



Published in final edited form as:

HPB (Oxford). 2019 March ; 21(3): 345–351. doi:10.1016/j.hpb.2018.07.014.

## A comparison of treatment and outcomes of perihilar cholangiocarcinoma between Eastern and Western centers

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### Abstract

**Background**—Perihilar cholangiocarcinoma (PHC) often requires extensive surgery which is associated with substantial morbidity and mortality. This study aimed to compare an Eastern and Western PHC cohort in terms of patient characteristics, treatment strategies and outcomes including a propensity score matched analysis.

**Methods**—All consecutive patients who underwent combined biliary and liver resection for PHC between 2005 and 2016 at two Western and one Eastern center were included. The overall perioperative and long-term outcomes of the cohorts were compared and a propensity score matched analysis was performed to compare perioperative outcomes.

**Results**—A total of 210 Western patients were compared to 164 Eastern patients. Western patients had inferior survival compared to the East (hazard-ratio 1.72 (1–23-2.40)  $P < 0.001$ ) corrected for age, ASA score, tumor stage and margin status. After propensity score matching, liver failure rate, morbidity, and mortality were similar. There was more biliary leakage (38% versus 13%,  $p=0.015$ ) in the West

**Discussion**—There were major differences in patient characteristics, treatment strategies, perioperative outcomes and survival between Eastern and Western PHC cohorts. Future studies should focus whether these findings are due to the differences in the treatment or the disease itself.

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## Introduction

Perihilar cholangiocarcinoma (PHC) is a rare biliary malignancy that arises in the proximal ducts between the segmental bile ducts and cystic duct.(1) Due to this location, obstructive cholestasis is frequent in these patients, as is involvement of the portal vein (branches) or hepatic artery.(1, 2) These factors, among others, render PHC resectable in only 10–20% of patients, and radical resection mostly necessitates combined biliary and extended hepatic resection.(1, 2) These procedures are associated with high morbidity and mortality rates, but offer the only chance for long term survival.(1)

What stands out in literature are the differences in reported treatment and outcomes between Western (e.g. Europe, Northern America) and Eastern centers (e.g. China, Japan). The incidence of cholangiocarcinoma in Asian countries exceeds that in Western countries.(3, 4) When considering differences in treatment, preoperative nasobiliary drainage and preoperative portal vein embolization are widely used in the East, but not or only sparsely in the West.(5–8) Despite the different use of PVE, the incidence of postoperative liver failure seems to be similar in Eastern and Western centers with incidences of 22–33%.(7–9) The disease-associated and overall mortality is however usually higher in the West with reported 90-day mortality of 10–18%.(10–13) as compared to 0–5% in the East.(14–17) These large differences hamper the comparability of the series in terms of outcomes.

Besides these variable outcomes, patients' and disease characteristics, inclusion criteria as well as the applied treatment strategies vary widely between Eastern and Western centers.

The aim of this study was to compare all aspects of a major Western series of patients who underwent resection for PHC in two units with a major cohort from a specialized Eastern center. Baseline and treatment characteristics were compared. Secondly, the differences in short term postoperative outcomes were compared in a propensity score matched analysis. Finally, an overall survival comparison between the Eastern and Western cohorts was performed.

## Methods

All consecutive patients who underwent combined biliary and liver resection for perihilar cholangiocarcinoma between 2005 and 2016 were included at three major hepatobiliary centers. The participating centers were Memorial Sloan Kettering Cancer Center, New York, NY and the Academic Medical Center in Amsterdam the Netherlands, which were defined as Western centers. The third center was Hokkaido University Graduate School of Medicine in Sapporo, Japan and was defined as the Eastern center.

Inclusion criteria were curative intent resection of presumed perihilar malignancy defined as a biliary mass or stricture originating from the bile ducts between the segmental bile ducts and cystic duct. Patients who had undergone extrahepatic bile duct resection without liver resection were excluded.

All data was retrospectively collected from a prospectively maintained database. Additional data was collected from the electronic medical records. The need for ethical approval was

waived by the respective institutional review boards, as was the need for individual informed consent.

