

# The Race between Host Antiviral Innate Immunity and the **Immune Evasion Strategies of Herpes Simplex Virus 1**

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SUMMARY Herpes simplex virus 1 (HSV-1) is very successful in establishing acute and latent infections in humans by counteracting host antiviral innate immune responses. HSV-1 has evolved various strategies to evade host antiviral innate immunity and some cellular survival-associated pathways. Since there is still no vaccine

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available for HSV-1, a continuous update of information regarding the interaction between HSV-1 infection and the host antiviral innate immunity will provide novel insights to develop new therapeutic strategies for HSV-1 infection and its associated diseases. Here, we update recent studies about how HSV-1 evades the host antiviral innate immunity, specifically how HSV-1 proteins directly or indirectly target the adaptors in the antiviral innate immunity signaling pathways to downregulate the signal transduction. Additionally, some classical intracellular stress responses, which also play important roles in defense of viral invasion, will be discussed here. With a comprehensive review of evasion mechanisms of antiviral innate immunity by HSV-1, we will be able to develop potential new targets for therapies and a possible vaccine against HSV-1 infections.

KEYWORDS DDR, NLR, RIG-I/MDA5, TLR, antiviral immunity, apoptosis, cGAS-STING, herpes simplex virus, immune evasion

## **INTRODUCTION**

erpes simplex virus 1 (HSV-1) is a member of the Alphaherpesvirinae subfamily. The viral particle is composed of the linear double-stranded DNA (dsDNA) genome of approximately 152 kb, an icosahedral capsid 100 to 110 nm in diameter, tegument, and a spikey envelope. To date, more than 80 genes encoded by HSV-1 have been identified (1). The pathogenesis of HSV-1 infection follows a cycle of primary infection of epithelial cells in the oral mucosa or genital mucosa, lifelong latency in neurons, and reactivation (2). HSV-1 primary infection usually occurs at young ages, mostly with mild clinical symptoms in immunocompetent individuals, except for a considerable fatality rate in neonates (3). HSV-1 infection is responsible for establishing primary and recurrent vesicular eruptions, primarily in the orolabial and genital mucosa (4). Approximately 3.7 billion people aged under 50 are suffering from HSV-1 infection and its associated diseases worldwide (5).

HSV-1 has existed for millennia restricted in human beings, and the battle between the host and the virus has never ceased. Innate immunity represents the first line of host defense that limits viral spread and regulates the activation of the ensuing adaptive response. After the detection of viral pathogen-associated molecular patterns (PAMPs) by host pathogen recognition receptors (PRRs), the associated signaling pathways trigger the activation of the interferon (IFN) regulatory factor (IRF) family members and the nuclear factor kappa-light-chain-enhancer of activated B cells (NFκB). These transcription factors modulate the expression of type I interferons (IFN-I), including IFN- $\beta$  and 13 subtypes of IFN- $\alpha$  in humans, which subsequently induce the expression of IFN-stimulated genes (ISGs). Theoretically, the host-virus interaction upon the primary infection could evoke the host immune responses, leading to the control and restriction of viral infection and replication. However, HSV-1 has evolved numerous strategies to evade and subvert host immune surveillance and ultimately establish a latent infection, indicating a powerful immune evasion capability for HSV-1.

During HSV-1 infection, viral glycoproteins and nucleic acids, including the abundant unmethylated CpG motifs in viral genomic DNA and some double-stranded RNA (dsRNA) intermediates, are genuine PAMPs for PRRs (6, 7). To date, at least four families of PRRs that recognize HSV-1 PAMPs have been well characterized. The first subfamily is Toll-like receptors (TLRs), whose signal transduction is dependent on adaptor protein myeloid differentiation factor 88 (MyD88) (like TLR1, 2, 7, and 9) or Toll/interleukin-1 (IL-1) receptor domain-containing adaptor inducing IFN- $\beta$  (TRIF) (like TLR3 and 4) (8). Evidence indicates that the chaperone Unc-93 homolog B1 (UNC93B1) is not only essential for intracellular trafficking of these endosome-associated TLRs, including TLR3, 7, 8, and 9, exiting from endoplasmic reticulum (ER) via a direct interaction (9, 10), but is also involved in TLR stability independent of trafficking (11). Upon HSV-1 infection, the peripheral blood mononuclear cells (PBMCs) from two UNC93B1 mutant patients produce markedly lower levels of IFN-I and IFN- $\lambda$  than those of healthy individuals (12), indicating that UNC93B1 may be involved in host antiviral immune responses. The

second subfamily is retinoic acid-inducible gene I (RIG-I)-like receptors (RLRs), including RIG-I, melanoma differentiation-associated gene 5 (MDA5), and laboratory of genetics and physiology 2 (LGP2), via recognizing dsRNA intermediates during HSV-1 replication to trigger IFN-I production (13–15). Beside TLRs and RLRs, the cytosolic DNA sensor stimulator of interferon genes (STING) and the nucleotide-binding oligomerization domain (NOD)-like receptors (NLRs) are another two subfamilies of PRRs, which have been recently discovered to participate in sensing HSV-1 during the host's innate immune responses (16, 17). As a dsDNA virus, HSV-1 can be recognized by the host via numerous DNA sensors and many associated proteins upstream of STING, including DNA-dependent activator of IFN regulatory factors (DAI), absent in melanoma 2 (AIM2), gamma-IFN-inducible protein 16 (IFI16), and cyclic GMP-AMP synthase (cGAS) (18–20).

HSV-1 has coexisted and coevolved with its human host for a long time (21); therefore, this tricky virus has developed multitudinous mighty immune evasion mechanisms to evade the host's sensing and subsequent antiviral innate immunity. In this review, we will summarize and update recent findings about the strategies of HSV-1 to evade host innate immunosurveillance, including the canonical PRR-mediated induction of IFN-I and its downstream ISGs as well as some other cellular defense and protection responses, such as DNA damage response (DDR), autophagy, ER stress, and stress granule (SG)-mediated antiviral innate immunity. A comprehensive evaluation of host antiviral innate immunity and viral immune evasion strategies in the battle between the host and virus contributes to our understanding of viral pathogenesis and provides information that may help to find novel drug targets and potential immunotherapeutic treatments against HSV-1 infection-associated diseases.

# HSV-1 EVADES PATHOGEN RECOGNITION RECEPTOR-MEDIATED ANTIVIRAL INNATE IMMUNITY

The expression of PRRs endows the host cells with the capacity to detect and respond to viral infections. Once engaged with viral ligands, TLRs and other cytosolic receptors transmit signals to downstream adaptor proteins to stimulate the production of IFN-I and its downstream ISGs. To survive and replicate in the host cells, viruses develop multiple strategies to counteract the host antiviral innate immunity. The classical innate immune responses initiated by TLRs, RLRs, DNA sensors, nucleotide-binding oligomerization domain-like receptor (NLR) sensors, and the downstream IFNAR-JAK-STAT signaling pathway will be discussed in this section. However, we will focus on the corresponding countermeasures by HSV-1 to restrain the host antiviral innate immunity.

## **TLR Signaling Pathway**

TLRs play a critical role in early defense against viruses, which are initiated by recognizing viral components leading to the activation of a spectrum of innate immune signaling pathways, with a result of the induction of IFN-I, proinflammatory factors, cytokines, and chemokines (22). According to the subcellular location of PRRs, TLRs can be divided into cellular surface and intracellular endosomal receptors, among which TLR1/TLR2 and TLR4 are the main cellular surface TLRs, while TLR3, TLR7, TLR8, and TLR9 are mainly expressed in the endosomes (23, 24).

Membrane recognition of viral proteins and endosomal recognition of viral nucleic acids by TLRs are critical for controlling HSV-1 infection. To date, TLR2, TLR3, TLR4, TLR7, and TLR9 have been shown to participate in recognition of HSV-1 and induction of IFN-I during viral entry and replication (25–28). TLR2 recognizes structures from pathogens, such as bacterial proteins, yeast cell walls, and viral envelope glycoproteins. For HSV-1, TLR2 recognizes glycoproteins gB and gH/gL (29–31). In addition to priming IFN-I production, TLR2 also mediates the induction of proinflammatory cytokines in the brains of neonatal mice *in vivo* and microglial cells from mice upon HSV-1 infection (32, 33), leading to the overzealous neuroimmune responses in the central nervous system (CNS), which may exacerbate the mortality caused by viral encephalitis. TLR4 is a receptor for lipopolysaccharide (LPS), preferably activated by a bacterial infection,

whereas TLR4 is also activated during HSV-1 infection in primary mouse astrocytes (27). However, no definite viral ligand has been identified for TLR4 until now.

