

Impact of maintenance of postoperative performance status on survival in elderly patients over 70 with high-grade astrocytoma

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ABSTRACT

This study aimed to identify the elderly generation with the worst prognoses for high-grade astrocytoma and find independent predictors of good outcomes. We conducted a retrospective analysis of 91 patients, ≥ 65 years old, with anaplastic astrocytoma or glioblastoma. Progression-free survival (PFS) and overall survival (OS) were calculated using the Kaplan–Meier method and compared using log-rank test or multivariate Cox regression analysis. We included 21 (23%) and 70 (77%) patients aged 65–69 years and ≥ 70 years. In the two generations, significant differences were found in the Charlson comorbidity index, extent of resection, chemoradiotherapy (CRT) as adjuvant therapy, and radiation dose (all $P < 0.05$). The median PFS was 9.9 and 6.9 months in patients aged 65–69 and ≥ 70 years ($P = 0.10$). The median OS was 22.8 and 11.6 months in patients aged 65–69 and ≥ 70 years ($P = 0.009$). In the multivariate analyzes in patients ≥ 70 years, only postoperative Karnofsky performance status (KPS) scores ≥ 70 were significantly related to prolonged PFS (hazard ratio [HR]: 0.48, $P = 0.04$), and postoperative KPS, CRT as adjuvant therapy, and salvage therapy were significantly related to prolonged OS (HR: 0.45, $P = 0.03$, HR: 0.38, $P = 0.002$, and HR: 0.43, $P = 0.01$, respectively). In conclusion, in patients ≥ 70 with high-grade astrocytoma, OS was significantly shorter compared to those aged 65–69. Postoperative KPS score was significantly related to prolonged PFS and OS. Postoperative CRT and salvage therapy at recurrence may be effective in the selected elderly.

Keywords: chemoradiotherapy, elderly, high-grade astrocytoma, postoperative KPS, salvage therapy

Abbreviations:

CCI: Charlson comorbidity index
CRT: chemoradiotherapy
EOR: extent of resection
GBM: glioblastoma
GTR: gross total resection
KPS: Karnofsky performance status
OS: overall survival
PD: progression disease
PFS: progression-free survival
PR: partial removal

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TMZ: temozolomide

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INTRODUCTION

The landmark EORTC-NCIC (the European Organization for Research and Treatment of Cancer/National Cancer Information Center) trial has established a standard therapy for patients under 70, which includes adjuvant chemoradiation with temozolomide (TMZ) after maximum safe resection.¹ However, there is no consensus regarding the standard of care for elderly patients with high-grade astrocytoma because they are often excluded from randomized clinical trials.^{2,3} Prospective trials in elderly patients with high-grade gliomas, such as the NOA-08⁴ and Nordic study,⁵ showed the efficacy of TMZ alone and short-course RT alone, and O⁶-methylguanine-DNA methyltransferase (MGMT) promoter methylation as a therapeutic marker for outcomes.^{6,7} In recent years, Perry et al showed that adding TMZ to short-course RT (40 Gy in 15 fractions) significantly improved OS, compared to short-course RT alone, in newly diagnosed patients with glioblastoma multiforme (GBM) over 65.⁸ However, the results may not be applicable in the real world because these studies were limited to elderly patients with relatively good preoperative performance status.

Because high-grade astrocytoma is the most common form of malignant primary brain tumor in adults, and the incidence of high-grade astrocytoma in the elderly has increased over the past decades,^{9,10} an appropriate treatment strategy must be established for the elderly population. In fact, several reports on the elderly with high-grade astrocytoma have been published in recent years, but the definition of elderly in terms of age is not constant.

In the present study, we compared patients aged 65–69 years to those aged ≥ 70 years and evaluated clinical characteristics that influence clinical outcomes, clarifying independent predictors for progression-free survival (PFS) and overall survival (OS). Specifically, we focused on the extent of resection (EOR) at the initial surgery, adjuvant and salvage therapy for the outcomes, and maintenance of Karnofsky performance status (KPS) after the initial surgery.

