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Circulating extracellular vesicles carrying sphingolipid cargo for the diagnosis and dynamic risk profiling of alcoholic hepatitis

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Abstract

Background & Aims—Alcoholic hepatitis (AH) is diagnosed by clinical criteria, although several objective scores facilitate risk stratification. Extracellular vesicles (EVs) have emerged as novel biomarkers for many diseases and are also implicated in the pathogenesis of AH. Therefore, we investigated whether plasma EV concentration and sphingolipid cargo could serve as diagnostic biomarkers for AH and inform prognosis to permit dynamic risk profiling of AH subjects.

Approach & Results—EVs were isolated and quantified from plasma samples from healthy controls, heavy drinkers, and subjects with end-stage liver disease (ESLD) due to cholestatic liver diseases and non-alcoholic steatohepatitis, decompensated alcoholic cirrhosis (AC) and AH. Sphingolipids were quantified by tandem mass spectroscopy. The median plasma EV concentration was significantly higher in AH subjects $(5.8 \times 10^{11} \text{/ml})$ compared to healthy controls $(4.38\times10^{10}/\text{ml}, p<0.0001)$, heavy drinkers $(1.28\times10^{11}/\text{ml}, p<0.0001)$, and ESLD $(5.35\times10^{10}/\text{ml},$ $p\leq 0.0001$) and decompensated AC (9.2 $\times 10^{10}$ /ml, $p\leq 0.0001$) disease controls. Among AH subjects, EV concentration correlated with MELD score. When EV counts were dichotomized at the median, survival probability for AH subjects at 90 days was 63.0% in the high EV group and 90.0% in the low EV group (logrank p -value=0.015). Interestingly, EV sphingolipid cargo was significantly enriched in AH when compared with healthy controls, heavy drinkers, ESLD and decompensated AC $(p=0.0001)$. Multiple sphingolipids demonstrated good diagnostic and prognostic performance as biomarkers for AH.

Conclusions—Circulating EV concentration and sphingolipid cargo signature can be used in the diagnosis and differentiation of AH from heavy drinkers, decompensated alcoholic cirrhosis, and other etiologies of end-stage liver disease and predict 90-day survival permitting dynamic risk profiling.

Keywords

Exosomes; microvesicles; ceramide; prognosis; STOPAH; alcoholic liver disease; alcoholassociated liver disease; biomarker; biopsy

INTRODUCTION

Alcohol-associated liver disease (ALD) has been recognized as the most common cause of advanced liver disease worldwide and accounts for 50% of deaths due to cirrhosis (1–3).

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ALD encompasses a wide spectrum of liver disease ranging from reversible steatosis to steatohepatitis and cirrhosis (4, 5). Alcoholic hepatitis (AH) is the most severe form of ALD with an extensive inflammatory response and a 30-day mortality rate of 20–40% (6). The diagnosis of ALD remains predominantly clinico-pathological with liver biopsies performed in some cases (7, 8). Widespread use of liver biopsies is limited due to a risk of complications in hemodynamically unstable patients with severe coagulopathy (9). It is also impractical to follow-up response to treatment with serial liver biopsies. Clinical decisionmaking is aided by static and dynamic scores such as the Model for End Stage Liver Disease (MELD), Maddrey's Discriminant Function (MDF), Lille score, and others, which are used to determine mortality, disease severity, and therapeutic response (9–12). These scores utilize readily available biochemical variables such as bilirubin, prothrombin time, creatinine etc. but are not disease-specific. Owing to the limitations of these tests, there is an unmet need to utilize new technology to identify novel, reproducible, efficient, and non-invasive biomarkers, especially those that are coupled with an advanced understanding of disease pathophysiology (5).

In recent years, extracellular vesicle (EV)-based biomarker discovery has gathered significant traction (13–15). EVs are nanometer-sized particles released by cells into their micro-environment and eventually enter circulation. Given their stability, presence in most biofluids, and signature cargoes, including sphingolipids, EVs have the potential to serve as circulating biomarkers (16). EVs are heterogeneous and are comprised primarily of exosomes and microvesicles. Operationally, the distinction between exosomes and microvesicles is less important as circulating vesicles are isolated according to their biophysical properties and consist of both types of EVs. Therefore, we have used the term EV throughout this manuscript, which is also in keeping with current society guidelines (13, 17, 18). EV numbers and cargo vary in disease. Previous studies have explored microRNAs and proteomic EV cargoes in preclinical models of AH (19). Sphingolipids, including ceramides, are implicated in inflammation, stress response, and apoptosis (20). Sphingolipids are also mechanistically crucial in EV formation (21, 22). Furthermore, sphingolipids have been implicated in AH pathogenesis (23–26). Thus, we evaluated EV count and sphingolipid cargo as a pathophysiologically relevant biomarker in AH.

