# Retinal pathology occurs in stiff-person syndrome

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### Abstract

### Objective

To evaluate whether structural and functional changes occur in the afferent visual system of patients with stiff-person syndrome (SPS) and whether these changes correlate with disease burden, given the high concentration of  $\gamma$ -aminobutyric acid receptors, which are generally thought to be involved in SPS pathogenesis, in the retina.

### Methods

In this single-center, cross-sectional study, patients with SPS and healthy controls (HCs) underwent optical coherence tomography (OCT), with a subset undergoing high- and low-contrast visual acuity (VA) assessments. Burden of disease was assessed via the number of body regions affected. Individuals with uncontrolled hypertension or comorbid neurologic or oph-thalmologic disorders were excluded. Statistical analyses were performed using mixed-effects linear regression models.

### Results

Thirty-five patients with SPS and 40 age- and sex-matched HCs underwent OCT. A subset of 23 patients with SPS and 28 HCs underwent VA assessments. Relative to HCs, patients with SPS had lower ganglion cell + inner plexiform layer (GCIPL) thicknesses (SPS: 74.36  $\mu$ m [SD 5.7]; HCs: 76.33  $\mu$ m [SD 4.2]; *p* = 0.005), inner nuclear layer thicknesses (SPS: 44.37  $\mu$ m [SD 2.7]; HCs: 45.18  $\mu$ m [SD 2.2]; *p* = 0.042), and 100% (SPS: 53 [SD 9.6]; HCs: 57.5 [SD 6.1]; *p* = 0.005), 2.5% (SPS: 24.35 [SD 10.1]; HCs: 30.16 [SD 7.7]; *p* = 0.006), and 1.25% contrast (SPS: 16.41 [SD 10.6]; HCs: 20.84 [SD 8.6]; *p* = 0.034) letter acuity scores. GCIPL thicknesses correlated with the number of body regions affected in SPS (decrease of 1.25  $\mu$ m [95% confidence interval, -2.2 to -0.3  $\mu$ m; *p* = 0.008] per additional body region affected).

### Conclusions

Retinal neuronal pathology can occur in SPS. OCT may have utility as a biomarker of disease burden in SPS.

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# Glossary

DM = diabetes mellitus; GABA =  $\gamma$ -aminobutyric acid; GAD65 = glutamic acid decarboxylase; GCIPL = ganglion cell + inner plexiform layer; HC = healthy control; INL = inner nuclear layer; OCT = optical coherence tomography; SPS = stiff-person syndrome; VA = visual acuity.

Stiff-person syndrome (SPS) is a rare neuroimmunologic disorder characterized by spasms and rigidity, predominantly of the axial and lower extremity muscles. Although the primary etiology of SPS is unknown, anti-glutamic acid decarboxylase (anti-GAD65) antibodies purportedly targeting inhibitory  $\gamma$ -aminobutyric acid (GABAergic) neurons have been reported in 60%-80% of cases, and the muscle hyperactivity observed is attributed to impaired GABA descending pathways.<sup>1</sup> The retina is highly enriched with GABAergic neurons-while amacrine cells that are immunoreactive to GABA and express the isoform GAD65 are located primarily in the inner nuclear layer (INL), the inner plexiform layer comprises a large number of dendrites of these amacrine cells-these include starburst amacrine cells, which synapse directly on ganglion cells.<sup>2,3</sup> Although visual dysfunction has been observed in isolated cases of SPS, to date, visual outcome measures have not been evaluated at a cohort level.<sup>2,4</sup> Optical coherence tomography (OCT) has emerged as a reliable and reproducible high-resolution imaging technique that facilitates quantification of discrete axonal and neuronal retinal layers.<sup>5</sup> In particular, the composite thickness of the ganglion cell + inner plexiform layer (GCIPL) has been observed to correlate strongly with visual loss and disability across a spectrum of optic neuropathies.<sup>6,7</sup> Hence, we sought to assess structural and functional changes in the afferent visual system of patients with SPS, and determine whether these changes correlate with disease burden.

# Methods

### Standard protocol approvals, registrations, and patient consents

The study was approved by the Johns Hopkins institutional review board. All participants provided written informed consent.

### Data availability

Anonymized data used for this study are available from the corresponding authors on reasonable request.