MATERIALS AND METHODS

Patient population

A retrospective, single-center analysis was conducted for patients ≥ 65 with histopathologically confirmed anaplastic astrocytoma or GBM. All patients underwent tumor biopsy or resection between April 2002 and June 2018 at Shizuoka Cancer Center, Japan. Postoperative KPS was measured within 1 week to 1 month postoperatively. All analyzes were approved in advance by the institutional review board.

Surgical intervention and image evaluation

Surgical indications and the surgical approach were discussed for each patient and decided by multiple, board-certified neurosurgeons beforehand. Gross total resection (GTR) was defined as removal of $>98\%$ of preoperative contrast-enhanced lesion.¹¹ Partial removal (PR) was defined as any status other than biopsy and GTR. Radiological tumor progression was assessed using the RANO criteria.¹² Progression disease (PD) was defined as $\geq 25\%$ increase in lesion size.

Adjuvant and salvage therapy

Adjuvant therapy includes RT, chemotherapy with TMZ or ACNU (1-(4-amino-2-methyl-5-pyrimidinyl)-methyl-3-(2-chloroethyl)-3-nitrosourea hydrochloride), and combined chemoradiotherapy (CRT). RT was planned, with three-dimensional planning systems, for a total dose of 40.05 Gy, administered in 15 daily fractions for three weeks⁸ or for a total dose of 60 Gy, administered in 30 daily fractions for 6 weeks.¹ ACNU 70 mg/m², calculated based on body surface area, was administered intravenously every 4–6 weeks. Concomitant TMZ 75 mg/m² was administered during RT, and consecutive TMZ 150 mg/m² was administered for at least six cycles according to Stupp's protocol.¹ The best supportive care was selected for patients who could not receive adjuvant therapy.

Salvage therapy, which included RT, chemotherapy, bevacizumab treatment, and reoperation, was performed after identifying clinical or radiological PD. The indications of salvage therapy were discussed and decided by multiple, board-certified neurosurgeons and radiation oncologists.

Pathology

Pathological diagnoses were conducted in a routine, histological manner using hematoxylin–eosin stained sections according to the World Health Organization (WHO) criteria. IDH1 Arg132His mutations were examined by immunohistochemistry (IHC) with a mutation-specific antibody (internal clone H14, Dianova, Hamburg, Germany). MGMT expression was examined using immunohistochemistry with a specific antibody (Thermo Scientific, Fremont, CA, USA). As previously reported, a patient was defined as MGMT-immunonegative and MGMT-immunopositive if <10% and >10%, respectively, of all approvable, viable neoplastic cells in the specimen were MGMT-IHC positive.¹³

Statistical analysis

Statistical analyzes were performed using EZR statistical software.¹⁴ PFS was defined as the time from surgery to the first clinical or radiological evidence of tumor progression. OS was defined as the time from surgery to death or the last follow-up. PFS and OS were calculated using the Kaplan–Meier method and compared using log-rank test or multivariate Cox regression analysis. $P < 0.05$ was considered statistically significant.

RESULTS

Patient characteristics

Table 1 summarizes the characteristics of 91 patients ≥ 65 with histopathologically confirmed anaplastic astrocytoma or GBM. Of the 91 patients, 21 (23%) and 70 (77%) were aged 65–69 and ≥ 70 , respectively. The median preoperative KPS score was 70 (range, 40–100), and the preoperative KPS scores were high (≥ 70) in 12 patients (57%) aged 65–69. The median postoperative KPS score was 70 (range, 50–100), and postoperative KPS scores were high in 11 patients (52%) aged 65–69. The median preoperative KPS score was 60 (range, 30–100), and preoperative KPS scores were high in 29 patients (41%) aged ≥ 70 . The median postoperative KPS score was 60 (range, 40–90), and postoperative KPS scores were high in 22 patients (31%) aged ≥ 70 . With regard to the change between pre- and postoperative KPS, the KPS scores of 38 patients (42%) decreased postoperatively. No statistically significant differences were found in the change in perioperative KPS between these two age groups. Thirty-one patients (44%) aged ≥ 70 and three patients (14%) aged 65–69 scored ≥ 1 on the Charlson comorbidity index (CCI). A statistically significant difference was found in the percentages of patients with higher CCI