In this paper, we show that EV counts can accurately diagnose AH by differentiating it from healthy controls; heavy drinkers; MELD matched end-stage liver disease (ESLD) due to non-alcoholic steatohepatitis (NASH), primary biliary cholangitis (PBC), primary sclerosing cholangitis (PSC), and biopsy proven decompensated alcoholic cirrhosis (AC) without acute AH. Furthermore, we explored the potentially additive role of EV counts and sphingolipid cargo to MELD and show that this proposed additive score (E-MELD) can predict disease severity and mortality.

METHODS

Sample Collection and Study Design

Blood samples from 36 heavy drinkers and 36 AH subjects were prospectively collected as a part of National Institute of Alcoholism and Alcohol Abuse (NIAAA) Translational Research and Evolving Alcoholic Hepatitis Treatment (TREAT) consortium 001 study at

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Mayo Clinic (Minnesota, USA), Virginia Commonwealth University (Virginia, USA), and Indiana University (Indiana, USA). The consortium design has previously been reported (27). Samples from 7 additional AH subjects were obtained from University of Pittsburgh (Philadelphia, USA). We also obtained samples from 22 subjects with biopsy proven AH and 30 subjects with decompensated AC, biopsy proven to be negative for AH, from Hospital Clinic De Barcelona (Barcelona, Spain). Twenty-nine additional subjects with severe end-stage liver disease (MELD score>20) due to etiologies other than ALD, including NASH, PSC, and PBC, were prospectively recruited at Mayo Clinic and included as controls for MELD score > 20 group. Thirty-six healthy control samples from Mayo Clinic P30 biorepository were also included in the analysis. Eighteen AH subjects and 18 healthy controls from TREAT consortium were randomly included in the discovery cohort, and the remaining 25 AH subjects and 18 healthy controls from TREAT consortium and UPMC were included in the validation cohort.

The diagnosis of heavy drinkers and AH was confirmed in the clinical setting by an experienced hepatologist using NIAAA criteria as described here (28, 29). Heavy alcohol drinking was defined as consumption of > 40 grams of alcohol per day on average in women and > 60 grams of alcohol per day on average in men for a minimum of 6 months and within 6 weeks prior to study enrollment. Criteria for inclusion in the heavy drinker group included: (i) Aspartate Aminotranferase (AST), Alanine Aminotranferase (ALT), and total bilirubin levels within normal range; (ii) no prior history of known ALD; and (iii) absence of hepatosplenomegaly (from physical examination or radiographic imaging) or stigmata of liver disease. The diagnosis of AH was established following published criteria based on history of heavy alcohol consumption, clinical evaluation and appropriate laboratory testing (as defined as total bilirubin > 2 mg/dL, ALT and AST > 50 U/L but \sim 500 U/L, and AST/ALT of 1.5). When a diagnosis of AH remained in question, a liver biopsy was pursued (28, 29). Blood was collected in ethylenediaminetetraacetic acid tubes, and plateletpoor plasma was separated. Plasma aliquots were stored at −80°C. EV isolation and analyses were performed in a blinded manner using ultracentrifugation technique (30–34). Details are provided in Supplemental Material. Plasma aliquots were thawed only once, immediately before EV isolation. The study was approved by a central institutional review board as well as individual institutional review boards at all involved sites. The study protocol conformed to the ethical guidelines of the 1975 Declaration of Helsinki as reflected in a priori approval by the appropriate institutional review committee. All samples were collected after written informed consent was obtained from each subject. All authors had access to the study data and reviewed and approved the final manuscript.

Targeted Sphingolipid Measurement of Plasma and EV Fractions

Concentrations of sphingolipids were measured by liquid chromatograph/mass spectrometry (LC/MS/MS) by Mayo Metabolomics Core which is an NIH Regional Comprehensive Metabolomics Resource Core. The Core has developed and deposited detailed standard operating procedures for sphingolipid measurements to the Metabolomics Workbench [\(https://www.metabolomicsworkbench.org\)](https://www.metabolomicsworkbench.org/), a resource sponsored by the Common Fund of the National Institutes of Health. Details are provided in Supplemental Material.