The viral dsRNA intermediates produced during HSV-1 replication (34, 35) presumably serve as the ligands for TLR3 (6). In humans, TLR3-deficient individuals are prone to suffer from HSV-1 encephalitis in childhood (36, 37). A previous study with a murine model shows that TLR3 is capable of resisting the invasion of HSV-2 into the CNS in an astrocyte-mediated IFN-dependent manner in vivo (38). Interestingly, TLR3-mediated IFN-I production upon HSV-1 infection is cell type-dependent. In mouse fibroblasts and CNS resident cells, such as neurons, astrocytes, microglia, and oligodendrocytes, TLR3 is demonstrated to limit the replication of HSV-1, paralleling with IFN-I production, implicating a protective role of TLR3 for the host (38, 39). However, in human PBMCs (36) and murine macrophages (40), upon infection with HSV-1, TLR3 seems not necessary for IFN-I production. All of these studies together demonstrate that TLR3 may be dispensable for antiviral immunity in the peripheral system but plays a specific immune protective role in controlling HSV infection in the CNS. The pretreatment of the TLR7 agonists in animals markedly decreases viral load in the genital tract after HSV-1/2 infection, implying a protective role for TLR7 in HSV-1/2 infection-associated diseases (41).

In addition, the genomic DNA of HSV-1/2 is characterized by the unmethylated CpG motifs, which are the only natural ligands for TLR9 (42, 43). During HSV-1/2 infection in vivo, TLR9 stimulates the production of IFN-I in a cell-type- and time-dependent manner. In parental mice, a significant amount of IFN-I is produced in splenic plasmacytoid dendritic cells (pDCs) but not conventional dendritic cells (cDCs) upon HSV-2 infection at the early stage. Meanwhile, in TLR9 knockout (KO) mice, both pDCs and cDCs fail to produce IFN-I only at the early but not late stage of infection, indicating that TLR9 contributes to the initial but not late stage production of IFN-I upon HSV infection. However, in macrophages and fibroblasts, the production of IFN-I is dependent on viral replication but not TLR9 (44). In TLR2/TLR9 double-KO mice, the viral loads in the brain are significantly higher than those of their parental or single-gene KO mice, indicating synergetic protection for TLR2 and TLR9 in the brain (25). A recent study also confirms the cooperative role for TLR2 and TLR9 to control viral replication and dissemination in the CNS (45). To date, both canonical NF-κB/IRFs and noncanonical NF-κB pathways have been demonstrated to be involved in the IFN-I production mediated by TLR9 (46, 47).

HSV-1 carries multiple ligands detected by TLRs yet persists in millions of infected individuals, indicating a breakdown in host defense against the virus. HSV-1 has evolved numerous measures to manipulate TLR signaling and evade the antiviral innate immune responses to facilitate viral replication (Fig. 1).

HSV-1 engaged in the TLR2 signaling pathway is involved in the induction of both IFN-I and inflammatory cytokines. ICPO is an immediate early (IE) protein carried by HSV-1 and a viral E3 ligase (48). Upon infection with wild-type (WT) HSV-1, the expression of IL-6 is relatively lower than that of ICP0-null mutants, suggesting an antagonistic role for ICP0 to inhibit host inflammatory responses (49). Ectopic expression of ICP0 is sufficient to abolish the TLR-2-driven NF-κB signaling pathway by targeting adaptor proteins MyD88 and Mal for degradation (49). Also, upon HSV-1 infection, the deubiquitinating enzyme ubiquitin-specific peptidase 7 (USP7) is hijacked by ICP0 to shuttle from the nucleus to the cytoplasm, leading to the deubiquitination of tumor necrosis factor receptor-associated factor 6 (TRAF6) and IκB kinase (IKK) γ, both of which are downstream adaptors of TLR signaling pathways (50). ICPO also recruits USP7 to suppress the phosphorylation of Jun N-terminal protein kinase (JNK) and IkB, which are downstream of TLR4 signal activation (50). At the very early stage of infection, the virally encoded kinase and tegument protein US3 inhibits the TLR2 signaling pathway by blocking the nuclear accumulation of NF-κB, consequently leading to a reduction of inflammatory cytokines like IL-6, IL-8, and chemokine CCL2 (51). Ectopic expression of US3 inhibits TLR2 signaling at or downstream of MyD88 and upstream of p65. Although the ubiquitination of endogenous TRAF6 is inhibited in the

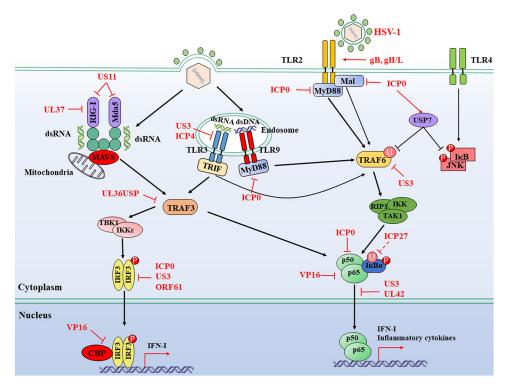


FIG 1 HSV-1-mediated evasion of the RLR and TLR signaling pathway. TLRs are located at both the plasma membrane and endosomes. They sense different ligands like viral dsRNA, dsDNA, and glycoproteins; transduce signals through TRIF and MyD88; and then lead the activation of IRFs and NF-κB. RIG-I and MDA5 detect distinct RNA structures and signal through the adaptor protein MAVS protein to trigger IRF3 and NF-κB activation. The IFN-I and inflammatory cytokines are induced for antiviral immunity. HSV-1 proteins can hijack multiple steps downstream of RLR and TLR signaling pathways. Solid lines indicate confirmed interactions between adaptors and HSV-1 proteins. Dashed lines indicate uncertain interactions or that the underlying mechanism is unknown. CBP, CREB-binding protein; P, phosphate; U, ubiquitin.

presence of US3, its protein expression level does not change, indicating that US3 may block the TLR2 signaling pathway at or upstream of the ubiquitination of TRAF6 (51). More studies are needed to explain the exact immune evasion mechanism of the TLR2 signaling pathway by US3 and other viral proteins.

In human monocytic U937 cells, infection with a US3-null or US3/ICP4-double-null mutant, but not WT HSV-1, enhances both the transcription and the expression of TLR3 as well as IFN-I-inducible myxovirus resistance protein A (MxA) (52). Moreover, the activated dimeric IRF3 is observed in US3-null and US3/ICP4-double-null HSV-1 but not in WT or US3-rescued virus-infected U937 cells (52). These results demonstrate at least that US3 shows the capability to evade the host TLR3 signaling pathway. Recently, mammalian target of rapamycin complex 1 (mTORC1) and mTORC2 have been found to be involved in multiple TLR-mediated IFN-I inductions in vitro and in vivo. In an HSV-1-infected murine model, mTORC2 is essential for the initiation of TLR3-dependent IFN-I production in cultured primary mouse neurons (53). It could be interesting to determine whether HSV-1 is capable of inhibiting the activity of mTORC2 and evading TLR3-mediated antiviral immune responses. The tuberous sclerosis complex (TSC) is a heterodimer composed of TSC1 and TSC2 subunits. When the amino acid residues T1462 and S939 in TSC2 are phosphorylated by protein kinase B (PKB), also named Akt, the activity of TSC will be inhibited, which subsequently promotes the activation of mTORC1 (54).

Interestingly, US3, a conserved viral Ser/Thr kinase in alphaherpesviruses, is capable of masquerading as Akt to activate mTORC1. In WT HSV-1-infected primary normal human dermal fibroblast (NHDF) cells, the phosphorylation of TSC2 can still be observed even with the pretreatment of Akt inhibitor; however, in US3-null virus-infected

cells, the phosphorylation of TSC2 is inhibited when treated with Akt inhibitor (55). Therefore, during HSV-1 infection, US3 may induce the activation of mTORC1, which further stimulates viral gene translation and replication in vitro (55). However, some evidence demonstrates that the activation of the TANK-binding kinase 1 (TBK1)mTORC1 axis seems essential for the activation of TLR3/TLR4 and TLR7/TLR9 in macrophages and pDCs, respectively (56-58). These data emphasize the immune protective role of mTORC1 in activating the production of IFN-I. But, to date, no evidence has shown the immune evasion of US3 in immunocytes during viral infection. It is unknown whether mTORC1 will be activated by HSV-1 for its survival or to stimulate the antiviral response. It would be an interesting project. More evidence is needed to clarify the role of mTORC1 in the interaction between HSV-1 and host innate immune response during viral infection in different cell types.

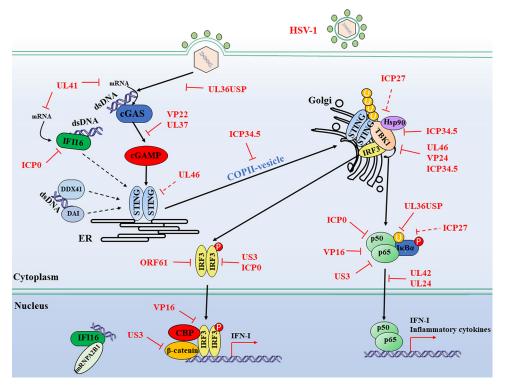
## **RLR Signaling Pathway**

RLRs are highly homologous in structure, sharing the same DExD/H-box RNA helicase core domain in the center and a zinc-binding domain at the C terminus. Apart from LGP2, both RIG-I and MDA5 contain two N-terminal caspase activation and recruitment domains (CARDs) (59). The CARD domain recruits the downstream adaptor mitochondrial antiviral signaling (MAVS) protein residing at the outer mitochondrial membrane and facilitates the activation of the MAVS protein to mediate signal transduction (60). Subsequently, the activated MAVS protein recruits downstream proteins TBK1/IKKε, which phosphorylate and activate IRF3 or IRF7, accompanying some other transcription factors to stimulate the expression of IFN-I and proinflammatory cytokines (61-63).