## Variables

Preoperative cholangitis was defined as an episode of fever, leukocytosis or elevated C-reactive protein, and malaise requiring additional biliary drainage before resection.(18, 19) All complications within 30 days after surgery were scored and graded according to Dindo et al, with all events classified as grade IIIa or higher defined as major complications.(20) The incidence of postoperative liver failure, biliary leakage and postoperative hemorrhage were scored and graded according to the respective criteria of the International Study Group of Liver Surgery (ISGLS).(21–23) Mortality was defined as death within 90 days after surgery. Negative resection margin was defined as no residual tumor at the margin as reported by the pathologist at final pathology, regardless of the tumor distance to the surgical margin.

## Patient workup – West

In patients with obstructive jaundice preoperative biliary drainage of the FLR was performed using either endoscopic or percutaneous biliary drainage. Additional drainage was performed in the presence of persistent cholestasis or following cholangitis. Portal vein embolization was considered if FLR volume share was below 40%. No ICG retention testing was performed, however hepatobiliary scintigraphy as functional test has become part of standard practice in Amsterdam.(24) The CUSA was used for dissection of the parenchyma, with or without intermittent Pringle maneuver at the discretion of the attending surgeon using ‘20 min on – 10 min off’ intervals.

**Patient workup – East**—Biliary drainage was performed in jaundiced patients and following cholangitis with the aim to reduce bilirubin below 34  $\mu\text{mol/L}$ . Endoscopic nasobiliary drainage was the usual initial drainage technique for the FLR. Portal vein embolization was considered in patients scheduled for (extended) right or extended left hepatectomy. Following PVE patients proceeded to hepatectomy when FLR volume exceeded 30% and the indocyanine green retention rate (at 15 min) was below 15%. Routine lymphadenectomy of the hepatoduodenal ligament was performed in all patients. When required, portal vein reconstruction was completed before hepatic transection. Forceps clamp crushing was used for parenchymal transection using intermittent Pringle maneuver of ‘15 min on – 5 min off’ intervals.(25)

**Statistical analysis**—Categorical variables were displayed as number with percentages and differences between categorical variables were tested using chi-square or Fisher’s exact tests. Continuous variables were displayed as median with inter-quartile-range (IQR) and differences between these variables were tested using Mann-Whitney tests. Propensity score matching was performed using the psmatching plugin for SPSS using the essential for R extension. Nearest neighbor matching (1:1) was performed (caliper 0.2) using the variables age, sex, ASA score, jaundice at presentation, biliary drainage (dichotomized to yes/no), preoperative cholangitis, preoperative bilirubin level, type of liver resection, portal vein reconstruction, hepatic artery reconstruction, and FLR volume share. Survival curves were

generated using the Kaplan Meier method. Kaplan Meier curves were compared using the log-rank test. Multivariable analysis for survival was performed using Cox proportional hazards regression analysis. Full case analysis was used in the multivariable analysis, alternatively multiple imputations was used which yielded similar results. All statistical analysis were performed using SPSS (version 23.0, IBM, Chicago, IL).

## Results

### Overall comparison of cohorts

A total of 164 consecutive patients underwent resection of perihilar cholangiocarcinoma at Hokkaido University in Sapporo, Japan, between January 2005 and December 2016 (East). In the same period 210 patients underwent resection of perihilar cholangiocarcinoma at the Academic Medical Center in Amsterdam, the Netherlands and Memorial Sloan Kettering Cancer Center in New York, US (West). The differences between the two Western centers are illustrated in table S1 and S2.

Baseline characteristics of the Eastern and Western series are provided in table 1. The more frequent biliary drainage in unjaundiced patients in the East (78% 64/82 versus 28% 27/56,  $P < 0.001$ ), likely accounts for the increased rate of cholangitis observed in these patients in the East (32% 26/82 versus 13% 7/54,  $P = 0.014$ ). Consequently, the increased cholangitis rate likely accounts for the higher incidence of liver failure in jaundiced patients in the East (23% 19/82 versus 7% 4/55,  $P = 0.0188$ )

Operative outcomes of the Eastern and Western cohorts are shown in table 2.

