^{17,18} However, most of these agents only show moderate efficacy.^{19,20}

Apatinib is a highly selective VEGFR2 TKI which could inhibit VEGF-stimulated endothelial cell migration and proliferation.²¹ Apatinib has been approved as a third-line

treatment for patients with advanced gastric cancer in China since 2014.²² In breast cancer, two phase II trials have exhibited encouraging efficacy and manageable toxicity of apatinib monotherapy in heavily pretreated, metastatic breast cancer.^{23,24} However, to the best of our knowledge, few studies have reported the efficacy of apatinib monotherapy for heavily pretreated HER2-positive advanced breast cancer (ABC) who experienced HER2-targeted therapy resistance.

In the current report, we present a case of a patient with heavily pretreated HER2-positive ABC, who had significant response to apatinib monotherapy after failure of multiple lines of HER2-targeted therapy. This case report will provide new insights into the strategy of rescuing HER2-targeted therapy resistance in clinical practice.

Case presentation

The patient management is described in Table 1. A 28-year-old female patient complaining of a painless mass in right breast was referred to our hospital in July 2014. The patient received modified radical mastectomy for right breast cancer after comprehensive preoperative examinations. The post-operative stage was T2N0M0, stage IIA. Pathological inspection revealed invasive ductal breast carcinoma (Grade II) with immunohistochemistry (IHC) results of ER (-), PR (-), HER2 (3+) and Ki67 (50%+–75%+), VEGF (++) , VEGFR2 (++) , p-

Table 1. The course of disease in the female patient with HER2-positive breast cancer.

Time frame	Line of treatment	Therapy regimen	Best response	PFS (months)
Aug 2014–Jan 2015	Adjuvant	Epirubicin, cyclophosphamide (CE)*4, docetaxel (T)*4	NA	9
May 2015–Sept 2015	First-line	Vinorelbine, capecitabine (NX) trastuzumab*5	PD	4
Sept 2015–Feb 2016	Second-line	Gemcitabine,cisplatin (GP), trastuzumab *6	SD	5
Feb 2016–Apr 2016	Third-line	Paclitaxel,carboplatin(TC) trastuzumab *2	NA	
Apr 2016.4–July 2016	Fourth-line	Lapatinib,raltitrex,trastuzumab*4	PD	3
July 2016.7–Jan 2017	Fifth-line	Apatinib	PR	6

VEGFR2 (++) (Figures 1 and 4(a–c)). All the nine dissected lymph nodes were shown to be negative. Fluorescence in situ hybridization (FISH) identified *HER2* amplification with a *HER2/CEP17* ratio of 8.56. The patient then underwent adjuvant chemotherapy with cyclophosphamide plus epirubicin for four cycles and sequential four cycles of docetaxel. Adjuvant HER2-targeted therapy was refused by the patient due to economic concerns.

In May 2015, follow-up chest computed tomography (CT) scan demonstrated multiple nodules in bilateral lungs and a right chest wall mass. The right chest wall lesion was further categorized as BI-RADS 4C on ultrasound. As the patient refused to undergo biopsy, recurrence and metastases were clinically diagnosed. Since May 13, 2015, this patient received second-line chemotherapy consisting of vinorelbine, capecitabine (NX) and trastuzumab, yielding a best response of stable disease (SD). However, progressive disease (PD) occurred after completion of five cycles of chemotherapy on September 21, 2015, with a PFS of 5 months. The patient underwent ultrasound-guided biopsy in the right chest wall mass and left lower lobe lesion. Results from pathological inspection and IHC confirmed the origin from breast cancer for the biopsied tissues and staining of VEGF (++), VEGFR2 (++), *p*-VEGFR2 (++) (Figure 4(d–f)).

The patient then received third-line treatment of gemcitabine, cisplatin (GP) and trastuzumab for six cycles, having the best response of SD. On February 13, 2016, CT scan showed PD in all the lesions, producing a PFS of 5 months. The patient was advised to receive lapatinib treatment but she refused the treatment for economic reasons. Systemic therapy was then switched to paclitaxel, carboplatin (TC), and trastuzumab. The patient experienced grade 2 thrombocytopenia and grade 3 neutropenia, leading to discontinuation of therapy. As a result, raltitrexed, trastuzumab and lapatinib were prescribed to the patient as fourth-line therapy. However, bilateral lung metastases and right chest wall mass progressed after four cycles of treatment (Figure 3(a,c)).