It is interesting to understand how infection with HSV-1, as a DNA virus, activates the host cellular RNA sensors. To date, some potential mechanisms have been revealed. On the one hand, during viral replication, HSV-1 generates RNA intermediates, which could be nucleic acid ligands for RLRs. For example, upon HSV-1 infection in primary murine glial cells, the viral dsDNA acts as a template for RNA polymerase III to generate 5'-ppp-RNA recognized by RIG-I followed by the activation of antiviral immune responses (64). On the other hand, host-derived RNAs produced upon HSV-1 infection could also initiate the RLR signaling pathway. Evidence shows that the recently discovered host-derived RNA named 5S rRNA pseudogene 141 (RNA5SP141) transcript could bind to RIG-I during HSV-1 infection. In uninfected cells, RNA5SP141 mainly localizes in the nucleus. However, upon HSV-1 infection, RNA5SP141 is relocalized from the nucleus to the cytoplasm, in which it can be recognized by RIG-I (65). The silencing of RNA5SP141 strongly blocks the antiviral response to HSV-1, Epstein-Barr virus (EBV), as well as influenza A virus (IAV) (65). These studies have extended RIG-I recognition from exogenous RNAs to host-derived RNAs and expanded our knowledge of the molecular mechanisms of RIG-I recognition. However, the underlying mechanisms driving the relocation of RNA5SP141 from the nucleus to the cytoplasm and whether HSV-1 activates RIG-I or MDA5 through hijacking other intracellular proteins are not yet clarified. It is still a considerable task to illuminate the underlying mechanism of RIG-I activation by HSV-1. Currently, significant progress has been made for the complex interaction between HSV-1 infection and the RLR signaling pathway (Fig. 1).

RIG-I and MDA5. US11, an RNA-binding tegument protein encoded by HSV-1, interrupts both MDA5 and RIG-I-mediated antiviral signaling pathways. Both in ectopic expression and HSV-1-infected cell models, US11 directly targets the C-terminal domain of RIG-I and MDA5, resulting in a blockade of signal transduction. The C-terminal RNA-binding domain is required for US11 to interact directly with RIG-I and MDA5, indicating a novel role for US11 to help HSV-1 evade host innate immune surveillance (66). Upon infection with WT HSV-1, viral tegument protein UL37, which also acts as a deamidase, binds to RIG-I and mediates its deamidation, leading to an impairment of function for RIG-I and a blockade of downstream antiviral immune responses (67).

TRAF3. TNF receptor-associated factor 3 (TRAF3) is an adaptor protein belonging to the TRAF family. It plays an essential role in both MyD88- and TRIF-dependent TLR-



**FIG 2** Evasion of the DNA sensor-mediated IFN-I signaling pathway by HSV-1. Cytosolic DNA sensors, such as cGAS, IF116, DDX41, and DAI, recognize double-stranded DNA in the cytosol and trigger IFN-I production through the transmission of a series of signals. Multiple steps in the DNA-sensing signaling pathway can be targeted by HSV-1 proteins, including both DNA sensor-mediated viral recognition and subsequent signaling. Many adaptors and transcription factors further downstream in the DNA sensor-mediated signaling pathway, such as TBK1, IRF3, p65, and p50, are shared by the RLR-mediated IFN-I signaling pathway. Therefore, it is plausible to consider that viral proteins targeting these molecules in the RLR-mediated signaling pathway may inhibit cytosolic DNA-sensing signals through a similar mechanism. Solid lines indicate confirmed interactions between adaptors and HSV-1 proteins. Dashed lines indicate uncertain interactions or that the underlying mechanism is not known. CBP, CREB-binding protein; P, phosphate; U, ubiquitin. (Based on data from reference 92.)

mediated signaling pathways, which are also implicated in the signaling activated by RIG-I and MDA5 via transmitting signals from the MAVS protein to TBK1. The K63-linked polyubiquitination of TRAF3 is indispensable for MAVS protein signaling to recruit TBK1 and IKK $_{\rm E}$  (68, 69). UL36 is the largest tegument protein in the *Herpesviridae*; it is conserved across this family and is essential for viral replication. It contains a deubiquitinase (DUB) motif at its N terminus, also known as UL36 ubiquitin-specific protease (UL36USP) (70, 71). UL36USP abrogates Sendai virus (SeV)-induced IRF3 dimerization, promoter activation, and transcription of IFN- $_{\rm B}$  by interacting with and deubiquitinating TRAF3 to prevent the recruitment of the downstream adaptor TBK1. Cells infected with UL36USP-mutated virus produce more IFN- $_{\rm B}$  than those infected with WT HSV-1. These findings demonstrate that UL36USP counteracts the RLR signaling pathway via removing polyubiquitin chains on TRAF3 (72).

## **DNA-Sensing Signaling Pathway**

The endosomal TLR9 is the first identified DNA sensor recognizing CpG DNA (43). Then, DAI, together with AIM2, RNA polymerase III, IFI16, DDX41, and some proteins involved in the DNA damaged responses (DDR) have been demonstrated to sense DNA and induce IFN-I production, playing an essential role in defense against infection with DNA viruses (73–75). cGAS has been identified as the only universal cytoplasmic DNA sensor in various cell types (76). cGAS recognizes dsDNA via a sequence-independent interaction. As shown in Fig. 2, when exposed to dsDNA, dimeric cGAS forms ladder-like networks between two separate stretches of dsDNA or on one long crooked dsDNA helix (77). Afterward, cGAS catalyzes the synthesis of cyclic GMP-AMP (cGAMP), a

second messenger to activate STING through direct binding. STING polymerization and translocation through COPII-mediated vesicles via Golgi are the critical processes after binding with cGAMP (78). Later, Golgi-located STING undergoes palmitoylation and recruits TBK1 for phosphorylation transition, promoting the nuclear importation of IRF3 and NF-κB, resulting in IFN-I transcription and production (79-81). Interestingly, the adaptor protein TRIF mainly for TLR signaling is also crucial for cGAS-STING pathwaymediated antiviral responses in a cell type-dependent manner (82).

HSV-1 is a dsDNA enveloped virus. Upon infection, viral capsids are conveyed along the cytoskeleton in the cytoplasm, and then the genome is injected into the nucleus through the nuclear pore complex (83). During early infection, a certain amount of HSV-1 capsids are ubiquitinated in the cytosol and degraded by the proteasome. Hence, the genomic DNA is released into the cytoplasm and subsequently detected by DNA sensors (84). Moreover, dsDNA amplified during HSV-1 replication and assembly is released into the cytoplasm, where it is recognized and catalyzed by cGAS, leading to the activation of STING and downstream signal adaptors (84). DNA sensor-mediated innate immune pathways play an important role in restricting HSV-1 infection. Viral evasion mechanisms involved in cGAS, STING, IFI16, and the downstream signaling TBK1-IRF3 axis and NF-κB by HSV-1 components are discussed in this section and summarized in Fig. 2.

cGAS. cGAS is activated by binding with dsDNA to generate the second message cGAMP depending on its enzymatic activity, which then activates STING to initiate the antiviral immune responses. Therefore, the enzymatic activity is indispensable for cGAS to exert its antiviral effect. However, HSV-1 can inhibit the enzymatic activity of cGAS. Infection with WT HSV-1 but not the VP22-null virus inhibits the immunostimulatory DNA (ISD)-induced activation of the IFN-I signaling pathway. The relevant mechanism indicates that VP22 can directly interact with cGAS to suppress its enzymatic activity, followed by an inhibition of IFN-I production and downstream ISGs (85). In C57BL/6J and cGAS KO mouse in vivo models, the HSV-1 tegument protein UL37 is critical for viral survival and the inhibition of IFN- $\beta$  production. The underlying mechanisms reveal that, in WT HSV-1-infected human THP-1 cells, UL37 could inhibit the activation of cGAS via its deamidase activity, resulting in loss of cGAMP synthesis and blockade of downstream signaling, but not in UL37 mutant HSV-1-infected cells (86). Recently, UL41 has been shown to significantly reduce the accumulation of cGAS mRNA in HSV-1-infected human foreskin fibroblasts cells (HFF) cells and then abrogate the cGAS-STINGmediated IFN-I signaling pathway dependent on its RNase activity (87).

STING. cGAMP binding, phosphorylation, dimerization, and trafficking are the critical steps for STING activation in response to cytoplasmic DNA. Therefore, HSV-1 is very likely to target these steps for immune evasion. ICP34.5 is a virulence factor carried by HSV-1. Upon HSV-1 infection, ICP34.5 targets STING to prevent its transport from the ER to the Golgi apparatus via direct interaction, followed by inhibition of STING activation and downstream antiviral signaling pathway (88). UL46 is a tyrosine-phosphorylated tegument protein. It is reported that the stable ectopic expression of UL46 could decrease the accumulation of transcripts and expression of both STING and IFI16. However, in WT or UL46-null HSV-1-infected cells, the expression of STING seems unaffected (89), which is not a biologically relevant phenomenon. Interestingly, our unpublished data indicate that the transient ectopic expression of UL46 does not affect the expression of STING at all.