### Overall and recurrence-free survival comparison of patients with perihilar cholangiocarcinoma

Median follow up was 55 (95%CI 48–62) months in the entire cohort. Median overall survival in the entire Western cohort was 46 (95%CI 33–60) months and 5-year survival was 41% (Figure 1A). In the East, median overall survival was 54 (95%CI 41–69) months, with 47% 5-year survival ( $P = 0.212$ ). In patients with confirmed diagnosis of PHC, median overall survival was 43 (95%CI 32–53) months in the West versus 56 (42–70) months in the East ( $P = 0.028$ , Figure 1B). A multivariable analysis to identify factors associated with survival was performed in patients with a definitive pathological diagnosis of PHC as is shown in table 3.

### Propensity score matched analysis – no differences in perioperative outcomes

After propensity score matching two homogenous cohorts of 45 patients were generated (Table 4).

## Discussion

This study illustrates the large overall differences in baseline characteristics, treatment, and clinical outcomes between an Eastern and Western cohort of patients undergoing combined hilar and liver resections for PHC. In the present multivariable analysis, patients in the Western cohort had inferior survival compared to Eastern patients when corrected for age,

ASA score, AJCC stage, and resection margin. In a propensity score matched comparison correcting for factors that influence short-term postoperative outcomes overall morbidity was similar in both cohorts, as was the incidence of liver failure and 90-day mortality.

One of the most remarkable differences in the preoperative workup between the cohorts was the difference in the usage of PVE, which was applied in 55% of the Eastern patients while only in 7% of Western patients.(24) Notably, preoperative FLR volume shares were ultimately comparable between cohorts, despite more extended resections in the West. The incidence of postoperative liver failure was also comparable between cohorts. The greater number of vascular resections in the Eastern cohort, a known risk factor for local complications and liver failure, might partially account for these contradictory results, along with the greater blood loss in the Eastern center which has also been identified as a risk-factor for liver failure.(26) This hypothesis is however not supported by the comparable incidence of liver failure in the propensity matched cohort, where vascular resections were similar between groups, although blood loss remained greater In the Eastern center.

The second, repeatedly renewed point of discussion is the different approach to biliary drainage in the Eastern and the Western centers. Several studies support selective drainage policies as the benefits of biliary drainage likely not outweigh the drawbacks in patients with large remnant livers (>50%).(11, 27) Despite less jaundiced patients in the East (73% vs. 50%), biliary drainage was performed in similar proportions (88% vs 81%) associated with similar cholangitis rates (40% vs. 32%). The statistics are further complicated by the different policies for biliary drainage: In the East, primary endoscopic nasobiliary drainage is performed whereas in the West, endoscopic stenting is usually undertaken first with larger rates of additional percutaneous drainage. As reported previously,(27) biliary drainage should likely be avoided in unjaundiced patients and patients with a large FLR (>50%) in order to avoid cholangitis.

There was more (grade B/C) biliary leakage in the Western cohort, and since grade A leakage was not more frequent in the East, this is not likely due to the used definition or classification of biliary leakage. In the West the biliodigestive anastomoses were only drained in case of an indwelling preoperative PTBD catheter. In the East, the anastomoses were routinely drained which might also account for the difference in biliary leakage rates although it remains uncertain if transanastomotic drainage really protects the hepaticojejunostomy. On the other hand, external biliary drainage likely increases the risk of liver failure.(7)

Perhaps one of the most relevant outcome parameters is perioperative mortality which was 13% in the West compared to 7% in the East in the unmatched cohort. However, in the propensity score matched comparison, perioperative mortality was similar between cohorts (i.e. 7% vs 9%). Notably, the procedures in the East took longer time with more blood loss, which are both known factors associated with adverse outcomes. However, this did not translate into more frequent adverse outcomes.(28)

Besides the differences in patient characteristics and management, the higher incidence of cholangiocarcinoma in the East raises the question whether the disease in the Eastern

population can be compared to the disease in the West. The present multivariable analysis for survival identified a survival benefit for Eastern over Western patients, corrected for age, ASA score, cancer stage, and margin status. These results suggest that the disease entity in the West might be more aggressive compared to its Eastern counterpart. A previously reported, smaller comparative study did not find any survival difference between a Western and Eastern cohort of resectable PHC, however, median follow-up in that report was only 20 months compared to the current 55 months in the present series. Furthermore, only disease specific analyses were performed in the former study with cohorts containing major differences in tumor characteristics and margin status which all potentially confound the result and even the tumor biology of PHC may be different across the different parts of the world. In addition, lymph node dissection was more extended in Eastern patients. While the proportion of positive nodes was similar, it cannot be excluded that more extended lymph node dissection in the West would result in more patients with lymph node positive tumor stage, which could alter the overall survival comparison. Although more elaborate dissection might improve prognostication, a benefit in survival itself is unlikely.(29)