To clarify the mechanism of multi-line resistance to HER2-targeted therapy, the biopsy tissues of the postoperative lesion, left lung metastasis and chest wall recurrence lesions after failure of NX plus trastuzumab, and the blood samples after failure of raltitrexed, trastuzumab and lapatinib, were tested with next-generation sequencing (NGS) of 425-gene panel. The results showed persistent existence of *HER2* amplification, *HER2* V777L mutation and *TP53* mutation in all samples (Figure 2, Table 2). Apatinib, at the dose of 500 mg daily, was then initiated from July 2016. Surprisingly, the lesions, especially in the right

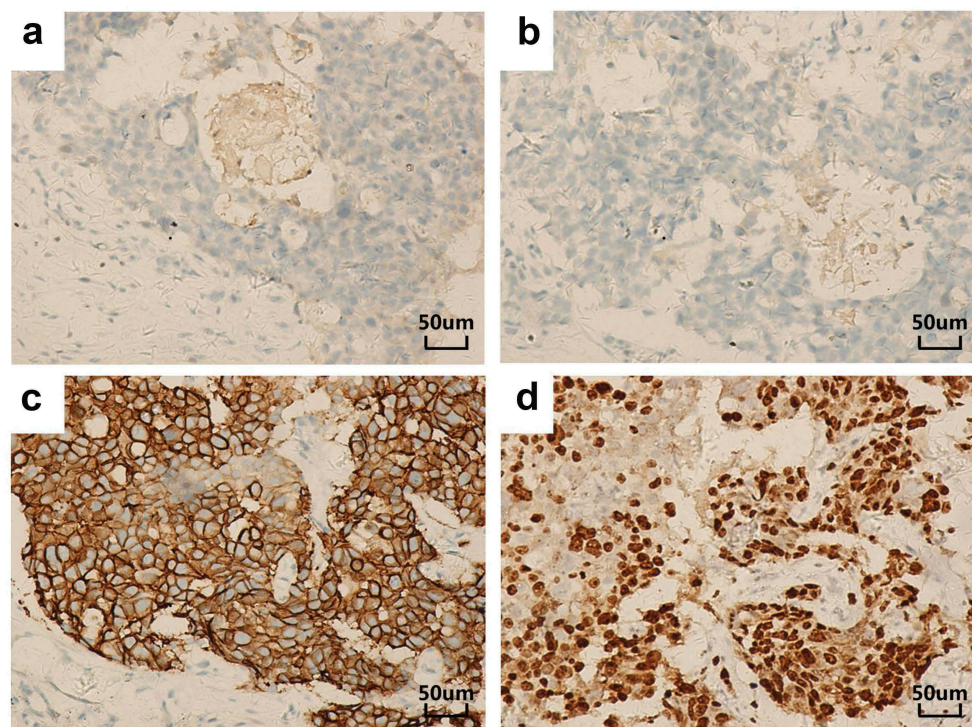


Figure 1. IHC results of the primary right breast carcinoma (original magnification, 200×). The tumor stained negative for both ER (a) and PR (b) but strongly positive for HER-2 (c) and showed a Ki-67 proliferation index of 50–75% (d).