Table 1 Characteristics of elderly patients with high-grade astrocytoma

		Total	65–69 years	70–91 years	P value
Sex	Male	51	10	41	0.46
	Female	40	11	29	
Preop KPS	Median (range)	60 (30-100)	70 (40-100)	60 (30-100)	0.22
	≥70	41	12	29	
	≤60	50	9	41	
Postop KPS	Median (range)	60 (40-100)	70 (50-100)	60 (40-90)	0.12
	≥70	33	11	22	
	≤60	58	10	48	
Change in perioperative KPS	Increase or no change	53	12	41	1.00
	Decrease	38	9	29	
CCI	0	57	18	39	0.04
	1	23	2	21	
	2	8	0	8	
	3	3	1	2	
	≥4	0	0	0	
EOR	Gross total removal	16	7	9	0.01
	Partial removal	35	10	25	
	Biopsy	40	4	36	
Pathology	Glioblastoma	72	20	52	0.06
	Anaplastic astrocytoma	19	1	18	
IDH1	Wild type	55	14	41	0.16
	Mutation	1	1	0	
	No test	35	6	29	
MGMT	Immunopositive (≥10%)	47	12	35	0.94
	Immunonegative (<10%)	30	6	24	
	No test	14	3	11	
Chemotherapy	Temozolomide	64	19	45	0.10
	ACNU	2	0	2	
	None	25	2	23	
Radiation dose	60Gy	52	19	33	<0.001
	40.05Gy	32	1	31	
	None	7	1	6	
Adjuvant therapy	Chemoradiation	62	19	41	0.015
	Others	29	2	27	
Salvage therapy	Yes	29	8	21	0.59
	No	62	13	49	

Abbreviations: KPS, Karnofsky Performance Status; CCI, Charlson comorbidity index; EOR, extent of resection; IDH1, isocitrate dehydrogenase 1; MGMT, O6-methylguanine-DNA methyltransferase; ACNU, 1-(4-amino-2-methyl-5-pyrimidinyl)-methyl-3-(2-chloroethyl)-3-nitrosourea hydrochloride

Table 2 Salvage treatment for elderly patients with high-grade astrocytoma

Salvage treatment	Total	65–69 years	70–91 years
Bevacizumab	12 (41.4%)	4	8
ACNU or TMZ	11 (37.9%)	2	9
RT (including STI)	6 (20.7%)	0	6
Resection	3 (10.3%)	1	2

Abbreviations: ACNU, 1-(4-amino-2-methyl-5-pyrimidinyl)-methyl-3-(2-chloroethyl)-3-nitrosourea hydrochloride; TMZ, temozolomide; RT, radiation therapy; STI, stereotactic irradiation

between the two age groups ($P = 0.04$). GTR, PR, and biopsies were performed in 7 (33%), 10 (48%), and 4 patients (19%) aged 65–69, respectively. Of 70 patients, biopsy was mainly adopted in 36 patients (51%) aged ≥ 70 years. A statistically significant difference was found in the EOR between the two age groups ($P=0.01$). GBM was diagnosed in 20/21 patients (95%) aged 65–69 and 42/70 patients (74%) aged ≥ 70 . All patients, except for one patient aged 65–69 years, exhibited wild-type IDH1. Chemotherapy with TMZ was administered in 19/21 patients (90%) aged 65–69, and chemotherapy with TMZ (45 patients) or ACNU (2 patients) were administered in 47 patients (67%) aged ≥ 70 . Radiotherapy was performed in 84/91 patients (92%). In patients aged ≥ 70 , approximately half received 40.05 Gy, whereas most patients aged 65–69 received 60 Gy. A statistically significant difference was found in the radiation dose between the two age groups ($P<0.001$). CRT was performed as an adjuvant therapy in 19 (90%) and 41 patients (60%) aged 65–69 and ≥ 70 , respectively ($P = 0.015$). Salvage treatment was administered to 29 patients at the time of recurrence (Table 2). Among them, 12 (41.4%) were treated with bevacizumab.