The current study has limitations, first of all the retrospective design is subject to selection bias. However, all consecutive patients were included since 2005, resulting in a more homogenous cohort compared to most reports including patients over a longer period while treatment strategy had continued to evolve over time. Also the median follow-up in the current study was substantially longer compared to previous reports.(15) Only one Eastern center was included and ideally this report should be followed-up by a larger multicenter effort across regions. Although a prospective design would be ideal, the very low incidence of cholangiocarcinoma renders a retrospective design more feasible. Also, there are some differences between the Western centers, however, these are less pronounced compared to the differences with the Eastern cohort. In addition, this collaborative Western cohort has been previously used in multiple reports and risk-score analyses.(9, 27, 30–32) Adjuvant chemotherapy was not included in the analyses since only 4% of patients received adjuvant therapy, not allowing for any valid conclusions.

In conclusion, the current report identified major differences in almost all aspects of management of PHC between patient cohorts accrued in two Western and one Eastern center specialized in hepatobiliary surgery. The cohorts were different in patient characteristics while in the preoperative work-up, distinct strategies were employed. In the matched cohorts, outcomes were comparable except for a higher incidence of postoperative biliary leakage in the West. The higher mortality often reported in the West over the East might be due to the more extended resections undertaken in the West. The Eastern cohort of patients with PHC showed a survival benefit over their Western counterparts on multivariable analysis. This appears not to be due to inferior oncologic resections in the West, since parameters such as margin status were similar. Future studies should confirm whether all reported differences between Eastern and Western centers are due to treatment, or whether there might be differences in tumor types or disease biology. The current report further highlights the importance of external validation of PHC research results worldwide.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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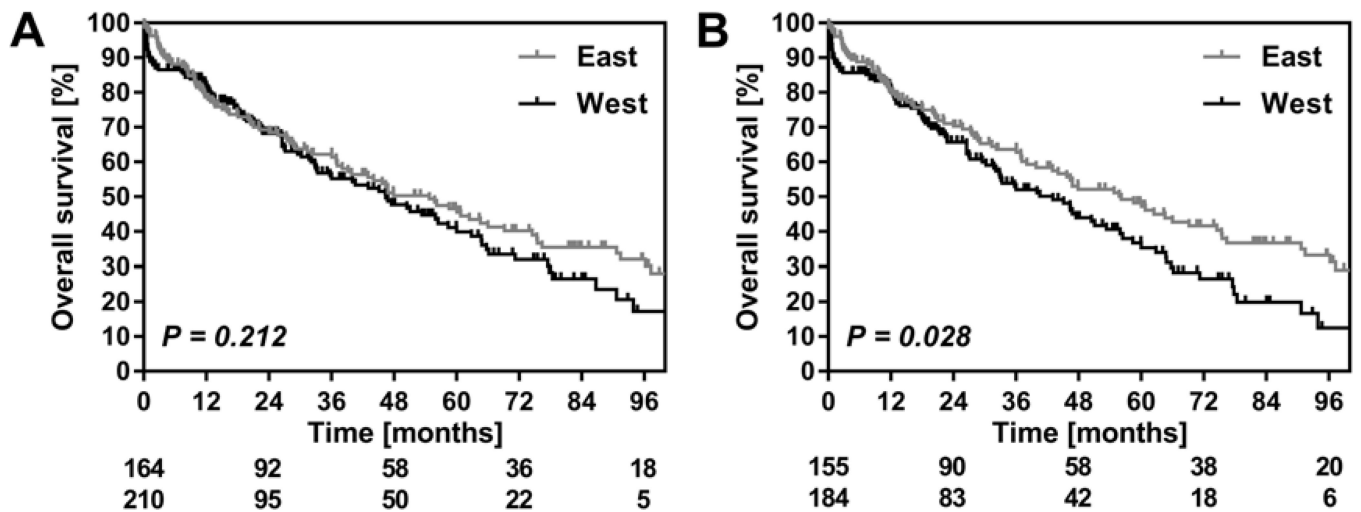
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**Figure 1:**  
**(A)** Overall survival comparison in the all-inclusive Eastern and Western cohorts. Curves were generated using the Kaplan-Meier methods. Depicted below the graph is the number of patients at risk with the Eastern cohort above the Western cohort. **(B)** Overall survival in patients with confirmed perihilar cholangiocarcinoma at final pathology and depicted below the graph is the number of patients at risk with the Eastern cohort above the Western cohort. All curves were compared using the Log-Rank test.