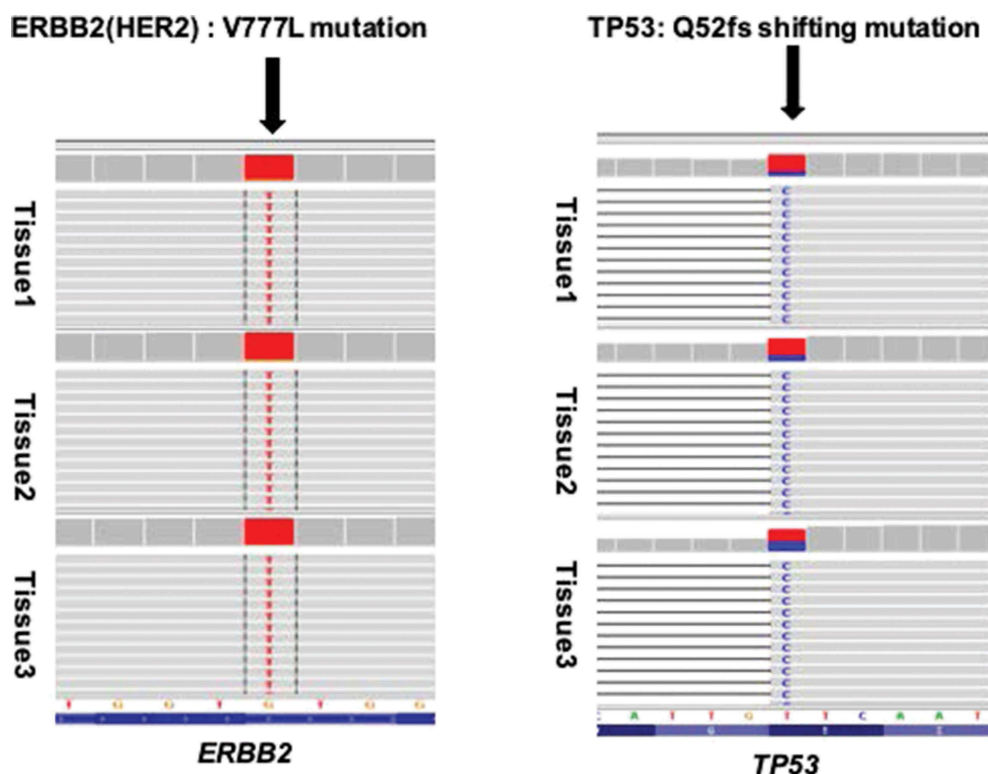


Figure 2. Resistance to trastuzumab and lapatinib induced by the *HER2* V777L mutation. Representative image of read alignments visualized with IGV. *HER2* V777L mutation and *TP53* Q52fs shifting mutation were detected in the different tissues. The tissues 1/2/3 refer to the paraffined tissues of postoperative right breast lesion (July 2014), left lung metastasis and right chest wall recurrence lesions (September 2015). The arrow shows the position of the variant.

chest wall and the left lung metastasis, exhibited remarkable shrinkage after 3 months of use (Figure 3(b,d)). The best response was partial remission (PR) and no apatinib-related side effects were observed. Specifically, we observed clear cavitation in the above-mentioned lesions, which reflected the mechanism of action of apatinib (Figure 3(b)).

In January 2017, the patient developed persistent cough, fever and fatigue. The CT examination indicated that the lung lesions had progressed and apatinib treatment was ended at this stage, with a PFS of 6 months. The patient received no further treatment and died in March 2017. The overall survival (OS) for the patient was 32 months from the diagnosis of breast cancer and 22 months from post-operative recurrence and metastases.

Discussion

To the best of our knowledge, this is the first case of successful salvage treatment in a heavily and refractory HER2-positive advanced breast cancer patient who was resistant to multiple lines of anti-HER2 therapy with anti-VEGFR2 TKI.

Previous studies suggested that patients with HER2-positive breast cancer and concurrent *HER2* activating mutations, might be resistant to trastuzumab treatment.²⁵⁻²⁷ Similarly, in this case, the patient with persistent *HER2* amplification and *HER2* V777 L mutation experienced resistance to multiple lines of HER2-targeted therapy, including trastuzumab and lapatinib. In *HER2* mutant breast cancer, irreversible inhibitors such as neratinib are indicated to inhibit kinase activity and survival of *HER2*-mutation-driven cancer cells. However, due to the

inaccessibility of neratinib at that time, and no standard-of-care at later-stage breast cancer, the patient did not receive neratinib.

It was assumed that the patient might benefit from angiogenesis inhibitors based on the assumption that *HER2* amplification may induce bypass activation of the pro-angiogenic factor VEGF. As has been demonstrated in previous studies, *HER2* overexpression is associated with elevated VEGF mRNA and protein levels in breast cancer cells.²⁸ Additionally, a related study indicated that VEGFR2-positive stromal vessel counts were significantly higher in *HER2*-positive primary breast cancer. These data imply that the effects of *HER2* on tumorigenesis are at least partially mediated by stimulation of angiogenesis, which provides a strong rationale for targeting the VEGF/VEGFR2 axis in *HER2*-positive breast cancer.²⁹

Based on this hypothesis, several clinical trials have been conducted to explore the potential benefit of anti-HER2 and anti-angiogenesis combination therapy for *HER2*-positive breast cancer. Results from early phase trials have been promising.³⁰⁻³³ However, the phase III AVERAL trial did not meet the primary endpoint of prolonging investigator-assessed PFS in patients treated with bevacizumab, docetaxel and trastuzumab.³⁴ In addition, a higher incidence of cardiovascular toxicity from the combination therapy was a significant concern. Novel angiogenesis inhibitors with improved efficacy and manageable toxicities are urgently needed.