PFS and OS

The median PFS with high-grade astrocytoma was 9.9 months (95% confidence interval [CI], 6.3–20.7 months) and 6.9 months (95% CI, 5.1–11.4 months) in patients aged 65–69 and ≥ 70 , respectively (Figure A). The median OS was 22.8 months (95% CI, 9.9–33.1 months) and 11.6 months (95% CI, 9.1–15.1 months) in patients aged 65–69 and ≥ 70 , respectively (Figure B). A statistically significant difference was found in the OS between the two age groups ($P = 0.009$).

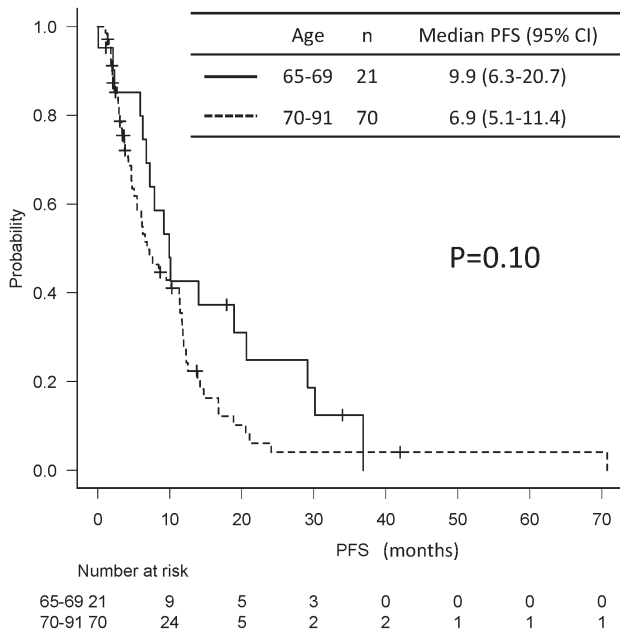
Univariate analysis of PFS and OS

A univariate analysis of PFS and OS was performed (Table 3). In patients aged 65–69, EOR and postoperative KPS scores ≥ 70 were significantly related to longer PFS ($P = 0.005$ and 0.003 , respectively). In patients ≥ 70 years, pre- and postoperative KPS scores ≥ 70 were significantly related to longer PFS ($P = 0.01$ and 0.002 , respectively). The median PFS of patients with pre- or postoperative KPS scores ≥ 70 was >1 year. The use of CRT as adjuvant therapy strongly correlated with prolonged PFS ($P = 0.06$). WHO grade, EOR, and MGMT-immunonegativity were not associated with prolonged PFS. Pre- and postoperative KPS scores ≥ 70 , CRT as adjuvant therapy, and salvage therapy were significantly related to prolonged OS ($P < 0.05$, for all) in patients aged ≥ 70 . WHO grade, EOR, and MGMT-immunonegativity were not related to prolonged OS.