Statistical Analyses

Subjects were characterized into MELD score groups of 20 and >20 based on current consensus guidelines (9, 29, 35). Continuous variables were summarized as mean or median and standard error as appropriate. Sample comparisons between groups using the Mann-Whitney U test, one-way ANOVA, or two-way ANOVA were performed as appropriate with post-test corrections when needed. The diagnostic accuracy parameters and associations were estimated using receiver operating characteristic (ROC) curve analysis. Area under the ROC curves (AUROC) with corresponding 95% confidence intervals (CI) and p values were calculated. Youden's index was used to establish a diagnostic cut-off in the discovery cohort where sensitivity and specificity reached maximum value with convergence. Kaplan-Meier (KM) survival curves were plotted to estimate the cumulative survival probability. The significance of observed differences and hazard ratios were calculated using logrank tests. The association between sphingolipids and clinical outcomes was determined using univariate Cox regression models. For statistical analyses and graphical and tabular preparations, GraphPad Prism 8 (GraphPad Software Inc, La Jolla, CA) and R-studio [\(https://cran.r-project.org\)](https://cran.r-project.org/) were used. R-packages pROC (for ROC curves); cutpointr (for Youden's index calculations); ggplot2 (for PCA plots); complexheatmap (for heatmap) and survival (for Kaplan-Meier curve analysis) were used.

RESULTS

Characterization of Subjects and EVs

Subjects included healthy controls, heavy drinkers, ESLD with MELD score >20, decompensated AC, and AH. Subjects with AH were divided into mild/moderate or severe based on recommended MELD score cut-off of 20 (28). Subject characteristics are described in Supplemental Table 1. The concentration of EVs isolated from plasma ranged between 2.8×10^9 and 2.5×10^{12} EVs/ml in all subjects [Table 1]. The median concentration of EVs in healthy controls, heavy drinkers, ESLD, decompensated AC, and AH subjects was $4.38 \times$ 10^{10} , 1.28×10^{11} , 3.06×10^{10} , 6.29×10^{10} , 9.2×10^{10} , and 5.38×10^{11} particles/ml respectively [Table 1]. Plasma EV concentrations were significantly higher among AH subjects than healthy controls (p<0.0001), heavy drinkers (p=0.0001), ESLD subjects $(p<0.0001)$, and decompensated AC $(p<0.0001)$ [Figures 1A–B]. Moreover, the median EV counts were significantly higher in subjects with severe AH when compared with mild/ moderate AH subjects $(p=0.0041)$ [Figure 1C]. EV counts correlated well with traditional clinical risk stratifiers, such as the MELD and CTP scores [Figure 1D]. Interestingly, plasma EV concentrations were also significantly higher in heavy drinkers (median= 1.28×10^{11}) EVs/ml) when compared with healthy controls (median= 4.38×10^{10} ; p=0.003). The mean sizes of isolated EVs were 124.3 (healthy controls), 136.6 (heavy drinkers), 126.3 (ESLD), 131 (decompensated AC), and 127.4 (AH) nm and all within small EV size range of 50–150 nm [Supplemental Figure 1A]. The isolated and enriched small EVs expressed EV-specific protein markers cluster of differentiation 81 (CD81; transmembrane protein) and tumor susceptibility gene 101 (TSG101; cytosolic protein recovered in EVs) [Supplementary Figure 1B]. Thus, EV counts are significantly higher in AH subjects when compared to ESLD and decompensated AC subjects.

Threshold Detection and Validation of Extracellular Vesicle Count for the Diagnosis of Alcoholic Hepatitis

With the aim of establishing a diagnostic threshold, we randomly divided healthy controls and AH subjects from the TREAT consortium in half to establish a discovery cohort. First an ROC curve was generated for the diagnosis of AH vs. healthy controls and the AUROC curve value was 0.99 (95% CI 0.97 to 1.00; $p<0.0001$) [Figure 2A and Supplemental Figure 2A]. A threshold of 1.56×10^{11} EVs/ml was determined using Youden's index to differentiate AH subjects from healthy controls with a sensitivity of 1.00 (95% CI 0.81 to 1.00) and a specificity of 0.89 (95% CI 0.65 to 0.99). The performance of this diagnostic threshold to diagnose AH was tested in a validation cohort. The validation cohort consisted of 25 AH subjects and 18 healthy controls from TREAT consortium and UPMC. This diagnostic threshold of 1.56×10^{11} EVs/ml yielded a significantly (p<0.0001) high sensitivity of 0.92 (95% CI 0.75, 0.99) and specificity of 0.94 (95 % CI 0.74, 1.00) for distinguishing subjects with AH from healthy controls [Supplemental Figure 2B and Supplemental Table 2].