Apatinib is a highly selective and potent VEGFR2 TKI. Compared to other VEGFR TKI such as sorafenib, sunitinib and pazopanib, apatinib is more potent in inhibiting the activity of VEGFR2 with IC50 value of 1 nM.³⁵⁻³⁷ In two phase II trials,

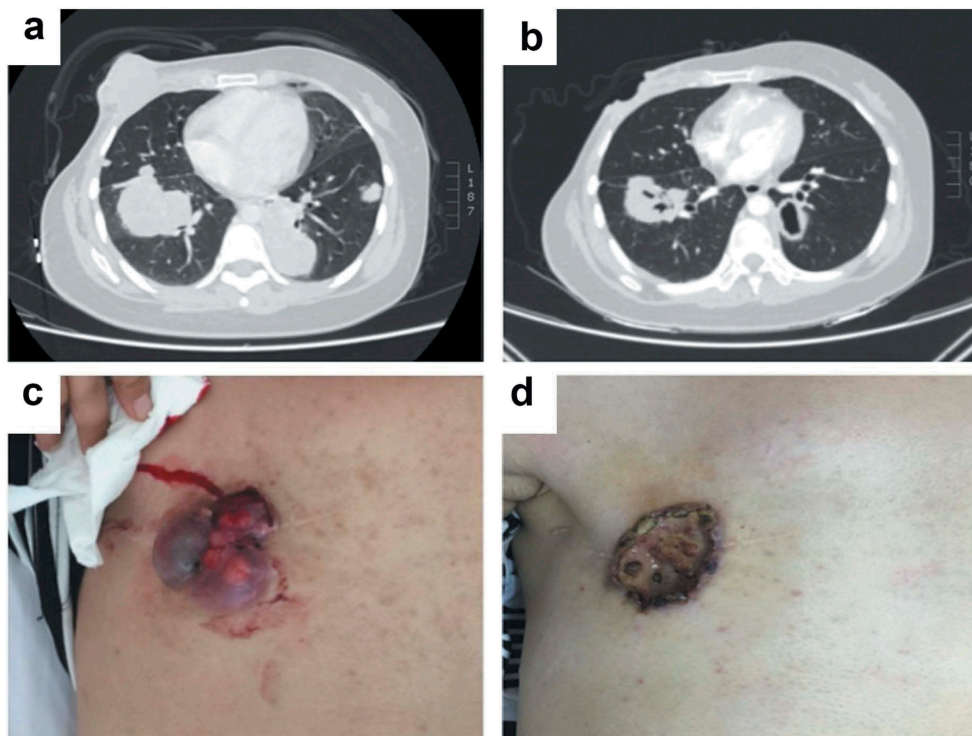


Figure 3. PR response to apatinib monotherapy treatment. (a) Chest CT scans before apatinib treatment (July 2016). (b) Chest CT scans after apatinib treatment (October 2016), the lesions especially in the right chest wall and the left lung metastasis demonstrated clear cavitation. (c) The expression of chest wall focus before apatinib treatment (July 2016). (d) The expression of chest wall focus after apatinib treatment (October 2016).

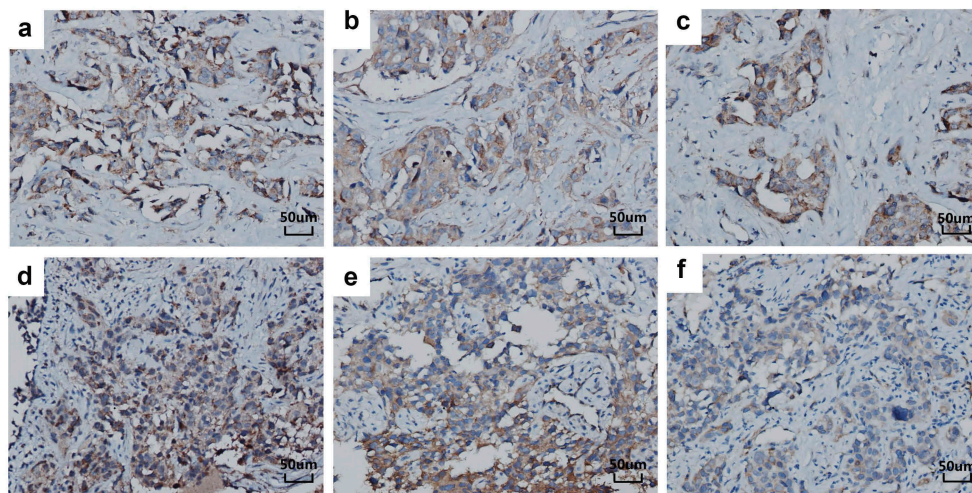


Figure 4. IHC of tumor tissue using anti-VEGF, VEGFR2 and *p*-VEGFR2 antibodies (original magnification, 200 \times). The tissues with medium positive staining for VEGF, VEGFR2 and *p*-VEGFR2 of postoperative breast tissue (a–c). The tissues with medium positive staining for VEGF, VEGFR2 and *p*-VEGFR2 of left lung biopsy tissue (d–f).