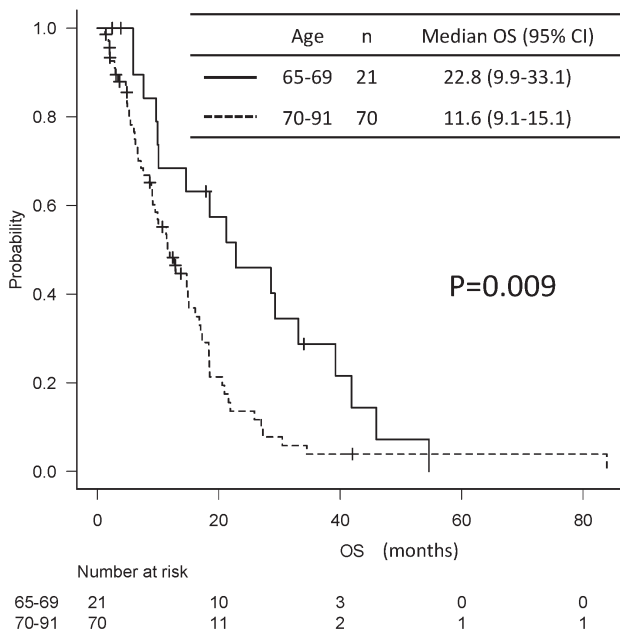
Multivariate analysis of PFS and OS

In the multivariate analyzes of PFS and OS in relation to pre- and postoperative KPS, CRT as adjuvant therapy, and salvage therapy in patients ≥ 70 , the only postoperative KPS score ≥ 70 was significantly related to prolonged PFS (hazard ratio [HR], 0.48; 95% CI, 0.24–0.98, $P = 0.04$) (Table 4). Postoperative KPS, CRT as adjuvant therapy, and salvage therapy were

A)



B)



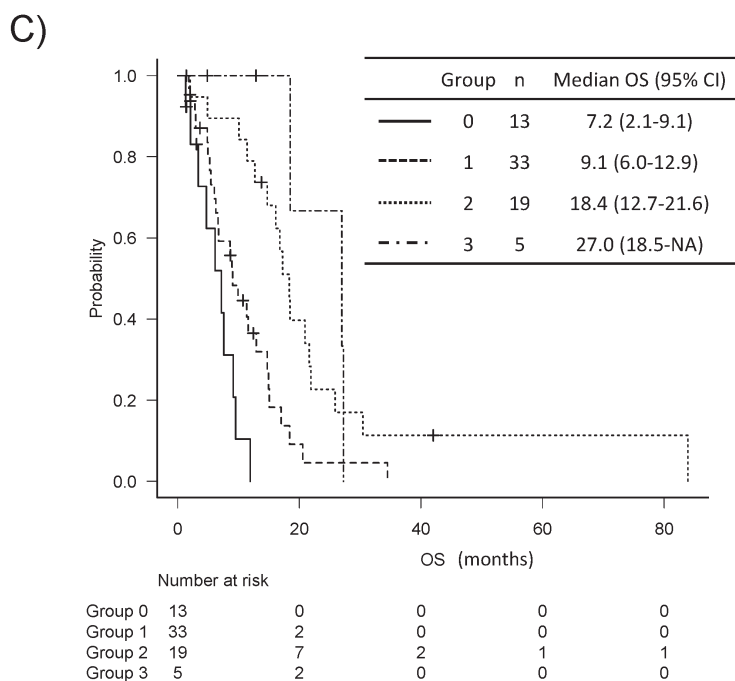


Fig. C: OS based on the number of independent predictive factors. Patients ≥ 70 were subdivided into four groups based on the number of the three independent prognostic factors (postoperative Karnofsky performance status, chemoradiotherapy as adjuvant therapy, and salvage therapy). Group 0: no prognostic factors, Group 1: one prognostic factor, Group 2: two prognostic factors, Group 3: three prognostic factors. Median OS of groups 0, 1, 2, and 3 was 7.2, 9.1, 18.4, and 27.0 months, respectively ($P < 0.001$). Abbreviations; 95% CI, 95% confidence interval.

Fig. A: Progression free survival (PFS). Solid and dotted lines indicates the group aged 65–69 and ≥ 70 , respectively. The median PFS with high-grade astrocytoma was 9.9 months and 6.9 months in patients aged 65–69 and ≥ 70 , respectively ($P = 0.10$). Abbreviations; 95% CI, 95% confidence interval.

Fig. B: Overall survival (OS). Solid and dotted lines indicates the group aged 65–69 and ≥ 70 , respectively. The median OS was 22.8 months and 11.6 months in patients aged 65–69 and ≥ 70 , respectively ($P = 0.009$). Abbreviations; 95% CI, 95% confidence interval.