### Study design and participants

An SPS cohort and an age- and sex-matched healthy control (HC) cohort were recruited by convenience sampling from the Johns Hopkins SPS Center from 2009 to 2016. Crosssectional comparisons of visual outcome measures were performed between patients with SPS and HCs. Individuals with refractive errors greater than ±6 diopters, history of ocular surgery, glaucoma, uncontrolled hypertension, or other neurologic or ophthalmologic disorders were excluded.

### **Data acquisition**

Participants underwent spectral-domain OCT (Cirrus HD-OCT; Carl Zeiss Meditec, Dublin, CA), with retinal layer thicknesses derived utilizing a validated, automated segmentation algorithm, as previously described.<sup>8</sup> Scans with signal strength below 7/10, or with artifact, were excluded, in accordance with OSCAR-IB criteria.<sup>9</sup> A subset of participants underwent 100% visual acuity (VA) assessment using Early Treatment Diabetic Retinopathy Study charts and 2.5% and 1.25% contrast VA assessments using Sloan letter charts. Disease involvement of 6 distinct body regions-torso, legs, arms, face, oculomotor, and cerebellum-was recorded systematically as clinical surrogates of disease burden. Serum anti-GAD65 antibody titers were measured in patients with SPS within 6 months of visual assessments.

### Table 1 Demographic and clinical characteristics

	SPS	HC	<i>p</i> Value
Participants, n	35	40	_
Age, y, mean (SD)	49 (13.1)	43.4 (13.7)	0.18 <sup>a</sup>
Female, n (%)	29 (83)	33 (83)	>0.99 <sup>b</sup>
Race, n (%)			
Caucasian	21 (60)	26 (65)	0.92 <sup>b</sup>
African American	11 (31)	12 (30)	
Other	3 (9)	2 (5)	
Diabetes mellitus, n (%)	6 (17)	0 (0)	0.009 <sup>b,c</sup>
Disease duration, y, mean (SD)	7.68 (5.4)	_	-
No. of body regions affected, mean (SD)	3.55 (1.2)	_	-
Serum anti-GAD65			
Elevated (>5 IU/mL), n (%)	21 (68)	_	_
Anti-GAD65 level, IU/mL, median (IQR)	10.1 (4–18,420)	_	_
Anti-GAD65 level, lU/mL, mean (SD)	14,537 (29,912)	_	_

Abbreviations: GAD65 = glutamic acid decarboxylase antibodies; HC = healthy control; IQR = interquartile range; SPS = stiff-person syndrome. <sup>a</sup> Kruskal-Wallis equality-of-population rank test.

<sup>b</sup> Fisher exact test.

<sup>c</sup> Statistically significant (*p* < 0.05).

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# **Table 2** Comparison of structural (optical coherence tomography) and functional (visual acuity) measures in patientswith stiff-person syndrome (SPS) and healthy controls (HCs)