We next sought to test the ability of this diagnostic threshold to differentiate AH from heavy drinkers and disease controls with ESLD and decompensated AC. All of these analyses were performed in complete subject cohorts. This diagnostic threshold was able to differentiate AH subjects from heavy drinkers with a sensitivity of 0.93 (95% CI 0.84, 0.98) and a specificity of 0.73 (95% CI 0.54, 0.88) and an AUROC curve of 0.92 (95% CI 0.87, 0.98; p<0.0001) [Supplemental Table 2 and Supplemental Figures 2C–D]. Furthermore, this diagnostic threshold was able to differentiate AH from ESLD subjects with a sensitivity of 0.95 (95% CI 0.84 to 0.99) and specificity of 0.90 (95% CI 0.73 to 0.98), and an AUROC curve of 0.99 (95% CI 0.97, 1.00; p<0.0001) [Figures 2B–C and Supplemental Figure 2]. Similarly, it was able to differentiate AH from decompensated AC with a sensitivity of 0.94 (95% CI 0.85 to 0.98) and a specificity of 0.77 (95% CI 0.58 to 0.90) based on ROC curve (AUROC: 0.88; p<0.0001) analysis [Figure 2D and Supplemental Figure 2]. This initial analysis confirmed that EVs are significantly elevated in AH and could be of clinical utility. Thus the EV concentration diagnostic threshold of 1.56×10^{11} EVs/ml can diagnose AH from subjects with ESLD and decompensated AC.

Detecting Hepatocyte-Derived EVs in AH subjects

In order to demonstrate an increase in hepatocyte-derived EVs and translate an EV-based biomarker to a potential point-of-care test, we utilized a novel far-field nano-plasmonic enhanced scattering nPES assay (36). This technique can quantify cell-specific EVs directly from 1–5 pL plasma thus obviating the need for EV isolation. We identified cytochrome P450 2E1 (CYP2E1) and asialoglycoprotein receptor 2 (ASGR2) as two hepatocyte specific markers present on EV surface and utilized these to quantify EVs of hepatocyte origin and identifying differences between AH, healthy controls, and heavy drinkers (37, 38). The ASGR2 signal was significantly higher in AH when compared with healthy controls (p<0.0001) and heavy drinkers (p=0.04) [Figure 3A]. The CYP2E1 signal was significantly higher in AH when compared with healthy controls (p<0.0001) and heavy drinkers (p=0.009) as well [Figure 3B]. In keeping with the nPES data, the expression of hepatocytespecific markers ASGR2 and CYP2E1 was determined to be higher in AH subjects

compared with controls by western-blotting [Figure 3C] and dual-immunogold transmission electron microscopy [Figure 3D]. Thus, there is an increase in hepatocyte-derived EVs in subjects with AH in comparison to healthy controls and heavy drinkers.

Predicting Survival with EV Concentration in AH subjects

After establishing a diagnostic threshold for AH, we sought to investigate the association between EV counts and 90-day survival in AH. Ninety-day survival follow-up data was available in 57 AH subjects. In order to study this association, we dichotomized these AH subjects into arbitrary low and high EV count groups using median EV count in our cohort $(5.38 \times 10^{11}$ EVs/ml) [Figure 4A]. There were a total of 13 deaths reported at 90-day follow up. Of note, 10 deaths were reported in the high EV group vs. 3 deaths in the low EV group. On KM analysis, the mortality risk in subjects with AH at 90-day follow-up was 37.0% in the high EV group and 10% in the low EV group (logrank test p=0.015 and logrank hazard ratio=4.3, 95% CI 1.5 to 13.0) [Figure 4B]. Thus, an EV concentration higher than $5.38 \times$ 10^{11} EVs/ml predicts a much higher mortality risk in subjects with AH.

EV Cargo and Plasma Sphingolipids Concentration Analysis

Taking into account the aforementioned biologic relevance of EV cargo and role of bioactive sphingolipids in alcohol-induced injury, we performed targeted sphingolipid measurements on isolated EVs and plasma samples from healthy controls, heavy drinkers, ESLD, decompensated AC, and AH subjects. Eleven sphingolipid species were detected from plasma and EV cargo in varying concentrations. These included sphingosine, sphinganine, sphingosine 1-phosphate, C14:0 ceramide, C16:0 ceramide, C18:0 ceramide, C18:1 ceramide, C20:0 ceramide, C22:0 ceramide, C24:0 ceramide, and C24:1 ceramide. The analysis revealed significant differences in sphingolipid cargo content in EVs isolated from AH subjects when compared with healthy controls, heavy drinkers, ESLD, and decompensated AC subjects as evident by the heatmap and principle component analysis [Figure 5A–C]. Absolute concentrations of all sphingolipid species detected are provided in Supplemental Table 3 and Supplemental Figure 5. Of the 11 sphingolipids detected in the EVs, 6 were determined to have statistically-significant enrichment in AH subjects when compared with healthy controls, heavy drinkers, ESLD, and decompensated AC subjects [Figure 5D]. These included C14:0 ceramide, C16:0 ceramide, C18:0 ceramide, C20:0 ceramide, and C24:1 ceramide. Ten sphingolipid species were significantly enriched in AH when compared to healthy controls alone. This sphingolipid signature was found to be unique to EVs and similar trends were not observed in paired plasma samples [Figure 5E]. As sphingolipids are also found on lipoproteins, we wanted to confirm that the increase in EV sphingolipids was not due to a contribution from lipoproteins. Therefore, we examined the high density lipoprotein (HDL)-specific apoprotein, APOAI, and very low density lipoprotein (VLDL)/low density lipoprotein (LDL)-specific apoprotein, ApoB100, and found comparable expression across controls and AH. Thus, the increase in sphingolipids in AH was not due to a co-isolation of HDL, LDL or VLDL lipoproteins [Supplemental Figure 1C]. EV cargo sphingolipid concentrations were found to correlate well with EV counts, whereas plasma sphingolipid concentrations showed no similar trend [Supplemental Table 4]. Thus, a unique, long-chain ceramide-enriched sphingolipid cargo signature was identified in EVs from AH subjects.