Fig. C: OS based on the number of independent predictive factors. Patients ≥ 70 were subdivided into four groups based on the number of the three independent prognostic factors (postoperative Karnofsky performance status, chemoradiotherapy as adjuvant therapy, and salvage therapy). Group 0: no prognostic factors, Group 1: one prognostic factor, Group 2: two prognostic factors, Group 3: three prognostic factors. Median OS of groups 0, 1, 2, and 3 was 7.2, 9.1, 18.4, and 27.0 months, respectively ($P < 0.001$). Abbreviations; 95% CI, 95% confidence interval.

significantly related to prolonged OS (HR, 0.45; 95% CI, 0.23–0.90; $P = 0.03$; HR, 0.38; 95% CI, 0.20–0.70; $P = 0.002$, and HR, 0.43; 95% CI, 0.22–0.81; $P = 0.01$, respectively). Preoperative KPS score ≥ 70 was not significantly related to PFS or OS in the multivariate analysis. No clinical factors significantly associated with postoperative KPS were found except for preoperative KPS. Patients ≥ 70 were subdivided into four groups based on the three independent prognostic factors (postoperative KPS, CRT as adjuvant therapy, and salvage therapy). Thirteen patients had no prognostic factors (group 0), 33 had a prognostic factor (group 1), 19 had two prognostic factors (group 2), and five had all prognostic factors (group 3). The Kaplan–Meier curve of the four groups showed that the median OS of groups 0, 1, 2, and 3 was 7.2, 9.1, 18.4, and 27.0 months, respectively (Figure C). A statistically significant difference was found in the OS between the groups ($P < 0.001$).

Table 3 Univariate analysis of PFS and OS

		65–69 years					70–91 years				
		n	PFS		OS		n	PFS		OS	
			mPFS	P	mOS	P		mPFS	P	mOS	P
Sex	Male	10	9.9	0.91	10	0.46	41	6.9	0.58	9.5	0.20
	Female	11	10.1		28.6		29	7.6		16.1	
CCI	Low (0 point)	18	9.9	0.88	22.8	0.66	39	10.2	0.40	12.8	0.52
	Medium-high (≥1point)	3	30.2		28		31	4.2		9.9	
WHO Grade	III	1	7.9	0.49	39.2	0.7	18	8.5	0.81	11.4	0.84
	IV	20	10.1		21.3		52	6.3		12.7	
EOR	Gross total removal	7	14	0.005	22.1	0.17	9	11.3	0.83	11.3	0.82
	Partial removal	10	14		33.1		25	11.5		14.9	
	Biopsy	4	4.3		9.9		36	6.3		10.1	
MGMT	Immunopositive (≥10%)	12	9.9	0.65	22.8	0.69	35	6.6	0.91	12.9	0.65
	Immunonegative (<10%)	6	10.1		18.5		24	6.9		11.6	
	No test	3	7.3		29.3		11	8.5		9.5	
Preop. KPS	≥70	12	9.2	0.38	29.3	0.82	29	12.3	0.01	16.8	0.01
	≤60	9	10.1		18.5		41	5.5		9.1	
Postop. KPS	≥70	11	20.7	0.003	29.2	0.33	22	12.3	0.002	18.4	0.002
	≤60	10	7.9		10.1		48	5.5		9.1	
Adjuvant therapy	Chemoradiation	19	11.5	0.48	16.1	0.44	41	11.4	0.06	16.1	0.02
	Others	2	7.2		11.4		29	6.2		9.1	
Salvage therapy	Yes	8	20.7	0.3	28.9	0.5	21	8.5	0.39	17.3	0.04
	No	13	7.9		12.4		49	6.2		9.5	

Abbreviations: mPFS, median progression free survival; mOS, median overall survival; CCI, Charlson comorbidity index; WHO, World Health Organization; EOR, extent of resection; MGMT, O6-methylguanine-DNA methyltransferase; KPS, Karnofsky Performance Status