	SPS	НС	Difference	p Value <sup>a</sup>	<i>p</i> Value
All patients and HCs					
Retinal layer thickness, µm, mean (SD)					
No. participants (eyes)	35 (65)	40 (77)			
GCIPL	74.36 (5.7)	76.33 (4.2)	1.97	0.024 <sup>c</sup>	0.005 <sup>c</sup>
INL	44.37 (2.7)	45.18 (2.2)	0.81	0.11	0.042 <sup>c</sup>
ONL	67.48 (4.6)	69 (4.6)	1.52	0.14	0.15
AMT	307.6 (14.3)	314.23 (10.5)	6.63	0.005 <sup>c</sup>	0.001 <sup>c</sup>
pRNFL	94.06 (13.3)	93.9 (7.5)	-0.16	0.95	0.75
Visual acuity, mean (SD)					
No. participants (eyes)	23 (46)	28 (56)			
100% contrast	53 (9.6)	57.5 (6.1)	4.5	0.03 <sup>c</sup>	0.005 <sup>c</sup>
2.5% contrast	24.35 (10.1)	30.16 (7.7)	5.81	0.011 <sup>c</sup>	0.006 <sup>c</sup>
1.25% contrast	16.41 (10.6)	20.84 (8.6)	4.43	0.082	0.034 <sup>c</sup>
xcluding individuals with diabetes mellitus					
Retinal layer thickness, µm, mean (SD)					
No. participants (eyes)	29 (54)	40 (77)			
GCIPL	73.57 (3.7)	76.33 (4.2)	2.76	0.003 <sup>c</sup>	0.005 <sup>c</sup>
INL	44.08 (2.2)	45.18 (2.2)	1.1	0.04 <sup>c</sup>	0.051 <sup>d</sup>
ONL	67.36 (4.9)	69 (4.6)	1.64	0.14	0.17
AMT	305.8 (10.9)	314.23 (10.5)	8.43	0.001 <sup>c</sup>	0.001 <sup>c</sup>
pRNFL	93.09 (8.3)	93.9 (7.5)	0.81	0.68	0.75
Visual acuity, mean (SD)					
No. participants (eyes)	19 (38)	28 (56)			
100% contrast	51.5 (9.6)	57.5 (6.1)	6	0.005 <sup>c</sup>	0.006 <sup>c</sup>
2.5% contrast	23.55 (10)	30.16 (7.7)	6.79	0.005 <sup>c</sup>	0.004 <sup>c</sup>
1.25% contrast	14.98 (10.3)	20.84 (8.6)	5.76	0.03 <sup>c</sup>	0.029 <sup>c</sup>

Abbreviations: AMT = average macular thickness; GCIPL = ganglion cell + inner plexiform layer; INL = inner nuclear layer; ONL = outer nuclear layer; pRNFL = peripapillary retinal nerve fiber layer.

<sup>a</sup> Univariable generalized linear regression.

<sup>b</sup> Multivariable generalized linear regression adjusting for age, sex, and diabetes history.

<sup>c</sup> Statistically significant (*p* < 0.05).

<sup>d</sup> Trend towards being significant.

### **Statistical analyses**

Statistical analyses were performed using STATA version 13 (StataCorp, College Station, TX). Comparisons of demographic characteristics were performed using Kruskal-Wallis test (age) and Fisher exact test (sex, race, concurrent diabetes mellitus). Comparisons of SPS and HC visual outcome measures were performed using mixed-effects linear regression models, accounting for within-subject intereye correlations. Unadjusted analyses were performed, as well as multivariable analyses adjusting for age, sex, and diabetes history. In addition, multivariable analyses restricted

to the SPS cohort were performed, comparing disease burden to visual outcome measures, adjusting for age, sex, diabetes history, disease duration, and history of immunomodulatory therapy. We defined statistical significance as p < 0.05.

# Results

### Characteristics of the study population

Thirty-five patients with SPS (mean [SD] age, 49 years [13.1]; 29 [83%] female) and 40 HCs (mean [SD] age, 43.4

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Table 3 Association between ganglion cell + inner plexiform layer (GCIPL) and inner nuclear layer (INL) thicknesses and clinical biomarkers of disease burden among patients with stiff-person syndrome

	GCIPL thickness, μm	GCIPL thickness, μm		
	β (95% CI)	p Value <sup>a</sup>	β (95% CI)	<i>p</i> Value <sup>a</sup>
All patients and HCs				
No. of participants (eyes)	35 (65)		35 (65)	
No. of body regions affected	-1.25 (-2.2 to -0.3)	0.008 <sup>b</sup>	-0.46 (-1.1 to 0.2)	0.14
≥4 Body regions affected	-3.52 (-5.7 to -1.4)	0.001 <sup>b</sup>	-1.59 (-3 to -0.18)	0.028 <sup>b</sup>
Presence of cerebellar symptoms/signs	-3.16 (-5.4 to -0.9)	0.007 <sup>b</sup>	-1.22 (-2.7 to 0.3)	0.007 <sup>b</sup>
Presence of oculomotor signs	-2.14 (-4.6 to 0.4)	0.092	-1.12 (-2.7 to 0.4)	0.15
Serum anti-GAD65 >14,537 IU/mL <sup>c</sup>	-2.95 (-5.2 to -0.7)	0.011 <sup>b</sup>	-2.21 (-3.4 to -1)	<0.001 <sup>b</sup>
Excluding individuals with diabetes mellitus				
No. of participants (eyes)	29 (54)		29 (54)	
No. of body regions affected	-1.38 (-2.3 to -0.5)	0.004 <sup>b</sup>	-0.62 (-1.3 to 0.02)	0.059 <sup>d</sup>
≥4 Body regions affected	-3.98 (-6.1 to -1.9)	<0.001 <sup>b</sup>	-1.9 (-3.4 to -0.4)	0.013 <sup>b</sup>
Presence of cerebellar symptoms/signs	-3.79 (-6.03 to -1.6)	0.001 <sup>b</sup>	-1.62 (-3.2 to -0.02)	0.047 <sup>b</sup>
Presence of oculomotor signs	-3.1 (-5.6 to -0.6)	0.015 <sup>b</sup>	-1.51 (-3.2 to 0.2)	0.076
Serum anti-GAD65 >14,537 IU/mL <sup>e</sup>	-2.51 (-5.1 to 0.1)	0.055 <sup>d</sup>	−2.35 (−3.7 to −1)	0.001 <sup>b</sup>