Correlation of Extracellular Vesicle Sphingolipids with MELD and CTP Scores

We then interrogated an association between specific sphingolipid species and disease severity and mortality. By linear regression, significant positive correlations were found between MELD and log EV counts (r^2 =0.475, p<0.0001) as well as all 11 detected EV cargo sphingolipids including sphingosine, sphinganine, sphingosine-1 phosphate, C14:0 ceramide, C16:0 ceramide, C18:0 ceramide, C18:1 ceramide, C20:0 ceramide, and C24:1 ceramide [Supplemental Table 5 and Supplemental Figure 3]. A similar significant positive correlation was also observed with CTP score and log EV count $(r^2=0.441, p<0.0001)$ as well as all 11 detected EV count sphingolipids [Supplemental Table 6 and Supplemental Figure 4]. By linear regression, models against disease severity determined by both CTP and MELD scores, EV cargo sphingosine, sphinganine, sphingosine 1 phosphate, C14:0 ceramide, C16:0 ceramide, and C24:1 ceramide had significant positive correlations with r^2 >0.3 and p<0.05. Thus, we found EV counts and sphingolipid cargo to correlate positively with MELD and CTP scores.

Performance of EV counts with EV Cargo Sphingolipids

We next adopted a combinatorial approach to determine if the interaction of EV sphingolipid content and EV count can predict mortality. Univariate Cox modeling was performed to ascertain risk association with 90-day mortality for each individual sphingolipid as well as $EV \times$ sphingolipid [Supplemental Table 7]. As expected, MELD score, CTP score, and total bilirubin were all associated with increased risk of mortality. In addition, 6 EV cargo sphingolipids sphingosine, sphinganine, sphingosine 1-phosphate, C14:0 ceramide, C16:0 ceramide, and C24:1 ceramide were predictive of higher mortality risk at 90-day follow up. When these significant risk factors for mortality were analyzed in a multivariate analysis, none attained statistical significance, likely due to limited sample size. The association of EVs and sphingolipids with disease severity (as determined by MELD and CTP scores) and mortality was then assessed and plotted in the form of ROC curves. Youden's index was used to determine optimal cutoffs for each individual sphingolipid when interacting with EV count as $EV \times$ sphingolipid [Figures 6–7 and Supplemental Tables 8–10]. Multiple sphingolipids had good discriminatory power in determining disease severity based on these cutoffs, but under-performed compared to the MELD score or EV count alone. KM curves were plotted for each potential biomarker based on these generated cutoffs, and their ability to prognosticate AH was determined [Figure 7 and Supplemental Table 9]. Performance of $EV \times S$ phingolipid together had enhanced performance when considering disease severity or mortality as outcome [Figures 6–7]. Interestingly, the same 6 sphingolipids identified to have positive hazards ratios in the Cox's Univariate analysis were also found to prognosticate the mortality of AH subjects in KM curves with significance. An ROC curve was generated combining MELD score, log EV count and these 6 sphingolipid populations to generate an E-MELD score and determine association with 90-day mortality. The AUROC was determined to be 0.91. Thus, even though discriminatory capacity for MELDlogEV alone (AUC=0.86) was similar to MELD (AUC=0.86), E-MELD performed better (AUC=0.91) in predicting mortality [Figure 7B].

DISCUSSION

There is a significant unmet need for AH biomarkers that are specific, pathophysiologicallyinformed, amenable to repeated testing over time and predictive of both treatment response and clinical outcomes. Thus, the objective of this study was to demonstrate the utility of circulating EVs and their sphingolipid cargo as biomarkers for the diagnosis and prognosis of AH. The principal findings of this study include: i) EV cutoff of 1.56×10^{11} particles/ml can diagnose AH in comparison with heavy drinkers, healthy controls, decompensated alcoholic cirrhosis, and end-stage liver disease due to NASH or chronic cholestatic diseases; ii) AH EVs are significantly enriched in sphingolipid cargo, which is independently predictive of AH severity and mortality; and iii) incorporation of EV concentration and sphingolipid species (E-MELD) increase the performance of MELD score in predicting 90 day mortality (AUC=0.91 vs. 0.86).