Table 4 Multivariate analysis of PFS and OS in 70–91-year-old patients

	PFS			OS		
	Hazard ratio	95% CI	P	Hazard ratio	95% CI	P
Preop. KPS ≥ 70	0.71	0.37–1.37	0.31	0.73	0.38–1.40	0.34
Postop. KPS ≥ 70	0.48	0.24–0.98	0.04	0.45	0.23–0.90	0.03
Chemoradiation	0.56	0.31–1.04	0.07	0.38	0.20–0.70	0.002
Salvage therapy (+)	0.77	0.41–1.45	0.42	0.43	0.22–0.81	0.01

Abbreviations: PFS, progression free survival; OS, median overall survival; 95% CI, 95% confidence interval; KPS, Karnofsky Performance Status

DISCUSSION

We showed a significant difference of OS between patients aged 65–69 and those ≥ 70 . The median OS of patients aged 65–69 was >20 months, which was similar to previous studies including younger patients.^{1,15} However, the median OS of patients ≥ 70 was <1 year. We need to pay particular attention to the elderly ≥ 70 . In this study, we found clearly different prognostic factors between these two age groups. Our multivariate analysis showed that higher postoperative KPS, CRT as adjuvant therapy, and salvage therapy were significantly related to good outcomes in patients ≥ 70 .

Even in the elderly, EOR is a strong predictor of good outcome,^{2,16–20} provided that surgery does not change patients' postoperative KPS scores.²¹ However, the overall rate of complications after resection in the elderly is 21.9%–31.9%.^{21,22} In addition, 13%–20% of elderly patients cannot receive complete adjuvant treatment due to neurological deterioration postoperatively.²³ In this study, the perioperative KPS scores decreased in approximately 40% of patients. Although a maximally safe resection was essential for better prognosis, even in this age group, a surgical strategy to obtain tissue diagnosis while minimizing complications and maintaining postoperative KPS should be considered.

In this study, multivariate analysis of OS indicated that CRT, as adjuvant therapy was significantly related to prolonged OS. Some reports correlate adjuvant therapy with CRT with good outcomes.^{2,17–21,24–26} CRT as adjuvant therapy, rather than age and EOR, reportedly improves prognosis in the elderly.²¹ However, assessment tools to define which elderly patients can withstand aggressive therapy, such as CRT, are limited.³ Di Cristofori et al found that age, postoperative KPS, postoperative MMSE, and tumor volume, but not EOR, were significantly associated with CRT viability.²³ We suggest that a higher postoperative KPS score should be an essential qualifier for CRT postoperatively. In this study, patients who underwent CRT postoperatively showed significantly prolonged OS. Our data support CRT's efficacy as an adjuvant therapy in elderly patients with high postoperative KPS scores.

In this study, multivariate analyzes showed that salvage therapy was also significantly associated with prolonged OS, but not PFS, suggesting that aggressive treatment at recurrence is effective, even in selected elderly patients. Goria et al showed that performance status, not age, is a major prognostic factor for PFS and OS in recurrent GBM.²⁷ Zanello et al found that elderly patients with KPS scores ≥ 70 at recurrence share similar OS from recurrence compared to younger patients. They reported that oncological treatment from recurrence, particularly surgical resection, TMZ, and bevacizumab therapy, were significant, independent predictors of longer OS from recurrence, and concluded that treatment options from recurrence for elderly patients should include repeat surgery, second-line chemotherapy, and anti-angiogenic agents.²⁸

This study has some limitations. It is a retrospective study from a single institute, including relatively few patients and selection bias. In addition, our study lacks sufficient molecular information. Mutations in IDH1 were not investigated in approximately 40% of patients.

In conclusion, in patients ≥ 70 with high-grade astrocytoma, OS was significantly shorter compared to those aged 65–69. Our results suggest that postoperative KPS score ≥ 70 is significantly related to prolonged PFS and OS in elderly patients ≥ 70 years. Furthermore, CRT postoperatively and salvage therapy after recurrence may be effective methods in selected elderly population. A therapeutic strategy that prioritizes KPS maintenance may benefit survival in elderly patients ≥ 70 years.

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CONFLICT OF INTEREST

The authors declare no conflicts of interest.

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