apatinib monotherapy demonstrated a promising median PFS of 3.8 and 4.0 months, respectively, with manageable toxicity profiles for patients having metastatic breast cancer.^{23,24} Exploratory analysis combined data from the two trials suggested that tumor *p*-VEGFR2 expression (adjusted HR, 0.40; $P = .013$) is an independent predictor of both PFS and clinical benefit rate.³⁸ Similarly, IHC of postoperative and biopsy tissues in the current patient showed moderate expressions of VEGFR2, VEGF and *p*-

VEGFR2 (Figure 4). Based on these lines of evidence and clinical experience, the patient was treated with apatinib monotherapy. Surprisingly, the patient obtained PR after 3 months of treatment, and a PFS of 6 months despite resistance to multiple lines of anti-HER2 treatment.²³

VEGFR2 is the canonical member of the VEGFR family in angiogenic signaling.^{39,40} Theoretically, cells with high VEGFR2 expression could be stimulated using VEGF, and the

Table 2. Tumor tissue and plasma NGS show gene amplification and mutation.

Source of tissues	Gene name	Type of mutation and amplification	Abundance (%)
2014 Postoperative right breast tissue	<i>ERBB2 (HER2)</i>	V777L mutation	89
		7.6 folds amplification	-
2015 Left lung biopsy tissue	<i>TP53</i>	Q52fs mutation	29
	<i>ERBB2 (HER2)</i>	V777L mutation	94
2015 Right chest wall tissue		10.6 folds amplification	-
	<i>TP53</i>	Q52fs mutation	33
2016 Plasm	<i>ERBB2 (HER2)</i>	V777L mutation	96
		11.7 folds amplification	-
	<i>TP53</i>	Q52fs mutation	53
	<i>ERBB2 (HER2)</i>	V777L mutation	84
		3.7 folds amplification	-
	<i>TP53</i>	Q52fs mutation	18

proliferation of these stimulated cells could be inhibited by anti-VEGF antibody.⁴¹ Therefore, both the high expressions of VEGF and VEGFR2 are necessary for activation of the VEGF/VEGFR2 pathway. VEGFR2 is phosphorylated upon VEGF/VEGFR2 engagement which is essential to tumor growth and survival.⁴² Hence, *p*-VEGFR2 levels may predict the efficacy of anti-angiogenic therapy in patients with advanced breast cancer.³⁸

We speculated that the high VEGF expression in this case stemmed from *HER2* amplification and mutation. The high expression of VEGFR2 may be a result of the *TP53*(Q52fs) truncation mutation, which probably binds near the VEGFR2 promoter initiation site which has been reported previously.⁴³ Considering the consistent high mutation abundance in the breast, lung, chest wall and serum of this patient, we postulate that the *TP53* truncation frameshift mutation (Q52fs) may synergistically promote expression of VEGFR2 which is highly pre-stimulated by VEGF. The highly activating *p*-VEGFR2 may then sensitize the inhibitory effect of apatinib and so apatinib rescues the refractory ABC patient.

Conclusions

In conclusion, we present a case of apatinib monotherapy for a patient with *HER2*-positive breast cancer who developed resistance to multiple lines of anti-*HER2* therapy. Apatinib obtained PR with a PFS of 6 months. We hypothesized that *HER2* amplification and concurrent *HER2* activating mutation might promote tumor angiogenesis and subsequent resistance of *HER2*-targeted therapies. In the future, further large-scale clinical trials are required to clarify the role of apatinib in refractory *HER2*-targeted resistant breast cancer.

Acknowledgments

We would like to thank MD. Shaodong Hong from Sun Yat-sen University Cancer Hospital for a critical revision of the article.

Disclosure of Potential Conflicts of Interest

The authors report no conflict of interest.

Funding

This work was supported by the National Natural Science Foundation of China under Grant (NO.81672605); Science and Technology Plan Project

of Liaoning Province under Grant (NO.2016007010); Science and Technology Plan Project of Liaoning Province (NO.2013225585) and China Medical University under Grant (QGZ2018004).

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