Abbreviations: CI = confidence interval; GAD65 = glutamic acid decarboxylase antibodies; HC = healthy control.

<sup>a</sup> Multivariable generalized linear regression adjusting for age, sex, and diabetes history.

<sup>b</sup> Statistically significant (p < 0.05).

<sup>c</sup> No. of participants (eyes): 31 (57). <sup>d</sup> Trend towards being significant.

<sup>e</sup> No. of participants (eyes): 25 (46).

years [13.7]; 33 [83%] female) underwent OCT. Ten OCT images (7 eyes from patients with SPS; 3 eyes from HCs) were excluded from analyses due to inadequate scan quality. A subset of 23 patients with SPS and 28 HCs underwent VA assessments. A summary of demographic and clinical characteristics is shown in table 1.

### **Comparison of visual outcome measures** between patients with SPS and HCs

In multivariable models, patients with SPS had lower GCIPL (p = 0.005) and INL (p = 0.042) thicknesses compared to HCs. Patients with SPS had lower scores on VA testing at 100% (p = 0.005), 2.5% (p = 0.006), and 1.25% contrast (p = 0.034) (table 2).

We performed sensitivity analyses excluding individuals with concurrent diabetes mellitus (DM; n = 6) to reduce the potentially confounding effect of DM on visual measures. These analyses demonstrated lower GCIPL thicknesses (p = 0.005) and lower scores on 100% (*p* = 0.006), 2.5% (*p* = 0.004), and 1.25% (p = 0.029) VA testing in patients with SPS relative to HCs.

### Correlation of visual outcome measures with SPS disease burden

In multivariable models among patients with SPS, each additional body region affected was associated with a mean decrease in GCIPL thickness of 1.25  $\mu$ m (95% CI, -2.2 to -0.3; p =0.008). Patients with  $\geq$ 4 body regions affected (the mean number of body regions affected) had lower GCIPL (p =(0.001) and INL (p = 0.028) thicknesses relative to patients with <4 regions affected. In addition, GCIPL and INL thicknesses were associated with signs/symptoms of cerebellar dysfunction (p = 0.007 for GCIPL; p = 0.007 for INL). No significant relationships were observed between retinal thickness measures and other individual body regions, or between VA measures and body regions affected. Similar results were observed in sensitivity analyses excluding individuals with DM (table 3).

Higher anti-GAD65 levels were associated with lower thicknesses of INL ( $R^2 = 0.25$ ; p = 0.006). Patients with SPS with an anti-GAD65 titer above the mean of 14,537 IU/mL (n = 10) had significantly reduced GCIPL (p = 0.011) and INL (p < 0.001) thicknesses compared to patients with a titer below the mean. VA did not demonstrate significant relationships with serum anti-GAD65 antibody titers. Similar relationships were observed in sensitivity analyses excluding patients with DM (table 3).

# Discussion

Patients with SPS in the cohort studied had lower GCIPL and INL thicknesses, and lower high- and low-contrast VA scores,

Neurology | Volume 94, Number 20 | May 19, 2020 e2129 as compared to HCs. In addition, GCIPL and INL thicknesses were associated with number of body regions affected and serum anti-GAD65 antibody levels among patients with SPS. Our novel findings are important as they may provide insight into the pathophysiology of SPS, and suggest a potential role for OCT in reflecting burden of disease, which is an area of unmet need in SPS.