**Table 1:**

Baseline and operative characteristics

	West (n=210)	East (n=164)	P-value
<b>Age, median (IQR)</b>	65 (56–71)	68 (64–73)	< 0.001
<b>Male gender, n (%)</b>	141 (67)	116 (71)	0.501
<b>BMI, kg/m<sup>2</sup>, median (IQR)</b>	25 (23–28)	22 (21–24)	< 0.001
<b>ASA score, n (%)</b>			< 0.001
1	32 (15)	28 (17)	
2	116 (55)	127 (77)	
3	60 (29)	9 (6)	
4	2 (1)	0 (0)	
<b>Bilirubin at presentation, μmol/L, median (IQR)</b>	68 (19–197)	57 (27–144)	0.962
<b>Jaundice at presentation, n (%)</b>	154 (73)	82 (50)	< 0.001
<b>Directly preoperative bilirubin, μmol/L, median (IQR)</b>	15 (9–32)	14 (10–21)	0.170
<b>Preoperative biliary drainage, n (%)</b>			< 0.001
None	40 (19)	20 (12)	
Percutaneous	29 (14)	14 (9)	
Endoscopic	84 (40)	115 (70)	
Both	57 (27)	15 (9)	
<b>Preoperative cholangitis, n (%)</b>	68 (32)	65 (40)	0.193
<b>Total liver volume, mL, median (IQR)</b>	1863 (1539–2112)	1147 (1016–1310)	< 0.001
<b>Future liver remnant volume, mL, median (IQR)</b>	956 (650–1318)	583 (490–723)	< 0.001
<b>Future liver remnant share, %, median (IQR)</b>	50 (35–74)	49 (43–63)	0.823
<b>Portal vein embolization, n (%)</b>	14 (7)	90 (55)	< 0.001
<b>Type of resection, n (%)</b>			< 0.001
Left hemihepatectomy	81 (39)	54 (33)	
Extended left hemihepatectomy	20 (10)	12 (7)	
Right hemihepatectomy	43 (21)	91 (56)	
Extended right hemihepatectomy	60 (29)	5 (3)	
Central hepatectomy or segmentectomy	6 (3)	2 (1)	
<b>Portal vein reconstruction, n (%)</b>	42 (20)	93 (57)	< 0.001
<b>Hepatic artery reconstruction, n (%)</b>	0 (0)	18 (11)	< 0.001

**Table 2:**

Outcomes

	West (n=210)	East (n=164)	P-value
<b>Estimated blood loss, mL, median (IQR)</b>	1150 (600–2225)	1795 (1302–2654)	< 0.001
<b>Margin, R0, n (%)</b>	164 (78)	135 (82)	0.363
<b>Final pathology, n (%)</b>			0.004
Perihilar cholangiocarcinoma	184 (88)	155 (95)	
Other malignancy	10 (5)	9 (6)	
IPNB	8 (4)	0 (0)	
Benign	8 (4)	0 (0)	
<b>Harvested lymph nodes, median (IQR)</b>			
Total	5 (3–7)	12 (9–16)	< 0.001
Tumor positive	0 (0–1)	0 (0–2)	0.012
<b>Tumor size, cm, median (IQR)</b>	3.0 (1.9–4.0)	2.5 (2.0–3.5)	0.390
<b>Perineural invasion, n (%)</b>	113 (66)	116 (82)	< 0.001
<b>Lymphovascular invasion, n (%)</b>	50 (29)	61 (43)	<0.001
<b>AJCC 7<sup>th</sup> edition stage (PHC only)</b>	(n=181)	(n=131)	0.043
0	14 (8)	1 (1)	
I	8 (4)	9 (7)	
II	59 (33)	45 (34)	
IIIA	21 (12)	8 (6)	
IIIB	46 (25)	38 (29)	
IVA	29 (16)	24 (18)	
IVB	4 (2)	6 (5)	
<b>Morbidity, Dindo grade III or higher, n (%)</b>	113 (54)	79 (48)	0.297
<b>Liver failure, ISGLS grade B/C, n (%)</b>	43 (21)	43 (26)	0.217
<b>Biliary leakage, ISGLS grade B/C, n (%)</b>			< 0.001
Grade A	79 (38)	30 (18)	
Grade B	18 (7)	6 (4)	
Grade C	72 (34)	28 (17)	
	7 (3)	2 (1)	
<b>Hemorrhage, ISGLS grade B/C, n (%)</b>	14 (7)	23 (14)	0.023
<b>90-day mortality</b>	28 (13)	12 (7)	0.066