The NIAAA and other expert consensus guidelines have defined the clinical criteria for diagnosis of AH with confirmation, if necessary, by histopathological examination (8, 28, 29). However, liver biopsy has risks and poor patient acceptance. Therefore, we explored whether EVs have biomarker utility in AH as a circulating biomarker. EVs are cell-derived, membrane-defined nanoparticles released by most cell types. Released EVs vary in healthy and diseased states and carry specific cargo signatures that can reflect on the pathophysiologic state of the cell of origin (19, 32, 39, 40). These signatures, along with the relative stability of EVs in circulation, have generated interest in EVs as potential biomarkers (41). In preclinical studies, there is evidence to show that EV production is activated by alcohol administration and that alcohol-induced EVs are key mediators of intercellular and interorgan crosstalk (18, 19, 42). Thus, bioactive EVs are a pathophysiologically-relevant biomarker in AH. Herein, we have demonstrated that total circulating EVs and hepatocyte-derived EVs were significantly elevated in AH and have established a diagnostic cutoff utilizing circulating EV counts. EV counts were significantly higher in AH subjects than heavy drinkers without liver disease, and non-alcohol etiology MELD score matched patients with end-stage liver diseases and alcoholic cirrhosis. Furthermore, the diagnostic performance of EV counts in our complete cohort in differentiating AH from other etiologies of end-stage liver disease was high suggesting that EV counts can serve to diagnose AH in subjects with high MELD scores and ambiguous disease etiology. Thus, following further validation, circulating EVs could serve as a noninvasive, blood-based substitute, also known as liquid biopsy, for liver biopsy [Supplemental Figure 6].

Previously published data from a small, but well-conducted study suggested increased EV counts after binge drinking in healthy subjects (40). In concordance with this study, another important finding of our study was the ability to distinguish heavy drinkers without clinical disease from healthy controls. This suggests a potential role for EVs in identifying relapsed drinking. Thus, if validated, an EV-based biomarker might be used to monitor continued abstinence. This might help identify high-risk patients, especially since new data suggests that heavy drinking, and not mere slips, is associated with increased mortality post-liver transplantation (43).

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Even though cutting-edge technology for detecting diverse cellular sources of EVs in circulation is not well developed, we demonstrate a higher abundance of hepatocyte-derived EVs in AH subjects as compared with controls using western-blotting, dual-immunogold labelling, and a novel nPES assay. nPES assay is a technique that utilizes capture antibodies to identify EVs derived from specific cellular sources, in our case CYP2E1 and ASGR2 (36– 38). This technique can potentially be translated to a point-of-care test for AH, as it obviates the need to isolate EVs and utilizes $1-5 \mu L$ of plasma (36). These data provide insight into the hepatocellular origin of this EV biomarker in AH.

Elegant preclinical studies have shown that alcohol imparts unique cargo to EVs, ceramides are perturbed in AH such that inhibition of ceramide synthesis ameliorates AH in rat models, and ceramides are critical for EV biogenesis (44–48). Combining these observations to develop a pathophysiologically-informed biomarker, we determined selective enrichment of several sphingolipid species in EV cargo in comparison to matched plasma samples. Based on biophysical properties, chylomicrons, LDL, and VLDL are lighter than small EVs isolated at $100,000 \text{ g}$ and thus float on ultracentrifugation and would not be an expected contaminant (31, 49). For EV sphingolipids, we examined the HDL-specific apoprotein, APOAI, and found comparable expression across controls and AH. Thus, the increase in sphingolipids in AH is not due to a co-isolation of HDL lipoproteins. Furthermore, we do not see an increase in plasma sphingolipids in AH, which would be predominantly bound to lipoprotein particles and albumin. Though we did not expect to isolate VLDL and LDL, we also demonstrate comparable apoprotein, APOB100 across samples. Thus, we demonstrate that our EV isolation and further targeted sphingolipids measurements were free from lipoprotein induced bias. Sphingolipids were found to correlate well with disease severity, by the CTP and MELD scores, and a higher risk for 90-day mortality. Our previous studies have demonstrated that the EV sphingolipid, sphingosine 1-phosphate, plays a key role in macrophage chemotaxis in NASH (50). Many immune cell types and endothelial cells express sphingosine 1-phosphate receptors (50), and indeed, EV-mediated response in these cells may play a role in AH pathogenesis suggesting that these sphingolipid species may be mechanistically relevant to an EV-based biomarker. In addition to increasing the accuracy of EV concentration biomarker, these data also indicate that certain sphingolipid species might be able to define prognosis in AH patients and may be specific targets for AH therapy. Notably, sphingolipid enrichment was not noted in paired plasma samples in our study, in keeping with prior observation of low plasma sphingolipids in malnourished cirrhotics (24). If further validated, these EV biomarkers can be translated to large scale and even automated detection. Novel high throughput technologies, such as nano-scale flow cytometry, nPES, and nano-fluidic devices for EV detection are being developed and may help bring EV-based biomarkers to the clinic.