Although serum anti-GAD65 titers do not consistently correlate with disease burden in SPS, anti-GAD65 antibodies are generally thought to be involved in SPS disease pathogenesis. Our findings therefore seem relevant and plausible given, as discussed above, the high concentration of GABAergic neurons in the retina, in particular the INL and inner plexiform layer.<sup>2,3</sup> The reduced thicknesses of the INL and GCIPL observed in our SPS cohort may therefore result from an autoimmune retinopathy; however, further studies are needed to evaluate this proposed mechanism. Furthermore, our observation that GCIPL and INL thinning were associated with cerebellar dysfunction in particular is interesting, as cerebellar features, such as gait or focal limb ataxia, nystagmus, and hypometric saccades, have been observed with increased frequency in phenotypes of SPS that demonstrate a worse functional prognosis.<sup>10</sup>

Limitations of this study include its cross-sectional design and small sample size; however, it is worth noting that SPS is a rare disease, for which this is among the largest cohort studies reported to date. Although efforts were made to exclude individuals with ophthalmologic disorders, not all participants underwent formal ophthalmologic assessment to exclude occult pathology. In addition, we did not exclude patients with concurrent DM; hence, the high frequency of DM among SPS populations may complicate interpretation of an abnormal OCT measurement. For both OCT and VA measurements, greater differences were observed between the SPS and HC cohorts in analyses excluding patients with comorbid DM. Additional neuro-ophthalmologic/retinal assessments such as visual evoked potential or electroretinogram testing were not performed, and may have provided further evidence of primary retinal pathology. Further larger and longitudinal studies are needed to evaluate the association of structural and functional visual outcome measures with SPS clinical phenotypes and disease burden.

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### Disclosure

J. Lambe and A. Rothman report no disclosures relevant to the manuscript. J. Prince is a founder of Sonovex, Inc. and serves on its Board of Directors; has received consulting fees from JuneBrain LLC; and is PI on research grants to Johns Hopkins from 12Sigma Technologies and Biogen. S. Saidha has received consulting fees from Medical Logix for the development of CME programs in neurology and has served on scientific advisory boards for Biogen, Genzyme, Genentech Corporation, EMD Serono, and Celgene; is the PI of investigator-initiated studies funded by Genentech Corporation and Biogen Idec; received support from the Race to Erase MS Foundation; has received equity compensation for consulting from JuneBrain LLC, a retinal imaging device developer; and is the site investigator of a trial sponsored by MedDay Pharmaceuticals. P. Calabresi has received consulting fees from Disarm and Biogen and is PI on grants to JHU from Biogen and Annexon. S. Newsome has received consultant fees for scientific advisory boards from Biogen, Genentech, Celgene, and EMD Serono; is an advisor for Gerson Lehrman Group; is a clinical adjudication committee member for a MedDay Pharmaceuticals clinical trial; and has received research funding (paid directly to his institution) from Biogen, Novartis, Genentech, National MS Society, Department of Defense, and Patient Centered Outcomes Institute. Go to Neurology.org/N for full disclosures.

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### Appendix Authors

Name	Location	Contribution
Jeffrey Lambe, MBBCh, MRCPI	Johns Hopkins University, Baltimore, MD	Study design, major role in the acquisition of data, data analysis and interpretation, drafting and revision of the manuscript for intellectual content
Alissa Rothman, BS	Johns Hopkins University, Baltimore, MD	Study design, major role in the acquisition of data, data analysis and interpretation, revision of the manuscript for intellectual content
Jerry Prince, PhD	Johns Hopkins University, Baltimore, MD	Revision of the manuscript for intellectual content
Shiv Saidha, MBBCh, MD, MRCPI	Johns Hopkins University, Baltimore, MD	Data interpretation, revision of the manuscript for intellectual content
Peter A. Calabresi, MD	Johns Hopkins University, Baltimore, MD	Data interpretation, revision of the manuscript for intellectual content
Scott D. Newsome, DO	Johns Hopkins University, Baltimore, MD	Study concept and design, data interpretation, drafting and revision of the manuscript for intellectual content

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