IFI16. IFI16 is a novel DNA sensor for both abnormal self dsDNA and viral dsDNA to induce the production of IFN-I and is involved in the inflammasome and inflammatory responses (90); it belongs to the PYHIN protein family, whose members contain a pyrin domain and two DNA binding HIN domains. The recognition of HSV-1 genomic DNA in the nucleus by IFI16 leads to the acetylation of IFI16, which is essential for its cytoplasmic redistribution and recruiting STING to activate IRF3 and NF- $\kappa$ B, followed by the induction of IFN- $\beta$  and IL-6 to restrict viral replication and initiate an inflammatory response, respectively (91, 92). In addition, IFI16 exhibits a repressive effect on viral gene expression via a direct binding on all gene

class transcription sites. Histone modifications associated with WT HSV-1 promoters are also observed in HFF by IFI16 during HSV-1 infection, leading to a restriction of genomic DNA replication. Therefore, the accumulation of IFI16 on the HSV-1 genome restricts gene expression and viral replication, independent of the inflammasome responses (93).

Although the IFI16-mediated DNA-sensing pathway can be activated during HSV-1 infection, HSV-1 has developed multiple mechanisms to evade its antiviral immune responses to facilitate viral infection and replication. During early HSV-1 infection, IFI16 mediates IFN- $\beta$  induction, while at later times postinfection, ICP0 targets IFI16 for rapid degradation depending on its E3 ubiquitin ligase activity (17, 94). UL41 reduces the expression of IFI16 by degrading its mRNA (95). More studies are guaranteed to thoroughly investigate the countermeasures by HSV-1 to evade the antiviral responses mediated by IFI16 during viral infections.

#### **TBK1-IRF3 Axis**

TBK1 is a serine/threonine protein kinase that mediates the phosphorylation of IRF3, which is one of the essential transcription factors for IFN-I production. The TBK1-IRF3 axis plays a central role in almost all types of PRR-mediated antiviral innate immune responses. In that case, HSV-1 has evolved many mechanisms to interrupt the signal transduction at the level of the TBK1-IRF3 axis for survival, and many viral proteins are involved in it.

ICPO. The viral ICPO is a multifunctional viral protein. It contains a zinc-stabilized RING finger domain in the N terminus that confers E3 ubiquitin ligase activity, which is essential for proteasome-dependent degradation of several cellular proteins. In the TBK1-IRF3 axis, ICP0 also plays a critical role in dampening the production of IFN and ISGs during HSV-1 infection. A lot of evidence demonstrates that ICP0 inhibits the nuclear accumulation of activated IRF3 induced by SeV infection and targets it for degradation in a proteasome-dependent manner (96, 97). In SeV and HSV-1 coinfected models, ICPO sequesters IRF3 and CBP/p300 away from the host chromatin in the nucleus and accelerates the degradation of activated IRF3 (97). Interestingly, an ICP0 homologous protein, ORF61, a viral E3 ligase encoded by another alphaherpesvirus varicella-zoster virus, targets the activated but not the resting IRF3 for degradation, showing similar effects with ICPO (98).

Although the RING finger domain and E3 ubiquitin ligase activity are demonstrated to be important for ICPO to inhibit IRF3- and IRF7-mediated production of ISGs, no degradation of known IRF3 components is observed in WT HSV-1-infected fibroblasts (99). Besides, studies from another group demonstrate that the cytoplasmic localization is a requisite for ICPO to mediate the degradation of IRF3. At the early stage of viral infection, ICPO mainly localizes in the nucleus, but with the infection progressing, the de novo translated ICPO shuttles mostly to the cytoplasm and inhibits the IRF3 activation in a proteasome-independent manner (100). The involved mechanisms are still unclear. The distinct effects of ICPO on IRF3 from different groups may stem from different experimental systems, cell lines, virus strains, infection time, and ectopic expressions of ICP0. Undeniably, ICP0 is a multifunctional protein to facilitate viral replication and immune evasion.

ICP27. ICP27 is a conserved multifunctional regulatory protein among all herpesviruses. In WT HSV-1-infected THP-1 cells, the phosphorylated IRF3 is significantly reduced 18 h postinfection (hpi), while the ICP27-null virus fails to impede the activation of IRF3 during the viral infection at all time points. ICP27 has been shown to interact with TBK1 and STING in a manner depending on its RGG motif, resulting in an impairment of IRF3 activation and IFN-I production (101). It is noteworthy that ICP27, as an IE protein, controls the transcription of many viral early and late genes (102). Therefore, it is very likely that ICP27 may interfere with the IFN-I signaling pathway in an indirect way by regulating the expression of other viral proteins to facilitate immune evasion.

ICP34.5. In human lung fibroblast (HEL) cells, the viral tegument protein ICP34.5-null HSV-1 but not WT HSV-1 is capable of evoking the activation of IRF3 both 3 and 6 hpi, indicating that ICP34.5 is a potential viral protein to block IRF3 signaling. Ectopic expression of ICP34.5 can sequester TBK1 and interfere with the interaction between TBK1 and IRF3, ultimately leading to the inactivation of IRF3 and the abrogation of IFN-1 production, which may facilitate viral replication and neuroinvasion (103). The lack of TBK1-binding domain (TBD) within ICP34.5 fails to affect the replication and virulence of HSV-1 and the activation of IRF3 in HFF cells. However, infection with ICP34.5-null HSV-1 leads to a significantly higher level of phosphorylation of IRF3 than that of the WT virus, indicating that TBD does not impact TBK1 function during infection (104). Also, ICP34.5 inhibits the IRF3 activation indirectly. It restrains the phosphorylation of eukaryotic initiation factor- $2\alpha$  (eIF- $2\alpha$ ) and facilitates the expression of viral proteins, such as ICP0, which act as antagonistic proteins against IFN-I production at the IRF3 level. However, the exact underlying molecular mechanism is still elusive (104).

**US3.** US3 is also capable of abolishing the dimerization and nuclear translocation of IRF3 and subsequently abrogating IFN-I production induced by SeV infection. The kinase activity is essential for US3 to mediate the hyperphosphorylation of IRF3 at Ser175, an atypical phosphorylation site for IRF3. Cells and mice infected with both US3-null and kinase activity mutated viruses produced remarkably more massive amounts of IFN- $\beta$  than those infected with WT HSV-1 (105). In the nucleus,  $\beta$ -catenin acts as a coactivator for IRF3-mediated transcription and is essential for IFN production following viral infection (106). Recently,  $\beta$ -catenin was shown to be required for the cGAS-STING signaling pathway to produce IFN-I during HSV-1 infection but is antagonized by viral kinase US3. Compared to the WT HSV-1 infection, the US3-null virus fails to downregulate the production of IFN-I and ISGs induced by  $\beta$ -catenin. The underlying mechanism is that US3 interacts with and hyperphosphorylates  $\beta$ -catenin at Thr556 to block its nuclear translocation (107).

**US11.** The heat shock protein 90 (Hsp90) is a chaperone involved in the activation and stability of TBK1 in both RNA- and DNA-sensing signaling pathways (108, 109). Infection with the EUS11 virus, in which the promoter of US11 is deleted and replaced with the  $\alpha$ 47 promoter, could remarkably suppress the expression of IFN- $\beta$ , RANTES, and ISGs like WT HSV-1. During WT HSV-1 and EUS11 viral infection, the expression level of IRF3 is not affected, but TBK1 is downregulated. The underlying mechanism reveals that, upon viral infection, US11 could interact with Hsp90 to form a complex to displace TBK1, subsequently leading to the degradation of TBK1. Correspondingly, the ectopic expression of US11 also demonstrates that US11 could disrupt the interaction between Hsp90 and TBK1 and subsequent degradation of TBK1 in a proteasome-dependent manner (110).

**VP16.** VP16 is an abundant virion phosphoprotein that is synthesized in the later stage of infection and then packaged into virions (111). It is demonstrated that the ectopic expression of VP16 could significantly block the production of IFN- $\beta$  induced by SeV infection in HEK 293T cells. In addition, VP16 could selectively inhibit IRF3- but not IRF7-mediated IFN-stimulated response element (ISRE) promoter activation. The underlying mechanisms reveal that VP16 binds to IRF3 and inhibits the formation of the IRF3-CBP (CREB binding protein) complex in the nucleus upon SeV infection (112).

**VP24.** In HFF cells with stable knockdown of VP24, infection with HSV-1 prompts more transcription of IFN-I mRNA than that of parent cells. Evidence shows that VP24 interacts with TBK1 to abolish the interaction between TBK1 and IRF3 and inhibit the subsequent activation of IRF3 and IFN-I signaling (113).

**UL46.** The infection of WT HSV-1 *in vitro* and ectopic expression of UL46 can significantly decrease the activation of TBK1 and abolish the interaction between TBK1 and IRF3, leading to the inhibition of IFN-I production (114). Meanwhile, in UL46-null HSV-1-infected HFF cells, the treatment of ISD could successfully induce the activation of IRF3 and TBK1, which are inhibited upon infection with WT HSV-1, indicating that UL46 is critical for HSV-1 to evade TBK1-mediated signaling (114).