In the clinical care of AH patients, several clinical models such as MELD, MDF, and Lille score aid in decision-making (10–12). We compared EV counts with MELD scores in subjects with AH and found that EV counts were significantly raised in severe AH (MELD>20) when compared to moderate or mild AH. The prognostic capacity was also determined for EV sphingolipid cargo and was found to be significantly associated with 90 day mortality as well as disease severity. E-MELD, a composite score of MELD, EV count, and specific sphingolipid species together had better discriminatory power than MELD score

alone. This analysis shows the prognostic performance of an EV-based biomarker for predicting severe AH and mortality. Given the ease of repeated blood testing, EVs may permit personalized dynamic risk profiling in AH as resolving AH correlates with a decreasing EV count (16). This concept will need testing in larger longitudinal cohorts.

There are some limitations of this work. Firstly, the sample size is relevant as an exploratory study, and findings need to be validated in larger cohorts. Secondly, not all subjects had liver biopsies. Thirdly, EV cargo analyses were limited to sphingolipids and could have missed changes in other EV cargoes.

In conclusion, we found a circulating EV biomarker to be a promising test to, not only diagnose, but also prognosticate AH. It differentiated AH from other causes of end-stage liver disease including NASH, PBC, and PSC in MELD matched subjects as well as subjects with decompensated alcoholic cirrhosis. EV cargo in AH had a unique sphingolipid signature. These sphingolipids positively correlated with disease severity in AH. They were also associated with increased 90-day mortality. Sphingolipids added to the diagnostic capacity of EV counts and showed promise as a novel predictive model.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations

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Figure 1: EVs are elevated in plasma from AH subjects and correlate with disease severity. (A) EV counts were significantly elevated in AH when compared to healthy controls (p<0.0001), heavy drinkers (p<0.0001), and decompensated alcoholic cirrhosis subjects (p<0.0001). Heavy drinkers had significantly higher EV counts than healthy controls (p=0.0068). (B) EV counts were significantly higher in AH when compared to ESLD (p<0.0001). MELD scores were comparable between NASH, cholestatic liver diseases, and AH subgroups. (C) EV counts were significantly higher in severe AH subjects (MELD>20) when compared with mild/moderate AH subjects. (D) EV counts were also significantly correlated with MELD and CTP scores (p<0.0001).

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Figure 2: EV concentration has high performance in diagnosing AH.

(A) High diagnostic accuracy was noted for diagnosis of AH versus healthy controls in the discovery cohort. A cut-off value of 1.56×10^{11} particles/ml was determined using Youden's index. **(B)** In the complete cohort, using 1.56×10^{11} particles/ml, we were able to diagnose AH vs. MELD matched severe end-stage liver disease and decompensated alcoholic cirrhosis subjects with high sensitivity and specificity. **(C)** The diagnostic performance in our complete cohort of AH subjects was high compared to MELD-matched severe end-stage liver disease subjects with NASH or cholestatic liver diseases. **(D)** The diagnostic performance in our complete cohort of AH subjects was high compared to decompensated alcoholic cirrhosis subjects.

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Figure 3: Hepatocyte-derived EVs are increased in AH subjects.

(A) Optical response with ASGR2 antibody is higher in AH subjects than in healthy controls (p<0.0001) and heavy drinkers (p<0.0001). (n=30 for all groups) **(B)** Optical response with CYP2E1 antibody is higher in AH subjects than in healthy controls $(p<0.0001)$ and heavy drinkers (p<0.0001). (n=30 for all groups) **(C)** Immunoblotting was performed for hepatocyte-specific markers CYP2E1 and ASGR2 and revealed an increased number of hepatocyte derived EVs in AH (n=3 for all groups). EVs used for each sample were isolated from identical volumes of plasma. **(D)** Representative transmission electron micrograph of isolated plasma EVs from an AH subject. Dual immunogold labeling was performed for two hepatocyte specific markers, CYP2E1 and ASGR2. The larger beads (15nm, labelled as solid arrow) detect ASGR2 and the smaller beads (10 nm, labelled as dashed arrow) detect CYP2E1. There was high abundance of hepatocyte specific proteins in the isolated EVs from AH subjects' plasma.