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**Table 3:**

Multivariable analysis for survival in patients with perihilar cholangiocarcinoma at pathology

	Univariable analysis		Multivariable analysis	
	Hazard ratio (95%CI)	P-Value	Hazard ratio (95%CI)	P-Value
<b>Age</b> ( <i>continuous</i> )	0.99 (0.98–1.01)	0.291	1.00 (0.99–1.02)	0.718
<b>ASA</b> 2	1.00 (0.67–1.51)	0.990	1.16 (0.74–1.82)	0.517
<b>AJCC stage</b> <i>7<sup>th</sup> edition</i>	indicator	0.036	indicator	0.033
0-I	2.46 (1.06–5.70)	< 0.001	2.50 (1.07–5.81)	< 0.001
II-III A	6.06 (2.60–12.14)	< 0.001	6.48 (2.77–15.20)	< 0.001
III B	5.74 (2.44–13.51)		5.87 (2.44–14/07)	
<b>Positive margin</b>	1.25 (0.87–1.78)	0.223	1.09 (0.73–1.62)	0.670
<b>Western Center</b>	1.40 (1.03–1.88)	0.029	1.72 (1.23–2.40)	0.001

**Table 4:**

Propensity score matched comparison of perioperative outcomes

	West ( <i>n</i> =45)	East ( <i>n</i> =45)	
Age, median (IQR)	69 (60–76)	66 (64–73)	0.824
Male gender, <i>n</i>	28	34	0.255
ASA score, <i>n</i>			0.710
1	8	6	
2	33	33	
3	4	6	
Jaundice at presentation, <i>n</i>	17	15	0.826
Directly preoperative bilirubin, $\mu\text{mol/L}$ , median (IQR)	12 (9–21)	15 (10–22)	0.445
Preoperative biliary drainage, <i>n</i>			< 0.001
None	11	9	
Percutaneous	8	2	
Endoscopic	14	32	
Both	12	2	
Preoperative cholangitis, <i>n</i>	14	17	0.658
Future live remnant share, %, median (IQR)	64 (40–76)	60 (48–69)	0.939
Portal vein embolization, <i>n</i>	6	8	0.772
Type of resection, <i>n</i>			0.974
Left hemihepatectomy	26	27	
Extended left hemihepatectomy	3	2	
Right hemihepatectomy	13	13	
Extended right hemihepatectomy	3	3	
Portal vein reconstruction, <i>n</i>	13	12	1.000
Operative time, min, median (IQR)	447 (394–564)	639 (553–749)	< 0.001
Estimated blood loss, mL, median (IQR)	1280 (500–2422)	1610 (1310–2470)	0.013
Margin, R0, <i>n</i>	29	37	0.094
Final pathology, <i>n</i>			0.123
Perihilar cholangiocarcinoma	41	45	
IPNB	3	-	
Benign	1	-	
Morbidity, Dindo grade III or higher, <i>n</i>	25	19	0.292
Liver failure, ISGLS grade B/C, <i>n</i>	7	10	0.591
Biliary leakage, ISGLS grade B/C, <i>n</i>	17	6	0.015
Hemorrhage, ISGLS grade B/C, <i>n</i>		5	0.714
90-day mortality, <i>n</i>	3	4	1.000