## NF-κB

 $NF-\kappa B$  is a critical transcription factor involved in antiviral innate immune responses. In the resting state,  $I\kappa B\alpha$  combines with the NF- $\kappa B$  p50/p65 heterodimer to form an inactivation complex. When upstream signals activate the IKK,  $I\kappa B\alpha$  is phosphorylated by activated IKK and degraded by the K48-linked ubiquitin-proteasome pathway. Then, the activated NF-κB p50/p65 heterodimer is imported into the nucleus, leading to the production of IFN-I and inflammatory chemokines (115-118).

Evidence from the UL36USP mutant and WT HSV-1 shows that UL36USP has a potential role in blocking ISD-induced NF- $\kappa$ B activation by deubiquitinating I $\kappa$ B $\alpha$  to prevent its degradation in virally infected HFF cells (119). UL24 is conserved among the Herpesviridae family and plays a critical role in viral reactivation from latency. Recently, HSV-1 UL24 also shows the potential to target cGAS-STING-mediated NF-κB signaling pathway selectively. The ectopic expression of UL24 and WT HSV-1 infection can inhibit the transcription or production of IFN- $\beta$  and IL-6 via direct binding to subunits p65 and p50, leading to a reduction of their nuclear translocation. However, compared with WT HSV-1 infection, both transcription and production of IFN-I induced by ISD are significantly rescued by the UL24 mutant (UL24X) virus (120).

ICP27 is involved in the regulation of viral gene transcription and protein translation (102). Ectopic expression of ICP27 stabilizes  $I\kappa B\alpha$  by blocking its phosphorylation and ubiquitination and subsequently suppresses NF-κB activation, which may contribute to the early evasion of HSV-1 infection. However, it remains unclear whether ICP27 works similarly during viral infection (121). ICP0 binds to the p65/p50 complex and degrades p50 through its E3 ligase activity and the ubiquitin-proteasome pathway, resulting in the inhibition of NF- $\kappa$ B activation (122). US3 interacts with and hyperphosphorylates p65 at Ser75 depending on its kinase activity, causing the blockade of its nuclear translocation and a decreased expression of inflammatory chemokine IL-8 (123). Besides interfering with IRF3 functions, ectopic expression of VP16 also blocks the activation of the NF- $\kappa$ B induced by SeV or tumor necrosis factor alpha (TNF- $\alpha$ ) through direct interaction with p65 (112). UL42 is a viral DNA polymerase processivity factor and is essential for viral replication. The ectopic expression of UL42 suppresses the NF-κB activation induced by TNF- $\alpha$  and SeV. The underlying mechanism is that the N terminus of UL42 is required and sufficient to bind to p65 and p50 to retain them in the cytoplasm (124).

## **NLR Signaling Pathway**

The NLR family consists of specific cytoplasmic sensors responsible for the detection of invading pathogens and endogenous danger signals. The NLR family contains more than 20 members, including AIM2, IFI16, and NLRP3 (NOD-, LRR-, and pyrin domaincontaining protein 3), etc., among which, NLRP3 is one of the best-characterized inflammasome-associated DNA sensors (125). The NLRP3-mediated inflammasome pathway plays a critical role in antiviral innate immunity by inducing the production of proinflammatory cytokines, such as IL-18 and IL-1 $\beta$ .

The NLRP3 inflammasome is responsible for the host inflammatory responses upon viral infection and damage stress. It is an oligomeric complex comprised of a core protein NLRP3 and an adaptor protein apoptosis-associated speck-like molecule containing a caspase recruitment domain (ASC), both of which are required for the activation of pro-caspase-1 to produce two main effectors, IL-1 $\beta$  and IL-18 (126). Mounting evidence suggests that NLRP3 can be activated by RNA and DNA viruses, including herpesviruses (127). Viral components and danger signals from the cytosol, such as protein accumulation or mitochondrial damage caused by a viral infection, can be sensed by NLRP3 (128-130). Herpetic keratitis is a common disease caused by HSV-1 corneal infection driven by local inflammation (131). A previous study demonstrated that NLRP3 KO mice tend to be more susceptible to HSV-1 infection and develop more severe keratitis symptoms than their parental mice, indicating that NLRP3 may play a protective role (132). Surprisingly, IL-1 $\beta$  secretion in NLRP3 KO mice increases at all time points postinfection, but there is no significant difference for IL-18, suggesting that

IL-1 $\beta$  and IL-18 induced by HSV-1 infection in animals function in an NLRP3 inflammasome-independent manner (132).

Appropriate activation of inflammasomes could trigger the host's antiviral inflammatory responses, but the aberrant activation may lead to the overwhelming inflammation that accelerates the infected tissue's inflammatory damage. A study of B6 mouse infection models shows that the virulent viral factors may determine the type (protective or harmful) and the inflammasome activation level (133). Compared with the less-virulent HSV-1 strains RE, F, KOS, and KOS63, the corneal infection of virulent HSV-1 strains McKrae, 17, and KOS79 in vivo induce a higher level of proinflammatory cytokines and recruit more inflammatory monocytes into the inflamed cornea, which is irrespective of viral replication (133). The exaggerated inflammatory response results in clinical corneal inflammatory herpetic disease. However, the underlying mechanisms of how virulent HSV-1 strains trigger high-level inflammatory damage are still unknown, which would be an interesting project in the future.

AIM2 and IFI16 are localized in the cytoplasm and the nucleus, respectively. In HFF cells, HSV-1 infection promotes the inflammasome activation via NLRP3 and IFI16 (17), while in keratinocytes, NLRP3, IFI16, and AIM2 are all required (134). However, in a human macrophage cell line, THP-1, HSV-1 infection can evoke inflammasome activation in a manner dependent on NLRP3-ASC-caspase-1 but not AIM2 (135). These specific cell type-dependent events illuminate that HSV-1 infection may elicit different inflammasome signaling pathways in different cell types. Various mechanisms may be evolved by HSV-1 to inhibit these pathways due to the specific inflammasome activation in different cells. During viral infection, compared with ICPO mutant HSV-1, WT HSV-1 could induce the degradation of IFI16 in an ICPO- and proteasome-dependent manner (17), while viral RNase UL41 degrades the IFI16 mRNA depending on its RNase activity (95). VP22 can block the release of proinflammatory cytokines mediated by AIM2 via targeting the pro-caspase-1 activation as well as block the oligomerization of AIM2 (19).

## IFNAR-JAK-STAT Signaling Pathway and Its Downstream ISGs

IFNAR-JAK-STAT signaling pathway. The antiviral activities of IFN-I are initiated by binding to their cognate receptor IFNAR1 and IFNAR2 to trigger a signaling cascade, namely, the Janus kinase (JAK) signal transducer, and activation of transcription (STAT) pathways. Firstly, IFNAR1/IFNAR2 recruit and phosphorylate tyrosine kinase 2 (Tyk2) and JAK1, leading to the phosphorylation and heterodimerization of STAT1/STAT2 (136). Secondly, the heteromeric STAT1/STAT2 bind to IRF9 and form a complex known as IFN-stimulated gene factor 3 (ISGF3) to translocate into the nucleus. Lastly, the ISGF3 complex binds to the ISRE to stimulate the transcription of various ISGs. IFN-I can induce more than 300 ISG proteins, most of which may participate in the antiviral responses (137). IFNAR1/IFNAR2 KO cells permit widespread dissemination of HSV-1 to visceral organs and the nervous system, causing significantly higher viral replication and different transmissions in the host, indicating the vital role of IFN-I in limiting the systemic spread of HSV-1 (138).

As discussed above, much progress has been made to dissect the evasion mechanisms of innate immune responses to reduce IFN-I production by HSV-1. While a certain amount of IFN-I is still produced during viral entry and early infection, it will activate its downstream signaling pathway to produce ISGs. However, HSV-1 also evolves multiple mechanisms to interfere with the IFNAR-JAK-STAT signaling pathway to reduce ISG production and further evade the antiviral innate immune responses (75). Several HSV-1 proteins have been shown to exert inhibitory effects on the IFNAR-JAK-STAT signaling pathway and downstream ISGs (Fig. 3). Meanwhile, HSV-1 develops strategies to block the production of ISGs. HSV-1 mutants deficient in all IE proteins (139) or only ICPO (140, 141), but not WT viruses, induce many ISGs, like ISG54, ISG56, ISG15, and myxovirus resistance gene 1, indicating that HSV-1 IE proteins or their regulated early or late proteins play a vital role in abolishing ISG production. ICPO seems to inhibit the activation of STAT1. In STAT1 KO mice, host repression of ICPO-null HSV-1 is alleviated,