Figure 4: EV counts have utility in predicting 90-day survival.

(A) AH subjects were dichotomized at the median and divided into two subgroups of high and low EV counts. **(B)** Patients in the high EV count group had significantly higher 90-day mortality compared to patients in the low EV count group (logrank p=0.015).

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Figure 5: EV cargo is enriched in sphingolipid species in AH subjects.

(A) Heatmap and hierarchical clustering depicts differences in concentration of sphingolipid species in healthy controls, heavy drinkers, alcoholic cirrhosis, ESLD, and alcoholic hepatitis subjects. EV sphingolipid cargo from healthy controls and ESLD subjects clusters together and cargo from alcoholic cirrhosis and heavy drinkers cluster together. EVs from AH subjects are highly-enriched in multiple sphingolipid species and cluster separately. **(B)** 3D principal component analysis (PCA) graphs demonstrate differential clustering of sphingolipid species in EVs and plasma from healthy controls (green) and AH subjects (red). **(C)** 3D and 2D PCA graphs help demonstrate differential clustering of sphingolipid species in EV cargo from healthy controls (green), cirrhotics including alcoholic, NASH, PBC, and PSC subjects (blue), and AH subjects (red). **(D)** Multiple sphingolipid species, especially long chain ceramides, were significantly raised in AH compared with healthy controls, heavy drinkers, decompensated AC and ESLD subjects. These values are shown here as fold-change over controls. **(E)** In contrast, only C16:0 ceramide and C24:1 ceramide showed significantly increased concentration in AH. p-values of 0.05 , 0.01 , 0.001 , and 0.0001 were denoted as *, **, #, and ## respectively.

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Figure 6: EV sphingolipid cargo can predict severity of disease in AH subjects. ROC curves were generated to assess role of EV and individual sphingolipids in predicting disease severity. Log EV (AUC=0.88, p=<0.0001), SPH (AUC=0.85, p<0.0001), SPA (AUC=0.85, p<0.0001), S1P (AUC=0.81, p=0.0002), C14:0 ceramide (AUC=0.82, p=0.0001), C16:0 ceramide (AUC=0.83, p<0.0001), C18:1 ceramide (AUC=0.76, p=0.0009), C18:0 ceramide (AUC=0.74, p=0.001), C20:0 ceramide (AUC=0.73, p=0.001), C22:0 ceramide (AUC=0.74, p=0.001), C24:1 ceramide (AUC=0.80, 0.0001), and C24:0 (AUC=0.72, 0.002) all resulted in significant ROC curves with high AUROC curve values. (SPH=sphingosine, SPA=sphinganine, and S1P=Sphingosine 1-phosphate).

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Figure 7: EV sphingolipid may improve the performance of MELD score.

(A) ROC curves were generated to assess the role of EV and individual sphingolipids in predicting 90-day mortality in subjects. Again, the same sphingolipid species had the best performance. Log EV (AUC=0.81, p=0.003), SPH (AUC=0.78, p=0.008), SPA (AUC=0.78, p=0.009), S1P (AUC=0.73, p=0.04), C14:0 ceramide (AUC=0.76, p=0.03), C16:0 ceramide (AUC=0.77, p=0.01), and C24:1 ceramide (AUC=0.75, p=0.03) all resulted in statisticallysignificant ROC curves. Youden's index was used to assess optimal cut-off to dichotomize the cohort for predicting 90-day mortality, and these were then used to generate KM curves for predicting survival. All 6 EV \times sphingolipid species resulted in statistically-significant KM curve graphs (all $p<0.01$). **(B)** Log EV count and sphingolipid cargo improved the performance of MELD score in predicting 90-day mortality in our cohort. MELD had an AUC value of 0.86. When log EV was taken into consideration with MELD, the AUC did not improve. However, on addition of the 6 sphingolipid species, which were found to be significantly associated with 90-day mortality in Cox's univariate analysis to MELD and logEV, the AUC value increased to 0.91. (SPH=sphingosine, SPA=sphinganine, and S1P=Sphingosine 1-phosphate).

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TABLE 1.

Circulating EV Counts Circulating EV Counts

Statistical comparisons of EV counts: AH vs. healthy controls (p<0.0001), AH vs. heavy drinkers (p=0.0001), AH vs. NASH and cholestatic liver diseases (p<0.0001), AH vs. decompensated alcoholic
cirrhosis (p<0.0001). Statistical comparisons of EV counts: AH vs. healthy controls (p<0.0001), AH vs. heavy drinkers (p=0.0001), AH vs. NASH and cholestatic liver diseases (p<0.0001), AH vs. decompensated alcoholic cirrhosis (p<0.0001).