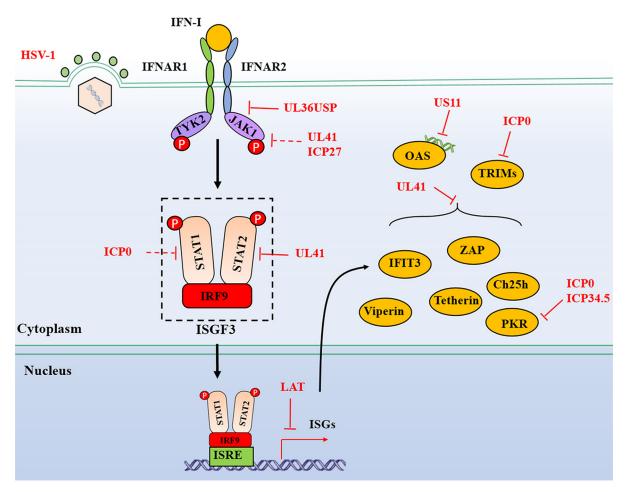


FIG 3 HSV-1-mediated evasion of the IFNAR-JAK-STAT signaling pathway. The antiviral activities of IFN-I are initiated by binding to their cognate receptor IFNAR1 and IFNAR2 to trigger a signaling cascade, namely, JAK-STAT pathways. Viral proteins from HSV-1 interact with adaptors to block the signal transduction, and some proteins target ISGs directly for evasion of host antiviral immunity. Dashed lines indicate uncertain interactions that need to be studied further, or the underlying mechanism is unknown. P, phosphate.

indicating that the in vivo elimination of ICPO-null viruses is STAT dependent. ICPO can antagonize the STAT1-dependent restriction of HSV-1 in vivo (142). Ectopic expression of ICP27 downregulates STAT1 phosphorylation and produces a small, heat-stable, unknown IFN-I antagonizing protein that impedes STAT1 nuclear accumulation. The restraint of IFN-I-activated STAT1 phosphorylation by ICP27 occurs at or upstream of JAK1 phosphorylation, suggesting that ICP27 plays a role at or before JAK1 to inhibit its downstream signaling (143, 144). However, it is not clear whether ICP27 works identically during viral infection.

Moreover, upon infection with HSV-1, UL41 partially downregulates the accumulation of JAK1 and STAT2 at a relatively high multiplicity of infection (145). During ectopic expression of UL36USP as well as during WT HSV-1 infection, UL36USP specifically binds to IFNAR2 and blocks its interaction with JAK1, which is interestingly independent of its DUB activity, to antagonize the activation of the IFN-JAK-STAT signaling pathway (146). Nevertheless, our current understanding of the countermeasures by HSV-1 against IFN-I-mediated antiviral immunity is still limited. More potential antagonists of HSV-1 on the IFNAR-JAK-STAT pathway remain to be discovered.

ISGs. ISGs can be induced in an IFN-dependent or -independent manner upon HSV-1 infection. They play a central role in limiting viral infection and replication. Viral proteins may directly target ISGs to establish various immune evasion strategies (Fig. 3). Zinc-finger antiviral protein (ZAP), viperin, tetherin, cholesterol 25-hydroxylase (Ch25h), PKR, 2' to 5' oligoadenylate synthetase (OAS), the interferon-induced protein with

tetratricopeptide repeat 3 (IFIT3), and tripartite motifs (TRIMs) will be discussed in this section.

- (i) ZAP. ZAP mediates the inhibition of viruses by preventing the accumulation of viral mRNA by interacting with ZAP responsive elements in viral RNA (147). ZAP is shown to be unable to inhibit HSV-1 infection (148). UL41 is responsible for the viral abrogation of ZAP antiviral activity by targeting ZAP mRNA for degradation, consequently, downregulating its expression via endonuclease activity of UL41 (149).
- (ii) Viperin. Viperin, a well-studied ISG, is highly induced through both IFN-I-dependent and -independent manners; however, viperin could barely be detected in cells infected with WT HSV-1. Ectopic expression of viperin restricts the replication of UL41-null HSV-1, indicating that viperin antiviral activity is counteracted by UL41 to reduce its mRNA accumulation via RNase activity of UL41 (150).
- (iii) Tetherin. Tetherin is also known as BST2 and CD317. HSV-1 glycoprotein M (gM), but not other glycoproteins, efficiently eliminates tetherin from the plasma membrane to antagonize its viral restriction activity (151). HSV-1 also depletes tetherin from virally infected cells by degrading its mRNA via RNase activity of UL41, and that knockdown of tetherin enhances the replication and release of UL41-null HSV-1 (152).
- (iv) Ch25h. Ch25h encodes an ER located enzyme that catalyzes the cholesterol's oxidation to generate a soluble element, 25-hydroxycholesterol (25HC) (153). Ch25h displays a critical antiviral role with its enzymatic product 25HC (154). UL41 downregulates the expression of Ch25h via its endonuclease activity and abrogates its antiviral activity (155).
- (v) PKR. Upon viral infection activation, PKR is activated by dsRNA and subsequently phosphorylates the eukaryotic translation initiation factor elF- $2\alpha$ , resulting in the restraint of cellular mRNA translation and, thereby, hindering viral protein synthesis and subsequent viral replication (156). At the same time, HSV-1 infection significantly decreases phosphorylation of PKR, JAK1, and STAT1, suggesting a possible immune evasion mechanism of PKR by HSV-1 (157). ICP34.5 dephosphorylates elF- $2\alpha$  to abolish the antiviral activity of PKR and is required for HSV-1 to inhibit IFN production (158, 159). During HSV-1 infection, US11 directly interacts with PKR at the C terminus. An amino acid fragment from residues 88 to 155 is critical for US11 binding to PKR (160). Then, the short stretch of amino acids 91 to 121 has been mapped to be enough to mediate the interaction between US11 and PKR in an RNA-dependent manner (161). Interestingly, US11 can effectively compensate for the function of ICP34.5 to block the phosphorylation of elF- $2\alpha$  in ICP34.5-null-infected cells (162). Unlike US11, during early infection, the viral RNase UL41 targets RNAs for degradation to block the activation of PKR (163).
- (vi) OAS. OAS is a dsRNA-responsive effector. It undergoes a conformational change after binding to dsRNA, resulting in 2' to 5' OAS synthesis. Subsequently, it activates latent RNase L, leading to degradation of viral and cellular RNA and suppressing viral replication (164). US11 could sufficiently block OAS activation through its dsRNA-binding domain and by sequestering available dsRNA produced during infection (165). Additionally, during HSV-1 infection, ICP0 prevents the cleavage of rRNA in an RNase L-independent manner, indicating that some other unidentified RNase may restrict viral infection (166).
- (vii) IFIT3. The IFIT3, also known as ISG60, is an ISG that restricts the replication of many DNA and RNA viruses (167). However, it does not affect the replication of WT HSV-1, and the underlying molecular mechanism is that UL41 decreases the accumulation of IFIT3 mRNA via its endonuclease activity to abolish the antiviral activity of IFIT3 (168).
- (viii) TRIMs. TRIMs belong to the RING E3 ligase family. There are around 70 members of the TRIM family proteins in humans, some of which, such as TRIM56, TRIM32, and TRIM38, can inhibit HSV-1 infection by ubiquitination and activation of STING and potentiating IFN-I production (169–171). TRIM5 $\alpha$  is the first identified TRIM member to bind with viral capsids leading to premature disassembly and inactivation of viruses (172). An unbiased proteomics approach has demonstrated the interaction

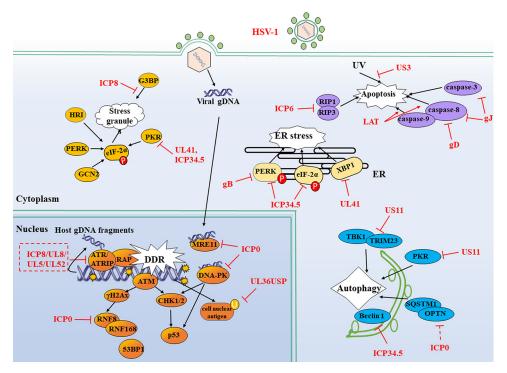


FIG 4 HSV-1 evades DDR, ER stress, autophagy, stress granules (SGs), and apoptosis. HSV-1 infection could elicit some other immune responses like SGs, ER stress, apoptosis, DDR, and autophagy that contribute to restricting viral infection. However, these immune responses are also manipulated by viral proteins. Solid lines indicate confirmed interactions between adaptors and HSV-1 proteins. P, phosphate; U, ubiquitin.

between ICPO and TRIM27. The accumulation of TRIM27 is significantly reduced during WT HSV-1 infection. However, it is recovered when interrupting the RING domain of ICPO or impeding the proteasome, suggesting that TRIM27 is a novel target of ICPO for degradation (173).

Furthermore, TRIM27 KO enhances viral infection of ICPO-null HSV-1, suggesting an antiviral role of TRIM27 on viral replication despite being targeted for degradation by ICPO (173). TRIM43 was recently shown to restrict herpesvirus infection by regulating nuclear lamina integrity (174). Ectopic expression of WT TRIM43, but not its mutant form with the RING-finger domain deletion, can suppress HSV-1 replication, indicating that the antiviral activity of TRIM43 is dependent on its RING E3 ligase activity (174).

## HSV-1 EVADES DDR, ER STRESS, AUTOPHAGY, STRESS GRANULES, AND **APOPTOSIS/NECROSIS**

Besides eliciting the classical IFN-I signaling pathway, HSV-1 infection could elicit other immune responses that contribute to restricting viral infection. As an acellular organism, the life activities of HSV-1 are all dependent on the host replication and translation system. Therefore, as foreign substances to the host, viral components including proteins and nucleic acids are potent to stimulate the host stress responses, including DDR, ER stress, autophagy, SGs, apoptosis/necrosis, and inflammasome responses (Fig. 4).

## **DDRs**

Environmental exposure, replication stresses, and virus invasion usually mediate DNA damage, resulting in chromosomal double-strand breaks (DSBs), which further induce the host DDR responses. Generally, upon the DDR, cell cycle checkpoint events will be initiated to stop the replication of damaged DNA through the ATM (ataxia telangiectasia mutated) and ATR (ATM and Rad3 related) pathway. It is a self-protection mechanism for the cells. During infection, the HSV-1 genome injected into the nucleus with DNA gaps and nicks in the ends is a natural "ligand" for DDR sensors, further

stimulating the activation of cellular DDR signaling (175). The DDR sensors, such as DNA-dependent protein kinase (DNA-PK) and meiotic recombination 11 homolog A (MRE11), have recently been demonstrated to induce some antiviral cytokines, including IFN-I (176). As for HSV-1, the DDR signaling could be evoked by naked viral DNA in ATM- and DNA-PK-dependent manners, promoting the antiviral innate immune responses to restrict viral replication.

However, HSV-1 has evolved evasion strategies to inhibit DDR signaling pathways for its survival. Evidence from various ICPO mutant HSV-1 and other IE-null viruses demonstrates that ICPO alone is sufficient to induce the degradation of DNA-PK dependent on its RING finger domain or E3 ligase activity (177). Human DNA-PK recognizes exogenous exposed DNA ends and activates a STING-independent DNAsensing antiviral pathway, which results in IFN-I production. Compared to ICP0-null HSV-1, infection with WT HSV-1 blocks DNA-activated phosphorylation of heat shock protein A8, a downstream adaptor of DNA-PK in STING KO HEK 293 cells, suggesting that DNA-PK stimulates a STING-independent DNA-sensing pathway. It remains unclear whether ICPO abrogates this response through degrading DNA-PKs dependent on its E3 ligase activity (178). The E3 ubiquitin ligase RNF8 ubiquitinates cellular histone and facilitates the accumulation of repair factors at DSBs with the help of RNF168, which binds to and further modifies the ubiquitinated histones (179, 180). ICPO prevents the accumulation of DNA repair factors by decreasing the expression of RNF8 and RNF168 and enhances viral replication (181). Phosphorylation of ICPO T67 by cellular kinase 1 (CK1) recruits and targets the RNF8 forkhead-associated domain for degradation, thereby countering antiviral defense and promoting viral replication (182). Thus, ICPO abrogates antiviral restriction by several mechanisms, including at least the inhibition of DDR factor-mediated innate immune signaling or direct degradation of DDR proteins.

In addition, HSV-1 early protein ICP8, a multifunctional single-stranded DNA (ssDNA) binding protein, is essential for viral genome replication in all stages and participates in multiple steps of the HSV life cycle (183, 184). During HSV-1 infection, a complex comprising of ICP8 and the helicase/primase complex (UL8/UL5/UL52) is formed and distributes in the DNA damage sites in the nucleus, colocalizing with ATR/ATRIP and RPA to inhibit ATR signaling (185). UL36USP deubiquitinates proliferating cell nuclear antigen in vivo and in vitro, which participates in DNA damage-caused replication fork stalling and supports viral replication during HSV-1 entry into the cells (186).

Evidence demonstrates that HSV-1 navigates different host DDR factors to facilitate viral proliferation, and ICP0 is shown with multifunctional features. More studies are guaranteed to comprehensively investigate whether other viral proteins are involved in the immune evasion of DDR factor-mediated antiviral restriction.

## **ER Stress**

The ER is a multifunctional organelle essential for signal transduction and folding protein secretion and the transport of Ca<sup>2+</sup>. It can sense the intracellular stress from various stimuli, such as hypoxia, misfolded or unfolded proteins, heat shock, and viral infection (187). Once the unfolded proteins are monitored, the ER will correct the misfolded proteins through the unfolded protein response (UPR) mediated by inositol requiring protein 1 (IRE1) or activating transcription factor 6. Meanwhile, a transient translational arrest may also be triggered through activating PKR-like ER kinase (PERK), which is an essential kinase for eIF-2 $\alpha$  (188). Therefore, the ER is critical for cellular homeostasis maintenance. During HSV-1 infection, the accumulation of viral proteins could stimulate the ER stress responses by phosphorylated PERK. Although, during productive infection, HSV-1 translates plenty of viral proteins depending on the host translational system (189, 190). Therefore, HSV-1 has made great efforts to prevent translation interruption events.

ICP34.5 is a multifunctional protein and plays an essential role during HSV-1 infection. During productive infection of WT but not ICP34.5-null HSV-1, the dephosphorylation of endoplasmic reticulum resident kinase PERK and eIF- $2\alpha$  is observed, resulting in a shutoff of the ER responses (189). In HSV-1-infected cells, the viral glycoprotein gB could physically bind to PERK and then regulate the accumulation of viral protein in the ER in a PERK-dependent manner, leading to the inhibition of ER responses (191). Interestingly, ICPO seems to have its unique way of affecting cellular ER stress. In a reporter gene assay in HEK 293 cells, the ectopic expression of ICPO would activate the ER stress-responsive reporter plasmids. In addition, compared with the infection of the WT virus, the ICPO-null HSV-1 severely diminishes the activation of ER stress-responsive reporter plasmids (190). Upon the treatment of various cellular stress stimulators, including thapsigargin, tunicamycin, hydrogen peroxide, dithiothreitol, and brefeldin A, the ICPO promoter's response is similar to that of ER stress-responsive reporters. Moreover, the infection of WT HSV-1 promotes the activation of the ICP0 promoter in HeLa cells (190). These data indicate that ICPO may act as an early ER stress sensor to monitor the status of the cellular stress response, and this may well explain why the reestablishment of lytic HSV-1 infection is attributed to the receipt of stressinduced signaling by ICP0 (192, 193).

IRE1/X box-binding protein 1 (XBP1) is a branch of UPR that evokes the ER stress response. In both transfection and viral infection models, UL41 can downregulate the expression of endogenous or ectopic XBP1 (194). A reduction of XBP1 mRNA accumulation induced by thapsigargin is observed in WT but not in UL41-null HSV-1-infected cells, indicating a transcriptional modification role for UL41 to reduce XBP1 expression and block the host ER stress response (194). HSV-1 has evolved multiple mechanisms to prevent the host ER stress response from the translation of viral proteins, one of the most important events during its life cycle (194).

## **Autophagy**

Autophagy is a conserved cellular lysosomal degradation process that is critical for cell survival and homeostasis. It serves as an efficient cleaner to "eat" and recycle damaged cellular organelles, which protects the host from pathogenic infections, aging, and cancer cells. The dysfunction of autophagy contributes to some human diseases, including viral diseases (195). During HSV-1 infection, partial virions are targeted and captured by intracellular autophagosomes for degradation in a PKRdependent way (196). Furthermore, autophagy is also involved in the delivery of viral nucleic acids to endosomal TLRs in plasmacytoid dendritic cells, leading to the activation of the TLR-IFN-I antiviral signaling pathway (197). These results indicate the contributions of autophagy in the priming of host innate antiviral responses.

HSV-1 has also developed strategies to block the autophagy process. In viral infection murine models, the interaction between ICP34.5 and Beclin 1 is essential for HSV-1 efficient replication in peripheral tissues at late infection. The viral titer of ICP34.5 mutant virus lacking the Beclin-binding domain (BBD) is significantly lower than that of its rescued virus in the cornea, trigeminal ganglia (TG), periocular skin, and brain tissues (198). Furthermore, both in yeast and mammalian cells, ectopic expression of ICP34.5 is demonstrated to inhibit Beclin 1-dependent autophagy via direct interaction with Beclin 1, which is also confirmed in HSV-1 WT and ICP34.5 mutant infection cell models. Although it is dispensable for ICP34.5 to block the host-cell shutoff, the BBD domain is critical for HSV-1 to restrain the autophagy process in neurons and maintain neurovirulence in vivo (199). The tripartite motif (TRIM)-TBK1 axis is essential for the activation of the autophagy pathway triggered by HSV-1 (200). In HSV-1-infected cells, US11 protein could interrupt the TRIM23-TBK1 complex by direct binding to the ARF domain of TRIM23, which subsequently suppresses autophagy and autophagy-mediated viral restriction (201). In addition, ectopic expression of US11 interacts with PKR to inhibit cellular autophagy and the autophagosome formation in both HeLa cells and fibroblasts induced by poly(I·C) treatment or starvation (202). During HSV-1 early infection, ICPO has recently been demonstrated to exhibit a novel mechanism to modulate cellular autophagy by downregulating the expression of two autophagy adaptor proteins, sequestosome (SQSTM1) and optineurin (OPTN) in a proteasome-dependent manner (203). Surprisingly, the E3 ubiquitin ligase activity of ICPO does not seem to be

required for the degradation of SQSTM1 and OPTN, suggesting that an unknown mechanism may be involved in it.

#### **Stress Granules**

SGs are non-membrane-bound assemblies of proteins and mRNA located in the cytoplasm. These are induced when translation initiation is blocked in response to cellular stress, such as heat shock, oxidative stress, and glucose deprivation. The formation of SGs is dependent on the inactivated eIF-2 $\alpha$  in a phosphorylation state, which is regulated by one of the four kinases, including PKR, PKR-like ER-localized elF-2 $\alpha$  kinase (PERK), heme-regulated inhibitor (HRI) kinase, as well as general control nonrepressed 2 (GCN2) kinase. The SG assembly is a novel concept in the antiviral innate immune response. Recently, two groups have demonstrated that the RNA sensors MDA5 and RIG-I localize to SGs upon viral infection. The colocalization of RIG-I and SGs stimulates IFN-I production but, interestingly, not for MDA5 (204, 205). Some viral infections induce the assembly of SGs, while some other viruses inhibit this process (206–209). For HSV-1 infection, some strains like F or KOS are unable to stimulate the accumulation of SGs, but in some SG components or its related protein KO mouse embryo fibroblast cell lines, the H129 strain could grow faster than that in parental cells (210), which provides at least two tips. First, viral replication of HSV-1 could be restricted by SG responses; second, the reasons for the undetectable accumulation of SGs may result from the counteracting strategies by HSV-1. No doubt, the SG assembly is observed in UL41-null HSV-1-infected cells, in which the PKR activation and IFN-etaproduction are also observed, implying that HSV-1 could block the SG-mediated antiviral innate immune responses by UL41 (211). Compelling evidence shows that during HSV-2 infection in HeLa cells, UL41 disrupts the accumulation of SGs depending on its endoribonuclease activity and facilitates viral growth in vitro (212-214), highlighting the critical role of UL41 in the resistance of host antiviral responses mediated by the SG assembly. The Ras-GAP SH3 domain-binding protein (G3BP) is an RNAbinding protein that acts as an effector for the formation of SGs. HSV-1 major DNA binding protein ICP8 has been found to interrupt SG formation by binding to G3BP when ectopically expressed (215).

## Apoptosis/Necrosis

Apoptosis and necrosis are two primary cell death forms that maintain host homeostasis in mammalian cells in response to internal stress or external pathogenic infections (216). Apoptosis is a programmed cell death characterized by the formation of cell shrinkage and membrane blebbing, which is a moderate cell death pattern. Relatively, it is a passive death, as cell necrosis is more violent with organelles swelling and plasma membrane rupture (217). Both cell apoptosis and necrosis are host defense mechanisms to restrict viral replication and transmission. In general, cell apoptosis can be initiated by the following three different pathways: the mitochondria pathway, celldeath receptors like the Fas/FasL pathway, and the ER stress pathway, in which caspases are the main exercisers. Considerable evidence suggested that, when caspase activity is inhibited, the cell death process can still proceed in a caspase-independent manner, which is defined as "necroptosis" (218, 219). Necroptosis is a programmed form of necrosis, which is also involved in host defense against pathogenic infections.

HSV-1 could induce and block the apoptosis signaling pathway at multiple steps. ICPO shows the capability to elicit the activation of apoptosis with an unknown mechanism during HSV-1 infection in vitro (220). Cell necroptosis is tightly regulated by the receptor-interacting protein (RIP) kinase family and their substrates. Viral ICP6 encoded by the UL39 gene, the large subunit of ribonucleotide reductase, exhibits multiple mechanisms to affect host apoptosis and necrosis. On the one hand, it can trigger RIP3/lineage kinase domain-like protein (MLKL)-dependent necrosis through directly promoting the kinase activity of RIP3 in mouse cells during HSV-1 infection in vivo (221). On the other hand, in HSV-1-infected human cells, viral ribonucleotide

reductase large subunit UL39/ICP6 acts as an inhibitor to block the interaction between RIP1 and RIP3, resulting in a suppression of cell necroptosis (222).

In contrast, the binding of RIP1/RIP3 to ICP6 in mouse cells initiates the cell necroptosis as a host-defense response (223). The exact opposite role of ICP6 in response to cell necroptosis in human and mouse cells may result from an evolution outcome for HSV-1, as the mouse is not the natural host for HSV-1, which could not develop a strategy to evade the cell necroptosis response, suggesting that HSV-1 may manipulate cell necroptosis in a species-specific manner via ICP6 (224). Although viral infection may trigger and block cell apoptosis and necrosis, in most cases, HSV-1 protects host cells from death to meet its own needs. Therefore, more strategies are employed.

US3 is well-studied in blocking apoptosis induced by HSV-1. Some recombinant viruses first demonstrate the antiapoptotic activity of US3. The apoptosis is induced in Vero cells infected with R7041, which lacks most of the US3 gene, whereas no apoptosis is observed in the recombinant R7306 virus, in which the US3 gene has been repaired (225). However, another study shows that the virus deletion of US3 still retains some antiapoptotic activity, showing that US3 and US5 work concomitantly to inhibit apoptosis during HSV-1 infection in Vero and Jurkat cells (226). Mechanism studies reveal that US3 inhibits cell apoptosis through posttranslational modification of the Bcl-2 antagonist of cell death (BAD) (227, 228) as well as through blocking the activity of caspase-3 (229). US5 encodes a viral glycoprotein gJ, which blocks cell apoptosis induced by Fas ligation, UV, or granzyme B through inhibiting caspase-3 and caspase-8 activity (226). Another glycoprotein gD also shows great potential to inhibit cell apoptosis. HSV-1 lacking gD can induce apoptosis in infected cells, indicating an antiapoptosis function for gD (230). The underlying mechanism is that gD can suppress caspase-8 activity, leading to an inhibition of cell apoptosis (231).

Furthermore, US3 encoded by HSV-2 is also reported to block the corneal epithelial apoptosis *in vivo* 2 days postinfection in murine models (232). However, the apoptotic pathway in human corneal epithelial cells during HSV-1 infection has not been studied thoroughly (233). The latency-associated transcript (LAT), a marker of latent infection in TG, plays a protective role in caspase-8- and caspase-9-induced apoptosis in neurons, which might be beneficial to neuronal survival from latent infection (234, 235). Viral IE protein ICP27 is also essential for blocking apoptosis during infection in human HEp-2 cells (236, 237). In ICP27-null virus-infected cells but not WT-infected cells, the apoptotic phenomenon is observed with a feature of membrane blebbing and the formation of apoptotic bodies, which is in a caspase-3-dependent manner (237).

## **CONCLUDING REMARKS**

HSV-1 infection is highly prevalent and pathogenic at all ages. After primary infection, the latent infection may persist for a lifetime in the nervous system and is ready to reactivate under stress to cause recurrent disease. The innate immune system is the first line of host defense and is characterized by the production of IFN-I and ISGs to restrict viral infection and transmission. During the long struggle between viruses and host innate immune systems, HSV-1 has employed corresponding immune evasion strategies to favor their survival. These mechanisms involve multiple viral proteins, especially IE proteins, tegument proteins, and other functional proteins to target cellular antiviral signaling pathways. Most viral proteins are capable of counteracting more than one signal molecule, such as ICPO, ICP34.5, and UL41, etc., and some are focused on the same signals, such as IRF3 and NF-κB, etc. Recently, substantial progress has been made in identifying early antiviral components elicited and blocked by HSV-1. Here, we have outlined and discussed the currently known countermeasures employed by HSV-1 (summarized in Fig. 1 and 4). However, more of these evasion mechanisms will undoubtedly be revealed in the near future.

These findings will hopefully provide new insights for immunotherapies and targeted therapies to interfere with viral infection. Remarkably, the findings involved in cGAS-STING, apoptosis/necrosis, and autophagy pathways evaded by HSV-1 may sig-

nificantly contribute to the discovery of effective drugs for treatment, as many targets have been applied in the drug development of cancer therapies. Some STING agonists for cancer treatment have been carried out in clinical trials. Apoptosis/necrosis and autophagy are also hot topics in cancer therapy. TLR2 has been demonstrated to play a critical role in the pathogenesis of HSV-induced encephalitis, which may trigger several cellular responses, including NF-κB activation and inflammatory cytokine and chemokine secretion, especially in neonates (238). Therefore, the treatment of small molecule inhibitors of TLR2 for HSV-1 encephalitis is supposed to be beneficial for patients. To date, several inhibitors of TLR2 signaling have been developed (239-241). However, none of them is licensed for human use. Recently, the pocket within the TLR2 TIR domain was predicted to be an efficient target to develop small molecule inhibitors to block the TLR2 signaling pathway. Additionally, a potent inhibitor C<sub>16</sub>H<sub>15</sub>NO<sub>4</sub> (C29) and its derivative have been identified to inhibit both mouse and human TLR2 signaling pathways without cytotoxicity (242). In HSV-1 encephalitis animal models, we might try these small molecules to determine whether the production of inflammatory cytokines can be blocked. Some other TLRs, like TLR9 and TLR3, etc., are reported to play protective roles for the host to defend against infection with HSVs (25, 36). In that case, agonists for these TLRs would be beneficial for controlling HSV infection and replication. The application of TLR9 agonists is demonstrated to provide promising adjuvants for HSV-2 vaccines, which protect the host from symptomatic disease and reduce the latent viral reservoir in the guinea pig challenge model (243).

Furthermore, additional studies on the interaction between HSV-1 and host defense immune signaling are needed to better understand the progression from latency to reactivation and which phase is always accompanied by severe outcomes. These studies may provide novel antiviral therapies to target viral components or cellular immune signals.

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